K-Anonymization: Medical Data

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Data Set



- Medical cost (insurance) dataset from kaggle (Choi, 2018)
- 1338 rows of unique individuals and the corresponding columns to portray the different values that can affect one's insurance charges
- The columns that are included in this dataset are age, sex, bmi, children, smoker, region, charges
- Quasi-identifiers of the dataset: age, sex, and region.
- Sensitive data is: BMI, children, smoker, and charges,
 - can be used against an individual if their identity is released and not properly anonymized.

Problem



- Several quasi-identifiers have not been generalized or suppressed,
- Leaves many of the categories such as age and gender available to be connected to other databases and identify the individual
- If there is a leakage in this database, there can be several legal consequences, such as violating HIPAA.

Significance of Problem

- Re Identification of the person by a linkage attack.
- Dataset includes sensitive data such as the individual's number of children, if they are a smoker, and the total amount of medical charges
- Such information is beneficial to data snoopers and hackers wanting to learn more about an individual
- If the data is leaked, legal and governmental issues such as HIPAA violations may occur because the data was not properly anonymized

Examples of Medical Data Leakage



(Healthcare Data Breach Statistics 2020)

- → Anthem Blue Cross (2015): 78.8 million patient records had been stolen. The cyber attack claimed highly sensitive data, including names, Social Security numbers, home addresses, and dates of birth
 - Held too much sensitive information without anonymization or masking of certain identifiers to protect patients

Examples of Medical Data Leakage



(Healthcare Data Breach Statistics 2020)

- → American Collection Agency (2019): 26 million records had been hacked. Exposed information included: first and last name, date of birth, address, phone, date of service, provider, and balance information.
 - System also included credit card or bank account information that was provided by the consumer
 - Anonymization and protection techniques were not used to prevent identification and access to all of this sensitive information

Methods Used in Current Tutorials



Study 1

<u>Anonymizing & Sharing Medical Text Records</u>: study done in 2017 by Xiao-Bai Li & Jialun Qin

- Proposed new systematic approach to extract, cluster, & anonymize medical text records
- Key novel elements: "recursive partitioning method to cluster medical text records based on the similarity of the healthy and medical info & a value-enumeration method to anonymize potentially identifying information in the text data"

Study 1 ctd.



- Clustering approach is implemented w/ a recursive binary partitioning algorithm for controlling the level of disclosure risk
- Instead of generalization or noise perturbation, they propose a novel value-enumeration method which results in less information loss
- Utilizes both cluster-level & dataset-level anonymity

Study 2

<u>Utility-Preserving Anonymization For Health Data Publishing</u>: study done in 2017 by Hyukki Lee, Soohyung Kim, Jong Wook Kim, & Yon

Dohn Chung

- 3 part method to preserve data utility:
 - Utility-preserving model
 - Counterfeit record insertion
 - Catalog of the counterfeit records
- Anonymization algorithm applies full-domain generalization



Analytics Methods Examined

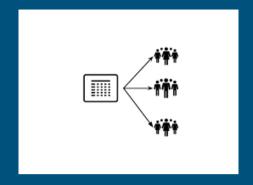
- Mondrian algorithm was used to implement k-anonymity in Python
 - This algorithm uses a greedy search algorithm to partition the data into smaller and smaller groups (N., 2018).
 - After partitioning the data, the values are aggregated and anonymization occurs.

Results

- Used spans function to calculate max-min for numerical columns and number of different values for categorical columns to create partitions of the dataset. (N., 2018)
- Implemented a split function that takes the partition and returns two partitions which split values above and below the median of the given partition (N.,
- Amoresymized the partitioned dataset using k-anonymity (k=3) and set the featured values to ['age', 'bmi', 'children'] and the sensitive value to ['charges']
- Aggregated each column
- Generated k-anonymized dataset

| | | age | bmi | children | charges |
|----|-----|-----------|-----------|----------|-------------|
| 2 | 24 | 18.000000 | 21.371250 | 0.0 | 1607.51010 |
| 2 | 25 | 18.000000 | 21.371250 | 0.0 | 1702.45530 |
| 2 | 26 | 18.000000 | 21.371250 | 0.0 | 13747.87235 |
| 2 | 27 | 18.000000 | 21.371250 | 0.0 | 14283.45940 |
| 3 | 33 | 18.000000 | 23.095000 | 0.0 | 1121.87390 |
| | | | | | |
| 9 | 78 | 63.333333 | 27.201667 | 0.0 | 29330.98315 |
| 9 | 79 | 63.333333 | 27.201667 | 0.0 | 29523.16560 |
| 13 | 327 | 63.666667 | 31.775000 | 2.0 | 16069.08475 |

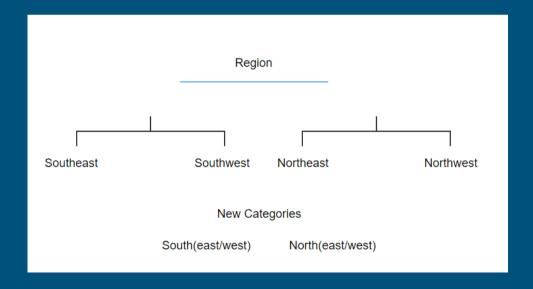
Analysis



- Dataset was generalized with k-anonymity (K=3)
- 'age' and 'bmi' were generalized to mean of partition, 'charges' column was swapped and unordered to make indistinguishable
- Loss of data occurred as the final anonymized output dropped our categorical variables ['region', 'sex', 'smoker']
- Privacy increased due to generalization, utility decreased due to lack of variables

Improvements

Generalizing region data to increase data utility as opposed to suppression



Discussion

- K-Means Clustering method provides higher utility/lower privacy
 - Since dataset pertains to personal health information, low privacy is not ideal as it allows for re-identification and linkage attacks
- Increasing K-anonymity values in Mondrian method caused data utility to decrease, making data difficult to use
- Keeping 'charges' unshuffled could improve utility

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