

From one to many (and back again): an alternating renewal process describes the buildup of perceptual grouping

Sara Steele 1,* , Daniel Tranchina 2,3 and John Rinzel 1,2

- ¹Center for Neural Science, New York University, New York, NY, USA
- ²Courant Institute for Mathematical Sciences, New York University, New York, NY, USA
- ³Department of Biology, New York University, New York, NY, USA

Correspondence*:

Sara Steele

Center for Neural Science, New York University, 4 Washington Pl, Room 809, New York, NY 10003, USA, steeles@cns.nyu.edu

Research Topic

ABSTRACT

Previous reports of buildup describe how perceptual organization becomes more complex over 3 time, in that different stimulus features are gradually more likely to be grouped into different perceptual representations. A common interpretation of this finding is that there must be some underlying mechanism of accumulation, for instance of sensory evidence (informational) or adaptation (physiological). We present a theoretical framework- an alternating renewal 7 process- that shows that even in the absence of any accumulative process, such buildup can be observed in a system in which the observer takes random samples from alternative perceptual organizations, as in perceptual bistability. Using this theory, we can describe the short term dynamics of buildup observed on short trials in terms of the long term statistics of percept durations for each alternative perceptual organization. This model performs well in describing buildup functions computed from pseudo-neuronal simulations of neural populations with competition architecture that undergo alternations. Even when these competition model simulations produce history dependence through accumulation of adaptation, our alternation model, which neglects history dependence from accumulative processes, is able to predict the buildup function. This indicates that accumulation is not a necessary feature to produce or to describe a buildup function. Rather, any model producing buildup will have an underlying alternating renewal process, the statistics of which are sufficient to account for buildup.

20 Keywords: stream segregation; alternating renewal process; bistable perception; perceptual dynamics; perceptual organization

1 INTRODUCTION

For some stimuli in the auditory and visual modalities with ambiguous grouping cues, the probability of perceptual "splitting" increases with time. One example of this buildup is found in auditory stream

- segregation. A number of studies using ambiguous ABA- tone sequences (van Noorden (1975)) have
- shown that perceptual splitting of sound events with different acoustic features requires time to build up (?Anstis and Saida (1985)). A and B refer to tones at different frequencies, and represents a silent
- 26 interval. Depending on the difference in frequency and presentation rate of the tones, listeners might be

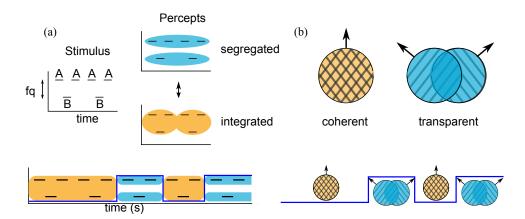


Figure 1: Examples of stimuli that can produce ambiguous grouping. (a) Van Noorden triplets with ambiguous stream segregation. Listeners report alternations between hearing integration (bottom, orange) and segregation (top, blue) of the component tone frequencies. (b) Moving gratings at certain angles can produce ambiguous motion. Observers report alternations between coherent and transparent motion of the component gratings.

more likely to hear grouped triplet patterns integrated into a galloping rhythm, or segregated streams of tones at separate frequencies (Figure 1). There is typically a period of buildup over which the probability of the segregated percept increases, starting from the initiation of a presentation (?Anstis and Saida (1985)) or a switch in the focus of attention (Cusack et al. (2004)). A similar phenomenon has also been reported in the visual modality. When viewing ambiguous moving plaids constructed from moving square wave gratings at intermediate speed and angle, observers have reported first experiencing coherent motion of a unified plaid pattern, even when, in the long term, their perception is biased towards transparent motion of the individual gratings (Rubin and Hupé (2004)). The psychophysical observation of this buildup in probability for split perceptual organization is accompanied by reports of perceptual alternations (?)That is, because of the ambiguity of the stimuli, subjects report experiencing sudden switches between one perceptual state and the other (Pressnitzer and Hupe (2006); Hupé and Pressnitzer (2012)) over long presentations, even after the buildup period.

Buildup in the auditory domain has been a subject of great interest because it is thought to be a product of the same mechanisms that enable listeners to perceptually group components of an acoustic signal according to the sources that produced them, a process known as stream segregation. Many explanations of buildup appeal to proposed mechanisms of stream segregation. One theoretical explanation for the perceptual organizations observed with the ABA- stimuli is grouping by coactivation (for a review, see Carlyon (2004)). Sound signals that excite the same population of neurons are grouped, whereas those which activate separate populations are perceived as coming from separate sources, that is, split. Some theories (Micheyl et al. (2005); Pressnitzer et al. (2008); Bee et al. (2010)) propose that the buildup of stream segregation reflects the accumulation of adaptation over seconds, or multi-second habituation, which leads to a decrease over time of activation by the other tone of auditory neurons tuned to each of the two tone frequencies.

The accumulation-based account of buildup can explain the perceptual switch from the grouped to the split percept; however, it is unable to explain why subjects undergo continued switches between percepts. We show that buildup can be described well by a model that uses the statistics of alternations, but no accumulation. The gradual increase in probability of a split percept over time could reflect the dynamics of an entirely random underlying alternating renewal process with a given initial state.

The long-term dynamics of perceptual bistability consist of alternations between mutually excusive percepts. The duration histogram of each percept is well-fit by a gamma density (**Shpiro et al.** (2009);

- **Pressnitzer and Hupe** (2006)). We believe that the short-term buildup in probability of split percepts,
- observed when short trial perceptual timecourses are averaged, could reflect the dominance duration
- distributions observed over long trials. To examine this relationship we use the theoretical framework 59
- of an alternating renewal process (). In this investigation we show that these distributions of percept 60
- durations, without considering accumulation, can account for the experimentally observed dynamics of
- buildup for a stimulus with ambiguous grouping, as follows:
- 1. the perceptual state alternates back and forth between grouped and split 63
- 2. the durations for these perceptual epochs are random, independent and stationary 64
- 3. the initial percept on a given trial is always the grouped percept 65
- In addition, we use existing computational models of perceptual bistability to explore how different 66 mechanisms of alternation affect the model's behavior with regard to buildup. 67

2 RESULTS

77

79

83 84

85

86

87 88

91

94 95

ALTERNATING RENEWAL PROCESS

- 68 The process of constructing empirical buildup curves involves the averaging over many trials of the timecourse of a random binary state variable (see Figure 2a, blue lines). In our statistical model, the 69 initial state (percept) is fixed, but the dwell time in this state is a random variable characterized by its probability density function. Subsequently the system switches randomly between two states, each of 71 which has its own fixed duration distribution. 72
- 73 We initially tested this theory in Monte Carlo simulations by simply constructing in silico perceptual 74 timecourses according to the above assumptions (see Figure 2, (a)). For a given simulated trial timecourse, we draw alternating random samples from each of two distributions- one corresponding to the grouped 75 state durations, and the other to the split state durations. We draw the first sample from the distribution 76 corresponding to the grouped state, the second from that corresponding to the split state, and continue drawing samples from each distribution in alternation until the sum of all the durations exceeds the length of a trial. These trial durations are converted into discretized timecourses by assigning a value of 0 to time intervals during which the state corresponds to a grouped percept, and assigning a value of 1 to the time 80 intervals for which the percept state was split. In Monte Carlo simulations, we produce an arbitrarily large number of such trial timecourses, then take the average at each time point. This simple process, with no history dependence between successive samples, produces buildup-like curves.
- We have also derived an analytical solution to this process using a population density approach similar to that used in **Stinchcombe et al.** (2012) to model stochastic gene expression. There are a number of advantages to characterizing the buildup function in this way. First, with an analytical solution relating the distributions of durations for grouped and split percepts to the buildup function, it is theoretically possible to interconvert between buildup functions and the statistics of the dominance durations for each percept. We have developed this solution into a statistical switching model to reconstruct the buildup 90 function from four parameters- the parameters for the gamma densities for grouped and split percept durations. This theoretical solution coincides with the Monte Carlo simulation results (see Figure 2b). This is convenient, as the analytical solution is both computationally less expensive than iterative Monte Carlo simulations, and the solution is exact. Furthermore, in the alternating renewal process framework, there is no explicit mechanism of accumulation. Therefore, this formulation challenges the conventional understanding of buildup of sound source segregation as requiring a gradual accumulative process.

COMPETITION MODEL SIMULATIONS PRODUCE BUILDUP AS A CONSEQUENCE OF **ALTERNATIONS**

There has been a great deal of work done to understand the neural computations that could underlie perceptual switching with ambiguous stimuli. Previous investigations (???Shpiro et al. (2009); Pastukhov et al. (2013)) have used population firing rate models with competition architecture to model perceptual bistability. In these pseudoneuronal mutual inhibition models, there are separate populations whose firing rates represent the perceptual strength of each interpretation of the stimulus. They make inhibitory connections onto one another, so the population with the highest firing rate typically dominates the other. These models were originally developed to describe binocular rivalry, but have also been used to account for the psychophysical results of experiments with ambiguous grouping- namely, moving plaids with coherent/transparent motion (Shpiro et al. (2009); ?); Pastukhov et al. (2013)) and triplets with streaming integration/segregation

In competition models, the relative firing rates of the two populations are taken to produce the simulated observer's perceptual reports. The population with the higher firing rate corresponds to the dominant percept. Because the two populations mutually inhibit each other, in most cases only one population is active at any given

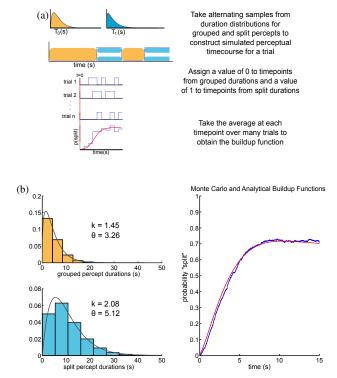


Figure 2: **(a)** Visualization of the alternating renewal process producing a buildup function. We used gamma probability density functions approximating duration distributions to construct Monte Carlo simulations. The analytical solution to the alternating renewal process model, shown as a red solid curve (bottom), allows us to predict buildup as a function of the distributions of dominance durations of each of the perceptual states. **(b)** Monte Carlo simulation computed buildup function of 1000 trials (blue) approaches the theoretical solution (red). Gamma distribution parameters chosen from fits to a preliminary psychophysical dataset (not shown).

time. In addition, each population undergoes adaptation in response to its own firing rate. The alternation of dominance epochs between the two populations can be driven by two mechanisms. If adaptation is strong enough, then the activity of the dominant population will decay over time, while the suppressed population recovers from any prior adaptation. This leads to periodic alternations between dominance states with oscillation dynamics. However, if adaptation is weak, the system will display attractor dynamics, in which alternations are driven by noise in the externally applied inputs. The brain appears to be a very noisy system, with random fluctuations occurring at multiple scales such as vesicular release and spiking variability.

The difference between oscillator and attractor dynamics in these competition models is most simply understood by observing how the system would behave without noise (**Shpiro et al.** (2009); **Moreno-Bote et al.** (2007)). For oscillation dynamics, adaptation would cause the dominant population to reduce its activity over time, reducing the inhibition on the suppressed population, allowing it to become active. In a noiseless system, stable fixed points in the system appear and disappear over time, and alternations will occur deterministically with a constant period. Noise in such a system will affect the distribution of dominance durations for each state, but is not required for switching. Conversely, attractor dynamics occurs when a system has multiple stable states at the same time. In the absence of noise, the initial

149 150

151 152

153

154

155 156

157

158

159

160

161

162 163

164

165

166

167

168

169

170

171

172

173

174

175

176

177

178

179

180

181

182

183

184

185

186

187

188

189

190

191 192

193

194

196

197

conditions determine which state becomes active, and the system behaves in a winner-take-all fashion. That is, the population that becomes dominant first is permanently active, and the other population is permanently suppressed. However, injecting noise into such a system can cause switches from one stable state into another. In this case, the switching between perceptual dominance states would be caused by the noise itself.

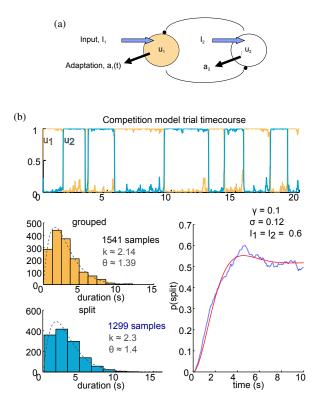


Figure 3: (a) Mutual inhibition population firing rate model producing buildup. We choose initial conditions to ensure that the population representing the grouped percept, u_1 , is always dominant at the beginning of a given trial timecourse. (b) Competition model simulation results for parameters that produce attractor dynamics with noise-driven switching. Top, population activity timecourse for one 20-second trial. 500 trials were simulated to produce the buildup function, lower right (blue). Histograms of the dominance durations, with maximum likelihood estimated gamma density parameters, are shown in the lower left. These parameters allow us to compute analytically the resulting buildup function (red). The buildup function looks similar to those reported in the psychophysical literature, and the ARP prediction is good (R-Squared = 98%).

We wanted to use the competition model as a test-bed for the theory of the alternating renewal process. We chose initial conditions that ensured that the grouped percept was always dominant at the beginning of the trial, as our hypothesis that alternations could produce buildup relies on this We did this in the assumption. simplest manner possible (see Figure 3), by setting the initial condition on the population representing the grouped percept to half its maximum value. All other initial conditions were set to 0. From the population firing rates we computed dominance durations, which were converted to binary timecourses and averaged over trials to produce competition model buildup functions. Using this method, we produced buildup functions for both oscillator and attractor competition models.

To test the application of the alternating renewal process model, we viewed dominance durations of each state from the short simulated trials as samples from underlying gamma distributions. Because our competition model simulations generated trials that were only 20 seconds long, a large proportion of these durations were truncated by the end of the trial. We estimated gamma parameters k and θ that maximized the likelihood of the complete as well as the right-censored dominance durations for each model "perceptual" state. Using only the four parameters so obtained, we were able to get very strong fits to the buildup function (R-squared > 90%).

2.1.1 Psychophysically observed buildup is inconsistent with alternations under oscillation dynamics Previous work from our lab has shown that oscillation dynamics are inconsistent with a number of statistical features of the dominance durations reported in psychophysical experiments (**Shpiro et al.** (2009)). The mean and circular variance of dominance durations under this dynamical regime do not

204

205

206

207

208

209

210

211

212

213

214

215

216

217

218

219

220

221 222

223

224

225

226

227

228

229

230

231 232

233

234

235

236

237 238

239 240

241

242

243

fall within the range of those observed for perceptual reports of ambiguous visual displays. Furthermore, when adaptation drives alternations in the dominance of population activity, we observe moderate and significant correlations between successive percepts. Data from the psychophysical literature suggests that the durations of subsequent percepts are only weakly correlated, if at all (**Pressnitzer and Hupe** (2006)).

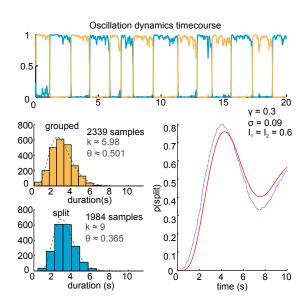


Figure 4: Competition model simulation of buildup under oscillation dynamics, for which switches between dominance states are driven by adaptation. Top, an example trial timecourse. The dominance durations are much more regularly timed, reflecting the clock-like periodicity of the underlying oscillator. These oscillations are dramatically present in the average. The prediction under the alternation hypothesis from the gamma parameters is still quite good (R-squared = 93%).

We wanted to examine buildup under each of these dynamical regimes in order to determine whether we could find correspondence between the buildup functions produced by competition models and those reported in the psychophysical literature. Previously reported psychophysical data suggest that buildup is largely a monotonic proess. To our knowledge, no psychophysical experiments have shown a buildup timecourse with a damped oscillatory approach to steady state. Buildup functions produced under oscillation dynamics in our competition model (Figure 4), however, display damped oscillations reflecting the underlying periodicity of the mechanism of alternation. As previously reported Shpiro et al. (2009), these buildup functions are derived from perceptual timecourses for which there are significant correlations between successive percept durations, such that the present perceptual state depends on the cumulative history of previous percepts. Although the correlation coefficient between durations of subsequent percepts was significant (r = 0.23), the fit to the buildup function by finding the parameters of density functions for long term percept durations was strong ($R^2 = 93\%$. Despite ignoring history dependence, the theory linking buildup to longterm dynamics gives accurate predictions.

2.2 DURATION DISTRIBUTIONS ARE NOT WELL CONSTRAINED BY THE TRIAL

AVERAGE

The resilience of the alternating renewal process to capture the dynamics of buildup in a variety of circumstances, including those with duration-to-duration correlations likely carries the burden of overflexibility—for any given buildup function, a wide range of pairs of distribution functions may provide a good fit. We generated buildup functions using Monte Carlo simulations as in Figure 2 and used our analytical solution to find the gamma density parameters that minimized the squared error between the Monte Carlo simulated and analytical buildup functions. In general, the parameters so found did not strongly fit the histograms of the duration samples used (see Figure ??). Specifically, we used one-sample Kolmogorov-Smirnov tests to determine whether the duration samples came from the gamma distribution obtained from the least squares fit to the buildup function. Only 24.5% of simulations produced good fits, and the average KS distance between sample and the fitted distribution was .09.

However, for the special case when the both perceptual states have identical duration distributions, the parameter estimation for the gamma density functions from the buildup function is much better. This circumstance occurs in competition model simulations when the inputs to the populations representing

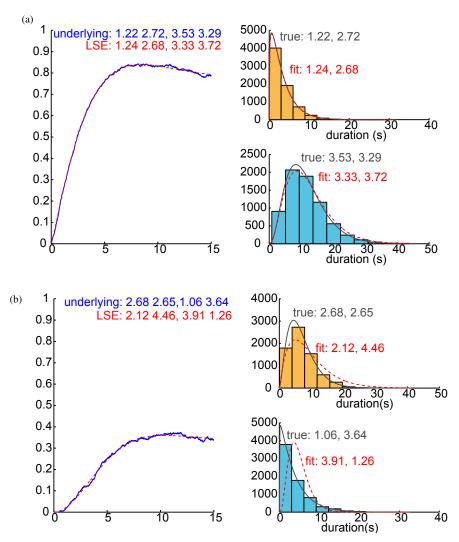


Figure 5: We generated buildup functions, shown in blue, with Monte Carlo simulations from known gamma densities (shown in gray on the histograms). We then obtained estimates for those parameters by finding the least squares fit to the Monte Carlo simulated buildup functions from our four parameter analytical expression. The fitted buildup function and the gamma densities so recovered are shown in dashed red lines. (a) A successful recovery of the gamma density parameters from the buildup function. (b) One case in which the parameters that minimize squared error between the analytical and the Monte Carlo simulated buildup function do not match the underlying gamma densities used to produce it.

each percept are matched, e.g., when the stimulus is perfectly ambiguous. We generated buildup functions using Monte Carlo simulations from two identical gamma densities, and found the single pair of gamma parameters that minimized squared error between this and theoretical buildup function. For 1000 such simulations, 63% of the recovered parameters were indistinguishable from the sample empirical distribution by Kolmogorov-Smirnov tests, and in general the fits were much closer, with a mean KS distance of .04. This method of estimation may prove useful for providing at least a rough estimate of the duration distributions underlying steady state switching dynamics.

244245

246

247248

249 250

252253

254

255

256

257

258

259

260 261

262

263

264

265 266

267 268

269

284

285

286

287

288

289 290

291

292

3 DISCUSSION

3.1 WHAT IF THE FIRST PERCEPT IS LONGER?

One issue we have not addressed so far in our presentation of the alternating renewal process model is inertia (**Hupé and Pressnitzer** (2012)). For ambiguous displays, the time until the first perceptual switch is typically much longer than subsequent durations of the same percept. For stimuli with ambiguous grouping, the distribution of initial grouped percept durations is different from other grouped percepts. Our theoretical model is capable of computing the buildup function from both steady state and initial percept distributions; however, this would introduce a third duration distribution, and increase the number of parameters to 6. For simplicity's sake, we have only shown the 4 parameter model, which assumes that the initial percept duration is drawn from the same distribution as other grouped percept durations.

Our competition model, as it stands, produces a different distribution for initial and subsequent grouped percepts. However, the initial percepts are shorter in mean duration. This can probably be fixed by using a different set of initial conditions to achieve the correct initial dominance state—for instance, by setting the initial conditions so that the other population, that which represents the split percept, is highly adapted at the beginning of the trial. However, such an approach would be arbitrary, and leaves something to be desired in terms of explanatory value. What would be better is a more detailed model that captures elements of the sensory coding and neural dynamics that produce the perceptual states in the first place. For instance, percept formation might be subserved by neural populations that respond only to particular spectrotemporal input patterns. These encoding neural populations would be subject to adaptation, etc, and could allow us to make predictions about the perception of novel stimuli.

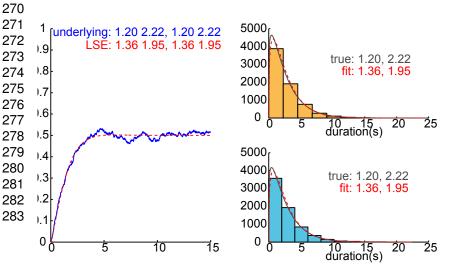


Figure 6: The same as Figure 5, except that the Monte Carlo simulations have been constrained so that the gamma densities for each of the two states are matched. In addition, the least squares fit is constrained to find only two parameters. The recovered parameters for the duration distributions almost always match those used to generate the Monte Carlo simulated buildup function.

Our theoretical solution can be modified to account for experimental data in which the initial percept duration distribution is different from the steady state. However, there are circumstances in which inertia is fairly trivial, such as when buildup resets after a switch in attention (Denham and **Gyimesi** (2010)). Stationary distributions might be appropriate for such circumstances. We can take a more abstract view and consider a steady-state buildup function constructed from averaging over timepoints aligned by switches into the grouped percept.

3.2 SWITCH-TRIGGERED AVERAGING PRODUCES STEADY STATE BUILDUP FUNCTION

To address the issue of inertia and the longer mean duration of the initial percept than subsequent grouped percepts on a trial, we considered a new method for constructing the buildup function: switch-triggered averaging. This method allows us to produce a

buildup function from a single long trial. Ignoring the first and second percept duration, we constructed buildup functions by estimating the probability over time for the split percept based on an event-triggered

average aligned to each switch into the grouped percept. This method produces a buildup function at steady state, the probability of perceiving the split organization not just from the beginning of the trial but rather from the beginning of any grouped percept duration over the course of a long presentation.

3.3 DO PERCEPT-TO-PERCEPT CORRELATIONS MATTER FOR DESCRIBING BUILDUP IN AN ALTERNATING RENEWAL PROCESS?

The previous competition model simulations provide a test-bed for our novel statistical model. When given dominance durations are not statistically independent, and there is history dependence between successive perceptual epochs, can the alternating renewal process theory still relate buildup to the underlying duration distributions? We measured the correlations in the data produced for noise-driven and adaptation-driven alternations. As previously described, the adaptation-driven perceptual timecourses showed moderate correlations between the durations of successive percepts. Our statistical model, however, ignores this history dependence entirely, treating percept durations as independent random variables described by stationary density functions.

Importantly, even for adaptation-driven switching, for which history dependence between successive durations is prominent, the buildup function predicted by the alternating renewal process without any consideration for correlations matched the competition model simulated buildup function. We believe that the failure of history dependence to affect the dynamics of buildup results from the loss of information about individual trial timecourses caused by averaging. While the correlations between perceptual epochs may be important for understanding the timecourse of a particular trial, the correlations between specific perceptual epochs are "washed out" by taking the average of many timecourses. Therefore, the dynamics of buildup can be described sufficiently well by the underlying distributions of dominance durations.

Previous accounts of buildup (Micheyl et al. (2005); Pressnitzer et al. (2008)) have pointed to the accumulation of adaptation as a critical feature for the switch from a grouped to a split percept. Indeed, multi-second habituation in the auditory periphery (Pressnitzer et al. (2008)) can predict the behavioral buildup of streaming. It may therefore be surprising that the alternating renewal process neglects to account for adaptation entirely. We believe that previous observations and our own can be reconciled, and may even be complementary. Previous work provides some account for how the switch from the grouped to the split percept might be accomplished as a result of an accumulation of adaptation. While we do not explicitly invoke an accumulative process to describe this switch, the use of a gamma density to describe the distribution of dominance durations for the grouped percept implies history dependence. This is because the hazard function for a gamma density, in contrast to an exponential distribution, is dependent on time elapsed, evolving from 0 at time zero to a steady state value. The time dependence of the probability of switching out of the grouped percept may therefore be complementary with previous descriptions. What is still missing from these theories, however, is the mechanism by which the perceptual state switches back and undergoes alternations; how do we account for switches out of the split percept, and the distribution of split durations?

The renewal process model is agnostic to the specific mechanism by which states are found and alternations occur; we have used existing competition models for the sake of illustration and as a computational test-bed. Similar competition-like processes have been used to explain the alternations observed in ambiguous motion and stream segregation (? experiments). The specific mechanisms for switching in human stream segregation may be more complex; (**Kondo and Kashino** (2009)) find that feedforward and feedback processes in a thalamocortical loop might be differentially engaged for switches into and out of the perceptual organization that is strongest.

single switch case is just a distribution of integrated percept/first switch times and a distribution of split percept durations that is centered at a mean far exceeding the time course of data collection.

336 sequence detector

337 our statistical model is good

SUMMARY

- We have presented a statistical model that explains how the distribution of state durations for an alternating 338
- renewal process at steady state describes the system's behavior before reaching steady state. 339

DATA SHARING 3.5

- Frontiers supports the policy of data sharing, and authors are advised to make freely available any 340
- materials and information described in their article, and any data relevant to the article (while not 341
- compromising confidentiality in the context of human-subject research) that may be reasonably requested 342
- by others for the purpose of academic and non-commercial research. In regards to deposition of data and 343
- data sharing through databases, Frontiers urges authors to comply with the current best practices within 344
- their discipline. 345

MATERIAL & METHODS

DERIVATION FOR ALTERNATING RENEWAL PROCESS

- In the alternating renewal process there are 2 random variables, S(t) and Z(t). S(t) is the random 346
- elapsed time since last switching into the current state, evaluated at time t. Z(t) is a dichotomous 347
- random variable, where Z(t) in 0,1 codes for the percept, grouped or split. For sake of convenience, 348
- we introduce 2 probability density -mass functions: $f_0(s,t)ds = Pr\{S(t)\}$ in (s,s+dt) and Z(t)=0,
- and $f_1(s,t)ds = Pr(S(t))$ in (s,s+ds) and Z(t) = 1. The coupled pair of partial differential equations 350
- describing the evolution over time of these 2 probability density-mass functions is as follows: 351

$$\frac{\partial f_0}{\partial t}(s,t) = -\frac{\partial}{\partial s}(1 * f_0(s,t)) - h_{T_0}(s)f_0(s,t) \tag{1}$$

$$\frac{\partial f_1}{\partial t}(s,t) = -\frac{\partial}{\partial s}(1 * f_1(s,t)) - h_{T_1}(s)f_1(s,t) \tag{2}$$

- where s is the elapsed time since entering state i and $h_{T_i}(s)$ is the hazard function, the probability per 352
- unit time of exiting the current state at time t. $h_{T_i}(s) = \frac{f_{T_i}}{\hat{F}_{T_i}}$, the ratio of the density function of durations 353
- T_i for state i and its complementary cumulative distribution function. 354
- 355 The value of S(t) is reset to 0 whenever an alternation between states occurs. The initial flux of
- probability (a source) at s = 0 is determined by the probability of switches out of the previous state, 356
- leading to the following boundary conditions: 357

$$f_0(0,t) = \int_0^\infty h_{T_1}(s) f_1(s,t) ds \tag{3}$$

$$f_1(0,t) = \int_0^\infty h_{T_0}(s) f_0(s,t) ds \tag{4}$$

- The probability that $Z(t) = 1, p_1(t)$, is the marginal probability mass function evaluated at z = 1. It 358 is obtained by integrating $f_1(s,t)$ over all s; and similarly for Z(t)=0. We used the following initial
- conditions, corresponding to $Pr\{Z(t=0)=1\}=1$, and $Pr\{Z(t=0)=0\}=0$. In order to allow for a different distribution for the initial percept, we first solve for the case of beginning in state 1: 360
- 361

$$f_1(s, t = 0) = \delta(s); f_0(s, t = 0) = 0$$
 (5)

For sake of simplifying notation, we define $p_{1|1}(t) = Pr\{Z(t) = 1 | Z(t = 0) = 1\}$. Using these conditions yields the following solution:

$$p_{1|1}(t) = \tilde{F}_{T_1}(t) + \frac{1}{2\pi} \int_{-\infty}^{\infty} dw \frac{1}{i\omega} \left[\hat{\tilde{F}}_{T_1}(\omega) \hat{f}_{T_0}(\omega) \hat{f}_{T_1}(\omega) \frac{i\omega}{1 - \hat{f}_{T_0}(\omega) \hat{f}_{T_1}(\omega)} \right] e^{i\omega t}$$
 (6)

- 364 where $\hat{f}(x)$ is the Fourier transform of f(x)
- To find the probability that Z(t)=1, given $Z(t=0)=0, p_{1|0}$, we time shift the above expression by
- 366 the durations of the initial state, T_0^0 , whose density function is $p_{T_0^0}(t)$ [changed f to p]. This amounts to a
- 367 convolution of the density for initial durations (and first switch times) with the previous solution:
- 368 [again changed f to p]

$$p_{1|0}(t) = \int_0^t f_{T_0^0}(s) f_1([t-s]|z(0) = 1) ds \tag{7}$$

369 Thus the solution can be given in the Fourier domain as:

$$\hat{p}_{1|0}(\omega) = \hat{f}_{T_0^0}(\omega)\hat{p}_{1|1}(\omega)$$
(8)

Using the simplifying assumption that $f_{T_0^0}(t) = f_{T_0}(t)$, that is, that the initial percept duration is from the same density function as all other T_0 , we find:

$$\hat{f}_{1|0}(\omega) = \hat{\tilde{F}}_{T_1}(\omega) \left(\hat{f}_{T_0} + \frac{\hat{f}_{T_0}^2(\omega) \hat{f}_{T_1}(\omega)}{1 - \hat{f}_{T_0}(\omega) \hat{f}_{T_1}(\omega)} \right)$$
(9)

372 At $\omega = 0$, $\hat{f}(\omega) = \mu(T_1)/(\mu(T_1) + mu(T_0)$. From here, the expression in the time domain is obtained by taking the inverse Fourier transform and finding the integral from 0 to t.

COMPETITION MODEL SIMULATIONS

Competition model simulations followed the procedures reported previously in **Shpiro et al.** (2009) for population firing rate model with spike frequency adaptation. Specifically,

$$\begin{cases} \dot{u}_1 &= -u_1 + f(-\beta u_2 - \gamma a_1 + I_1 + n_1) \\ \tau_a \dot{a}_1 &= -a_1 + u_1 \\ \dot{n}_1 &= \frac{-n_1}{\tau_n} + \sigma \sqrt{\frac{2}{\tau_n}} \eta(t) \\ \dot{u}_2 &= -u_2 + f(-\beta u_1 - \gamma a_2 + I_2 + n_2) \\ \tau_a \dot{a}_2 &= -a_2 + u_2 \\ \dot{n}_2 &= \frac{-n_2}{\tau_n} + \sigma \sqrt{\frac{2}{\tau_n}} \eta(t) \end{cases}$$

The variable u_1 corresponding to the short-time averaged firing rate of the population representing the "grouped" perceptual state, and u_2 the firing rate of the population representing the "split" perceptual

384

385

386

387

388

389 390

391

392

393 394

395

396

397

400

401

404

406 407

408

409

378 state. The variables a_1 and a_2 represent the spike-frequency adaptation. Parameter γ controls the strength of the adaptation, and β controls the strength of suppression from the competing population. I_1 and I_2 are 379 the external inputs driving the two populations, and n_1 and n_2 are independent Ornstein-Uhlenback noise 380 generators with mean zero and variance σ , and a timescale of τ_n . The input-output function used was a 381 sigmoid, with $f(x) = 1/(1 + exp((x\theta)/k))$. 382

The simulation was carried out in nondimensionalized time, with the convention that one unit of time corresponds to 10 msec. Time constants given in simulation time units were $\tau_a = 200, \tau_n = 10$. The following parameter values are used: $k = 0.1, \theta = 0, \beta = 1$. The values of the external inputs to the populations I_1 and I_2 , the adaptation gain γ and the noise strength σ were varied as specified in the figures, with the value of σ scaled in relation to the integration time step by $1/\sqrt{dt}$ to keep specified variance per unit time. Simulations were implemented in MATLAB using forward Euler integration with a time step of 0.1 (1 msec real time).

For each combination of parameter values, we simulated 500 trials of length 10 s with initial conditions $u_2(0), a_1(0), a_2(0), n_1(0), n_2(0) = 0$ and $u_1(0) = 0.5$; thus, at the beginning of each simulated trial, the first population to become dominant was always that corresonding to the first percept. With the resulting population firing rate timecourses, we obtained dominance durations by finding time points of the zero crossings of the differences of the firing rates. Using the samples of dominance durations obtained for each population (over 1000 durations for each population with each parameter set), we fitted gamma densities using maximum likelihood estimation. Simulated experimental buildup curves were constructed by computing the average for each timepoint across trials of the binary timecourse $u_2 > u_1$.

MONTE CARLO SIMULATIONS

We used Monte Carlo simulations to test the analytical solution above, and to evaluate its performance in 399 relating the buildup function to the underlying distributions of dominance durations.

To generate a wide range of plausible buildup functions, we simply specify two distributions with random parameters within the bounds [1,5]. These were decided upon arbitrarily after visual inspection 402 of many Monte Carlo simulated buildup functions. One of these distributions is labelled as the grouped percept durations, and the other as the split percept durations. For a given simulated trial timecourse, we 403 draw alternating random samples from each of these two distributions. We begin with the distribution corresponding to the grouped state, and continue drawing samples until the sum of all the durations 405 exceeds the length of a trial. These trial durations are converted into discretized timecourses by assigning a value of 0 to time intervals during which the state corresponds to a grouped percept, and assigning a value of 1 to the time intervals for which the percept state was split. In Monte Carlo simulations, we produce 1000 such trial timecourses, then take the average at each time point.

To estimate the gamma parameters from these Monte Carlo generated buildup functions, we used the 410 411 analytical solution (above) and searched for the 4 parameters that minimized the squared error between the analytical and the Monte Carlo generated buildup function. 412

All simulations were implemented in MATLAB. Maximum likelihood estimation was carried out using 413 the gamfit function from the stats toolbox. 414

DISCLOSURE/CONFLICT-OF-INTEREST STATEMENT

The authors declare that the research was conducted in the absence of any commercial or financial 416 relationships that could be construed as a potential conflict of interest.

ACKNOWLEDGEMENT

- 418 Text Text Text Text Text.
- 419 Funding: Text Text Text Text Text Text Text.

SUPPLEMENTAL DATA

- 421 Text Text Text Text Text.

REFERENCES

- 422 Anstis, S. and Saida, S. (1985), Adaptation to auditory streaming of frequency-modulated tones., *Journal of experimental psychology. Human perception and performance*, 11, 3, 257–271
- Bee, M. A., Micheyl, C., Oxenham, A. J., and Klump, G. M. (2010), Neural adaptation to tone sequences in the songbird forebrain: patterns, determinants, and relation to the build-up of auditory streaming,
- Journal of Comparative Physiology a-Neuroethology Sensory Neural and Behavioral Physiology, 196, 543–557, doi:10.1007/s00359-010-0542-4.Neural
- 428 Carlyon, R. P. (2004), How the brain separates sounds., *Trends in cognitive sciences*, 8, 10, 465–71, doi:10.1016/j.tics.2004.08.008
- Cusack, R., Deeks, J., Aikman, G., and Carlyon, R. P. (2004), Effects of location, frequency region, and time course of selective attention on auditory scene analysis., *Journal of experimental psychology.*Human perception and performance, 30, 4, 643–56, doi:10.1037/0096-1523.30.4.643
- Denham, S. and Gyimesi, K. (2010), Stability of perceptual organisation in auditory streaming, in E. A. Lopez-Poveda, A. R. Palmer, and R. Meddis, eds., The Neurophysiological Bases of Auditory Perception, chapter 44, 1 edition, 477–487
- Hupé, J.-M. and Pressnitzer, D. (2012), The initial phase of auditory and visual scene analysis.,
 Philosophical transactions of the Royal Society of London. Series B, Biological sciences, 367, 1591,
 942–53, doi:10.1098/rstb.2011.0368
- Kondo, H. M. and Kashino, M. (2009), Involvement of the thalamocortical loop in the spontaneous switching of percepts in auditory streaming., *The Journal of neuroscience : the official journal of the Society for Neuroscience*, 29, 40, 12695–701, doi:10.1523/JNEUROSCI.1549-09.2009
- Micheyl, C., Tian, B., Carlyon, R. P., and Rauschecker, J. P. (2005), Perceptual organization of tone sequences in the auditory cortex of awake macaques., *Neuron*, 48, 1, 139–48, doi:10.1016/j.neuron. 2005.08.039
- Moreno-Bote, R., Rinzel, J., and Rubin, N. (2007), Noise-induced alternations in an attractor network model of perceptual bistability, *Journal of* ..., 1125–1139, doi:10.1152/jn.00116.2007.When
- Pastukhov, A., García-Rodríguez, P. E., Haenicke, J., Guillamon, A., Deco, G., and Braun, J. (2013),
- Multi-stable perception balances stability and sensitivity., *Frontiers in computational neuroscience*, 7, March, 17, doi:10.3389/fncom.2013.00017
- Pressnitzer, D. and Hupe, J.-m. (2006), Temporal Dynamics of Auditory and Visual Bistability Reveal Common Principles of Perceptual Organization, *Current Biology*, 16, 1351–1357, doi:10.1016/j.cub. 2006.05.054
- 453 Pressnitzer, D., Sayles, M., and Micheyl, C. (2008), Perceptual Organization of Sound Begins in the 454 Auditory Periphery, *Current Biology*, 1124–1128, doi:10.1016/j.cub.2008.06.053
- Rubin, N. and Hupé, J.-M. (2004), Dynamics of perceptual bi-stability: plaids and binocular rivalry compared, in D. Alais and R. Blake, eds., Binocular rivalry. (MIT Press, Cambridge, MA), chapter 8, 1–13

- Shpiro, A., Moreno-bote, R., Rubin, N., and Rinzel, J. (2009), Balance between noise and adaptation in competition models of perceptual bistability., *Journal of Computational neuroscience*, 27, 1, 37–54, doi:10.1007/s10827-008-0125-3
- Stinchcombe, A., Peskin, C., and Tranchina, D. (2012), Population density approach for discrete mRNA distributions in generalized switching models for stochastic gene expression, *Physical Review E*, 85, 6, 1122 decided by the property of the property of
- 463 1–12, doi:10.1103/PhysRevE.85.061919
- 464 van Noorden, L. P. A. S. (1975), Temporal coherence in the perception of tone sequences