

# Automated XCT Simulation, SOM Clustering, and CNN Refinement

Demo for CHIPS Program – Nondestructive Defect Detection  
Metrology  
Xinxin Sun

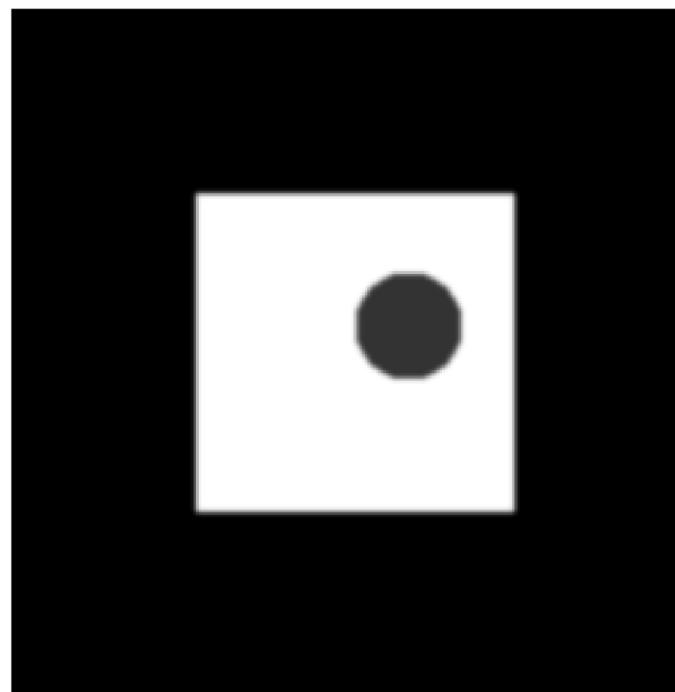
# End-to-End XCT Defect Detection Pipeline

- Phantom → Sinogram → FBP Reconstruction → SOM Clustering  
→ Class Extraction → CNN Refinement
  - • Physics-based XCT simulation
  - • 9D feature extraction + PCA + SOM unsupervised clustering
  - • CNN (Mini-UNet) refinement via teacher–student learning

# Phantom with Seeded Defect

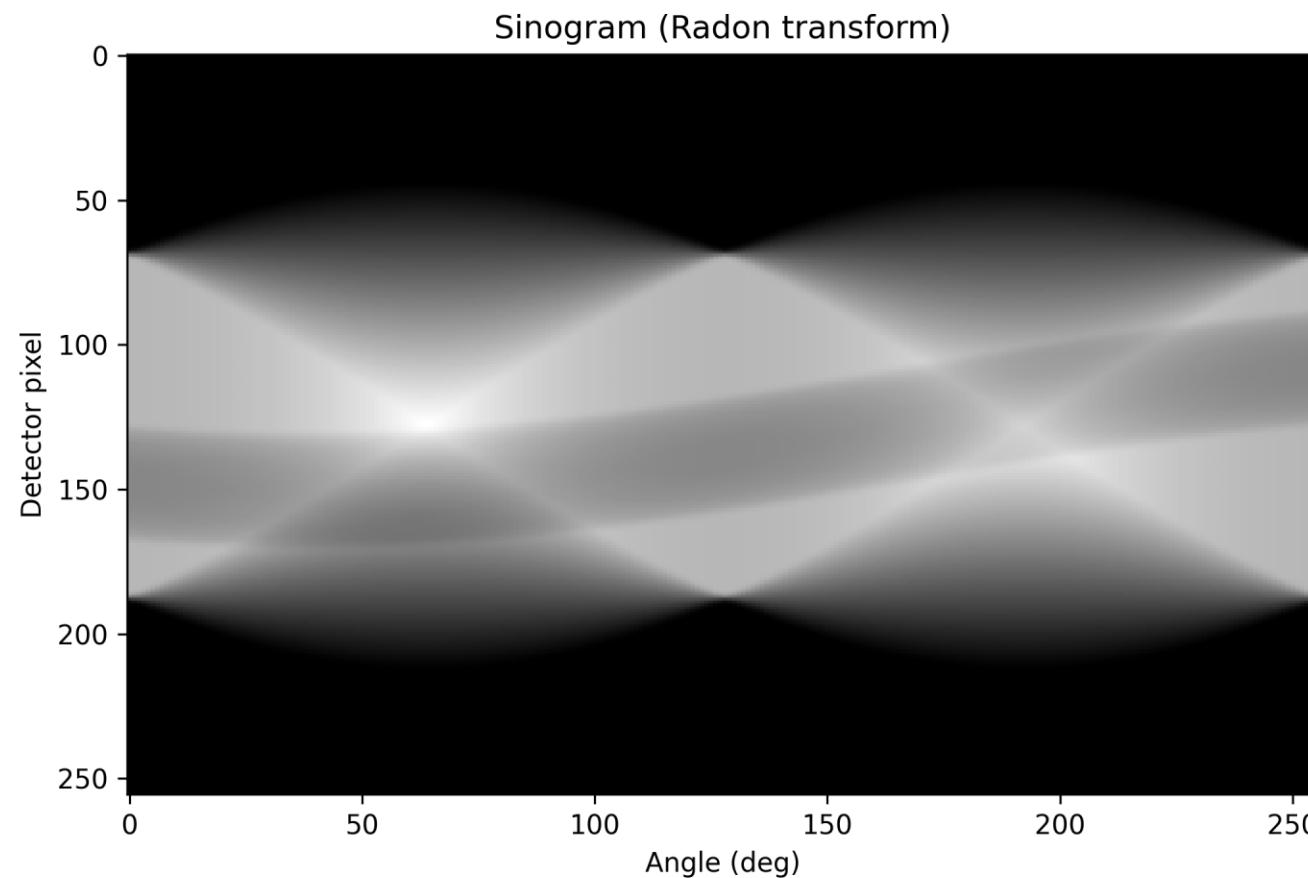
- Synthetic 256×256 reference artifact
- Square package + circular void defect

Phantom with seeded defect



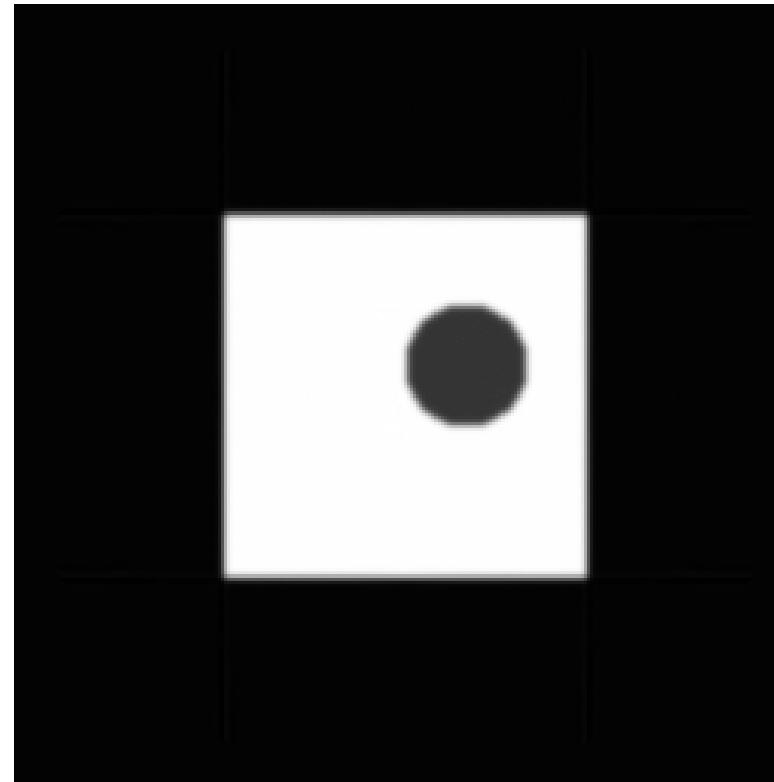
# Simulated Sinogram (Radon Transform)

- Generated over 0–250 degrees
- Captures projection of structural and defect geometry



# FBP Reconstruction

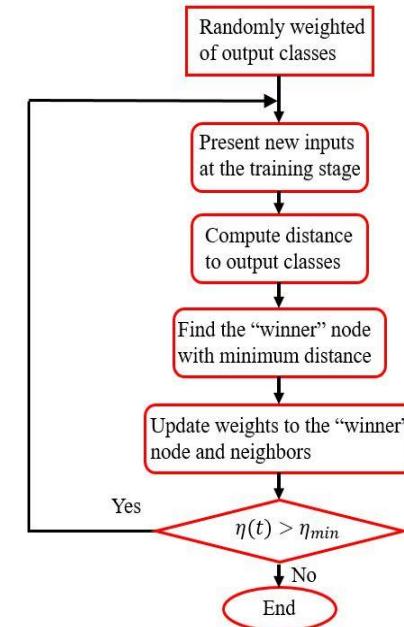
- Typical XCT reconstruction with mild streaking
- Used as input for SOM-based segmentation



# Method Overview

## *Self-Organizing Map (SOM) for Label-Free, Physics-Informed Segmentation (X-ray CT Reconstruction)*

Feature	Physical / Statistical Meaning in XCT
<b>Grayscale intensity</b>	Local X-ray attenuation; material density contrast
<b>Edge magnitude</b>	Boundary and interface sharpness; reveals defect edges
<b>Local contrast</b>	Heterogeneity of reconstructed materials; streak-robust
<b>Thinness / filament metric</b>	Captures circular voids and thin structures
<b>Local mean (3x3 / 5x5)</b>	Neighborhood background estimator; denoising
<b>Anisotropy (<math>\rho, \gamma</math>)</b>	Sensitivity to directional artifacts or coherence
<b>Hue surrogate</b>	Pseudo-channel to improve feature diversity and visualization



### Workflow Summary

1. Extract 9-dimensional pixel features from the 256×256 FBP reconstruction.

2. PCA reduction (9→6 dimensions) preserving >95% variance.

3. Unsupervised SOM forms physically interpretable clusters:

1. Background
2. Material body
3. Defect region
4. Boundary / edge

4. Automatic selection of cluster count via Elbow method.

5. Produce class-label map + radar plot to quantify physical differences.

### Key Advantages

- **Label-free** — No manual annotation required.
- **Physics-informed** — Features reflect attenuation, structure, and reconstruction artifacts.
- **Interpretable** — Each cluster corresponds to a statistically distinct material regime.
- **Noise-tolerant** — Stable under streaking and low-dose reconstructions.
- **Repeatable & transferable** — Centroids can be reused on new XCT datasets.
- **Efficient** — Runs in seconds on CPU for 256×256 images.

# METHOD OVERVIEW (SOM → CNN Refinement)

From Unsupervised SOM Labels to CNN Refinement  
A Teacher-Student Framework for Robust XCT Segmentation

## Core Idea

- SOM provides **interpretable, noise-robust pseudo-labels** without any ground truth.
- CNN (U-Net) **learns from SOM pseudo-labels**—a teacher→student process.
- The hybrid model leverages physics-driven initialization (SOM) and deep learning refinement (CNN).
- A **self-consistency loop ( $\Delta\text{IoU} \rightarrow 0$ )** ensures a stable, converged segmentation model.

## Workflow

### SOM labeling (Teacher)

Produces initial cluster masks: background / material / defect / boundary.

### CNN training (Student)

Input: reconstructed image

Label: SOM output

CNN learns a smooth, high-fidelity version of SOM segmentation.

### Pseudo-labeling (extension)

CNN can be applied to new or unlabeled XCT frames to generate pseudo-labels.

### Self-consistency loop (extension)

Retrain CNN on its own predictions until improvement in IoU falls below a threshold ( $\Delta\text{IoU} < 0.005$ ),  
ensuring stable and physically consistent masks.

## Key Advantages

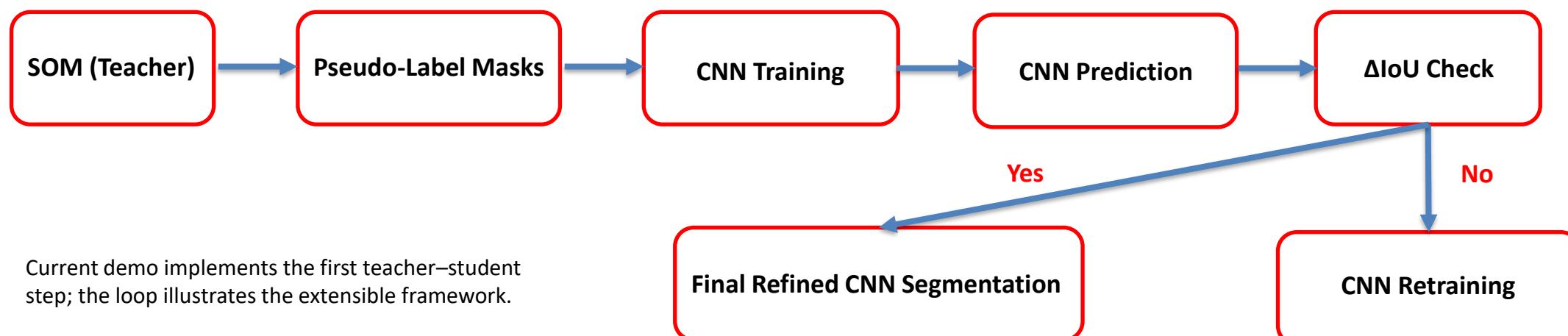
**Label-free segmentation pipeline** (no ground truth required).

**Noise-robust** — CNN trained on SOM's stable clusters is resistant to artifacts.

**Explainable** — SOM provides physics interpretation; CNN provides geometric refinement.

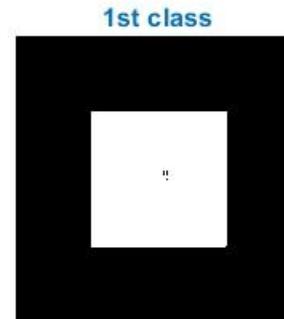
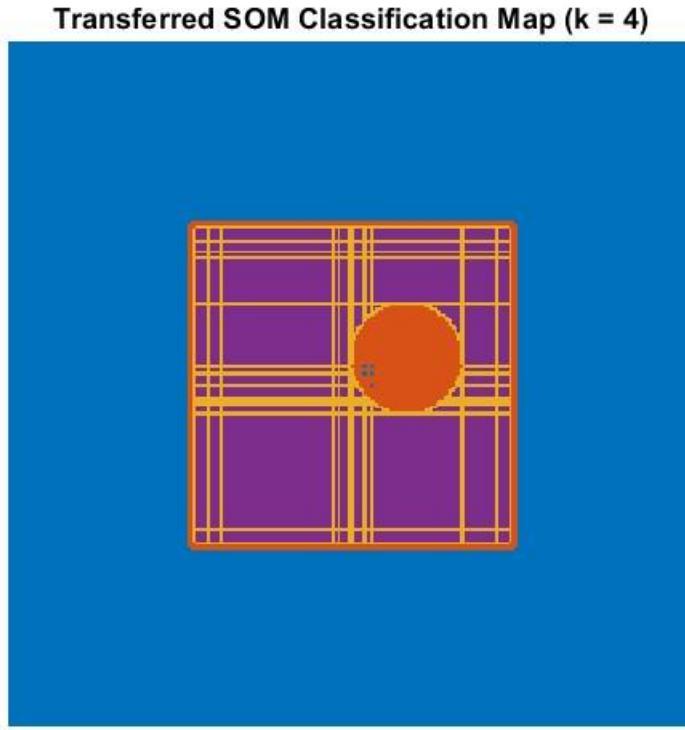
**Transferable** — Same pipeline applicable to XCT, ghost imaging, quantum imaging, etc.

**Efficient** — Patch-based U-Net converges quickly on 256×256 XCT images.

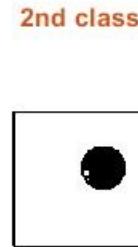
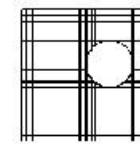


# SOM Clustering ( $k = 4$ )

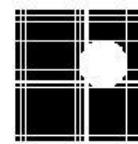
- 9D pixel-level physics features + PCA dimensionality reduction
- Automatically determines optimal cluster number via the Elbow criterion
- Class 2 consistently corresponds to the circular defect region



**3rd class**

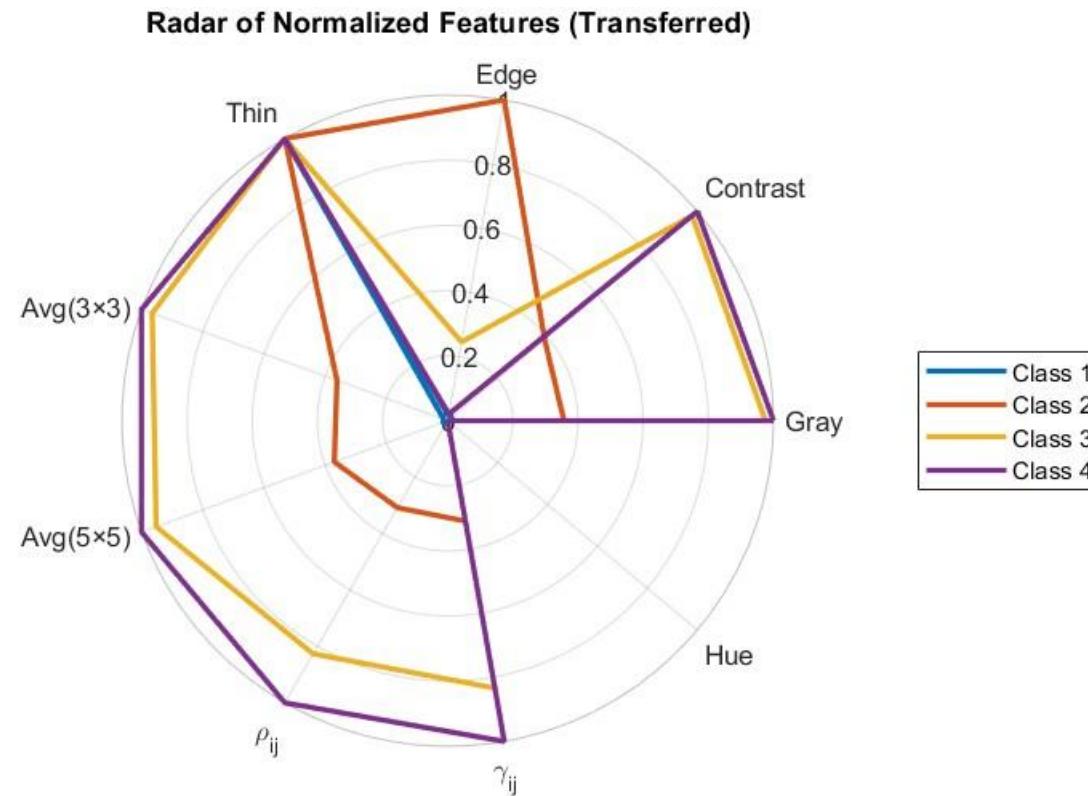


**4th class**



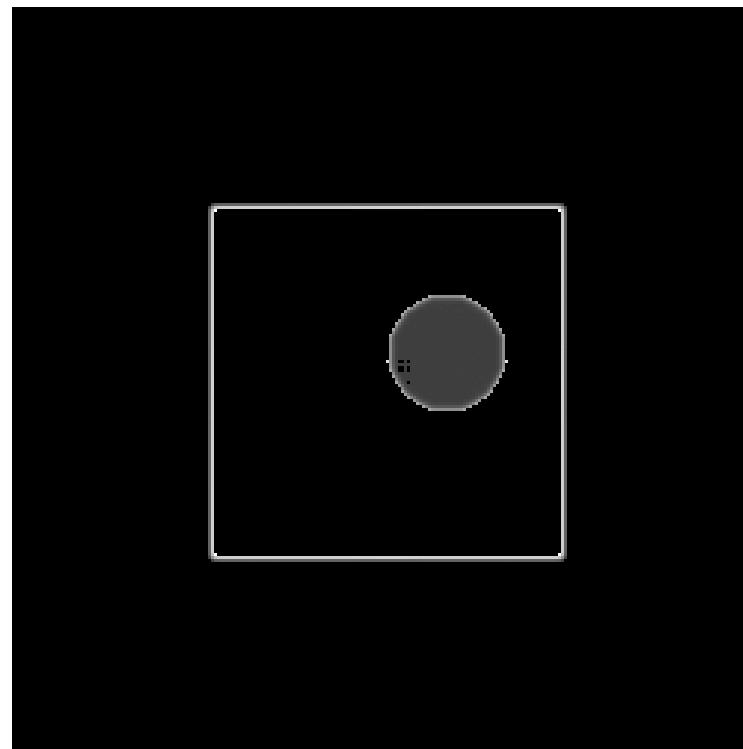
# Radar Plot of Normalized 9D Feature Statistics (Transferred SOM Classes)

- Colors consistent with SOM map
- Confirms feature distribution per class



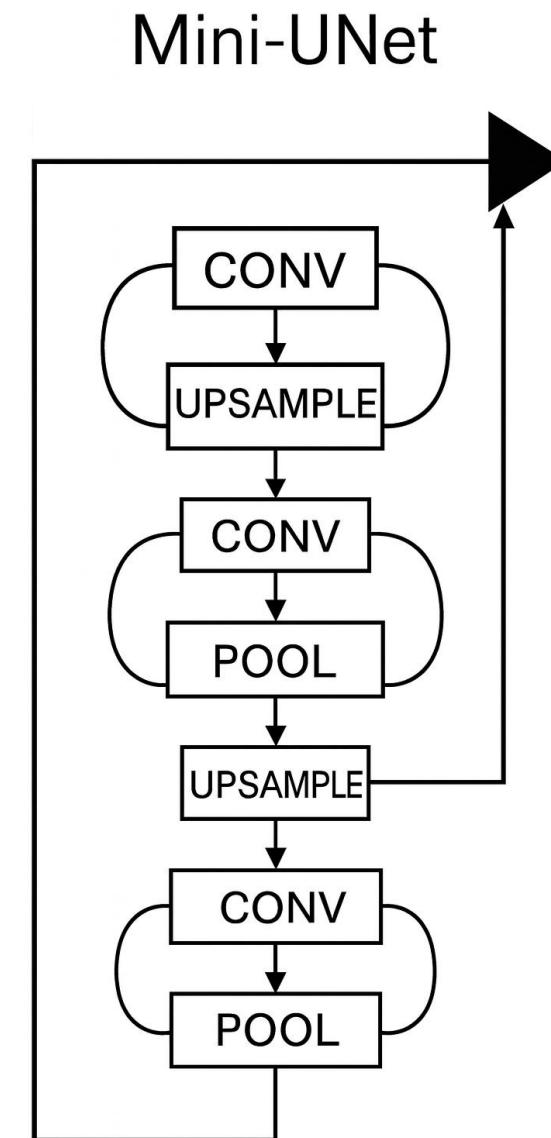
# Extracted Class 2 Region

- SOM isolates defect region in grayscale
- Serves as the pseudo-ground-truth (teacher signal) for CNN refinement



# Mini-UNet CNN Student Model

- Learns from SOM teacher labels
- $64 \times 64$  patch-based training
- BCE loss +  $\Delta$ IoU convergence



# CNN Training Convergence

- IoU ≈ 0.96 from first epoch
- $\Delta\text{IoU} < 0.003 \rightarrow$  Early stopping
- Model saved as `cnn_from_som.pth`

Epoch 0: loss=0.0068, IoU=0.9685

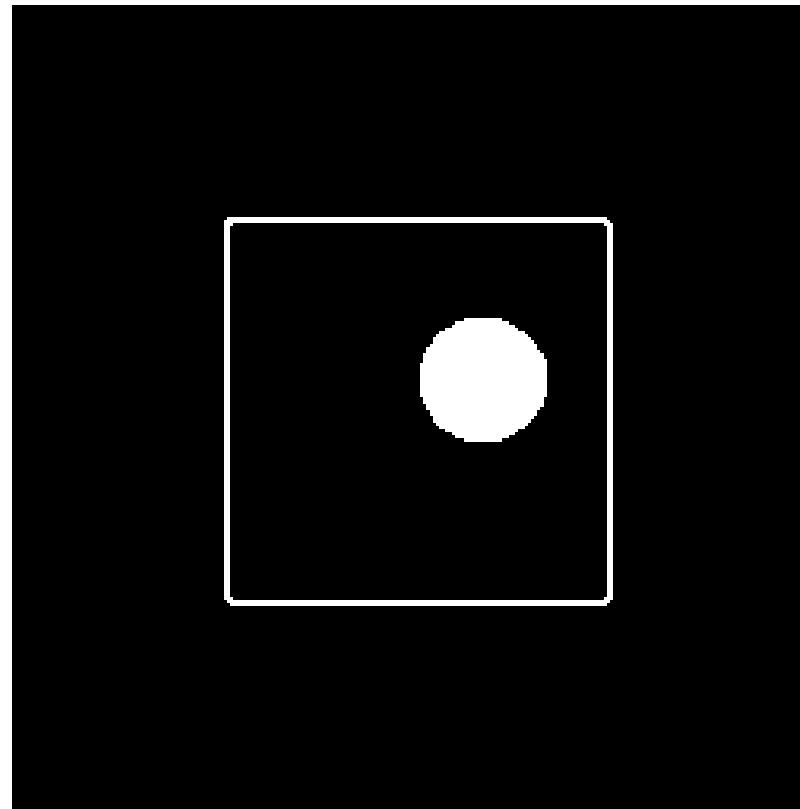
Epoch 1: loss=0.0048, IoU=0.9658

Converged at Epoch 1,  $\Delta\text{IoU}=0.0026$

Model saved.

# CNN-Refined Segmentation Mask

- Smoother, more geometric defect boundaries
- Higher-quality benchmark mask than raw SOM



# Summary and Relevance to CHIPS Program

- Automated XCT simulation + clustering + DL refinement
  - Generates clean benchmark defect datasets
  - Aligns with CHIPS goals in metrology, automation, and AI evaluation