

Kinetic Monte Carlo Notes

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Table of contents

Monte Carlo Methods	3
Integrating a function MC sampling	3
Example integrating a function using MC sampling . .	4
Example integrating a function using MC sampling . .	5
Statistical Thermodynamics & Ensemble Properties .	5
Statistical Thermodynamics & Ensemble Properties .	6
Statistical Thermodynamics & Ensemble Properties .	6
Metropolis Monte Carlo	7
KMC CVD Example	7
KMC CVD Example height	7
Backmatter	7
References	8

List of Figures

0.1	Random sampled points from uniform distribution over the interval $[1, 4]$. The black points are those that are accepted.	4
0.2	Integration of $\log(x)$ using MC.	5

Monte Carlo Methods

- Solve complex problems using random sampling from a probability distribution (i.e. stochastic description).
- Useful to evolve a physical system to a new state from an ensemble of potential future states.

Integrating a function MC sampling

- If we want to evaluate the integral of a function over some domain we can numerically approximate this using the [midpoint rule](#):

$$\int_a^b f(x)dx = \frac{b-a}{N} \sum_{i=1}^N f(x_i) \quad (0.1)$$

- There is an alternative way to do this using probability theory to determine the expectation value of a function $f(x)$ for random variable x :

$$\int_a^b p(x)f(x)dx = \frac{b-a}{N} \sum_{i=1}^N f(x_i) \quad (0.2)$$

where $p(x)$ is a uniform probability distribution over the interval $[a, b]$.

- The difference between numerically evaluating Equation 0.1 and Equation 0.2, is that Equation 0.1 is evaluated over a grid of points and Equation 0.2 is randomly sampled points.
- The error of MC integration is $\propto \frac{1}{\sqrt{N}}$ as a result of [central limit theorem](#)

Example integrating a function using MC sampling¹

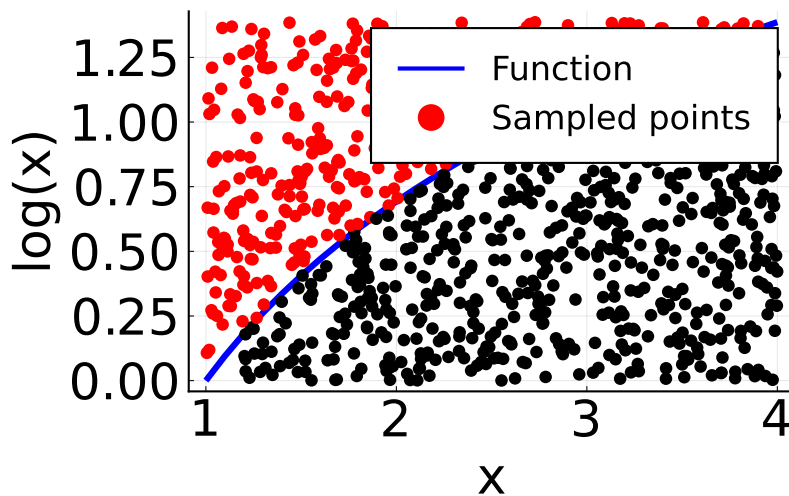


Figure 0.1: Random sampled points from uniform distribution over the interval $[1, 4]$. The black points are those that are accepted.

¹A more detailed notebook implementing the code can be viewed [here](#)

Example integrating a function using MC sampling

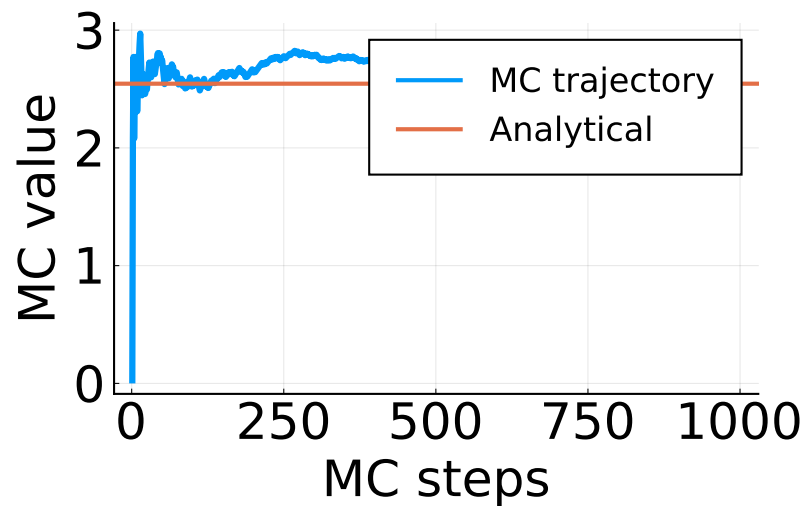


Figure 0.2: Integration of $\log(x)$ using MC.

Statistical Thermodynamics & Ensemble Properties

- Microscopic \rightarrow Macroscopic description
 - How positions and momenta of 10^{23} particles relates to bulk temperature, pressure, or volume.
- Ensembles use probability of specific microstate. Probability theory provides average of a function or variable, $\langle X \rangle$:

$$\langle X \rangle = \frac{1}{N} \sum_{i=1}^N n_i X_i = \sum_{i=1}^N \underset{\text{PDF}}{p_i} X_i \quad (0.3)$$

- If $\langle X \rangle$ is continuous, Equation 0.3 is an integral.
- p_i is the probability the system is in state i . The probability density function (PDF) has the property that its normalized, i.e. $\sum_{i=1}^N p_i = 1$

Statistical Thermodynamics & Ensemble Properties

- The consequence of Equation 0.3 is that microscopic collections (i.e. ensemble of systems) can be used to calculate macroscopic properties.
- Choice of $p_i = \frac{z_i}{Z}$ depends on macroscopic conditions which manifest through the partition function:

$$Z = \sum_i e^{-\beta X_i} \quad (0.4)$$

- For a macroscopic system that has constant particles, volume and temperature, i.e., [canonical](#).

$$- \beta = \frac{1}{k_b T} \text{ and } X_i = E_i \text{ where Boltzmann factor is } z_i = e^{-\frac{E_i}{k_b T}}$$

$$\langle E \rangle = \frac{1}{Z} \sum_i e^{-\frac{E_i}{k_b T}} E_i \quad (0.5)$$

Statistical Thermodynamics & Ensemble Properties

- The biggest challenge in evaluating Equation 0.5 is it requires knowledge of all possible configurations.
- If Z is a configurational integral, e.g., $Z = \int e^{-U(\mathbf{r}^N)/k_B T} d\mathbf{r}^N$, then there are $3N$ possible configs!
- The key insight is that most configurations are not probable:
 - If the two atoms are extremely close at moderate T , the term $U(\mathbf{r})$ is large and hence the probability low.
- The question then becomes, can we determine $p_i = \frac{1}{Z} e^{-\frac{E_i}{k_B T}}$ efficiently, that is the states with highest probability centered around $\langle E \rangle$ given that Z is not accessible.

$U(\mathbf{r})$ is the potential energy between pairs of atoms.

Metropolis Monte Carlo

- If we wanted to evaluate Equation 0.5 for an atomic system (i.e. the discrete states are replaced by continuous atomic configurations), we could use the MC sampling as in Equation 0.2.
- However we need to integrate over $3N$ dimensions!
- This eliminates the feasibility for determining the partition function Z which is required to know the probability of any specific configuration p_i

KMC CVD Example

KMC CVD Example height

Backmatter

Connect with me!



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Note

This presentation can be viewed online at <https://stefanbringuier.github.io/KMCNotes>. A report formatted PDF of this presentation can be downloaded [here](#).



Tip

To export `revealjs` presentations to pdf, press ‘e’ then ‘ctrl-p’ ‘save as pdf’

References

- Andersen, Mie, Chiara Panosetti, and Karsten Reuter. 2019. “A Practical Guide to Surface Kinetic Monte Carlo Simulations.” *Frontiers in Chemistry* 7. <https://doi.org/10.3389/fchem.2019.00202>.
- LeSar, R. 2013. *Introduction to Computational Materials Science: Fundamentals to Applications*. Cambridge University Press. <https://books.google.com/books?id=QzkhAwAAQB> AJ.
- “Numerical Integration - Midpoint, Trapezoid, Simpson’s Rule.” 2021.