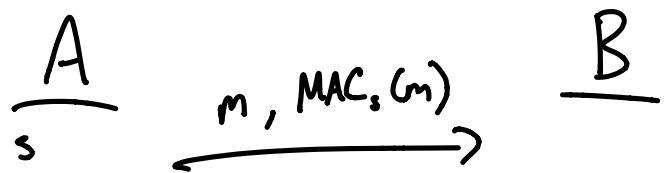


MACs: MESSAGE AUTHENTICATION CODES



EAVESDROPPER CANNOT AUTHENTICATE $m' \neq m$
WITHOUT SECRET s .

IDEA: $s = a, b$ COEFFICIENTS OF LINE $y = ax + b$

$$\text{MAC}_s(m) = a \cdot m + b$$

IF E SEES ONLY ONE MAC, HAS ONLY ONE
EVALUATION POINT. CANNOT KNOW a, b EXCEPT BY
GUESSING WITH PROBABILITY $\frac{1}{|F|}$, WHERE F IS THE FIELD.

WEAKNESS: $s = a, b$ ONLY GOOD FOR 1 MESSAGE.

IF REUSED, ALL SECURITY BROKEN.

STRENGTH: INFORMATION - THEORETIC SECURITY

GENERALIZED NOTION: PAIRWISE INDEPENDENCE

GIVEN $h(x), h(y)$ LOOKS RANDOM

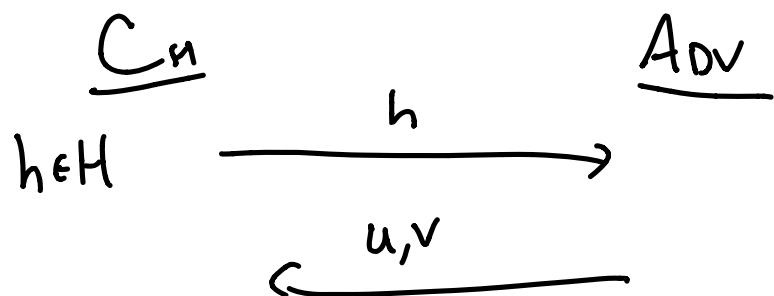
IN PRACTICE, CAN GENERATE $s = a, b$ FROM A PRG
AND GET MANY KEYS EFFICIENTLY.

IS THERE A NOTION BETWEEN
PAIRWISE INDEPENDENCE AND COLLISION RESISTANCE?

Reminder: Collision Resistant Hash

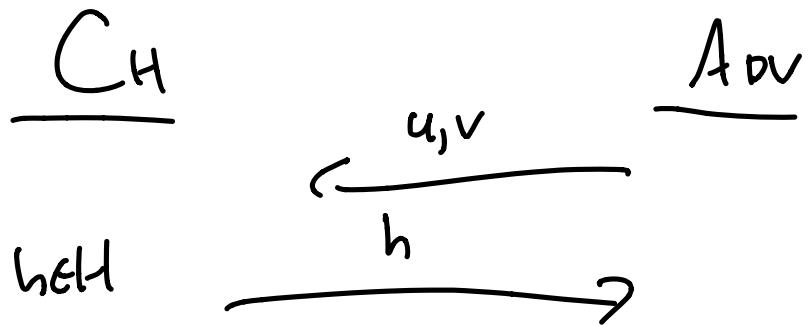
H is collision resistant hash function

Family \mathcal{H} , $\Pr[\text{Adv wins}]$ is negligible



Adv wins if $h(u) = h(v)$

WHAT IF Adv chooses u, v FIRST?



A_{DV} wins if $h(u) = h(v)$

THIS IS PRECISELY FAIRWISE INDEPENDENCE

Idea:

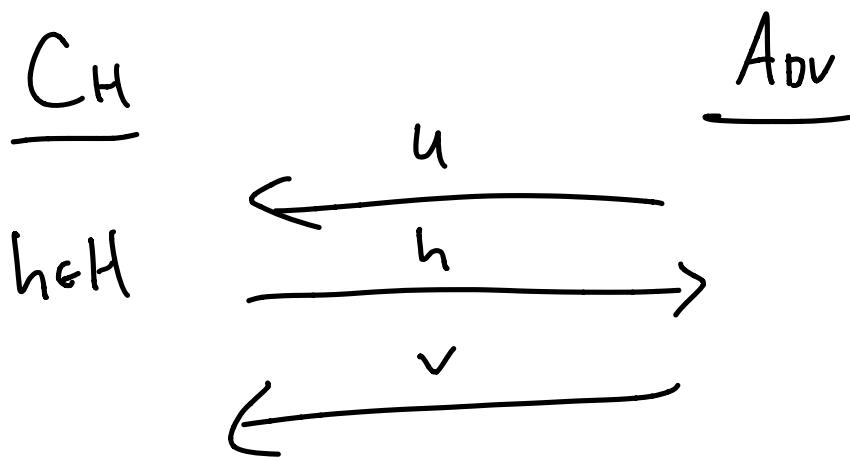
A_{DV} chooses TWO RANDOM X VALUES,

C_H chooses A RANDOM LINE $y = ax + b$.

A_{DV} wins if $au + b \equiv av + b \pmod{n}$

WITH PROBABILITY $> \frac{1}{n}$
FIELD SIZE

WHAT ABOUT IN BETWEEN?



IF $\Pr[h(u) = h(v)] \leq \frac{1}{|F|}$, THIS IS CALLED
UNIVERSAL ONE-WAY HASH FUNCTION

THIS IS A WEAKER NOTION THAN
COLLISION-RESISTANCE, AND WE CAN
BUILD UWH FROM UWFS.

★ LET'S BUILD DIGITAL SIGNATURES FROM UWH INSTEAD!

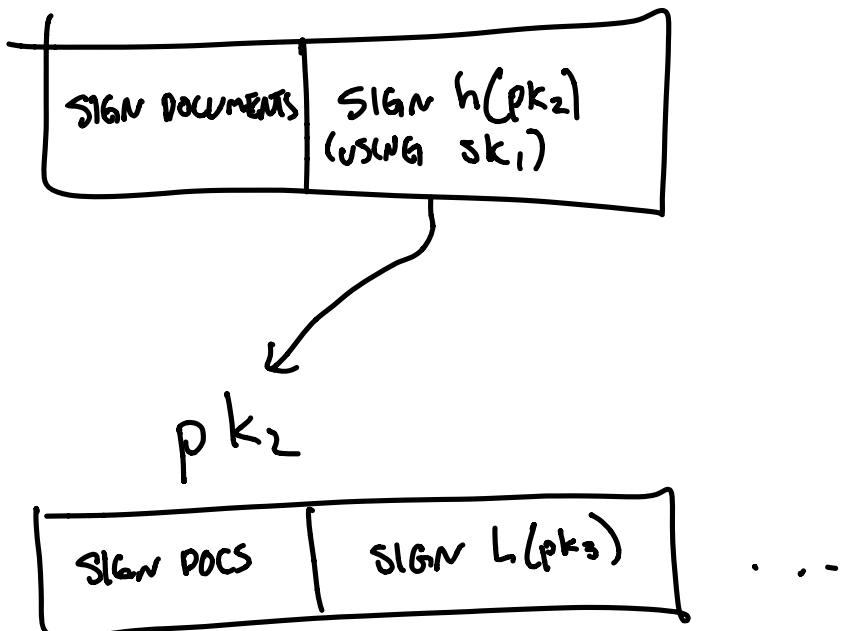
REMINDER: LAMPORT'S 1-TIME SIGNATURES

$$sk = \begin{array}{|c|c|c|} \hline x_1 & x_3 & x_5 \\ \hline x_2 & x_4 & x_6 \\ \hline \end{array}$$
$$pk = \begin{array}{|c|c|c|} \hline f(x_1) & f(x_3) & f(x_5) \\ \hline f(x_2) & f(x_4) & f(x_6) \\ \hline \end{array}$$

$$\text{sig}(D=001) = x_1 \quad x_3 \quad x_6$$

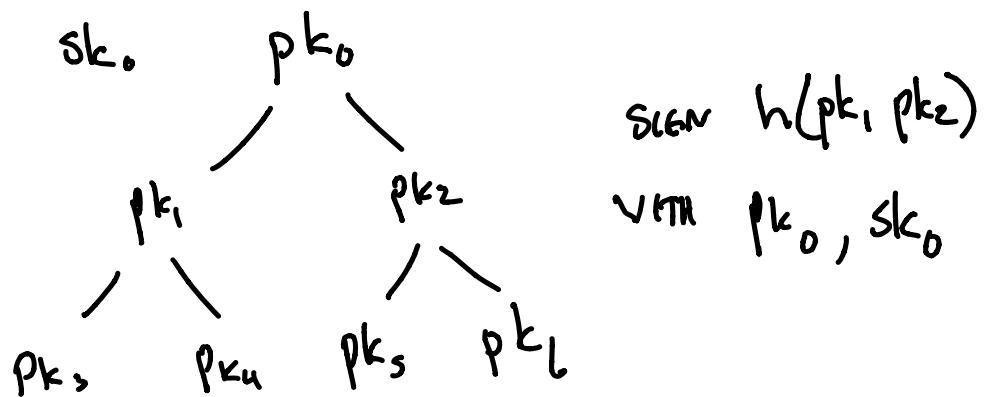
WHEN WE RUN OUT OF ROOM IN
OUR sk , NEED TO PUBLISH NEW
 pk, sk PAIR AND SIGN IT WITH OLD
 pk SO EVERYONE KNOWS BOTH pk_1 &
 pk_2 BELONG TO SAME PERSON.

pk_1



CREATES LINKED LIST OF pk's TO
KEEP SIGNING DOCUMENTS. Now, we
NEVER RUN OUT OF ROOM IN sk!

CAN SHORTEN VERIFICATION BY BUILDING
A TREE INSTEAD OF A LIST.



CAN ALSO USE PRG TO GENERATE
SECRET KEYS.

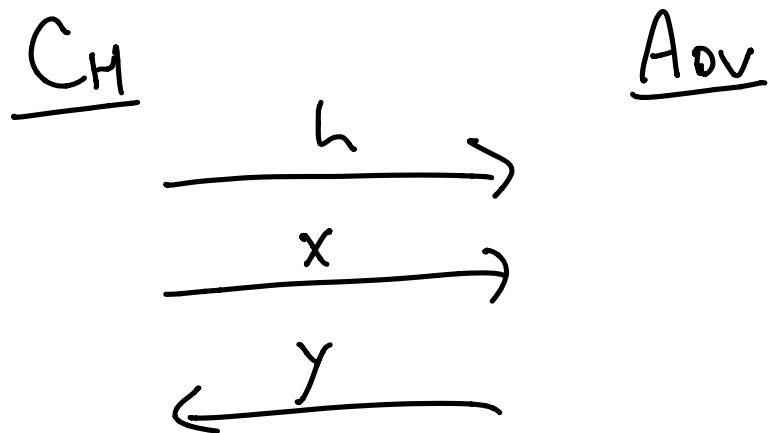
BUT: NEEDS COLLISION-RESISTANT
HASH FUNCTION SO THAT
FORGING $\text{SIG}(h(D))$ IS AS HARD
AS FORGING $\text{SIG}(D)$. OTHERWISE,
YOU COULD PUBLISH FAKE pk^*
AND LINK IT TO MY PERSONAL pk .
IDENTITY THEFT!

CAN WE MAKE IT WORK WITH
UNIVERSAL 1-WAY HASH FUNCTION INSTEAD?
THEN WE DON'T NEED TRAPDOORS, JUST 1WF

Idea: pk_0 is public.

So adversary can't come up
with a random collision.

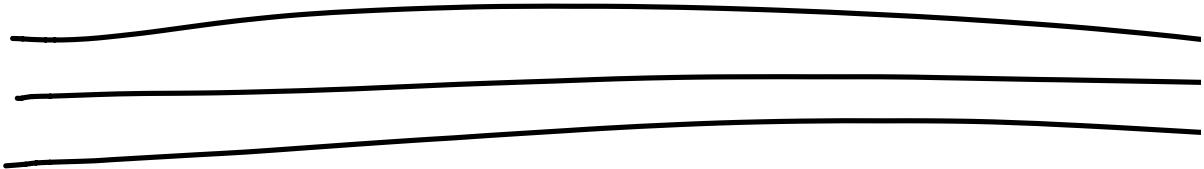
MUST FIND COLLISION ON
SPECIFICALLY pk_0 .



A_{Dv} MUST FIND y s.t. $h(y) = h(x)$

THIS IS PRECISELY UWH

BUT WHERE u IS CHOSEN BY CH!

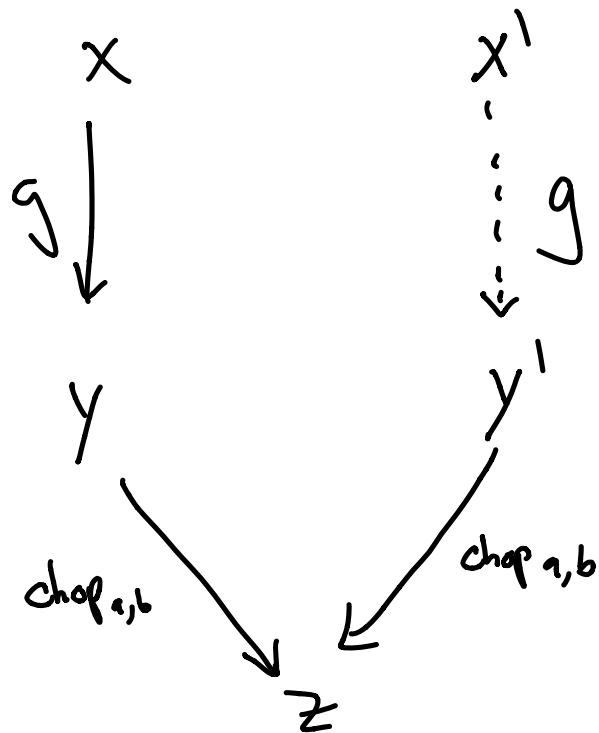


HOW TO BUILD UIWHF
FROM LWP?

LET $g: (n+1) \text{ BITS} \rightarrow (n+1) \text{ BITS}$ BE LWP

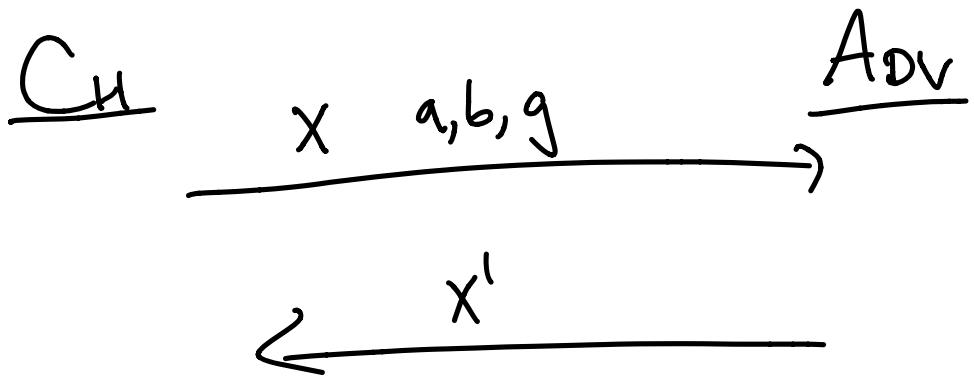
DEFINE $\text{Chop}_{a,b}(y) = \underbrace{ay+b}_{\text{DELETE LAST BIT}}$

$$\text{UWH}_{g,a,b} := \text{chop}_{a,b}(g(x))$$



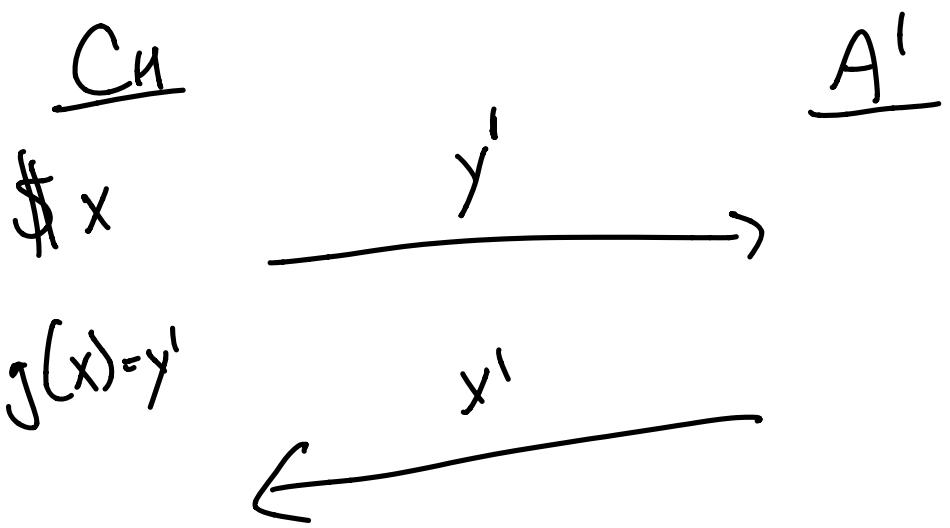
2 TO 1 BECAUSE chop IS 2 TO 1 + g IS 1 TO 1

WHY IS THIS UNIVERSAL 1-WAY?

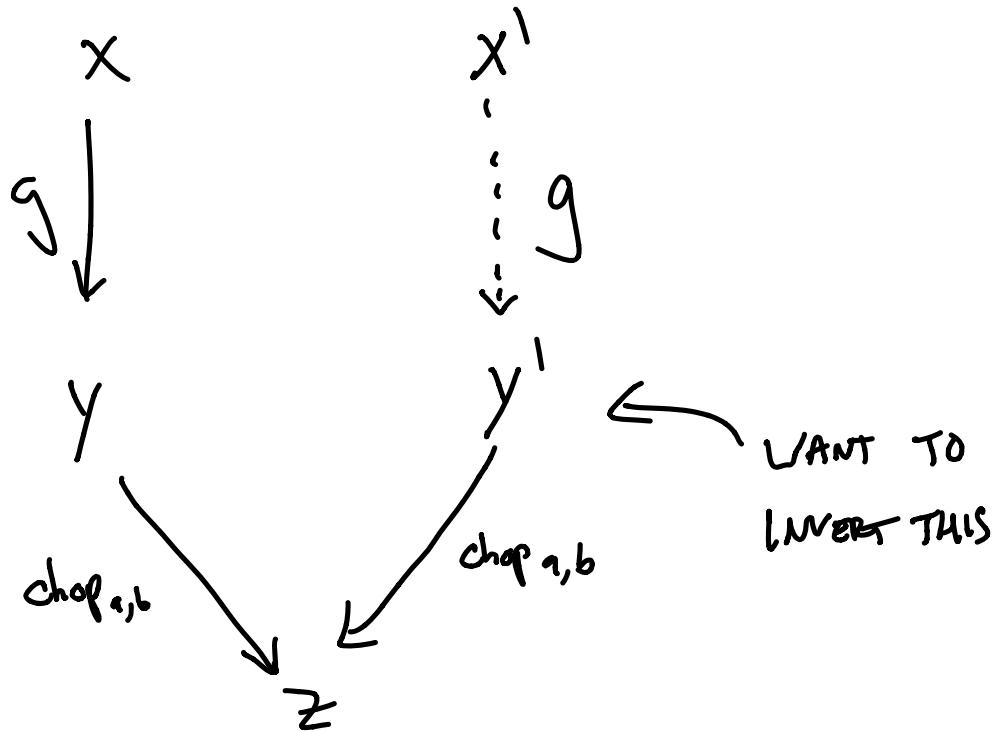


ADV wins if $\text{chop}_{a,b}(g(x)) = \text{chop}_{a,b}(g(x'))$

GIVEN AN ADV WHO WINS THIS GAME, WE CAN USE ADV TO CONSTRUCT A' WHO INVERTS THE IWP g.
THAT IS,



A' wins if $g(x') = y'$



CHOOSE x , COMPUTE $g(x) = y$.

CHOOSE RANDOM z AND FIND a, b

S.T. $\text{chop}_{a,b}(y) = z = \text{chop}_{a',b'}(y')$. THAT IS,

$$ay + b = z_0 \text{ AND } ay' + b = z_1$$

2 VARIABLES a, b , 2 EQUATIONS, JUST SOLVE.

THEN, GIVE UWH ADV X, Z

AND IT WILL SPIT OUT X', SUCCESSFULLY
WRITING Y'.

CAN DO THIS POLYNOMIALLY-MANY TIMES

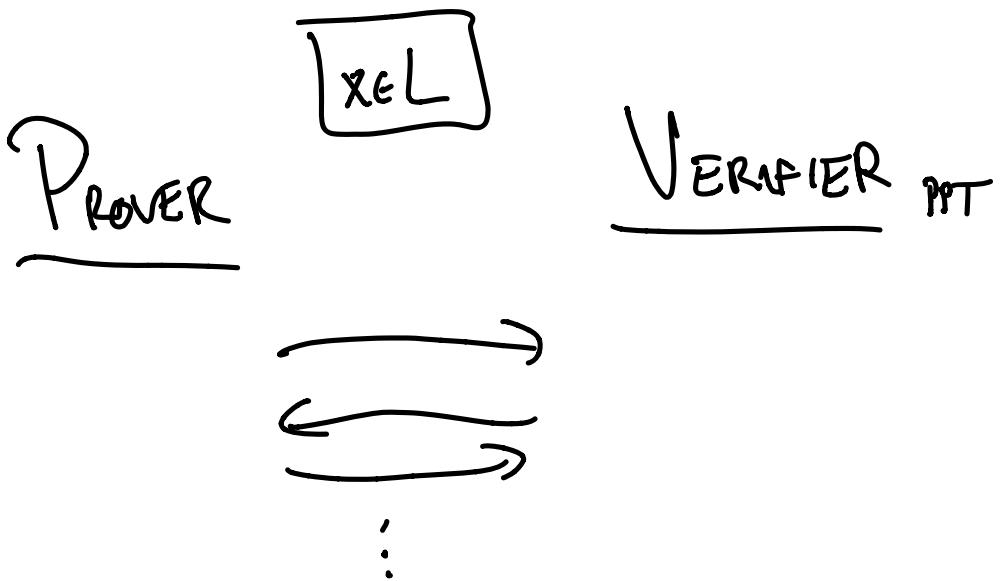
IN SUCCESSION TO GET UWH: $\text{poly}(n) \rightarrow n$

ZERO KNOWLEDGE PROOFS

IDEA: PROVE KNOWLEDGE THAT A PARTICULAR STATEMENT IS TRUE WITHOUT REVEALING HOW YOU KNOW THE STATEMENT IS TRUE.

EXAMPLE: PROVING I CAN TELL DIFFERENCE BETWEEN COCA-COLA + PEPSI BY PASSING BLIND TASTE TEST 10 TIMES IN A ROW.

BACKGROUND: INTERACTIVE PROOFS



L HAS AN IP IF:

① COMPLETENESS

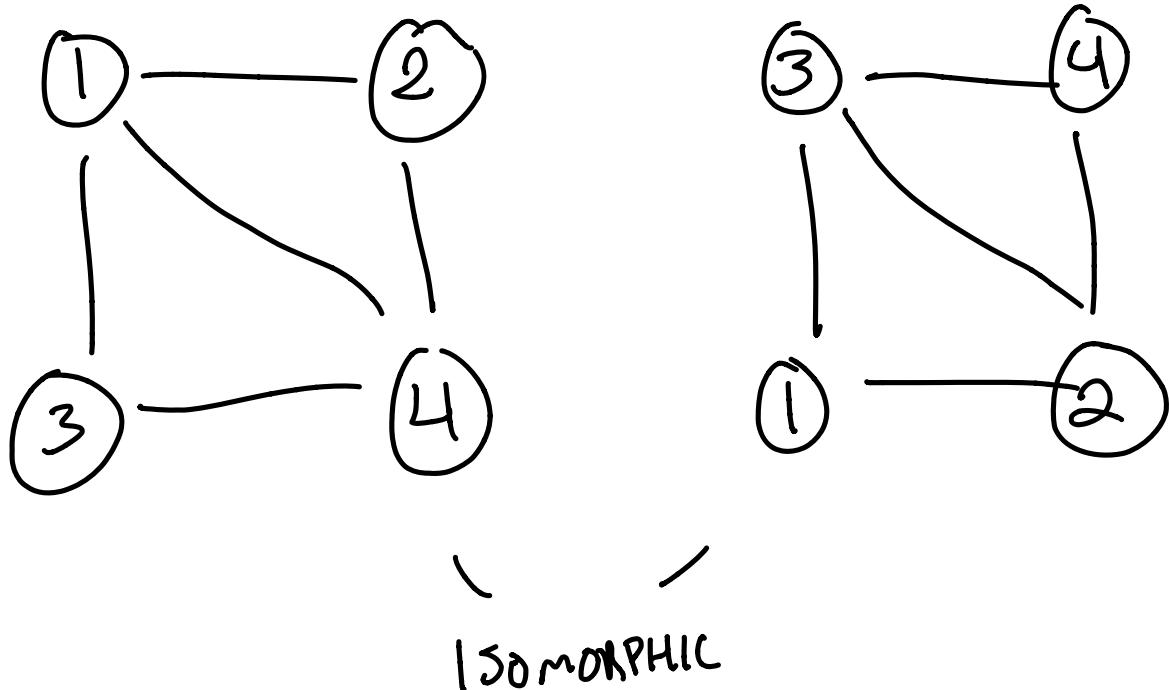
IF P IS HONEST, HAS STRATEGY T_b
CONVince V

② SOUNDNESS

IF DISHONEST P^* CANNOT CONVince V
EXCEPT WI NEGLIGIBLE PROBABILITY

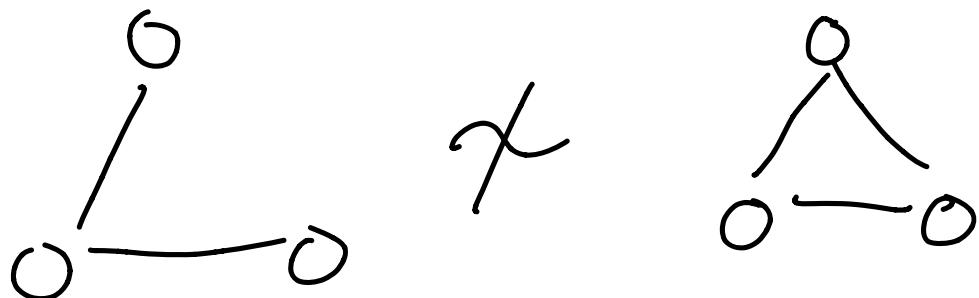
AMAZINGLY, WE CAN PROVE THINGS
INTERACTIVELY WHICH CANNOT BE
PROVEN OTHERWISE!

EXAMPLE : GRAPH Non-ISOMORPHISM

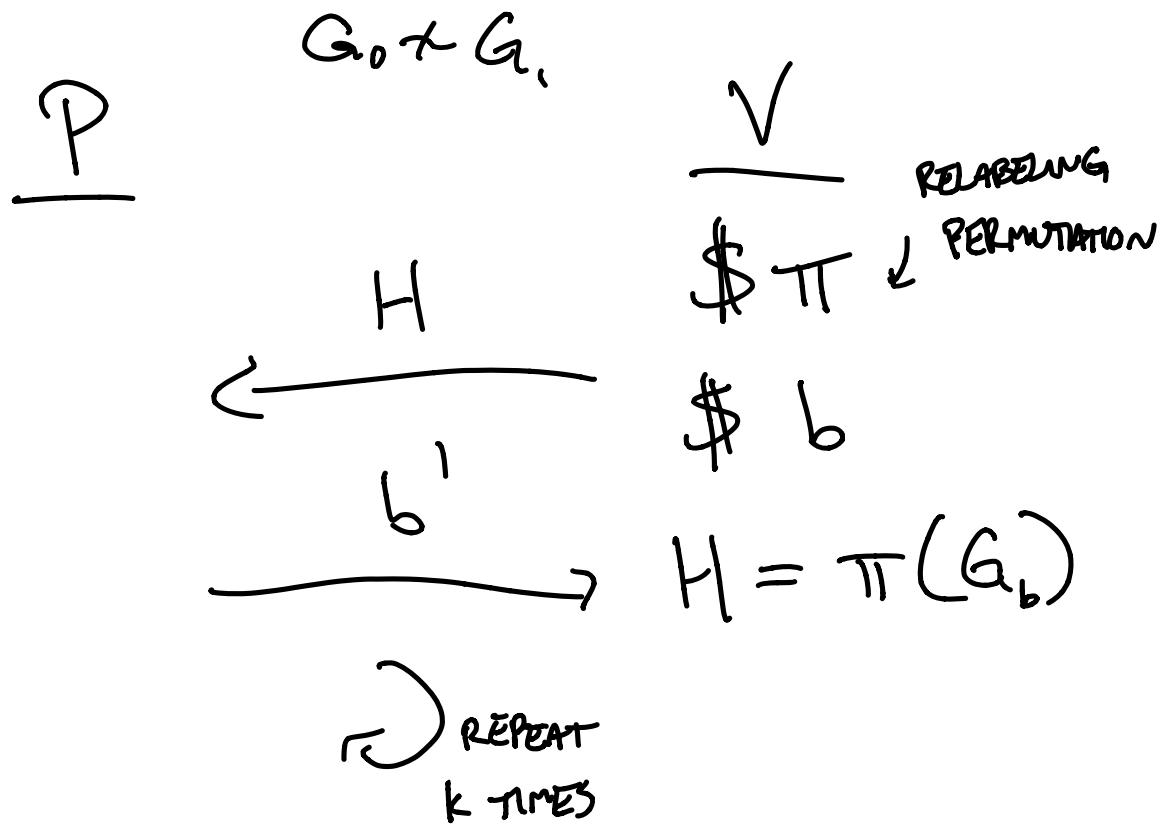


$G_0 \sim G_1$, IF WE CAN RELABEL
NODES SUCH THAT EDGE STRUCTURE
IS PRESERVED.

$G_0 \not\sim G_1$, IF NO SUCH RELABELING
EXISTS. MUCH HARDER PROBLEM.



DON'T KNOW HOW TO PROVE
 $G_0 \neq G_1$, CLASSICALLY, BUT WE
 CAN DO IT INTERACTIVELY!



ACCEPT IF
 $b' = b \wedge k$ TRIALS

Completeness: If P knows how
to distinguish G_0 from
 G_1 , can tell
if $H \sim G_0$ or $H \sim G_1$
and be correct every
time.

Soundness: If $G_0 \sim G_1$, H hides
information-theoretically.

$$\Pr[b = b'] = \frac{1}{2} \text{ EACH TIME.}$$

$$\Pr[\text{ACCEPT}] = \frac{1}{2^k}$$

[SHAMIR]

∃ IP FOR ANY LANGUAGE
LG PSPACE. BEYOND EVEN
NP-COMPLETE PROBLEMS.

MIDTERM: MONDAY MAY 2nd

CLOSED NOTE, CLOSED BOOK

INTERACTIVE PROTOCOL REMINDER:

MESSAGES COMPUTED BY "NEXT MESSAGE"

FUNCTIONS

$$\begin{array}{ccc} P_1 & \xrightarrow{\text{msg}(x_1, R_1) = a_1} & P_2 \\ \frac{x_1}{\$R_1} & & \frac{x_2}{\$R_2} \\ & \xleftarrow{\text{msg}_2(x_2, R_2, a_1) = b_1} & \\ & \xrightarrow{\text{msg}_3(x_1, R_1, b_1) = a_2} & \end{array}$$

:

$$P_2(a_3, x_2, R_2) = \begin{cases} \text{ACCEPT} \\ \text{REJECT} \end{cases}$$

$\text{IP} = \text{SET OF LANGUAGES } L \text{ WITH (PERFECT) COMPLETENESS /}$
 $(\text{computational}) \text{ SOUNDNESS}$

EXAMPLES: GRAPH Isomorphism (GI)

GRAPH Non-isomorphism (GNI)

P, V BOTH KNOW COMMON INPUT $x = (G_0, G_1)$

P WANTS TO CONVINCE V THAT $x \in \text{GI}$
(OR $x \in \text{GNI}$)

PERFECT COMPLETENESS:

$$\Pr_R [P \leftrightarrow V(x), V \text{ ACCEPTS}] = 1$$

$\forall x \in L$

COMPUTATIONAL COMPLETENESS : $\Pr_R [P \leftrightarrow V(x), V \text{ ACCEPTS}] > \frac{2}{3}$

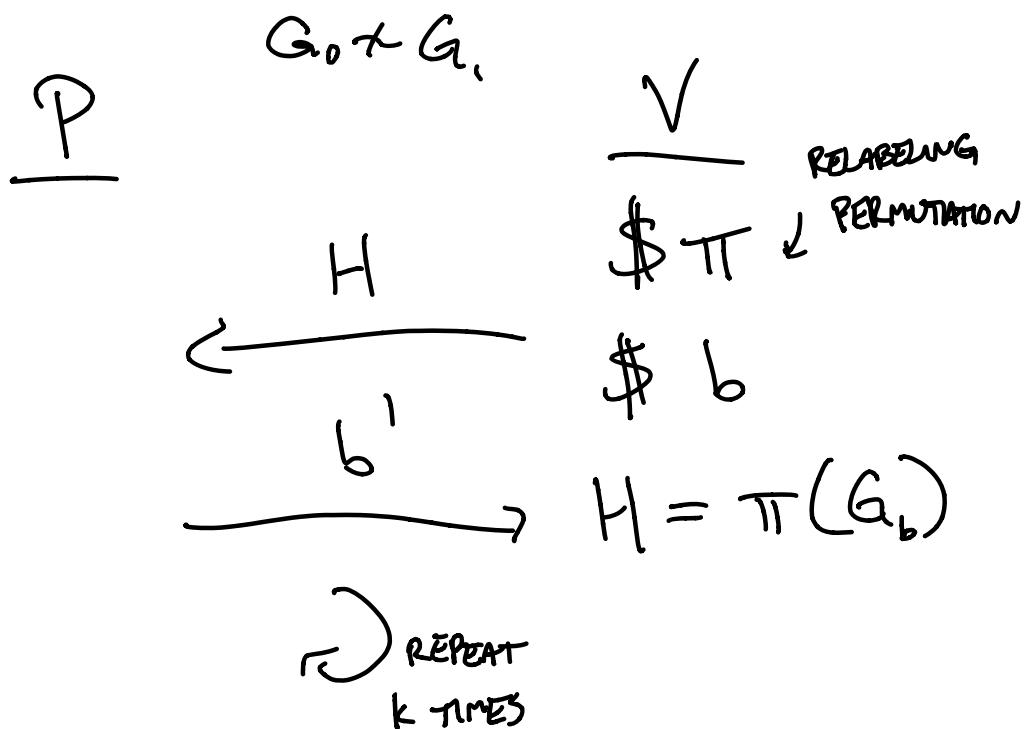
$\forall x \in L$

COMPUTATIONAL SOUNDNESS :

$\forall x \notin L, \forall P^*$

$$\Pr [P^* \leftarrow V(x), V \text{ ACCEPTS}] < \frac{1}{3}$$

IP FOR GNI:



ACCEPT IF
 $b' = b \wedge k \text{ TRIALS}$

WHAT ABOUT PROVING $G_0 \sim G_1$?

CLASSICAL PROOF: $P \xrightarrow{\pi: G_0 \rightarrow G_1} V$

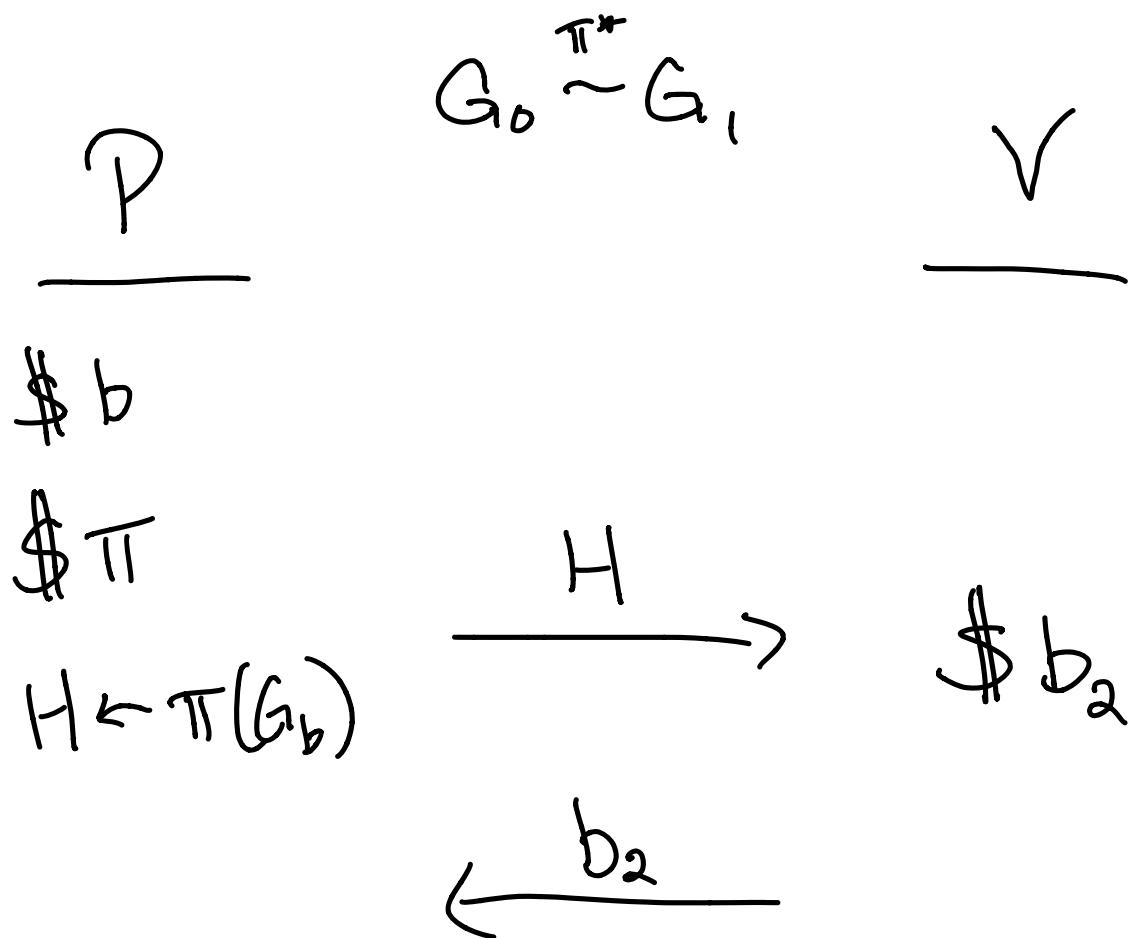
V VERIFIES THAT $\pi(g_0) = g_1$

But: THIS REVEALS THE INFORMATION

$$\pi: G_0 \rightarrow G_1$$

WHAT IF P WANTS TO CONVINCE V

WITHOUT REVEALING π ?



$\pi^*: H \rightarrow G_{b_2}$ ACCEPT IF
 $\pi'(H) = G_{b_2}$
 ~
 REPEAT FOR ALL k
 k TIMES TRIALS
 w/ PRESHI RANDOMNESS

COMPLETENESS: If $G_0 \sim G_1$, THEN

(PERFECT)

$H \sim G_0$ AND $H \sim G_1$,

SO \mathcal{P} CAN CONSTRUCT

π' : $H \rightarrow G_{b_2}$ EVEN IF $b \neq b_2$

VIA $\pi' = \pi(\pi^*(G_b))$

SOUNDNESS: If $G_0 \not\sim G_1$, THEN

\mathcal{P} CAN ONLY CONSTRUCT

π' IF $b = b_2$. THEN,

$$\Pr[V \text{ ACCEPTS}] = \frac{1}{2^k}$$

INTUITIVELY, THIS HIDES PERMUTATION

$\pi^*: G_0 \rightarrow G_1$. How do we

FORMALIZE THIS NOTION?

INTUITION: IP IS ZERO-KNOWLEDGE

IF ALL MESSAGES FROM

PROVER COULD HAVE BEEN

SIMULATED BY VERIFIER WHO

DOESN'T KNOW PRIVATE

INFORMATION KNOWN BY P.

DEF] IP FOR L IS ~~ZERO~~-KNOWLEDGE

IF $\forall x \in L, \forall V^* \in \text{PPT}, \exists S_{V^* \in \text{PPT}}$

SUCH THAT

$$S_{V^*}(x) \equiv [P \leftrightarrow V^*(x)]$$

WHY V^* INSTEAD OF V ? BECAUSE

V MIGHT CHEAT AND DEVIATE FROM PROTOCOL

TO TRY TO LEARN SECRET INFO. WANT

TO DEFEND AGAINST THIS.

How TO DEFINE S_v FOR GI?
(Honest VERIFIER)

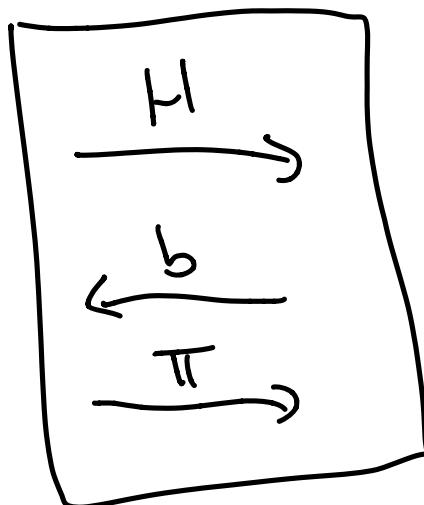
$\underline{S_v}$

\$ b

\$ π

$H \leftarrow \pi(g_b)$

OUTPUT:



SAME DISTRIBUTION AS ORIGINAL PROTOCOL!

IN ORIGINAL PROTOCOL, P MUST PICK H
BEFORE KNOWING b. BUT S_v CAN
PICK H AFTER PICKING b, ALLOWING S_v
TO ALWAYS ANSWER CORRECTLY.

WHAT IF V^* COMPUTES b FROM $h(H)$,
USING COLLISION-RESISTANT HASH FUNCTION?

S_{V^*} CANNOT CHOOSE b FIRST ANYMORE!

BECAUSE WITH PROBABILITY $\frac{1}{2}$, $h(\pi(g_b)) \neq b$.

HOW DO WE BUILD S_{V^*} FOR
ARBITRARY V^* ?

IDEA: USE NEXT MESSAGE FUNCTION
OF V^*

$$b_2 = \text{MSG}_{1, v^+}(R, H)$$

LET'S EXPERIMENT WITH THIS FUNCTION!

INTUITION: How to make a YouTube
VIDEO CLAIMING TO PREDICT
A COIN FLIP?

JUST DO 108 TAKES AND
ONLY KEEP THE TAKES WHERE
I'M CORRECT!

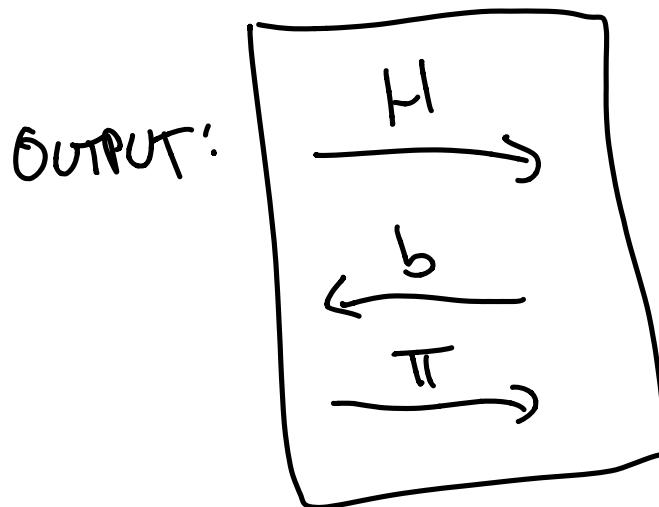
S_{V^*}

\$ b

\$ π

$H \leftarrow \pi(G_b)$

IF $b = \text{MSG}_{1,V^*}(R, H)$:



OTHERWISE: RESTART V^* AND
TRY AGAIN W/ FRESH b, π

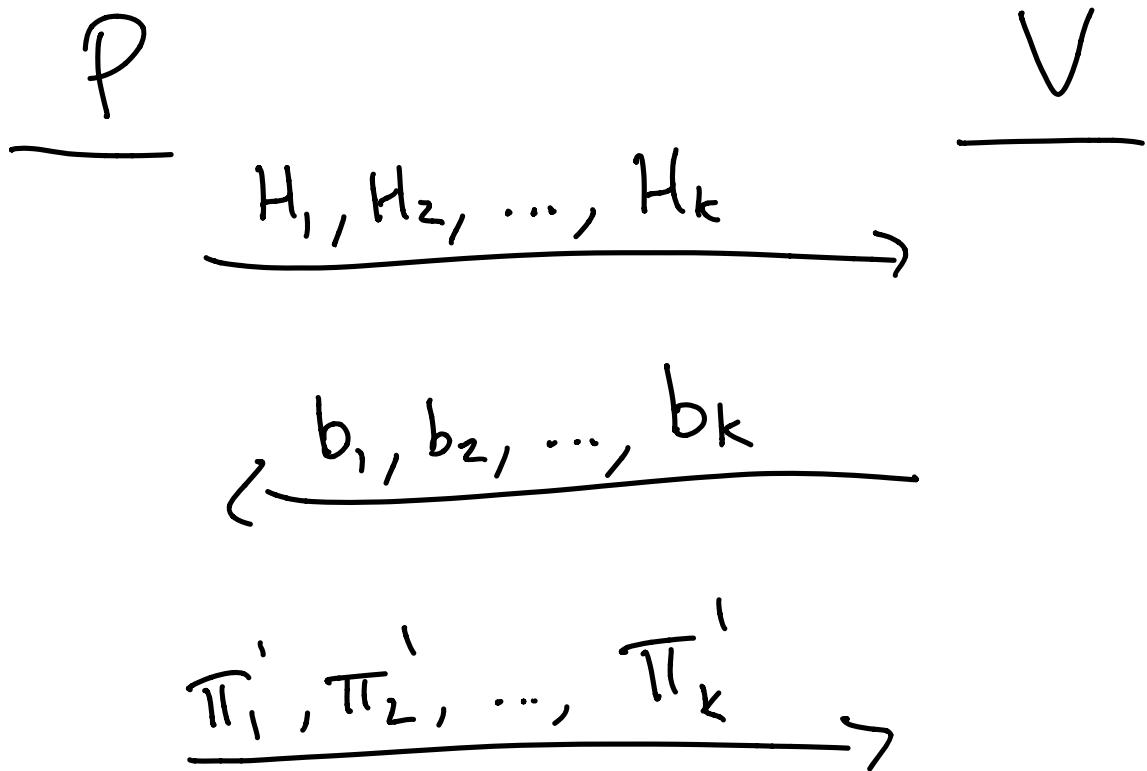
THIS WILL PRODUCE THE CORRECT
TRANSCRIPT AFTER $2k$ TRIALS ON
AVERAGE.

EFFICIENCY QUESTION:

PERFORMING k TRIALS SEQUENTIALLY
INTRODUCES A LOT OF LATENCY.

E.g. 3ms PER ROUND OF COMMUNICATION.

CAN WE COLLAPSE TO JUST 3 ROUNDS?



$$\text{SOUNDNESS} = 1 - \frac{1}{2^k} \quad \checkmark$$

$$\text{COMPLETENESS} = 1 \quad \checkmark$$

WHAT ABOUT ZERO-KNOWLEDGE?

FOR HONEST V , NO PROBLEM. CAN
USE ORIGINAL STRATEGY WITHOUT RESETTING,

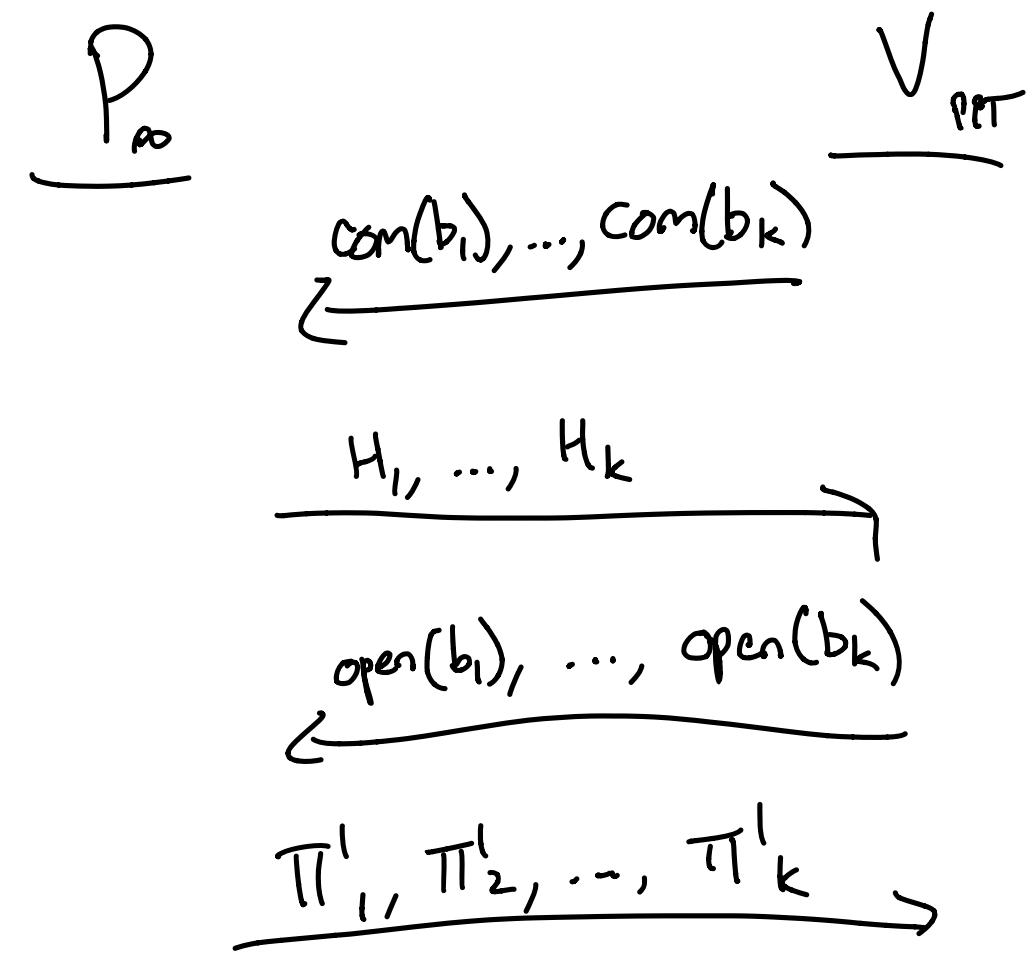
BUT IF V^* DOES $b_1, b_2, \dots, b_k = h(H_1, \dots, H_k)$

HAVE TO RESTART FROM SCRATCH

EVERY TIME OUR GUESS IS WRONG.

S_{V^*} WOULD NEED EXPONENTIALLY LONG
TO CONSTRUCT PROPER TRANSCRIPT.

5-ROUND ZKP FOR GI



ACCEPT IF
 $\Pi'_i(G_{b_i}) = H_i$

INTUITION: V^* CANNOT CHANGE

MIND ABOUT b_i , SO
CANNOT CHOOSE THEM
BASED ON H

S_{V^*} RUNS FIRST 3 ROUNDS

WITH V^* , LEARNS b_1, \dots, b_k ,

RESTARTS V^* , AND CHOOSES

$H_i = \pi_i(b_i)$, AND SENDS H_1, \dots, H_k

AND THEN π_1, \dots, π_k .

But how do we commit a bit
to an infinitely powerful prover?

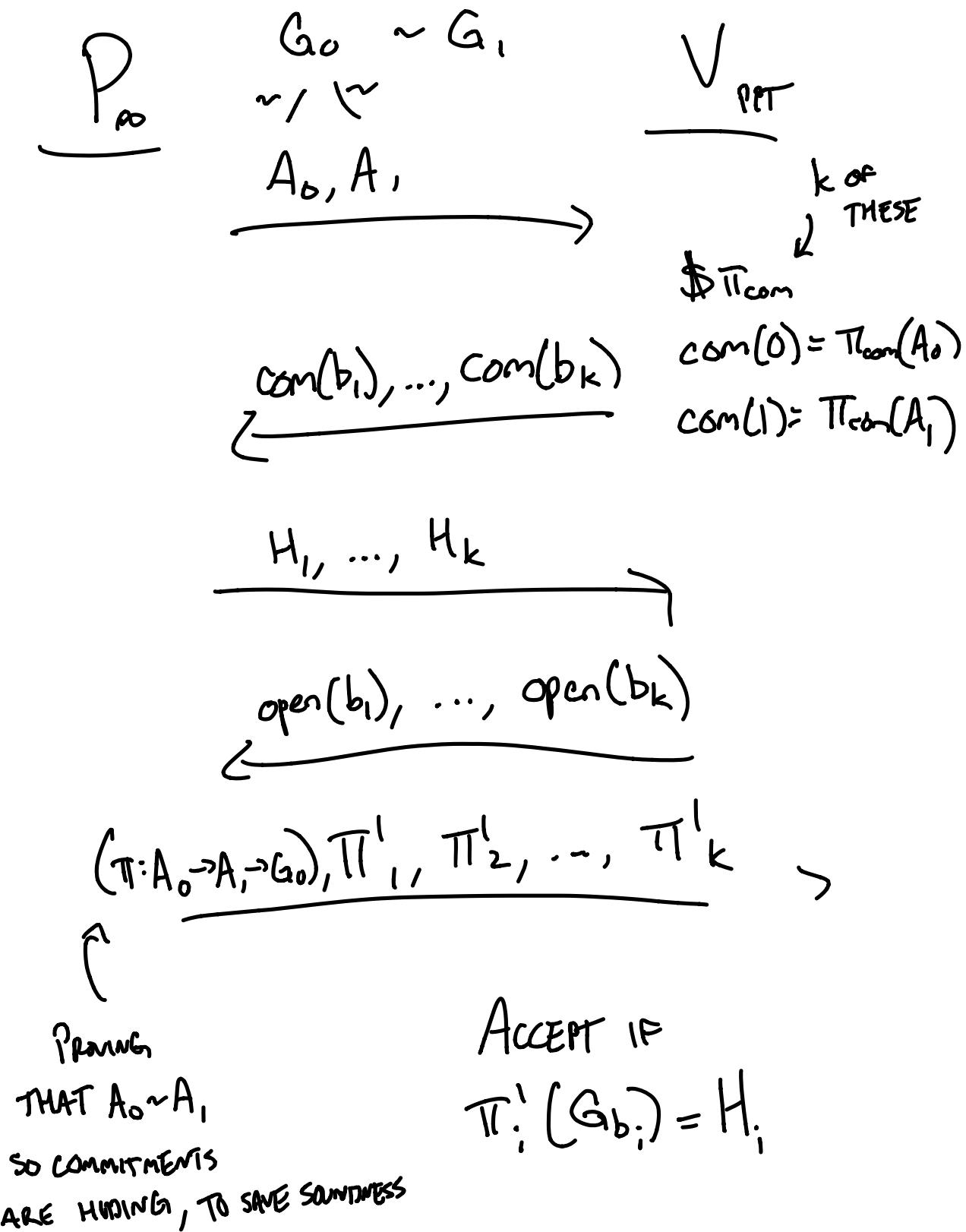
idea: Prover gives $A_0 \sim G_0$ and

$A_1 \sim G_0$. Verifier computes

$$\text{com}(0) = \pi_{\text{com}}(A_0)$$

$$\text{com}(1) = \pi_{\text{com}}(A_1)$$

for $\$ \pi_{\text{com}}$



IF V^* FINDS $T: A_0 \rightarrow A_1$,

V^* CAN CHANGE MIND ON COMMITMENTS

BUT IF $G_0 \sim G_1$, THEN

SUCH A V^* CAN FIND AN ISOMORPHISM

BETWEEN $G_0 \sim G_1$, IF A_1 COMES

FROM G_1 , INSTEAD OF G_0 .

SO S_{V^*} CAN RUN FIRST 4

ROUNDS BUT WITH $A_0 \sim G_0 + A_1 \sim G_1$,

EXTRACT PERMUTATION FROM $G_0 \sim G_1$,

RESET, AND COMPLETE PROTOCOL HONESTLY
KNOWING THE ISOMORPHISM.

THUS: EITHER V^* CANNOT
CHANGE COMMITMENTS, IN
WHICH CASE WE RUN FIRST
4 ROUNDS TO EXTRACT b ;
+ CONSTRUCT SPECIAL H_i ; WHICH
WE CAN PROVIDE $\pi_i^*(G_b \rightarrow H_i)$

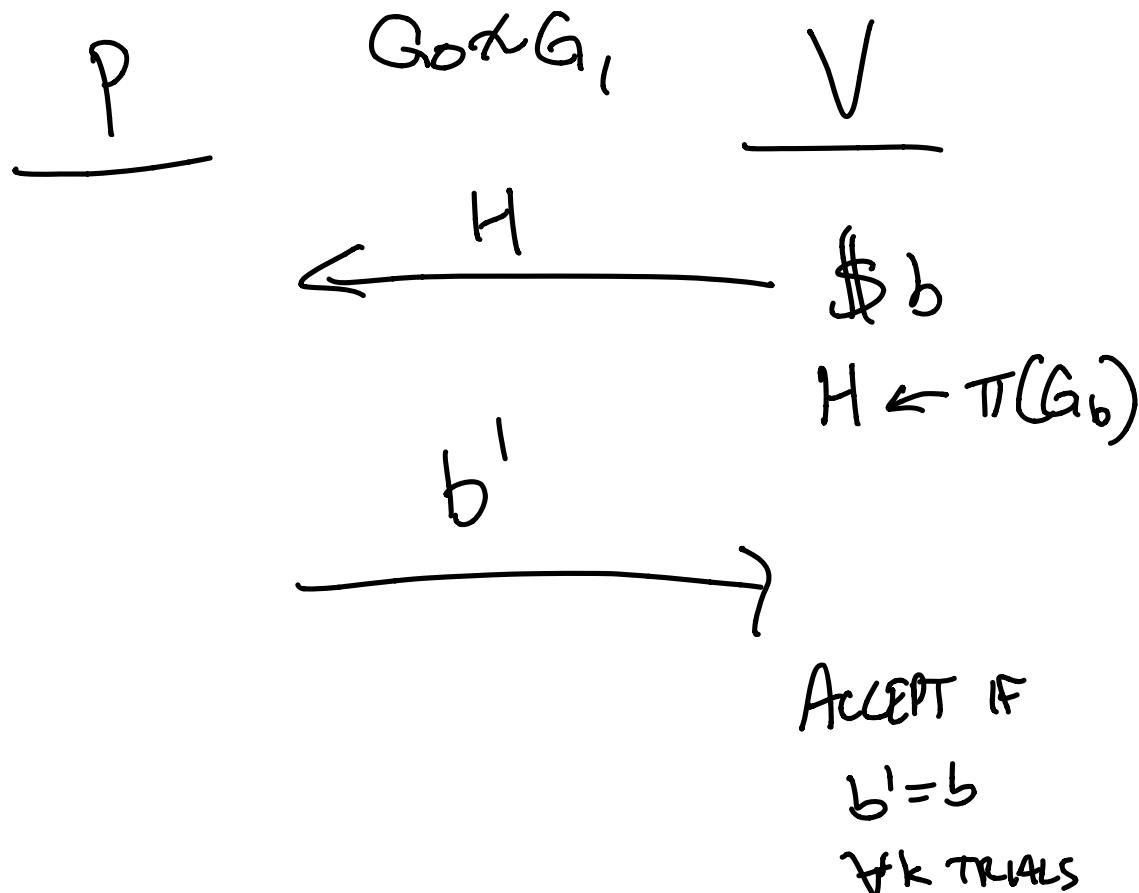
OR

V^* CHANGES COMMITMENTS + PROVIDES
 $\pi^*: G_0 \rightarrow G_1$, S_{V^*} EXTRACTS + PLAYS
HONESTLY.

Now, BACK TO GNI.

How CAN WE CONSTRUCT ZKP?

IS THIS ZK?



PROBLEM: WHAT IF V
FINDS H SOMEWHERE?

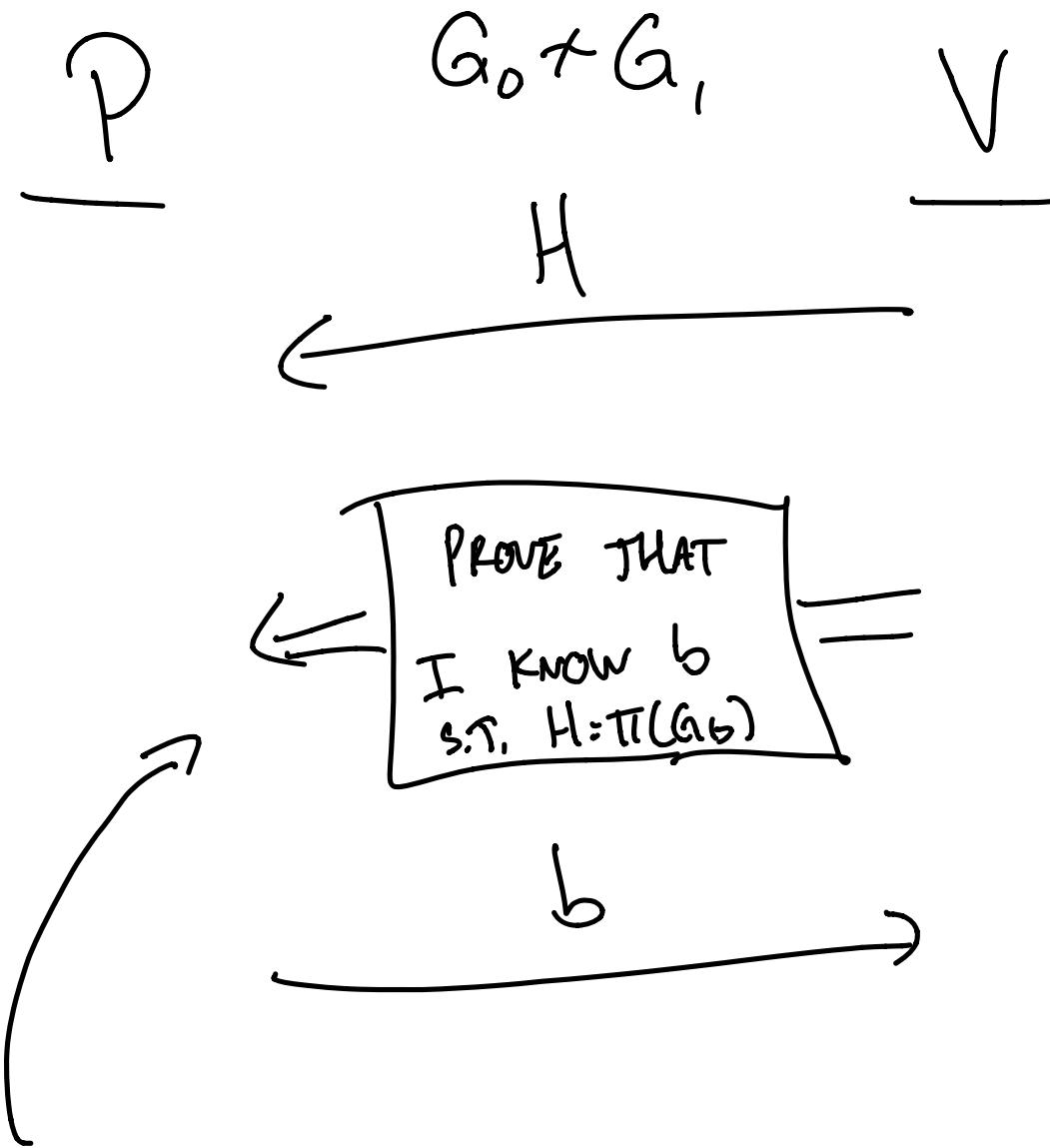
DOESN'T COMPUTE $H \sim \pi(G_b)$.

THEN V LEARNS WHETHER

$H \sim G_b$ OR $H \sim G_1$. NOT ZK

FOR V^* .

MUST HAVE V PROVE THAT V ALREADY
KNOWS THE ANSWER b !

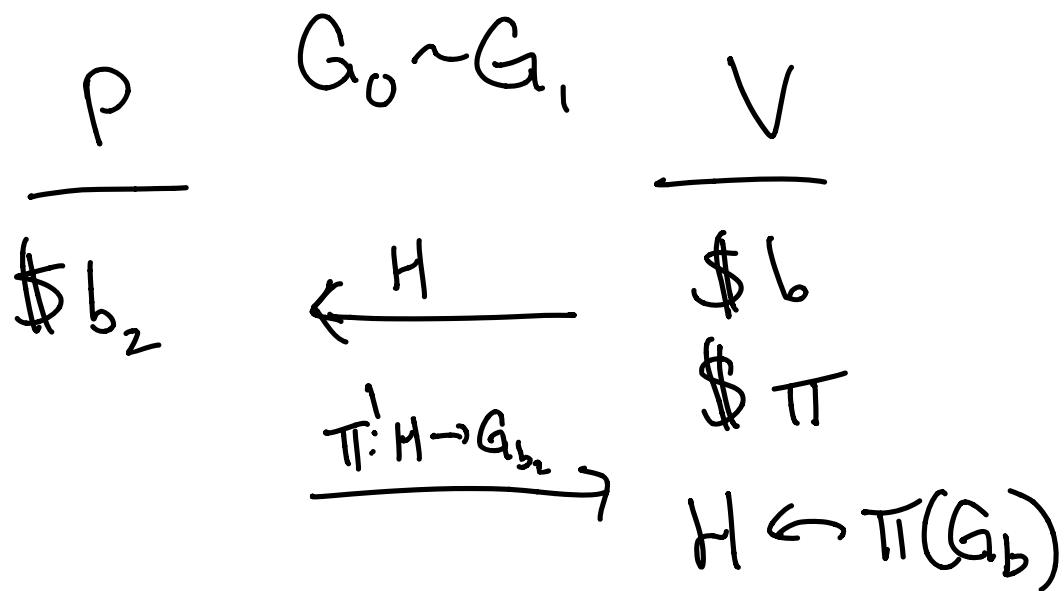


CALLED PROOF OF KNOWLEDGE.

WILL COVER THIS NEXT TIME.

THERE'S A PROBLEM WITH
OUR ZK DEFINITION...

EXAMPLE:



REPEAT k TIMES, ACCEPT
IF $b \neq b_2$ FOR SOME TRIAL

CLEARLY NOT ZK:

IF $b \neq b_2$,

$$\Pi'(\Pi(G_b)) = G_{b_2},$$

so $\Pi' \circ \Pi$ is $\Pi': G_0 \rightarrow G_1$!

But: V CAN SIMULATE THIS
TRANSCRIPT!

THE PROBLEM IS SIMULATOR NEEDS
TO ALSO SIMULATE RANDOMNESS OF V.

If we include V 's randomness,

S_v cannot properly simulate,

because π requires finding

$\pi^k : G_0 \rightarrow G_1$, which is assumed

to be hard.