

# LAB 4

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**Deadline: 2023/12/3 (Sun) 23:59**

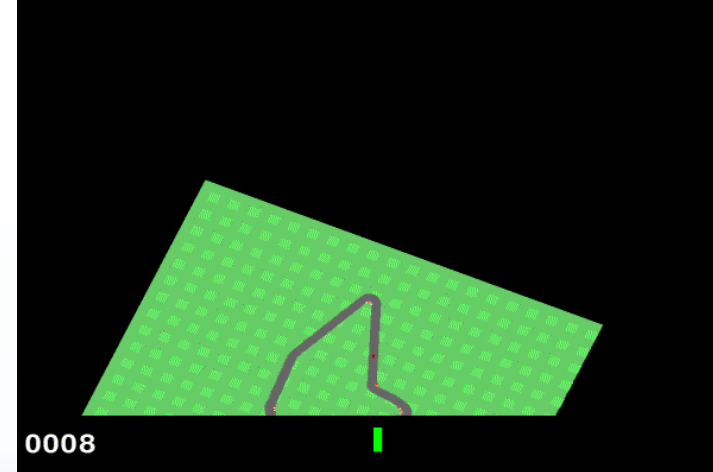
**Demo: 2023/12/4 (Mon) 18:00**

In this lab,

**Must use sample code,  
otherwise no credit.**

# CarRacing-v2

- Introduction:
  - The easiest control task to learn from pixels - a top-down racing environment. The generated track is random every episode. Some indicators are shown at the bottom of the window along with the state RGB buffer. From left to right: true speed, four ABS sensors, steering wheel position, and gyroscope.
- Observation space:
  - The whole image
- Action space:
  - Steering (-1 is full left, +1 is full right)
  - Gas (0~1)
  - Breaking (0~1)



[https://www.gymnasium.dev/environments/box2d/car\\_racing/](https://www.gymnasium.dev/environments/box2d/car_racing/)

# Twin Delayed DDPG (TD3)

- Deep Deterministic Policy Gradient (DDPG)

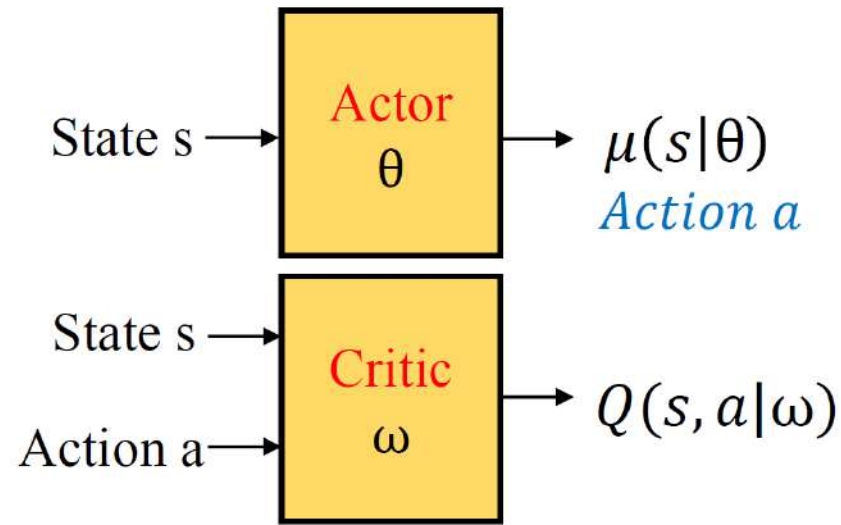
**Critic** estimates value of current action by Q-learning

$$\begin{aligned} & \nabla_{\omega} L_Q(s_t, a_t | \omega) \\ &= \left( \left( r_{t+1} + \gamma Q(s_{t+1}, \mu(s_{t+1} | \theta) | \omega) \right) - Q(s_t, a_t | \omega) \right) \nabla_{\omega} Q(s_t, a_t | \omega) \end{aligned}$$

TD error

**Actor** updates policy in direction suggested by critic (**DDPG**):

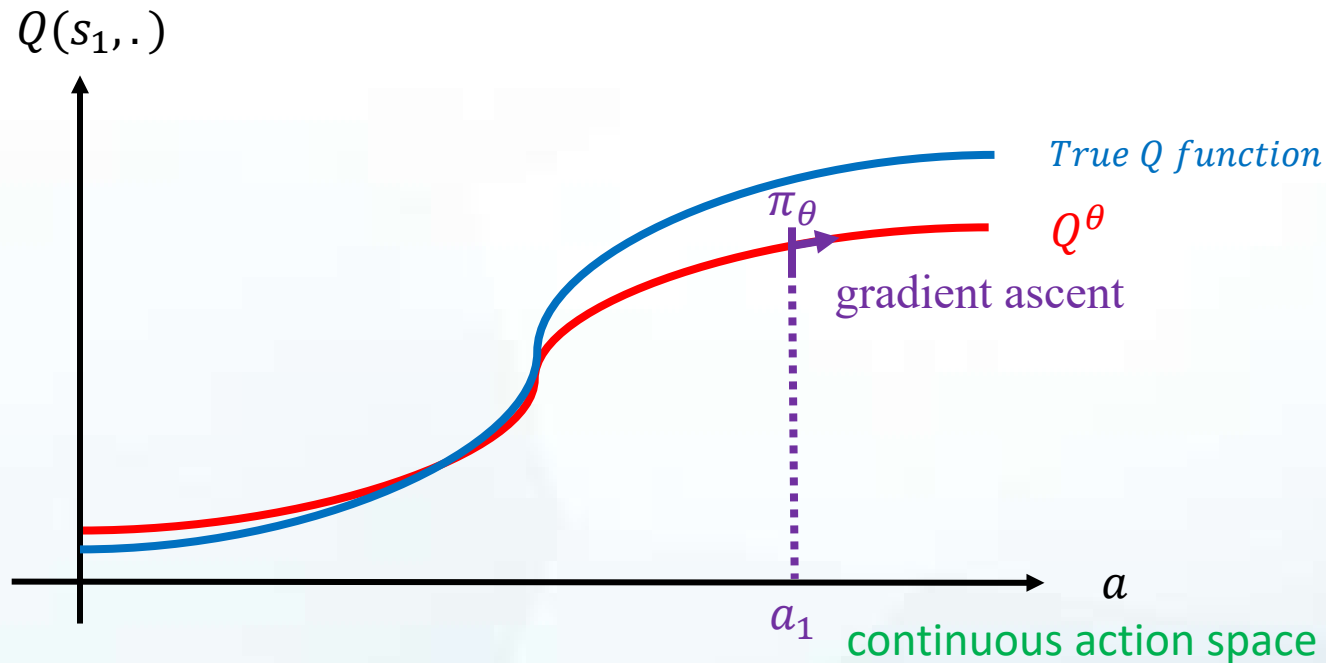
$$\begin{aligned} \nabla_{\theta} J(\mu_{\theta}) &\approx \mathbb{E}_{\mu} [\nabla_{\theta} Q(s_t, \mu(s_t | \theta) | \omega)] \\ &= \mathbb{E}_{\mu} \left[ \nabla_a Q(s_t, a | \omega) \Big|_{a=\mu(s_t | \theta)} \nabla_{\theta} \mu(s_t | \theta) \right] \end{aligned}$$



# Twin Delayed DDPG (TD3)

Actor update:

$$\begin{aligned}\nabla_{\theta} J(\mu_{\theta}) &\approx \mathbb{E}_{\mu} [\nabla_{\theta} Q(s_t, \mu(s_t|\theta) | \omega)] \\ &= \mathbb{E}_{\mu} \left[ \nabla_a Q(s_t, a | \omega) \Big|_{a=\mu(s_t|\theta)} \nabla_{\theta} \mu(s_t|\theta) \right]\end{aligned}$$



# Twin Delayed DDPG (TD3)

- TD3: Add 3 tricks in DDPG
  1. Clipped Double Q-Learning for Actor-Critic
  2. Delayed Policy Updates
  3. Target Policy Smoothing Regularization

# Twin Delayed DDPG (TD3)

Algorithm – TD3 algorithm:

**Algorithm 1** TD3

Initialize critic networks  $Q_{\theta_1}, Q_{\theta_2}$ , and actor network  $\pi_\phi$  with random parameters  $\theta_1, \theta_2, \phi$

Initialize target networks  $\theta'_1 \leftarrow \theta_1, \theta'_2 \leftarrow \theta_2, \phi' \leftarrow \phi$

Initialize replay buffer  $\mathcal{B}$

**for**  $t = 1$  **to**  $T$  **do**

    Select action with exploration noise  $a \sim \pi_\phi(s) + \epsilon$ ,

$\epsilon \sim \mathcal{N}(0, \sigma)$  and observe reward  $r$  and new state  $s'$

    Store transition tuple  $(s, a, r, s')$  in  $\mathcal{B}$

    Sample mini-batch of  $N$  transitions  $(s, a, r, s')$  from  $\mathcal{B}$

$\tilde{a} \leftarrow \pi_{\phi'}(s') + \epsilon, \quad \epsilon \sim \text{clip}(\mathcal{N}(0, \tilde{\sigma}), -c, c)$

$y \leftarrow r + \gamma \min_{i=1,2} Q_{\theta'_i}(s', \tilde{a})$

    Update critics  $\theta_i \leftarrow \text{argmin}_{\theta_i} N^{-1} \sum (y - Q_{\theta_i}(s, a))^2$

**if**  $t \bmod d$  **then**

        Update  $\phi$  by the deterministic policy gradient:

$\nabla_\phi J(\phi) = N^{-1} \sum \nabla_a Q_{\theta_1}(s, a)|_{a=\pi_\phi(s)} \nabla_\phi \pi_\phi(s)$

        Update target networks:

$\theta'_i \leftarrow \tau \theta_i + (1 - \tau) \theta'_i$

$\phi' \leftarrow \tau \phi + (1 - \tau) \phi'$

**end if**

**end for**

1. Clipped Double Q-Learning for Actor-Critic

2. Delayed Policy Updates

3. Target Policy Smoothing Regularization



# TODO

- Solve CarRacing-v2 using TD3.
- (Bonus) Ablation study:
  1. Screenshot of Tensorboard training curve and compare the performance of using twin Q-networks and single Q-networks in TD3, and explain (5%).
  2. Screenshot of Tensorboard training curve and compare the impact of enabling and disabling target policy smoothing in TD3, and explain (5%).
  3. Screenshot of Tensorboard training curve and compare the impact of delayed update steps and compare the results, and explain (5%).
  4. Screenshot of Tensorboard training curve and compare the effects of adding different levels of action noise (exploration noise) in TD3, and explain (5%).
  5. Screenshot of Tensorboard training curve and compare your reward function with the original one and explain why your reward function works better (10%).

3 tricks

exploration  
noise

reward  
function

# TODO

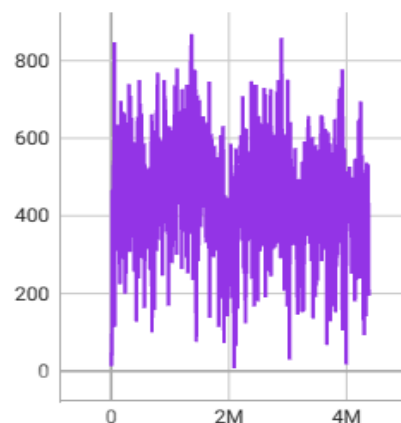
- Find the #TODO comments and hints, remove the raise NotImplementedError.
- Inherit from the “TD3BaseAgent” and override the “decide\_agent\_actions” and “update\_behavior\_network” functions.
- You can try your reward function and network architecture.
- Screenshot of Tensorboard training curve and testing results and put it on the report.

# TODO

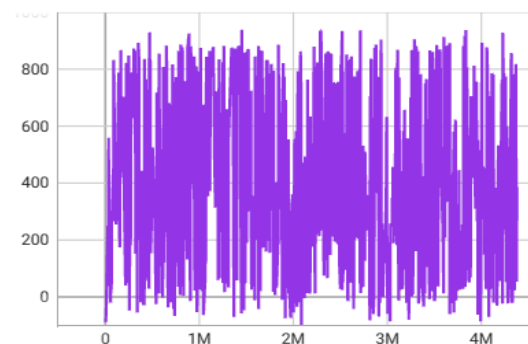
- Screenshot of Tensorboard training curve and testing results and put it on the report.

Training curve:

Evaluate/Episode Reward



Train/Episode Reward



Testing results (10 games):

```
Episode: 1      Length: 999      Total reward: 874.44
Episode: 2      Length: 999      Total reward: 883.05
Episode: 3      Length: 999      Total reward: 797.44
Episode: 4      Length: 999      Total reward: 679.18
Episode: 5      Length: 999      Total reward: 866.78
Episode: 6      Length: 999      Total reward: 888.97
Episode: 7      Length: 751      Total reward: 924.80
Episode: 8      Length: 999      Total reward: 883.33
Episode: 9      Length: 999      Total reward: 614.81
Episode: 10     Length: 999      Total reward: 878.34
average score: 829.1142945389436
```

# TODO

- Screenshot of Tensorboard training curve and testing results and put it on the report.



# Scoring Criteria

**Your Score (130%) = report (30%) + report bonus (30%) + demo performance (50%) + demo questions (20%)**

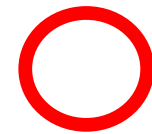
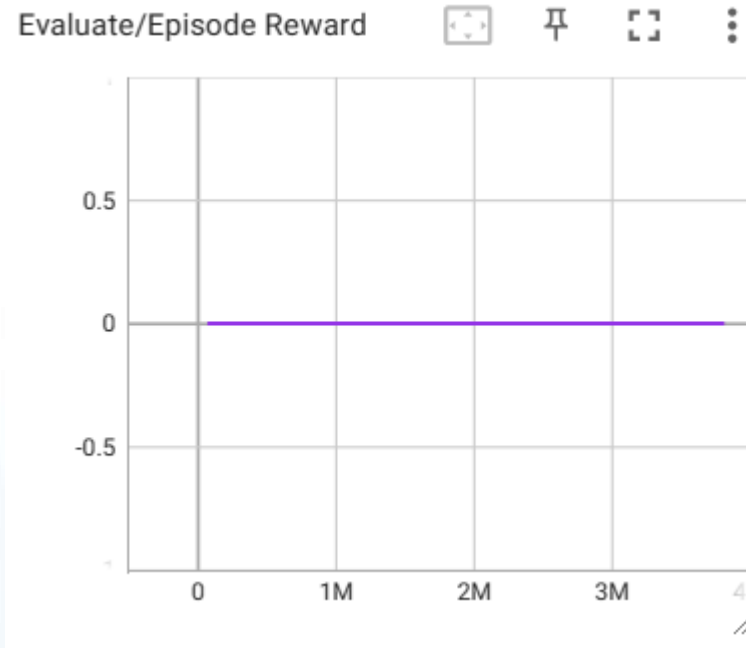
- **Report contains two parts:**
  - **Experimental Results (30%)**
    - (1) Screenshot of Tensorboard training curve and testing results on TD3.
  - **Experimental Results and Discussion of bonus parts (Impact of Twin Q-Networks, Target Policy Smoothing, Delayed Policy Update Mechanism, Action Noise Injection) (bonus) (30%)**
    - (1) Screenshot of Tensorboard training curve and compare the performance of using twin Q-networks and single Q-networks in TD3, and explain (5%).
    - (2) Screenshot of Tensorboard training curve and compare the impact of enabling and disabling target policy smoothing in TD3, and explain (5%).
    - (3) Screenshot of Tensorboard training curve and compare the impact of delayed update steps and compare the results, and explain (5%).
    - (4) Screenshot of Tensorboard training curve and compare the effects of adding different levels of action noise (exploration noise) in TD3, and explain (5%).
    - (5) Screenshot of Tensorboard training curve and compare your reward function with the original one and explain why your reward function works better (10%).

# Scoring Criteria

- Screenshot of Tensorboard training curve and testing results and put it on the report.



No score



Get score (30%)



# Scoring Criteria - Demo Performance

- Demo performance score = baseline (15%) + ranking (35%)
- Test your best model for **five** race tracks. **Seeds of five tracks will be given on demo day.**
- You have to show the video while testing. You can use `env.render()` or save video function to achieve this.
- You can use a fixed random seed to reproduce your best game score.
- If you outperform the baseline, you will get 15%. Other 35% will be based on your rank in all students.



Five race tracks avg.

Reward	Points (15%)
0~100	0
100~199	5
200~299	10
>=300	15

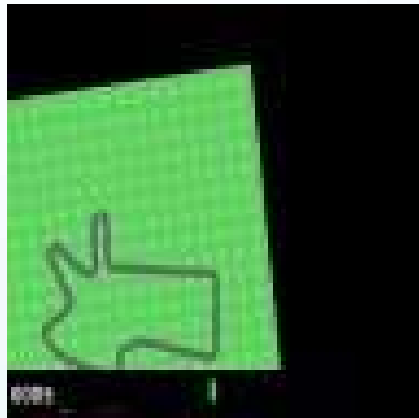
# Scoring Criteria - Demo Performance

- Test your best model for **five** race tracks. **Seeds of five tracks will be given on demo day.**
- You can decide the race track by giving seed in env.reset().

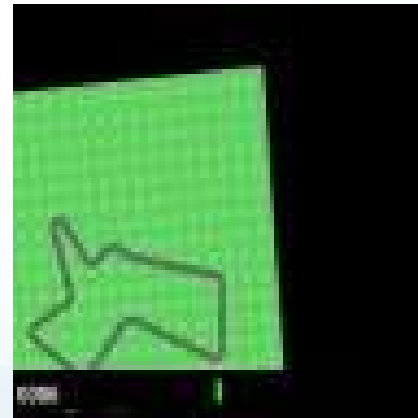
For example:

```
obs, info = self.env.reset(seed=?)
```

```
self.env.reset(seed=2)
```



```
self.env.reset(seed=5)
```





# Tensorboard Remote Server

- `ssh -p [your port] -L 6006:localhost:6006 pp037@140.113.215.196`
- `tensorboard --logdir log/dqn`
- Open your browser locally and input `127.0.0.1:6006`

# Recommended Package Version

- gym 0.26.2
- numpy 1.25.2
- pytorch 2.0.1
- tensorboard 2.14.0
- opencv-python 4.8.0.76
- moviepy 1.0.3

# Reminders

- Your network architecture and hyper-parameters **can** differ from the defaults.
- Ensure the **shape** of tensors all the time especially when calculating the **loss**.
- **with no\_grad()** : scope is the same as **xxx.detach()**
- Be aware of the **indentation** of hints.

# References

1. Lillicrap, Timothy P. et al. “Continuous control with deep reinforcement learning.” CoRR abs/1509.02971 (2015).
2. Silver, David et al. “Deterministic Policy Gradient Algorithms.” ICML (2014).
3. OpenAI. “OpenAI Gym Documentation.” Retrieved from Getting Started with Gym: <https://gym.openai.com/docs/>.
4. PyTorch. “Reinforcement Learning (DQN) Tutorial.” Retrieved from PyTorch Tutorials: [https://pytorch.org/tutorials/intermediate/reinforcement\\_q\\_learning.html](https://pytorch.org/tutorials/intermediate/reinforcement_q_learning.html).
5. Dankwa, Stephen, and Wenfeng Zheng. "Twin-delayed ddpg: A deep reinforcement learning technique to model a continuous movement of an intelligent robot agent." Proceedings of the 3rd international conference on vision, image and signal processing. 2019.
6. My results: [https://youtu.be/FAqATf\\_k5fl?si=p\\_bwyjt4RDchLmJ5](https://youtu.be/FAqATf_k5fl?si=p_bwyjt4RDchLmJ5)