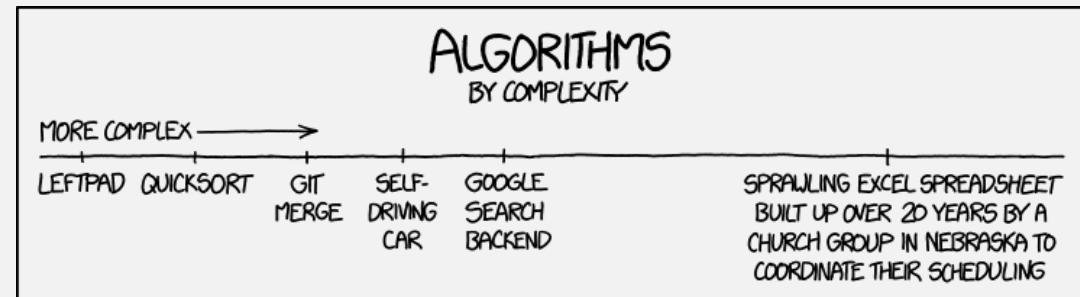


**UMB CS622**

# Time Complexity

Monday, November 8, 2021



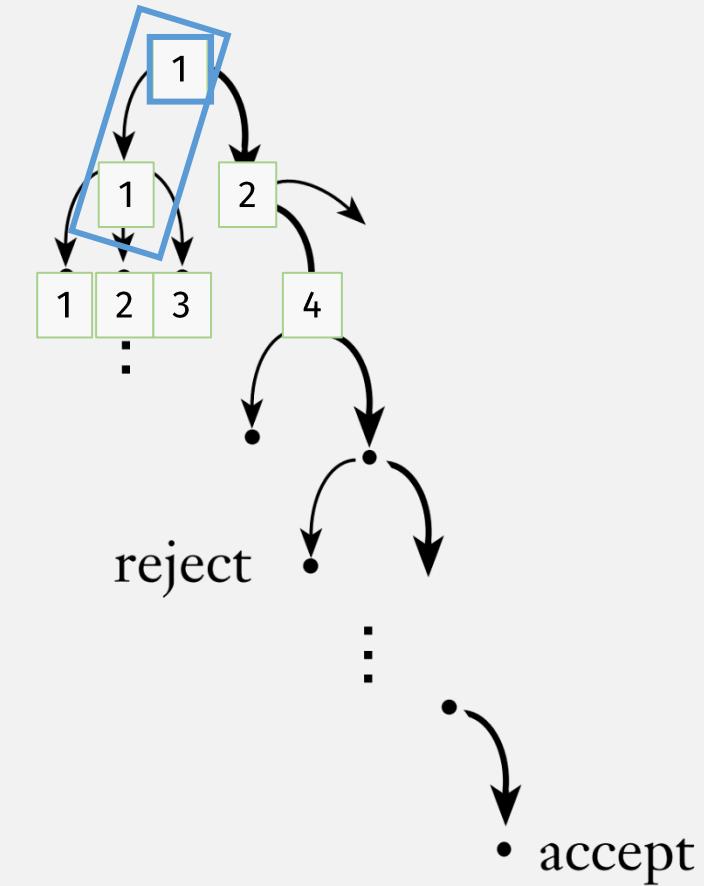
## *Announcements*

- HW7 due Wed 11:59pm EST
- Submit “HW Solution Plans” to Piazza
  - Not at the last minute please
- HW5 grades returned

# *Flashback:* Nondet. TM → Deterministic TM

- To simulate NTM with Det. TM:
  - Number the nodes at each step
  - Deterministically check every tree path, in breadth-first order
    - Root node: 1
    - 1-1

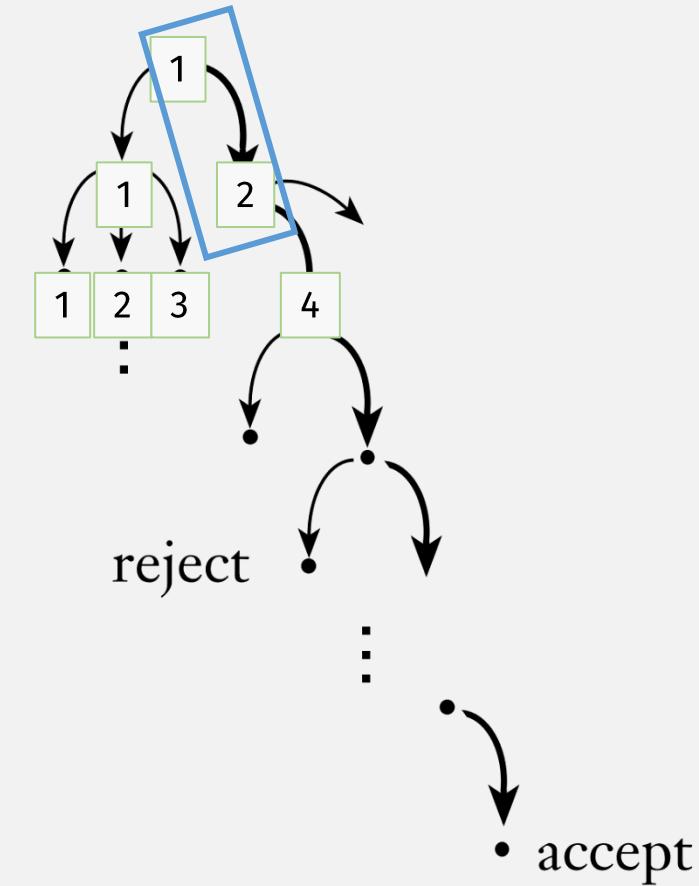
Nondeterministic computation



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  - Number the nodes at each step
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Nondeterministic computation



# Flashback: Nondet. TM → Deterministic TM

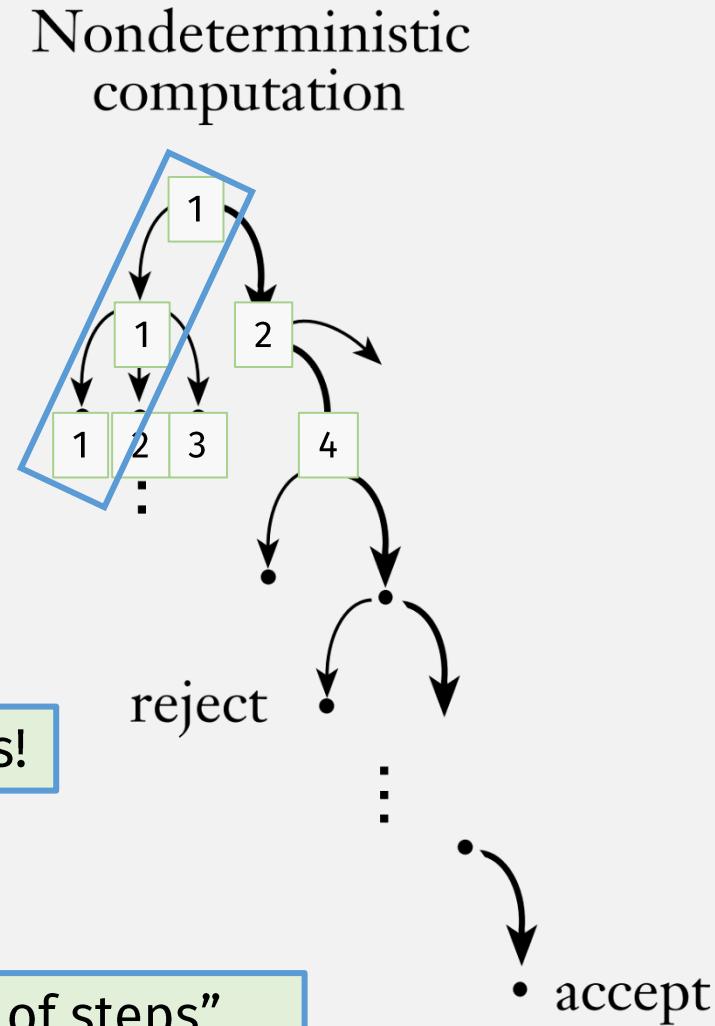
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  - Number the nodes at each step
  - Deterministically check every tree path, in breadth-first order
    - Root node: 1
    - 1-1
    - 1-2
    - 1-1-1

A TM and a NTM are “equivalent” ...

.. but not if we care about the # of steps!

So how inefficient is it?

First, we need a formal way to count “# of steps” ...



# A Simpler Example: $A = \{0^k 1^k \mid k \geq 0\}$

$M_1$  = “On input string  $w$ :

1. Scan across the tape and *reject* if a 0 is found to the right of a 1.
2. Repeat if both 0s and 1s remain on the tape:
  3. Scan across the tape, crossing off a single 0 and a single 1.
  4. If 0s still remain after all the 1s have been crossed off, or if 1s still remain after all the 0s have been crossed off, *reject*. Otherwise, if neither 0s nor 1s remain on the tape, *accept*.”

# of steps (worst case),  $n$  = length of input:

➤ TM Line 1:

- $n$  steps to scan +  $n$  steps to return to beginning =  $2n$  steps

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# of steps (worst case),  $n$  = length of input:

- TM Line 1:
  - $n$  steps to scan +  $n$  steps to return to beginning =  $2n$  steps
- Lines 2-3 (loop):
  - steps/iteration (line 3):  $n/2$  steps to find “1” +  $n/2$  steps to return =  $n$  steps
  - # iterations (line 2): Each scan crosses off 2 chars, so at most  $n/2$  scans
  - Total = steps/iteration \* # iterations =  $n(n/2) = n^2/2$  steps

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$M_1$  = “On input string  $w$ :

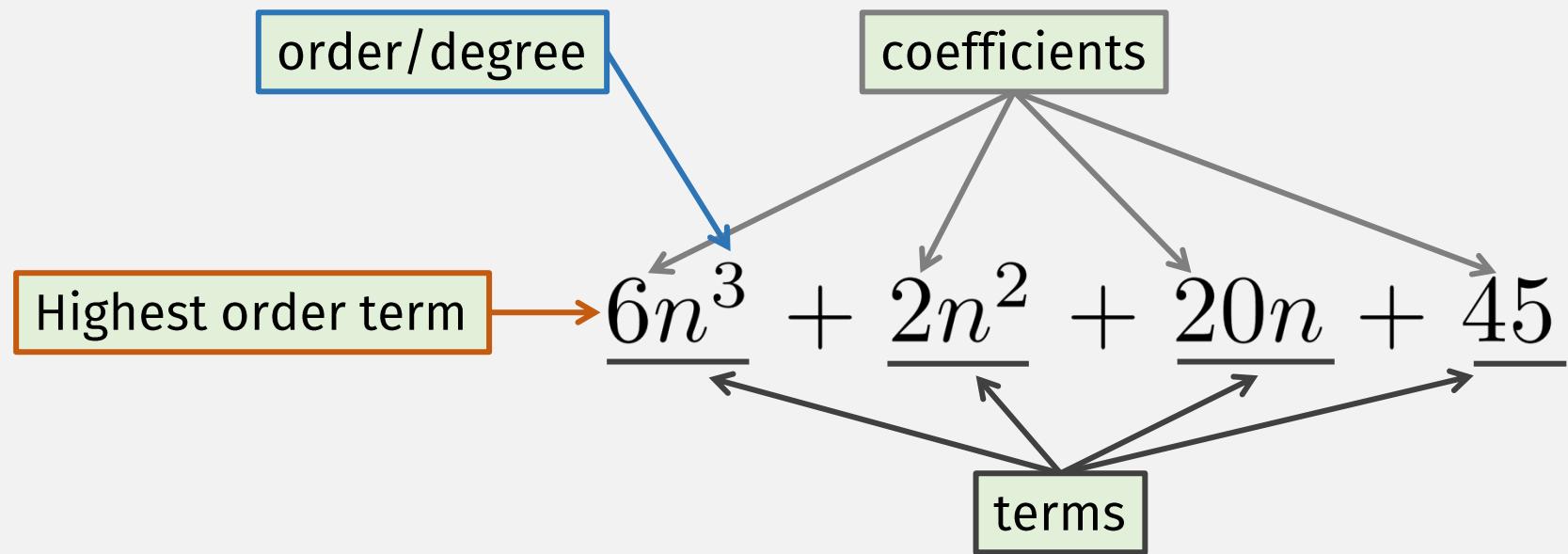
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$$n^2/2 + 3n$$

# of steps (worst case),  $n$  = length of input:

- TM Line 1:
  - $n$  steps to scan +  $n$  steps to return to beginning =  **$2n$  steps**
- Lines 2-3 (loop):
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  - # iterations (line 2): Each scan crosses off 2 chars, so at most  $n/2$  scans
  - Total = steps/iteration \* # iterations =  $n (n/2)$  =  **$n^2/2$  steps**
- Line 4:
  - **$n$  steps** to scan input one more time
- Total:  $2n + n^2/2 + n$  =  **$n^2/2 + 3n$  steps**

# Interlude: Polynomials



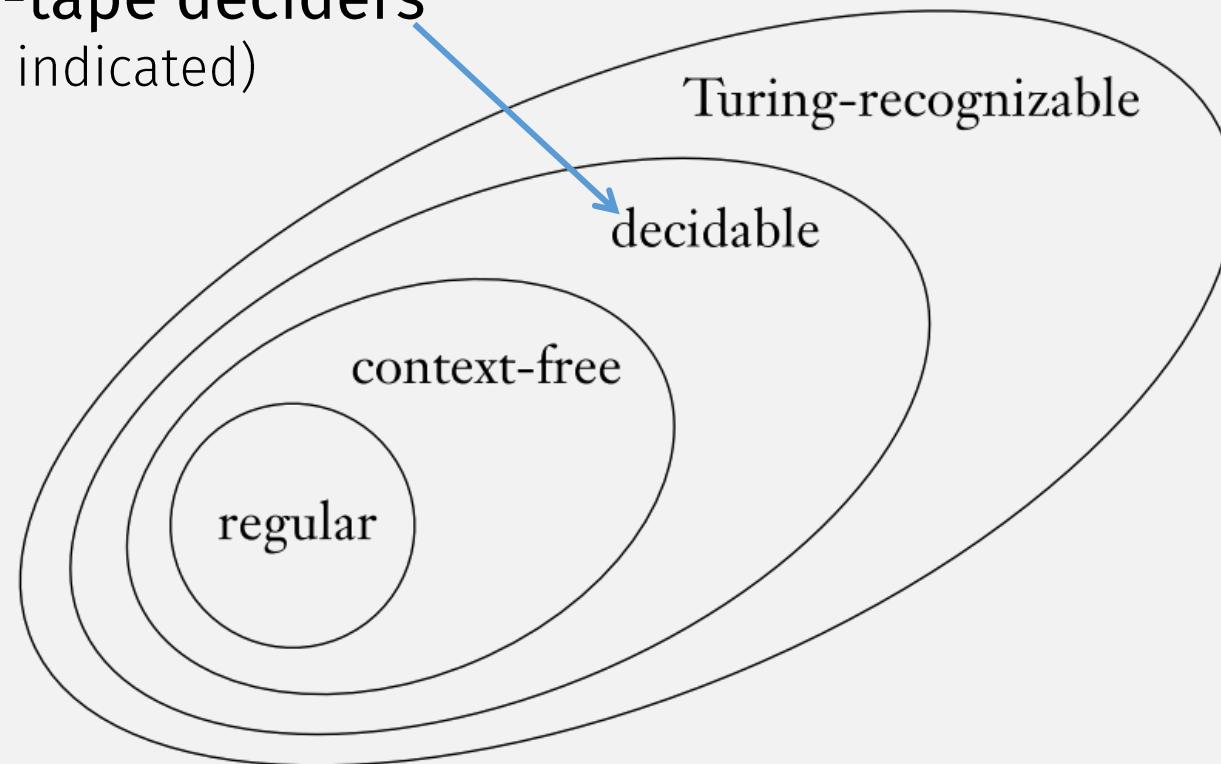
# Definition: Time Complexity

Let  $M$  be a deterministic Turing machine that halts on all inputs. The ***running time*** or ***time complexity*** of  $M$  is the function  $f: \mathcal{N} \rightarrow \mathcal{N}$ , where  $f(n)$  is the maximum number of steps that  $M$  uses on any input of length  $n$ . If  $f(n)$  is the running time of  $M$ , we say that  $M$  runs in time  $f(n)$  and that  $M$  is an  $f(n)$  time Turing machine. Customarily we use  $n$  to represent the length of the input.

i.e., a decider (algorithm)

# Where Are We Now?

**We are back in here now:**  
deterministic, single-tape deciders  
(unless otherwise indicated)



# Definition: Time Complexity

**NOTE:**  $n$  has no units, it's only roughly "length" of the input

$n$  can be:  
# characters,  
# states,  
# nodes, ...

We can use any  $n$  that is correlated with the input length

Let  $M$  be a deterministic Turing machine that halts on all inputs. The ***running time*** or ***time complexity*** of  $M$  is the function  $f: \mathcal{N} \rightarrow \mathcal{N}$ , where  $f(n)$  is the maximum number of steps that  $M$  uses on any input of length  $n$ . If  $f(n)$  is the running time of  $M$ ,

say that  $M$  runs in time  $f(n)$  and that  $M$  is an  $f(n)$  time Turing machine. Customarily we use  $n$  to represent the length of the input.

- Machine  $M_1$  that decides  $A = \{0^k 1^k \mid k \geq 0\}$ 
  - Running time / Time Complexity:  $n^2/2+3n$

$M_1$  = "On input string  $w$ :

1. Scan across the tape and *reject* if a 0 is found to the right of a 1.
2. Repeat if both 0s and 1s remain on the tape:
3. Scan across the tape, crossing off a single 0 and a single 1.
4. If 0s still remain after all the 1s have been crossed off, or if 1s still remain after all the 0s have been crossed off, *reject*. Otherwise, if neither 0s nor 1s remain on the tape, *accept*."

# Interlude: Asymptotic Analysis

Total:  $n^2 + 3n$

- If  $n = 1$ 
  - $n^2 = 1$
  - $3n = 3$
  - Total = 4
- If  $n = 10$ 
  - $n^2 = 100$
  - $3n = 30$
  - Total = 130
- If  $n = 100$ 
  - $n^2 = 10,000$
  - $3n = 300$
  - Total = 10,300
- If  $n = 1,000$ 
  - $n^2 = 1,000,000$
  - $3n = 3,000$
  - Total = 1,003,000

asymptotic analysis only cares about **large  $n$**

$n^2 + 3n \approx n^2$  as  $n$  gets large

# Definition: Big-O Notation

Let  $f$  and  $g$  be functions  $f, g: \mathcal{N} \rightarrow \mathcal{R}^+$ . Say that  $f(n) = O(g(n))$  if positive integers  $c$  and  $n_0$  exist such that for every integer  $n \geq n_0$ ,

$$f(n) \leq c g(n).$$

“only care about large  $n$ ”

When  $f(n) = O(g(n))$ , we say that  $g(n)$  is an **upper bound** for  $f(n)$ , or more precisely, that  $g(n)$  is an **asymptotic upper bound** for  $f(n)$ , to emphasize that we are suppressing constant factors.

In other words: Keep only highest order term, drop all coefficients

- Machine  $M_1$  that decides  $A = \{0^k 1^k \mid k \geq 0\}$ 
  - Is an  $n^2 + 3n$  time Turing machine
  - Is an  $O(n^2)$  time Turing machine
  - Has asymptotic upper bound  $O(n^2)$

# Definition: Small-*o* Notation (less used)

Let  $f$  and  $g$  be functions  $f, g: \mathcal{N} \rightarrow \mathcal{R}^+$ . Say that  $f(n) = o(g(n))$  if

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0.$$

In other words,  $f(n) = o(g(n))$  means that for any real number  $c > 0$ , a number  $n_0$  exists, where  $f(n) < c g(n)$  for all  $n \geq n_0$ .

## Analogy: Big-*O* : $\leq$ :: small-*o* : $<$

Let  $f$  and  $g$  be functions  $f, g: \mathcal{N} \rightarrow \mathcal{R}^+$ . Say that  $f(n) = O(g(n))$  if positive integers  $c$  and  $n_0$  exist such that for every integer  $n \geq n_0$ ,

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# Big- $O$ arithmetic

- $O(n^2) + O(n^2)$   
 $= O(n^2)$
- $O(n^2) + O(n)$   
 $= O(n^2)$
- $2n = O(n)$  ?
  - TRUE
- $2n = O(n^2)$  ?
  - TRUE
- $1 = O(n^2)$  ?
  - TRUE
- $2^n = O(n^2)$  ?
  - FALSE

# Definition: Time Complexity Classes

Let  $t: \mathcal{N} \rightarrow \mathcal{R}^+$  be a function. Define the ***time complexity class***, **TIME**( $t(n)$ ), to be the collection of all **languages** that are decidable by an  $O(t(n))$  time Turing machine.

Remember: TMs have a time complexity (i.e., a running time),  
**languages** are in a time complexity class

The complexity class of a **language** is determined by the  
time complexity (running time) of its deciding **TM**

- Machine  $M_1$  decides language  $A = \{0^k 1^k \mid k \geq 0\}$ 
  - $M_1$  has time complexity (running time) of  $O(n^2)$
  - $A$  is in time complexity class **TIME**( $n^2$ )

# A Faster Machine? $A = \{0^k 1^k \mid k \geq 0\}$

Previously:

$M_2$  = “On input string  $w$ :

1. Scan across the tape and *reject* if a 0 is found to the right of a 1.
2. Repeat as long as some 0s and some 1s remain on the tape:
  3. Scan across the tape, checking whether the total number of 0s and 1s remaining is even or odd. If it is odd, *reject*.
  4. Scan again across the tape, crossing off every other 0 starting with the first 0, and then crossing off every other 1 starting with the first 1.
5. If no 0s and no 1s remain on the tape, *accept*. Otherwise, *reject*.”

$M_1$  = “On input string  $w$ :

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Number of steps (worst case),  $n$  = length of input:

➤ Line 1:

- $n$  steps to scan +  $n$  steps to return to beginning =  $O(n)$  steps

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- Line 1:
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- Lines 2-4 (loop):
  - steps/iteration (lines 3-4): a scan takes  $O(n)$  steps
  - # iters (line 2): Each iter crosses off half the chars, so at most  $O(\log n)$  scans
  - Total:  $O(n) * O(\log n) = O(n \log n)$  steps

# Interlude: Logarithms

- If  $2^x = y \dots$
- ... then  $\log_2 y = x$
- $\log_2 n = O(\log n)$ 
  - “divide and conquer” algorithms =  $O(\log n)$
  - E.g., binary search
- **(In computer science, base-2 is the only base!)**

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$O(n \log n)$

Prev:  $n^2/2 + 3n = O(n^2)$

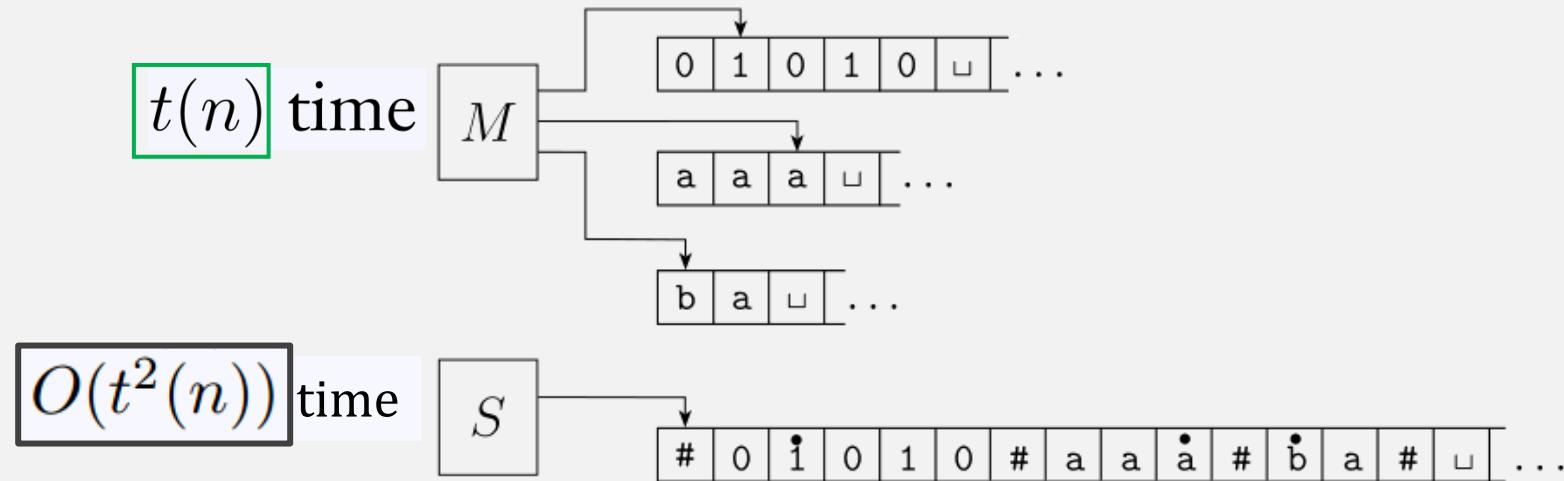
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  - Total:  $O(n) * O(\log n) = O(n \log n)$  steps
- Line 5:
  - $O(n)$  steps to scan input one more time
- Total:  $O(n) + O(n \log n) + O(n) =$

# Terminology: Categories of Bounds

- Exponential time
  - $O(2^{n^c})$ , for  $c > 0$ , or  $2^{O(n)}$  (always base 2)
- Polynomial time
  - $O(n^c)$ , for  $c > 0$
- Quadratic time (special case of polynomial time)
  - $O(n^2)$
- Linear time (special case of polynomial time)
  - $O(n)$
- Log time
  - $O(\log n)$

# Multi-tape vs Single-tape TMs: # of Steps



- For single-tape TM to simulate 1 step of multi-tape:
  - Scan to find all “heads” =  $O(\text{length of all } M\text{'s tapes})$
  - “Execute” transition at all the heads =  $O(\text{length of all } M\text{'s tapes})$
- # single-tape steps to simulate 1 multtape step (worst case)
  - =  $O(\text{length of all } M\text{'s tapes})$
  - =  $O(t(n))$ , If  $M$  spends all its steps expanding its tapes
- Total steps (single tape):  $O(t(n))$  per step  $\times$   $t(n)$  steps =

# Flashback: Nondet. TM → Deterministic TM

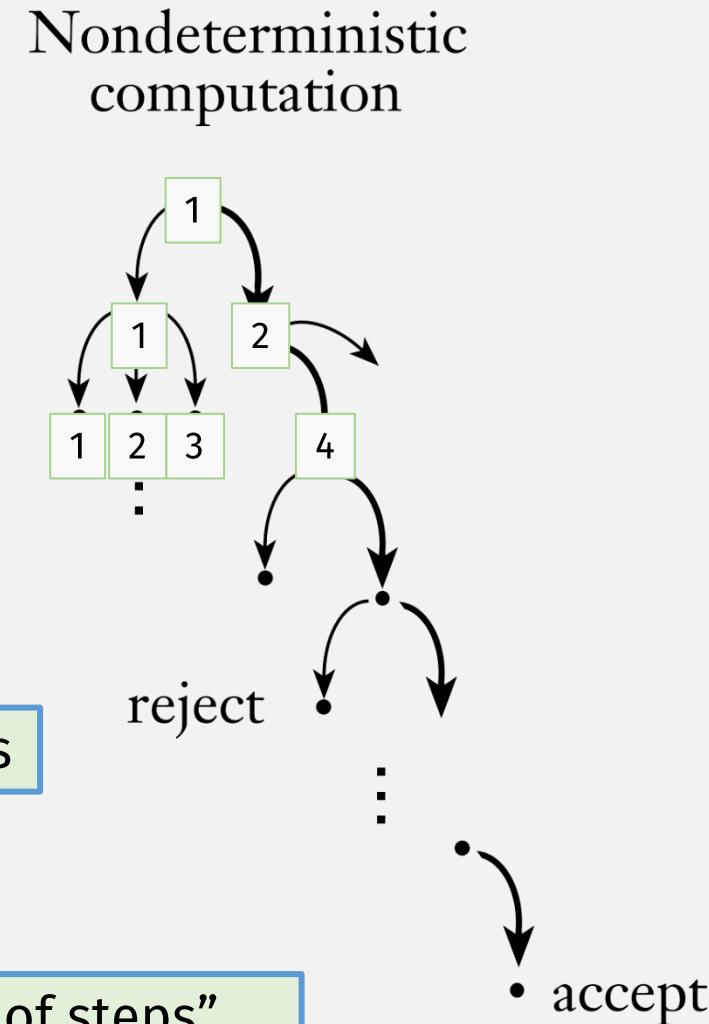
- Simulate NTM with Det. TM:
  - Number the nodes at each step
  - Deterministically check every tree path, in breadth-first order
    - 1
    - 1-1
    - 1-2
    - 1-1-1

A TM and a NTM are “equivalent” ...

.. but not if we care about the # of steps

How inefficient is it?

First, we need a formal way to count “# of steps” ...



# Flashback: Nondet. TM $\rightarrow$ Deterministic TM

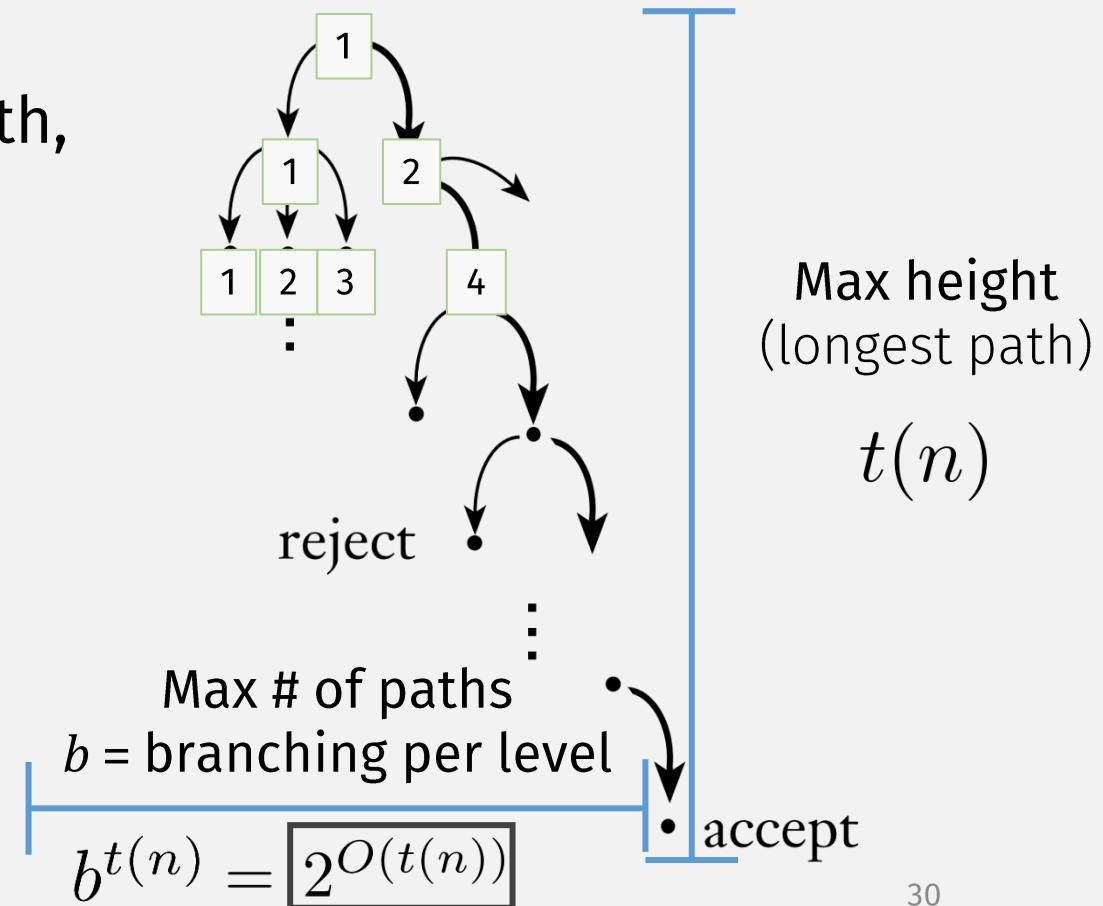
$t(n)$  time  $\xrightarrow{}$   $2^{O(t(n))}$  time

- Simulate NTM with Det. TM:

- Number the nodes at each step
- Deterministically check every tree path, in breadth-first order

- 1
- 1-1
- 1-2
- 1-1-1

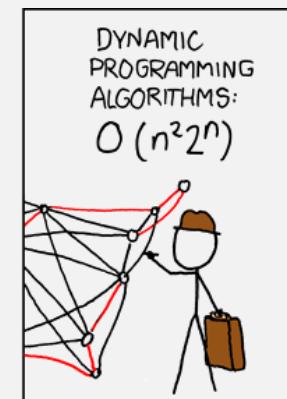
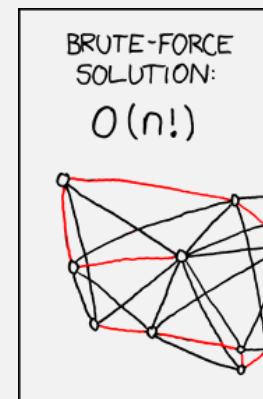
Nondeterministic computation



# Summary: TM Variations

- If multi-tape TM:  $t(n)$  time
- Then equivalent single-tape TM:  $O(t^2(n))$ 
  - **Quadratically** slower
- If non-deterministic TM:  $t(n)$  time
- Then equivalent single-tape TM:  $2^{O(t(n))}$ 
  - **Exponentially** slower

# Polynomial Time (P)



# The Polynomial Time Complexity Class (**P**)

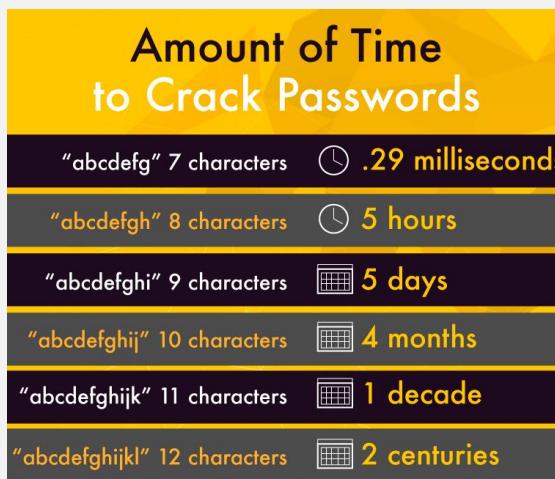
**P** is the class of languages that are decidable in polynomial time on a deterministic single-tape Turing machine. In other words,

$$P = \bigcup_k \text{TIME}(n^k).$$

- Corresponds to “realistically” solvable problems:
  - Problems in **P** = “solvable” or “tractable”
  - Problems outside **P** = “unsolvable” or “intractable”

# “Unsolvable” Problems

- Unsolvable problems (those outside P):
  - usually only have “brute force” solutions
  - i.e., “try all possible inputs”
  - “unsolvable” applies only to large  $n$



Do these problems exist???



# 3 Problems in P

- A Graph Problem:

$$PATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph that has a directed path from } s \text{ to } t\}$$

- A Number Problem:

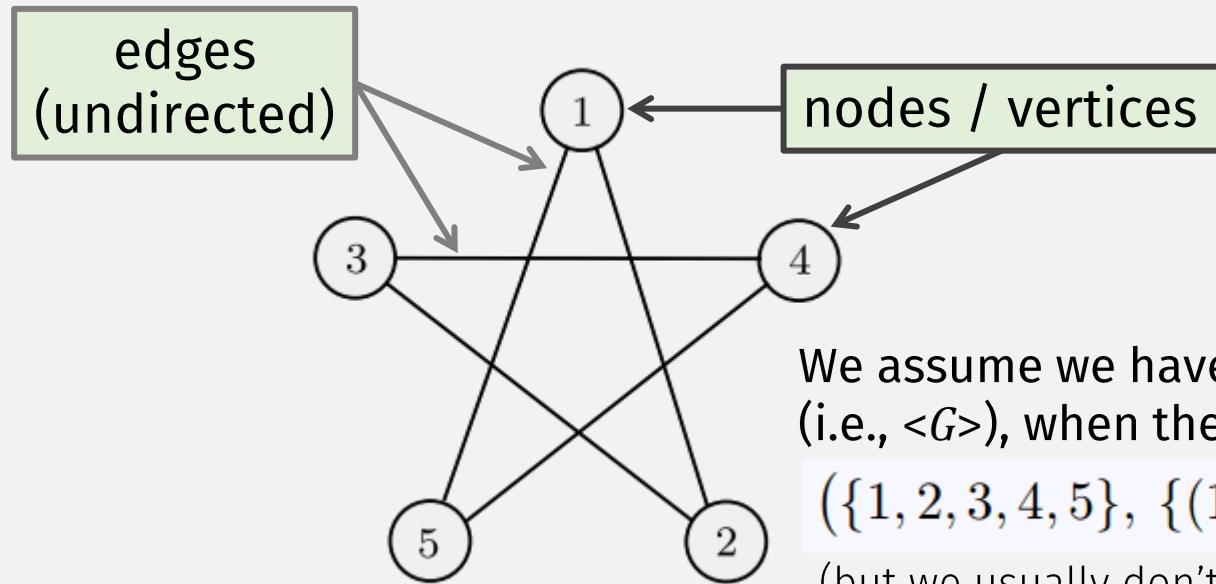
$$RELPRIME = \{\langle x, y \rangle \mid x \text{ and } y \text{ are relatively prime}\}$$

- A CFL Problem:

Every context-free language is a member of P

- To prove that a language is in P ...
  - ... we construct a polynomial time algorithm deciding the language
- (These also have nonpolynomial, i.e., brute force, algorithms)
  - Check all possible ... paths/numbers/strings ...

# Interlude: Graphs (see Sipser Chapter 0)



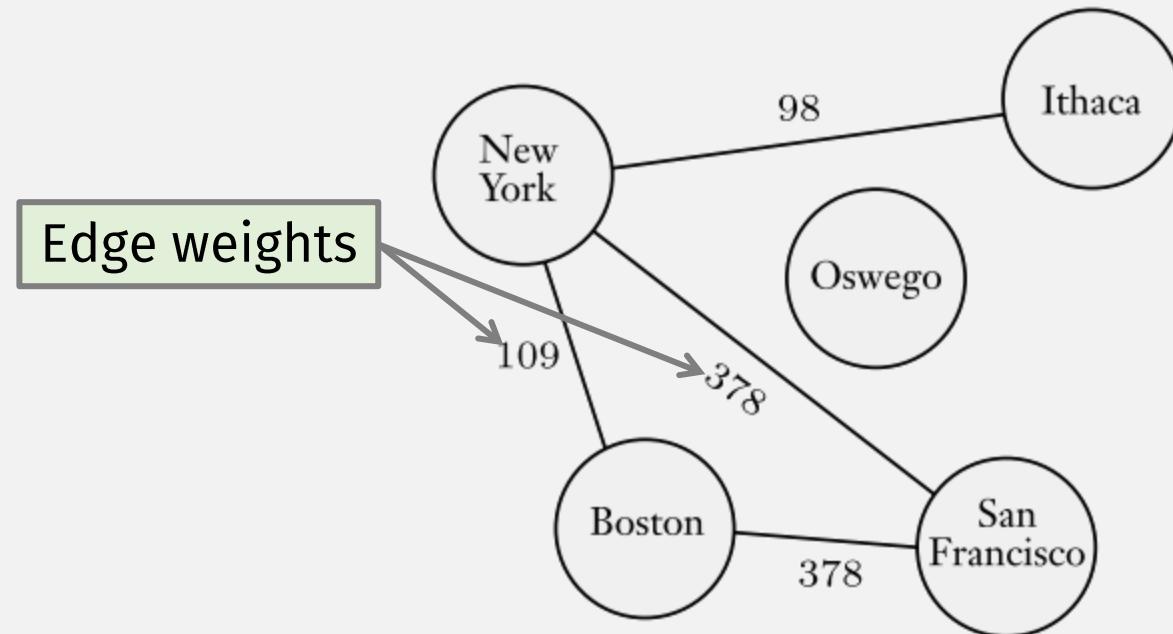
We assume we have **some string encoding of a graph** (i.e.,  $\langle G \rangle$ ), when they are args to TMs, e.g.:

$(\{1, 2, 3, 4, 5\}, \{(1, 2), (2, 3), (3, 4), (4, 5), (5, 1)\})$

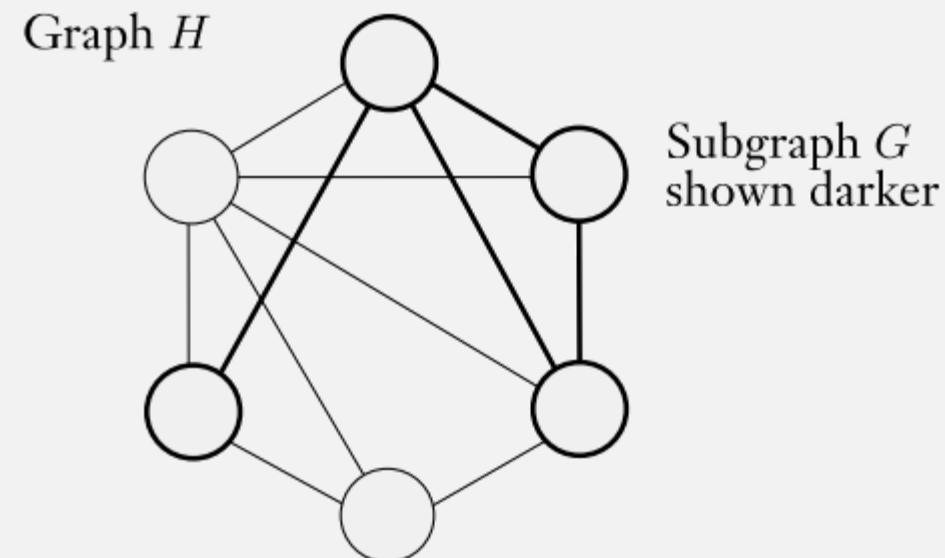
(but we usually don't care about the actual details)

- Edge defined by two nodes (order doesn't matter)
- Formally, a graph = a pair  $(V, E)$ 
  - Where  $V$  = a set of nodes,  $E$  = a set of edges

# Interlude: Weighted Graphs

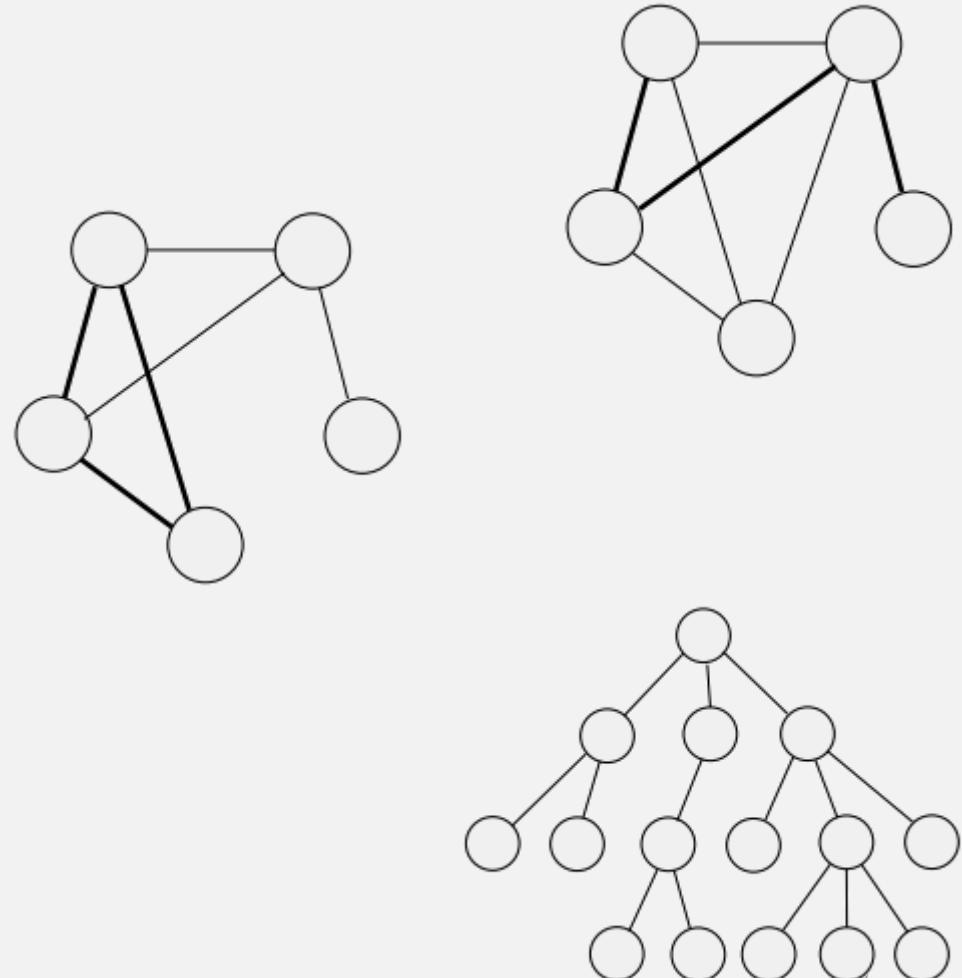


# Interlude: Subgraphs

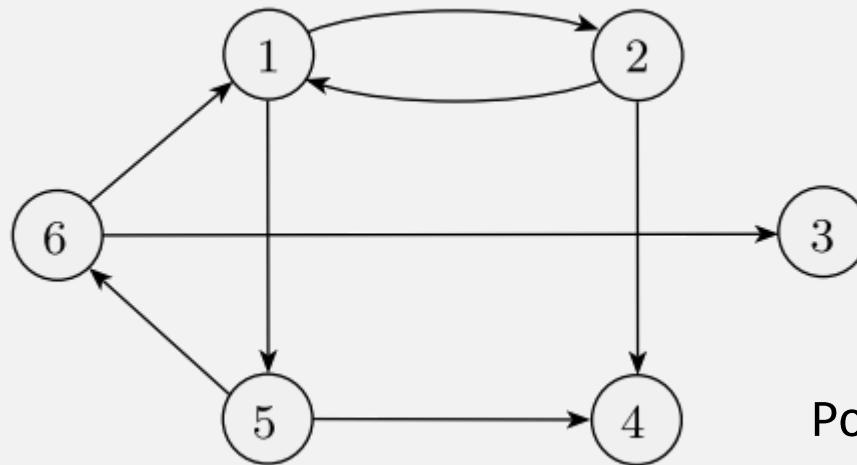


# Interlude: Paths and other Graph Things

- Path
  - A sequence of nodes connected by edges
- Cycle
  - A path that starts/ends at the same node
- Connected graph
  - Every two nodes has a path
- Tree
  - A connected graph with no cycles



# Interlude: Directed Graphs



Possible string encoding given to TMs:

$(\{1,2,3,4,5,6\}, \{(1,2), (1,5), (2,1), (2,4), (5,4), (5,6), (6,1), (6,3)\})$

- Directed graph =  $(V, E)$ 
  - $V$  = set of nodes,  $E$  = set of edges
- An edge is a pair of nodes  $(u,v)$ , **order now matters**
  - $u$  = “from” node,  $v$  = “to” node
- “degree” of a node: number of edges connected to the node
  - Nodes in a directed graph have both indegree and outdegree

Each pair of nodes included twice

# Interlude: Graph Encodings

$(\{1, 2, 3, 4, 5\}, \{(1, 2), (2, 3), (3, 4), (4, 5), (5, 1)\})$

- For graph algorithms, “length of input”  $n$  is usually # of vertices
  - (Not number of chars in the encoding)
- So given graph  $G = (V, E)$ ,  $n = |V|$
- Max edges?
  - $= O(|V|^2) = O(n^2)$
- So if a set of graphs (call it lang  $L$ ) is decided by a TM where
  - # steps of the TM = polynomial in the # of vertices
  - Or # steps of the TM = polynomial in the # of edges
  - Then  $L$  is in P

# 3 Problems in P

- A Graph Problem:

$$PATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph that has a directed path from } s \text{ to } t\}$$

- A Number Problem:

$$RELPRIME = \{\langle x, y \rangle \mid x \text{ and } y \text{ are relatively prime}\}$$

- A CFL Problem:

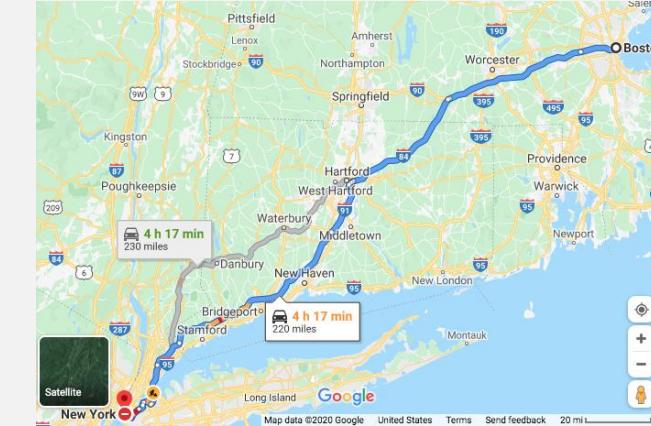
Every context-free language is a member of P

$\text{P}$  is the class of languages that are decidable in polynomial time on a deterministic single-tape Turing machine. In other words,

$$\text{P} = \bigcup_k \text{TIME}(n^k).$$

# A Graph Theorem: $PATH \in \text{P}$

$PATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph that has a directed path from } s \text{ to } t\}$



- To prove that a language is in  $\text{P}$  ...
- ... we must construct a polynomial time algorithm deciding the lang
- A non-polynomial (i.e., "brute force") algorithm:
  - check all possible paths, and see if any connect  $s$  to  $t$
  - If  $n = \# \text{ vertices}$ , then # paths  $\approx n^n$

# **Check-in Quiz 11/8**

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