

# Testing the stability of fundamental constants with the $^{199}\text{Hg}^+$ single-ion optical clock

S. Bize,\* S.A. Diddams, U. Tanaka, C.E. Tanner,<sup>†</sup> W.H. Oskay, R.E. Drullinger, T.E. Parker, T.P. Heavner, S.R. Jefferts, L. Hollberg, W.M. Itano, D.J. Wineland, and J.C. Bergquist<sup>‡</sup>

*Time and Frequency Division, National Institute of Standards and Technology, 325 Broadway, Boulder, CO 80305, USA*

(Dated: October 13, 2018)

Over a two-year duration, we have compared the frequency of the  $^{199}\text{Hg}^+ \ 5d^{10}6s \ ^2S_{1/2}(F = 0) \longleftrightarrow 5d^96s^2 \ ^2D_{5/2}(F = 2)$  electric-quadrupole transition at 282 nm with the frequency of the ground-state hyperfine splitting in neutral  $^{133}\text{Cs}$ . These measurements show that any fractional time variation of the ratio  $\nu_{\text{Cs}}/\nu_{\text{Hg}}$  between the two frequencies is smaller than  $\pm 7 \times 10^{-15}\text{yr}^{-1}$  ( $1\sigma$  uncertainty). According to recent atomic structure calculations, this sets an upper limit to a possible fractional time variation of  $g_{\text{Cs}}(m_e/m_p)\alpha^{6.0}$  at the same level.

PACS numbers: 06.30.Ft, 32.30.Jc, 32.80.Pj

The development of string theory models aiming at a unified description of gravity and quantum mechanics has renewed interest for improved experimental tests of Einstein's Equivalence Principle (EEP). Indeed, a common feature of these models is that they allow for, or even predict, violations of EEP [1]. These include violation of the universality of free-fall as well as variation of fundamental constants with time and space. Interestingly, a recent analysis of the spectrum of quasars [2] suggests that the fine-structure constant  $\alpha$  may have changed over the cosmological timescale ( $10^{10}$  yr). The Oklo reactor analysis [3, 4] on the other hand puts a stringent limit to possible variation of  $\alpha$  on the geological timescale ( $10^9$  yr). Owing to their high accuracy, comparisons between atomic frequency standards based on different atomic species and/or types of transitions provide one of the best ways to perform laboratory tests of the stability of fundamental constants. Present and future efforts to improve atomic frequency standards, in both the optical and the microwave domains, will improve these tests, leading to significant constraints on theoretical work aimed at a unified theory.

In this letter we describe frequency comparisons conducted over a two-year period between a  $^{199}\text{Hg}^+$  single-ion optical clock and a  $^{133}\text{Cs}$  fountain atomic clock that set a new stringent limit to a possible variation of fundamental constants. The theoretical background for such a test is given first. We then describe the experiment and conclude with the results of the test.

In the experiment, the frequency  $\nu_{\text{Hg}}$  of the  $^{199}\text{Hg}^+ \ 5d^{10}6s \ ^2S_{1/2}(F = 0) \longleftrightarrow 5d^96s^2 \ ^2D_{5/2}(F = 2, m_F = 0)$  electric-quadrupole transition at  $\lambda = 282$  nm is compared to the frequency  $\nu_{\text{Cs}}$  of the ground-state hyperfine transition  $6S_{1/2}(F = 3, m_F = 0) \longleftrightarrow 6S_{1/2}(F = 4, m_F = 0)$  in neutral  $^{133}\text{Cs}$ . Including relativistic and many-body effects,  $\nu_{\text{Hg}}$  can be expressed as  $\nu_{\text{Hg}} \simeq R_y F_{\text{Hg}}(\alpha)$ , where  $R_y = R_\infty c$  is the Rydberg constant expressed as a frequency, and  $F_{\text{Hg}}(\alpha)$  is a dimensionless function of the

fine-structure constant  $\alpha = e^2/4\pi\varepsilon_0\hbar c$ . Similarly, the hyperfine frequency of cesium can be approximated by  $\nu_{\text{Cs}} \simeq g_{\text{Cs}}(m_e/m_p)\alpha^2 R_y F_{\text{Cs}}(\alpha)$ , where  $g_{\text{Cs}}$  is the gyromagnetic ratio of the  $^{133}\text{Cs}$  nucleus and  $m_e/m_p$  the electron-to-proton mass ratio.  $F_{\text{Hg}}(\alpha)$  and  $F_{\text{Cs}}(\alpha)$  are calculated in [5, 6]. With numerical values from [6], we find

$$\alpha \frac{\partial}{\partial \alpha} \ln F_{\text{Hg}}(\alpha) \simeq -3.2 \quad (1)$$

$$\alpha \frac{\partial}{\partial \alpha} \ln F_{\text{Cs}}(\alpha) \simeq +0.8. \quad (2)$$

Therefore, sequential measurements of the ratio  $\nu_{\text{Cs}}/\nu_{\text{Hg}}$  actually test the stability of the product of fundamental constants  $g_{\text{Cs}}(m_e/m_p)\alpha^{6.0}$ . Note that the sensitivity to variation of the individual constants needs to be known to only 1-10 % in order to adequately describe how this comparison constrains possible variations of these fundamental constants. It is therefore justified to omit higher-order terms in the expression of the hyperfine splitting of  $^{133}\text{Cs}$ , such as finite nuclear size. The high sensitivity of the  $\text{Hg}^+$  vs Cs frequency comparison to a change of  $\alpha$  arises from the large relativistic effects encountered in heavy atoms combined with the negative sign of the relativistic effects in  $^{199}\text{Hg}^+$ . These factors make the  $^{199}\text{Hg}^+ \ ^2S_{1/2} \longleftrightarrow \ ^2D_{5/2}$  optical transition one of the best choices for a search of a variation of  $\alpha$  [6].

The  $^{199}\text{Hg}^+$  single-ion optical frequency standard has been described previously [7, 8] and only the main features are outlined here. A single  $^{199}\text{Hg}^+$  ion is stored in a radio-frequency (rf) spherical Paul trap held at a cryogenic temperature (4.2 K). It is laser-cooled to near the 1.7 mK Doppler limit using the strongly allowed  $^2S_{1/2}(F = 1) \longleftrightarrow ^2P_{1/2}(F = 0)$  transition at 194 nm, as shown in Fig. 1. After a cooling and state preparation phase ( $\sim 16$  ms), the ion is left in the  $^2S_{1/2}(F = 0)$  lower state of the clock transition. The cooling laser is then switched off and the probe laser light at 282 nm is directed onto the ion for a typical duration of  $T = 50$  ms.

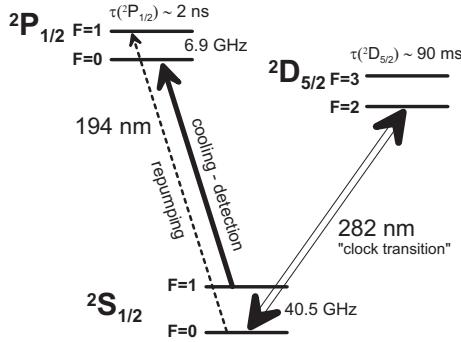


FIG. 1: Partial level scheme of  $^{199}\text{Hg}^+$ . The  $194\text{ nm } ^2S_{1/2}(F = 1) \leftrightarrow ^2P_{1/2}(F = 0)$  transition is used for Doppler cooling, state preparation and detection. The  $282\text{ nm}$  electric-quadrupole transition from the ground state  $^2S_{1/2}(F = 0)$  to the metastable  $^2D_{5/2}(F = 2, m_F = 0)$  state provides the reference for the optical clock frequency.

The cooling laser is turned on again to determine the ion's internal state using the technique of electron shelving [9, 10]. The  $194\text{ nm}$  fluorescence photons emitted by the ion are counted for  $15\text{ ms}$ . The absence of scattered photons indicates that the ion has been excited to the  $^2D_{5/2}(F = 2)$  state by the  $282\text{ nm}$  probe laser. Similarly, a typical count rate of  $\sim 6000\text{ s}^{-1}$  from the ion indicates that it has remained in the  $^2S_{1/2}(F = 0)$  state after the probe period. When the ion is detected in the  $^2D_{5/2}$  excited state, the  $194\text{ nm}$  is left on until scattered photons are detected again, indicating that the ion has spontaneously decayed to the  $^2S_{1/2}$  state. A new interrogation cycle is then started.

The  $282\text{ nm}$  radiation used to probe the clock transition is obtained by frequency-doubling  $563\text{ nm}$  light from a dye laser in a deuterated ammonium dihydrogen phosphate ( $\text{AD}^*\text{P}$ ) crystal, as shown in Fig. 2. The light from the dye laser is stabilized to a resonance of a stable high-finesse ( $\mathcal{F} \sim 200\,000$ ) Fabry-Pérot cavity. Two acousto-optic modulators (AOM1,AOM2) driven by precision rf sources shift and fine-tune the frequency of the  $563\text{ nm}$  radiation so as to match the frequency of the  $282\text{ nm}$  radiation to the  $S - D$  resonance frequency. By compensating the predictable linear drift of the stable reference cavity ( $\sim 500\text{ mHz s}^{-1}$ ) using AOM1, we realize an interrogation oscillator with a fractional frequency instability of  $3 \times 10^{-16}$  between  $1\text{ s}$  and  $10\text{ s}$ , corresponding to a linewidth of  $640\text{ mHz}$  at  $282\text{ nm}$  [7]. For a typical probe time  $T = 50\text{ ms}$ , which is shorter than the  $90\text{ ms}$  spontaneous decay time of the  $^2D_{5/2}$  state, the observed linewidth is Fourier-transform limited with a full width at half maximum of  $\sim 16\text{ Hz}$ , much larger than the frequency fluctuations of the probe laser during the probe pulse time. Under these conditions, the fluctuations of the measured transition probability, and hence the frequency stability of the  $\text{Hg}^+$  frequency standard, are limited mainly by atomic quantum projection noise

[11].

In our trap, the radial and axial secular frequencies are  $1.1\text{ MHz}$  and  $2.2\text{ MHz}$  respectively. Both frequencies are much higher than the recoil frequency  $\nu = h/2m\lambda^2 \approx 12.6\text{ kHz}$ , where  $m$  is the ion mass and  $h$  is the Planck constant. The observed spectrum therefore consists of a central feature at the  $^2S_{1/2}(F = 0) \leftrightarrow ^2D_{5/2}(F = 2, m_F = 0)$  transition resonance frequency, which is free of the recoil frequency shift, together with vibrational sidebands at integer multiples of the trap secular frequencies [10]. The spectrum also exhibits features corresponding to the carrier and vibrational sidebands of the  $^2S_{1/2}(F = 0) \leftrightarrow ^2D_{5/2}(F = 2, m_F = \pm 1, \pm 2)$  “Zeeman components.” Typically, the frequency difference between the clock transition and the first Zeeman component is  $\sim 6\text{ MHz}$ , which corresponds to a quantization magnetic field  $B \approx 0.3\text{ mT}$ .

In order to lock the frequency of the probe laser to the atomic resonance, a square-wave frequency modulation is applied to the  $563\text{ nm}$  beam using AOM2 so as to probe the carrier of the clock transition alternately on each side of the resonance. Typically, 24 measurements are averaged on each side of the resonance to find the transition probability. The difference between these two transition probabilities reveals the detuning between the center frequency of the probe laser and the center of the atomic resonance. This error signal is used in a digital servo loop that steers the average frequency of the probe laser to the center of the atomic resonance, applying frequency corrections to the synthesizer driving AOM1. The time constant of this servo loop is on the order of  $\tau_{\text{loop}} \sim 15\text{ s}$ . The analysis of the frequency

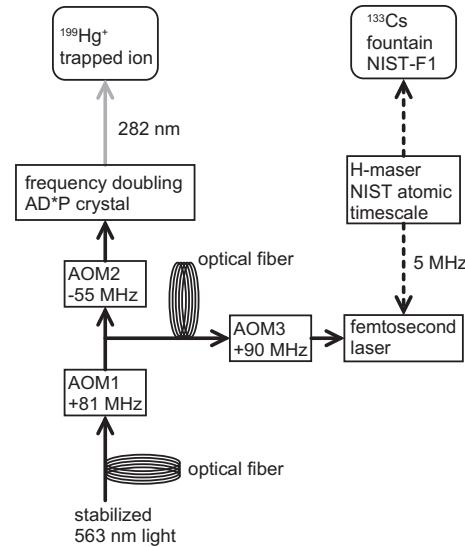


FIG. 2: Experimental setup for the absolute measurement of the frequency of the  $^{199}\text{Hg}^+$  optical clock in terms of the SI second defined by the ground-state hyperfine splitting of  $^{133}\text{Cs}$ .

corrections indicates that for  $\tau > \tau_{\text{loop}}$ , the fractional frequency instability of the probe light stabilized to the atomic resonance is  $5 - 7 \times 10^{-15} \tau^{-1/2}$ , in good agreement with the quantum-limited instability expected for our experimental conditions (trap secular frequencies, ion temperature and measurement cycle time).

In order to compare the  $\text{Hg}^+$  optical frequency standard to other frequency standards, some fraction of the 563 nm light at the output of AOM1 is launched into a 180 m long optical fiber and delivered into a separate room where it is frequency shifted by AOM3. AOM3 is used to actively cancel the optical path length fluctuations of the fiber in order to preserve the high degree of coherence of the light [12, 13].

The frequency of the 563 nm light is measured with an optical frequency comb generated by a mode-locked Ti:Sapphire laser whose femtosecond pulses are spectrally broadened in a microstructure fiber [14, 15, 16, 17]. The optical spectrum at the output of the fiber consists of equally spaced, phase-coherent modes with frequencies  $f_n = nf_r + f_0$ , where  $f_r$  is the repetition rate of the mode-locked laser,  $n$  is an integer and  $f_0$  is a frequency offset caused by the difference between the phase and group velocities in the laser cavity. In our setup, the repetition rate is typically  $f_r \sim 1$  GHz and the spectrum spans more than one octave from  $\sim 520$  nm to 1170 nm. The repetition rate is detected directly with a fast photodiode. The offset frequency  $f_0$  is detected by the self-referencing method, where the frequency-doubled red part of the comb  $2f_n = 2nf_r + 2f_0$  is heterodyned with the blue part of the comb  $f_{2n} = 2nf_r + f_0$ . We also detect the beatnote  $f_b$  between the 563 nm light from the  $\text{Hg}^+$  optical frequency standard and the closest mode of the optical frequency comb  $f_m = mf_r + f_0$  ( $m$  is known from previous coarse measurements of the  $\text{Hg}^+ S - D$  transition frequency). In practice,  $f_0$  and  $f_b$  are phase-locked to precision rf sources by acting on the cavity length and the pump power of the femtosecond laser, respectively. Finally,  $f_r$  is measured by counting the low-frequency beatnote between  $f_r$  and a third rf synthesizer.

In order to perform absolute optical frequency measurements in terms of the SI second, all synthesizers and frequency counters are referenced to the 5 MHz output of a hydrogen maser with a typical frequency instability  $\sim 2 \times 10^{-13}$  at 1 s. The maser itself is part of an ensemble of 5 masers and 3 commercial cesium clocks used to realize the local NIST atomic time scale, which is in turn periodically calibrated using the NIST-F1 cesium fountain primary standard [18], as well as international cesium standards. The frequency of the reference hydrogen maser is known within 1.8 parts in  $10^{15}$  with respect to the ground-state hyperfine splitting of  $^{133}\text{Cs}$ . As shown in recent investigations [19, 20], the additional noise and inaccuracy from the optical frequency comb itself is negligible at this level. Using this setup, it is thus possible to perform absolute measurements of the frequency of

the  $\text{Hg}^+$  optical standard (together with the frequency of each component of the optical comb) with a fractional frequency uncertainty of  $1.8 \times 10^{-15}$ . Typically, measurements are performed for 2 hours, leading to a statistical (type A) fractional frequency uncertainty of 2.4 parts in  $10^{15}$ , which corresponds to a 2.5 Hz uncertainty on the frequency of the 282 nm stabilized light.

The full evaluation of all systematic effects of the  $\text{Hg}^+$  optical standard is still under way. At the present time, 10 Hz is a conservative upper bound for the total systematic (type B) uncertainty, as shown by the following preliminary analysis of systematic shifts. The second-order Zeeman frequency shift of the clock transition is given by  $\delta\nu_Z = K_Z^{(2)}B^2$ ,  $K_Z^{(2)} = -18.925(28)$  kHz mT $^{-2}$  [21]. The typical field  $B \approx 0.3$  mT corresponds to a second-order Zeeman frequency shift  $\delta\nu_Z \sim -1.7$  kHz. Therefore, the  $1.5 \times 10^{-3}$  fractional uncertainty on coefficient  $K_Z^{(2)}$  leads to a 2.6 Hz uncertainty on the clock frequency. For the same value of the bias magnetic field, the sensitivity of the clock frequency to field fluctuations is 11 Hz  $\mu\text{T}^{-1}$ . In our unshielded environment,  $B$ -field fluctuations up to  $\pm 0.2$   $\mu\text{T}$  have been observed, leading to a 2.2 Hz uncertainty. The most troublesome frequency shift is the electric-quadrupole shift  $\delta\nu_Q$  due to the coupling between the atomic electric-quadrupole moment in the upper  $^2D_{5/2}(F = 2, m_F = 0)$  clock state with electric field gradients due, for example, to stray charges on the trap electrodes. From our trap geometry and the bias voltages applied to compensate for stray electric fields, it is possible to calculate an upper bound for an electric field gradient at the location of the ion and to estimate that  $\delta\nu_Q < 1$  Hz [21]. In addition, two successive versions of the trap electrodes (gold and molybdenum surfaces) have been used, leading to no detectable change of the clock frequency. Similarly, the background pressure of helium (the only species remaining with significant pressure at 4.2 K) has been changed by more than one order of magnitude (estimated by measuring the ion heating rate due to background collisions) without producing any detectable frequency shift. Empirically, this implies that the He background pressure shift is smaller than 1 Hz. At a temperature of 300 K, the black-body radiation shift is  $-0.08$  Hz, and it is considerably lower in the 4.2 K cryogenic environment [21]. At the Doppler cooling limit of 1.7 mK, the second-order Doppler shift due to thermal motion is  $-0.003$  Hz. Finally, by suitably compensating the stray static electric field, systematic shifts related to the trapping oscillating electric field (second-order Doppler shift due to micromotion, AC Stark shift) are made smaller than 0.1 Hz [22].

Over a period of two years, we have performed 20 measurements of the  $^{199}\text{Hg}^+ S - D$  transition frequency with respect to the  $^{133}\text{Cs}$  ground state hyperfine splitting. Figure 3 shows these measurements, corrected for the second-order Zeeman frequency shift, with their sta-

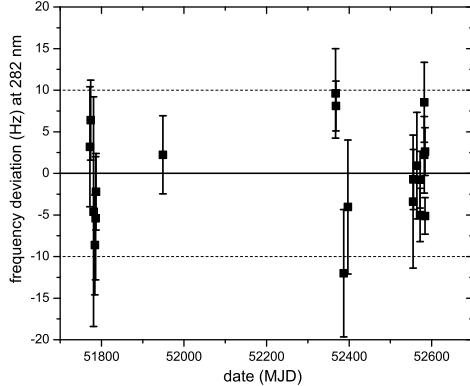


FIG. 3: Absolute frequency measurements of the  $^{199}\text{Hg}^+$   $^2S_{1/2}(F=0) \longleftrightarrow ^2D_{5/2}(F=2)$  transition with respect to the  $^{133}\text{Cs}$  ground state hyperfine splitting defining the SI second. The plot shows the deviation of each measurement from the weighted average value with its statistical  $\pm 1\sigma$  error bar. The horizontal axis is the Modified Julian Date (MJD 52582 = Nov. 4, 2002).

tistical (type A) error bars. The absolute frequency of the  $\text{Hg}^+$  optical standard is given by the weighted average of these data:  $\nu_{\text{Hg}} = 1\ 064\ 721\ 609\ 899\ 143.4$  Hz. The total statistical uncertainty is only 1.0 Hz. Measurements in Fig. 3 clearly show a reproducibility better than 10 Hz at  $1.06 \times 10^{-15}$  Hz, the most stringent comparison of optical and microwave frequencies to date. The total uncertainty of the measurement is dominated by the 10 Hz systematic uncertainty of the  $\text{Hg}^+$  clock. With a  $10^{-14}$  fractional uncertainty and a measurement period of two years, our measurement constrains a possible fractional variation of  $\nu_{\text{Cs}}/\nu_{\text{Hg}}$  at the level of  $\pm 7 \times 10^{-15} \text{ yr}^{-1}$  ( $1\sigma$  uncertainty).

This result can be interpreted as constraining a possible fractional variation of  $g_{\text{Cs}}(m_e/m_p)\alpha^{6.0}$  at the same level:  $\pm 7 \times 10^{-15} \text{ yr}^{-1}$ . Assuming that any change in this quantity is due to the  $\alpha^{6.0}$  factor, we derive an upper bound for a possible linear variation of the fine-structure constant:  $|\dot{\alpha}/\alpha| < 1.2 \times 10^{-15} \text{ yr}^{-1}$ , a factor of 30 improvement over [5]. However, there may be a significant change of  $g_{\text{Cs}}$  or  $m_e/m_p$  due to possible variation of the strength of the strong and electroweak interactions. In fact, recent theoretical work argues that within the framework of a grand unified theory a fractional variation of  $\alpha$  is necessarily accompanied by a fractional variation of  $m_e/m_p$  that is  $\sim 38$  times larger [23]. Fortunately, comparisons between two optical clocks will test the stability of  $\alpha$  alone [6]. One interesting possibility is to compare the  $^{199}\text{Hg}^+ S - D$  transition to the 657 nm  $^1S_0(m=0) \longleftrightarrow ^3P_1(m=0)$  transition in neutral  $^{40}\text{Ca}$  [14, 24]. With an independent constraint to the stability of  $\alpha$ , comparisons involving hyperfine transitions, such

as the present  $\nu_{\text{Hg}}$  (optical) vs  $\nu_{\text{Cs}}$  comparison or the  $\nu_{\text{Rb}}$  (microwave) vs  $\nu_{\text{Cs}}$  comparison [25, 26], will test the stability of the strong and electroweak interactions. Reference [27] investigates in more detail possible laboratory tests of the stability of fundamental constants.

We thank Robert Windeler of OFS laboratories for providing the microstructure fiber and Thomas Udem for his contributions in the early measurements. This work was partially supported by the Office of Naval Research and through a cooperative research and development agreement with Timing Solutions, Inc., Boulder, CO. This work of an agency of the U.S. government is not subject to U.S. copyright.

\* Present address: BNM-SYRTE, Observatoire de Paris, France; Electronic address: sebastien.bize@obspm.fr

<sup>†</sup> Permanent address: Department of Physics, University of Notre Dame, also supported by DOE and NSF.

<sup>‡</sup> Electronic address: berky@boulder.nist.gov

- [1] T. Damour, F. Piazza, and G. Veneziano, Phys. Rev. Lett. **89**, 081601 (2002).
- [2] J. Webb *et al.*, Phys. Rev. Lett. **87**, 091301 (2001).
- [3] A. I. Shlyakhter, Nature **264**, 340 (1976).
- [4] T. Damour and F. Dyson, Nucl. Phys. B **480**, 37 (1996).
- [5] J. D. Prestage, R. L. Tjoelker, and L. Maleki, Phys. Rev. Lett. **74**, 3511 (1995).
- [6] V. A. Dzuba, V. V. Flambaum, and J. K. Webb, Phys. Rev. A **59**, 230 (1999).
- [7] B. Young *et al.*, Phys. Rev. Lett. **82**, 3799 (1999).
- [8] R. J. Rafac *et al.*, Phys. Rev. Lett. **85**, 2462 (2000).
- [9] H. Dehmelt, Bull. Am. Phys. Soc. **20**, 60 (1975).
- [10] J. C. Bergquist, W. M. Itano, and D. J. Wineland, Phys. Rev. A **36**, 428 (1987).
- [11] W. Itano *et al.*, Phys. Rev. A. **47**, 3554 (1993).
- [12] L.-S. Ma *et al.*, Opt. Lett. **19**, 1777 (1996).
- [13] B. Young *et al.*, in *Proc. of the 14<sup>th</sup> Int. Conf. on Laser Spectroscopy*, edited by R. Blatt, J. Eschner, D. Leibfried, and F. Schmidt-Kaler (World Scientific, 1999).
- [14] T. Udem *et al.*, Phys. Rev. Lett. **86**, 4996 (2001).
- [15] R. Holzwarth *et al.*, Phys. Rev. Lett. **85**, 2264 (2000).
- [16] S. Diddams *et al.*, Phys. Rev. Lett. **84**, 5102 (2000).
- [17] S. Diddams *et al.*, Science **293**, 825 (2001).
- [18] S. Jefferts *et al.*, Metrologia **39**, 321 (2002).
- [19] T. Udem *et al.*, Opt. Lett. **24**, 881 (1999).
- [20] S. Diddams *et al.*, Opt. Lett. **27**, 58 (2002).
- [21] W. Itano, J. Res. Natl. Inst. Stand. Technol. **105**, 829 (2000).
- [22] D. J. Berkeland *et al.*, J. Appl. Phys. **83**, 5025 (1998).
- [23] X. Calmet and H. Fritzsch, Eur. Phys. J. C **24**, 639 (2002).
- [24] J. Helmcke *et al.*, in *Proc. of the 2002 CPEM Conference*; IEEE Trans. Instrum. Meas. (to be published).
- [25] S. Bize *et al.*, in *Proc. of the 6<sup>th</sup> Symposium on Frequency Standards and Metrology*. (World Scientific, 2001), p. 53.
- [26] H. Marion *et al.*, Submitted to Phys. Rev. Lett. (2002).
- [27] S. G. Karshenboim, Can. J. Phys. **47**, 639 (2000).