

BIOL3110

# Genetically viable populations

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# This lecture:

## Genetically viable populations

- Why do we need to define the size of genetically viable populations?
- How large do they need to be?
  - Avoid fitness loss in short term?
  - Retain evolutionary potential in perpetuity?
    - Quantitative genetic variation
    - Single locus diversity
  - Avoiding accumulation of harmful mutations?
- How large are populations in practice?
- Captive populations: a compromise
- Fallacy of small isolated surviving populations
- Reference: Text Ch15\*
- + Frankham et al. (2014) *Biological Conservation* 170, 56-63



# Reference



Biological Conservation 170 (2014) 56–63



Contents lists available at [ScienceDirect](#)

Biological Conservation

journal homepage: [www.elsevier.com/locate/biocon](http://www.elsevier.com/locate/biocon)



Perspective

Genetics in conservation management: Revised recommendations for the 50/500 rules, Red List criteria and population viability analyses

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# Why do we need to define the size of genetically viable populations?

- Resources for conservation are limited
- Opportunistic funding
- *Crisis discipline*: require decisions to be made promptly with limited information
- Need for rules of thumb e.g. IUCN Red List, 50/500 etc

# Resources limited



- Captivity
  - 2K species require captive breeding
  - Space in zoos for ~ 1K species
- Wild
  - Severe shortage of habitat & \$
  - Even the largest reserves are too small for large species



How large must population be  
to retain their genetic health?

# How large must populations be to retain genetic 'health'?

## 50/500

Goal	$N_e$	References
1. Retain fitness in short term by avoiding ID	50	Franklin (1980); Soule (1980)
2. Retain evolutionary potential in perpetuity	500	Franklin (1980)



1. How large must isolated populations be to retain fitness in the short-term by avoiding ID?



# Retaining reproductive fitness: avoiding ID in short-term

(Franklin 1980; Soulé 1980)

- Opinion of animal breeders
  - short term  $N_e = 50 \sim F = 5\%$



# What evidence has accumulated since 1980? avoiding ID in short-term

## Exptal data

- House flies lab
  - 14% ID for  $N_e = 90$  for 5G\* (Bryant et al. 99)
- Plants wild
  - $N_e = 50$  results in 16% ID over 5 gens ( $F = 5\%$ ) (3.4 haploid LE)
  - $\Delta F = 4\%$  lowered fitness by 79% & increased extns from 25% to 69% (Newman & Pilson 97)
- Vertebrates wild (7.6 haploid LE)
  - $N_e = 50$  results in 32% ID over 5 gens ( $F = 5\%$ ) (O'Grady et al. 2006; Frankham et al. 2022)



**$N_e = 50$  is inadequate**

# Realistic simulations



## Simulations

- $N_e \sim 70$  required to avoid 10% ID  
(Caballero et al. 2016)

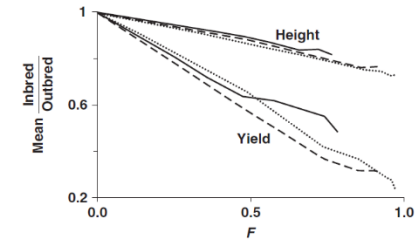
## Likely an underestimate

- assumed 6 LE
- actual median 7.6 in vertebrates (Frankham et al. 2022)
- maternal ID not included in half of estimates

# Revised guidelines for retaining fitness in wild

(Frankham et al. 2014)

- Specify short term = 5G
- Linear decline in fitness with  $F$ , so can't totally avoid ID keep to <10%
- With 7.6 LE  $N_e = 179$  required, but allowing for some mild purging
- In plants with LE = 3.4,  $N_e = 79$  needed



## Recommend

$N_e \geq 100$  over 5G required to keep ID < 10% in wild

What are  $N_e$  in practice?

# What are $N_e$ of TH sp in practice?

- Captive pops of TH sp  
Av  $N_e \sim 33$
- Wild TH sp: IUCN criterion D
  - CE  $N_e \leq 8$
  - EN  $N_e \leq 39$
  - VU  $N_e \leq 156$
- In many thr sp  $N_e$  is too small to prevent ID

# *N* for de-listing thr species: wild

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Species	<i>N</i> for de-listing	<i>N<sub>e</sub></i>
USA 475 sp (vert, inv & pl)	2,400	~ 240
17 delisted sp	2,360	~ 236

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2. How large must isolated populations be to retain the ability to evolve in perpetuity?



# Retaining evolutionary potential

(Franklin (1980))

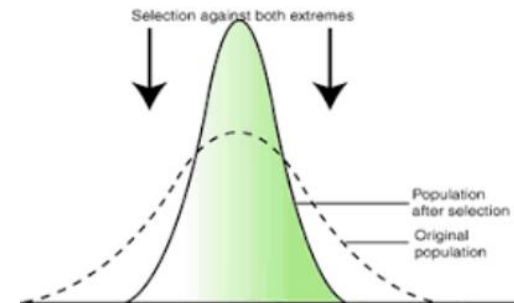
Assumptions:

1. Quantitative genetic variation
2. Heterozygosity not allelic diversity
3. Characters peripheral to fitness



# Retaining evolutionary potential

- Quantitative genetic variation
- Heterozygosity not allelic diversity
- Equilibrium: mutation & drift
  - $N_e = 500$  (Franklin 1980)
- Equilibrium: mutation, drift & stabilising selection (Lande & Barrowclough 1987)
  - $N_e \sim 500$



# Retaining evolutionary potential: derivation

Mutation-drift equilibrium (Franklin 1980)

$$\Delta V_A = V_m - V_A / (2N_e) = 0$$

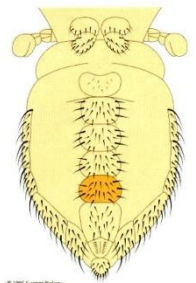
$$N_e = V_A / 2V_m$$

Substituting  $V_m \sim 10^{-3} V_E$  per generation

$$N_e = V_A / [2 \times 10^{-3} \times V_E] = 500 V_A / V_E$$

$$\text{With } V_A / V_E \sim \frac{h^2}{1 - h^2} = 1, \quad (h^2 = 0.5)$$

$$N_e = 500$$



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# What has changed since 1980: evolutionary potential?

Adjusting for 90% harmful mutations (Lande 1995)

$$V_m = 10^{-4} V_E \text{ (~ plant fitness in wild)}$$

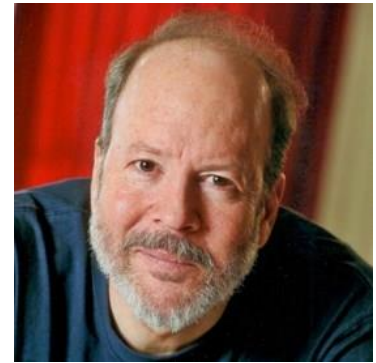
$$N_e = V_A / [2 \times 10^{-4} \times V_E] = 5000 V_A / V_E$$

Assuming  $V_A/V_E = 1$  ( $h^2 = 0.5$ ),

$$N_e = 5,000$$

With  $V_A / V_E = 1/4$ , ( $h^2 = 0.2$ ) (Franklin & Frankham 1998)

$$N_e = 1,250$$



# What has changed since 1980?

## evolutionary potential

- Other quantitative genetic models
  - $N_e > 10K$  (Keightley & Hill 1987)
  - $N_e = 10K \sim \infty$ , 1K close (Weber & Diggins 1990)
  - $N_e \geq 1K$  (Lynch & Lande 1998)
  - $N_e$  a few K sufficient (Willi et al. 2006)

$N_e = 500$  inadequate

# What has changed since 1980? evolutionary potential

However, we should consider

**Quantitative genetic variation for total fitness,**  
not for peripheral traits

# Retaining evolution potential for fitness: theory

- Mildly harmful

$$N_e \geq 1K$$

(Falconer & Mackay 1996; Bataillon & Kirkpatrick 2000)

- Lethals

$$N_e \geq 1K$$

(Nei 1968; Hedrick 2002)

- Balancing selection

Heterozygote advantage  $N_e \geq 1K$

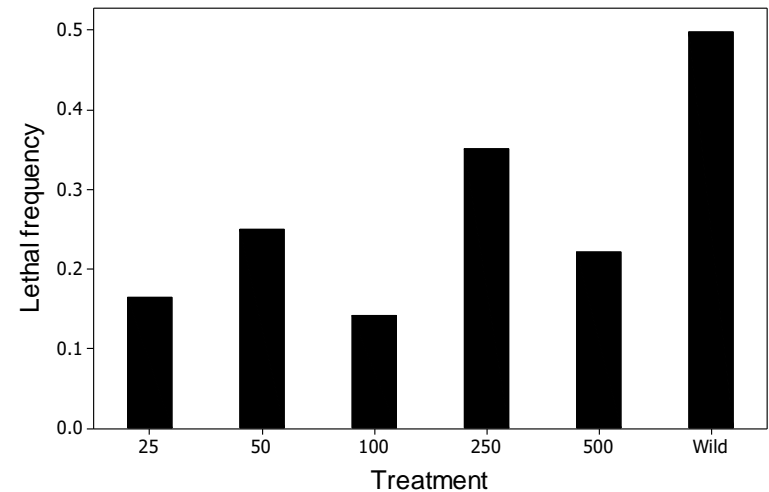
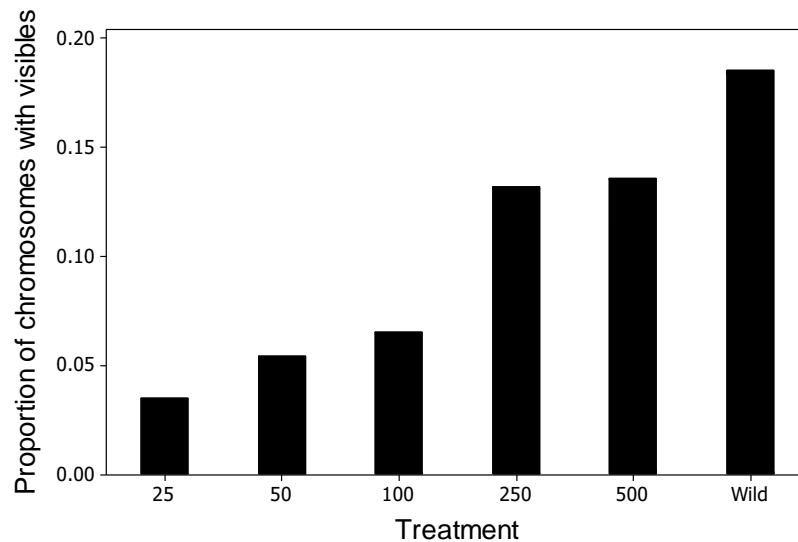
(Robertson 1962)

Frequency dependent selection  $N_e \geq 5K$

(Roff 1998)

$N_e = 500$  inadequate

# Retaining evolution potential for fitness: Empirical data



Frankham et al. (2014)



# Revisions: retaining evolutionary potential in perpetuity

(Frankham et al. 2014)

1. Specify retain QGV for total fitness
2.  $N_e \geq 1000$  required

$N_e$  in most thr species are too small  
to avoid loss evol potential

3. How large do populations need to be to retain single locus genetic diversity in perpetuity?

# Retaining single loci GD

Lande & Barrowclough 1987

- Why are we concerned about indiv loci?
  - MHC in vertebrates
  - SI alleles in plants
  - Sex locus in Hymenoptera
- $N_e = 10^5$ - $10^6$  to retain
- No thr sp this large, nor are many non-thr sp (including humans)

# What happens if $N_e < 1000$ ?

- Extinction? Not necessarily soon
- Slow and continuous genetic deterioration
- 'Fragility'
- Higher risk of eventual extinction, especially with catastrophic environmental change

Can we just wait for genetic diversity to be regenerated by mutation?

How long does this take?

# It takes many generations to regenerating GD by mutation?

(Lande & Barrowclough 1987)

GD	Regeneration (G)
Quantitative confirmed in empirical studies	$10^2$ - $10^3$
Single-locus	$10^5$ - $10^7$

Must preserved GD, not rely  
on mutation to regenerate it in  
eukaryotes

## 4. Avoid accumulation of harmful mutations

- Chance fixation of harmful alleles is elevated in small populations
- Can lead to extinctions “mutational meltdown”



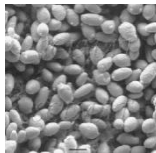
# Avoiding mutational accumulation: Theory

- Outbreeders
  - $N_e < 12$  (Charlesworth et al. 93)
  - $N_e < 100$  (Lynch et al. 95)
  - $N_e < 1000$  (Lande 95)
  - Depends on effects of harmful mutations
    - (Garcia-Dorado 2003)
- Asexuals
  - Worse

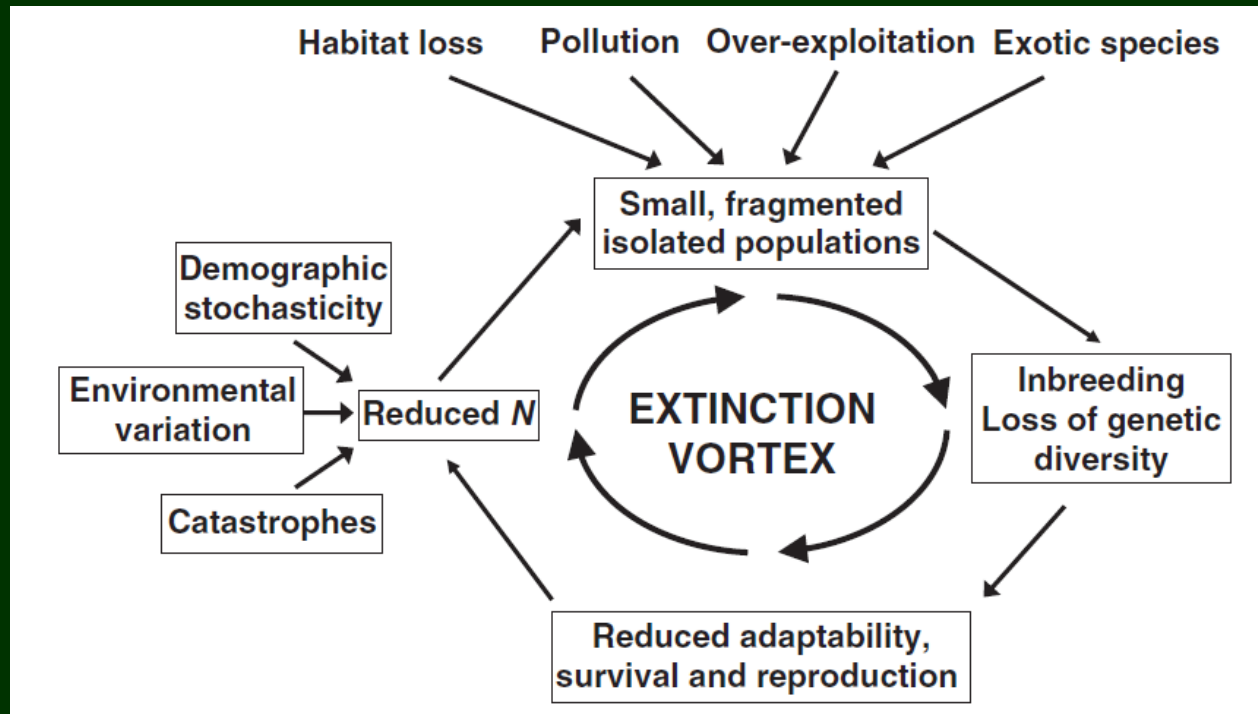
# Avoiding mutation accumulation

## Empirical data

- No mut accum in *Dros* 45-50G  $N_e$  25-500  
(Gilligan et al 1997)
- No mut accum in 2900G asex yeast  $N_e$  250  
(Zeyl et al 2001)
- Nematodes  $N_e = 1$  lost <1% fitness/G  
(Vassilieva et al. 2000; Estes et al. 2004)
- Conclusion:
  - Appears to be a minor threat << ID



# What population sizes are required to cope with all threats (MVP)?



# Sizes required for long-term viability to cope with different threats

Threat	$N_e$	$N$
<b>Theory</b> (Frankham et al. 2014; Nunney & Campbell 1993)		
Retaining QGV	1K	~10K
Demographic stochasticity		10s – 100
Environmental stochasticity		1K+
Catastrophes		1K+
<b>Empirical data</b> (Reed et al. 2003; Traill et al. 2007; Harcourt et al. 2002)		
PVA for 100 vertebrate species: 99% persistence for 40 G		>6K
PVA for 212 species: 99% probability of persistence for 40 G		4.2K
Primates in Sunda Islands		>16K

# What are the population size targets for threatened species in captivity?

Goal: Retain 90% of genetic diversity for 100 yrs



# How was this arrived at?

- Tradeoff between # species conserved & how well each is conserved
- Scenario: human pop will peak and decline within 100-200yrs & release habitat for reintro of thr sp

# How large do the species need to be to meet this target?

Aim: Retain 90% of genetic diversity for 100 yrs

Required  $N_e$  depends on generation length (L)

$$H_t/H_0 = [1 - 1/(2N_e)]^t \sim e^{-t/2N_e} = 0.9$$

let  $t = 100 / L$

$$0.9 = e^{-100/2LN_e}$$

take ln & rearrange

$$N_e \sim 475 / L$$

# Endangered species in captivity

$$N_e \sim 475 / L$$

Examples:

Elephant  $L = 35$

$$N_e = 475/35 = 14$$

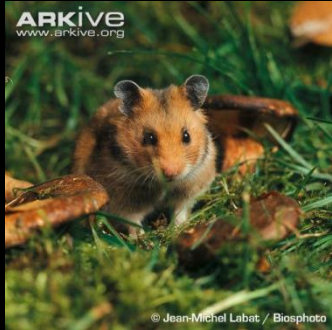
White-footed mouse  $L = 0.27$

$$N_e = 475/0.27 = 1759$$





# Fallacy of small wild isolated surviving populations

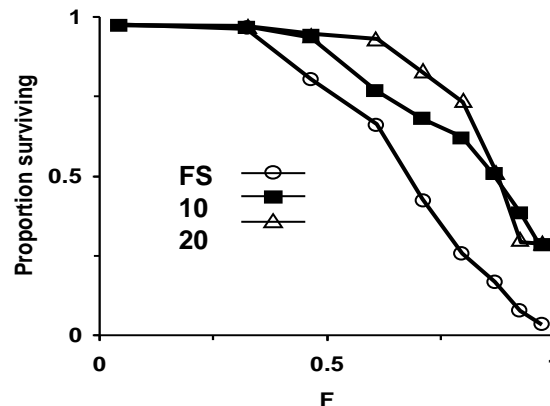


# Fallacy of small wild surviving populations

- Small/bottlenecked surviving populations
  - Mauritius kestrel, golden hamster, Catham Is black robin, Seychelles warbler, Mauritius pink pigeon, Socorro Is red-tailed hawk, N elephant seal, California Islands foxes, Chillingham cattle
- Fallacy to argue from a few & generalise
  - ‘Grandad smoked 30 a day & lived to 80, so smoking does not contribute to cancer’

# Fallacy of small wild surviving populations


- Selected sample – most small isolated pops go extinct in long-term
- Some highly inbred ( $F \sim 1$ ) populations of mice, guinea pigs, *Drosophila* & plants persist
  - All surviving ones have low fitness when tested



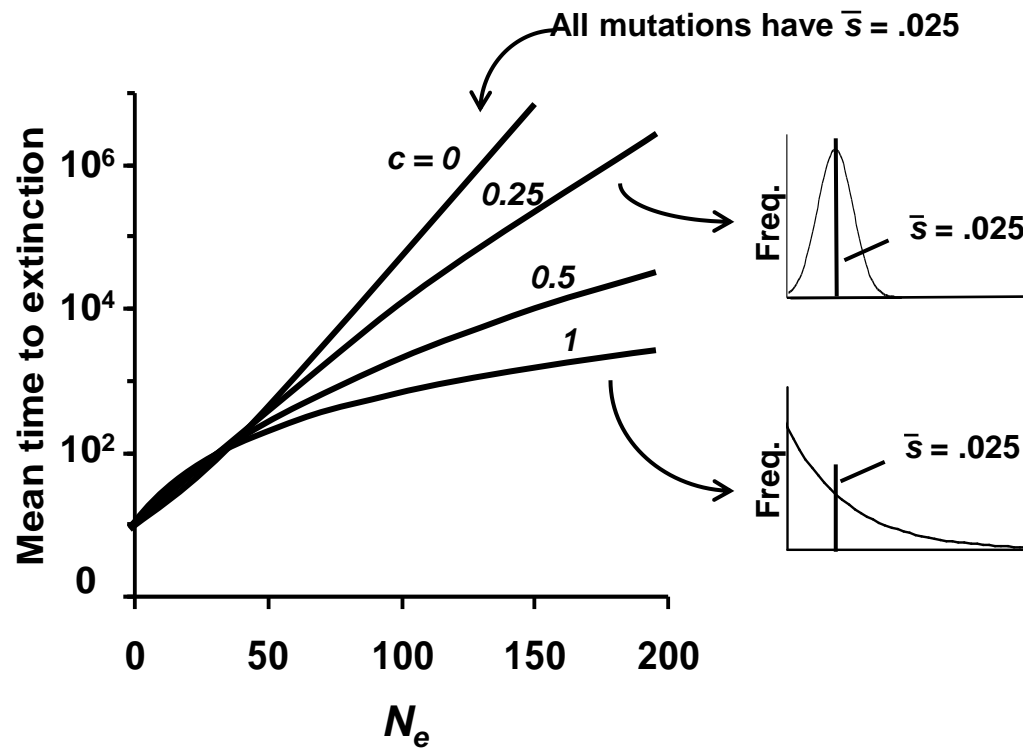
# Fallacy of small wild surviving populations

- Claims of no fitness declines have been based on no firm evidence (Ch cattle & Ca Is foxes)
- Most small persisting wild population have improved environments (M kestrel, NE seal)
- Some have not been totally isolated (IR gray wolf, Ca Island foxes)
- Some have gone extinct & been re-established? (Cape Verde kite & several NZ populations)

# Messages

- Resources limited for threatened species
- Need to define the minimum  $N$  to retain genetic 'health'
- To retain wild fitness & minimise ID for 5 gens requires  $N_e \geq 100$
- To permanently retain evol potential requires  $N_e \geq 1000$  
- Current population sizes of thr species too small to avoid genetic deterioration
- Captive populations of thr species typically managed to retain 90% GD for 100 years

# Questions?



# Translating from $N_e$ to $N$

(Frankham 2021)

$N_e/N$  ratios from meta-analyses

- Only multigenerational estimates for ~ 47 species in 2021

- Average ~ 1/10

(vary according to life-history)

$$N \sim 10 \times N_e$$