

# **BIOL334 Conservation & Ecological Genetics**

## **PROBLEM SET #4**



# Problem Set #4

## OVERVIEW:

1. Effective population size ( $N_e$ )
2. Demography &  $N_e$ 
  - Fluctuations in population size
  - Variation in family size
  - Departures of sex ratio

# Effective Population Size ( $N_e$ )

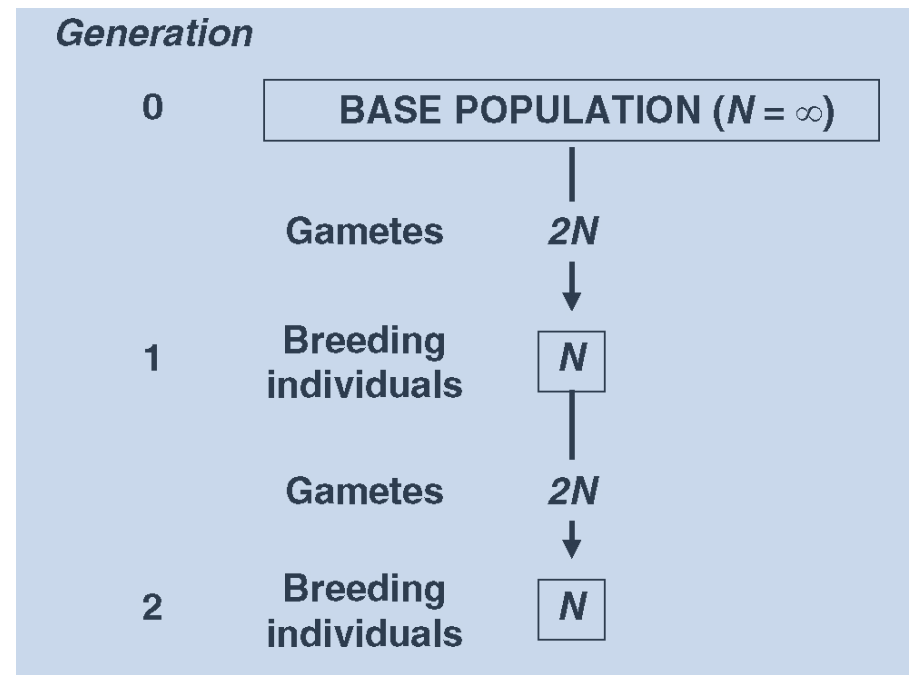
Recall from Lecture 13:

- $N_e$  expresses  $N$  as a function of genetic variation
- Is key to assessing genetic robustness
- Often of interest is:  $N_e / N$
- This is generally  $\sim 0.1$  (or 10 %) in the long term

# Theoretical concept of $N_e$

## Idealized population as a “benchmark”:

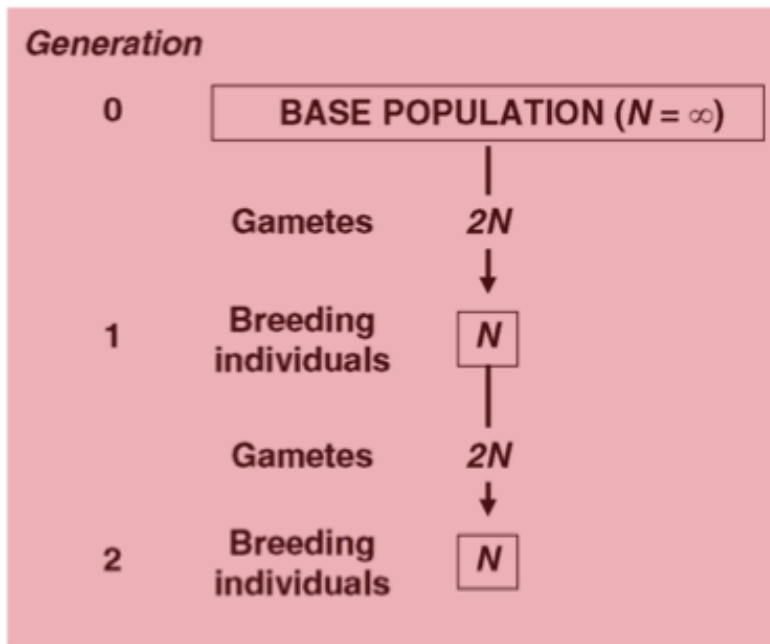
- Constant-size
- Closed population
- Random mating  
(hermaphrodites)
- Non-overlapping generations
- Negligible selection or mutation



# Effective Population Size ( $N_e$ )

## THE IDEALIZED POPULATION

As  $N$  departs from  $\infty$  in the idealized population:



1. Loss of  $V_G$  due to drift
2. Increased chance of inbreeding ( $F > 0$ )
3.  $H_{\text{obs}} < H_{\text{exp}}$   
(ie. H-W expected  $H$ )

$N_e$  defined as the size of an idealized population that would **lose  $V_G$  at the rate observed** for the study population

# $N_e$ Example:

- For a study population of  $N = 500$
- We measure change in  $V_G$  over time and find that  $V_G$  is lost at the same rate as an **idealized population** of  $N = 50$
- Then we define  $N_e = 50$

Effectively (for purposes of  $V_G$ ) dealing with  $N$  of 50 rather than 500

- Generally,  $N_e \sim 11\%$  (0.11) of  $N$  for many large populations

E.g. Spawning run in  
Chinook Salmon:

$N = 2000$  but  $N_e = 85$  (4%)



# Effective Population Size ( $N_e$ )

Can be estimated by:

**(a) Genetic factors (if/when known)**

**(b) Demographic factors:**

$N_e$  reduced by (in order of significance):

(1) Fluctuations in population size

(2) Variation in family size

(3) Variation in sex ratio

# Fluctuations in pop size over time

$$N_e = \frac{t}{\sum(\frac{1}{N})}$$

Where:

$t$  = number of censuses (or generations)

$N = N$  at each census

(or ideally,  $N_e$  if we knew it)



# Fluctuations in population size over time

$$N_e = t / \sum (1/N_i)$$

Example:

$t = 3$  censuses (or generations)

$$N_{(G1)} = 175,000 \quad N_{(G2)} = 20 \quad N_{(G3)} = 175,000$$

# Question 4.1

FOR EACH POPULATION BELOW

(a) Calculate mean  $N$  over the 8 gens

(b) Estimate  $N_e$  for each population

Generation	N
G1	220
G2	282
G3	121
G4	84
G5	32
G6	105
G7	170
G8 (Present)	<b>360</b>

Generation	N
G1	140
G2	120
G3	121
G4	120
G5	140
G6	120
G7	111
G8 (Present)	<b>130</b>

$$N_e = t / \Sigma(1/N_i)$$

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Generation	N	1/N
G1	220	0.005
G2	282	0.004
G3	121	0.008
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G7	170	0.006
G8	360	0.003
Sum ( $\Sigma$ ):	1374	0.078

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G6	120	0.008
G7	111	0.009
G8	130	0.008
Sum ( $\Sigma$ ):	1002	0.064

(a) Calculate mean  $N$  over the 8 gens:

$$\begin{aligned} &1374 / 8 \\ &= 171.75 \end{aligned}$$

$$\begin{aligned} &1002 / 8 \\ &= 125.25 \end{aligned}$$

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(b) Estimate  $N_e$  for each population

$$\begin{aligned} &8 / 0.078 \\ &= 102.56 \end{aligned}$$

$$\begin{aligned} &8 / 0.064 \\ &= 125 \end{aligned}$$

# Question 4.1

FOR EACH POPULATION BELOW

(a) Calculate mean  $N$  over the 8 gens

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Mean N

$N_e$

171.75

102.56

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Mean N

$N_e$

125.25

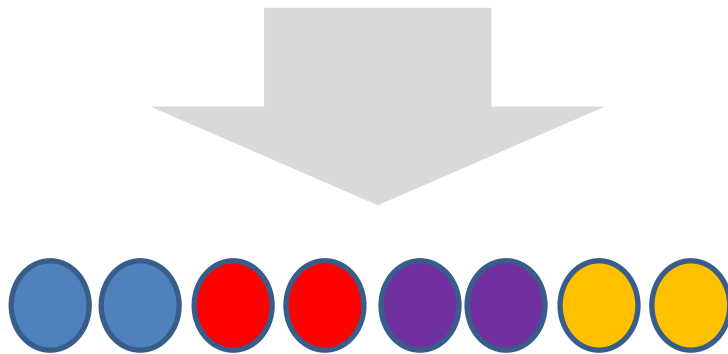
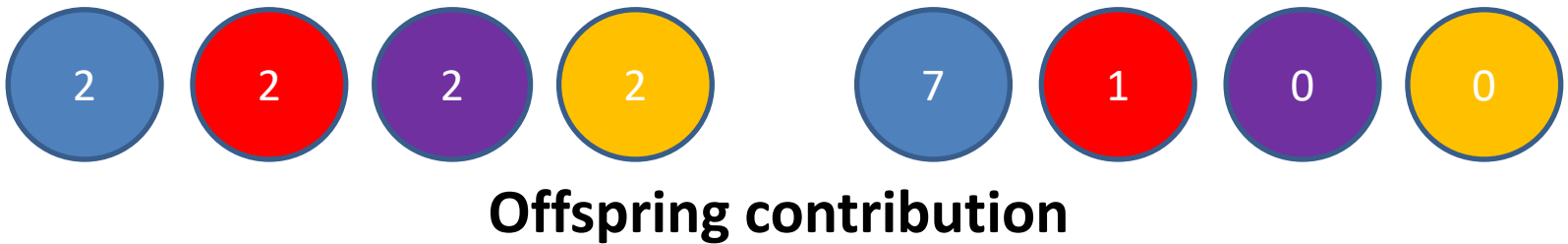
125

# Variation in family size

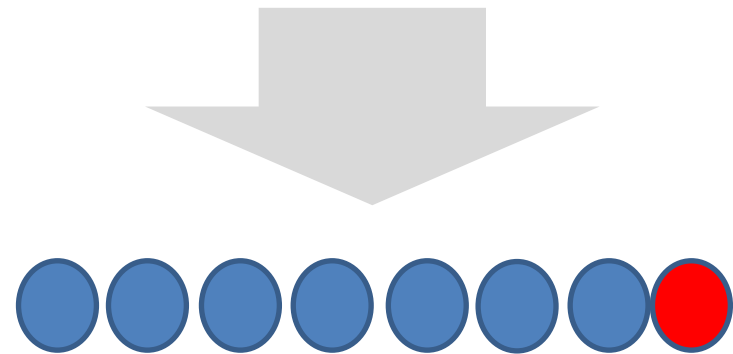
- $N_e$  reduces with increasing variation in the sizes of contributing families
- Why?

# Variation in family size

- $N_e$  reduces with increasing variation in the sizes of contributing families
- Why? 



High  $N_e$



Low  $N_e$

# More generally:

$$N_e \sim \frac{4N}{V_k + 2}$$

Where:

$N$  = observed **parental** population size

$V_k$  = variance in family size (**where family size = number of offspring only**)

$N_e$  = Effective population of **parental generation**

Idealized pop has  **$V_k = 2$**

(1 for each male & 1 for each female)

**$V_k$  of 2** is expected under the Poisson probability distribution, which describes random mating in a large population:



# Idealized expectation for $V_k$

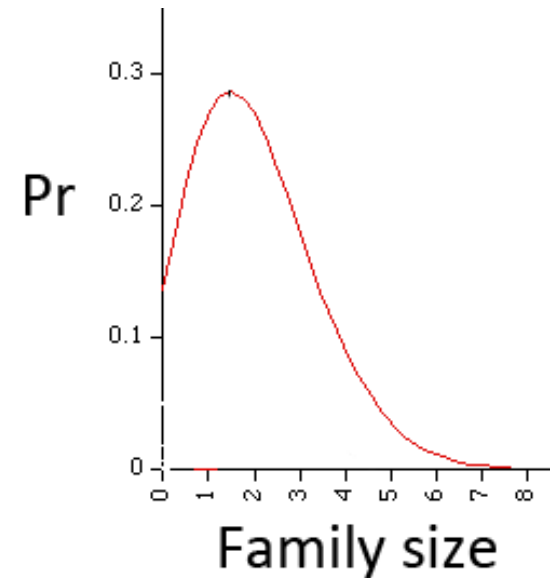
= what would happen in the “idealized population”:

- Predicted by Poisson distribution



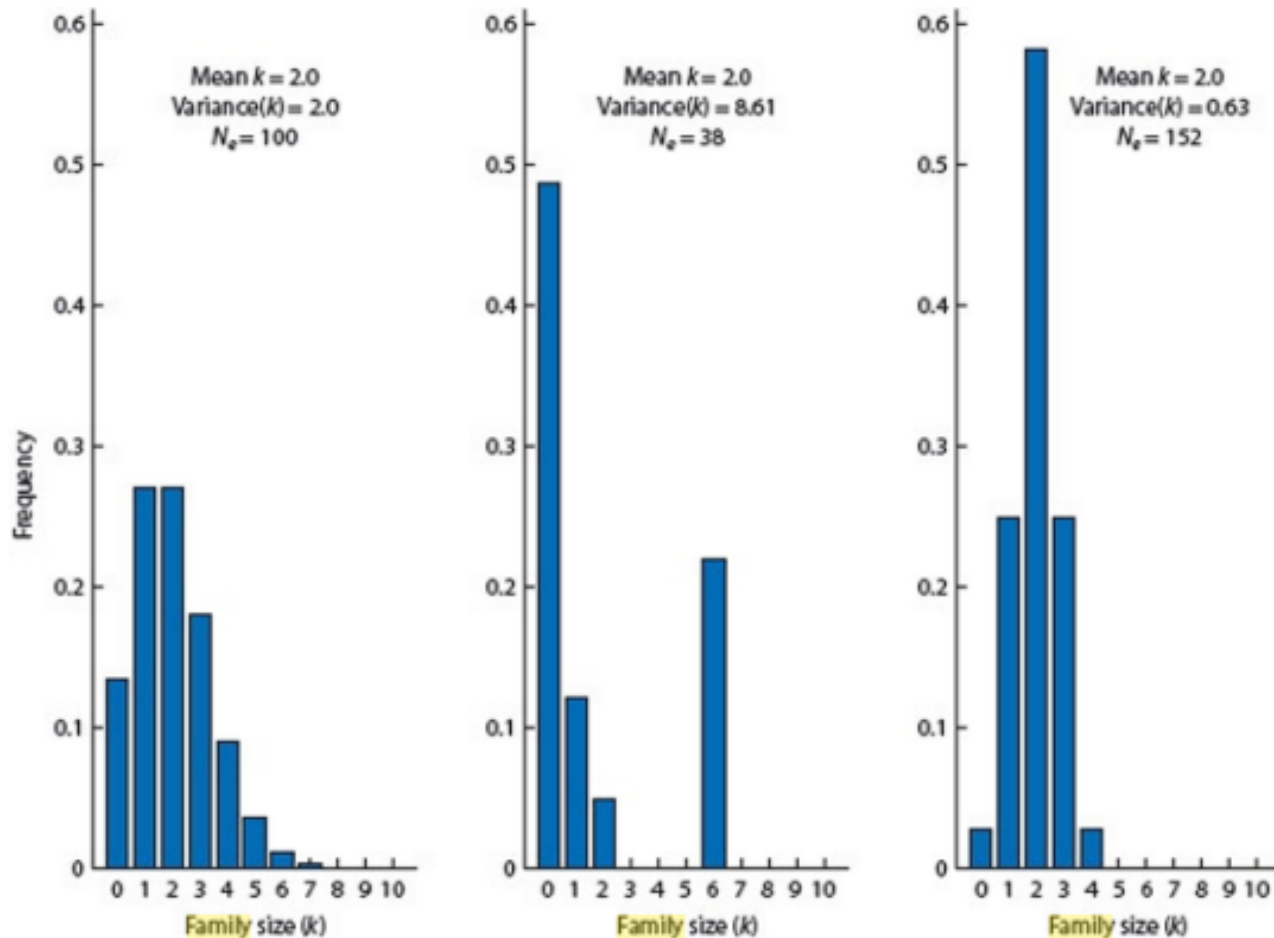
Family size (k)	“Idealized” Probability
0	0.135
1	0.271
2	0.271
3	0.180
4	0.090
5	0.036
> 5	0.017

- It doesn't mean zero variation!!!



# Idealized expectation for $V_k$

= what would happen in the “idealized population”:



## Question 4.2

Find  $N_e$  for a pop of  $N = 360$  and where:

(a)  $V_k = 2$

(b)  $V_k = 3.41$  

(c) What if all families are equal size? 

$$N_e \sim 4N / (V_k + 2)$$

Where:

$N$  = observed population size

$V_k$  = variance in family size

# Question 4.2

$$N_e \sim 4N / (V_k + 2)$$

Find  $N_e$  for a pop of  $N = 360$  and where:

(a)  $V_k = 2$

(b)  $V_k = 3.41$

(c) What if all families are equal size?

## Question 4.2

(a) When  $V_k = 2$ ,  $N_e = 4 \times 360 / 2 + 2 = 360$  (obviously – this is the idealized situation)

(b) When  $V_k = 3.41$ ,  $N_e = 4 \times 360 / 3.41 + 2 = 1440 / 5.41 = 266.17$

(c) When  $V_k = 0$ ,  $N_e = 4 \times 360 / 2 = 720!!$

# Question 4.3

Given the following population,  
Calculate:

- (a) Total **parental** population size ( $N$ )
- (b) Average family size (offspring ONLY)
- (c) Family size variance ( $V_k$ )  
(Use “Var.s” function in excel)

Family	Offspring
1	4
2	5
3	1
4	2
5	1
6	2
7	1
8	3

Then calculate  $N_e$  for this population

# Question 4.3

Given the following population,

Calculate:

- (a) Total population size ( $N$ )
- (b) Average family size
- (c) Family size variance ( $V_k$ )

Then calculate  $N_e$

Family	Offspring
1	4
2	5
3	1
4	2
5	1
6	2
7	1
8	3

## Question 4.3

Pop size is: **16** (Parental generation)

Average family size is: **2.375**

Variance is: **2.2678**

$$N_e = (4N) / (V_k + 2)$$

$$= (4 \times 16) / (2.2678 + 2)$$

$$= 64 / 4.42678$$

$$= \mathbf{14.457}$$

# Sex ratio & $N_e$

Point estimate:

$$N_e \sim \frac{4N_f \times N_m}{N_f + N_m}$$

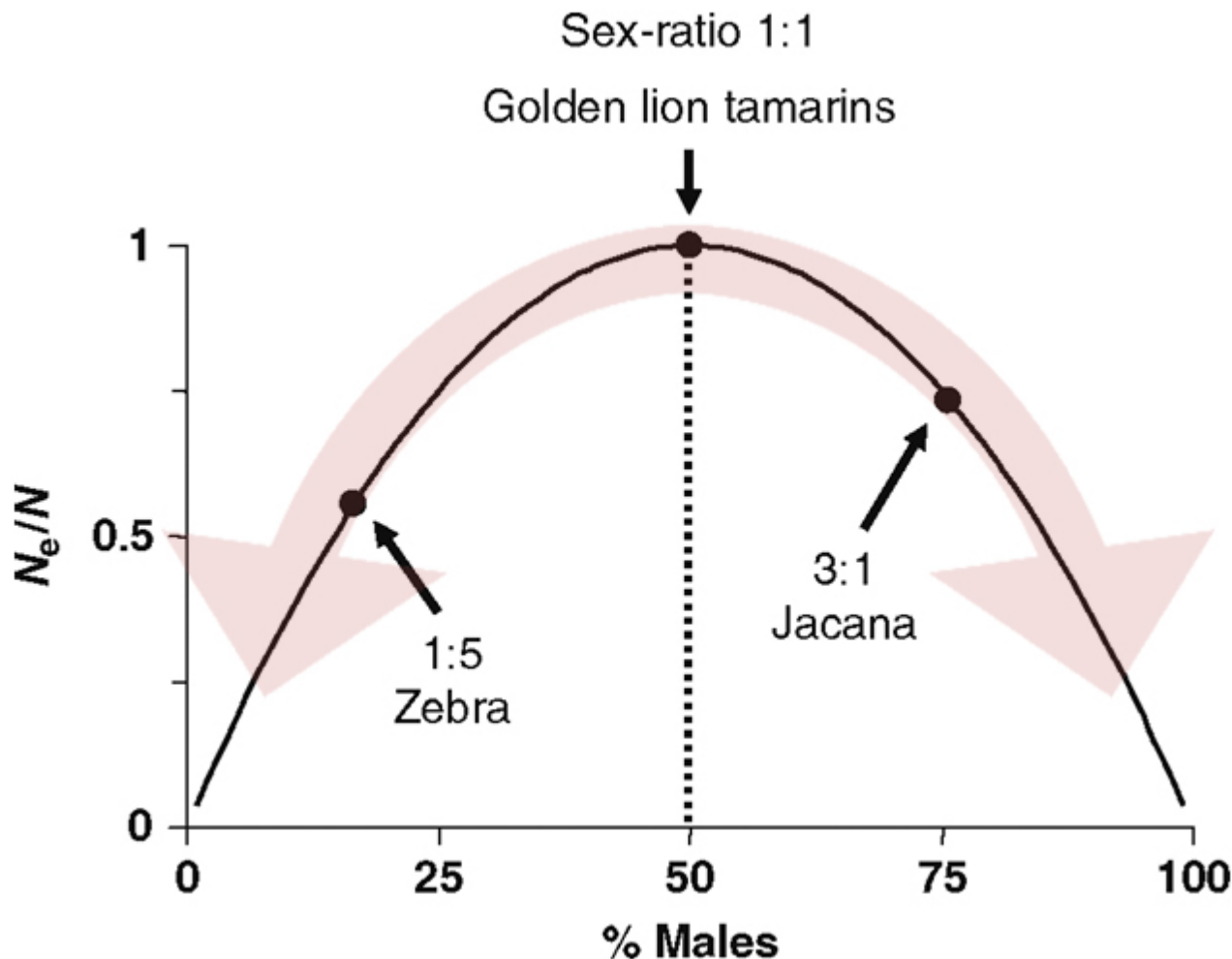
Where:

$N_f$  = number of breeding females

$N_m$  = number of males

As sex-ratio departs from 1:1  
(in either direction),  $N_e$  declines from  $N...$

**Both genders are conduits for (and can leak)  $V_G$ !!**



Frankham et al.  
Fig 11.7



As sex-ratio departs from 1:1  
(in either direction),  $N_e$  declines from  $N...$

**Both genders are conduits for (and can leak)  $V_G$ !!**

- Rare males may be able to sustain the numbers in a population for longer than rare females (Due to their higher potential reproductive rate).
- However, the effect on the erosion of genetic variation is equivalent.
  - Therefore, the long-term genetic-consequence is equally as bad.

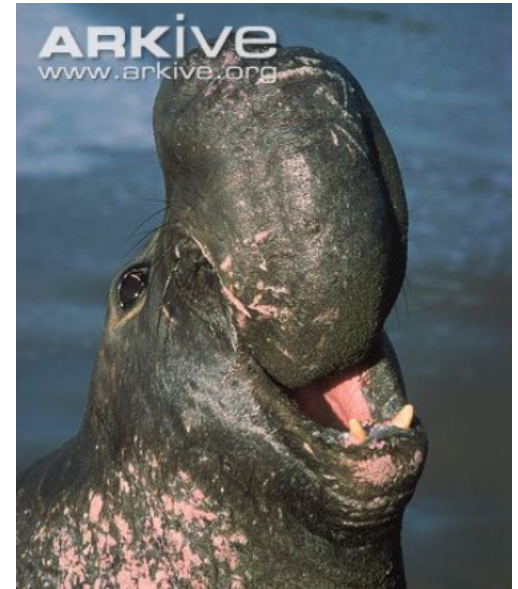
## Question 4.4

In elephant-seals, most females breed, but males monopolize 'harems'.

(a) Find  $N_e$  for a population of 360 breeders with  $N_f = 300$  &  $N_m = 60$

(b) Then, satisfy yourself that  $N_e$  would be higher if the sex-ratio of effective breeders was 50:50...

$$N_e \sim \frac{4N_f \times N_m}{N_f + N_m}$$



A happy  
harem-master

# Question 4.4

(a) Find  $N_e$  for a population of 360 breeders with  $N_f = 300$  &  $N_m = 60$

(b) Then, satisfy yourself that  $N_e$  would be higher if the sex-ratio of effective breeders was 50:50...

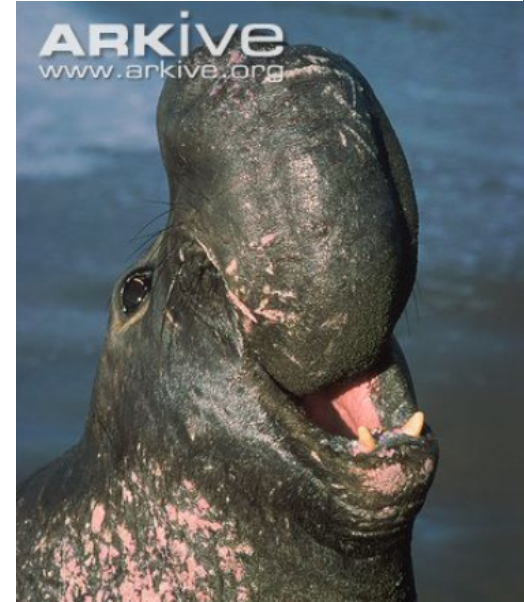
## Question 4.4

The calculations:

$$(a) \quad N_e = (4 \times 300 \times 60) / 360 = 200$$

$$(b) \quad N_e = (4 \times 180 \times 180) / 360 = 360$$

$$N_e \sim \frac{4N_f \times N_m}{N_f + N_m}$$



# **Discuss:** Management implications for small & captive populations

$N_e$  proportional to loss of heterozygosity – so, aim is to maximise  $N_e / N$ . How to best spread the genetic variance across individuals??

- Equalization of family sizes (EFS)... then  $N_e \geq N$
- Equalisation of sex-ratios
- Reduction of reproductive skew (often means levelling the playing-field for males in the pop)

**Are these measures likely to prove practical?**