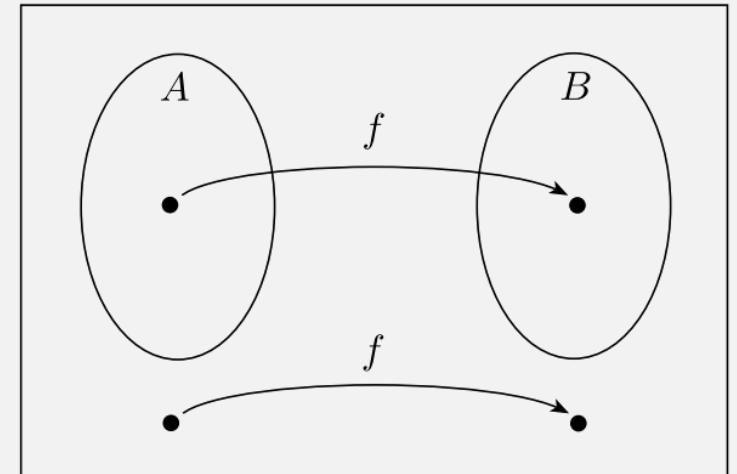


UMB CS622
Mapping Reducibility
& Unrecognizability
Wednesday, October 27, 2021



Announcements

- HW6 due date extended
 - Due Wed 11/3 11:59pm

Last Time: Algorithms For CFLs

- $A_{\text{CFG}} = \{\langle G, w \rangle \mid G \text{ is a CFG that generates string } w\}$ Decidable
- $E_{\text{CFG}} = \{\langle G \rangle \mid G \text{ is a CFG and } L(G) = \emptyset\}$ Why is this decidable? Decidable
- $ALL_{\text{CFG}} = \{\langle G \rangle \mid G \text{ is a CFG and } L(G) = \Sigma^*\}$ But this is undecidable? Undecidable

Last time: Exploring the Limits of CFLs

- This is a CFL: $\{w_1 \# w_2 \mid w_1 \neq w_2\}$
 - PDA nondeterministically checks matching positions in 1st/2nd parts
 - And rejects if any pair of chars are not the same
 - I.e., Each branch is “context free”
- This is not a CFL: $\{w_1 \# w_2 \mid w_1 = w_2\}$
 - Can nondeterministically check matching positions
 - But needs to accept only if all branches match
 - I.e., each branch is not “context free”

This is like the TM config-rejecting PDA used to prove ALL_{CFG} undecidable

There's no TM config-accepting PDA because this language is not a CFL!
So it's ok that E_{CFG} is decidable

This is similar to the ww language (not pumpable)

Summary: CFLs cannot do (stack-based) nondet. computation where a branch depends on other branch results

(This is also why **union is closed for CFLs** but **intersection is not**)

Last time: Algorithms For CFLs

- $A_{\text{CFG}} = \{\langle G, w \rangle \mid G \text{ is a CFG that generates string } w\}$ Decidable
- $E_{\text{CFG}} = \{\langle G \rangle \mid G \text{ is a CFG and } L(G) = \emptyset\}$ Decidable
- $ALL_{\text{CFG}} = \{\langle G \rangle \mid G \text{ is a CFG and } L(G) = \Sigma^*\}$ Undecidable
- $EQ_{\text{CFG}} = \{\langle G, H \rangle \mid G \text{ and } H \text{ are CFGs and } L(G) = L(H)\}$ Undecidable?

(Still need to prove this is undecidable)

Theorem: EQ_{CFG} is undecidable

$$EQ_{CFG} = \{\langle G, H \rangle \mid G \text{ and } H \text{ are CFGs and } L(G) = L(H)\}$$

Proof by contradiction: Assume EQ_{CFG} has a decider R

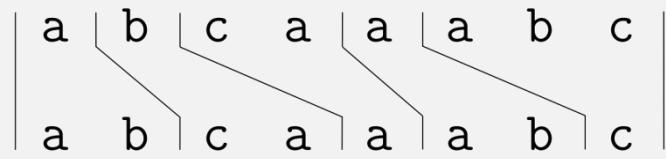
- Use R to create a decider for ALL_{CFG} :

On input $\langle G \rangle$:

- Construct a CFG G_{ALL} which generates all possible strings
- Run R with G and G_{ALL}
- Accept G if R accepts, else reject

The Post Correspondence Problem (PCP)

A Non-Formal Languages Undecidable Problem: *PCP*

- Let P be a set of “**dominos**” $\left\{ \left[\frac{t_1}{b_1} \right], \left[\frac{t_2}{b_2} \right], \dots, \left[\frac{t_k}{b_k} \right] \right\}$
 - Where each t_i and b_i are strings
 - E.g., $P = \left\{ \left[\frac{b}{ca} \right], \left[\frac{a}{ab} \right], \left[\frac{ca}{a} \right], \left[\frac{abc}{c} \right] \right\}$
- A **match** is:
 - A sequence of dominoes with the same top and bottom strings
 - E.g., $\left[\frac{a}{ab} \right] \left[\frac{b}{ca} \right] \left[\frac{ca}{a} \right] \left[\frac{a}{ab} \right] \left[\frac{abc}{c} \right]$  
 - Then: $PCP = \{ \langle P \rangle \mid P \text{ is a set of dominos with a match} \}$

Repeats allowed

Theorem: PCP is undecidable

Proof by contradiction:

Assume PCP has a decider R and use to create decider for A_{TM} :

On input $\langle M, w \rangle$:

1. Construct a set of dominos P that has a match only when M accepts w
2. Run R with P as input
3. Accept if R accepts, else reject

P has M 's TM configurations as its domino strings

A match is a sequence of configs showing M accepting w !

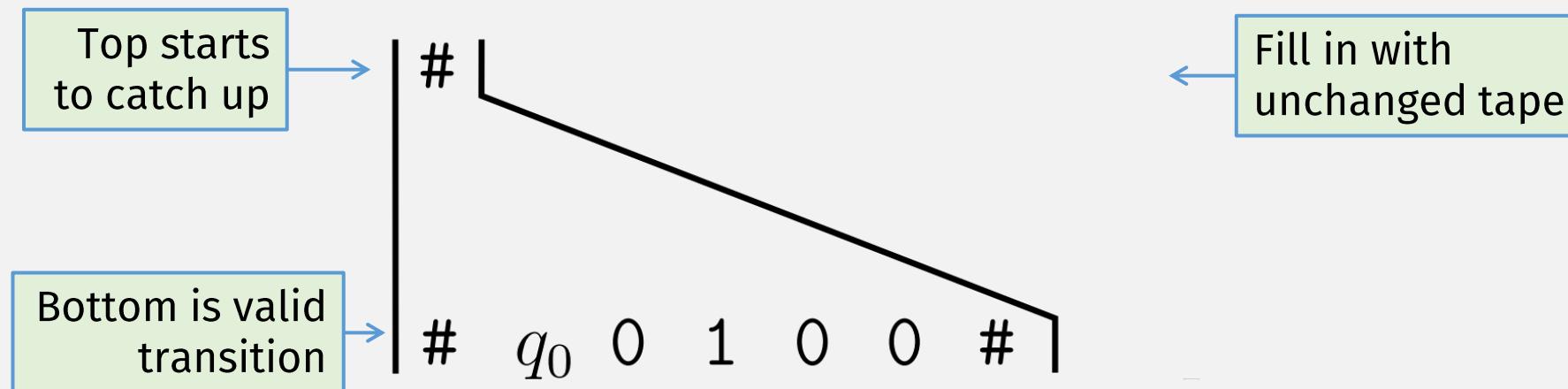
$$M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$$

PCP Dominos

- First domino: $\left[\frac{\#}{\#q_0w_1w_2 \cdots w_n\#} \right]$
- Key idea: the remaining dominos allow the top to “catch up” (i.e., create a match) only when the bottom is a valid TM step:
 - if $\delta(q, a) = (r, b, R)$, put $\left[\frac{qa}{br} \right]$ into P
 - if $\delta(q, a) = (r, b, L)$, put $\left[\frac{cqa}{rcb} \right]$ into P
- For the tape cells that don’t change: put $\left[\frac{a}{a} \right]$ into P

PCP Example

- Let $w = 0100$ and $\delta(q_0, 0) = (q_7, 2, R)$ so $\left[\frac{q_0 0}{2q_7} \right]$ in P



PCP Dominos (accepting)

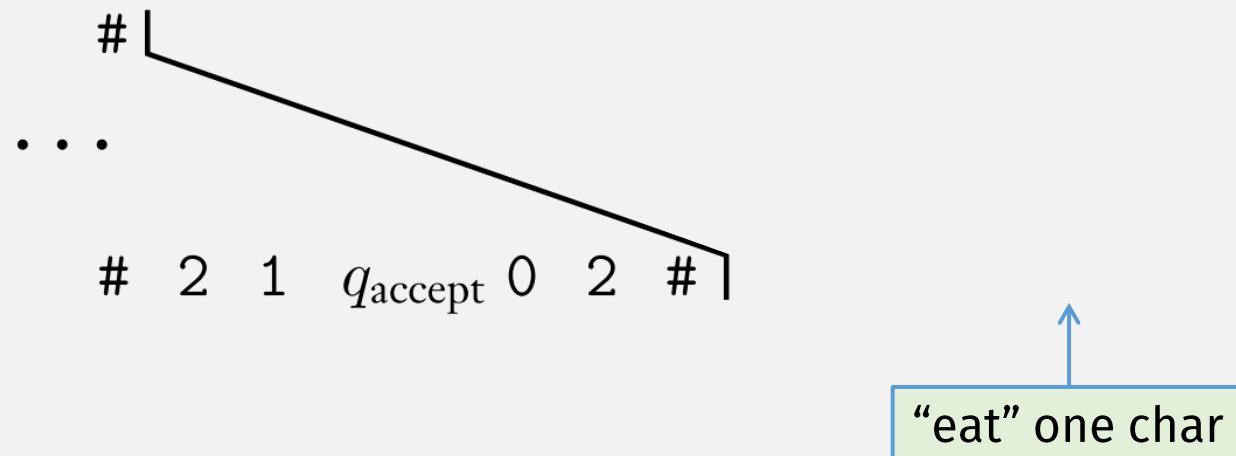
- When accept state reached, let top “catch” up:

For every $a \in \Gamma$,

put $\left[\frac{a q_{\text{accept}}}{q_{\text{accept}}} \right]$ and $\left[\frac{q_{\text{accept}} a}{q_{\text{accept}}} \right]$ into P

Bottom “eats” one char

Only possible match is accepting sequence of TM configs



Flashback: “Reduced”

$$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}$$



$$\text{HALT}_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w\}$$

Thm: HALT_{TM} is undecidable

Proof, by contradiction:

PROBLEM: What if it takes forever to create this decider?

- Assume HALT_{TM} has *decider* R ; use to create A_{TM} *decider*:

S = “On input $\langle M, w \rangle$, an encoding of a TM M and a string w :

1. Run TM R on input $\langle M, w \rangle$. ← Use R to first check if M will loop on w
2. If R rejects, *reject*. Then run M on w knowing it won't loop
3. If R accepts, simulate M on w until it halts. ←
4. If M has accepted, *accept*; if M has rejected, *reject*.”

- Contradiction: A_{TM} is undecidable and has no decider!

We need a formal definition of “reducibility”

Flashback: A_{NFA} is a decidable language

$A_{\text{NFA}} = \{\langle B, w \rangle \mid B \text{ is an NFA that accepts input string } w\}$

Decider for A_{NFA} :

N = “On input $\langle B, w \rangle$, where B is an NFA and w is a string:

1. Convert NFA B to an equivalent DFA C , using the procedure
NFA \rightarrow DFA
2. Run TM M on input $\langle C, w \rangle$.
3. If M accepts, *accept*; otherwise, *reject*.“

We said this **NFA \rightarrow DFA** algorithm is a TM, but it doesn't accept/reject?

More generally, we've been saying
“**programs = TMs**”,
but programs do more than accept/reject?

Computable Functions

- A TM that, instead of accept/reject, “outputs” final tape contents

A function $f: \Sigma^* \rightarrow \Sigma^*$ is a *computable function* if some Turing machine M , on every input w , halts with just $f(w)$ on its tape.

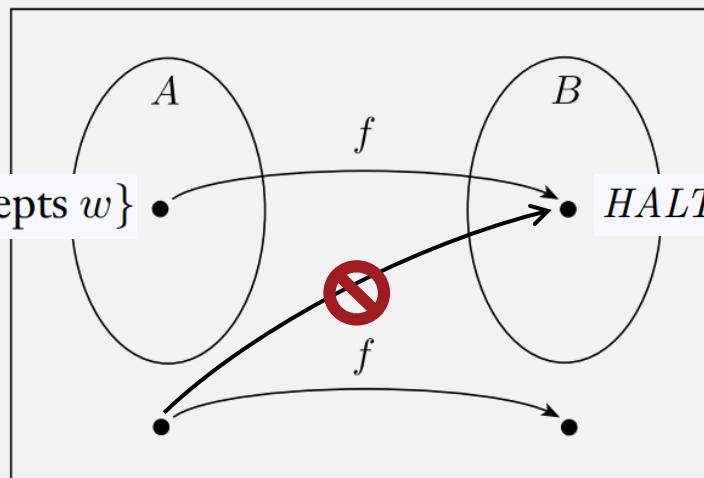
- Example 1: All arithmetic operations
- Example 2: Converting between machines, like DFA \rightarrow NFA
 - E.g., adding states, changing transitions, wrapping TM in TM, etc.

Mapping Reducibility

Language A is *mapping reducible* to language B , written $A \leq_m B$, if there is a computable function $f: \Sigma^* \rightarrow \Sigma^*$, where for every w ,

$$w \in A \iff f(w) \in B.$$

The function f is called the *reduction* from A to B .



A function $f: \Sigma^* \rightarrow \Sigma^*$ is a *computable function* if some Turing machine M , on every input w , halts with just $f(w)$ on its tape.

Thm: A_{TM} is mapping reducible to HALT_{TM}

$$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}$$



$$\text{HALT}_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w\}$$

- To show: $A_{\text{TM}} \leq_m \text{HALT}_{\text{TM}}$

- Want: computable fn $f : \langle M, w \rangle \rightarrow \langle M', w' \rangle$ where:

$\langle M, w \rangle \in A_{\text{TM}}$ if and only if $\langle M', w' \rangle \in \text{HALT}_{\text{TM}}$

The following machine F computes a reduction f .

F = “On input $\langle M, w \rangle$:

1. Construct the following machine M'
 M' = “On input x :
 1. Run M on x .
 2. If M accepts, accept.
 3. If M rejects, enter a loop.”
2. Output $\langle M', w \rangle$.“

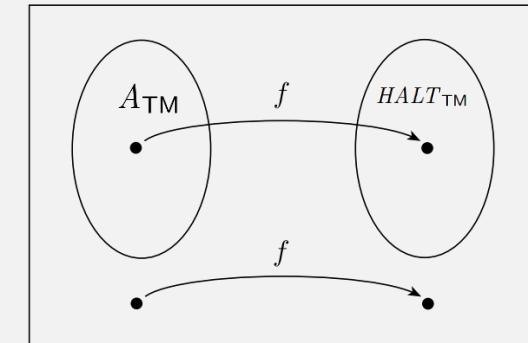
Converts M to M'

Still need to show:

M accepts w
if and only if
 M' halts on w

Output new M'

M' is like M , except it
always loops when it
doesn't accept



Language A is **mapping reducible** to language B , written $A \leq_m B$, if there is a computable function $f: \Sigma^* \rightarrow \Sigma^*$, where for every w ,

$$w \in A \iff f(w) \in B.$$

The function f is called the **reduction** from A to B .

A function $f: \Sigma^* \rightarrow \Sigma^*$ is a **computable function** if some Turing machine M , on every input w , halts with just $f(w)$ on its tape.

\Rightarrow If M accepts w , then M' halts on w

- M' accepts (and thus halts) if M accepts

\Leftarrow If M' halts on w , then M accepts w

\Leftarrow (Alternatively) If M doesn't accept w , then M' doesn't halt on w (contrapositive)

- Two possibilities

1. M loops: M' loops and doesn't halt
2. M rejects: M' loops and doesn't halt

The following machine F computes a reduction f .

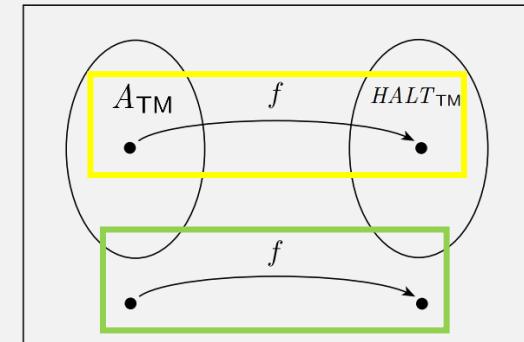
F = “On input $\langle M, w \rangle$:

1. Construct the following machine M' .

M' = “On input x :

1. Run M on x .
2. If M accepts, accept.
3. If M rejects, enter a loop.”

2. Output $\langle M', w \rangle$.”



Use Mapping Reducibility to Prove ...

- Decidability
- Undecidability

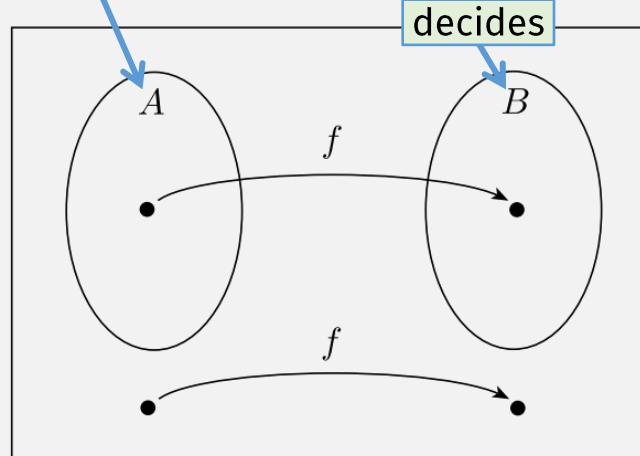
Thm: If $A \leq_m B$ and B is decidable, then A is decidable.

Has a decider

PROOF We let M be the decider for B and f be the reduction from A to B . We describe a decider N for A as follows.

N = “On input w :

1. Compute $f(w)$.
2. Run M on input $f(w)$ and output whatever M outputs.”



Language A is **mapping reducible** to language B , written $A \leq_m B$, if there is a computable function $f: \Sigma^* \rightarrow \Sigma^*$, where for every w ,

$$w \in A \iff f(w) \in B.$$

The function f is called the **reduction** from A to B .

Coro: If $A \leq_m B$ and A is undecidable, then B is undecidable.

- Proof by contradiction.
- Assume B is decidable.
- Then A is decidable (by the previous thm).
- Contradiction: we already said A is undecidable

If $A \leq_m B$ and B is decidable, then A is decidable.

Summary: Mapping Reducibility Theorems

- If $A \leq_m B$ and B is decidable, then A is decidable.
 - Known
- If $A \leq_m B$ and A is undecidable, then B is undecidable.
 - Unknown

Alternate Proof: The Halting Problem

HALT_{TM} is undecidable

- If $A \leq_m B$ and A is undecidable, then B is undecidable.
- $A_{\text{TM}} \leq_m \text{HALT}_{\text{TM}}$
- Since A_{TM} is undecidable, then HALT_{TM} is undecidable

Flashback: EQ_{TM} is undecidable

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

Proof by contradiction:

- Assume EQ_{TM} has *decider* R ; use to create E_{TM} *decider*:
 $= \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$

S = “On input $\langle M \rangle$, where M is a TM:

1. Run R on input $\langle M, M_1 \rangle$, where M_1 is a TM that rejects all inputs.
2. If R accepts, *accept*; if R rejects, *reject*.”

Alternate proof: Show: $E_{\text{TM}} \leq_m EQ_{\text{TM}}$

- Computable fn $f: \langle M \rangle \rightarrow \langle M, M_1 \rangle$

Last step: show iff requirements of mapping reducibility (exercise)

Reducing to complement: E_{TM} is undecidable

$$E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$$

Proof, by contradiction:

- Assume E_{TM} has decider R ; use to create A_{TM} decider:

S = “On input $\langle M, w \rangle$, an encoding of a TM M and a string w :

- Use the description of M and w to construct the TM M_1

M_1 = “On input x :

1. If $x \neq w$, reject.

2. If $x = w$, run M on input w and accept if M does.”

- Run R on input $\langle M_1 \rangle$.
- If R accepts, reject; if R rejects, accept.”

If M accepts w , M_1 not in E_{TM} !

Alternate proof: computable fn: $\langle M, w \rangle \rightarrow \langle M_1 \rangle$???

- So this only reduces A_{TM} to $\overline{E_{\text{TM}}}$

Last step: show iff requirements of mapping reducibility (exercise)

- It's good enough! Still proves E_{TM} is undecidable

- Because undecidable langs are closed under complement

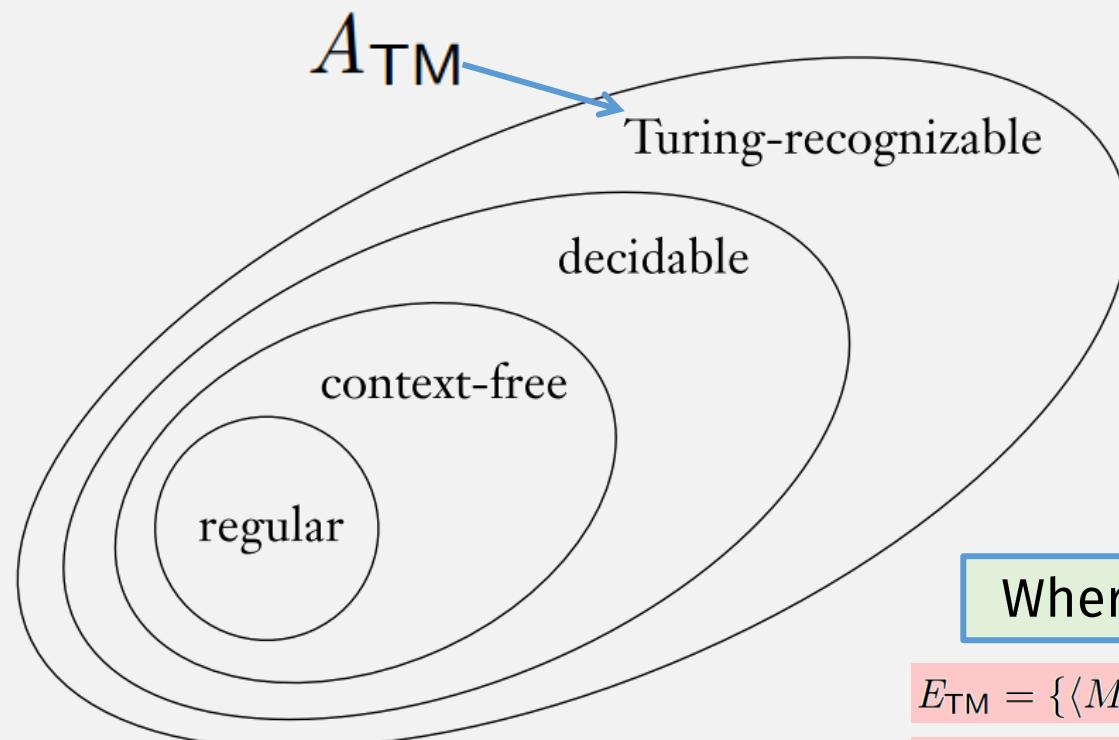
Undecidable Langs Closed under Complement

- E.g., if L is undecidable and \overline{L} is decidable ...
 - ... then we can create decider for L from decider for \overline{L} ...
 - ... which is a contradiction!
-
- Because decidable languages are closed under complement!

Unrecognizability

Turing Unrecognizable?

Is there anything out here?



Where do these go?

$$E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$$

$$EQ_{\text{CFG}} = \{\langle G, H \rangle \mid G \text{ and } H \text{ are CFGs and } L(G) = L(H)\}$$

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

Thm: Some langs are not Turing-recognizable

Proof: requires 2 lemmas

- Lemma 1: The **set of all languages** is *uncountable*
 - Proof: Show there is a bijection with another uncountable set ...
 - ... The set of all infinite binary sequences
- Lemma 2: The **set of all TMs** is *countable*
- Therefore, some language is not recognized by a TM
(pigeonhole principle)

Mapping a Language to a Binary Sequence

All Possible Strings

$$\Sigma^* = \{ \epsilon, 0, 1, 00, 01, 10, 11, 000, 001, \dots \}$$

Some Language
(subset of above)

$$A = \{ 0, 00, 01, 000, 001, \dots \}$$

Its (unique)
Binary Sequence

$$\chi_A = 0 \ 1 \ 0 \ 1 \ 1 \ 0 \ 0 \ 1 \ 1 \ \dots$$

Each digit represents one possible string:
- 1 if lang has that string,
- 0 otherwise

Thm: Some langs are not Turing-recognizable

Proof: requires 2 lemmas

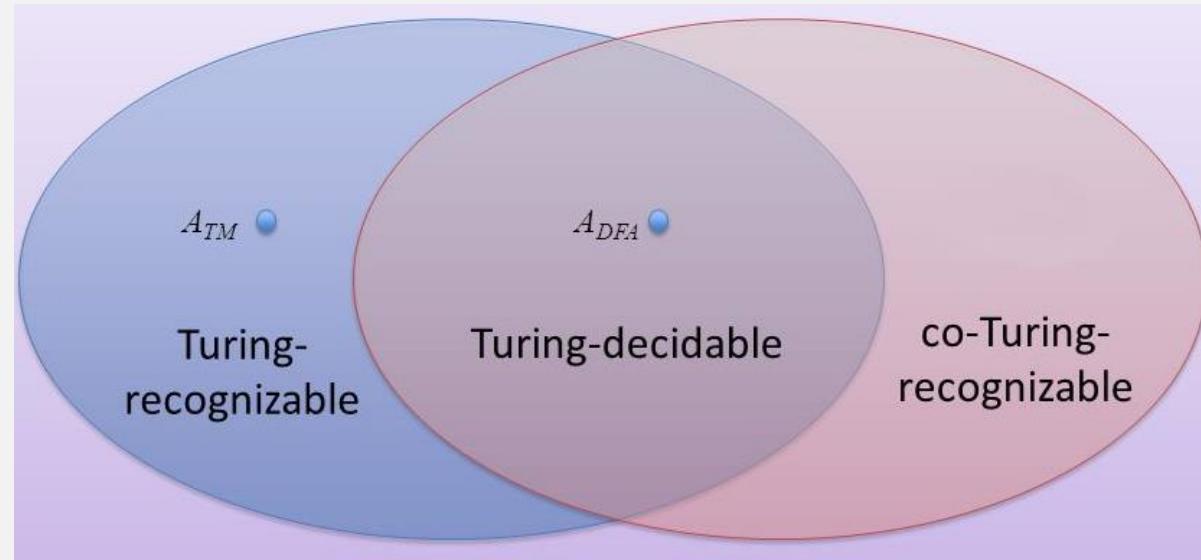
- Lemma 1: The **set of all languages** is *uncountable*
 - Proof: Show there is a bijection with another uncountable set ...
 - ... The set of all infinite binary sequences
 - Now just prove set of infinite binary sequences is uncountable (diagonalization)
- Lemma 2: The **set of all TMs** is *countable*
 - Because every TM M can be encoded as a string $\langle M \rangle$
 - And set of all strings is countable
- Therefore, some language is not recognized by a TM



Co-Turing-Recognizability

- A language is **co-Turing-recognizable** if ...
- ... it is the complement of a Turing-recognizable language.

Thm: Decidable \Leftrightarrow Recognizable & co-Recognizable



Thm: Decidable \Leftrightarrow Recognizable & co-Recognizable

\Rightarrow If a language is **decidable**, then it is **recognizable** and **co-recognizable**

- Decidable \Rightarrow Recognizable (hw5):
 - A decider is just a recognizer that halts
- Decidable \Rightarrow Co-Recognizable:
 - To create co-decider from a decider ... switch reject/accept of all inputs
 - A co-decider is a co-recognizer, for same reason as above

\Leftarrow If a language is **recognizable** and **co-recognizable**, then it is **decidable**

Thm: Decidable \Leftrightarrow Recognizable & co-Recognizable

\Rightarrow If a language is decidable, then it is recognizable and co-recognizable

- Decidable \Rightarrow Recognizable:
 - A decider is just a recognizer that halts
- Decidable \Rightarrow Co-Recognizable:
 - To create co-decider from a decider ... switch reject/accept of all inputs
 - A co-decider is a co-recognizer, for same reason as above

\Leftarrow If a language is recognizable and co-recognizable, then it is decidable

- Let M_1 = recognizer for the language,
- and M_2 = recognizer for its complement
- Decider M :
 - Run 1 step on M_1 ,
 - Run 1 step on M_2 ,
 - Repeat, until one machine accepts. If it's M_1 , accept. If it's M_2 , reject

Termination Arg: Either M_1 or M_2 must accept and halt, so M halts and is a decider

A Turing-unrecognizable language

Recognizable & co-recognizable implies decidable

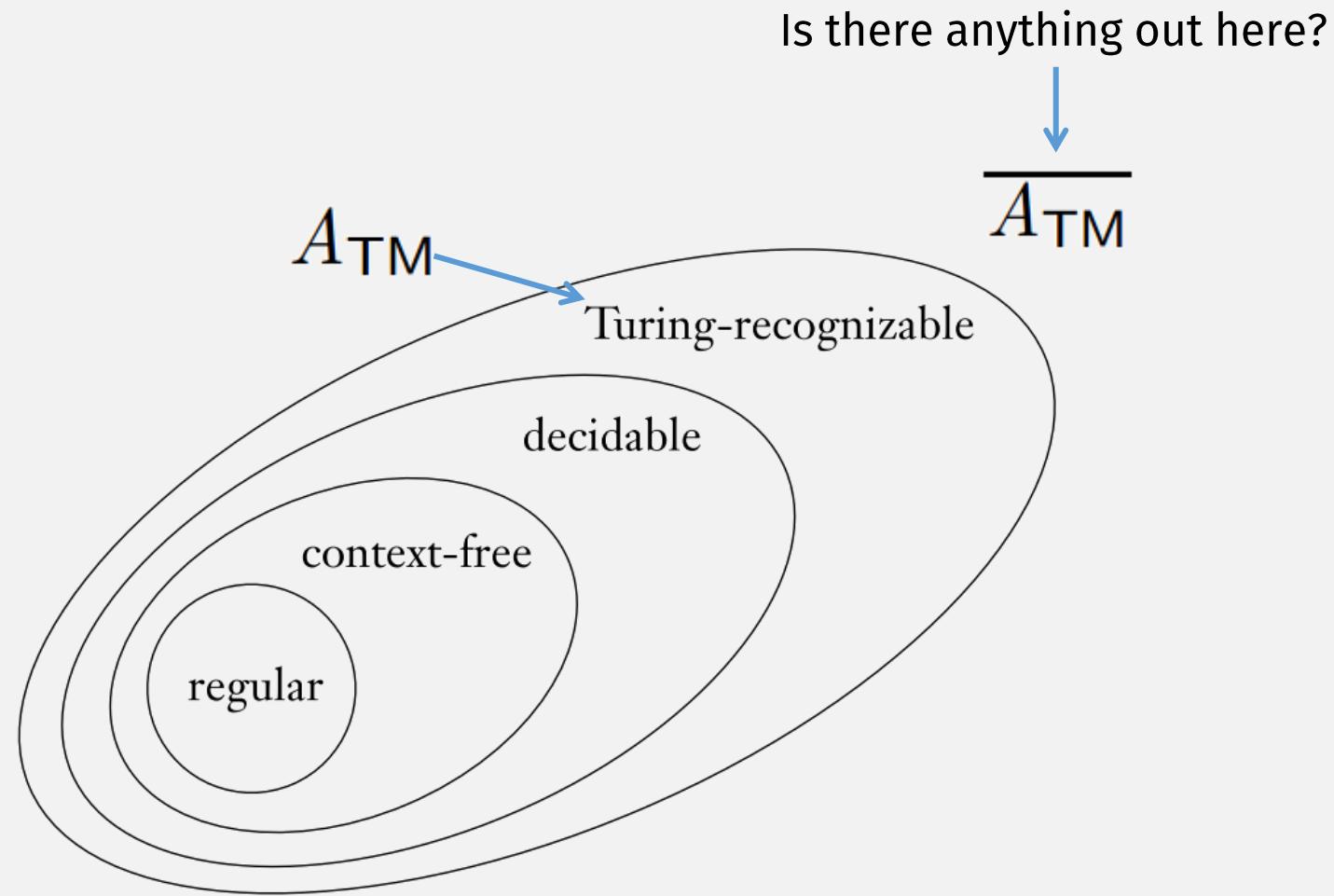
- We've proved:

A_{TM} is Turing-recognizable

A_{TM} is undecidable

- So:

$\overline{A_{\text{TM}}}$ is not Turing-recognizable



Use Mapping Reducibility to Prove ...

- Decidability
- Undecidability
- Recognizability
- Unrecognizability

More Helpful Theorems

If $A \leq_m B$ and B is **Turing-recognizable**, then A is Turing-recognizable.

If $A \leq_m B$ and A is not Turing-recognizable, then B is not Turing-recognizable.

- Same proofs as:

If $A \leq_m B$ and B is decidable, then A is decidable.

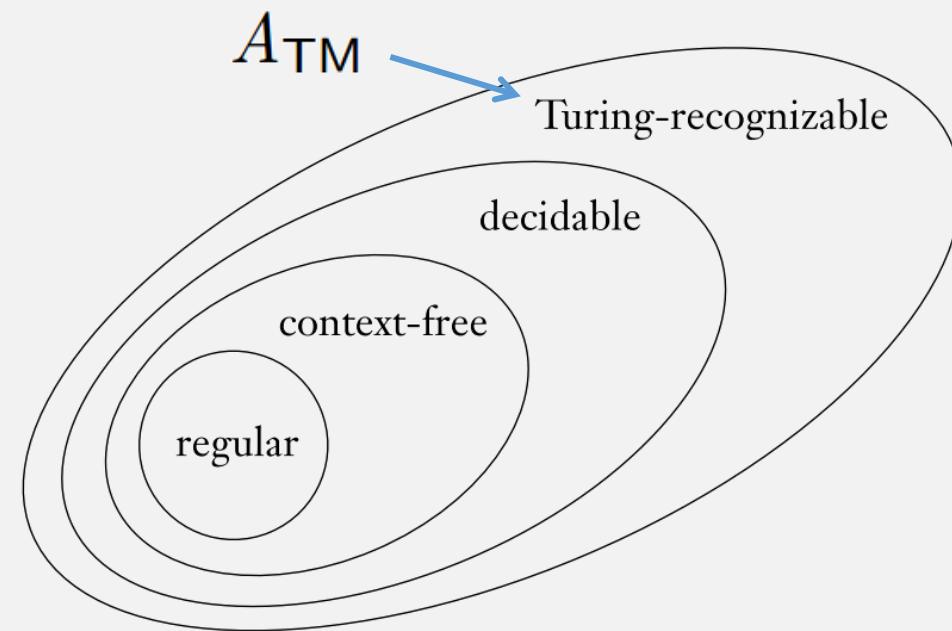
If $A \leq_m B$ and A is undecidable, then B is undecidable.

Thm: EQ_{TM} is neither Turing-recognizable nor co-Turing-recognizable.

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

1. EQ_{TM} is not Turing-recognizable

$\overline{A_{\text{TM}}}$



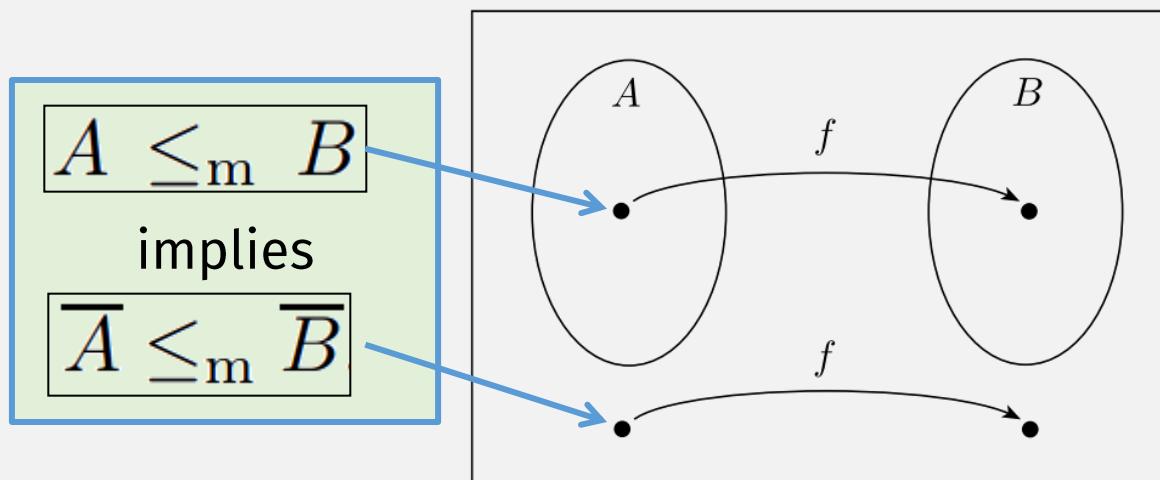
$\overline{A_{\text{TM}}} \leq_m EQ_{\text{TM}}$ A is not Turing-recognizable, th EQ_{TM} not Turing-recognizable.

Mapping Reducibility implies Mapping Red. of Complements

Language A is *mapping reducible* to language B , written $A \leq_m B$, if there is a computable function $f: \Sigma^* \rightarrow \Sigma^*$, where for every w ,

$$w \in A \iff f(w) \in B.$$

The function f is called the *reduction* from A to B .



Thm: EQ_{TM} is neither Turing-recognizable nor co-Turing-recognizable.

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

1. EQ_{TM} is not Turing-recognizable

Two Choices:

- Create Computable fn: $\overline{A_{\text{TM}}} \rightarrow EQ_{\text{TM}}$

- Or Computable fn: $A_{\text{TM}} \rightarrow \overline{EQ_{\text{TM}}}$

Thm: EQ_{TM} is not Turing-recognizable

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

- Create Computable fn: $A_{\text{TM}} \rightarrow \overline{EQ_{\text{TM}}}$
- $\langle M, w \rangle \rightarrow \langle M_1, M_2 \rangle$ M_1 and M_2 are TMs and $L(M_1) \neq L(M_2)$

F = “On input $\langle M, w \rangle$, where M is a TM and w a string:

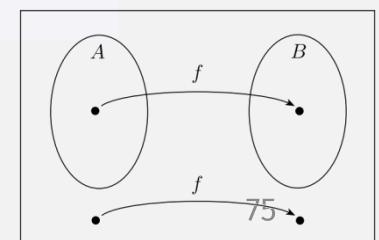
1. Construct the following two machines, M_1 and M_2 .

M_1 = “On any input: \leftarrow Accepts nothing
1. Reject.”

M_2 = “On any input: \leftarrow Accepts nothing or everything
1. Run M on w . If it accepts, accept.”

2. Output $\langle M_1, M_2 \rangle$.

- If M accepts w ,
 M_1 not equal to M_2
- If M does not accept w ,
 M_1 equal to M_2



Thm: EQ_{TM} is neither Turing-recognizable nor co-Turing-recognizable.

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

1. EQ_{TM} is not Turing-recognizable

- Create Computable fn: $\overline{A_{\text{TM}}} \rightarrow EQ_{\text{TM}}$
- Or Computable fn: $A_{\text{TM}} \rightarrow \overline{EQ_{\text{TM}}}$
- **DONE!**

2. $\overline{EQ}_{\text{TM}}$ is not ~~co~~-Turing-recognizable

- (A lang is co-Turing-recog. if it is complement of Turing-recog. lang)

Prev: EQ_{TM} is not Turing-recognizable

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

- Create Computable fn: $A_{\text{TM}} \rightarrow \overline{EQ_{\text{TM}}}$
- $\langle M, w \rangle \rightarrow \langle M_1, M_2 \rangle$ M_1 and M_2 are TMs and $L(M_1) \neq L(M_2)$

F = “On input $\langle M, w \rangle$, where M is a TM and w a string:

1. Construct the following two machines, M_1 and M_2 .

M_1 = “On any input: \leftarrow Accepts nothing
1. Reject.”

M_2 = “On any input: \leftarrow Accepts nothing or everything
1. Run M on w . If it accepts, accept.”

2. Output $\langle M_1, M_2 \rangle$.

DONE!

Now: $\overline{EQ}_{\text{TM}}$ is not Turing-recognizable

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

- Create Computable fn: $A_{\text{TM}} \rightarrow \overline{EQ}_{\text{TM}}$
- $\langle M, w \rangle \rightarrow \langle M_1, M_2 \rangle$ M_1 and M_2 are TMs and $L(M_1) \neq L(M_2)$

F = “On input $\langle M, w \rangle$, where M is a TM and w a string:

1. Construct the following two machines, M_1 and M_2 .

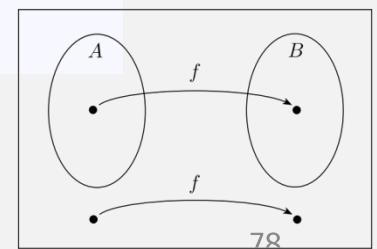
M_1 = “On any input: \leftarrow Accepts nothing everything
1. *Accept.*”

M_2 = “On any input: \leftarrow Accepts nothing or everything
1. Run M on w . If it accepts, *accept.*”

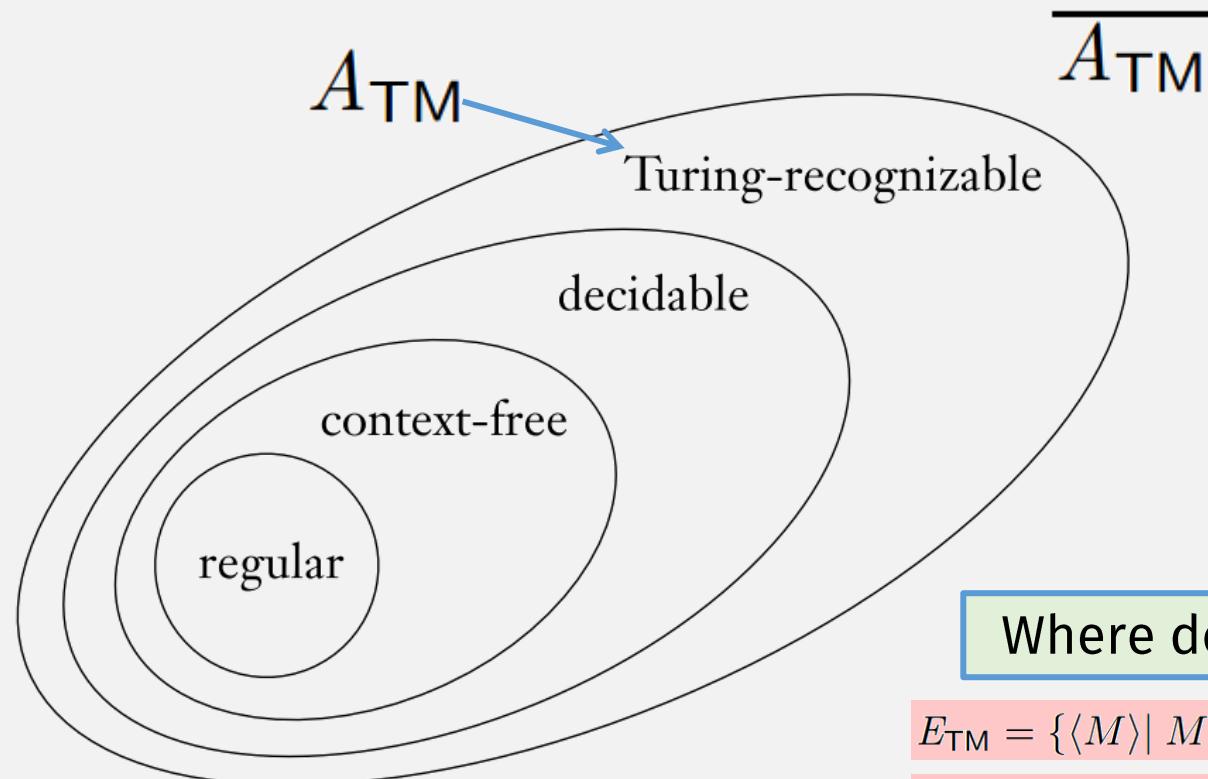
2. Output $\langle M_1, M_2 \rangle$.

DONE!

- If M accepts w , M_1 equals to M_2
- If M does not accept w , M_1 not equal to M_2



Unrecognizable Languages?



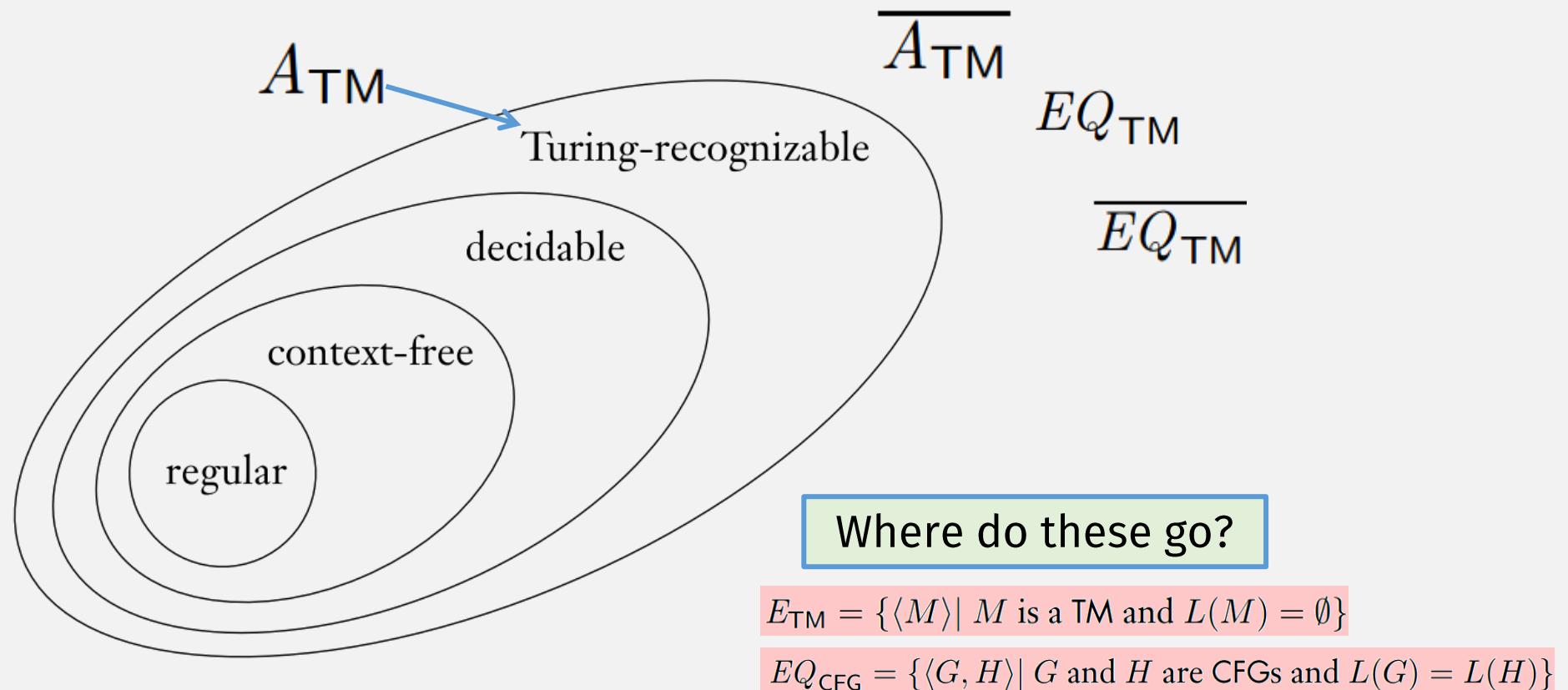
Where do these go?

$$E_{\text{TM}} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$$

$$EQ_{\text{CFG}} = \{\langle G, H \rangle \mid G \text{ and } H \text{ are CFGs and } L(G) = L(H)\}$$

$$EQ_{\text{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$$

Unrecognizable Languages



Check-in Quiz 10/27

On gradescope