

# More NP-Complete Problems

Wednesday, May 4, 2022



## *Announcements*

- HW 11 in
  - Due Tues 5/3 11:59pm EST
- HW 12 out tomorrow
  - Due Wed 5/11 11:59pm EST
  - Last HW!
- 3 lectures left!
- Course evals next week

# Last Time: NP-Completeness

## DEFINITION

A language  $B$  is **NP-complete** if it satisfies two conditions:

Must prove for all  
langs, not just a  
single language

1.  $B$  is in NP, and
2. every  $A$  in NP is polynomial time reducible to  $B$ .

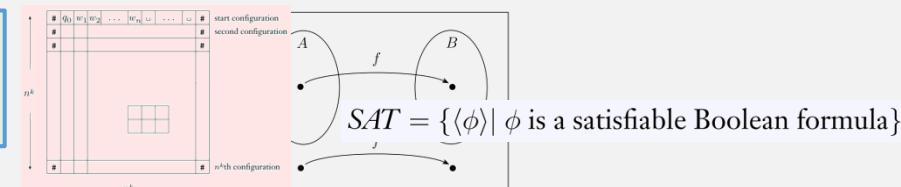
It's difficult to prove the first  
NP-complete problem!

	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\dots$	$\langle D \rangle$
$M_1$	accept	reject	accept	reject	$\dots$	accept
$M_2$	accept	accept	accept	accept	$\dots$	accept
$M_3$	reject	reject	reject	reject	$\dots$	reject
$M_4$	accept	accept	reject	reject	$\dots$	accept
$\vdots$					$\ddots$	
$D$	reject	reject	accept	accept	$\vdots$	?

(Just like finding the first  
undecidable problem was hard!)

## THEOREM .....

SAT is NP-complete.



But each NP-complete problem we prove  
makes it easier to prove the next one!

## THEOREM

known

unknown

Last Time: If  $B$  is NP-complete and  $B \leq_P C$  for  $C$  in NP, then  $C$  is NP-complete.

If you're not Stephen Cook or  
Leonid Levin, **use this theorem to  
prove a language is NP-complete**

## THEOREM

Last Time: If  $B$  is NP-complete and  $B \leq_P C$  for  $C$  in NP, then  $C$  is NP-complete.

3 steps to prove a language  $C$  is NP-complete:

1. Show  $C$  is in NP
2. Choose  $B$ , the known NP-complete problem to reduce from
3. Show a poly time mapping reduction from  $B$  to  $C$

To show poly time mapping reducibility:

1. create **computable fn**,
2. show that it **runs in poly time**,
3. then show **forward direction** of mapping red.,
4. and **reverse direction**  
(or **contrapositive of reverse direction**)

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Example:

Let  $C = 3SAT$ , to prove  $3SAT$  is NP-Complete:

1. Show  $3SAT$  is in NP

## THEOREM

*Last Time:* If  $B$  is NP-complete and  $B \leq_P C$  for  $C$  in NP, then  $C$  is NP-complete.

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### Example:

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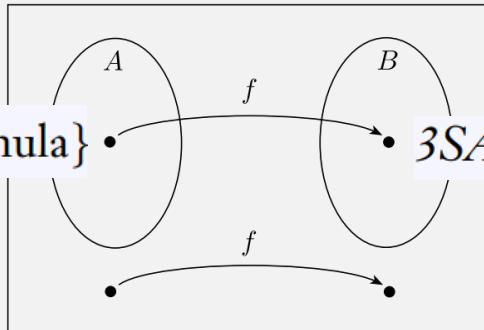
- 1. Show  $3SAT$  is in NP
- 2. Choose  $B$ , the NP-complete problem to reduce from:  $SAT$
- 3. Show a poly time mapping reduction from  $SAT$  to  $3SAT$

To show poly time mapping reducibility:

1. create **computable fn**,
2. show that it **runs in poly time**,
3. then show **forward direction** of mapping red.,
4. and **reverse direction**  
(or **contrapositive of reverse direction**)

# Flashback: $SAT$ is Poly Time Reducible to $3SAT$

$SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula}\}$



Need: poly time computable fn converting a Boolean formula  $\phi$  to 3CNF:

1. Convert  $\phi$  to CNF (an AND of OR clauses)
  - a) Use DeMorgan's Law to push negations onto literals

$$\neg(P \vee Q) \Leftrightarrow (\neg P) \wedge (\neg Q) \quad \neg(P \wedge Q) \Leftrightarrow (\neg P) \vee (\neg Q)$$

Remaining step: show  
iff relation holds ...

$O(n)$

- b) Distribute ORs to get ANDs outside of parens

$$(P \vee (Q \wedge R)) \Leftrightarrow ((P \vee Q) \wedge (P \vee R))$$

$O(n)$

2. Convert to 3CNF by adding new variables

$$(a_1 \vee a_2 \vee a_3 \vee a_4) \Leftrightarrow (a_1 \vee a_2 \vee z) \wedge (\bar{z} \vee a_3 \vee a_4)$$

$O(n)$

... easy for formula conversion: each step is already a known "law"

## THEOREM

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*Last Time:* If  $B$  is NP-complete and  $B \leq_P C$  for  $C$  in NP, then  $C$  is NP-complete.

3 steps to prove a language is NP-complete:

1. Show  $C$  is in NP
2. Choose  $B$ , the known NP-complete problem to reduce from
3. Show a poly time mapping reduction from  $B$  to  $C$

**Theorem:** 3SAT is NP-complete

Let  $C = 3SAT$ , to prove 3SAT is NP-Complete:

- 1. Show 3SAT is in NP
- 2. Choose  $B$ , the NP-complete problem to reduce from: SAT
- 3. Show a poly time mapping reduction from SAT to 3SAT

Now have 2 known NP-Complete languages to use:

- SAT
- 3SAT



## THEOREM

*Last Time:* If  $B$  is NP-complete and  $B \leq_P C$  for  $C$  in NP, then  $C$  is NP-complete.

3 steps to prove a language is NP-complete:

1. Show  $C$  is in NP
2. Choose  $B$ , the known NP-complete problem to reduce from
3. Show a poly time mapping reduction from  $B$  to  $C$

Theorem: CLIQUE is NP-complete

Let  $C = \cancel{3SAT}$  CLIQUE, to prove  $\cancel{3SAT}$  CLIQUE is NP-Complete:

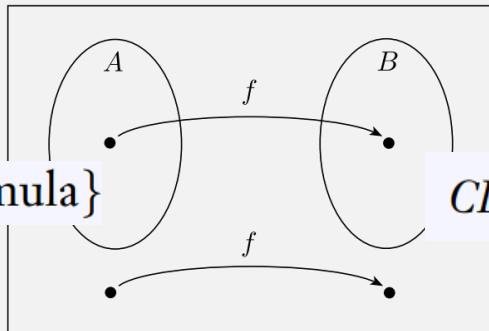
- ? 1. Show  $\cancel{3SAT}$  CLIQUE is in NP
- ? 2. Choose  $B$ , the NP-complete problem to reduce from:  $\cancel{SAT}$   $\cancel{3SAT}$
- ? 3. Show a poly time mapping reduction from  $B$  to  $C$

Flashback:

$3SAT$  is polynomial time reducible to  $CLIQUE$ .

$3SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable 3cnf-formula}\}$

$CLIQUE = \{\langle G, k \rangle \mid G \text{ is an undirected graph with a } k\text{-clique}\}$



Need: poly time computable fn converting a 3cnf-formula ...

Example:

$$\phi = (x_1 \vee x_1 \vee \boxed{x_2}) \wedge (\boxed{\overline{x}_1} \vee \overline{x}_2 \vee \overline{x}_2) \wedge (\overline{x}_1 \vee x_2 \vee \boxed{x_2})$$

• ... to a graph containing a clique:

- Each clause maps to a group of 3 nodes
- Connect all nodes except:
- Contradictory nodes

Don't forget iff

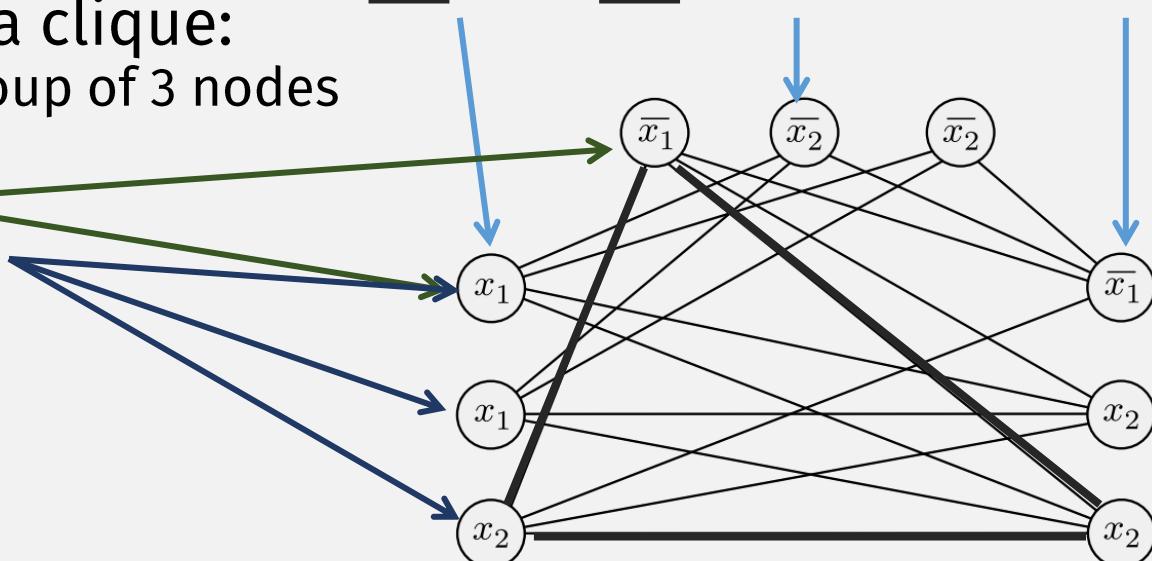
Nodes in the same group

$\Rightarrow$  If  $\phi \in 3SAT$

- Then each clause has a TRUE literal
  - Those are nodes in the clique!
  - E.g.,  $x_1 = 0, x_2 = 1$

$\Leftarrow$  If  $\phi \notin 3SAT$

- For any assignment, some clause must have a contradiction with another clause
- Then in the graph, some clause's group of nodes won't be connected to another group, preventing the clique



Runs in **poly time**:

- # literals = # nodes
- # edges poly in # nodes

$$O(n)$$

$$O(n^2)$$

## THEOREM

*Last Time:* If  $B$  is NP-complete and  $B \leq_P C$  for  $C$  in NP, then  $C$  is NP-complete.

3 steps to prove a language is NP-complete:

1. Show  $C$  is in NP
2. Choose  $B$ , the NP-complete problem to reduce from
3. Show a poly time mapping reduction from  $B$  to  $C$

Theorem: CLIQUE is NP-complete

Let  $C = \cancel{3SAT}$  CLIQUE, to prove  $\cancel{3SAT}$  CLIQUE is NP-Complete

- 1. Show  $\cancel{3SAT}$  CLIQUE is in NP
- 2. Choose  $B$ , the NP-complete problem to reduce from: SAT  $\cancel{3SAT}$
- 3. Show a poly time mapping reduction from  $B$  to  $C$

Now have 3 known NP-Complete languages to use:  
- SAT  
- 3SAT  
- CLIQUE



# NP-Complete problems, so far

- $SAT = \{\langle\phi\rangle \mid \phi \text{ is a satisfiable Boolean formula}\}$  (Cook-Levin Theorem)
- $3SAT = \{\langle\phi\rangle \mid \phi \text{ is a satisfiable 3cnf-formula}\}$  (reduced  $SAT$  to  $3SAT$ )
- $CLIQUE = \{\langle G, k \rangle \mid G \text{ is an undirected graph with a } k\text{-clique}\}$  (reduced  $3SAT$  to  $CLIQUE$ )

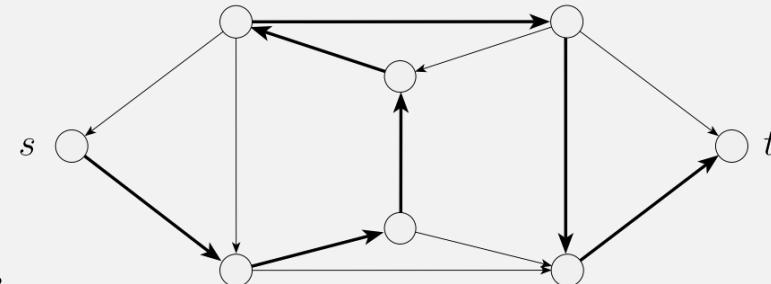
We now have 3 options to choose from when proving the next NP-complete problem

# *Flashback:* The *HAMPATH* Problem

$HAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph}$   
with a Hamiltonian path from  $s$  to  $t\}$

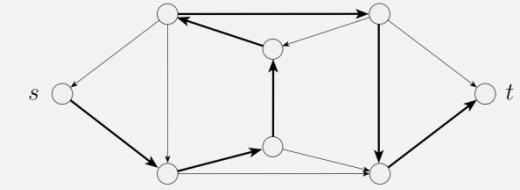
- A Hamiltonian path goes through every node in the graph

- The **Search** problem:
  - Exponential time (brute force) algorithm:
    - Check all possible paths of length  $n$
    - See if any connects  $s$  and  $t$ :  $O(n!) = O(2^n)$
  - Polynomial time algorithm:
    - Unknown!!!
- The **Verification** problem:
  - Still  $O(n^2)$ , just like *PATH*!
- So *HAMPATH* is in **NP** but not known to be in **P**



Theorem:  $HAMPATH$  is NP-complete

$HAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph}$   
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## THEOREM

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Using: If  $B$  is NP-complete and  $B \leq_P C$  for  $C$  in NP, then  $C$  is NP-complete.

3 steps to prove a language is **NP**-complete:

1. Show  $C$  is in **NP**
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# Theorem: *HAMPATH* is NP-complete

$HAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph}$   
with a Hamiltonian path from  $s$  to  $t\}$

To prove *HAMPATH* is NP-complete:

- 1. Show *HAMPATH* is in NP (left as exercise)
- 2. Choose  $B$ , the NP-complete problem to reduce from *3SAT*
- 3. Show a poly time mapping reduction from  $B$  to *HAMPATH*

# Theorem: *HAMPATH* is NP-complete

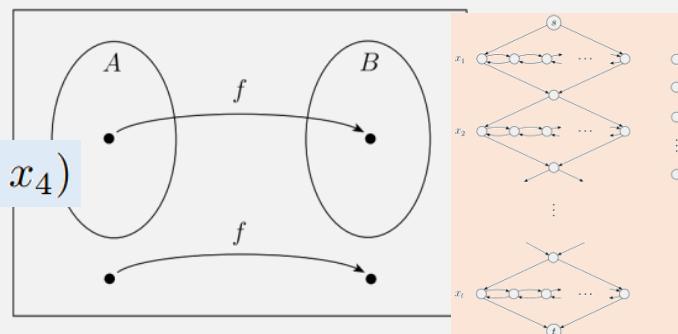
*HAMPATH* = { $\langle G, s, t \rangle | G$  is a directed graph  
with a Hamiltonian path from  $s$  to  $t$ }

To prove *HAMPATH* is NP-complete:

- 1. Show *HAMPATH* is in NP (left as exercise)
- 2. Choose  $B$ , the NP-complete problem to reduce from *3SAT*
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To show poly time mapping reducibility:  
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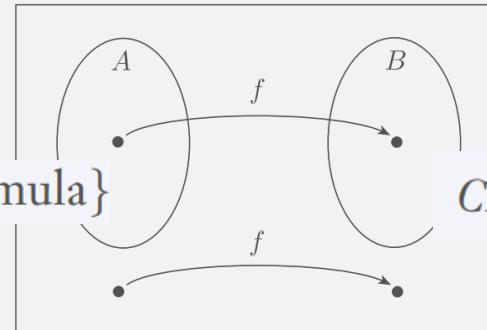
$$(x_1 \vee \overline{x_2} \vee \overline{x_3}) \wedge (x_3 \vee \overline{x_5} \vee x_6) \wedge (x_3 \vee \overline{x_6} \vee x_4)$$



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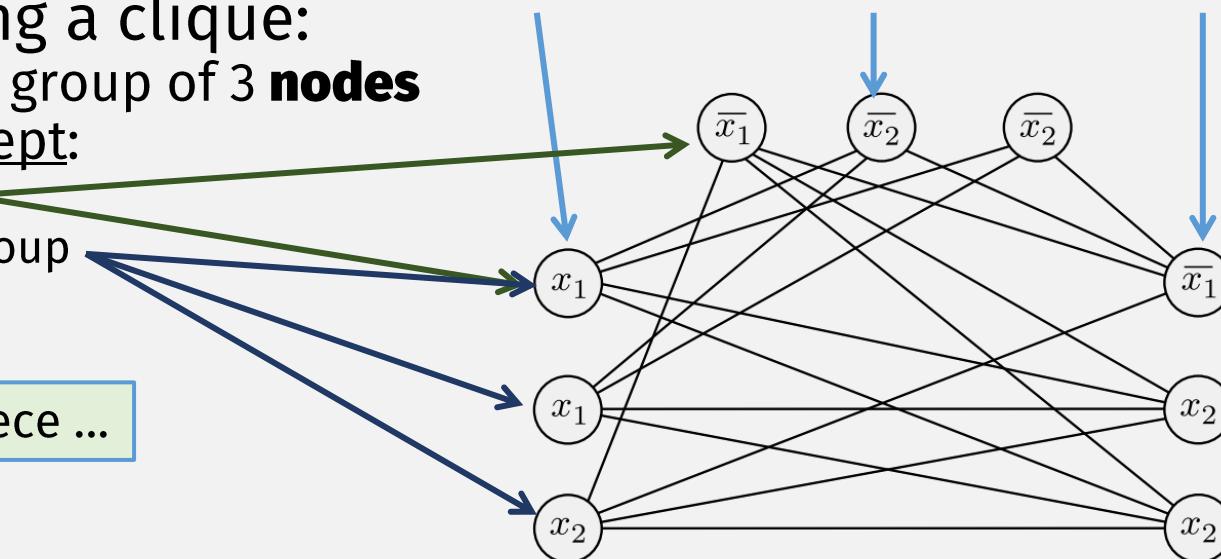
Need: poly time computable fn converting a 3cnf-formula ...

Example:

$$\phi = (x_1 \vee x_1 \vee x_2) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_2) \wedge (\bar{x}_1 \vee x_2 \vee x_2)$$

- ... to a graph containing a clique:
  - Each **clause** maps to a group of 3 **nodes**
  - Connect all **nodes** except:
    - Contradictory nodes
    - Nodes in the same group

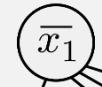
Do conversion piece by piece ...



# General Strategy: Reducing from 3SAT

Create a **computable function** mapping formula to “gadgets”:

- Variable → “gadget”, e.g.,



- Clause → “gadget”, e.g.,



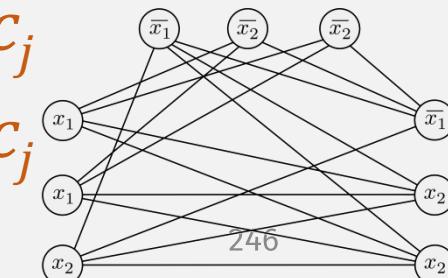
Gadget is typically “used” in two “opposite” ways:

1. “something” when var is assigned TRUE, or
2. “something else” when var is assigned FALSE

NOTE: “gadgets” are not always graphs; depends on the problem

Then connect variable and clause “gadgets” together:

- Literal  $x_i$  in clause  $c_j$  → gadget  $x_i$  “connects to” gadget  $c_j$
- Literal  $\bar{x}_i$  in clause  $c_j$  → gadget  $\bar{x}_i$  “connects to” gadget  $c_j$
- E.g., connect each node to node not in clause



# Theorem: *HAMPATH* is NP-complete

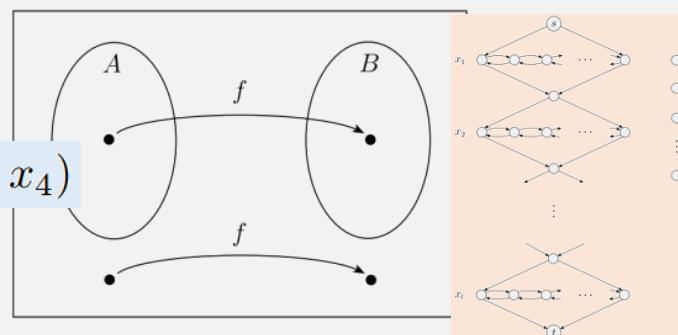
$\text{HAMPATH} = \{\langle G, s, t \rangle \mid G \text{ is a directed graph}$   
with a Hamiltonian path from  $s$  to  $t\}$

To prove *HAMPATH* is NP-complete:

- 1. Show *HAMPATH* is in NP (in HW9)
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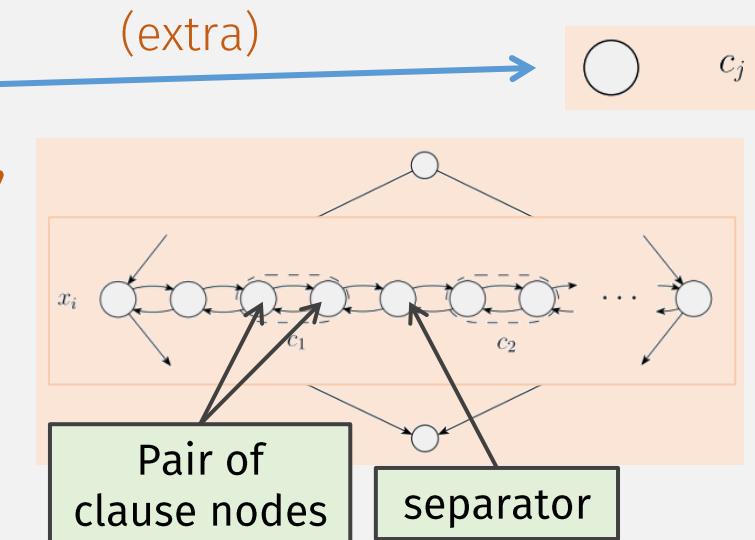


# Computable Fn: Formula (blue) $\rightarrow$ Graph (orange)

variable  
clause  
Example input:  $\phi = (a_1 \vee b_1 \vee c_1) \wedge (a_2 \vee b_2 \vee c_2) \wedge \dots \wedge (a_k \vee b_k \vee c_k)$

$k = \# \text{ clauses}$

- Clause  $\rightarrow$  (extra) single nodes, Total =  $k$
- Variable  $\rightarrow$  diamond-shaped graph “gadget”
  - Clause  $\rightarrow$  2 “connector” nodes + separator
  - Total =  $3k+1$  “connector” nodes per “gadget”



# Computable Fn: Formula (blue) → Graph (orange)

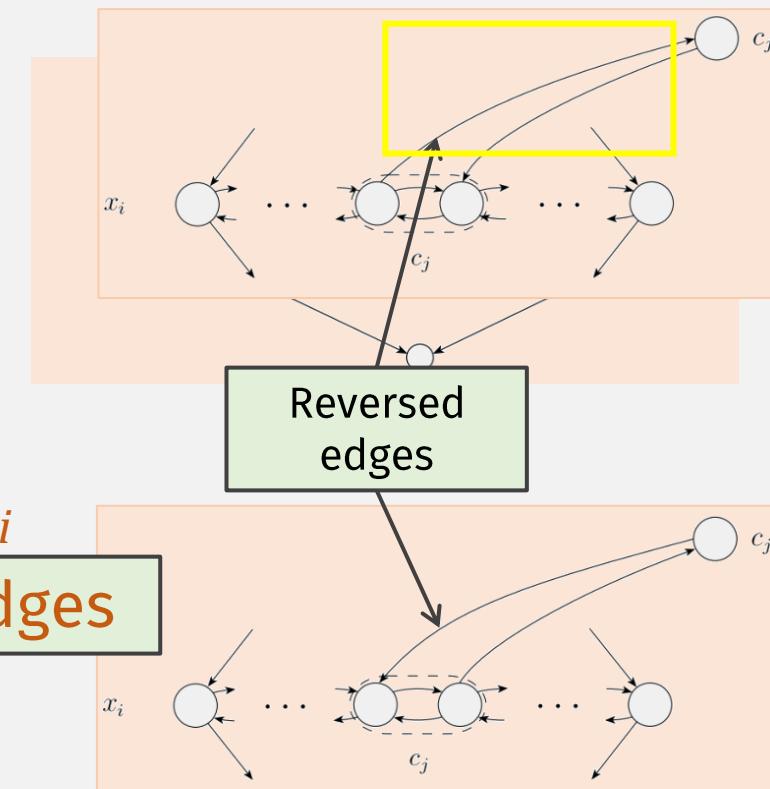
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  - Clause → 2 “connector” nodes + separator
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Literal = variable or negated variable

- Lit  $x_i$  in clause  $c_j \rightarrow c_j$  node edges in gadget  $x_i$   
Each extra  $c_j$  node has 6 edges
- Lit  $\bar{x}_i$  in clause  $c_j \rightarrow c_j$  edges in gadget  $x_i$  (rev)



# Theorem: *HAMPATH* is NP-complete

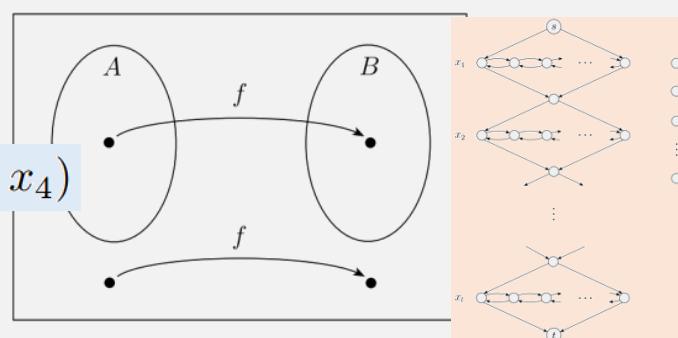
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To prove *HAMPATH* is NP-complete:

- ✓ 1. Show *HAMPATH* is in NP
- ✓ 2. Choose  $B$ , the NP-complete problem to reduce from 3SAT
- ? 3. Show a poly time mapping reduction from 3SAT to *HAMPATH*

To show poly time mapping reducibility:  
1. create **computable fn**,  
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# Polynomial Time?

TOTAL:  
 $O(k^2)$

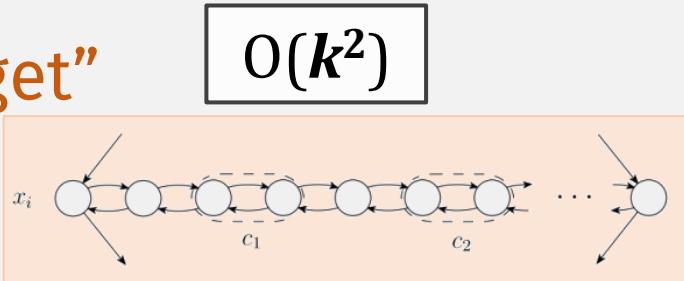
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$k = \# \text{ clauses} = \text{at most } 3k \text{ variables}$

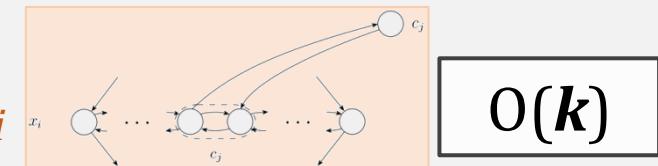
- Clause  $\rightarrow$  (extra) single nodes   $c_j$   $O(k)$

- Variable  $\rightarrow$  diamond-shaped graph “gadget”

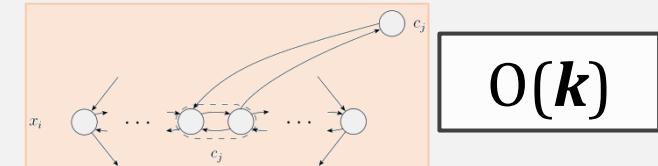
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  - Total =  $3k+1$  “connector” nodes per “gadget”



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- Lit  $\bar{x}_i$  in clause  $c_j \rightarrow c_j$  edges in gadget  $x_i$  (rev)



$O(k)$

252

# Theorem: *HAMPATH* is NP-complete

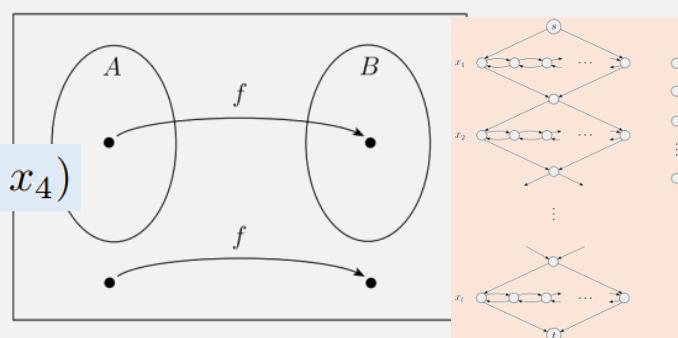
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A Ham. Path (must touch all nodes) through this graph:

3a. and either  
“zig-zags” ...

Can only go to this  $c_j$  if “zigging” through  $x_i$

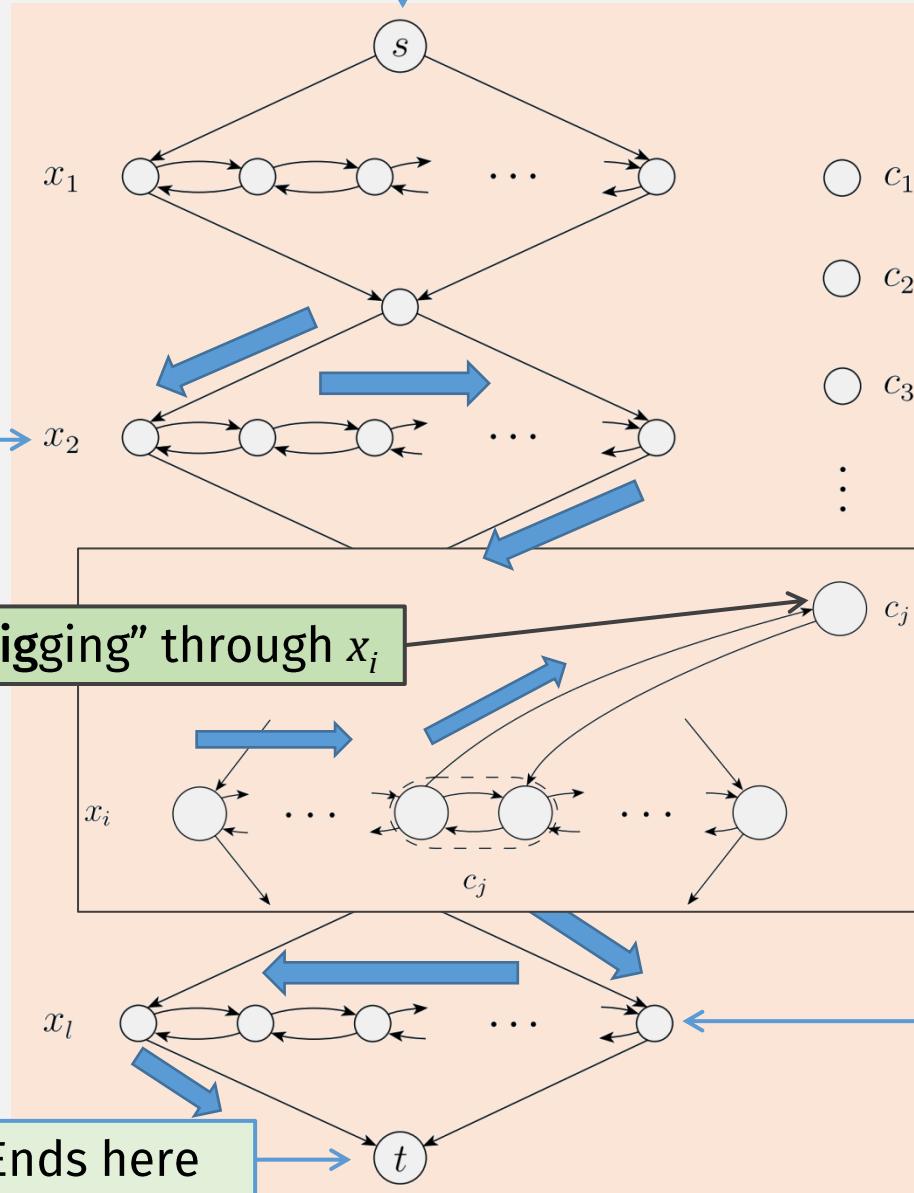
2. Ends here

1. Starts here

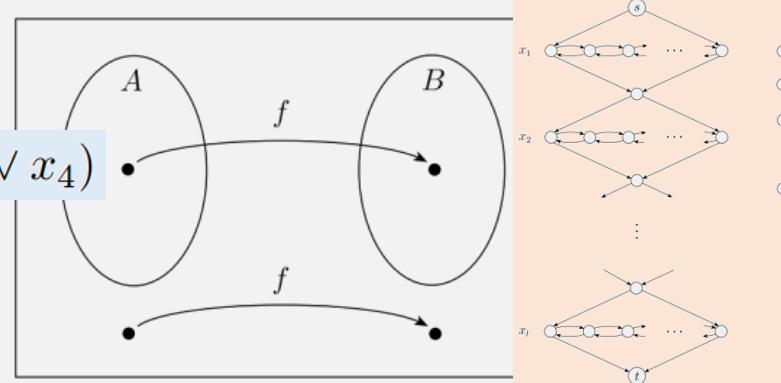
$c_1$   
 $c_2$   
 $c_3$   
⋮

4. and must “detour”  
to these clause  
gadgets (at least once,  
has 3 chances)

3b. or “zag-zigs” through  
each variable gadget



$$(x_1 \vee \overline{x_2} \vee \overline{x_3}) \wedge (x_3 \vee \overline{x_5} \vee x_6) \wedge (x_3 \vee \overline{x_6} \vee x_4)$$



Want: Satisfiable 3cnf formula  $\Leftrightarrow$  graph with Hamiltonian path  
 $\Rightarrow$  If there is satisfying assignment, then Hamiltonian path exists

These hit all nodes except extra  $c_j$ s

$x_i = \text{TRUE} \rightarrow$  Hampath “zig-zags” gadget  $x_i$

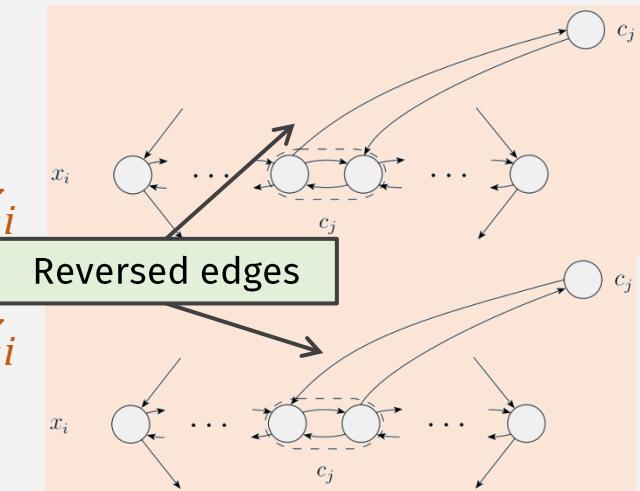
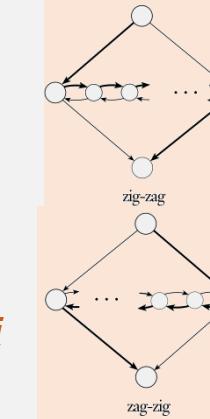
$x_i = \text{FALSE} \rightarrow$  Hampath “zag-zigs” gadget  $x_i$

- Lit  $x_i$  makes clause  $c_j$  TRUE  $\rightarrow$  “detour” to  $c_j$  in gadget  $x_i$
- Lit  $\overline{x_i}$  makes clause  $c_j$  TRUE  $\rightarrow$  “detour” to  $c_j$  in gadget  $x_i$

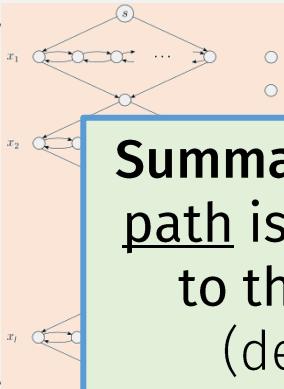
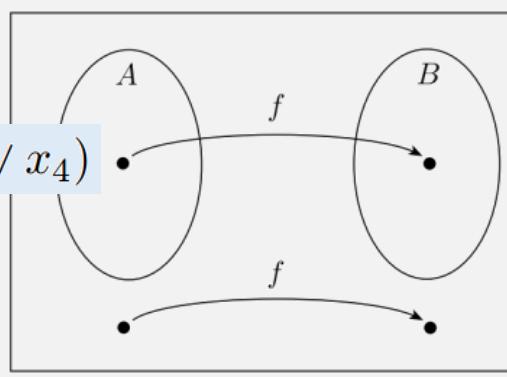
Now path goes through every node

Every clause must be TRUE so path hits all  $c_j$  nodes

- And edge directions align with TRUE/FALSE assignments



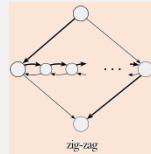
$$(x_1 \vee \overline{x_2} \vee \overline{x_3}) \wedge (x_3 \vee \overline{x_5} \vee x_6) \wedge (x_3 \vee \overline{x_6} \vee x_4)$$



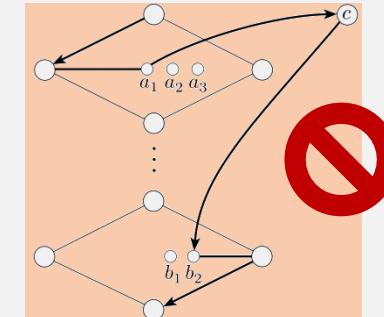
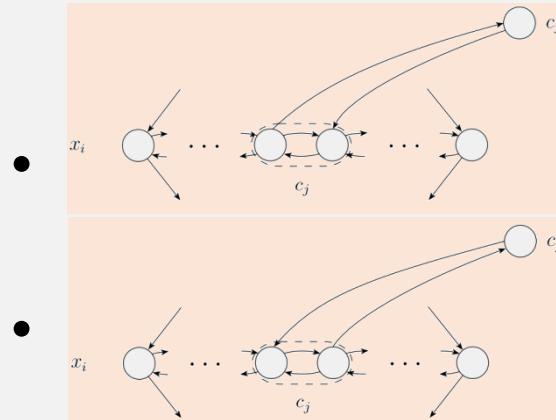
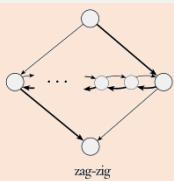
**Summary:** the only possible Ham. path is the one that corresponds to the satisfying assignment (described on prev slide)

Want: Satisfiable 3cnf formula  $\Leftrightarrow$  graph with Hamiltonian path

$\Leftarrow$  if output has Ham. path, then input had Satisfying assignment



- A Hamiltonian path must choose to either zig-zag or zag-zig gadgets
- Ham path can only hit “detour”  $c_j$  nodes by coming right back
- Otherwise, it will miss some nodes



gadget  $x_i$  “detours” from left to right  $\rightarrow x_i = \text{TRUE}$

gadget  $x_i$  “detours” from right to left  $\rightarrow x_i = \text{FALSE}$

# Theorem: *HAMPATH* is NP-complete

$HAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph}$   
with a Hamiltonian path from  $s$  to  $t\}$

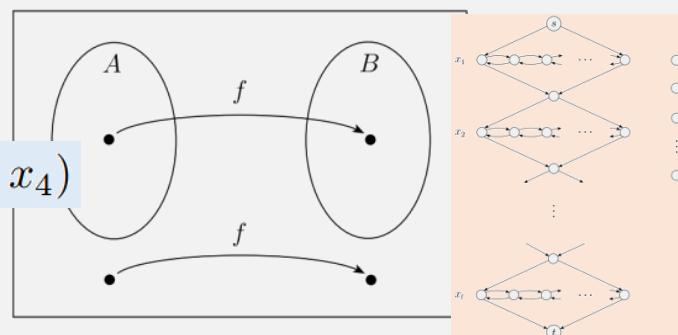
To prove *HAMPATH* is NP-complete:

- 1. Show *HAMPATH* is in NP
- 2. Choose  $B$ , the NP-complete problem to reduce from 3SAT
- 3. Show a poly time mapping reduction from 3SAT to *HAMPATH*

To show poly time mapping reducibility:

- 1. create **computable fn**,
- 2. show that it **runs in poly time**,
- 3. then show **forward direction** of mapping red.,
- 4. and **reverse direction**  
(or **contrapositive of reverse direction**)

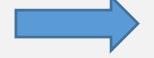
$$(x_1 \vee \overline{x_2} \vee \overline{x_3}) \wedge (x_3 \vee \overline{x_5} \vee x_6) \wedge (x_3 \vee \overline{x_6} \vee x_4)$$



# Theorem: *UHAMPATH* is NP-complete

*UHAMPATH* = { $\langle G, s, t \rangle | G$  is an directed graph  
with a Hamiltonian path from  $s$  to  $t$ }

To prove *UHAMPATH* is NP-complete:

- 1. Show *UHAMPATH* is in NP
-  2. Choose the NP-complete problem to reduce from *HAMPATH*
- 3. Show a poly time mapping reduction from ??? to *UHAMPATH*

# Theorem: *UHAMPATH* is NP-complete

*UHAMPATH* = { $\langle G, s, t \rangle | G$  is an directed graph  
with a Hamiltonian path from  $s$  to  $t$ }

To prove *UHAMPATH* is NP-complete:

- 1. Show *UHAMPATH* is in NP
- 2. Choose the NP-complete problem to reduce from *HAMPATH*
-  3. Show a poly time mapping reduction from *HAMPATH* to *UHAMPATH*

# Theorem: $UHAMPATH$ is NP-complete

$UHAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph}$   
with a Hamiltonian path from  $s$  to  $t\}$

Need: Computable function from  $HAMPATH$  to  $UHAMPATH$

Naïve Idea: Make all directed edges undirected?

- But we would create some paths that didn't exist before



- Doesn't work!

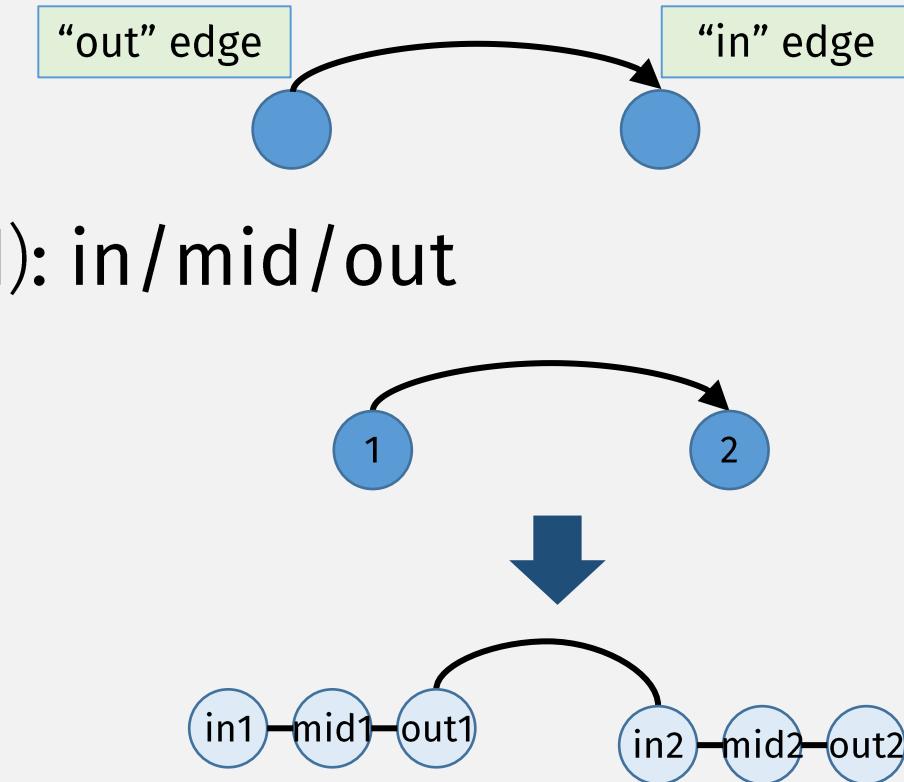
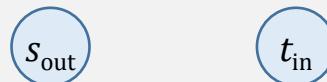
# Theorem: $UHAMPATH$ is NP-complete

$UHAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph}$   
with a Hamiltonian path from  $s$  to  $t\}$

Need: Computable function from  $HAMPATH$  to  $UHAMPATH$

Better Idea:

- Distinguish “in” vs “out” edges
- Nodes (directed)  $\rightarrow$  3 Nodes (undirected): in/mid/out
  - Connect in/mid/out with edges
  - Directed edge  $(u, v) \rightarrow (u_{\text{out}}, v_{\text{in}})$
- Except:  $s \rightarrow s_{\text{out}}$ ,  $t \rightarrow t_{\text{in}}$  only!



# Theorem: $UHAMPATH$ is NP-complete

$UHAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph}$   
with a Hamiltonian path from  $s$  to  $t\}$

Need: Computable function from  $HAMPATH$  to  $UHAMPATH$

⇒ If there is a directed path from  $s$  to  $t$  ...

- ... then there must be an undirected path ...

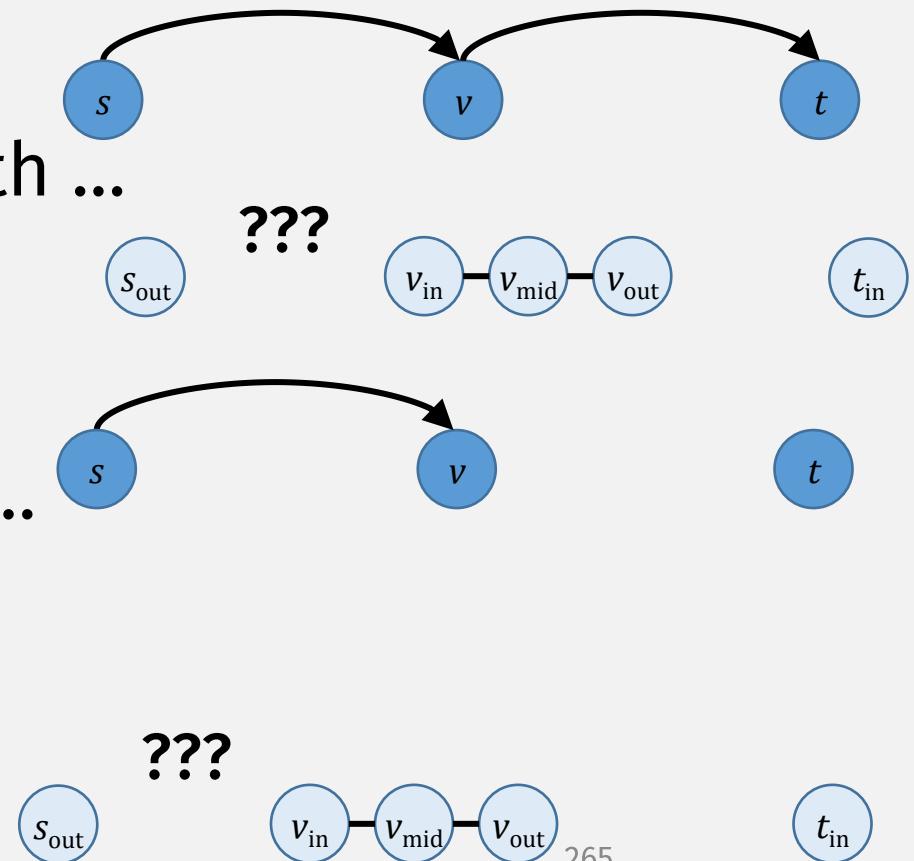
- Because ...

⇐ If there is no directed path from  $s$  to  $t$  ...

- ... then there is no undirected path ...

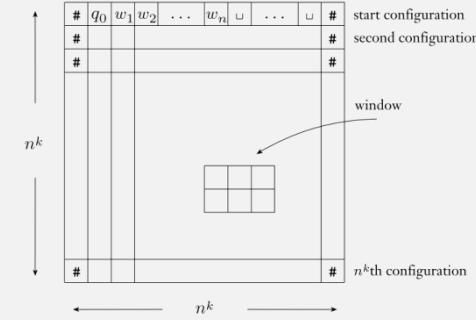
- Because ...

Finish this proof for HW 12

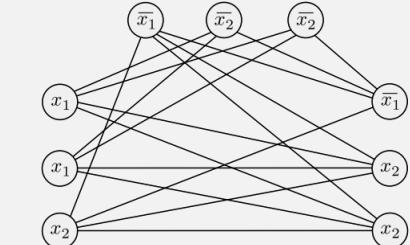


# NP-Complete problems, so far

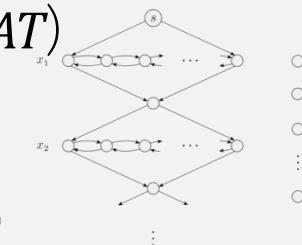
- $SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula}\}$  (Cook-Levin Theorem)



- $3SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable 3cnf-formula}\}$  (reduce from  $SAT$ )



- $CLIQUE = \{\langle G, k \rangle \mid G \text{ is an undirected graph with a } k\text{-clique}\}$  (reduce from  $3SAT$ )



- $HAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t\}$

(reduce from  $3SAT$ )

- $UHAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t\}$

(reduce from  $HAMPATH$ )

# **Quiz 5/4**