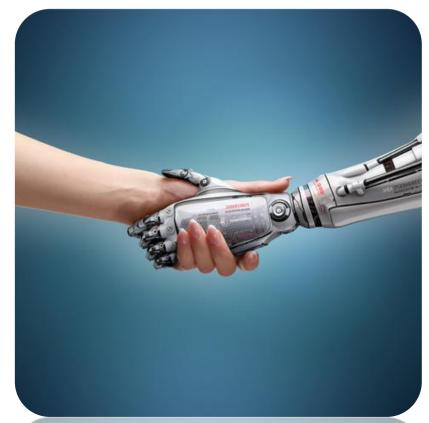
Artificial Intelligence V11: Generative Modeling with Neural Nets

Brief overview of neural networks Generative Adversarial Nets Use case: image inpainting

With material from

- · Stuart Russell, UC Berkeley
- · Arthur Juliani's and Brandon Amos's blog posts
- Ian Goodfellow, UC Berkeley COMPSCI 294 guest lecture





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Educational objectives

- Have a basic understanding of the architecture and working of neural networks
- Know the general idea behind Generative Adversarial Nets (GANs)
- Understand the training process (and inherent difficulties) for GANs
- Be able to start working on open source GAN code



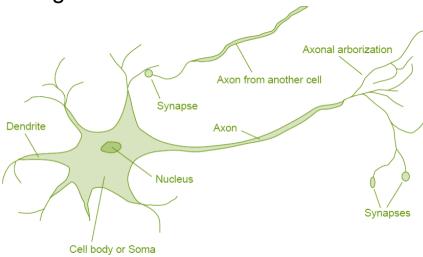


1. BRIEF OVERVIEW OF NEURAL NETWORKS

Neurons

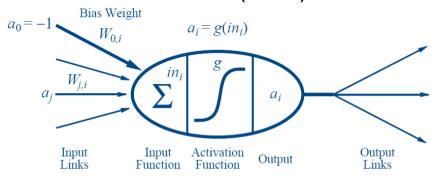






- 10^{11} neurons of > 20 types
- 10¹⁴ synapses
- 1ms 10ms cycle time
- Signals are noisy "spike trains" of electrical potential
- Organized in layers to form a brain

McColloch-Pitts "unit" (1943)



- Output is a **thresholded linear function** of the inputs: $a_i = g(in_i) = g(\sum_i W_{i,i} \cdot a_i)$
- Changing the bias weight $W_{0,i}$ moves the threshold location
- A gross oversimplification of real neurons!
- Purpose: develop understanding of what networks of simple units can do

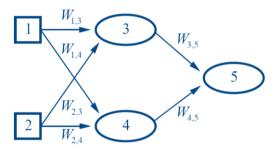


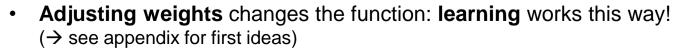
Feed-forward network example

FNN: a parameterized family of nonlinear functions

•
$$a_5 = g(W_{3,5} \cdot a_3 + W_{4,5} \cdot a_4)$$

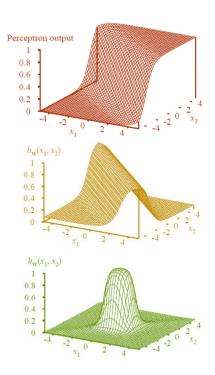
= $g(W_{3,5} \cdot g(W_{1,3} \cdot a_1 + W_{2,3} \cdot a_2) + W_{4,5} \cdot g(W_{1,4} \cdot a_1 + W_{2,4} \cdot a_2))$





Expressiveness of multilayer networks (multilayer perceptrons)

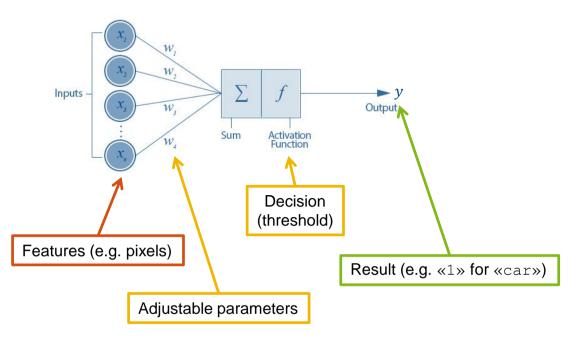
- All continuous functions w/ 2 layers, all functions w/ 3 layers
 - Combine two opposite-facing threshold functions to make a ridge
 - Combine two perpendicular ridges to make a bump
 - Add bumps of various sizes and locations to fit any surface





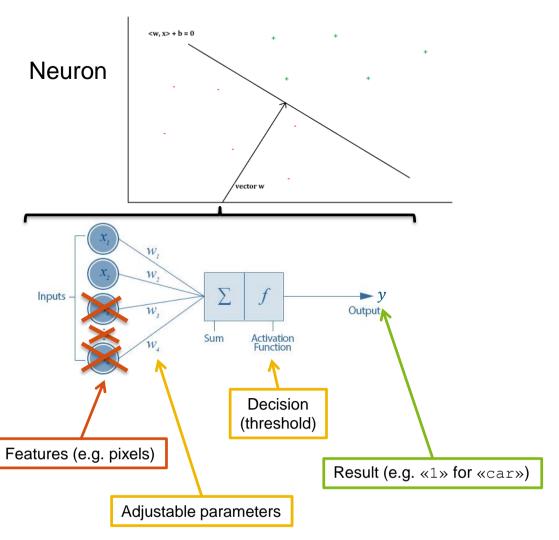
What is the effect of weight adjustment?

Neuron



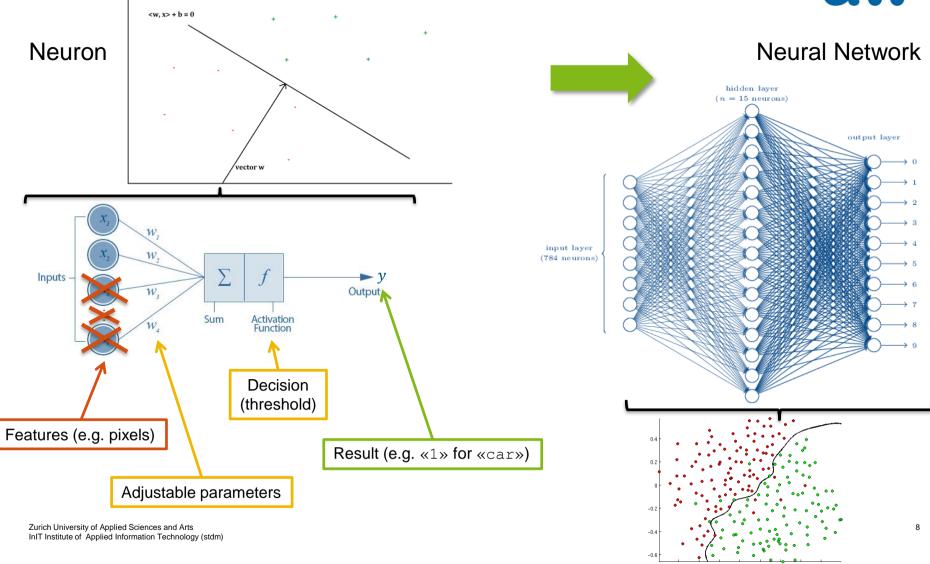


What is the effect of weight adjustment?



What is the effect of weight adjustment?







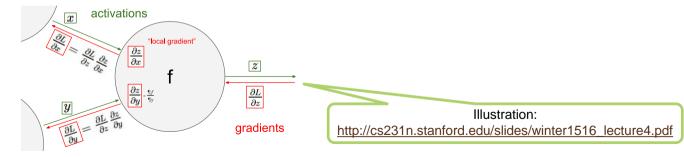
How are the weights adjusted?

Neural network training ideas

→ see also https://stdm.github.io/downloads/papers/ADS_2019_DeepLearning.pdf

Trained by gradient descent (complete network is differentiable)

- Forward pass: calculation of loss function L for a mini batch of training examples
- Backward pass: calculation of $\frac{\partial L}{\partial W_{l,i}}$ for each weight $W_{l,i}$ on overall loss
 - Efficiently computable by layer-wise application of chain rule (backpropagation algorithm)

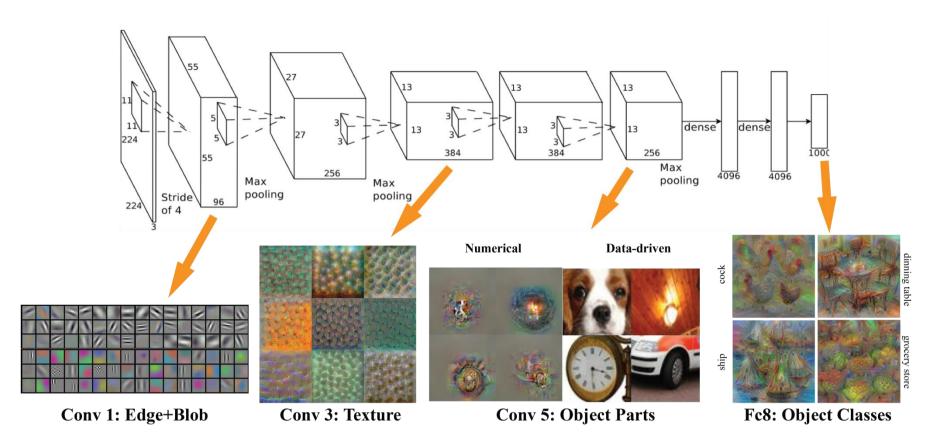


Many details to be considered for training to work in practice

- Weight initialization: choose random initial weights according to the magnitude of the inputs
- Gradient flow: secure sufficient gradient magnitude for fast training convergence via batchnorm
- Learning rate: choose adaptive learning rates e.g. using the ADADELTA optimizer
- Batch composition: care for sufficient randomness in the presentation order
- Regularization: use dropout to overcome the problem of more parameters then input data



What does a neural network «see»? A hierarchy of progressively complex features, visualized



Source: http://vision03.csail.mit.edu/cnn art/data/single layer.png



2. GENERATIVE ADVERSARIAL NETS



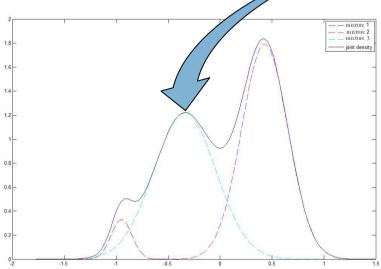
Recap: Probability distributions as generative models

Terminology: its probability density function (pdf) is one way to describe a distribution.

What does a pdf tell about a set of data?

→ For data coming from some stochastic processes, the pdf tells everything there is to know about the data

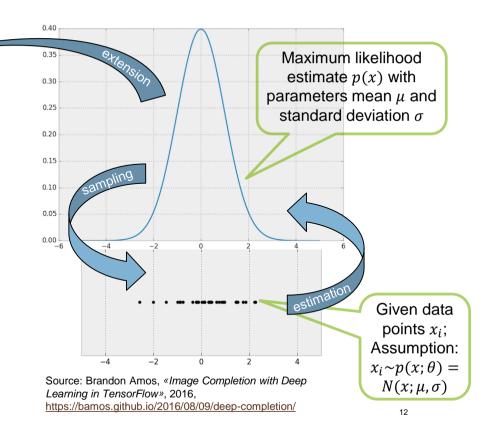
→ Allows for sampling data from the underlying distribution



Example of a multimodal (but univariate) distribution, approximated by a GMM with 3 mixtures.

A Gaussian as base generative model

Recovering a known, parametric pdf: The univariate Gaussian



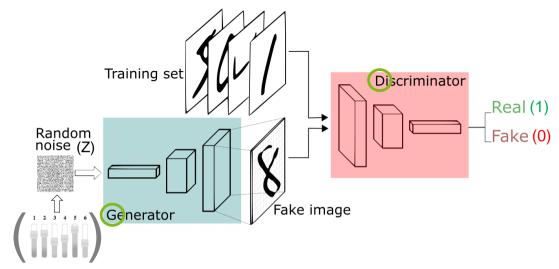
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Adversarial nets Bootstrapping implicit generative representations

Train 2 models simultaneously [1]

- G: Generator
 - → learns to generate data
- D: Discriminator
 - \rightarrow learns p(x not being generated)



Sources: https://deeplearning4j.org/generative-adversarial-network; http://www.dpkingma.com/sqvb_mnist_demo/demo.html

- → Both differentiable functions D&G learn while competing
- → The latent space Z serves as a source of variation to generate different data points
- → Only D has access to real data

[1] Schmidhuber, «Learning Factorial Codes by Predictability Minimization», 1992

No weenies allowed! How SpongeBob helps...

...to understand bootstrapping untrained (G)enerator & (D)iscriminator



Bouncer newbie (D) decides on entry: for tough guys only



SpongeBob (G) wants to appear tough to be admitted (i.e., synthesizes behavior)



In the beginning, D focuses on obvious things to discriminate: e.g., physical strength



So G tries to imitate that, but fails



By observation, G discovers more detailed features of tough guys: e.g., fighting



So G learns to imitate that as well



...and eventually tricks D.

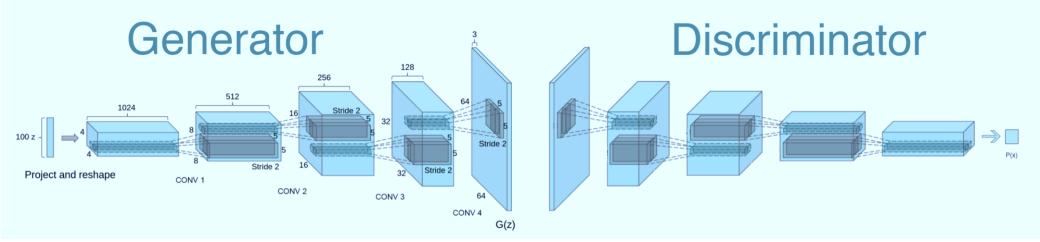
Source: Arthur Juliani, «Generative Adversarial Networks Explained with a Classic Spongebob Squarepants Episode», 2016, https://medium.com/@awjuliani/generative-adversarial-networks-explained-with-a-classic-spongebob-squarepants-episode-54deab2fce39#.gcoxuaruk

GAN model formulation (improved)

of Applied Sciences Zh When the state of t

Zurich University





Implement both G and D as deep convnets (DCGAN)

- No pooling, only fractionally-strided convolutions (G) and strided convolutions (D)
- No fully connected hidden layers for deeper architectures
- Apply batchnorm in both
- ReLU activation in G (output layer: tanh)
- LeakyReLU activation in D (all layers)

[2] Radford, Metz, Chintala, «Unsupervised Representation Learning with Deep Convolutional Generative Adversarial Networks», 2016



Model training [5]

for number of training iterations do

Usually k = 1 (or ½)

for k steps do

- Sample minibatch of m noise samples $\{z^{(1)}, \ldots, z^{(m)}\}$ from noise prior $p_g(z)$.
- Sample minibatch of m examples $\{x^{(1)}, \dots, x^{(m)}\}$ from data generating distribution $p_{\text{data}}(x)$.
- Update the discriminator by ascending its stochastic gradient:

$$\nabla_{\theta_d} \frac{1}{m} \sum_{i=1}^m \left[\log D\left(\boldsymbol{x}^{(i)} \right) + \log \left(1 - D\left(G\left(\boldsymbol{z}^{(i)} \right) \right) \right) \right].$$

end for

- Sample minibatch of m noise samples $\{z^{(1)}, \ldots, z^{(m)}\}$ from noise prior $p_q(z)$.
- Update the generator by descending its stochastic gradient:

$$\nabla_{\theta_g} \frac{1}{m} \sum_{i=1}^{m} \log \left(1 - D\left(G\left(\boldsymbol{z}^{(i)}\right)\right)\right).$$

end for

Model training [5]

for number of training iterations do

Usually k = 1 (or ½)

for k steps do

• Sample minibatch of m noise samples $\{z^{(1)}, \ldots, z^{(m)}\}$ from noise prior $p_g(z)$.

• Sample minibatch of m examples $\{x^{(1)}, \dots, x^{(m)}\}$ from data generating distribution $p_{\text{data}}(x)$.

• Update the discriminator by ascending its stochastic gradient:

$$\text{change } \theta_{\textit{D}} \text{ to } \underset{\text{maximize}}{\text{maximize}} \left. \left\{ \nabla_{\theta_d} \frac{1}{m} \sum_{i=1}^m \left[\log D\left(\boldsymbol{x}^{(i)} \right) + \log \left(1 - D\left(G\left(\boldsymbol{z}^{(i)} \right) \right) \right) \right].$$

end for

- Sample minibatch of m noise samples $\{z^{(1)}, \ldots, z^{(m)}\}$ from noise prior $p_q(z)$.
- Update the generator by descending its stochastic gradient:

$$\nabla_{\theta_g} \frac{1}{m} \sum_{i=1}^{m} \log \left(1 - D\left(G\left(\boldsymbol{z}^{(i)}\right)\right)\right).$$

end for



_1

-3

-5

Model training [5]

for number of training iterations do

Usually k = 1(or ½)

for k steps do

- Sample minibatch of m noise samples $\{z^{(1)}, \dots, z^{(m)}\}$ from noise prior $p_q(z)$.
- Sample minibatch of m examples $\{x^{(1)}, \dots, x^{(m)}\}$ from data generating distribution $p_{\text{data}}(\boldsymbol{x})$.
- Update the discriminator by ascending its stochastic gradient:

end for

- Sample minibatch of m noise samples $\{z^{(1)}, \ldots, z^{(m)}\}$ from noise prior $p_o(z)$.
- Update the generator by descending its stochastic gradient:

$$\nabla_{\theta_g} \frac{1}{m} \sum_{i=1}^{m} \log \left(1 - D\left(G\left(\boldsymbol{z}^{(i)}\right)\right)\right).$$

end for

-1

-3

-5



Model training [5]

for number of training iterations do

Usually k = 1(or ½)

for k steps do

- Sample minibatch of m noise samples $\{z^{(1)}, \ldots, z^{(m)}\}$ from noise prior $p_q(z)$.
- Sample minibatch of m examples $\{x^{(1)}, \dots, x^{(m)}\}$ from data generating distribution $p_{\text{data}}(\boldsymbol{x})$.
- Update the discriminator by ascending its stochastic gradient:

change
$$\theta_D$$
 to maximize $\left[\nabla \theta_d \frac{1}{m} \sum_{i=1}^m \left[\log D\left(\boldsymbol{x}^{(i)}\right) + \log\left(1 - D\left(G\left(\boldsymbol{z}^{(i)}\right)\right)\right) \right] \right]$ end for average being real ≥ 0

end for

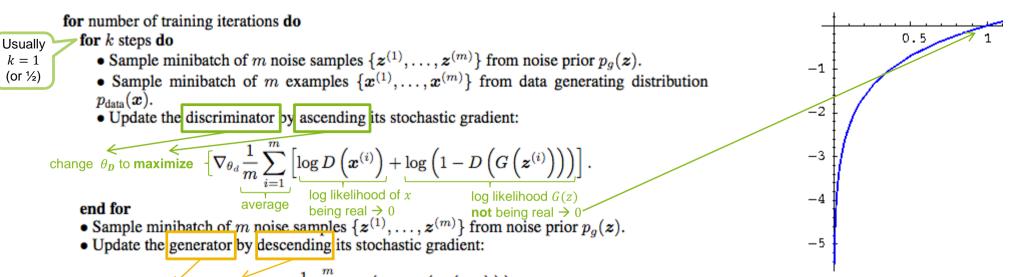
- **not** being real $\rightarrow 0$ • Sample minibatch of m noise samples $\{z^{(1)}, \ldots, z^{(m)}\}$ from noise prior $p_n(z)$.
- Update the generator by descending its stochastic gradient:

change
$$\theta_{g}$$
 to minimize $\left\{ \nabla_{\theta_{g}} \frac{1}{m} \sum_{i=1}^{m} \log \left(1 - D\left(G\left(\boldsymbol{z}^{(i)}\right)\right) \right). \right\}$

end for



Model training [5]



end for

G just get's gradients on how well it can fool D (no direct training labels)



3. USE CASE: IMAGE INPAINTING

Based on material from Brandon Amos, «Image Completion with Deep Learning in TensorFlow», 2016

https://bamos.github.io/2016/08/09/deep-completion/



Image inpainting as a sampling problem ...approached by machine learning

Yeh et al., «Semantic Image Inpainting with Perceptual and Contextual Losses», 2016



Training: Regard images as samples of some underlying probability distribution p_G

1. Learn to represent this distribution using a GAN setup (G and D)

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Testing: Draw a **suitable sample** from p_G by...

- **1.** Fixing parameters Θ_G and Θ_D of G and D, respectively
- **2.** Finding input \hat{z} to G such that $G(\hat{z})$ fits two constraints:
 - a) Contextual: Output has to match the known parts of the image that needs inpainting
 - b) Perceptual: Output has to look generally «real» according to D's judgment
- 3. ...by using gradient-based optimization on \hat{z}

Reconstruction formulation

Given

- Uncomplete/corrupted image x_{corrputed}
- Binary mask M (same size as $x_{corrputed}$, 0 for missing/corrupted pixels)
- Generator network *G*(), discriminator network *D*()

Problem

• Find \hat{z} such that $x_{reconstructed} = M \odot x_{corrputed} + (1 - M) \odot G(\hat{z})$ (\odot is the element-wise product of two matrices)

Input		Binary Mask			Output		
1	2		1	0	_	1	0
3	4	0	0	1	_	0	4

Solution

Define contextual and perceptual loss as follows:

$$L_{contextual}(z) = \left\| M \odot G(z) - M \odot x_{corrupted} \right\|_1 \text{ (distance between known parts of image and reconstruction)}$$

$$L_{perceptual}(z) = \log \left(1 - D(G(z)) \right) \text{ (as before: log-likelihood of } G(z) \text{ not being real according to D)}$$

$$L(z) = L_{contextual}(z) + \lambda \cdot L_{perceptual(z)} \text{ (combined loss)}$$

 \rightarrow Optimize $\hat{z} = \arg \min L(z)$

Results





See it move: https://github.com/bamos/dcgan-completion.tensorflow

Where's the intelligence? Man vs. machine

- Learning smooth approximations of complex probability density functions (PDF) enables us to sample previously unseen examples
 - That is, we can create new images, new music, ...



Source: https://nerdist.com/nvidia-ai-headshots-fake-celebrities/.

 But isn't creativity more the power to surprise, i.e., (technically speaking) the power to come up with new yet reasonable PDFs instead of new instantiations from a given PDF?

• That would mean that to create does not mean to know the PDF of «things», but the PDF of the «reasonableness of things». As this is unknown for novel things, it needs to be continually explored.

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Review

- Neural networks with at least one hidden layer are general function approximators, trained by gradient descent
- GANs have been shown to produce realistic output on a wide range of (still smallish) image, audio and text generation tasks
- Finding Nash equilibria in high-dimensional, continuous, non-convex games is an important open research problem
- Image inpainting works by optimizing the output of a fully trained generator to fit the given context & realism criteria, using again gradient descent
 - → Applying machine learned models might involve optimization (~training) steps again
 - → This is in line with human learning: Once trained to draw, hand-copying a painting involves "optimization" on the part of the painter

Further reading: Goodfellow, «NIPS 2016 Tutorial: Generative Adversarial Networks», 2016





APPENDIX

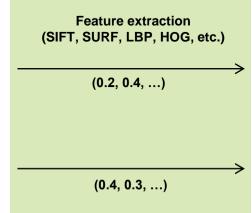


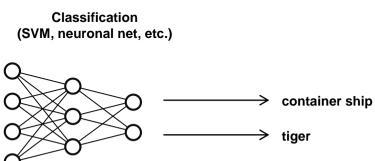
Recap: basic idea of deep learning Add depth (layers → capability) to learn features automatically

Classic computer vision







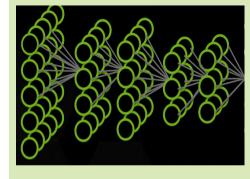


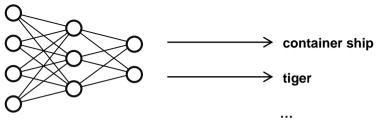
Convolutional neural networks (CNNs)





Takes raw pixels as input, learns good features automatically!





Pros and cons of generative models

Flavors of generative models

- Statistical models that directly model the pdf (e.g., GMM, hidden Markov model HMM)
- Graphical models with latent variables (e.g., Boltzmann machines RBM/DBM, deep belief networks DBN)
- Autoencoders (e.g. Kingma & Welling, "Autoencoding Variational Bayes", 2013)

Promises

- Help learning about high-dimensional, complicated probability distributions (even if pdf is not represented explicitly)
- Simulate possible futures for planning or simulated RL
- Handle **missing data** (in particular, semi-supervised learning)
- Some applications actually require **generation** (e.g. sound synthesis, identikit pictures, content reconstruction)

Common drawbacks

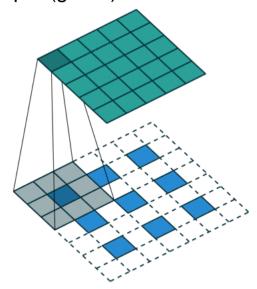
- Statistical models suffer severely from the curse of dimensionality
- Approximations needed for intractable probabilistic computations during ML estimation
- Unbacked assumptions (e.g., Gaussianity) and averaging e.g. in VAEs



Strided what? Convolutional arithmetic [3] NN wiring to save weights while exploiting local structure

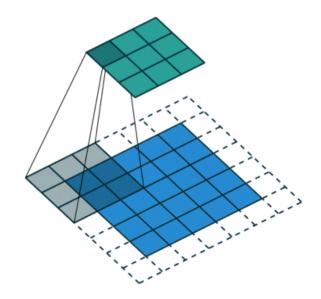
Fractionally-strided conv. in G

- Performing transposed convolution
- Used to «up-sample» from input (blue) to output (green)



Strided convolutions in D

- Stride (stepsize) = 2
- Used instead of (max) pooling [4]



- [3] Dumoulin, Visin, «A guide to convolution arithmetic for deep learning », 2016
- [4] Springenberg, Dosovitsiy, Brox, Riedmiller, «Striving for simplicity: The all convolutional net», 2014

Visualizing the training process



Observations

- G starts with producing random noise
- Quickly arrives at what seems to be pencil strokes
- It takes a while for the network to produce **different images** for different *z*
- It takes nearly to the end before the synthesized images per z stabilize at certain digits



6x6 samples G(z) from fixed z's every 2 mini batches (for 50k iterations). See https://dublin.zhaw.ch/~stdm/?p=400.

→ Possible improvements?



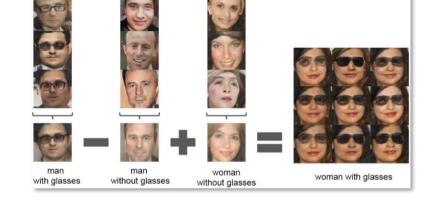
Features of (DC)GANs

Learn semantically meaningful latent space

 Examples of z-space vector arithmetic from DCGAN paper [2]:

Training is not guaranteed to converge

- *D* and *G* play a **game-theoretic game** against each other (in terms of slide 12: minimax)
- Gradient descent isn't meant to find the corresponding Nash Equilibria (saddle point of joint loss function, corresponding to minima of both player's costs) [6]



The z vectors in the left 3 columns have been averaged, then arithmetic has been performed. The middle image on the right is the output of $G(resulting\ z\ vector)$. The other 8 pictures are the result of adding noise to the resulting z vector (showing that smooth transitions in input space result in smooth transitions in output space).

- How to sync D's and G's training is experimental (if G is trained too much, it may collapse all of z's variety to a single convincing output)
- The improvements of [2] and [7] make them stable enough for first practical applications
- · Research on adversarial training of neural networks is still in its infancy

[6] Goodfellow, Courville, Bengio, «Deep Learning», ch. 20.10.4, 2016

[7] Salimans, Goodfellow, Zaremba, Cheung, «Improved Techniques for Training GANs», 2016

GAN use cases



Research is gaining momentum very quickly; see appendix for more!

• Generate images from text Reed et al., *«Generative Adversarial Text to Image Synthesis»*, 2016

a man in a wet suit riding a surfboard on a wave.



 Segment images into semantically meaningful parts Luc et al., «Semantic Segmentation using Adversarial Networks», 2016



input

eround truth





no adversarial

Complete missing parts in images
 Yeh et al., «Semantic Image Inpainting with Perceptual and
 Contextual Losses», 2016

→ see next slides



The GAN zoo as of April 2017

Avinash Hindupur's list at https://github.com/hindupuravinash

GAN - Generative Adversarial Networks 3D-GAN - Learning a Probabilistic Latent Space of Object Shapes via 3D Generative-Adversarial Modeling
AC-GAN - Conditional Image Synthesis With Auxiliary Classifier GANs
AdaGAN - AdaGAN: Boosting Generative Models
AffGAN - Amortised MAP Inference for Image Super-resolution
AL-CGAN - Learning to Generate Images of Outdoor Scenes from Attributes and Semantic Layouts ALI-Adversarially Learned Inference AMGAN - Generative Adversarial Nets with Labeled Data by Activation Maximization

AnoGAN - Unsupervised Anomaly Detection with Generative Adversarial Networks to Guide Marker ArtGAN - ArtGAN: Artwork Synthesis with Conditional Categorial GANs b-GAN - b-GAN: Unified Framework of Generative Adversarial Networks Bayesian GAN - Deep and Hierarchical Implicit Models BEGAN - BEGAN: Boundary Equilibrium Generative Adversarial Networks BiGAN - Adversarial Feature Learning BS-GAN - Boundary-Seeking Generative Adversarial Networks CGAN - Conditional Generative Adversarial Nets CGGAN - Semi-Supervised Learning with Context-Conditional Generative Adversarial Networks
CatGAN - Unsupervised and Semi-supervised Learning with Categorical Generative Adversarial CoGAN - Coupled Generative Adversarial Networks Context-RNN-GAN - Contextual RNN-GANs for Abstract Reasoning Diagram Generation C-RNN-GAN - C-RNN-GAN: Continuous recurrent neural networks with adversarial training CVAE-GAN - CVAE-GAN: Fine-Grained Image Generation through Asymmetric Training CycleGAN - Unpaired Image-to-Image Translation using Cycle-Consistent Adversarial Networks DTN—Unsupervised Cross-Domain Image Generation
DCGAN - Unsupervised Representation Learning with Deep Convolutional Generative Adversarial DiscoGAN - Learning to Discover Cross-Domain Relations with Generative Adversarial Networks DR-GAN - Disentangled Representation Learning GAN for Pose-Invariant Face Recognition DualGAN - DualGAN: Unsupervised Dual Learning for Image-to-Image Translation DualGAN - DualGAN: Unsupervised Dual Learning for Image-to-Image Translation EBGAN - Energy-based Generative Adversarial Network F-GAN - F-GAN: Training Generative Neural Samplers using Variational Divergence Minimization FF-GAN - Towards Large-Pose Face Frontalization in the Wild GAWWN - Learning What and Where to Draw GoGAN - Gang of GANs: Generative Adversarial Networks with Maximum Margin Ranking GP-GAN - GP-GAN: Towards Realistic High-Resolution Image Blending IAN - Neural Photo Editing with Introspective Adversarial Networks iGAN - Generative Visual Manipulation on the Natural Image Manifold IcGAN - Invertible Conditional GANs for image editing ID-CGAN- Image De-raining Using a Conditional Generative Adversarial Network Improved GAN - Improved Techniques for Training GANs InfoGAN: Interpretable Representation Learning by Information Maximizing Generative Adversarial Nets Adversarial Nets LAPGAN - Deep Generative Image Models using a Laplacian Pyramid of Adversarial Networks LR-GAN - LR-GAN: Layered Recursive Generative Adversarial Networks for Image Generation

LSGAN - Least Squares Generative Adversarial Networks LSGAN - Least Squares Generative Adversarial Networks
LS-GAN - Loss-Sensitive Generative Adversarial Networks on Lipschitz Densities
MGAN - Precomputed Real-Time Texture Synthesis with Markovian Generative Adversarial Networks
MAGAN - MAGAN: Margin Adaptation for Generative Adversarial Networks
MAD-GAN - Multi-Agent Diverse Generative Adversarial Networks
MAIGAN - Generating Adversarial Malware Examples for Black-Box Attacks Based on GAN MARTA-GAN - Deep Unsupervised Representation Learning for Remote Sensing Images McGAN - McGan: Mean and Covariance Feature Matching GAN
MedGAN - Generating Multi-label Discrete Electronic Health Records using Generative Adversarial MIX+GAN - Generalization and Equilibrium in Generative Adversarial Nets (GANs) MPM-GAN - Message Passing Multi-Agent GANs MV-BiGAN - Multi-view Generative Adversarial Networks pix2pix-Image-to-Image Translation with Conditional Adversarial Networks PPGN-Plug & Play Generative Networks: Conditional Iterative Generation of Images in Latent Space PrGAN - 3D Shape Induction from 2D Views of Multiple Objects RenderGAN - RenderGAN: Generating Realistic Labeled Data
RTT-GAN - Recurrent Topic-Transition GAN for Visual Paragraph Generation RTT-GAN - Recurrent Topic-Transition GAN for Visual Paragraph Generation
SGAN - Stacked Generative Adversarial Networks
SGAN - Texture Synthesis with Spatial Generative Adversarial Networks
SAD-GAN - SAD-GAN: Synthetic Autonomous Driving using Generative Adversarial Networks
SAGAN - SalGAN: Visual Saliency Prediction with Generative Adversarial Networks
SEGAN - SEGAN: Speech Enhancement Generative Adversarial Network SeGAN - SeGAN: Segmenting and Generating the Invisible
SeqGAN - SeqGAN: Sequence Generative Adversarial Nets with Policy Gradient
SketchGAN - Adversarial Training For Sketch Retrieval Stection - Semi-Latent GAN: Learning to generate and modify facial images from attributes
Softmax-GAN - Softmax GAN
SRGAN - Photo-Realistic Single Image Super-Resolution Using a Generative Adversarial Network S^2GAN - Generative Image Modeling using Style and Structure Adversarial Networks SSL-GAN - Semi-Supervised Learning with Context-Conditional Generative Adversarial Networks StackGAN - StackGAN: Text to Photo-realistic Image Synthesis with Stacked Generative Adversarial TGAN - Temporal Generative Adversarial Nets
TAC-GAN - TAC-GAN - Text Conditioned Auxiliary Classifier Generative Adversarial Network
TP-GAN - Beyond Face Rotation: Global and Local Perception GAN for Photorealistic and Identity Preserving Frontal View Synthesis
Triple-GAN - Triple Generative Adversarial Nets Unrolled GAN - Unrolled Generative Adversarial Networks VGAN - Generating Videos with Scene Dynamics VGAN - Generative Adversarial Networks as Variational Training of Energy Based Models VAE-GAN - Autoencoding beyond pixels using a learned similarity metric VariGAN - Multi-View Image Generation from a Single-View ViGAN - Image Generation and Editing with Variational Info Generative Adversarial Networks WGAN - Wasserstein GAN WGAN-GP-Improved Training of Wasserstein GANs
WGAN-GP-Improved Training of Wasserstein GANs
WaterGAN - <u>WaterGAN: Unsupervised Generative Network to Enable Real-time Color Correction of</u> Monocular Underwater Images