

# A Unified View Of Some Theories (PhD Oral Exam Presentation)

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# Topics

- Type Inference
- Intersection Types
- Control Flow Analysis
- Hoare Logic
- Linear Logic
- Type Theory
- Automated Deduction
- Supercompilation

Problem 1: Tom ate my sandwich

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Solution 1:

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1. Prove theorem: “Tom ate my sandwich”

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1. Ask Tom: “Why you ate my sandwich?”

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Solution 2:

1. Ask Tom: “Why you ate my sandwich?”
2. Get rid of the reason that makes Tom eat my sandwich.

Approach “Evidence and proofs are not enough. Everything happens for a reason.”

- Reason with first principles
- Deliberately reinvent things
- Implement and experiment
- Collapse duplicated concepts
- Soundness by construction
- Recheck by reading literature

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Goal: A Simple Unified Theory

# Things Built

1. A type inferencer with most things we want from:
  - ML
  - Parametric polymorphism combined with subtyping
  - MLF, HML etc.
  - System I, System E (Kfoury & Wells intersection types)
  - System P (Trevor Jim “A Polar Type System”)
  - Bidirectional Typechecking (Dunfield & Pfenning)
2. A “control flow analysis” as powerful as CFA2, but much simpler
3. A register allocator which manipulates a “model” of the real machine
4. They turn out to be highly related

# Criteria of a Good Concept

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- ScoZ: “A good concept is one that is closed
  - 1) under arbitrary composition
  - 2) under recursion”
- Examples of violation:
  1. Let-polymorphism (Rule 1)
  2. Intersection Types without Idempotence (Rule 2)



Type Inference,  
Intersection Types,  
Control Flow Analysis

# What is Type Inference?

- Given an untyped term, infer its type
- Example:

$$\lambda f.\lambda g.\lambda x.(f\ x)\ (g\ x)$$
$$\Rightarrow$$
$$(a \rightarrow b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow (a \rightarrow c)$$

- Also called: “type reconstruction”

# Major Concepts

- Let-polymorphism, Algorithm W, Milner, 1978
- Value Restriction, Wright 1995
- MLF, Botlan and Rémy 2003
- Bidirectional Typechecking, Dunfield and Pfenning 2004
- Intersection types, Coppo and Dezani--Ciancaglini 1980
- Principal Typings, Jim 1996
- Expansion, Kfoury and Wells 1999

# Unified Type Inference System

- A type inference system with all the desirable features
- Without arbitrary restrictions
- Without creating a mess by piling features upon features
- Many features overlap. There are only very few important ones which subsume all others.

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- Unification extends substitutions, adds more connections
- Type inference feels like logic programming in the domain of types

# The Only Trouble: Polymorphism

- WANT: apply some function to different types
- Examples:
  - $\lambda x.x$
  - $\lambda f.\lambda x.f(f\ x)$
  - $\lambda f.(f\ 1, f\ \text{true})$

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Violates Rule 1: Not closed under arbitrary composition

# Unsoundness With Effects

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let  $r = \text{ref } (\lambda x.x)$  in  $(r := \lambda x.x+1; (!r)\text{true});$
- Each gets a fresh copy of  $\forall a.(\text{ref } (a \rightarrow a))$
- Passes type check!



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- ML error “unable to unify  $\text{int}$  with  $\text{bool}$ ”

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- variables: YES

- functions: YES

- Applications: NO

- Constructor calls: YES

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- Supported not by reason, but by empirical study of 250,000 lines of ML code (“nobody complained”)
- Turned out to be pain (e.g. during my interview with Jane Street ;--))

# Beat Tom Again, and Again...

- Interfere with first-class continuations
- Interfere with intersection types
- ...

# What is the Real Problem?

- `let r = ref ( $\lambda x.x$ ) in (r :=  $\lambda x.x+1$ ; (!r>true);`
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  - trying to assign  $\text{int} \rightarrow \text{int}$  ML error “unable to unify  $\text{int}$  with  $\text{bool}$ ” into  $\text{ref } (\forall a. a \rightarrow a)$
  - $\text{int} \rightarrow \text{int}$  is not a subtype of  $\forall a. a \rightarrow a$



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- Similar to the problem of real problem: this type should be ref dynamic scoping, the scope ( $\forall a.a \rightarrow a$ ), but let-polymorphism of  $\forall a$  changes in non--inferred  $\forall a.(ref (a \rightarrow a))$  composable ways
  - `let r = ref ( $\lambda x.x$ ) in ( $r := \lambda x.x+1$ ; (!r)true);`
- real error:
  - trying to assign  $int \rightarrow int$
  - ML error “unable to unify  $int$  with  $bool$ ” into  $ref (\forall a.a \rightarrow a)$
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# Generalization at $\lambda$

- Essence of type constraints: record how parameters are used in the function body
- If a parameter is not used in the function body, then it should be generalized
- The caller cannot constrain the parameter type, only the function definition can
- Universal quantification means: “I will just pass it on”
- We should probably generalize at  $\lambda$ , and not LET

# Example

$\lambda f. \lambda g. \lambda x. f (g x)$

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a

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# How to Generalize at $\lambda$ ?

- Method 1:
  1. Keep track of parents of type variables
  2. When finishing typing  $\lambda x$ , generalize all type variables whose ancestor is  $x$
- Method 2:
  1. Bottom-up type checking
  2. If  $x$  isn't constrained in function body, assign it a fresh type variable
  3. Otherwise, use type variable already assigned to  $x$
  4. Easy transition into intersection types

# The Rest of The Story

- Unify parametric polymorphism with subtyping
- Polar/Bidirectional Typechecking
- Union types
- Function types treated as lambdas
- Unification as pattern binding for beta-reduction
- ...
- Many things in one thing, but doesn't blow up

# Intersection Types

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- Crossing point: MLF



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- Crossing point: MLF
- MLF requires type annotations for all polymorphically used parameters
- Example,  $f$  must be annotated:  $\lambda f.(f\ 1, f\ \text{true})$
- If we hope to do without any annotations, we must use intersection types
- The above term can be typed with intersection type:  $(\text{int} \multimap a \wedge \text{bool} \multimap b) \multimap (a, b)$  “takes a function which is both  $\text{int} \multimap a$  and  $\text{bool} \multimap b$ ”
- Application  $(\lambda f.(f\ 1, f\ \text{true}))\ (\lambda x.x)$  is then typed  $(\text{int}, \text{bool})$ .

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$\lambda f.(f\ 1, f\ \text{true})$

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# Example

$\lambda f.(f\ 1, f\ \text{true}) \rightarrow \rightarrow (int \rightarrow a \wedge bool \rightarrow b)\ int\ a\ bool\ b$

# Example

- Must use bottom--up typing
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# Example

- Must use bottom--up typing
- Reason:
  1. Intersection operation happens at multi-threaded positions
  2. Usual abstract interpretation is single threaded  $\lambda f.(f\ 1, f\ \text{true})$
  3. Side--effect in substitution creates interference  $\rightarrow \rightarrow$  among multiple occurrences  $(\text{int} \rightarrow a \wedge \text{bool} \rightarrow b)\ \text{int}\ a\ \text{bool}\ b$

# Trouble with Intersection Types

- idempotence: “ $a^a = a$  ?”
- With idempotence, can't type higher ranked terms like  $\lambda x. xxx$  (because can't encode control flow)
- Without idempotence, type inference is equivalent to normalization
- Example:
  - $(\lambda f. \lambda x. f(f\ x)) (\lambda f. \lambda x. f(f\ x))$  has type:
    - $((a \multimap b \wedge b \multimap c) \wedge (c \multimap d \wedge d \multimap e)) \multimap (a \multimap e)$
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    - Violates Rule 2: Not closed under recursion
    - Lesson: Type checking cannot be fully modular unless using some annotations

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“f will be applied to int”

$\lambda f.(f\ 1, f\ \text{true}) \Rightarrow (\text{int} \rightarrow a \wedge \text{bool} \rightarrow b) \rightarrow (a,b)$

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These are encodings of “what”

- What information is lost? control flow information:  
“When?” “Where?”
- Intersection types can contain control flow information

# Intersection Types $\Rightarrow$ CFA

- $\lambda u. uu \Rightarrow (a \wedge a \rightarrow b) \rightarrow b$
- $\lambda u. (uu)u \Rightarrow ((b \wedge (a \wedge (a \rightarrow (b \rightarrow c)))) \rightarrow c)$
- $\lambda u. u(uu) \Rightarrow (((a \wedge (a \rightarrow b)) \wedge (b \rightarrow c)) \rightarrow c)$

Conjecture:

- Intersection types has encoded control flow information
- Intersection type inference is equivalent to control flow analysis



Hoare Logic  
Linear Logic

# Hoare Logic (Separation Logic)

- Hoare/Separation Logic formula looks like an encoding of the program itself with extra information about the model
- Formulas are just symbolic encoding of the model
- We can probably achieve the same thing with a software model checker

# Linear Logic

- Correspondence between Linear Logic connectives and types:
  1.  $\&$  == intersection type
  2.  $\oplus$  == union type
  3.  $\otimes$  == product type
- The only thing lep: ephemeral formulas
- But that can be easily implemented with a “ephemeral model” (as used in my register allocator)

Type Theory  
Automated Deduction  
Supercompilation

# Why we have Curry--Howard Correspondence

- Howard: “The formulae--as--types notion of construction”
- Observations: 1. Everything that can be named can be called a “type” 2. We can refer to it using the name 3. We can manipulate it using the name
- So it seems that we have Curry--Howard simply because we can bind things to names?
- This explains Martin-Löf Type Theory, Hoare Logic, etc.

# Automated Deduction and Supercompilation

- A proposition is a program which evaluates to a boolean value
- A theorem prover is an “supercompiler” which takes shortcuts (induction hypotheses) and tell you the answer without actually running the program
- If the program is not of type boolean, then the theorem prover is just a normal type checker
- Type checking and theorem proving unified

# Turchin's View

- “We do not think in terms of rules of formal logic. We create mental and linguistic models of the reality we observe.” Girard: “Locus Solum: From the rules of logic to the logic of rules”
- “The essence of supercompilation is in always moving in the direction of time, and never against it.”
- “... the persistent problem of transformation systems: how to know which rules to apply and in which order to apply them.”

# A Simple View

- We can capture the essence of formalisms by thinking about the transition of models in the direction of time
- We can design or implement logics using this way of thinking



# Verifying Turchin's View with Coq

- Mindlessly proved all theorems in first chapter of Pierce's Software Foundations using a spartan set of tactics which emulates a supercompiler, using no lemmas
- Mindlessly generated a necessary lemma for proving a proposition which contains an accumulating argument (as in Hamilton's Poison prover paper)

# Structural Induction and Recursion Induction

- Experiments on Coq shows that recursion induction is more powerful than structural induction
- Coq's structural induction gets in the way in one of the theorems, making it less mindless (needed "ingenuity")
- If using recursion induction, the theorem will be proved straightforwardly
- This matches the view of McCarthy (as noted in Burstall's 1968 paper on structural induction) "... in a sense structural induction is merely a special case of recursion induction, presented in a rather different manner."
- Automatic theorem provers using recursion induction can probably prove more theorems

# Example

- Theorem evenb\_negb :
  - forall n : nat, evenb n = negb (evenb (S n)).
- 1. Prove these two base cases:
  1. Fixpoint evenb (n:nat) : bool := match n with
  2. | 0 => true evenb 0 = negb (evenb (S 0)).
  3. | S 0 => false evenb (S 0) = negb (evenb (S (S 0))).
  4. | S (S n) => evenb n end.
- 2. Prove the inductive case:
  1. Definition negb (b:bool) : bool := n : nat match b with
  2. | true => false
  3. |Hn : evenb n = negb (evenb (S n))
  4. | false => true ===== end.
  5. evenb (S (S n)) = negb (evenb (S (S (S n)))) => evenb n = negb (evenb (S n))

# TODO List

- Build a supercompiler and a theorem prover
- Experiment more “mindless” theorem proving with Coq and Agda
- Write something about:
  - type inference
  - control flow analysis
  - theorem proving