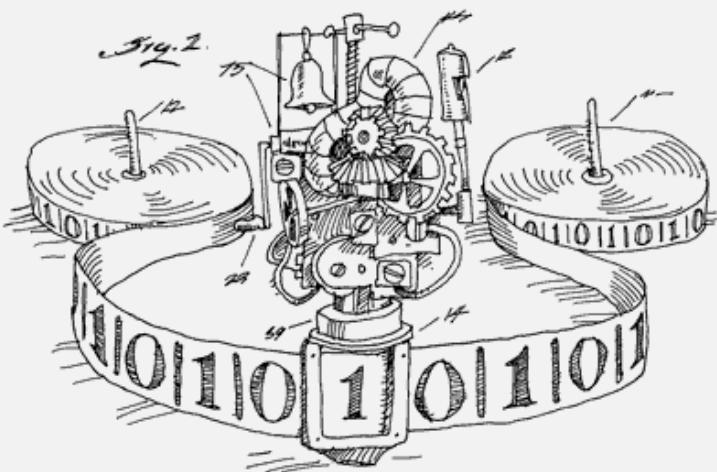


**UMB CS622**

# Turing Machines (TMs)

Wednesday, October 13, 2021



## *Announcements*

- HW4 due Sun 10/17 11:59pm
- HW3 grades returned

# CS622 So Far

- **Turing Machines (TMs)**



- Infinite tape (memory), arbitrary read/write
- Expresses any “computation”

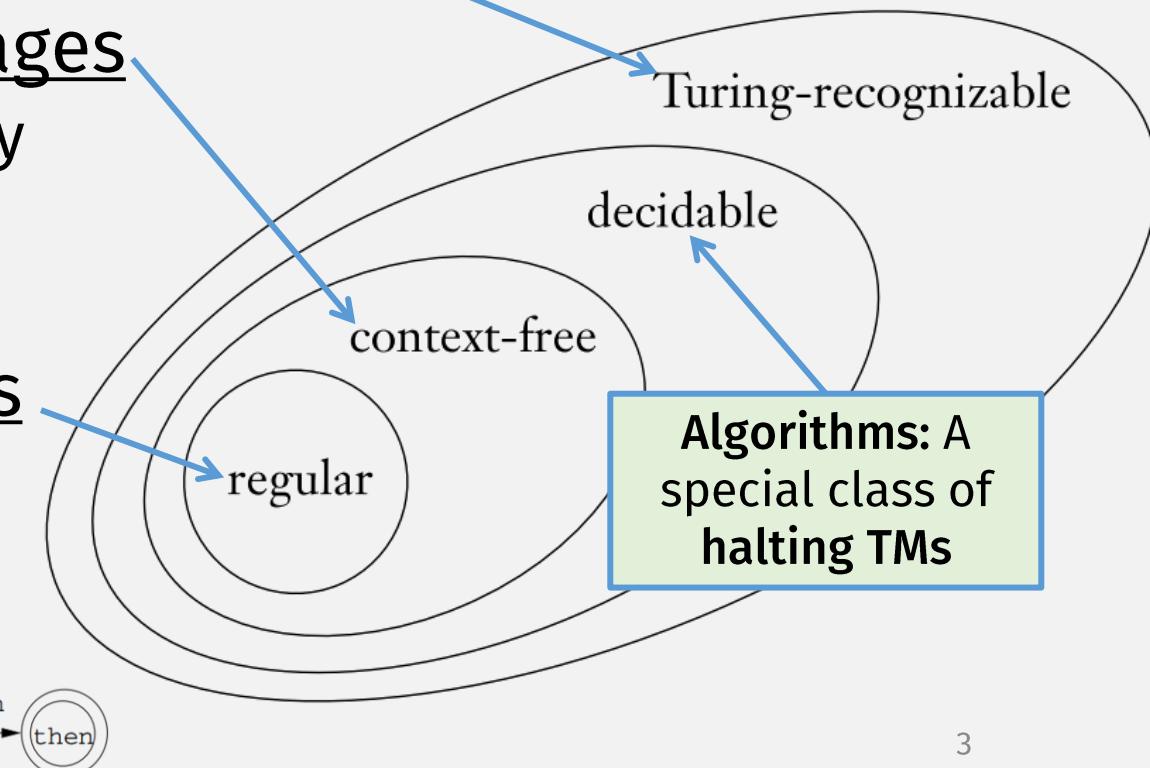
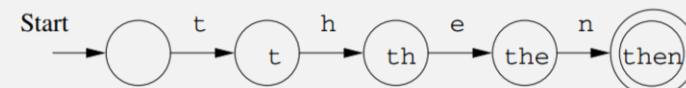
- PDAs: recognize context-free languages

$A \rightarrow 0A1$  • Infinite stack (memory), push/pop only

$A \rightarrow B$     • Can't express arbitrary dependency,  
 $B \rightarrow \#$       • e.g.,  $\{ww \mid w \in \{0,1\}^*\}$

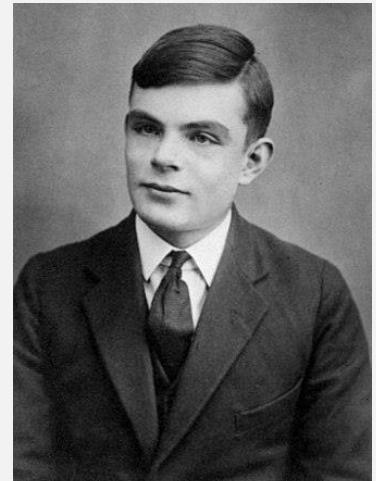
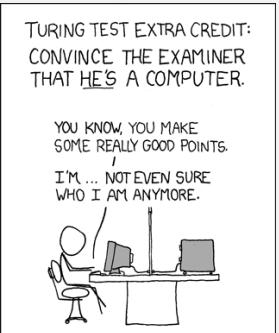
- DFAs / NFAs: recognize regular langs

- Finite states (memory)
- Can't express dependency  
e.g.,  $\{0^n 1^n \mid n \geq 0\}$



# Alan Turing

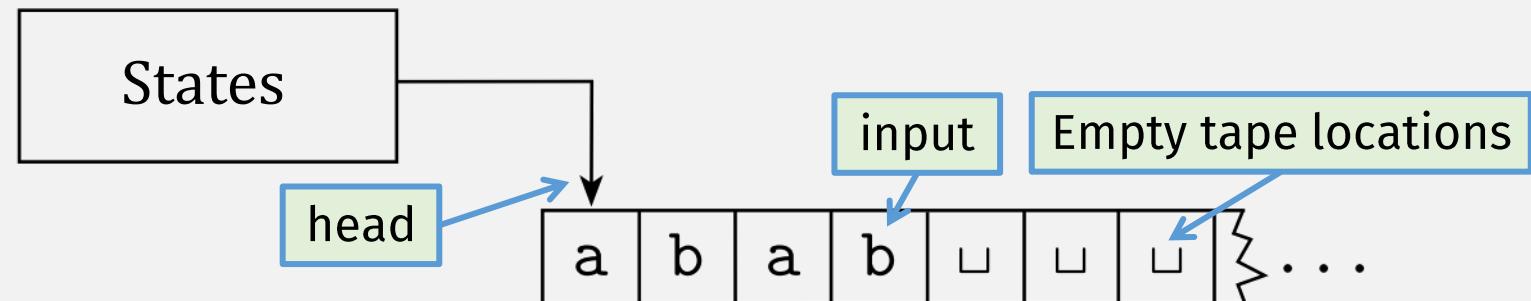
- First to formalize the models of computation we're studying
  - I.e., he invented this course
- Worked as codebreaker during WW2
- Also studied Artificial Intelligence
  - The Turing Test



# Finite Automata vs Turing Machines

- Turing Machines can read and write to arbitrary “tape” cells
  - Tape initially contains input string

- The tape is infinite



- Each step: “head” can move left or right
- A Turing Machine can accept/reject at any time

Call a language *Turing-recognizable* if some Turing machine recognizes it.

# Turing Machine Example

This is an informal TM description  
One “step” =  
multiple transitions

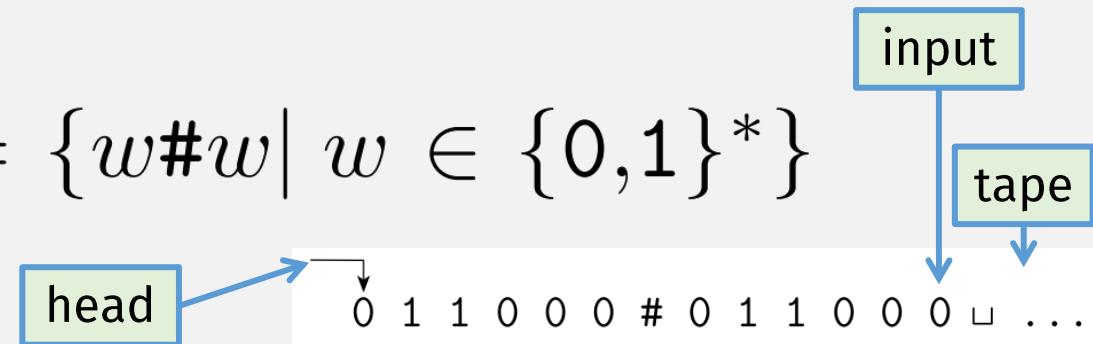
$M_1$  accepts inputs in language  $B = \{w\#w \mid w \in \{0,1\}^*\}$

$M_1$  = “On input string  $w$ :

1. Zig-zag across the tape to corresponding positions on either side of the  $\#$  symbol to check whether these positions contain the same symbol. If they do not, or if no  $\#$  is found, *reject*.

Cross off symbols as they are checked to keep track of which symbols correspond.

“Cross off” =  
write “x” char



# Turing Machine Example

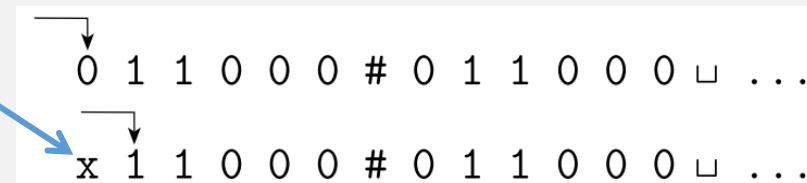
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# Turing Machine Example

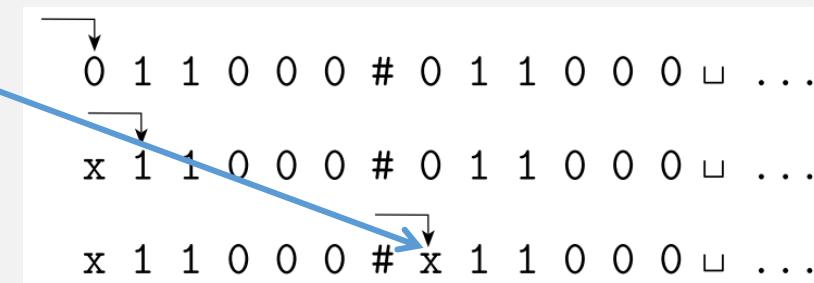
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# Turing Machine Example

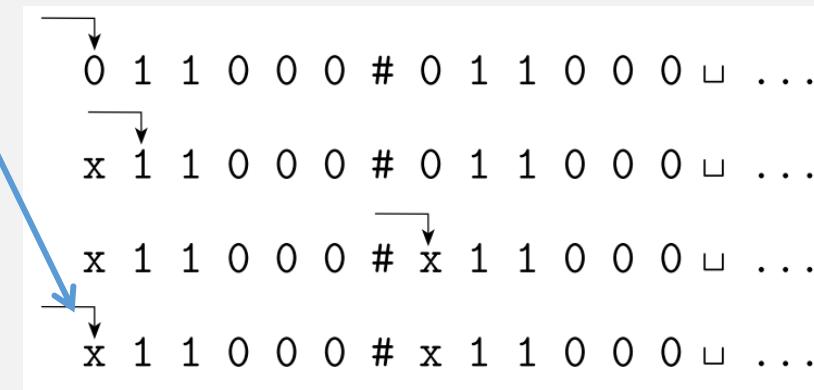
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Cross off symbols as they are checked to keep track of which symbols correspond.

“Cross off” =  
write “x” char

“zag” to start



# Turing Machine Example

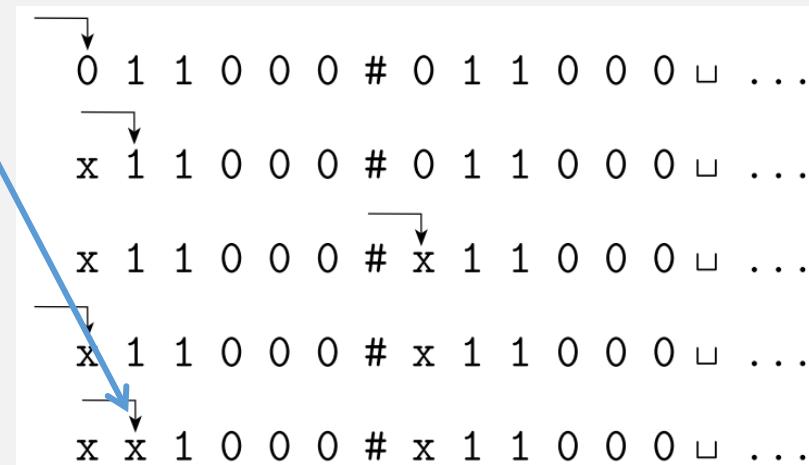
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Cross off symbols as they are checked to keep track of which symbols correspond.

“Cross off” =  
write “x” char

# Continue crossing off

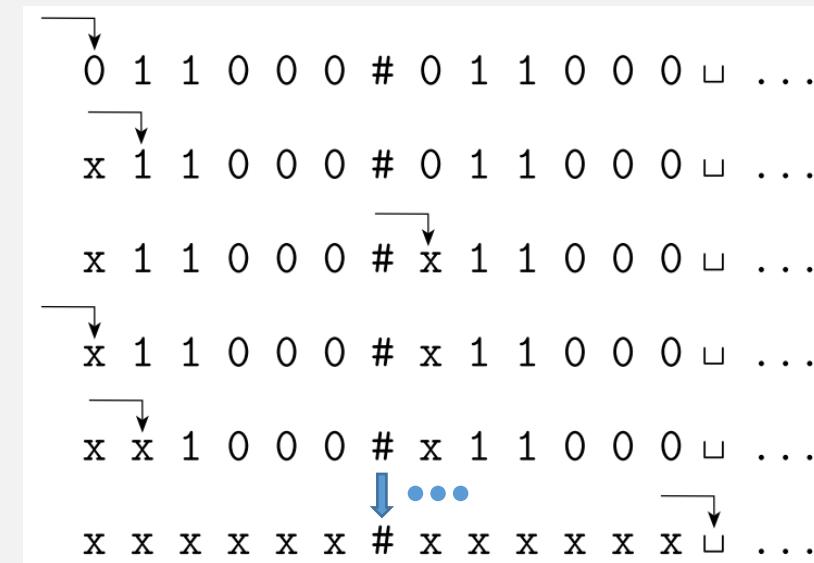


# Turing Machine Example

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  2. When all symbols to the left of the # have been crossed off, check for any remaining symbols to the right of the #. If any symbols remain, *reject*; otherwise, *accept*.”

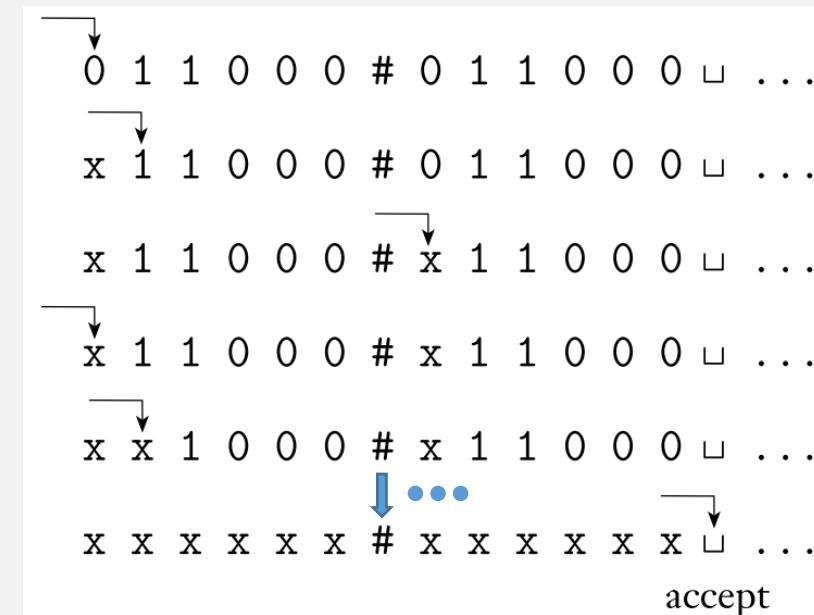


# Turing Machine Example

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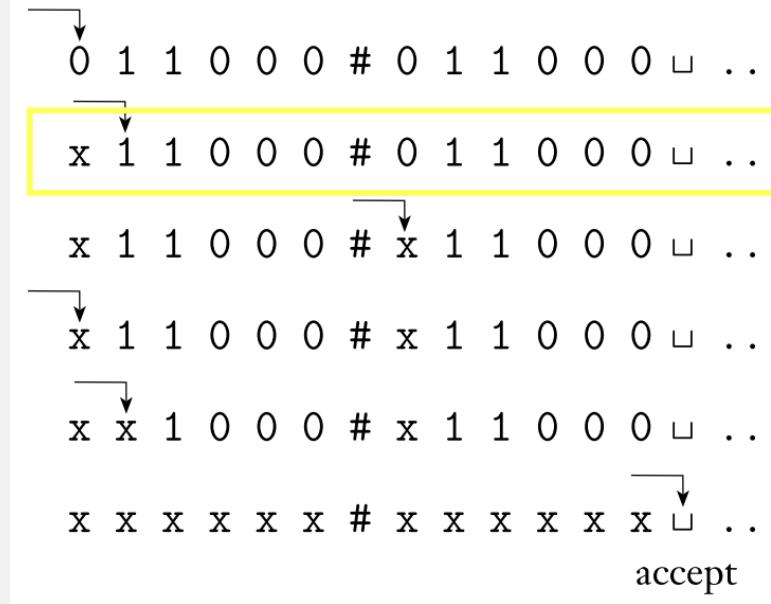
# Turing Machines: Formal Definition

A **Turing machine** is a 7-tuple,  $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ , where  $Q, \Sigma, \Gamma$  are all finite sets and

1.  $Q$  is the set of states,
2.  $\Sigma$  is the input alphabet not containing the **blank symbol**  $\sqcup$ ,
3.  $\Gamma$  is the tape alphabet, where  $\sqcup \in \Gamma$  and  $\Sigma \subseteq \Gamma$ ,
4.  $\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times \{\text{L}, \text{R}\}$  is the transition function,
5.  $q_0 \in Q$  is the start state,
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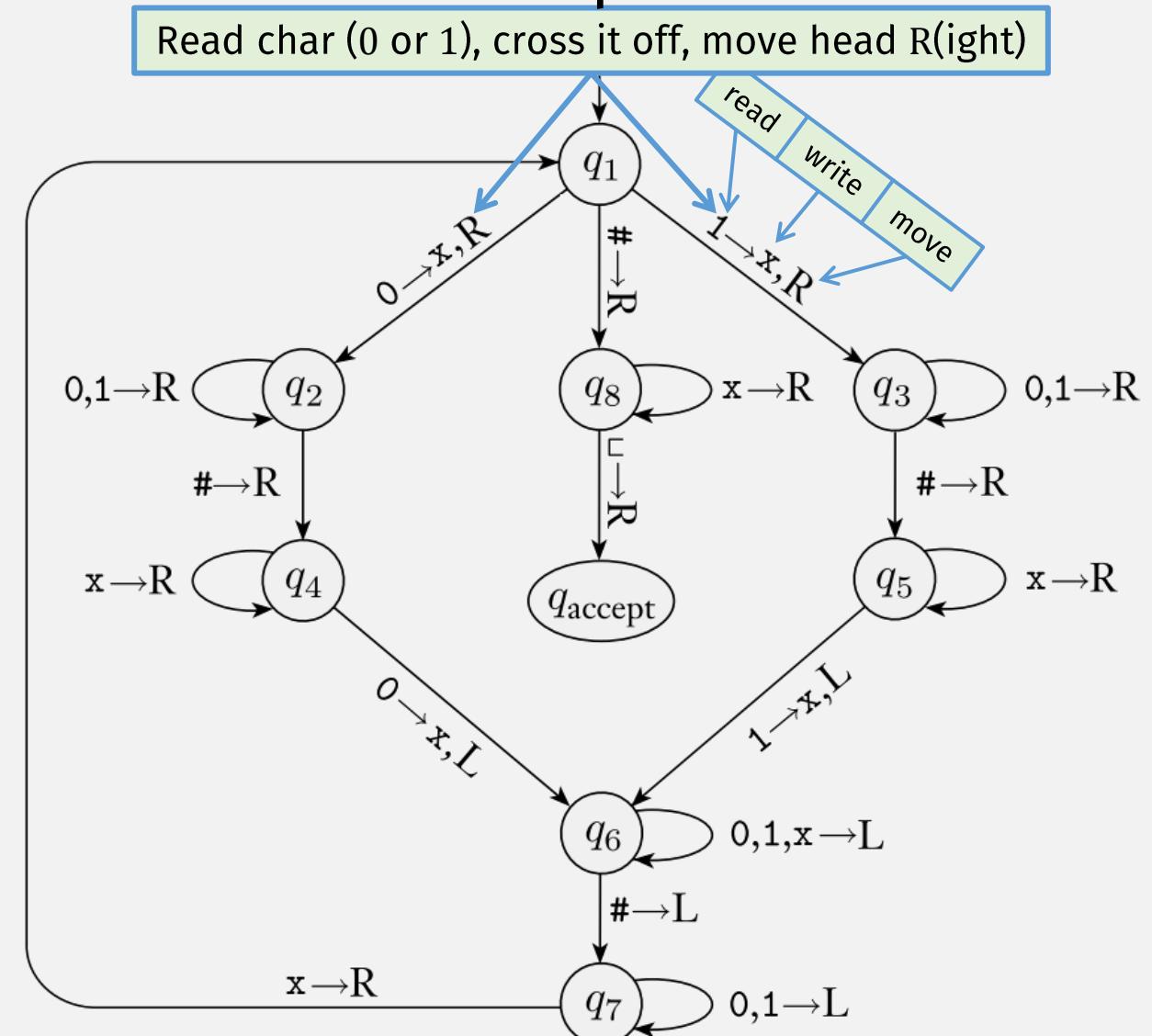
$$B = \{w\#w \mid w \in \{0,1\}^*\}$$

# Formal Turing Machine Example



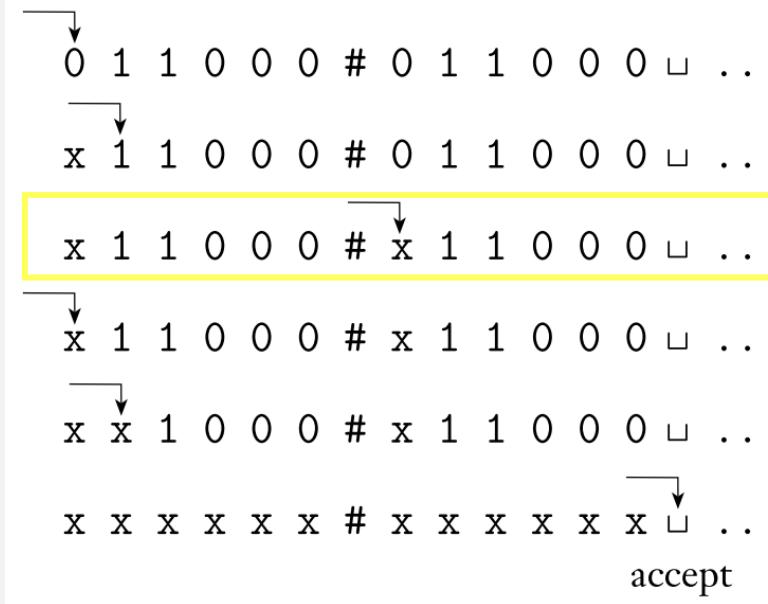
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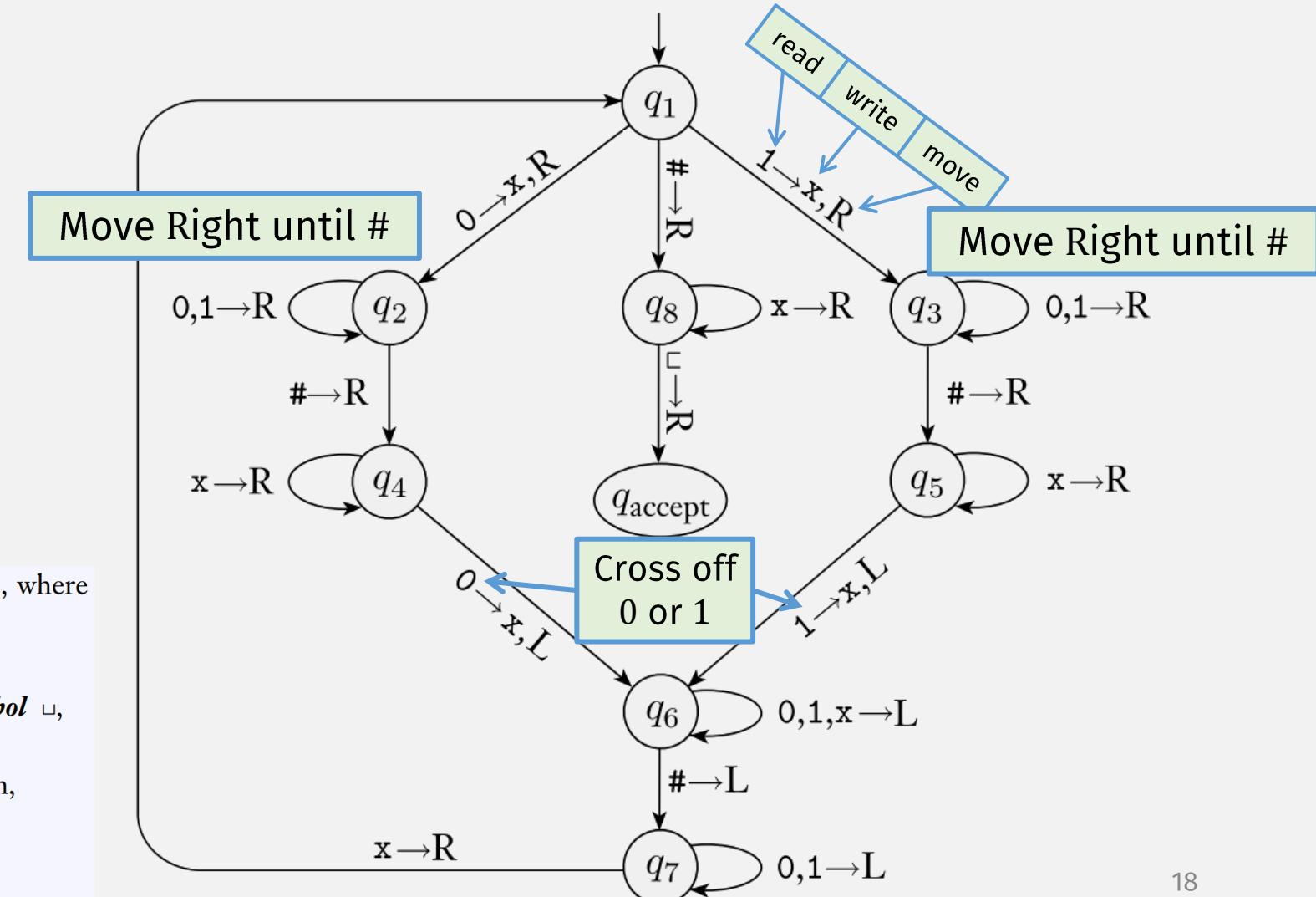
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# Formal Turing Machine Example



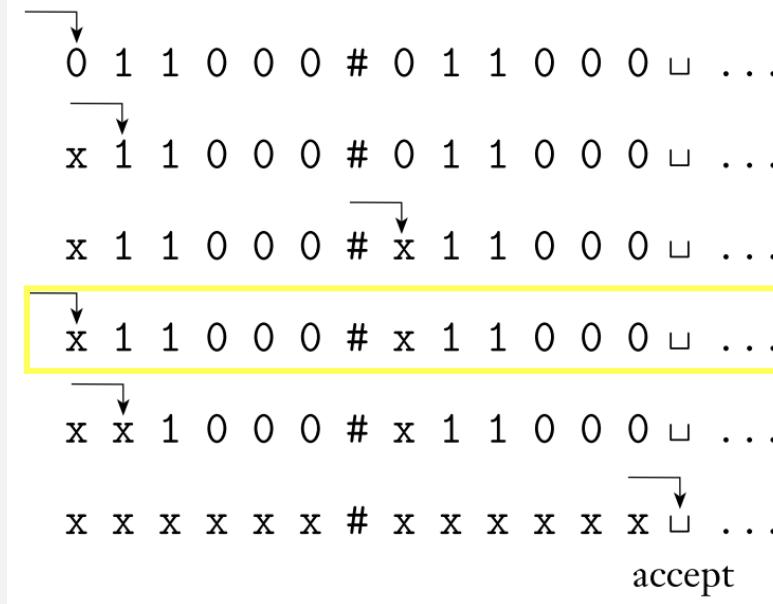
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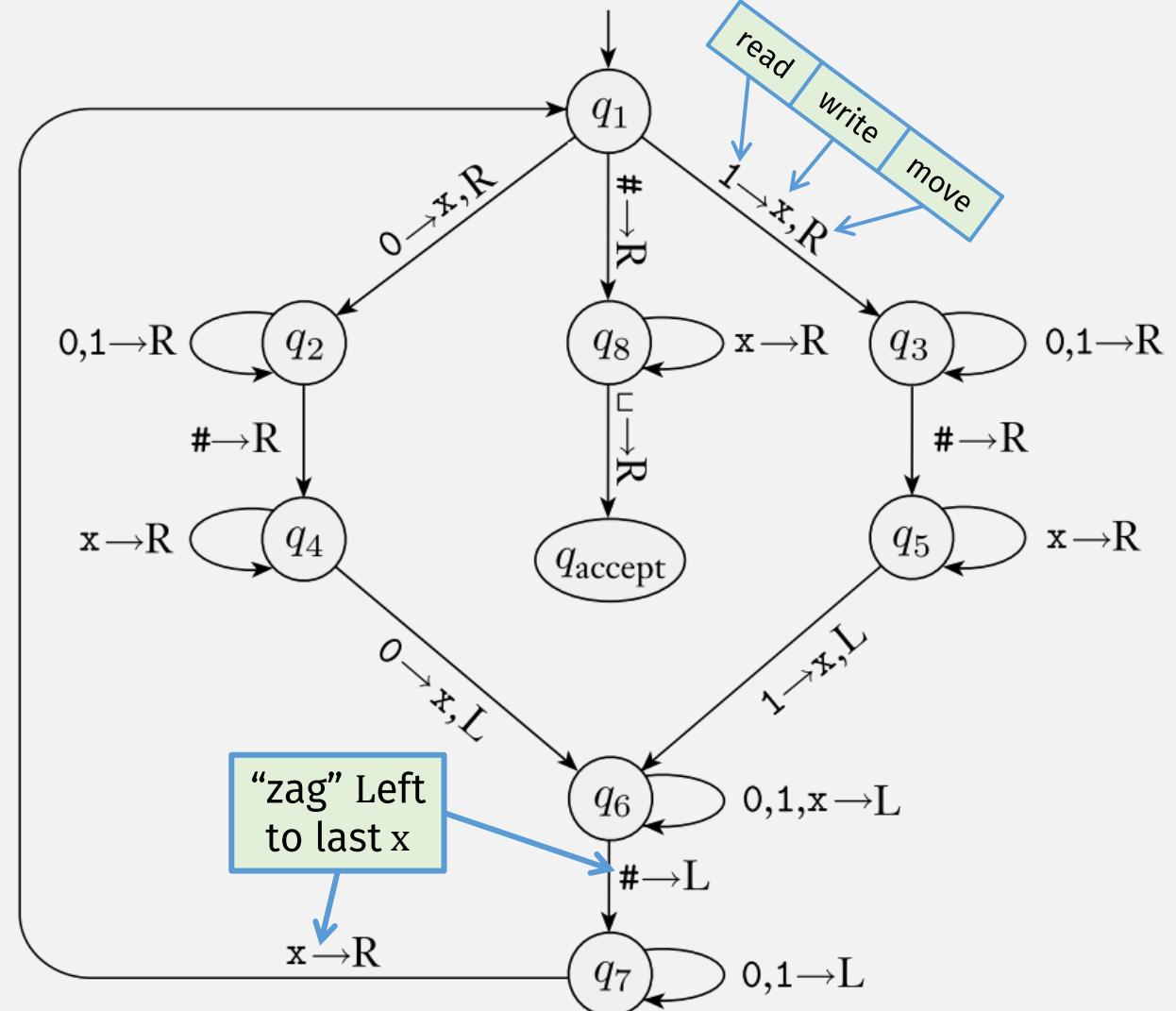
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# Formal Turing Machine Example



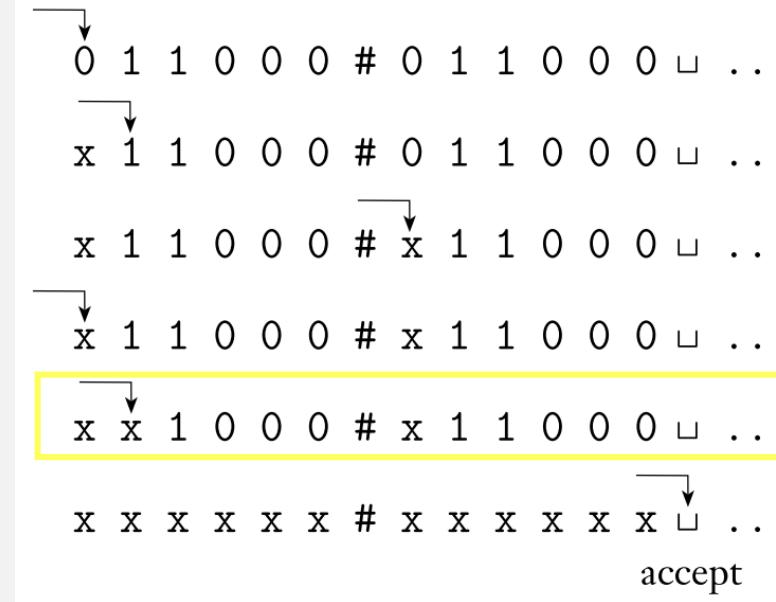
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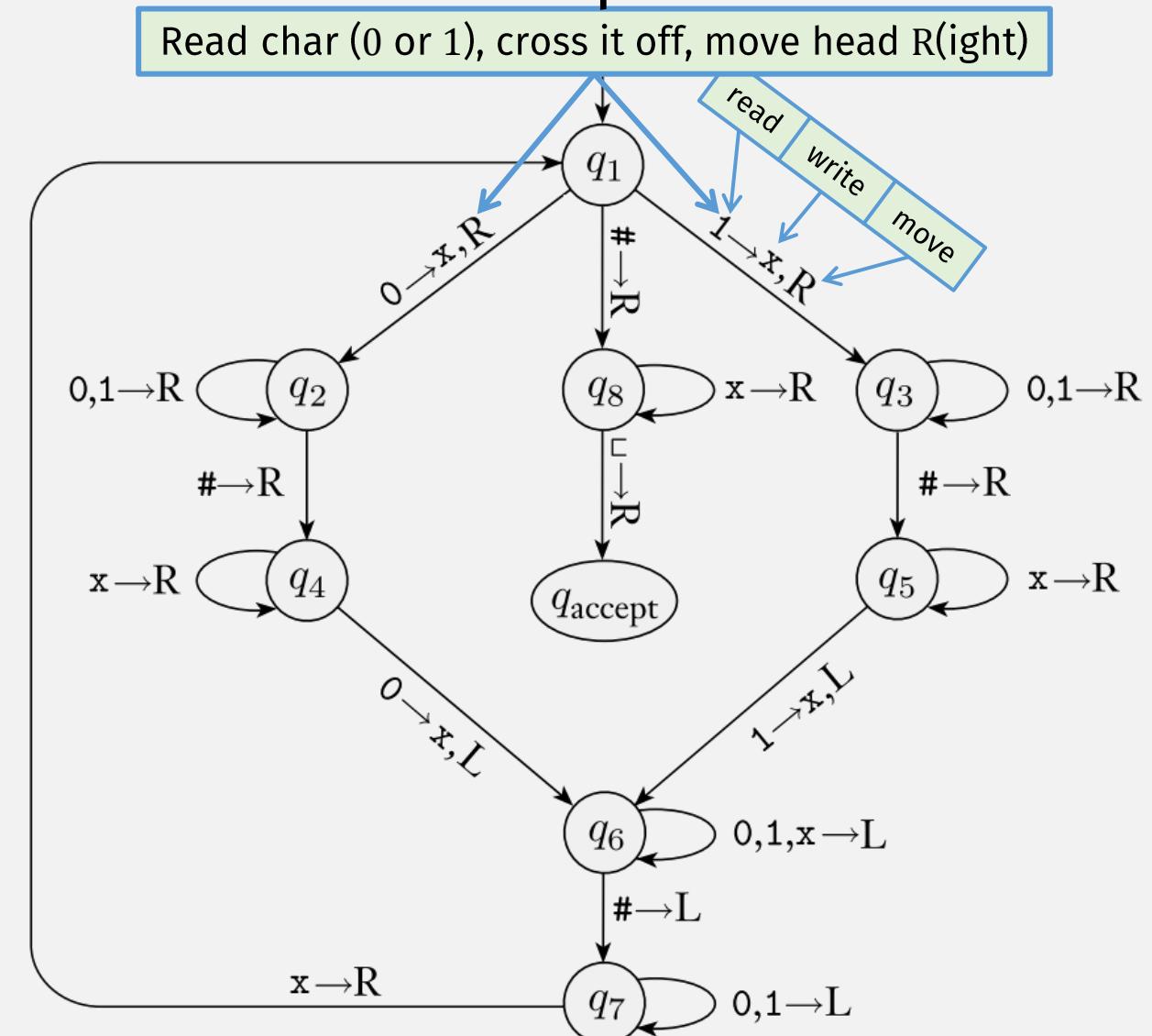
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# Formal Turing Machine Example



A **Turing machine** is a 7-tuple,  $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ , where  $Q, \Sigma, \Gamma$  are all finite sets and

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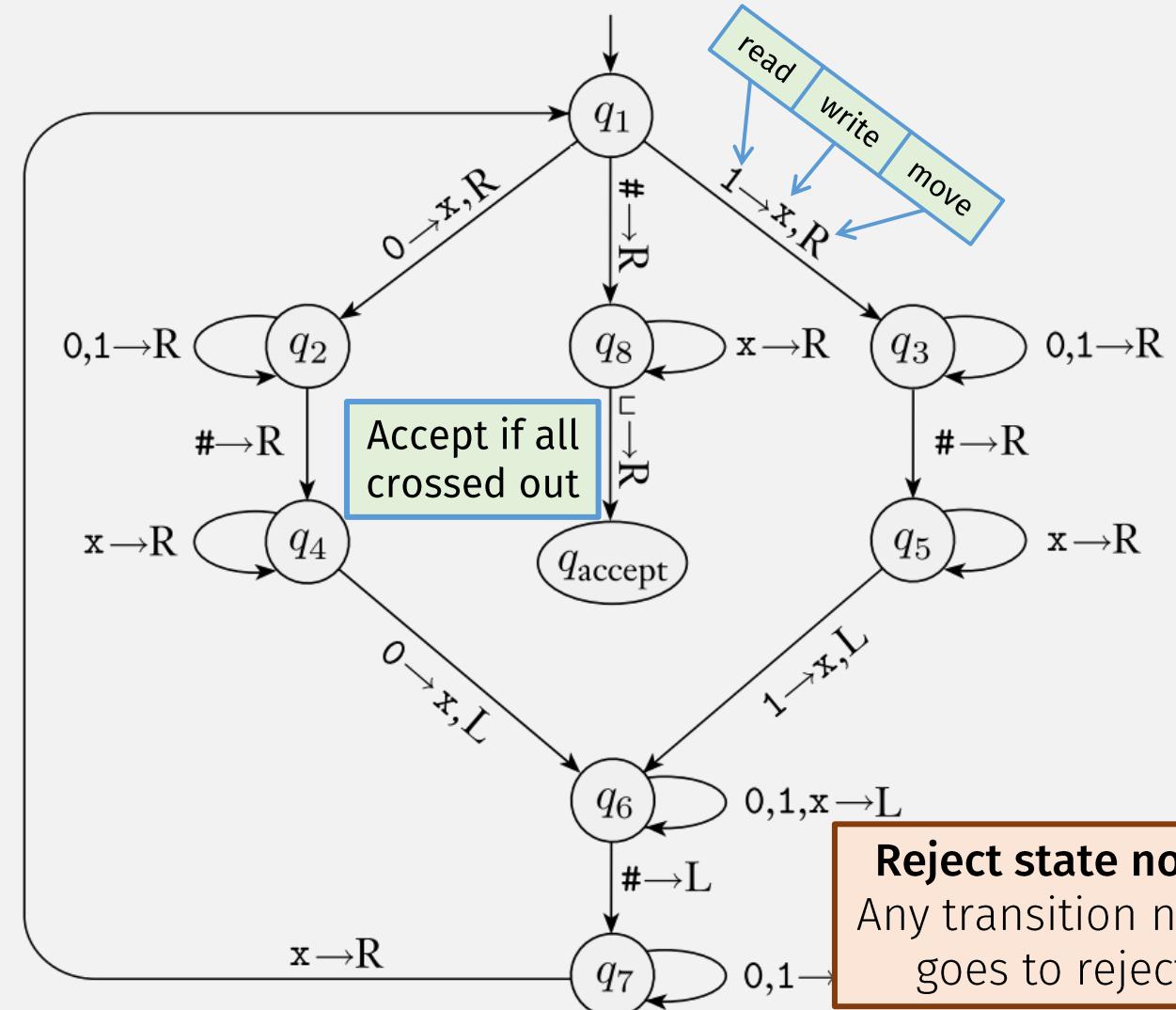
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# Formal Turing Machine Example



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# Turing Machine: Informal Description

- $M_1$  accepts if input is in language  $B = \{w\#w \mid w \in \{0,1\}^*\}$

$M_1$  = “On input string  $w$ :

1. Zig-zag across the tape to corresponding positions on either side of the # symbol to check whether these positions contain the same symbol. If they do not, reject. If no # is found, accept. Cross off symbols as they are checked off, in track of which symbols correspond.
2. When all symbols to the left of the # have been crossed off, check for any remaining symbols to the right of the #. If any symbols remain, reject; otherwise, accept.”

We will (mostly) stick to informal descriptions of Turing machines, like this one

# TM Informal Description: Caveats

- TM informal descriptions are not a “do whatever” card
  - They must still communicate the formal tuple
- Input must be a string, written with chars from finite alphabet
- An informal “step” represents a finite # of formal transitions
  - It cannot run forever
  - E.g., can’t say “try all numbers” as a “step”

# Non-halting Turing Machines (TMs)

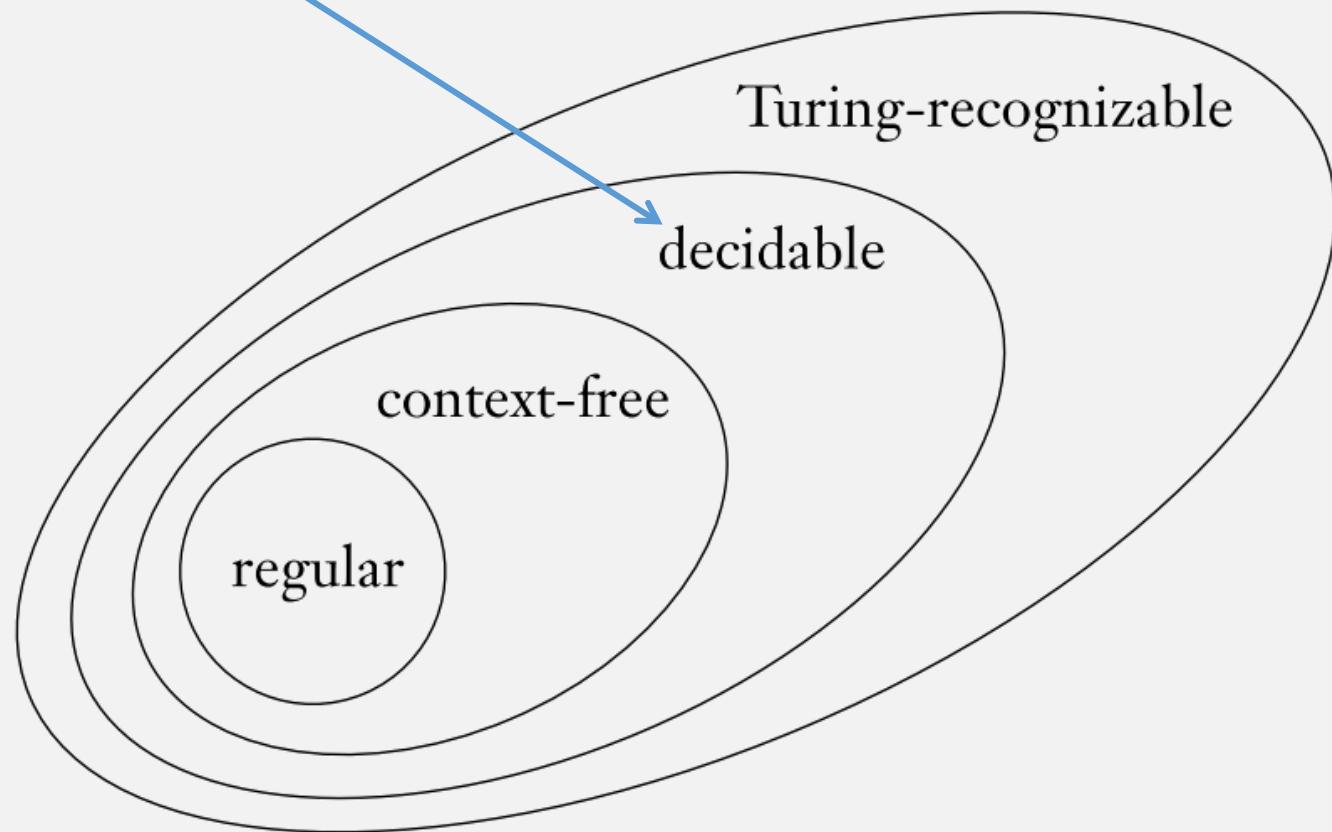
- A DFA, NFA, or PDA always halts
  - Because the (finite) input is always read exactly once
- But a Turing Machine can run forever
  - E.g., the head can move back and forth in a loop
- Thus, there are two classes of Turing Machines:
  - A **recognizer** is a Turing Machine that may run forever
  - A **decider** is a Turing Machine that always halts.

Call a language ***Turing-recognizable*** if some Turing machine recognizes it.

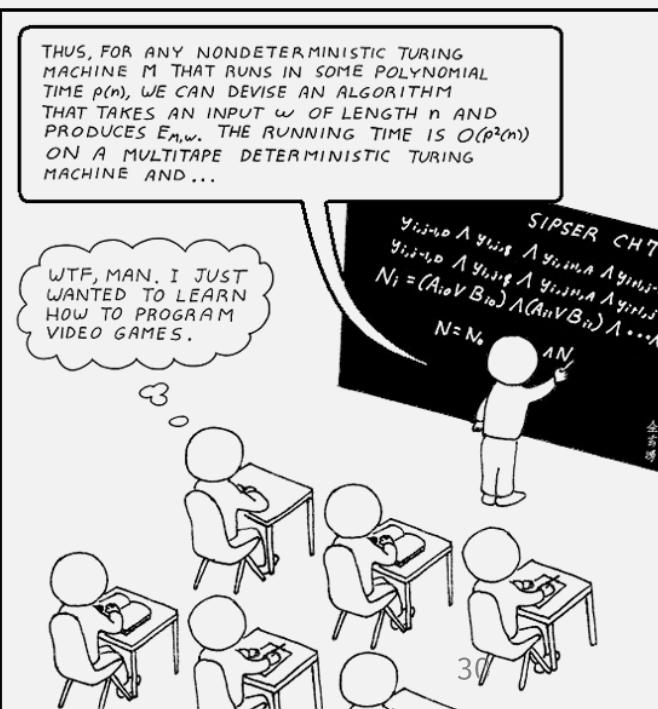
Call a language ***Turing-decidable*** or simply ***decidable*** if some Turing machine decides it.

# Formal Definition of an “Algorithm”

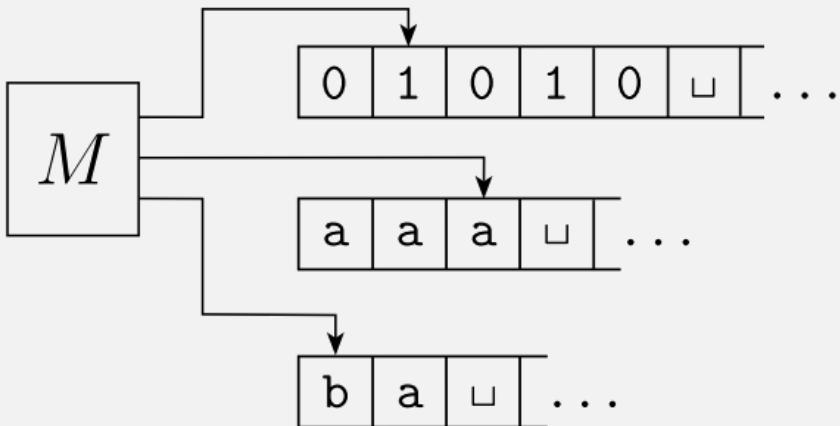
- An algorithm is equivalent to a Turing-decidable Language



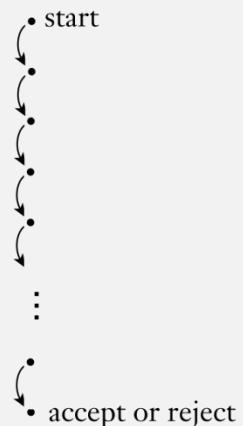
# Turing Machine Variants



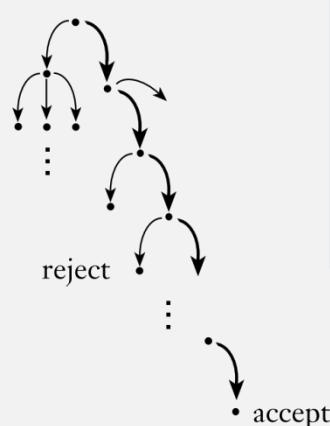
## 1. Multi-tape TMs



Deterministic computation



Nondeterministic computation



## 2. Non-deterministic TMs

We will prove that these TM variations are **equivalent to** deterministic, single-tape machines

# Reminder: Equivalence of Machines

- Two machines are equivalent when ...
- ... they recognize the same language

# Theorem: Single-tape TM $\Leftrightarrow$ Multi-tape TM

$\Rightarrow$  **If a single-tape TM recognizes a language, then a multi-tape TM recognizes the language**

- A single-tape TM is equivalent to ...
- ... a multi-tape TM that only uses one of its tapes
- **DONE!**

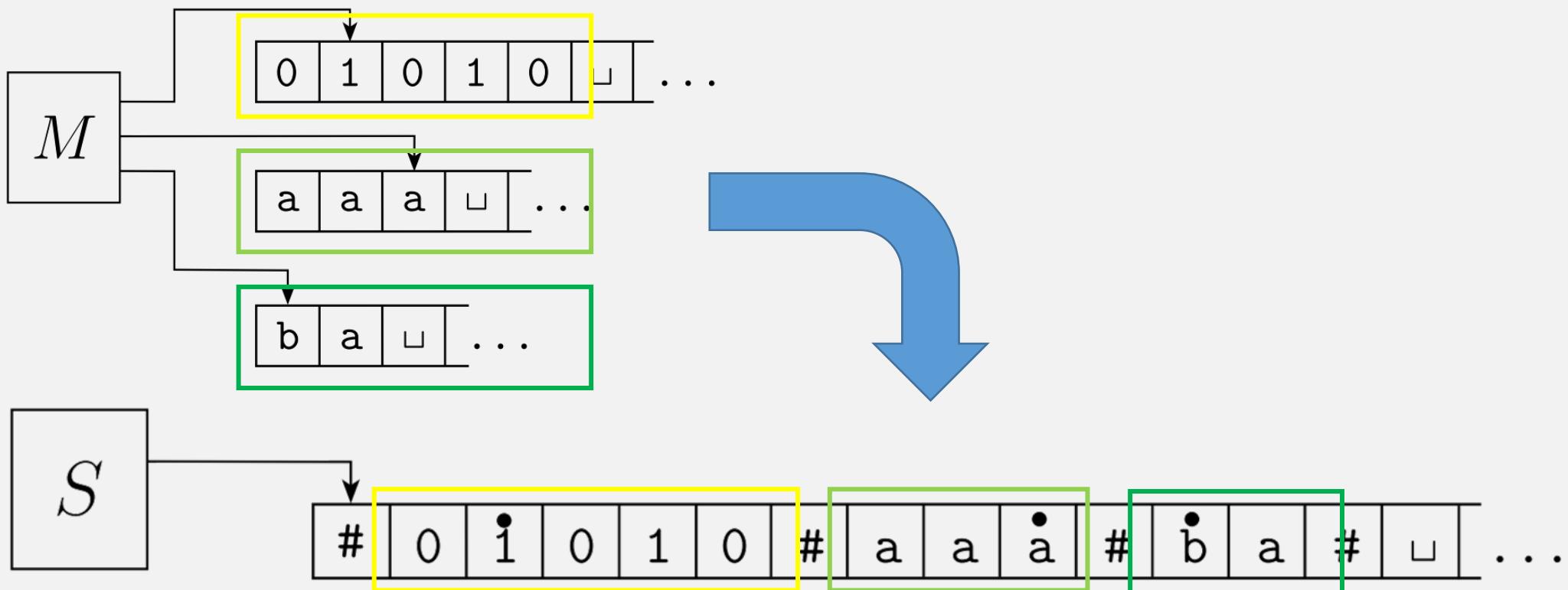
$\Leftarrow$  **If a multi-tape TM recognizes a language, then a single-tape TM recognizes the language**

- Convert multi-tape TM to single-tape TM

# Multi-tape TM $\rightarrow$ Single-tape TM

Idea: Use delimiter (#) on single-tape to simulate multiple tapes

- Add “dotted” version of every char to simulate multiple heads



# Theorem: Single-tape TM $\Leftrightarrow$ Multi-tape TM

**⇒ If a single-tape TM recognizes a language, then a multi-tape TM recognizes the language**

- A single-tape TM is equivalent to ...
- ... a multi-tape TM that only uses one of its tapes

**⇐ If a multi-tape TM recognizes a language, then a single-tape TM recognizes the language**

- Convert multi-tape TM to single-tape TM



# Non-Deterministic Turing Machines?

# Flashback: DFAs vs NFAs

A **finite automaton** is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where

1.  $Q$  is a finite set called the **states**,
2.  $\Sigma$  is a finite set called the **alphabet**,
3.  $\delta: Q \times \Sigma \rightarrow Q$  is the **transition function**,
4.  $q_0 \in Q$  is the **start state**, and
5.  $F \subseteq Q$  is the **set of accept states**.

VS

Nondeterministic  
transition produces set of  
possible next states

A **nondeterministic finite automaton**  
is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where

1.  $Q$  is a finite set of states,
2.  $\Sigma$  is a finite alphabet,
3.  $\delta: Q \times \Sigma_\epsilon \rightarrow \mathcal{P}(Q)$  is the transition function,
4.  $q_0 \in Q$  is the start state, and
5.  $F \subseteq Q$  is the set of accept states.

# *Remember:* Turing Machine Formal Definition

A **Turing machine** is a 7-tuple,  $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ , where  $Q, \Sigma, \Gamma$  are all finite sets and

1.  $Q$  is the set of states,
2.  $\Sigma$  is the input alphabet not containing the **blank symbol**  $\sqcup$ ,
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# Nondeterministic Turing Machine Formal Definition

A **Nondeterministic Turing Machine** is a 7-tuple,  $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ , where  $Q, \Sigma, \Gamma$  are all finite sets and

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2.  $\Sigma$  is the input alphabet not containing the **blank symbol**  $\sqcup$ ,
3.  $\Gamma$  is the tape alphabet, where  $\sqcup \in \Gamma$  and  $\Sigma \subseteq \Gamma$ ,
4.  ~~$\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times \{\text{L}, \text{R}\}$~~    $\delta: Q \times \Gamma \rightarrow \mathcal{P}(Q \times \Gamma \times \{\text{L}, \text{R}\})$
5.  $q_0 \in Q$  is the start state,
6.  $q_{\text{accept}} \in Q$  is the accept state, and
7.  $q_{\text{reject}} \in Q$  is the reject state, where  $q_{\text{reject}} \neq q_{\text{accept}}$ .

# Thm: Deterministic TM $\Leftrightarrow$ Non-det. TM

$\Rightarrow$  **If a deterministic TM recognizes a language, then a nondeterministic TM recognizes the language**

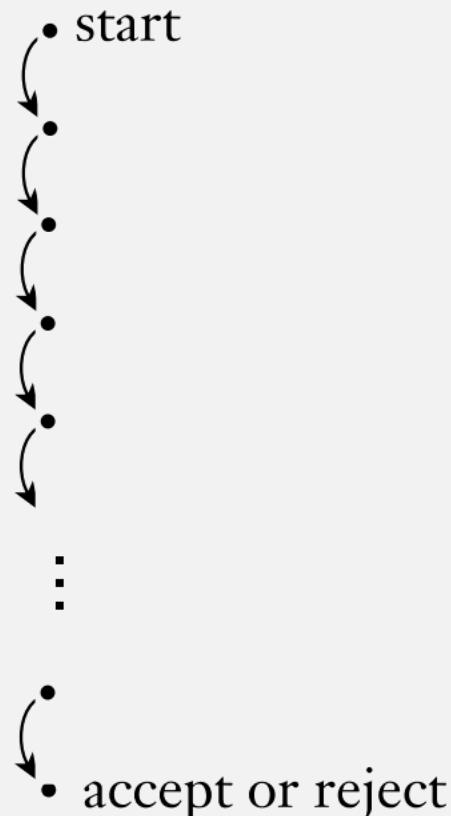
- To convert Deterministic TM  $\rightarrow$  Non-deterministic TM ...
- ... change Deterministic TM delta fn output to a one-element set
  - (just like conversion of DFA to NFA)
- **DONE!**

$\Leftarrow$  **If a nondeterministic TM recognizes a language, then a deterministic TM recognizes the language**

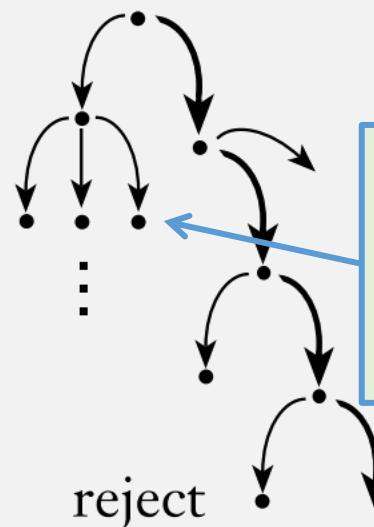
- To convert Non-deterministic TM  $\rightarrow$  Deterministic TM ...
- ... ???

# Review: Nondeterminism

Deterministic  
computation



Nondeterministic  
computation



In nondeterministic  
computation, every  
step can branch into  
a set of states

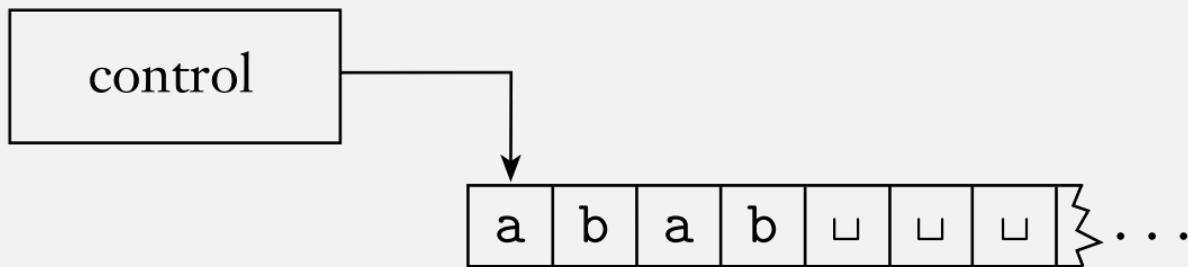
What is a “state”  
for a TM?

$$\delta: Q \times \Gamma \longrightarrow \mathcal{P}(Q \times \Gamma \times \{\text{L}, \text{R}\})$$

## *Flashback:* PDA Configurations (IDs)

- A **configuration** (or **ID**) is a snapshot of a PDA's computation
- A configuration (or ID)  $(q, w, \gamma)$  has three components:
  - $q$  = the current state
  - $w$  = the remaining input string
  - $\gamma$  = the stack contents

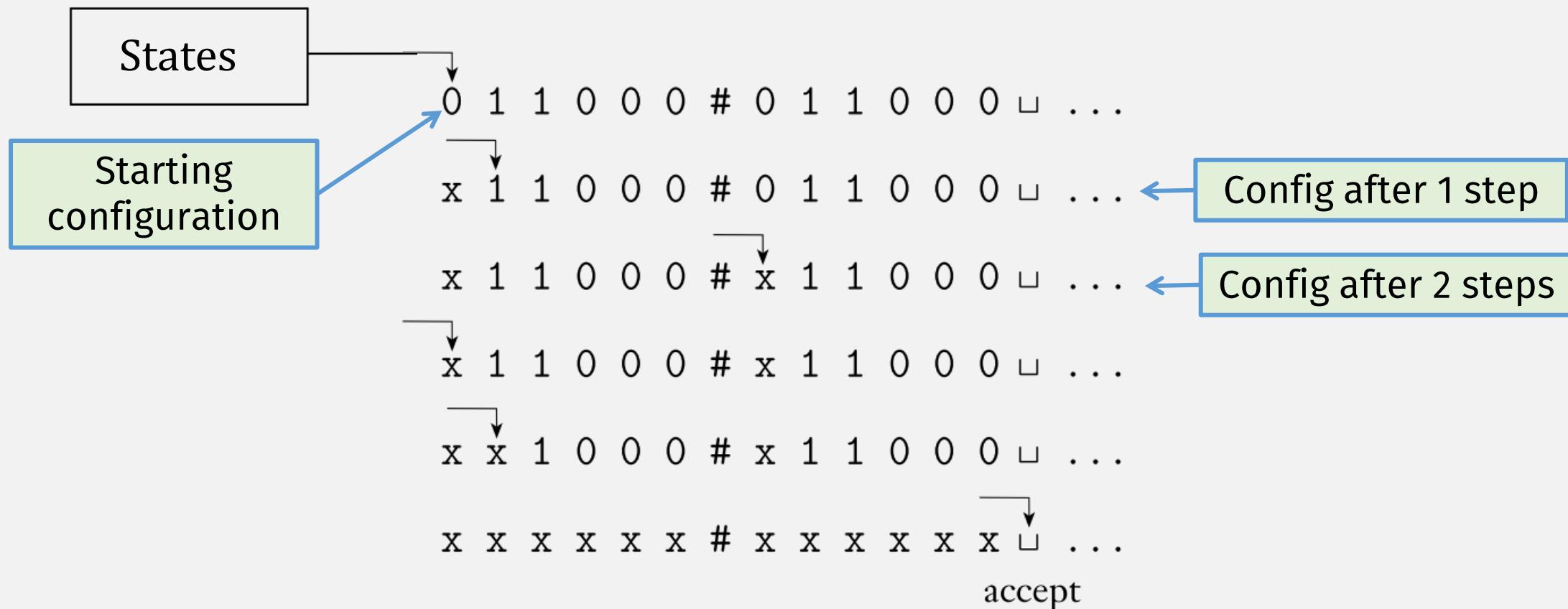
# TM Configuration (ID) = ???



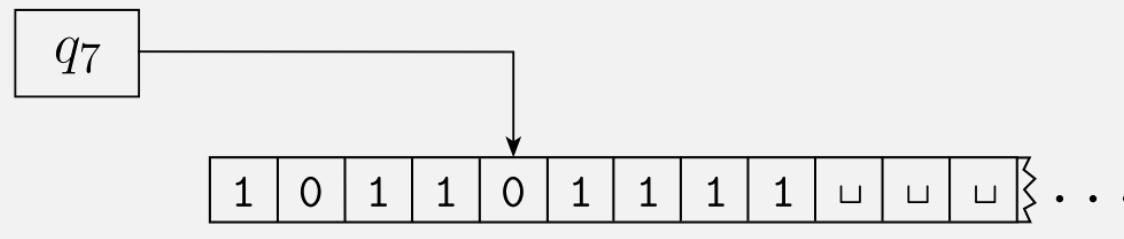
A **Turing machine** is a 7-tuple,  $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ , where  $Q, \Sigma, \Gamma$  are all finite sets and

1.  $Q$  is the set of states,
2.  $\Sigma$  is the input alphabet not containing the **blank symbol**  $\square$ ,
3.  $\Gamma$  is the tape alphabet, where  $\square \in \Gamma$  and  $\Sigma \subseteq \Gamma$ ,
4.  $\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$  is the transition function,
5.  $q_0 \in Q$  is the start state,
6.  $q_{\text{accept}} \in Q$  is the accept state, and
7.  $q_{\text{reject}} \in Q$  is the reject state, where  $q_{\text{reject}} \neq q_{\text{accept}}$ .

# TM Configuration = State + Head + Tape



# TM Configuration = State + Head + Tape



1011 $q_7$ 01111

Textual representation of “configuration” (use this in HW)

1<sup>st</sup> char after state is current head position

# “Running” an Input String on a TM

$$M = (Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$$

## Single-step

(Right)  $\alpha q_1 \mathbf{a} \beta \vdash \alpha \mathbf{x} q_2 \beta$

if  $q_1, q_2 \in Q$   
 $\delta(q_1, \mathbf{a}) = (q_2, \mathbf{x}, R)$   
read      write

(Left)  $\alpha b q_1 \mathbf{a} \beta \vdash \alpha q_2 b \mathbf{x} \beta$

if  $\delta(q_1, \mathbf{a}) = (q_2, \mathbf{x}, L)$

Edge cases:  $q_1 \mathbf{a} \beta \vdash q_2 \mathbf{x} \beta$

if  $\delta(q_1, \mathbf{a}) = (q_2, \mathbf{x}, L)$

$\alpha q_1 \vdash \alpha \sqcup q_2$

if  $\delta(q_1, \sqcup) = (q_2, \sqcup, R)$

## Extended

- Base Case

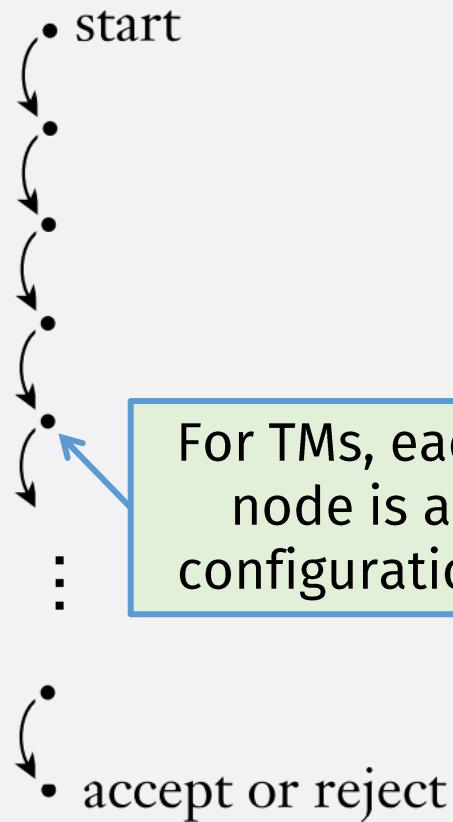
$I \vdash^* I$  for any ID  $I$

- Recursive Case

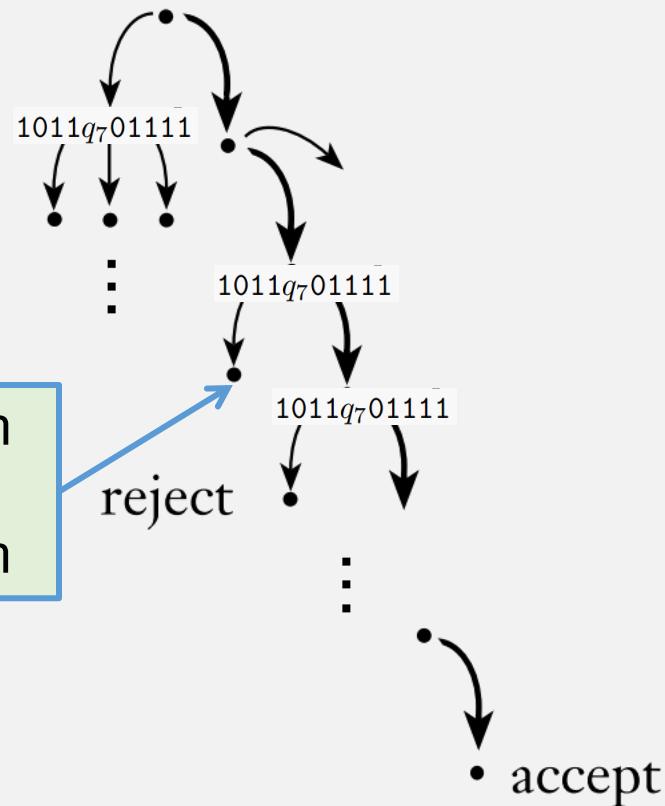
$I \vdash^* J$  if there exists some ID  $K$   
such that  $I \vdash K$  and  $K \vdash^* J$

# Nondeterminism in TMs

Deterministic  
computation



Nondeterministic  
computation



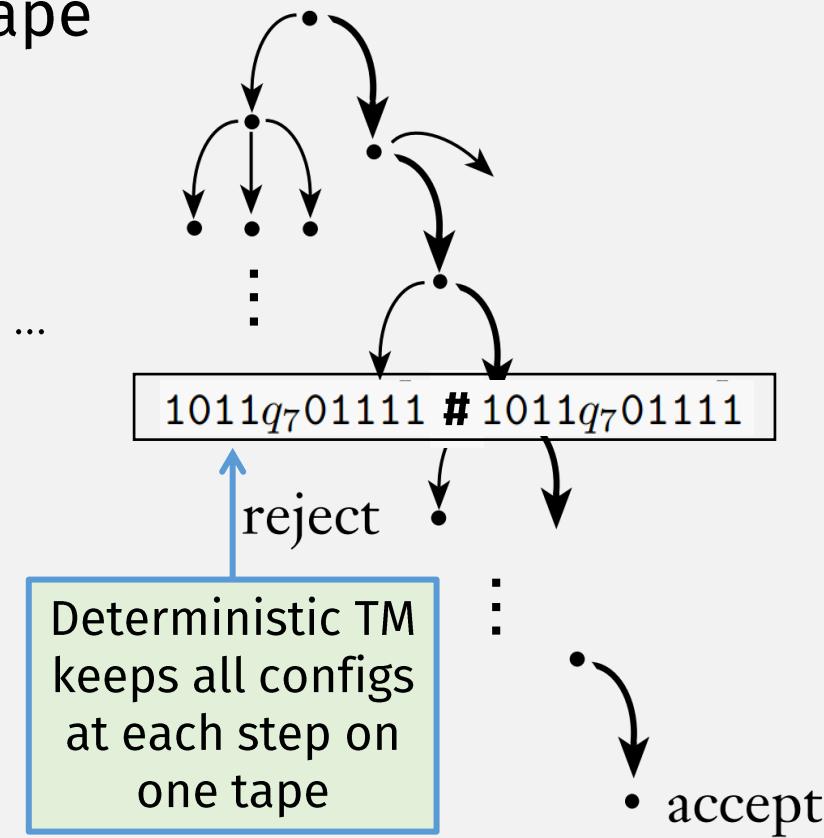
For TMs, each  
node is a  
configuration

# Nondeterministic TM → Deterministic

1<sup>st</sup> way

- Simulate NTM with Det. TM:
  - Det. TM keeps multiple configs single tape
    - Like how single-tape TM simulates multi-tape
  - Then run all configs, in parallel
    - I.e., 1 step on one config, 1 step on the next, ...
  - Accept if any accepting config is found
  - **Important:**
    - Why must we step configs in parallel?

Nondeterministic computation



# Interlude: Running TMs inside other TMs

## Exercise:

- Given TMs  $M_1$  and  $M_2$ , create TM  $M$  that accepts if either  $M_1$  or  $M_2$  accept

### Possible solution #1:

- $M$  = on input  $x$ ,
  - Run  $M_1$  on  $x$ , accept if  $M_1$  accepts
  - Run  $M_2$  on  $x$ , accept if  $M_2$  accepts

$M_1$	$M_2$	$M$
reject	accept	accept
accept	reject	accept <input checked="" type="checkbox"/>



Note: This solution would be ok if we knew  $M_1$  and  $M_2$  were **deciders** (which halt on all inputs)

# Interlude: Running TMs inside other TMs

## Exercise:

- Given TMs  $M_1$  and  $M_2$ , create TM  $M$  that accepts if either  $M_1$  or  $M_2$  accept

### Possible solution #1:

- $M$  = on input  $x$ ,
  - Run  $M_1$  on  $x$ , accept if  $M_1$  accepts
  - Run  $M_2$  on  $x$ , accept if  $M_2$  accepts

$M_1$	$M_2$	$M$
reject	accept	accept
accept	reject	accept <input checked="" type="checkbox"/>
accept	loops	accept
loops	accept	loops <input checked="" type="checkbox"/>

### Possible solution #2:

- $M$  = on input  $x$ ,
  - Run  $M_1$  and  $M_2$  on  $x$  in parallel, i.e.,
    - Run  $M_1$  on  $x$  for 1 step, accept if  $M_1$  accepts
    - Run  $M_2$  on  $x$  for 1 step, accept if  $M_2$  accepts
    - Repeat

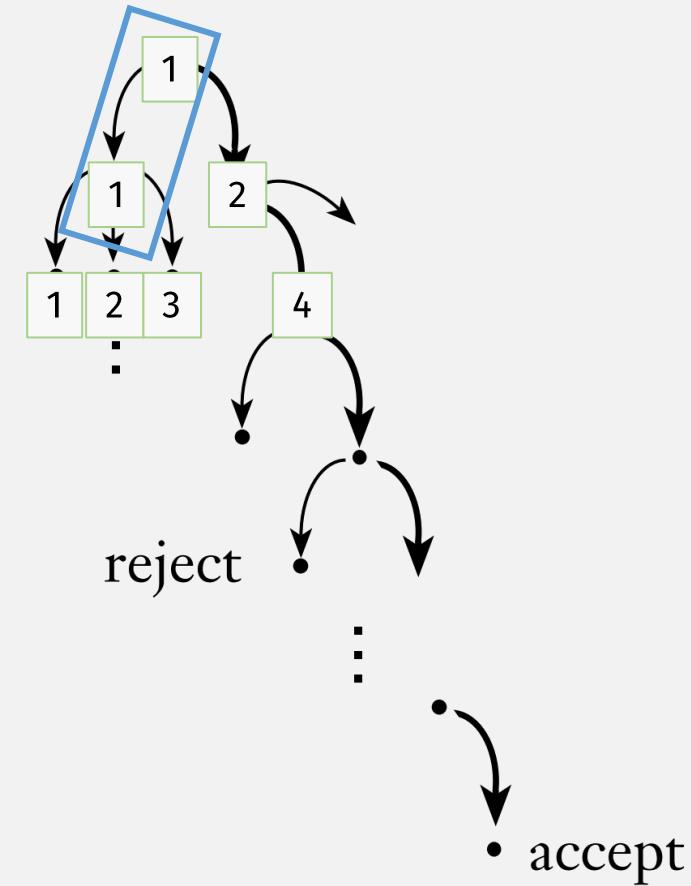
$M_1$	$M_2$	$M$
reject	accept	accept
accept	reject	accept <input checked="" type="checkbox"/>
accept	loops	accept
loops	accept	accept <input checked="" type="checkbox"/>

# Nondeterministic TM → Deterministic

2<sup>nd</sup> way  
(Sipser)

- Simulate NTM with Det. TM:
  - Number the nodes at each step
  - Deterministically check every tree path, in breadth-first order
    - 1
    - 1-1

Nondeterministic computation

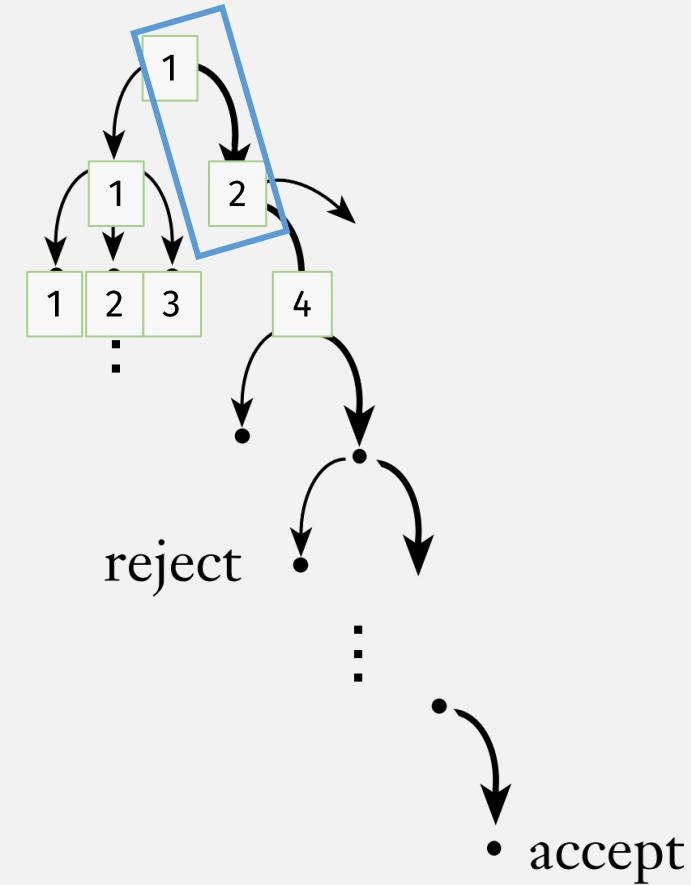


# Nondeterministic TM → Deterministic

2<sup>nd</sup> way  
(Sipser)

- Simulate NTM with Det. TM:
  - Number the nodes at each step
  - Deterministically check every tree path, in breadth-first order
    - 1
    - 1-1
    - 1-2

Nondeterministic computation

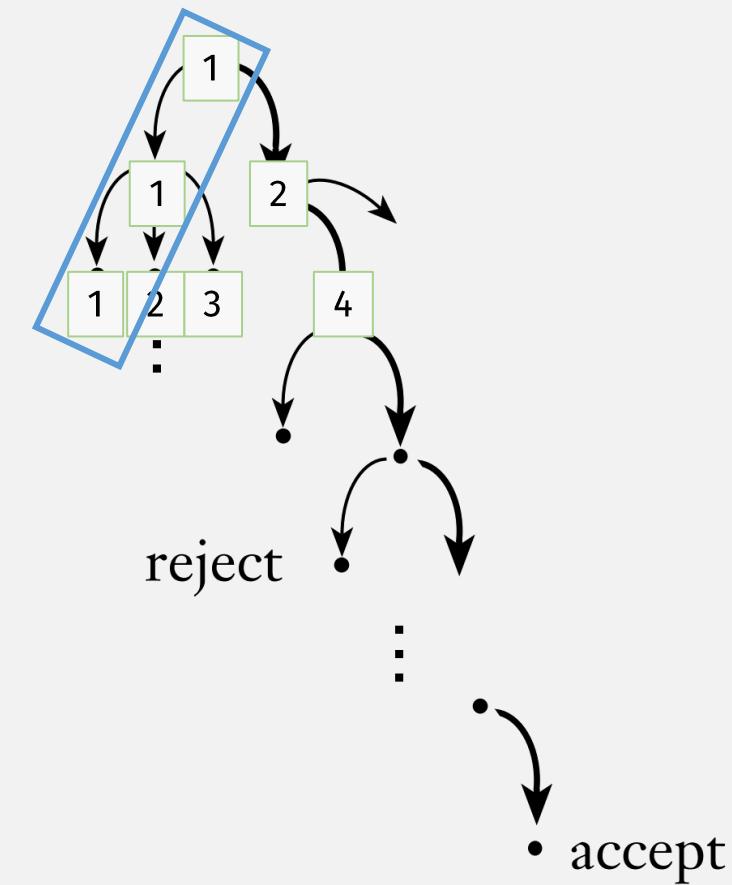


# Nondeterministic TM → Deterministic

2<sup>nd</sup> way  
(Sipser)

- Simulate NTM with Det. TM:
  - Number the nodes at each step
  - Deterministically check every tree path, in breadth-first order
    - 1
    - 1-1
    - 1-2
    - 1-1-1

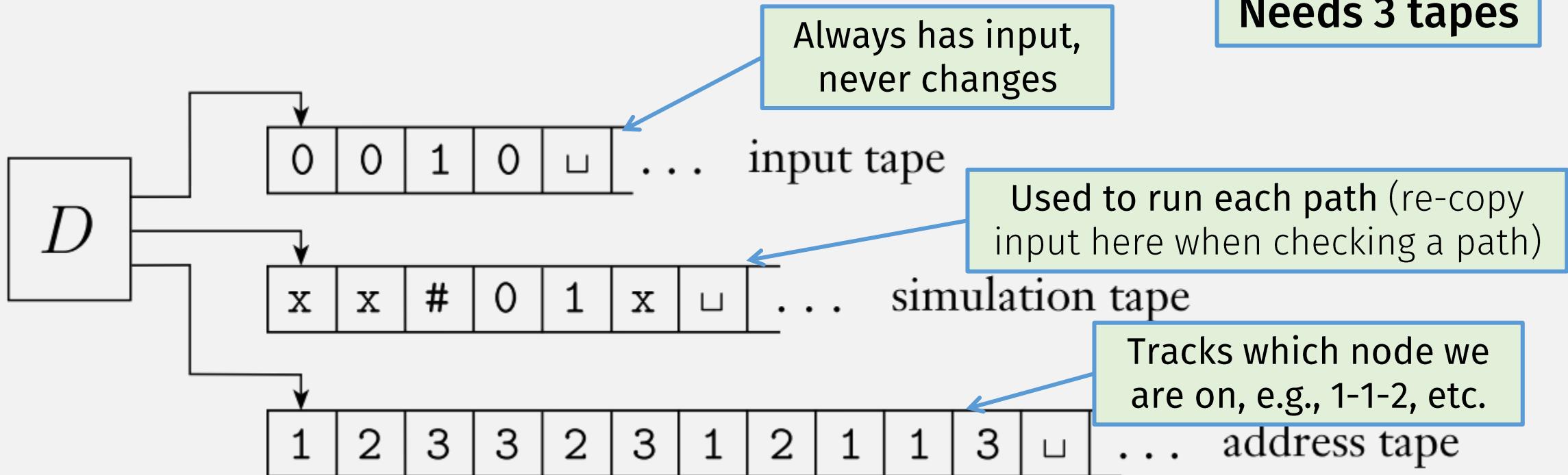
Nondeterministic computation



# Nondeterministic TM → Deterministic

2<sup>nd</sup> way  
(Sipser)

Needs 3 tapes



# Nondeterministic TM $\Leftrightarrow$ Deterministic TM

- => **If a deterministic TM recognizes a language, then a nondeterministic TM recognizes the language**
  - To convert Deterministic TM  $\rightarrow$  Non-deterministic TM ...
  - ... change Deterministic TM delta fn output to a one-element set
    - (just like conversion of DFA to NFA)
- <= **If a nondeterministic TM recognizes a language, then a deterministic TM recognizes the language**
  - Convert Nondeterministic TM  $\rightarrow$  Deterministic TM



# Conclusion: These are All Equivalent TMs!

- Single-tape Turing Machine
- Multi-tape Turing Machine
- Non-deterministic Turing Machine

# **Check-in Quiz 10/13**

On gradescope