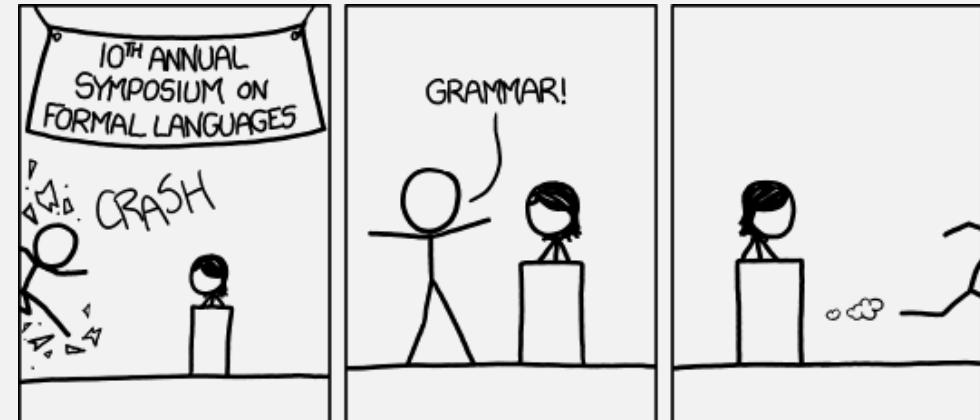


UMB CS 420

Pushdown Automata (PDAs)

Wednesday, March 20, 2024



Announcements

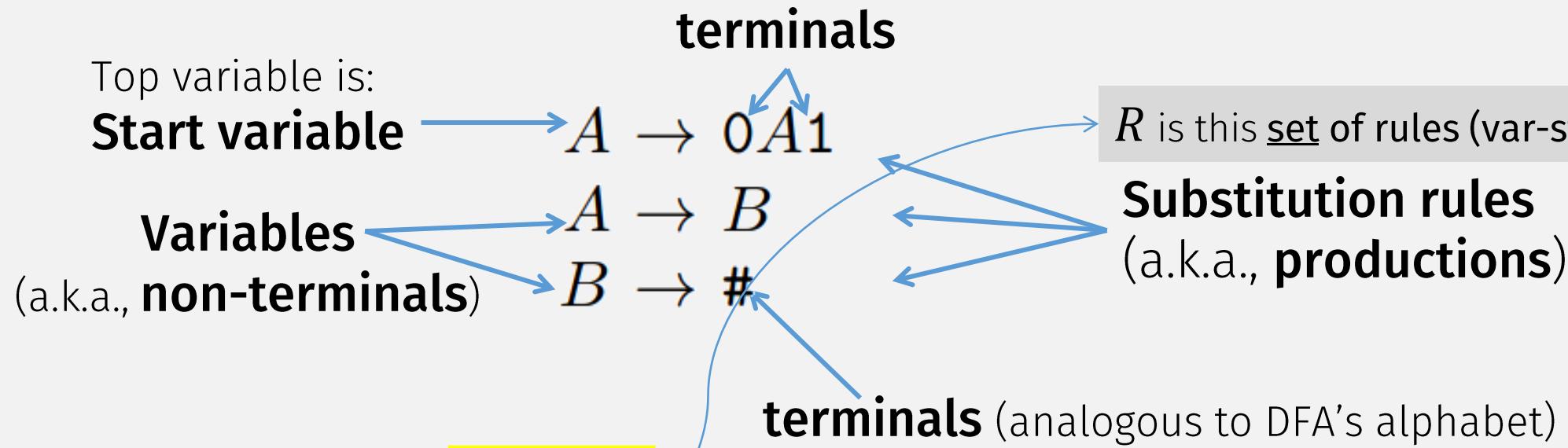
- HW 5 out
 - Due Mon 3/25 12pm noon



Last Time:

Context-Free Grammar (CFG)

Grammar $G_1 = (V, \Sigma, R, S)$



A *context-free grammar* is a 4-tuple (V, Σ, R, S) where

1. V is a finite set called the *variables*,
2. Σ is a finite set, disjoint from V , called the *terminals*,
3. R is a finite set of *rules*, with each rule being a variable and a string of variables and terminals, and
4. $S \in V$ is the start variable.

$$V = \{A, B\},$$

$$\Sigma = \{0, 1, \#\},$$

$$S = A,$$

Last Time:

Generating Strings with a CFG

Grammar $G_1 = (V, \Sigma, R, S)$

$$\begin{aligned} A &\rightarrow 0A1 \\ A &\rightarrow B \\ B &\rightarrow \# \end{aligned}$$

Strings in CFG's language
= all possible generated / derived strings

$$L(G_1) \text{ is } \{0^n \# 1^n \mid n \geq 0\}$$

A CFG **generates** a string, by repeatedly applying substitution rules:

Example:

$$A \Rightarrow 0A1 \Rightarrow 00A11 \Rightarrow 000A111 \Rightarrow 000B111 \Rightarrow 000\#111$$

This sequence of steps is called a **derivation**

Last Time:

Derivations: Formally

Let $G = (V, \Sigma, R, S)$

Single-step

$$\alpha \boxed{A} \beta \xrightarrow[G]{} \alpha \boxed{\gamma} \beta$$

Where:

$$\alpha, \beta \in (V \cup \Sigma)^*$$

sequence of
terminals or variables

$$A \in V$$

Variable

$$A \rightarrow \boxed{\gamma} \in R$$

Rule

A **context-free grammar** is a 4-tuple (V, Σ, R, S) , where

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Derivations: Formally

Let $G = (V, \Sigma, R, S)$

Single-step

$$\alpha A \beta \xrightarrow{G} \alpha \gamma \beta$$

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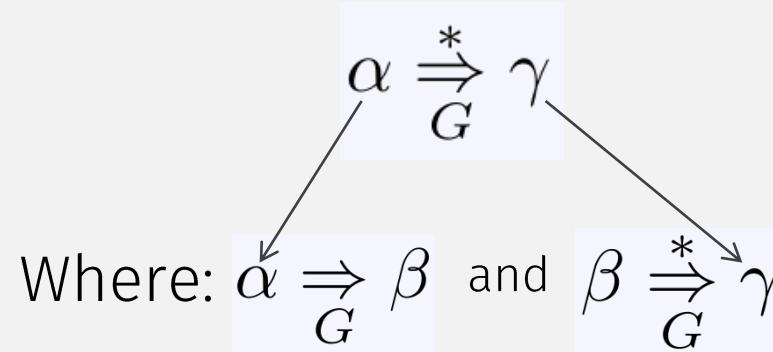
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Multi-step (recursively defined)

Base case: $\alpha \xrightarrow{G}^* \alpha$ (0 steps)

Recursive case: (> 0 steps)



Where: $\alpha \xrightarrow{G} \beta$ and $\beta \xrightarrow{G} \gamma$

Single step

(smaller)
Recursive “call”

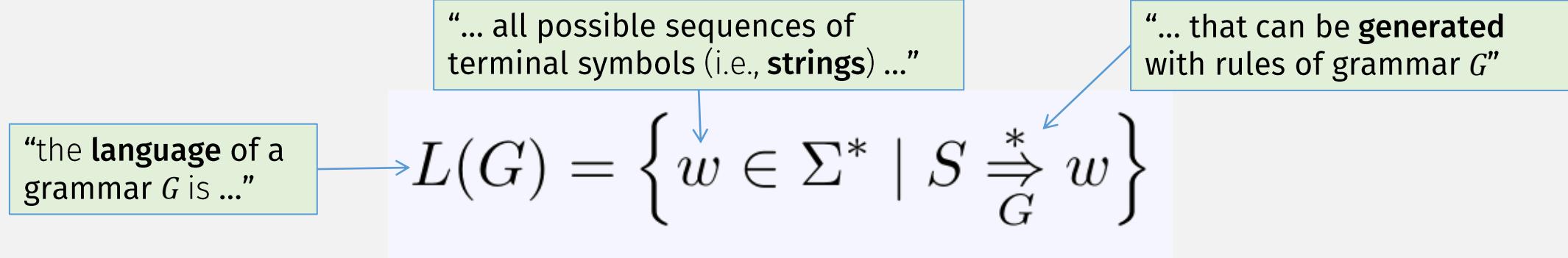
Last Time:

Formal Definition of a CFL

A **context-free grammar** is a 4-tuple (V, Σ, R, S) , where

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4. $S \in V$ is the start variable.

$$G = (V, \Sigma, R, S)$$



If a **CFG generates** all **strings** in a language L , then L is a **context-free language** (CFL)

Last Time:

Designing Grammars : Basics

1. Think about what you want to “link” together

- E.g., $0^n 1^n$
 - $A \rightarrow 0A1$
 - # 0s and # 1s are “linked”
- E.g., XML
 - ELEMENT $\rightarrow <\text{TAG}>\text{CONTENT}</\text{TAG}>$
 - Start and end tags are “linked”

2. Start with small grammars and then combine

- just like with FSMs, and programming!

Example: Creating CFG

alphabet Σ is $\{0,1\}$

$\{w \mid w \text{ starts and ends with the same symbol}\}$

- 1) come up with examples: In the language: 010, 101, 11011 1, 0 ?

Not in the language: 10, 01, 110 ε ?

2) Create CFG:

Needed Rules:

$S \rightarrow 0M0 \mid 1M1 \mid 0 \mid 1$

“start/end symbol are “linked” (ie, same); middle can be anything”

$$M \rightarrow MT \mid \varepsilon$$

“middle: all possible terminals, repeated (ie, all possible strings)”

$T \rightarrow 0$ | 1

“all possible terminals”

- 3) Check CFG: generates examples in the language; does not generate examples not in language

Regular Language vs CFL Comparison

Regular Languages	Context-Free Languages (CFLs)
Regular Expression	Context-Free Grammar (CFG)
<u>describes</u> a Regular Lang	<u>describes</u> a CFL

Regular Language vs CFL Comparison

Regular Languages	Context-Free Languages (CFLs)
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<u>describes</u> a Regular Lang	<u>describes</u> a CFL
Finite State Automaton (FSA)	???
<u>recognizes</u> a Regular Lang	<u>recognizes</u> a CFL

Regular Language vs CFL Comparison

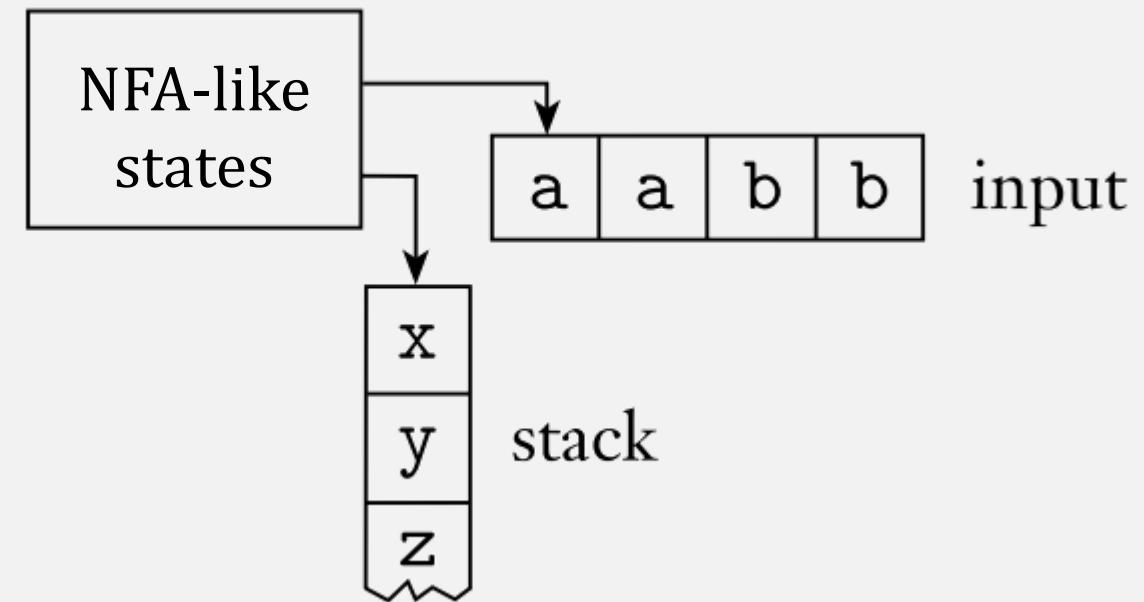
Regular Languages		Context-Free Languages (CFLs)
thm	Regular Expression <u>describes</u> a Regular Lang	Context-Free Grammar (CFG) <u>describes</u> a CFL def
def	Finite State Automaton (FSM) <u>recognizes</u> a Regular Lang	Push-down Automata (PDA) <u>recognizes</u> a CFL thm

Regular Language vs CFL Comparison

Regular Languages		Context-Free Languages (CFLs)	
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Proved:		Proved:	
Regular Lang \Leftrightarrow Regular Expr		CFL \Leftrightarrow PDA	

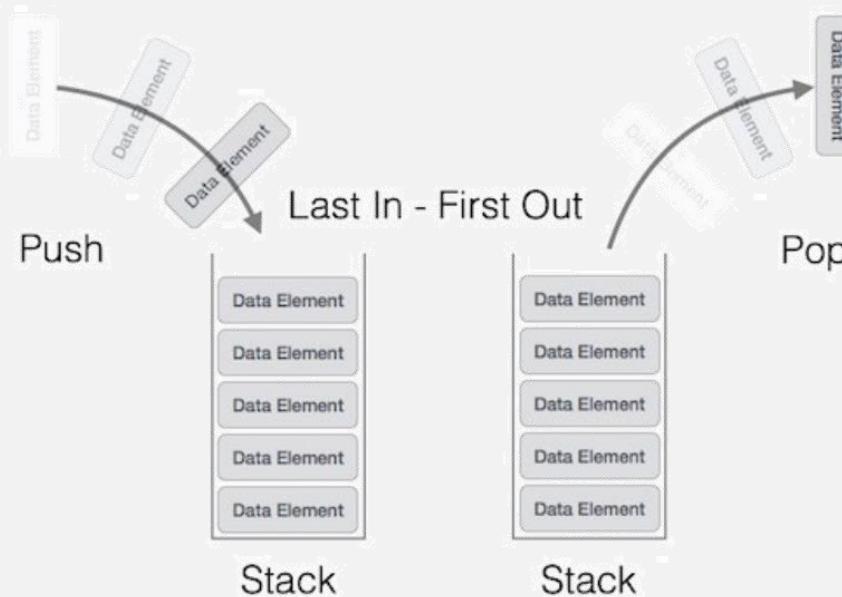
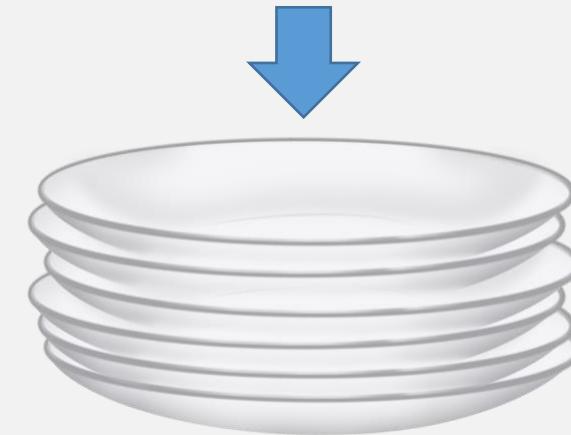
Pushdown Automata (PDA)

PDA = NFA + a stack



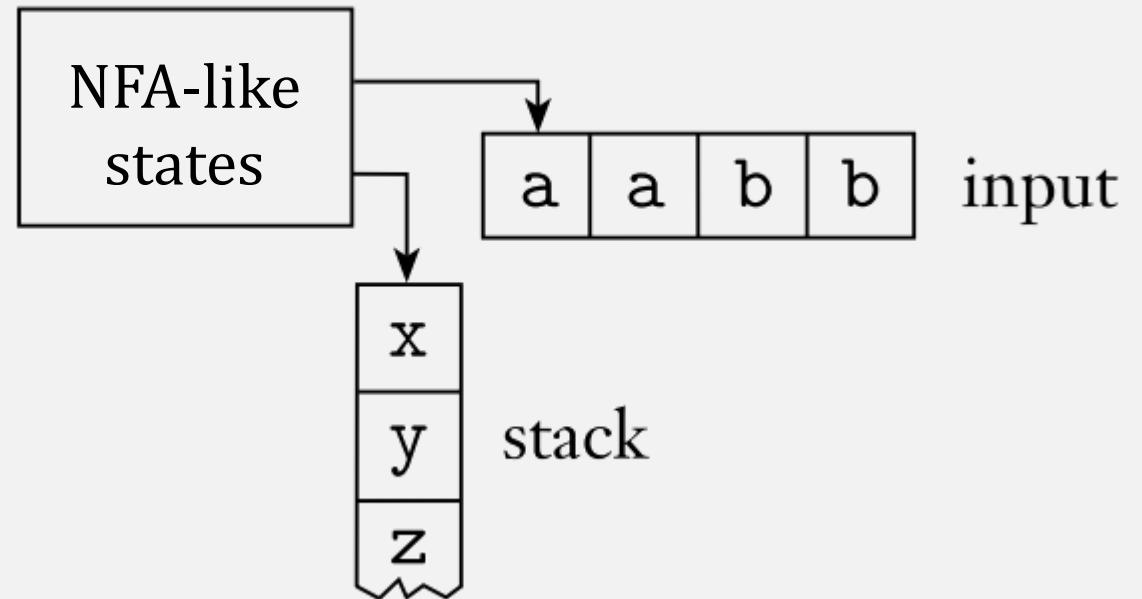
What is a Stack?

- A restricted kind of (infinite!) memory
- Access to top element only
- 2 Operations only: push, pop



Pushdown Automata (PDA)

- **PDA = NFA + a stack**
 - Infinite memory
 - read/write top location only
 - Push/pop

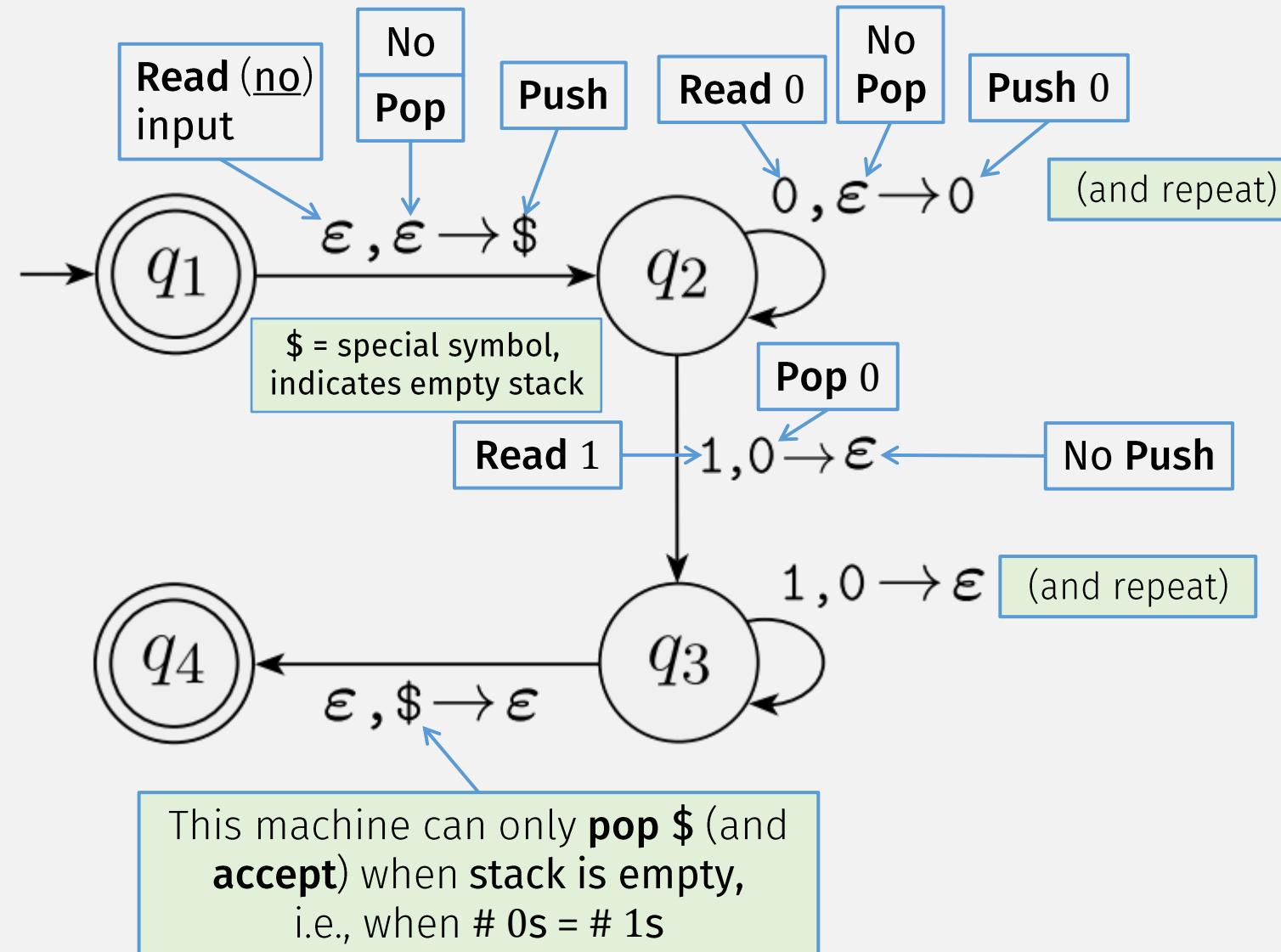


$$\{0^n 1^n \mid n \geq 0\}$$

An Example PDA

A PDA transition has 3 parts:

- Read
- Pop
- Push



Formal Definition of PDA

A **pushdown automaton** is a 6-tuple $(Q, \Sigma, \Gamma, \delta, q_0, F)$, where Q, Σ, Γ , and F are all finite sets, and

1. Q is the set of states,
2. Σ is the input alphabet,
3. Γ is the stack alphabet, Stack alphabet has special stack symbols, e.g., \$
4. $\delta: Q \times \Sigma_\varepsilon \times \Gamma_\varepsilon \rightarrow \mathcal{P}(Q \times \Gamma_\varepsilon)$ is the transition function,
Input Pop Push
5. $q_0 \in Q$ part state, and
6. $F \subseteq Q$ is the set of accept states.

Non-deterministic!
Result of a step is **set** of (STATE, STACK CHAR) pairs

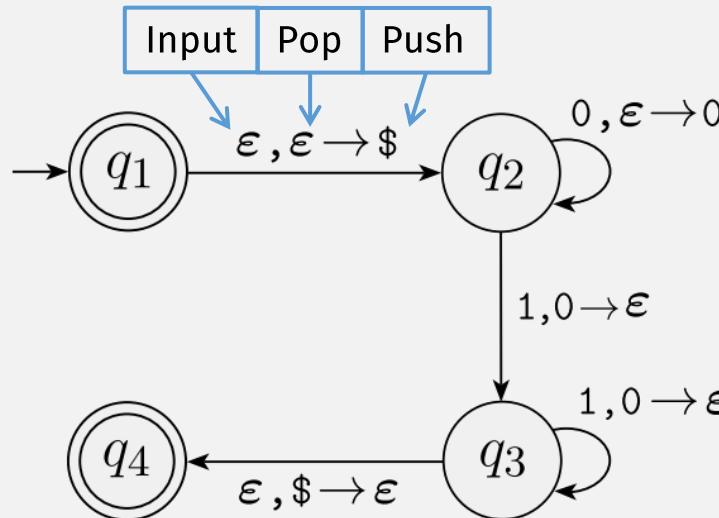
$$Q = \{q_1, q_2, q_3, q_4\},$$

PDA Formal Definition Example

$$\Sigma = \{0, 1\}, \\ \Gamma = \{0, \$\},$$

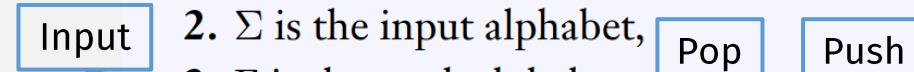
Stack alphabet has special stack symbol \$

$$F = \{q_1, q_4\},$$



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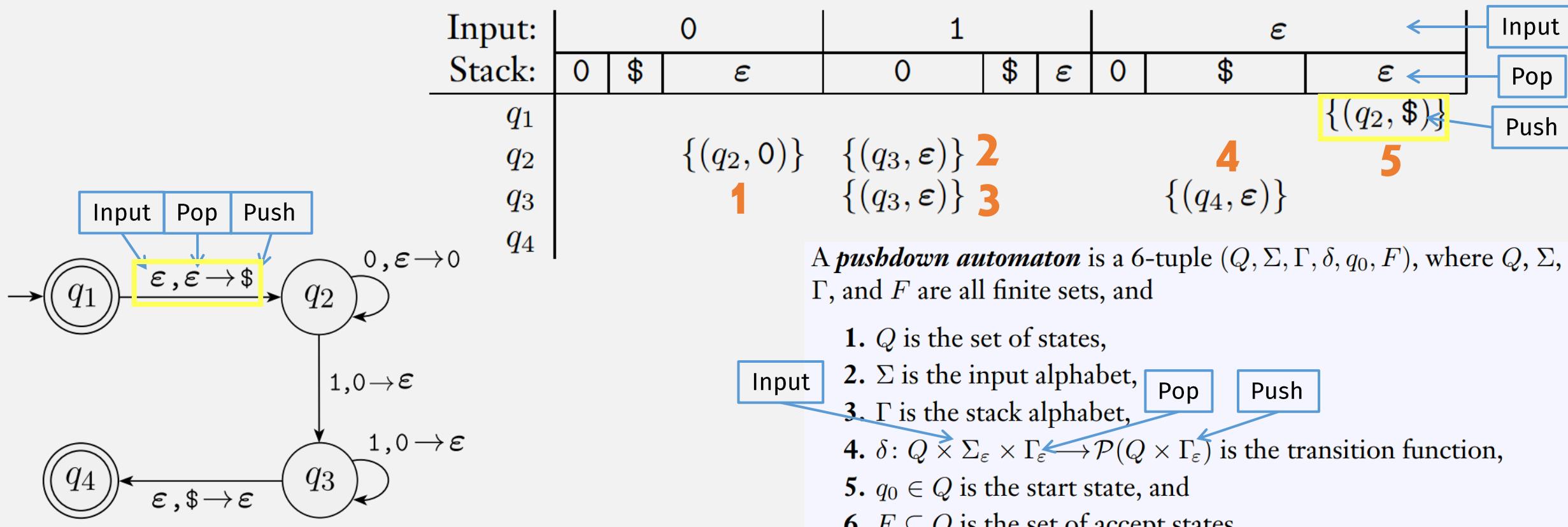
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δ is given by the following table, wherein blank entries signify \emptyset .



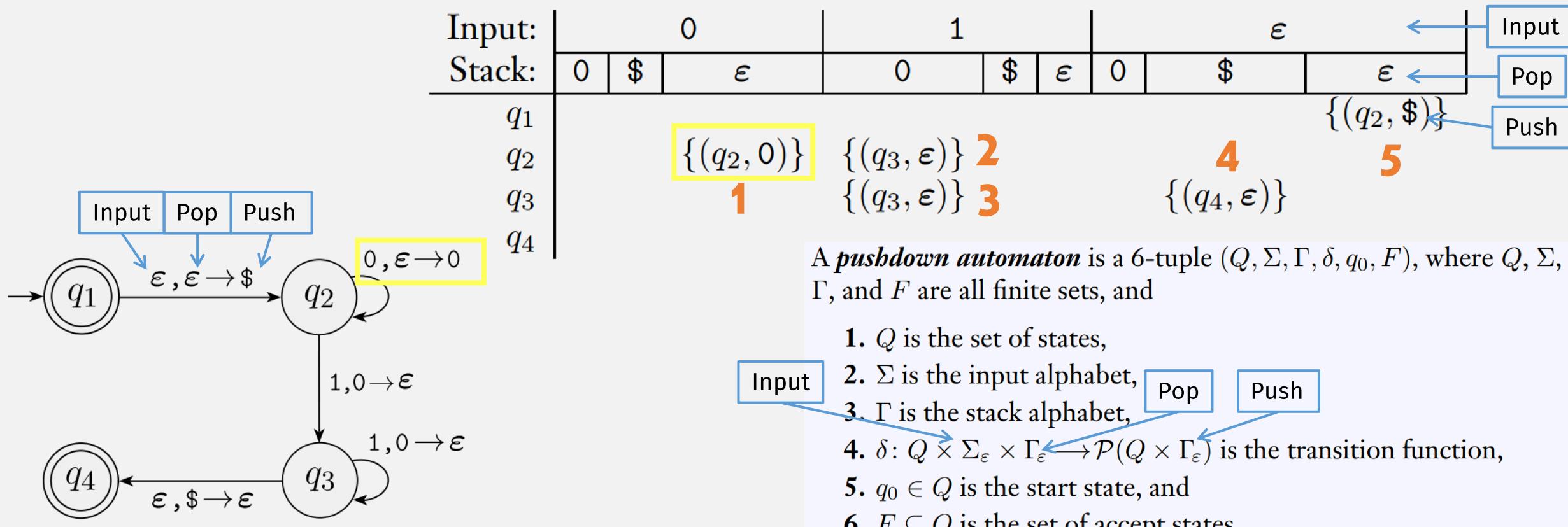
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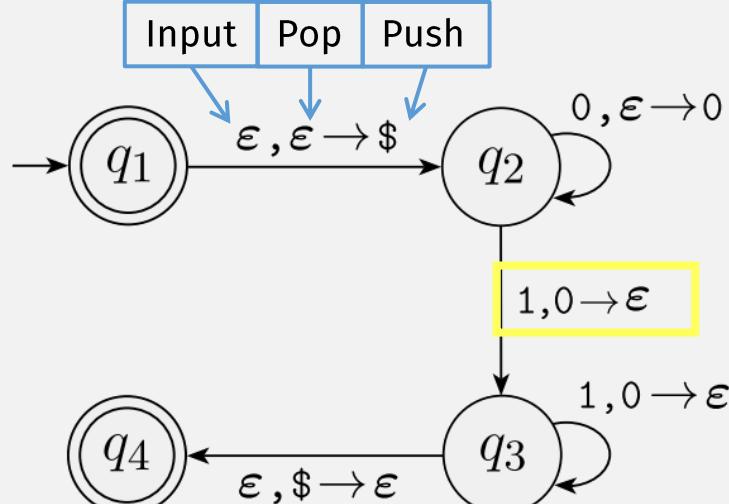
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Input:	0	1	ϵ	Input
Stack:	0 \$ ϵ	0 \$ ϵ 0 \$ ϵ	0 \$ ϵ	Pop
				Push
q_1				
q_2	$\{(q_2, 0)\}$	$\{(q_3, \epsilon)\}$	$\{(q_2, \$)\}$	
q_3	1	$\{(q_3, \epsilon)\}$	4	
q_4		3	$\{(q_4, \epsilon)\}$	5

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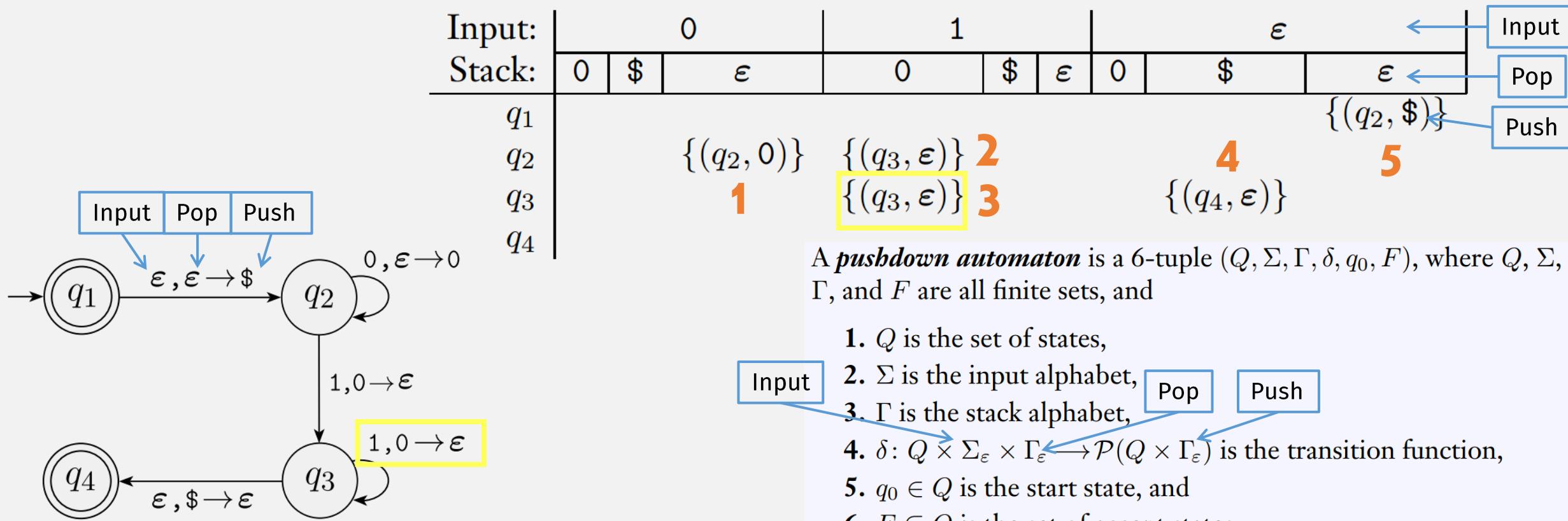
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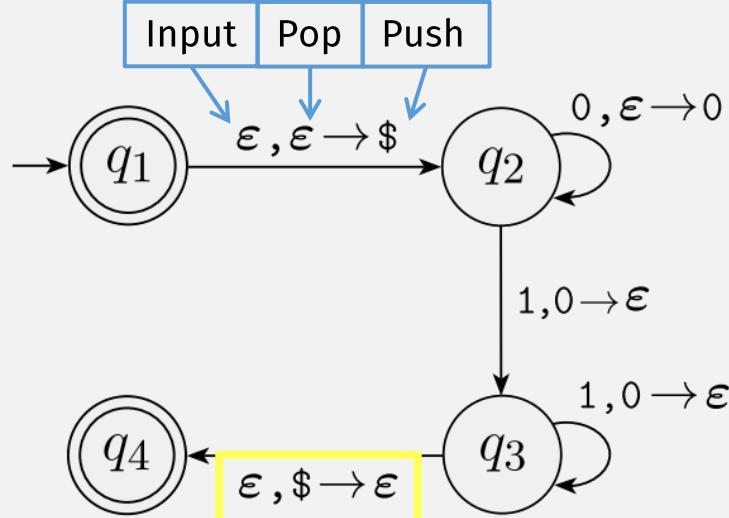
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				Push
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q_4			4	5

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In-class exercise: Fill in the blanks

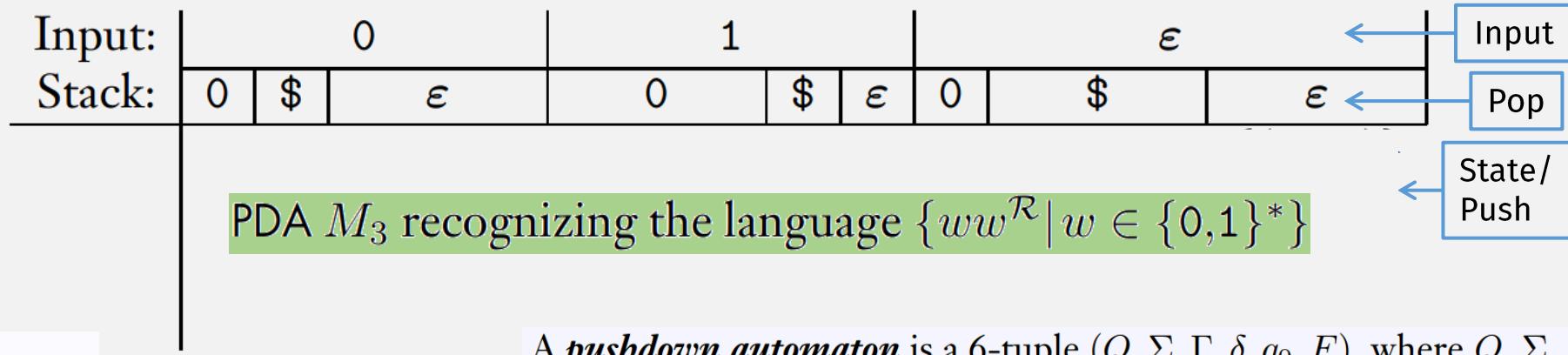
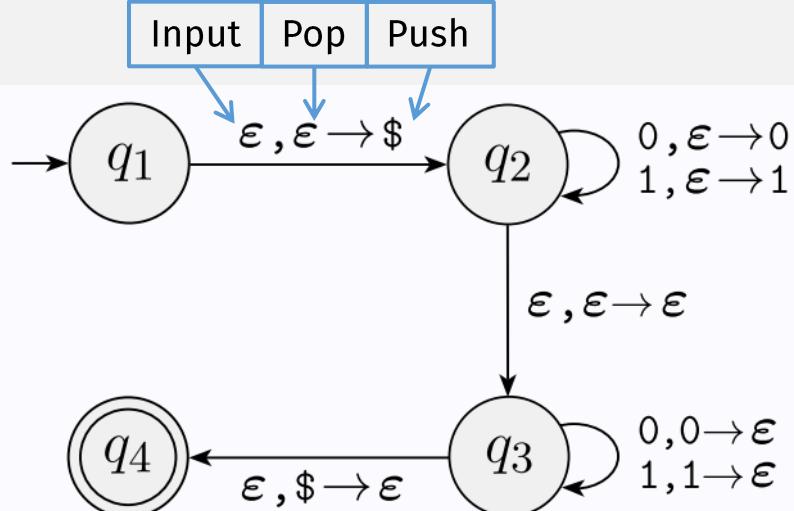
$Q =$

$\Sigma =$

$\Gamma =$

$F =$

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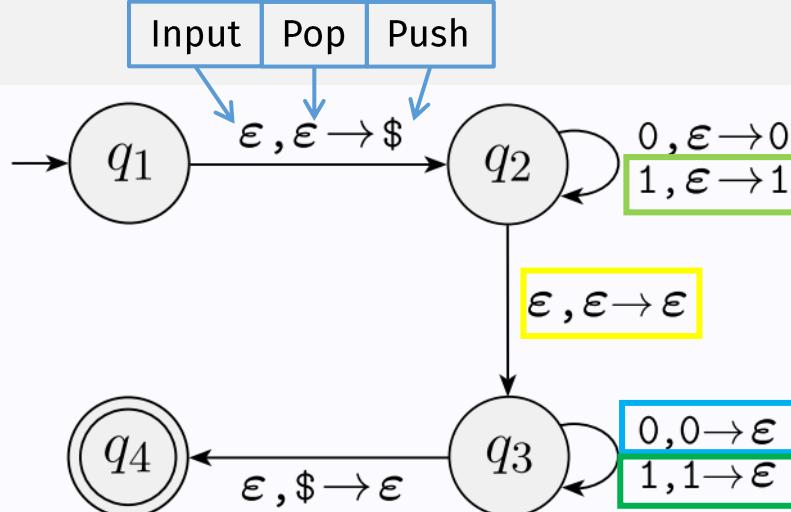
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Stack:	0	\$	ϵ	0	1	\$	ϵ	0	\$	ϵ
	$\{q_1\}$	$\{(q_2, 0)\}$	$\{(q_3, \epsilon)\}$	$\{(q_2, 1)\}$	$\{(q_3, \epsilon)\}$	$\{(q_2, \$)\}$	$\{(q_3, \epsilon)\}$	$\{(q_4, \epsilon)\}$	$\{(q_2, \$)\}$	$\{(q_3, \epsilon)\}$
	$\{q_1\}$	$\{(q_2, 0)\}$	$\{(q_3, \epsilon)\}$	$\{(q_2, 1)\}$	$\{(q_3, \epsilon)\}$	$\{(q_2, \$)\}$	$\{(q_3, \epsilon)\}$	$\{(q_4, \epsilon)\}$	$\{(q_2, \$)\}$	$\{(q_3, \epsilon)\}$
	$\{q_1\}$	$\{(q_2, 0)\}$	$\{(q_3, \epsilon)\}$	$\{(q_2, 1)\}$	$\{(q_3, \epsilon)\}$	$\{(q_2, \$)\}$	$\{(q_3, \epsilon)\}$	$\{(q_4, \epsilon)\}$	$\{(q_2, \$)\}$	$\{(q_3, \epsilon)\}$

PDA M_3 recognizing the language $\{ww^R \mid w \in \{0,1\}^*\}$

DFA Computation Rules

Informally

Given

- A DFA (~ a “Program”)
- and **Input** = string of chars, e.g. “1101”

A DFA **computation** (~ “Program run”):

- **Start** in **start state**
- **Repeat**:
 - **Read 1 char** from **Input**, and
 - **Change state** according to *transition rules*

Result of computation:

- **Accept** if last state is **Accept state**
- **Reject** otherwise

Formally (i.e., mathematically)

- $M = (Q, \Sigma, \delta, q_0, F)$
- $w = w_1 w_2 \cdots w_n$

A DFA **computation** is a
sequence of states:

- specified by $\hat{\delta}(q_0, w)$ where:
 - M **accepts** w if $\hat{\delta}(q_0, w) \in F$
 - M **rejects** otherwise

DFA Multi-step Transition Function

$$\hat{\delta}: Q \times \Sigma^* \rightarrow Q$$

- Domain (inputs):
 - state $q \in Q$
 - string $w = w_1 w_2 \cdots w_n$ where $w_i \in \Sigma$
- Range (output):
 - state $q \in Q$

A DFA **computation** is a
sequence of states:

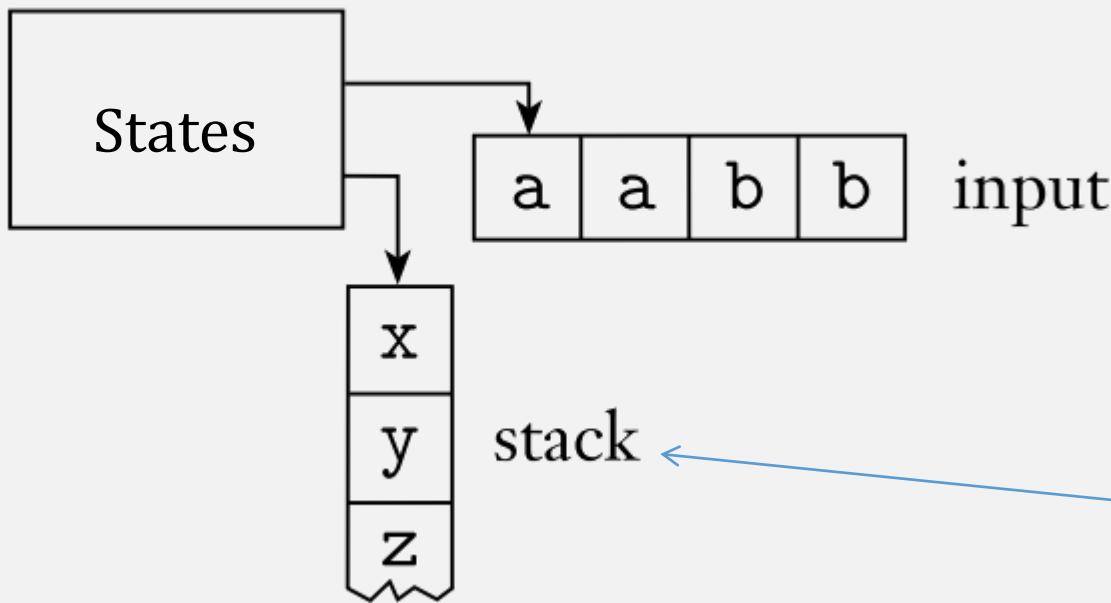
(Defined recursively)

Base case $\hat{\delta}(q, \varepsilon) = q$

Recursive Case $\hat{\delta}(q, w'w_n) = \delta(\hat{\delta}(q, w'), w_n)$
where $w' = w_1 \cdots w_{n-1}$

PDA Computation?

- **PDA** = NFA + a stack
 - Infinite memory
 - Push/pop top location only



A DFA **computation** is a
sequence of states ...

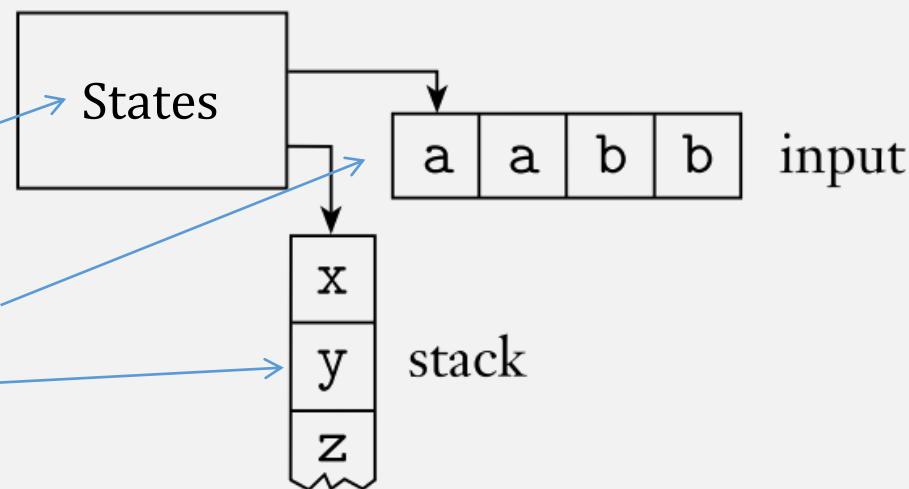
A PDA **computation** is a not just a
sequence of states ...

... because the **stack contents**
can change too!

PDA Configurations (IDs)

- A **configuration** (or **ID**) is a “snapshot” of a PDA’s computation

- 3 components (q, w, γ):
 - q = the current state
 - w = the remaining input string
 - γ = the stack contents

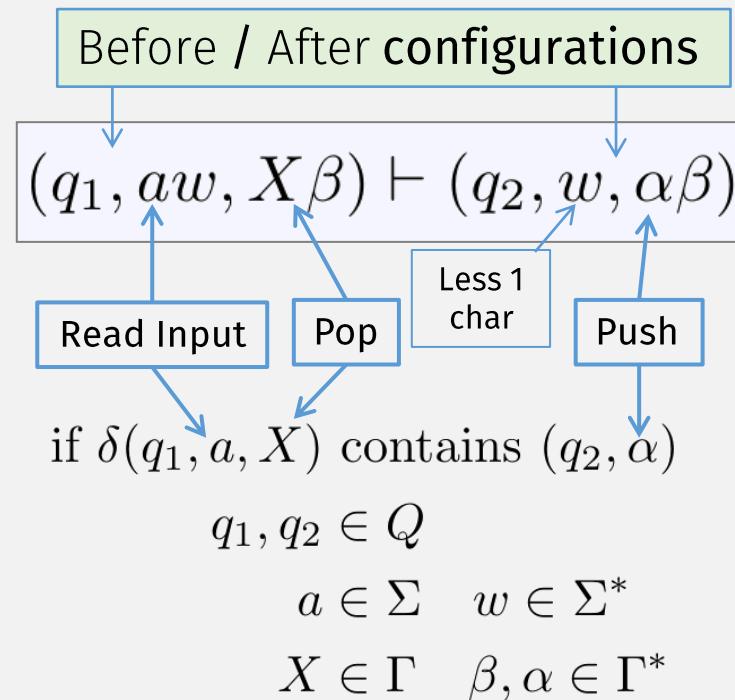


A **sequence of configurations** represents a **PDA computation**

PDA Computation, Formally

$$P = (Q, \Sigma, \Gamma, \delta, q_0, F)$$

Single-step



A configuration (q, w, γ) has three components

q = the current state

w = the remaining input string

γ = the stack contents

Multi-step

- Base Case

0 steps

$I \vdash^* I$ for any ID I

- Recursive Case

> 0 steps

$I \vdash^* J$ if there exists some ID K

such that $I \vdash K$ and $K \vdash^* J$

Single step

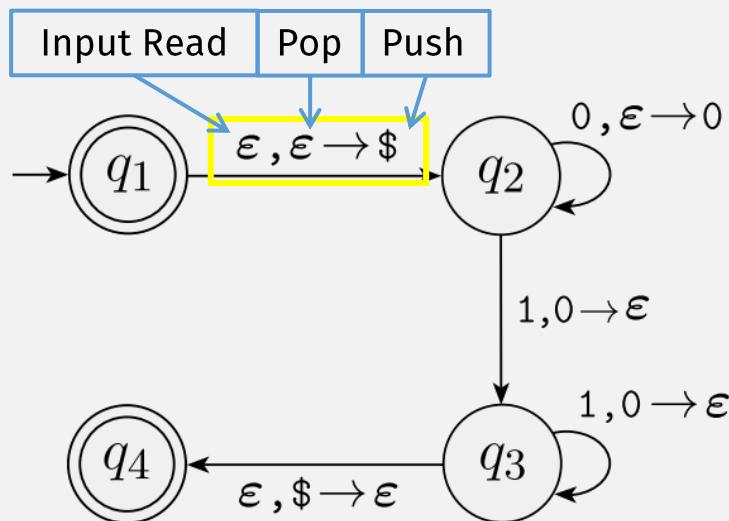
Recursive “call”

This specifies the **sequence of configurations** for a PDA computation

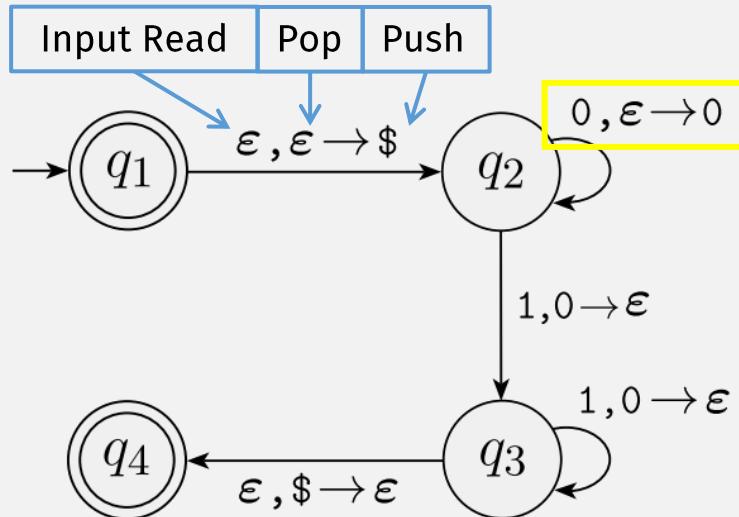
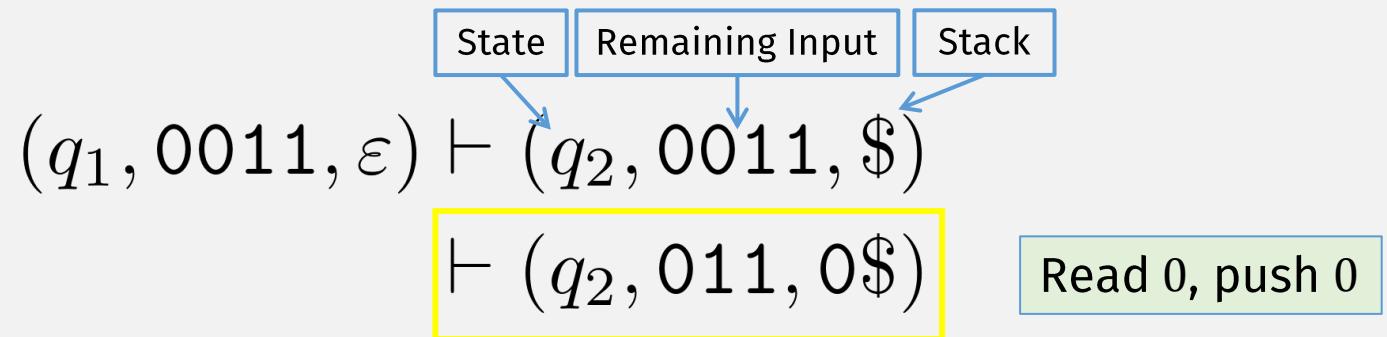
PDA Running Input String Example

($q_1, 0011, \varepsilon$)

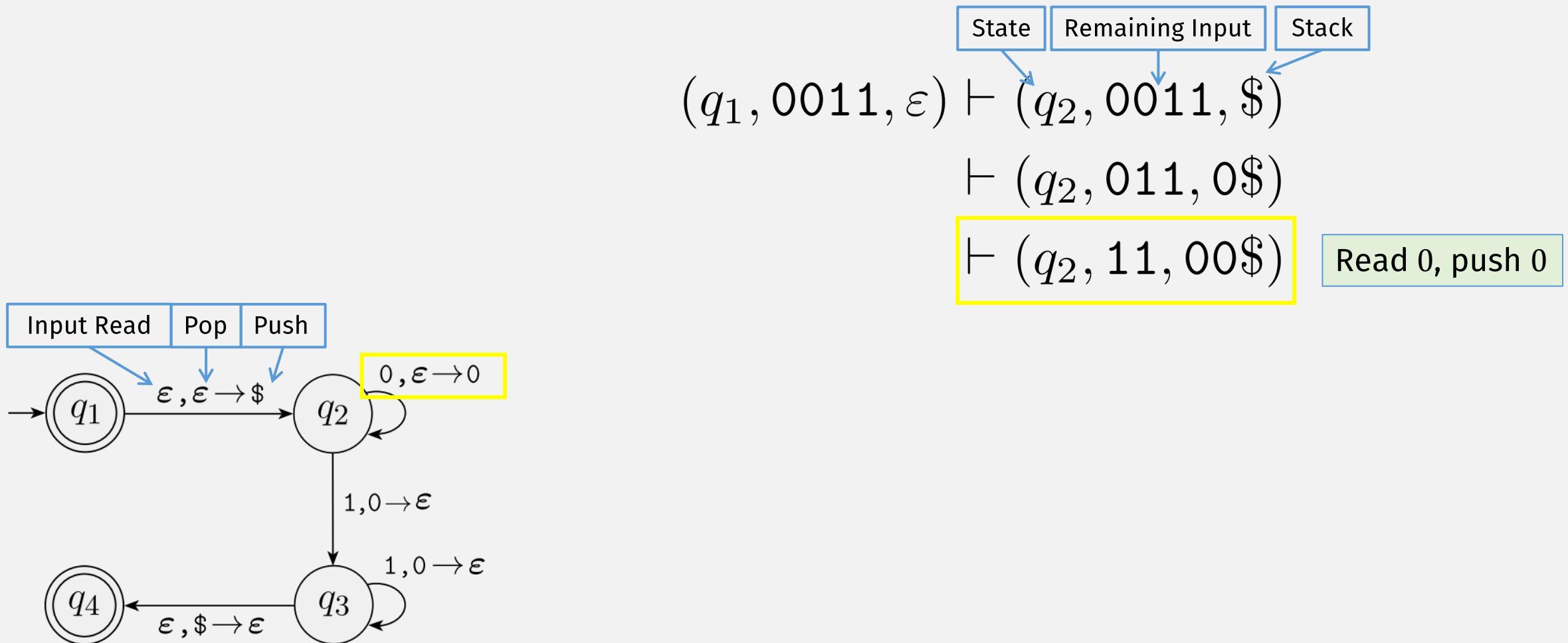
State Remaining Input Stack



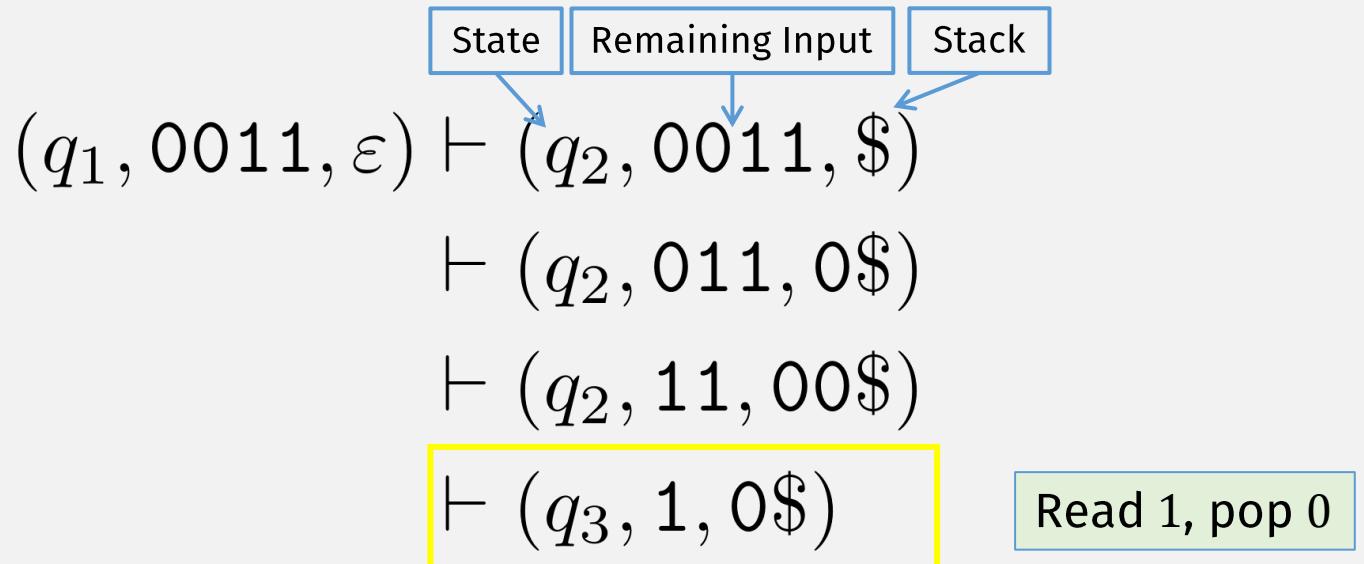
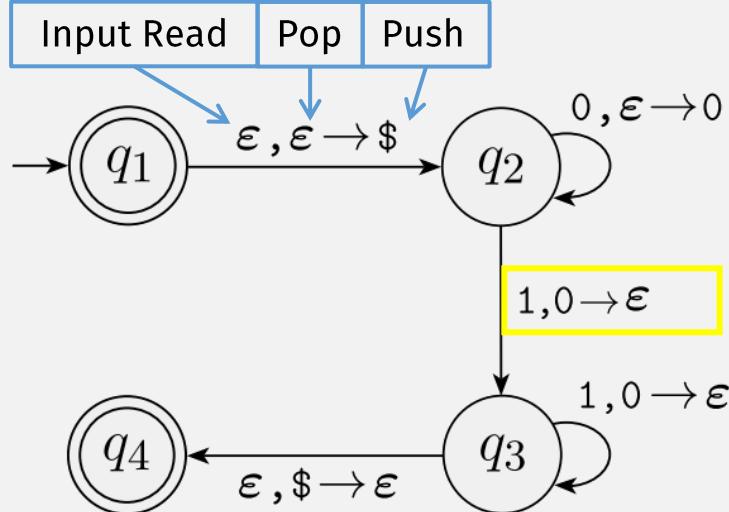
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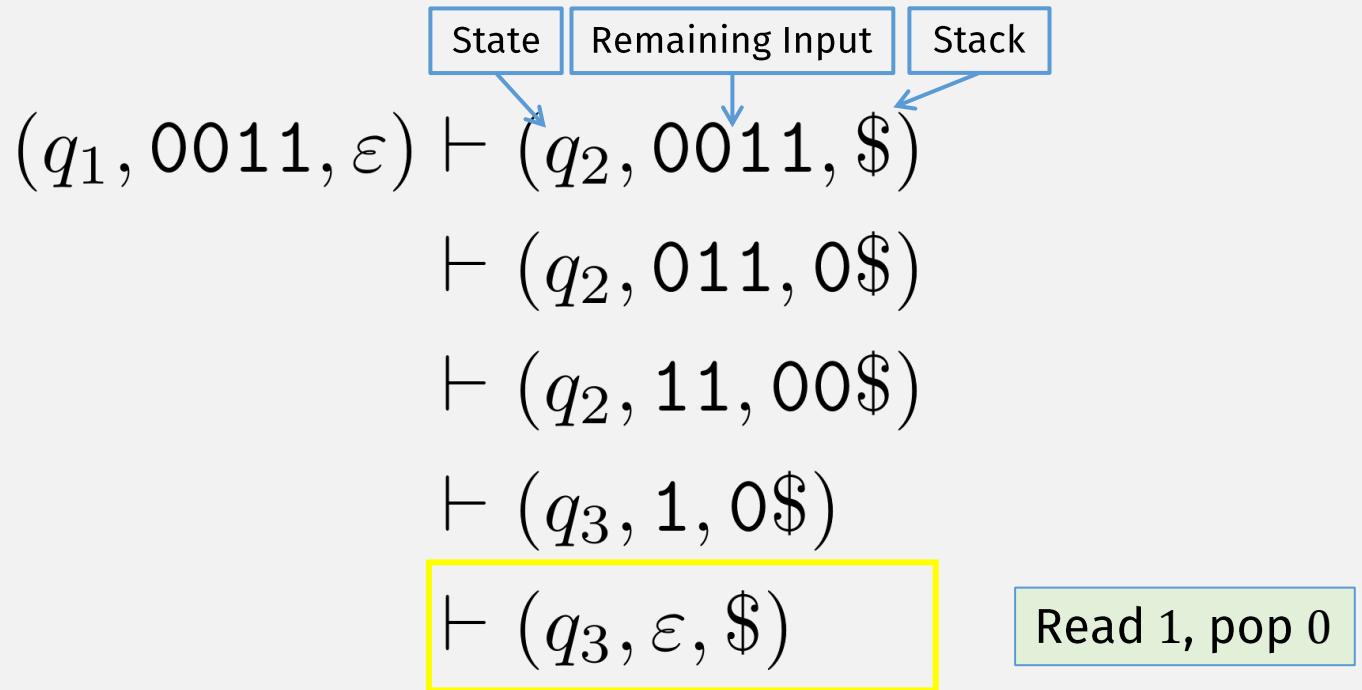
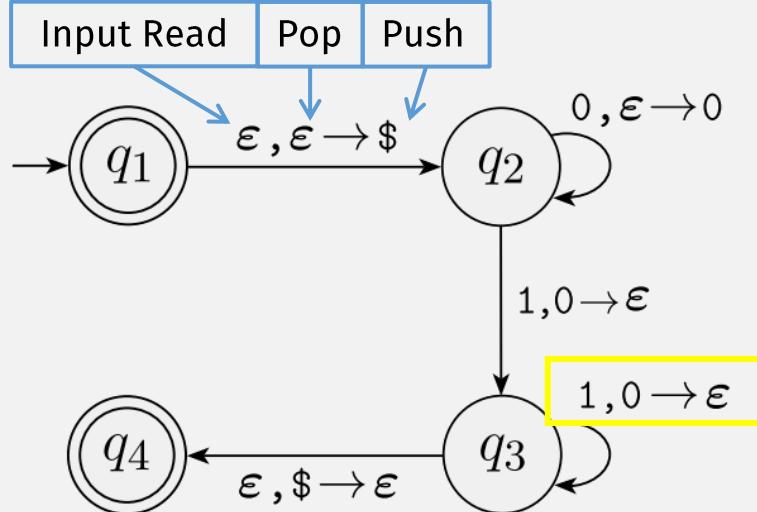
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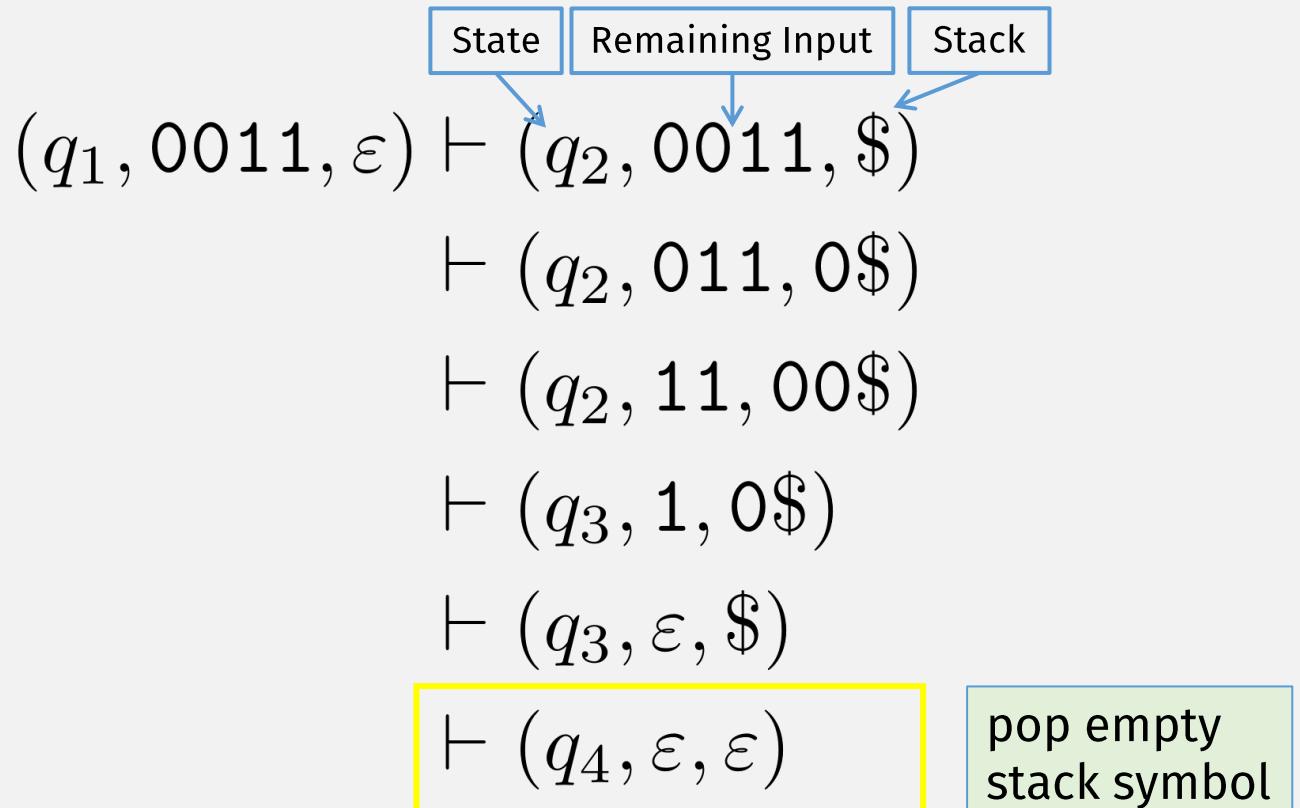
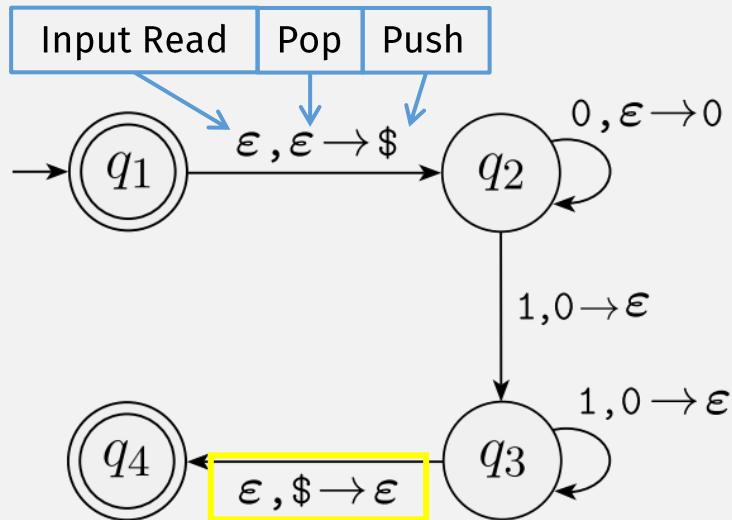
PDA Running Input String Example



PDA Running Input String Example



PDA Running Input String Example

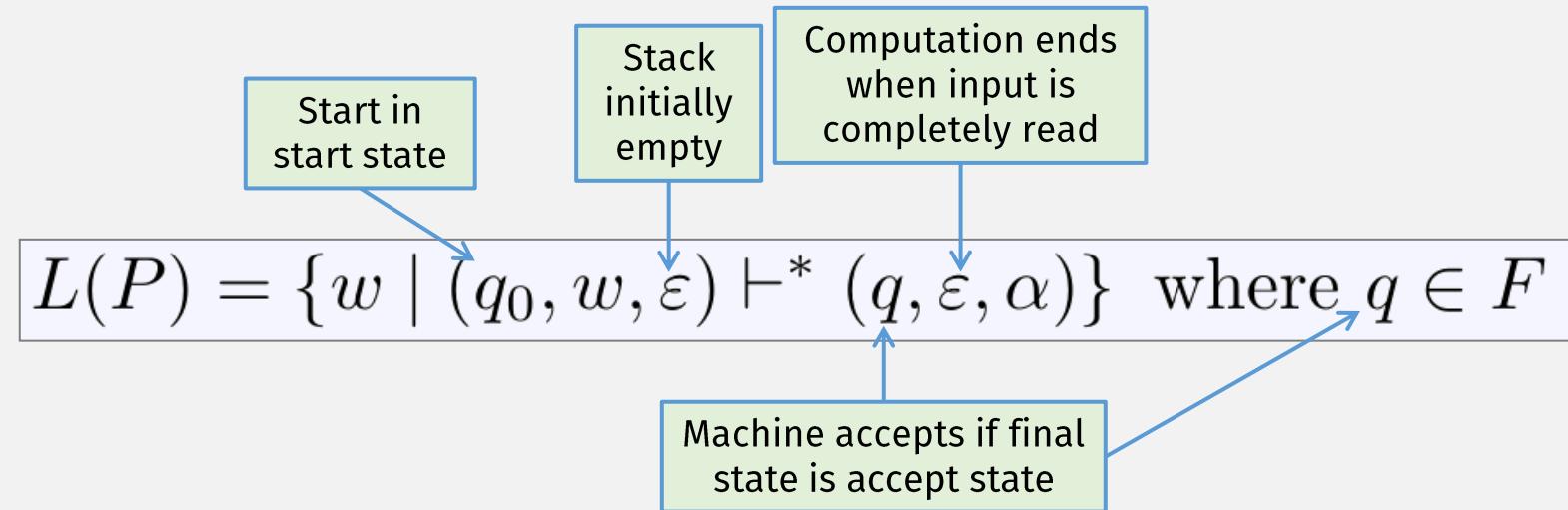


Flashback: Computation and Languages

- The **language** of a machine is the set of all strings that it accepts
- E.g., A DFA M **accepts** w if $\hat{\delta}(q_0, w) \in F$
- Language of $M = L(M) = \{ w \mid M \text{ accepts } w \}$

Language of a PDA

$$P = (Q, \Sigma, \Gamma, \delta, q_0, F)$$

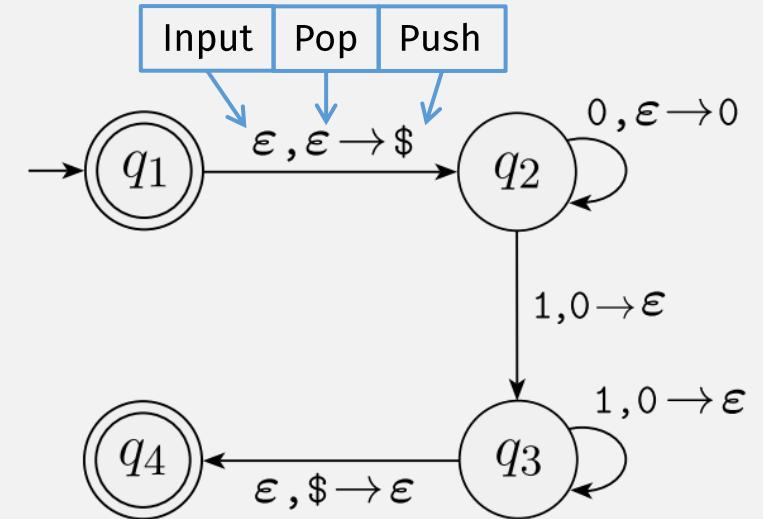


A **configuration** (q, w, γ) has three components

q = the current state
 w = the remaining input string
 γ = the stack contents

PDAs and CFLs?

- **PDA = NFA + a stack**
 - Infinite memory
 - Push/pop top location only
- Want to prove: PDAs represent CFLs!
- We know: a CFL, by definition, is a language that is generated by a CFG
- Need to show: PDA \Leftrightarrow CFG
- Then, to prove that a language is a CFL, we can either:
 - Create a CFG, or
 - Create a PDA



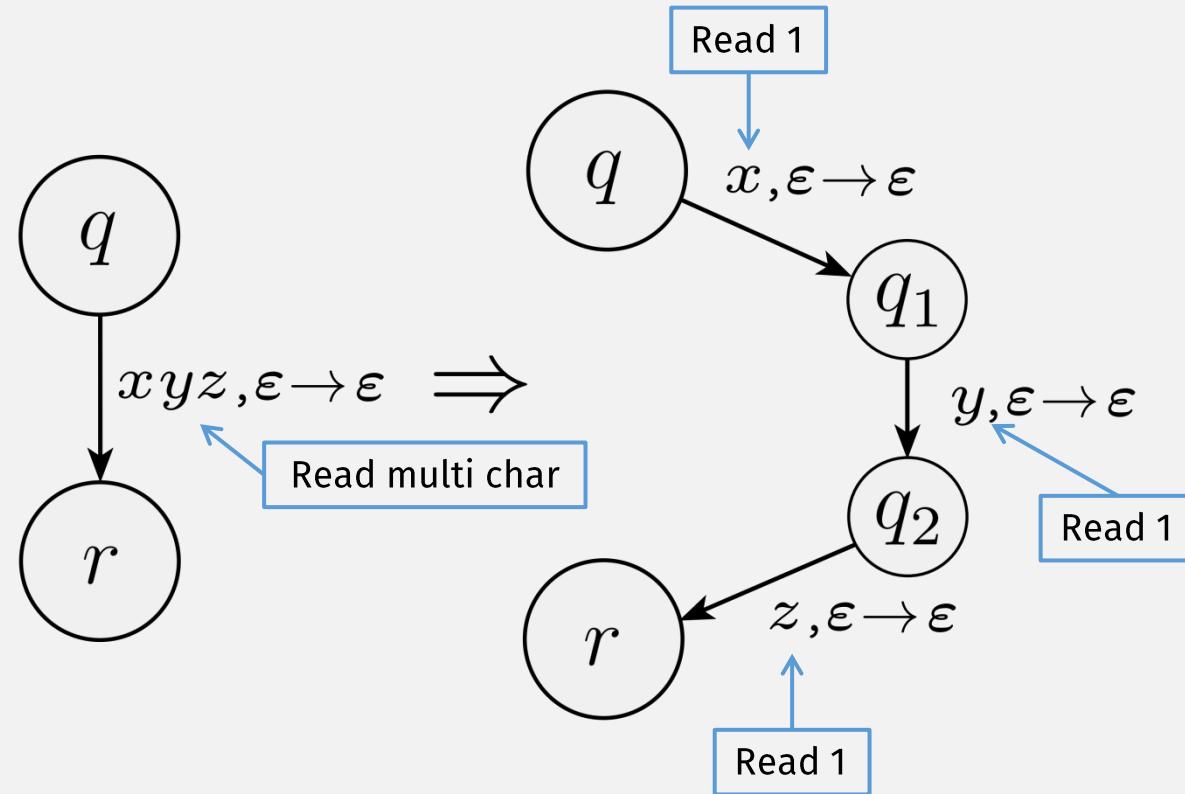
A lang is a CFL iff some PDA recognizes it

⇒ If a language is a **CFL**, then a PDA recognizes it

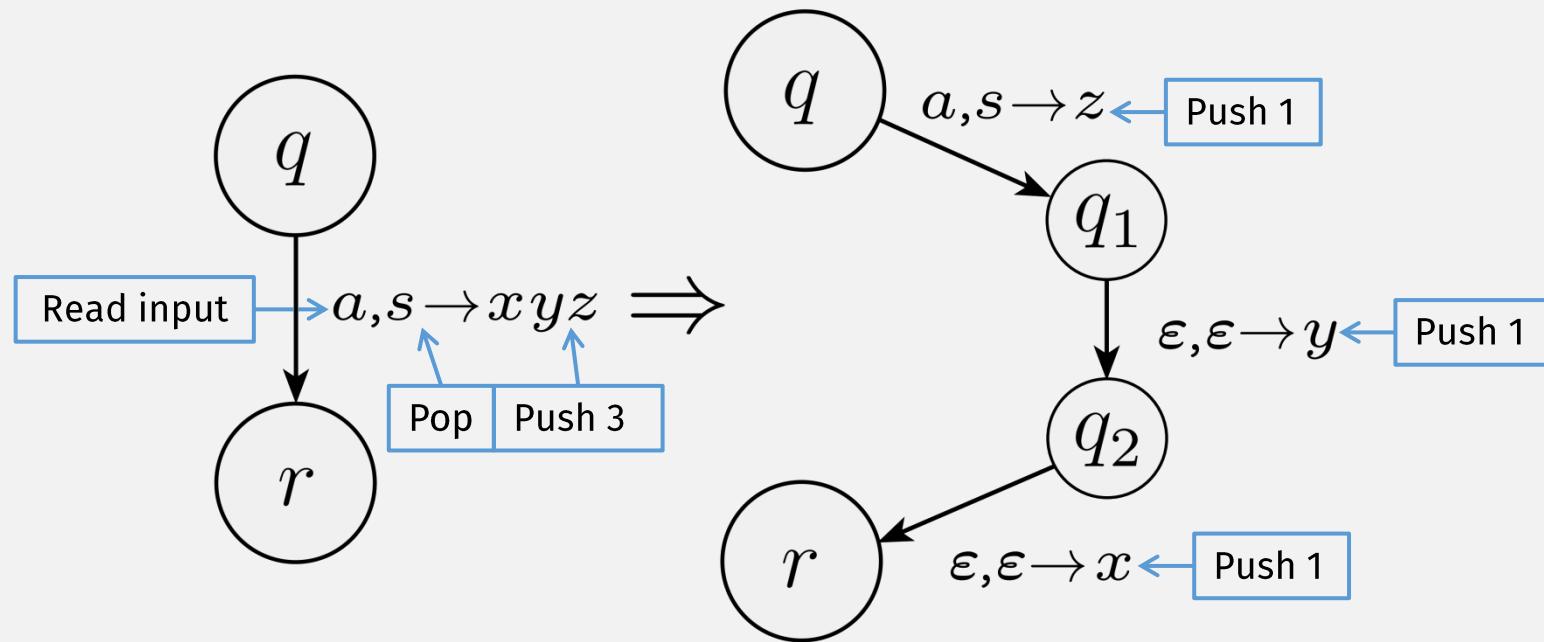
- We know: A CFL has a CFG describing it (definition of CFL)
- To prove this part: show the CFG has an equivalent PDA

⇐ If a PDA recognizes a language, then it's a CFL

Shorthand: Multi-Symbol Read Transition



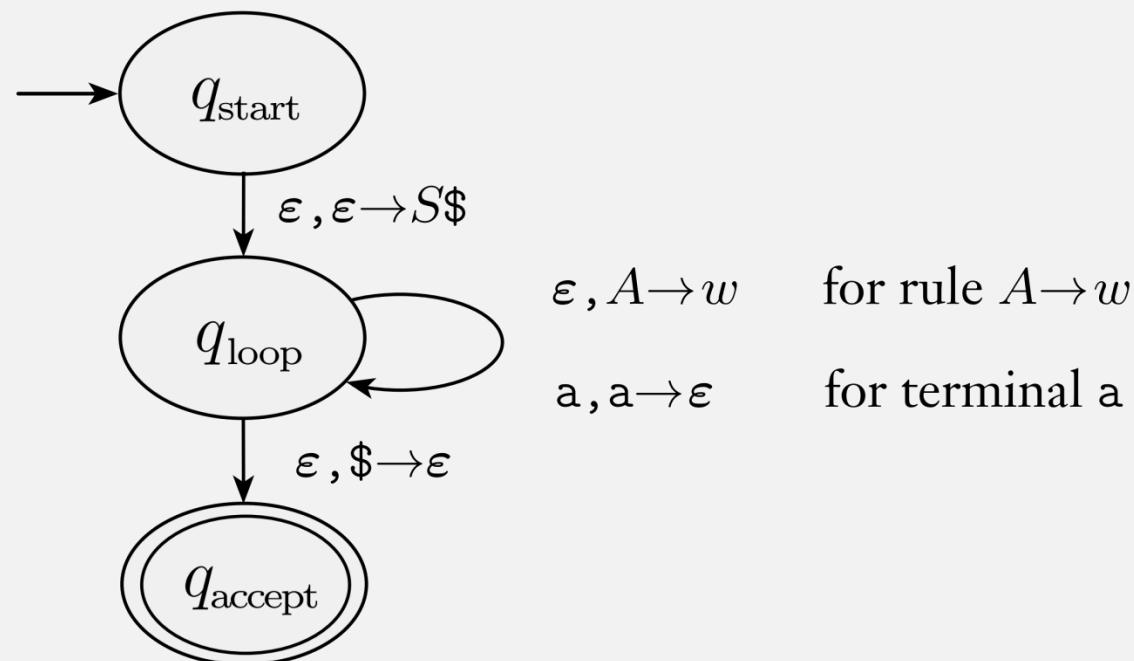
Shorthand: Multi-Stack Push Transition



Note the reverse order of pushes

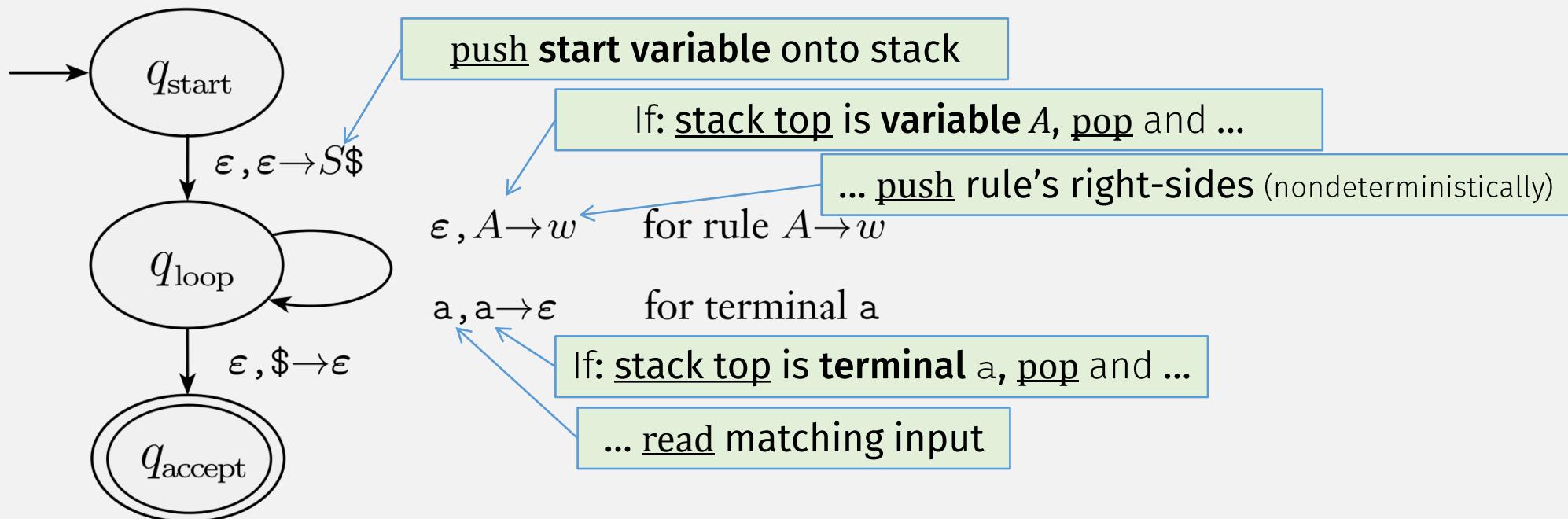
CFG \rightarrow PDA (sketch)

- Construct PDA from CFG such that:
 - PDA accepts input only if CFG generates it
- PDA:
 - simulates generating a string with CFG rules
 - by (nondeterministically) trying all rules to find the right ones

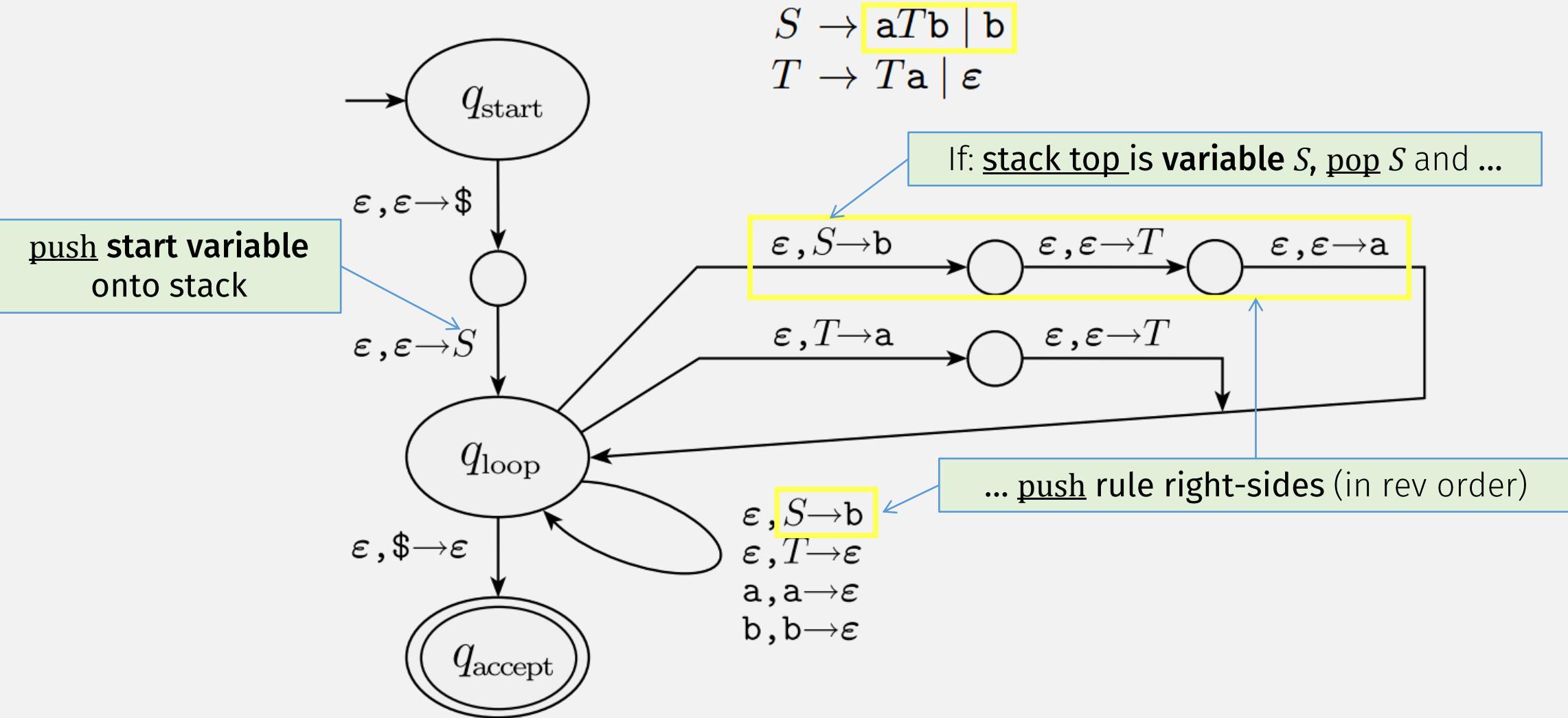


CFG→PDA (sketch)

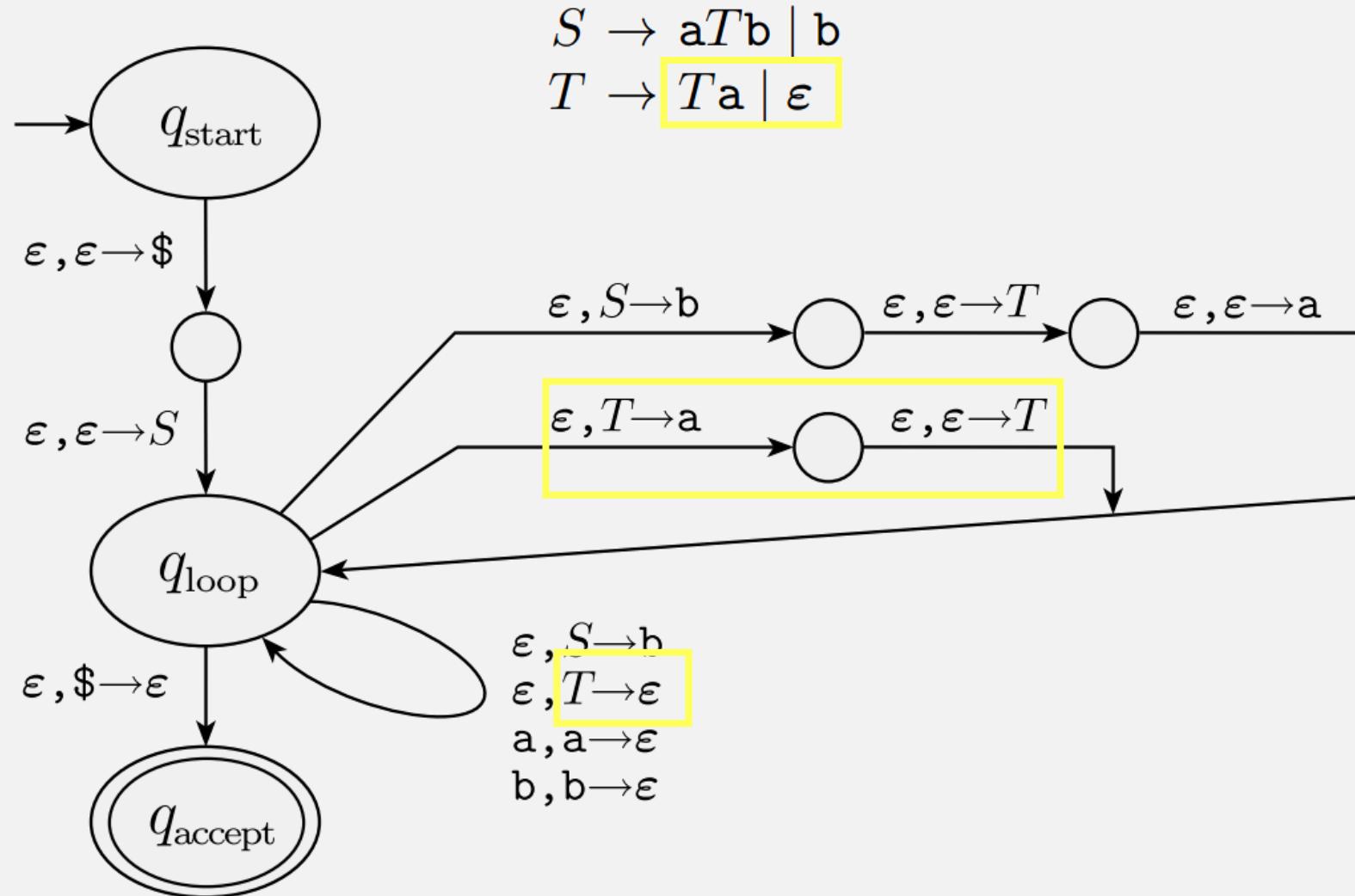
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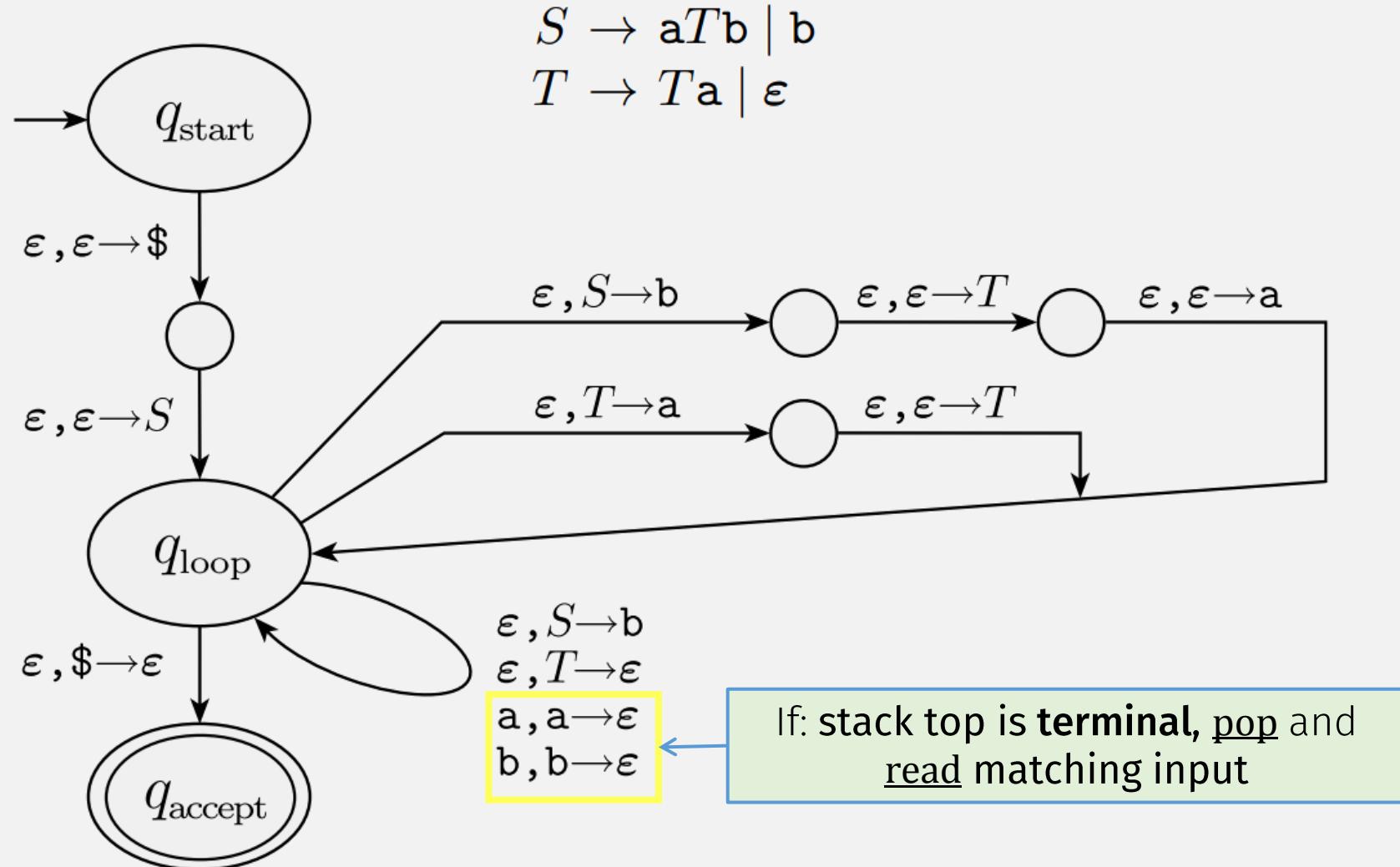
Example CFG \rightarrow PDA



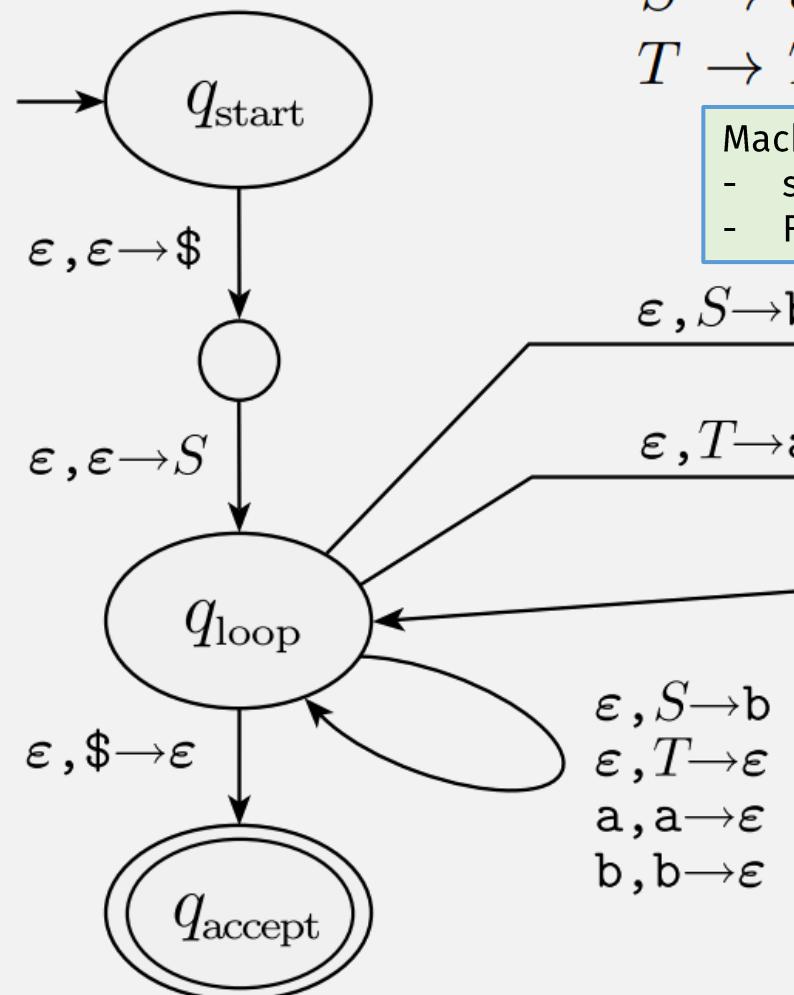
Example CFG \rightarrow PDA



Example CFG→PDA



Example CFG→PDA



$$\begin{array}{l} S \rightarrow aTb \mid b \\ T \rightarrow Ta \mid \epsilon \end{array}$$

- Machine is doing reverse of grammar:
- start with the string,
- Find rules that generate string

PDA Example

State	Input	Stack	Equiv Rule
q_{start}	aab		
q_{loop}	aab	S\$	
q_{loop}	aab	aTb\$	$S \rightarrow aTb$
q_{loop}	ab	Tb\$	
q_{loop}	ab	Tab\$	$T \rightarrow Ta$
q_{loop}	ab	ab\$	$T \rightarrow \varepsilon$
q_{loop}	b	b\$	
q_{loop}		\$	
q_{accept}			

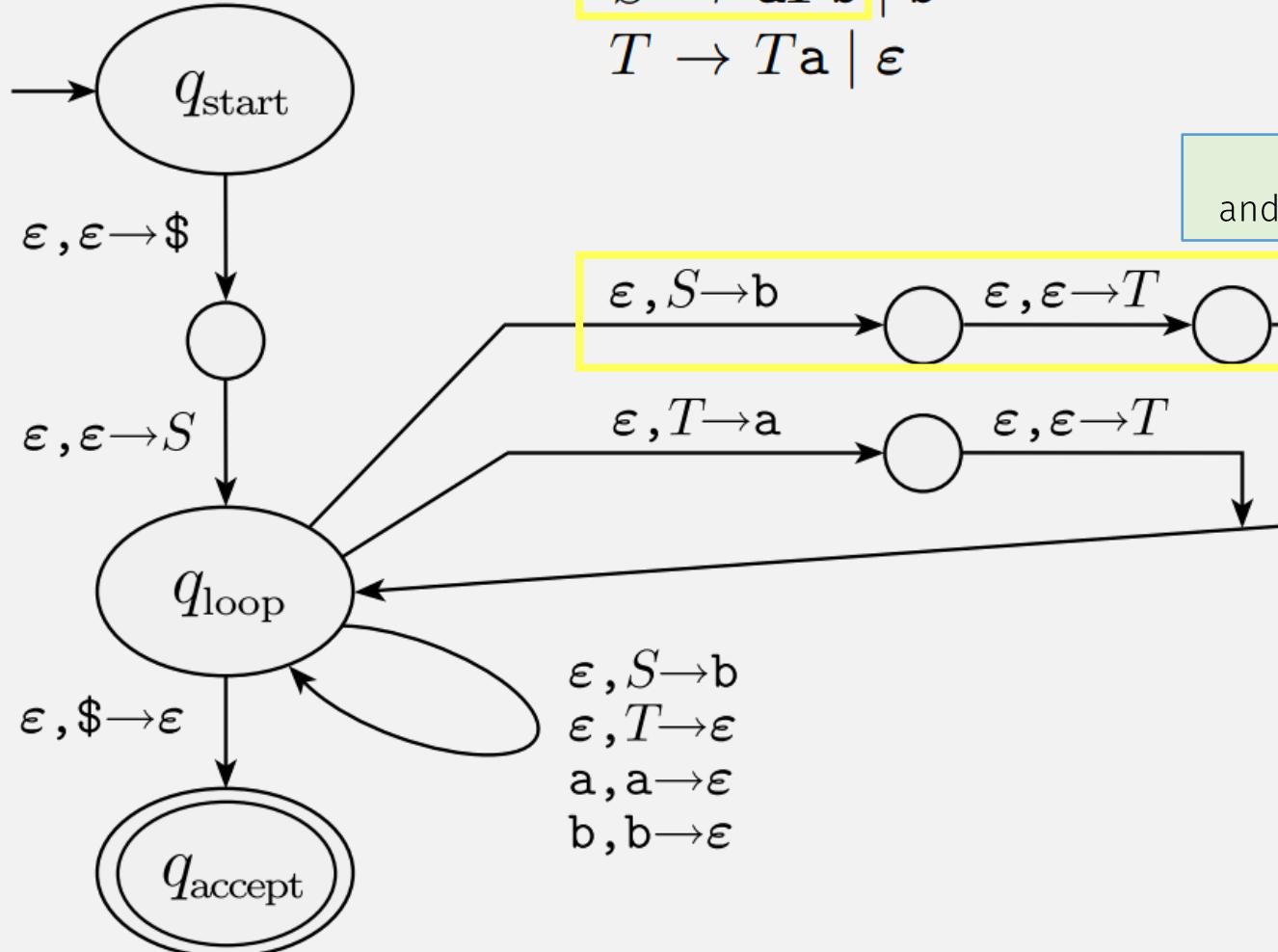
Example Derivation using CFG:

$S \Rightarrow aTb$ (using rule $S \rightarrow aTb$)

$\Rightarrow \mathbf{a} T \mathbf{b}$ (using rule $T \rightarrow T \mathbf{a}$)

$\Rightarrow \text{aab}$ (using rule $T \rightarrow \varepsilon$)

Example CFG \rightarrow PDA



Example Derivation using CFG:

$S \Rightarrow aTb$ (using rule $S \rightarrow aTb$)

$\Rightarrow aTab$ (using rule $T \rightarrow Ta$)

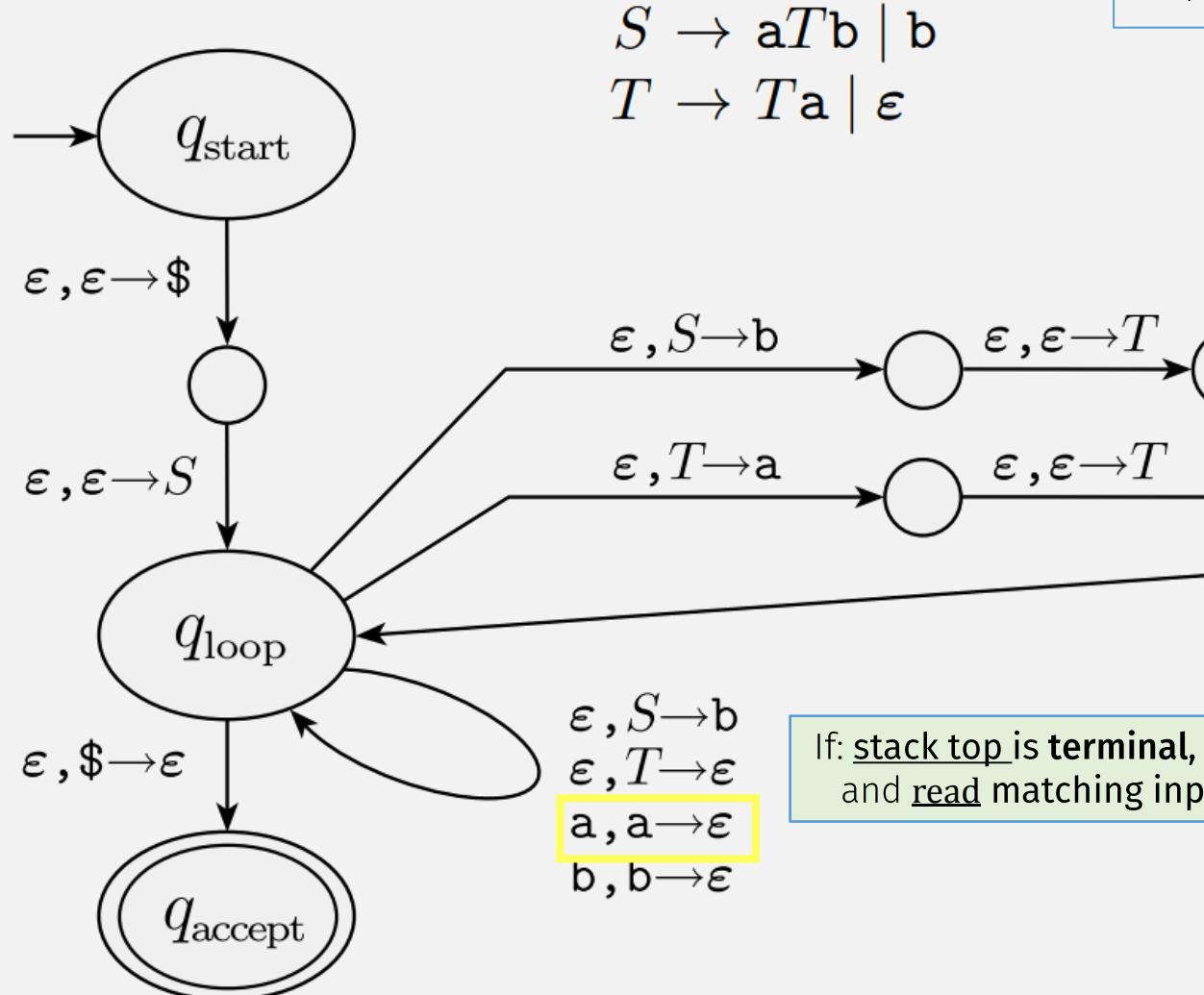
$\Rightarrow aab$ (using rule $T \rightarrow \epsilon$)

If: stack top is variable S , pop S
and push rule right-sides (in rev order)

PDA Example

State	Input	Stack	Equiv Rule
q_{start}	aab		
q_{loop}	aab	$S\$$	
q_{loop}	aab	$aTb\$$	$S \rightarrow aTb$
q_{loop}	ab	$Tb\$$	
q_{loop}	ab	$Tab\$$	$T \rightarrow Ta$
q_{loop}	ab	$ab\$$	$T \rightarrow \epsilon$
q_{loop}	b	$b\$$	
		\$	
q_{accept}			

Example CFG \rightarrow PDA



Example Derivation using CFG:

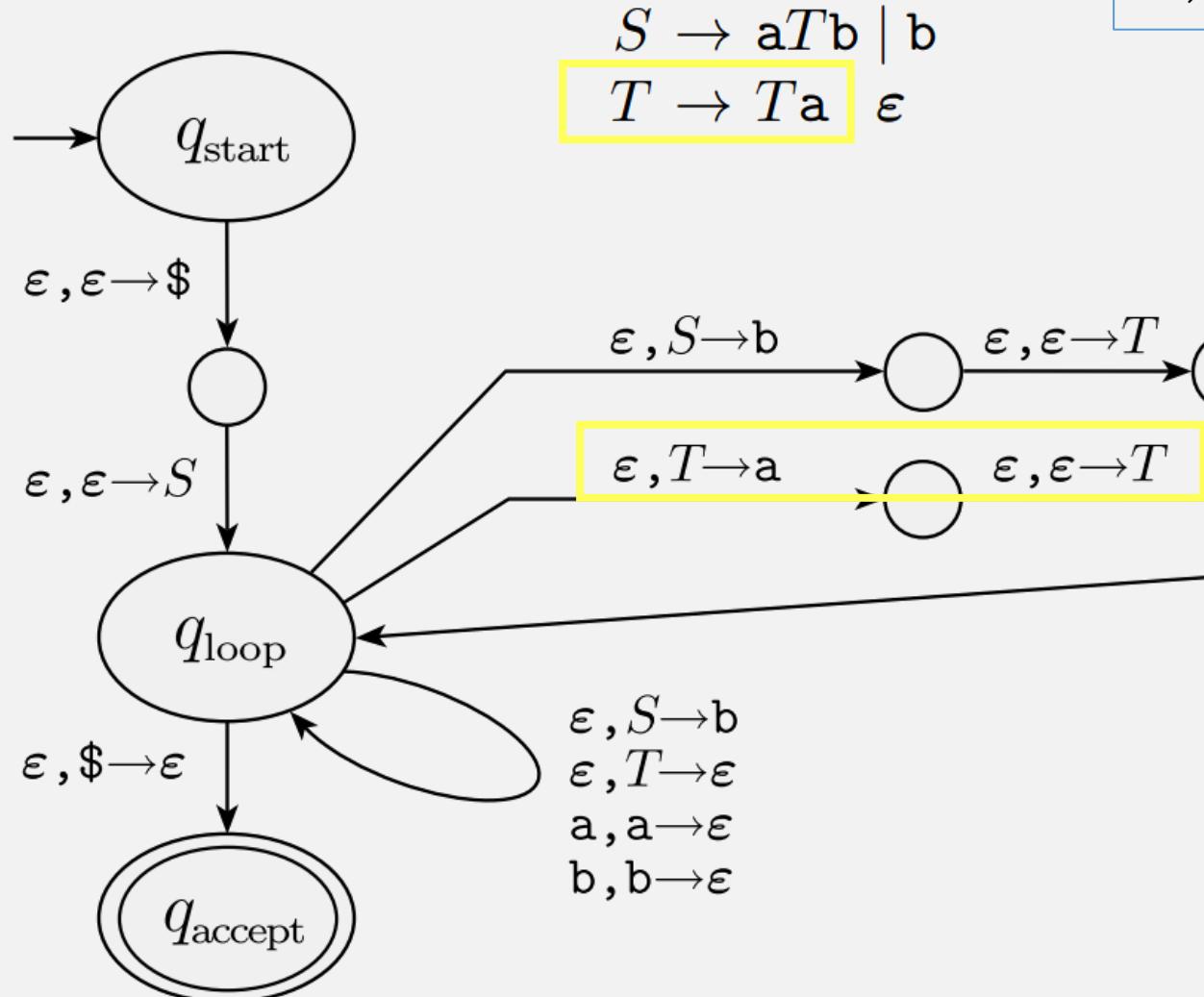
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PDA Example

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q_{loop}	b	$b\$$	
		\$	
q_{accept}			

If: stack top is terminal, pop and read matching input

Example CFG \rightarrow PDA



Example Derivation using CFG:

$S \Rightarrow aTb$ (using rule $S \rightarrow aTb$)

$\Rightarrow aTab$ (using rule $T \rightarrow Ta$)

$\Rightarrow aab$ (using rule $T \rightarrow \epsilon$)

PDA Example

State	Input	Stack	Equiv Rule
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q_{loop}	b	$b\$$	
q_{accept}		\$	

A lang is a CFL iff some PDA recognizes it

 ⇒ If a language is a CFL, then a PDA recognizes it

- Convert CFG → PDA

⇐ If a PDA recognizes a language, then it's a CFL

- To prove this part: show PDA has an equivalent CFG

PDA \rightarrow CFG: Prelims

Before converting PDA to CFG, modify it so :

1. It has a single accept state, q_{accept} .
2. It empties its stack before accepting.
3. Each transition either pushes a symbol onto the stack (a *push* move) or pops one off the stack (a *pop* move), but it does not do both at the same time.

Important:

This doesn't change the language recognized by the PDA

PDA $P \rightarrow$ CFG G : Variables

$P = (Q, \Sigma, \Gamma, \delta, q_0, \{q_{\text{accept}}\})$ variables of G are $\{A_{pq} \mid p, q \in Q\}$

- Want: if P goes from state p to q reading input x , then some A_{pq} generates x
- So: For every pair of states p, q in P , add variable A_{pq} to G
- Then: connect the variables together by,
 - Add rules: $A_{pq} \rightarrow A_{pr}A_{rq}$, for each state r
 - These rules allow grammar to simulate every possible transition
 - (We haven't added input read/generated terminals yet)
- To add terminals: pair up stack pushes and pops (essence of a CFL)

The Key IDEA

PDA $P \rightarrow$ CFG G : Generating Strings

$$P = (Q, \Sigma, \Gamma, \delta, q_0, \{q_{\text{accept}}\})$$

variables of G are $\{A_{pq} \mid p, q \in Q\}$

- The key: pair up stack pushes and pops (essence of a CFL)

if $\delta(p, a, \epsilon)$ contains (r, u) and $\delta(s, b, u)$ contains (q, ϵ) ,

put the rule $A_{pq} \rightarrow aA_{rs}b$ in G

PDA $P \rightarrow$ CFG G : Generating Strings

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PDA $P \rightarrow$ CFG G : Generating Strings

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A language is a CFL \Leftrightarrow A PDA recognizes it

\Rightarrow If a language is a CFL, then a PDA recognizes it

- Convert CFG \rightarrow PDA

\Leftarrow If a PDA recognizes a language, then it's a CFL

- Convert PDA \rightarrow CFG



Submit in-class work 3/20

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