Chapter 10: Virtual Memory



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Chapter 10: Virtual Memory

- Background
- Demand Paging
- Copy-on-Write
- Page Replacement
- Allocation of Frames
- Thrashing
- Memory-Mapped Files
- Allocating Kernel Memory
- Other Considerations
- Operating-System Examples



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Objectives

- Define virtual memory and describe its benefits.
- Illustrate how pages are loaded into memory using demand paging.
- Apply the FIFO, optimal, and LRU page-replacement algorithms.
- Describe the working set of a process, and explain how it is related to program locality.
- Describe how Linux, Windows 10, and Solaris manage virtual memory.
- Design a virtual memory manager simulation in the C programming language.



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Background

- Code needs to be in memory to execute, but entire program rarely used
 - Error code, unusual routines, large data structures
- Entire program code not needed at same time
- Consider ability to execute partially-loaded program
 - Program no longer constrained by limits of physical memory
 - Each program takes less memory while running -> more programs run at the same time
 - Increased CPU utilization and throughput with no increase in response time or turnaround time
 - Less I/O needed to load or swap programs into memory -> each user program runs faster



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Virtual memory

- Virtual memory separation of user logical memory from physical memory
 - Only part of the program needs to be in memory for execution
 - Logical address space can therefore be much larger than physical address space
 - Allows address spaces to be shared by several processes
 - Allows for more efficient process creation
 - More programs running concurrently
 - Less I/O needed to load or swap processes



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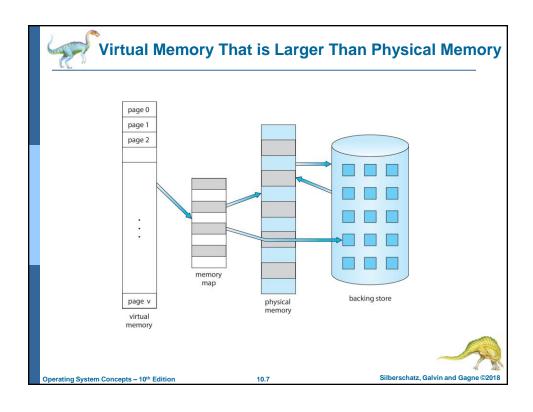
Virtual memory (Cont.)

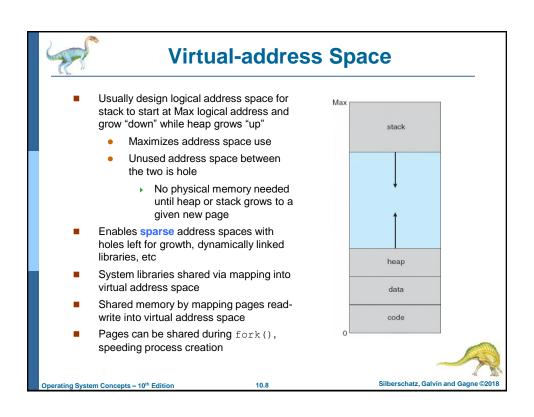
- Virtual address space logical view of how process is stored in memory
 - Usually start at address 0, contiguous addresses until end of space
 - Meanwhile, physical memory organized in page frames
 - MMU must map logical to physical
- Virtual memory can be implemented via:
 - Demand paging
 - Demand segmentation

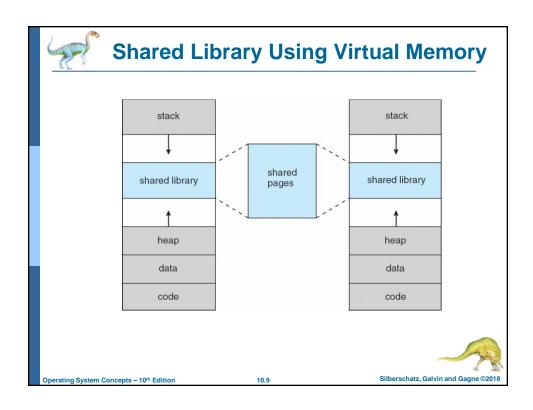


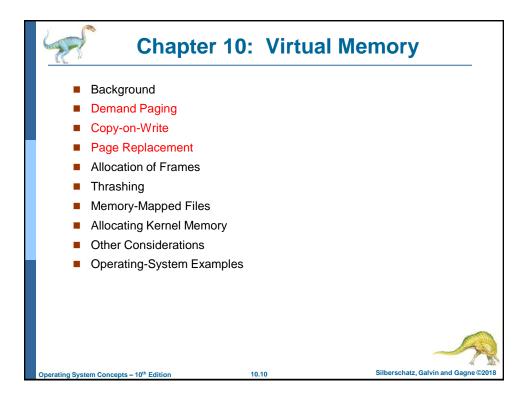
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Demand Paging

- Could bring entire process into memory at load time
- Or bring a page into memory only when it is needed
 - Less I/O needed, no unnecessary I/O
 - Less memory needed
 - Faster response
 - More users
- Similar to paging system with swapping (diagram on right)
- Page is needed ⇒ reference to it
 - invalid reference ⇒ abort
 - not-in-memory ⇒ bring to memory
- Lazy swapper never swaps a page into memory unless page will be needed
 - Swapper that deals with pages is a pager
- In general, free pages are allocated from a pool
 - Pool should always have free frames for fast demand page execution



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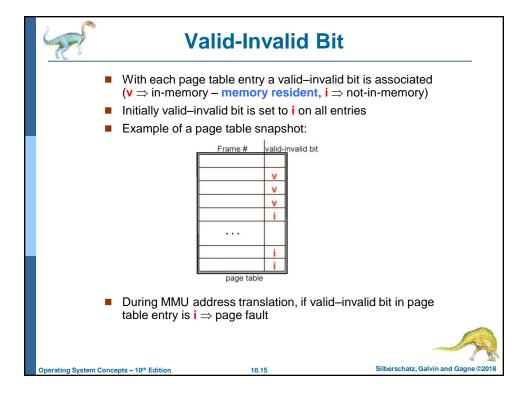
Basic Concepts

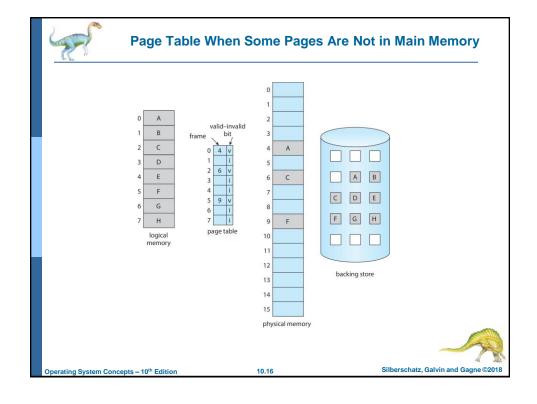
- With swapping, pager guesses which pages will be used before swapping out again
- Instead, pager brings in only those pages into memory
 - Goal: avoid reading in pages not being used.
- How to determine that set of pages?
 - Need new MMU functionality (valid-invalid bit) to implement demand paging
- If pages needed are already memory resident
 - No difference from non demand-paging
- If page needed and not memory resident
 - Need to detect and load the page into memory from storage
 - Without changing program behavior
 - Without programmer needing to change code



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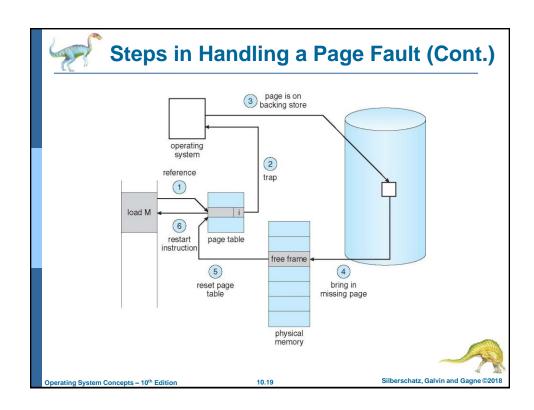
Basic Concepts

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Aspects of Demand Paging

- Extreme case start process with *no* pages in memory
 - OS sets instruction pointer to first instruction of process, nonmemory-resident -> page fault
 - And for every other process pages on first access
 - Pure demand paging
- Actually, a given instruction could access multiple pages -> multiple page faults
 - Consider fetch and decode of instruction which adds 2 numbers from memory and stores result back to memory
 - Pain decreased because of locality of reference
- Hardware support needed for demand paging
 - Page table with valid / invalid bit
 - Secondary memory (swap device with swap space)
 - Instruction restart



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Instruction Restart

- Consider an instruction that could access several different locations
 - Block move



- Auto increment/decrement location
- Restart the whole operation?
 - What if source and destination overlap?



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Free-Frame List

- When a page fault occurs, the operating system must bring the desired page from secondary storage into main memory.
- Most operating systems maintain a free-frame list -- a pool of free frames for satisfying such requests.



- Operating system typically allocate free frames using a technique known as zero-fill-on-demand -- the content of the frames zeroed-out before being allocated.
- When a system starts up, all available memory is placed on the free-frame list.



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Stages in Demand Paging – Worse Case

- 1. Trap to the operating system
- 2. Save the user registers and process state
- 3. Determine that the interrupt was a page fault
- Check that the page reference was legal and determine the location of the page on the disk
- 5. Issue a read from the disk to a free frame:
 - Wait in a queue for this device until the read request is serviced
 - 2. Wait for the device seek and/or latency time
 - 3. Begin the transfer of the page to a free frame



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Stages in Demand Paging (Cont.)

- 6. While waiting, allocate the CPU to some other user
- Receive an interrupt from the disk I/O subsystem (I/O completed)
- 8. Save the registers and process state for the other user
- 9. Determine that the interrupt was from the disk
- Correct the page table and other tables to show page is now in memory
- 11. Wait for the CPU to be allocated to this process again
- 12. Restore the user registers, process state, and new page table, and then resume the interrupted instruction



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Performance of Demand Paging

- Three major activities
 - Service the interrupt careful coding means just several hundred instructions needed
 - Read the page lots of time
 - Restart the process again just a small amount of time
- Page Fault Rate $0 \le p \le 1$
 - if p = 0 no page faults
 - if p = 1, every reference is a fault
- Effective Access Time (EAT)

 $EAT = (1 - p) \times memory access$

- + p (page fault overhead
- + swap page out
- + swap page in)



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Demand Paging Example

- Memory access time = 200 nanoseconds
- Average page-fault service time = 8 milliseconds
- EAT = $(1 p) \times 200 + p$ (8 milliseconds)
 - $= (1 p \times 200 + p \times 8,000,000)$
 - $= 200 + p \times 7,999,800$
- If one access out of 1,000 causes a page fault, then
 - EAT = 8.2 microseconds.

This is a slowdown by a factor of 40!!

- If want performance degradation < 10 percent
 - 220 > 200 + 7,999,800 x p 20 > 7,999,800 x p
 - p < .0000025
 - < one page fault in every 400,000 memory accesses



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Demand Paging Optimizations

- Swap space I/O faster than file system I/O even if on the same device
 - Swap allocated in larger chunks, less management needed than file system
- Copy entire process image to swap space at process load time
 - Then page in and out of swap space
 - Used in older BSD Unix
- Demand page in from program binary on disk, but discard rather than paging out when freeing frame
 - Used in Solaris and current BSD
 - Still need to write to swap space
 - Pages not associated with a file (like stack and heap) anonymous memory
 - > Pages modified in memory but not yet written back to the file system
- Mobile systems
 - Typically don't support swapping
 - Instead, demand page from file system and reclaim read-only pages (such as code)



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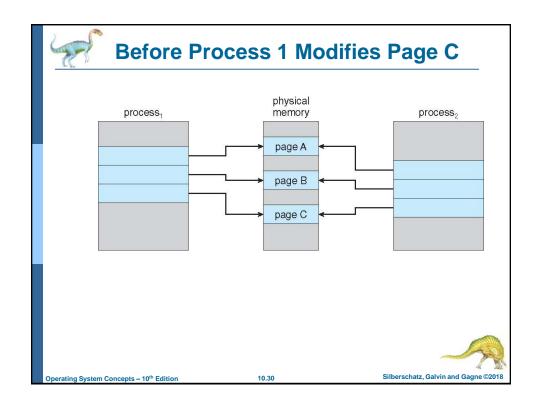
Copy-on-Write

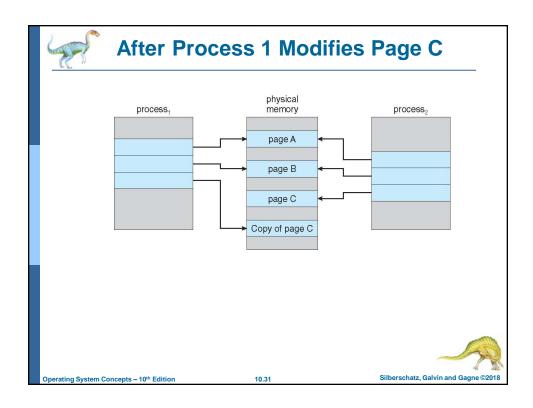
- Copy-on-Write (COW) allows both parent and child processes to initially share the same pages in memory
 - If either process modifies a shared page, only then is the page copied
- COW allows more efficient process creation as only modified pages are copied
- In general, free pages are allocated from a pool of zero-fill-on-demand pages
 - Pool should always have free frames for fast demand page execution
 - Don't want to have to free a frame as well as other processing on page fault
 - Why zero-out a page before allocating it?
- vfork() variation on fork() system call has parent suspend and child using copy-on-write address space of parent
 - Designed to have child call exec()
 - Very efficient



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What Happens if There is no Free Frame?

- Used up by process pages
- Also in demand from the kernel, I/O buffers, etc.
- How much to allocate to each?
- Page replacement find some page in memory, but not really in use, page it out
 - Algorithm terminate? swap out? replace the page?
 - Performance want an algorithm which will result in minimum number of page faults
- Same page may be brought into memory several times



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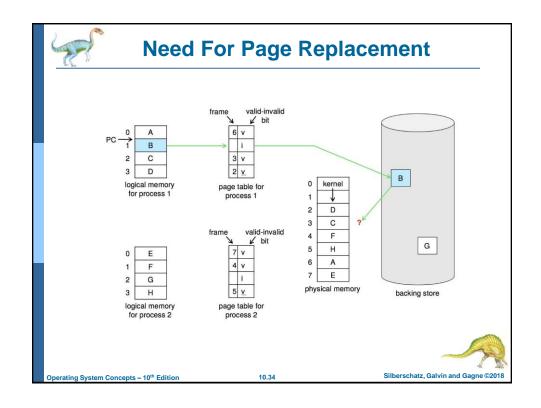
Page Replacement

- Prevent over-allocation of memory by modifying page-fault service routine to include page replacement
- Use modify (dirty) bit to reduce overhead of page transfers – only modified pages are written to disk
- Page replacement completes separation between logical memory and physical memory – large virtual memory can be provided on a smaller physical memory



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Basic Page Replacement

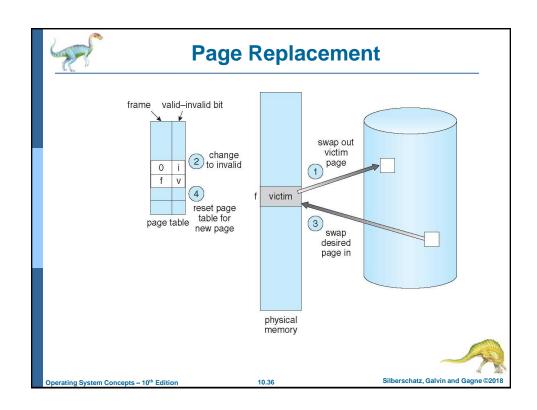
- 1. Find the location of the desired page on disk
- 2. Find a free frame:
 - If there is a free frame, use it
 - If there is no free frame, use a page replacement algorithm to select a victim frame
 - Write victim frame to disk if dirty
- 3. Bring the desired page into the (newly) free frame; update the page and frame tables
- 4. Continue the process by restarting the instruction that caused the trap

Note now potentially 2 page transfers for page fault – increasing EAT



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Page and Frame Replacement Algorithms

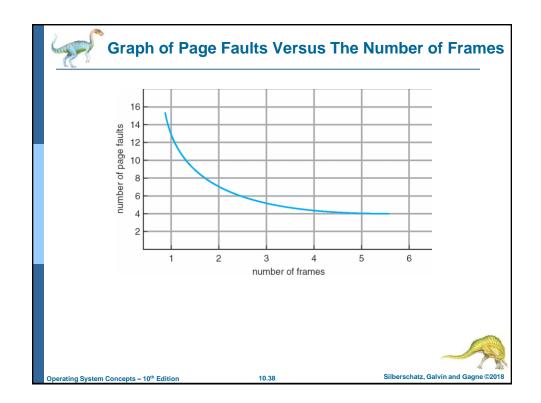
- Frame-allocation algorithm determines
 - How many frames to give each process
 - Which frames to replace
- Page-replacement algorithm
 - Want lowest page-fault rate on both first access and re-access
- Evaluate algorithm by running it on a particular string of memory references (reference string) and computing the number of page faults on that string
 - String is just page numbers, not full addresses
 - Repeated access to the same page does not cause a page fault
 - Results depend on number of frames available
- In all our examples, the reference string of referenced page numbers is

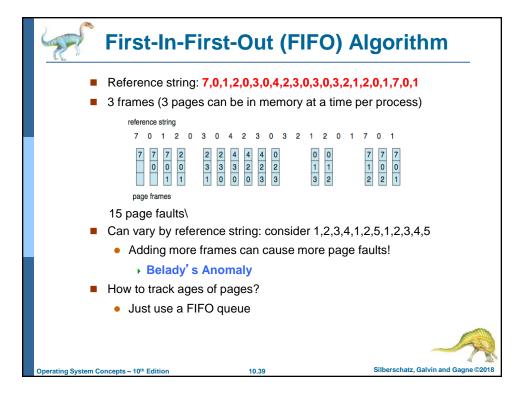
7,0,1,2,0,3,0,4,2,3,0,3,0,3,2,1,2,0,1,7,0,1

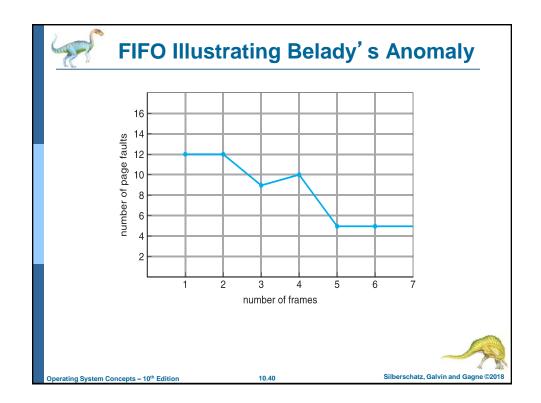


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Optimal Algorithm

- Replace page that will not be used for longest period of time
 - 9 is optimal for the example
- How do you know this?
 - Can't read the future
- Used for measuring how well your algorithm performs



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Least Recently Used (LRU) Algorithm

- Use past knowledge rather than future
- Replace page that has not been used in the most amount of time
- Associate time of last use with each page

reference string

7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1

7 7 7 2 2 4 4 4 0 1 1 1 1 1 1

7 0 0 0 0 0 0 3 3 2 2 2 2 2 7

page frames

- 12 faults better than FIFO but worse than OPT
- Generally good algorithm and frequently used
- But how to implement?



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LRU Algorithm (Cont.)

- Counter implementation
 - Every page entry has a counter; every time page is referenced through this entry, copy the clock into the counter
 - When a page needs to be changed, look at the counters to find smallest value
 - Search through table needed
- Stack implementation
 - Keep a stack of page numbers in a double link form:
 - Page referenced:
 - move it to the top
 - requires 6 pointers to be changed
 - But each update more expensive
 - No search for replacement
- LRU and OPT are cases of stack algorithms that don't have Belady's Anomaly



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Use Of A Stack to Record Most Recent Page References

reference string

4 7 0 7 1 0 1 2 1 2 7 1 2

stack before

stack after b



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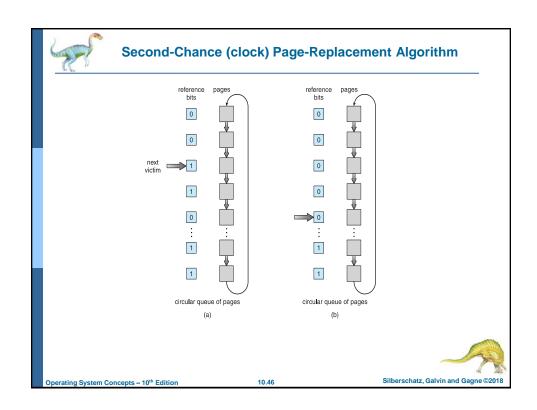
LRU Approximation Algorithms

- LRU needs special hardware and still slow
- Reference bit
 - With each page associate a bit, initially = 0
 - When page is referenced bit set to 1
 - Replace any with reference bit = 0 (if one exists)
 - We do not know the order, however
- Second-chance algorithm
 - Generally FIFO, plus hardware-provided reference bit
 - Clock replacement
 - If page to be replaced has
 - ▶ Reference bit = 0 -> replace it
 - reference bit = 1 then:
 - set reference bit 0, leave page in memory
 - replace next page, subject to same rules



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Enhanced Second-Chance Algorithm

- Improve algorithm by using reference bit and modify bit (if available) in concert
- Take ordered pair (reference, modify):
 - (0, 0) neither recently used not modified best page to replace
 - (0, 1) not recently used but modified not quite as good, must write out before replacement
 - (1, 0) recently used but clean probably will be used again soon
 - (1, 1) recently used and modified probably will be used again soon and need to write out before replacement
- When page replacement called for, use the clock scheme but use the four classes replace page in lowest non-empty class
 - Might need to search circular queue several times



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Counting Algorithms

- Keep a counter of the number of references that have been made to each page
 - Not common
- Lease Frequently Used (LFU) Algorithm: replaces page with smallest count
- Most Frequently Used (MFU) Algorithm: based on the argument that the page with the smallest count was probably just brought in and has yet to be used



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Page-Buffering Algorithms

- Keep a pool of free frames, always
 - Then frame available when needed, not found at fault time
 - Read page into free frame and select victim to evict and add to free pool
 - When convenient, evict victim
- Possibly, keep list of modified pages
 - When backing store otherwise idle, write pages there and set to non-dirty
- Possibly, keep free frame contents intact and note what is in them
 - If referenced again before reused, no need to load contents again from disk
 - Generally useful to reduce penalty if wrong victim frame selected



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Applications and Page Replacement

- All of these algorithms have OS guessing about future page access
- Some applications have better knowledge i.e. databases
- Memory intensive applications can cause double buffering
 - OS keeps copy of page in memory as I/O buffer
 - Application keeps page in memory for its own work
- Operating system can given direct access to the disk, getting out of the way of the applications
 - Raw disk mode
- Bypasses buffering, locking, etc



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Chapter 10: Virtual Memory

- Background
- Demand Paging
- Copy-on-Write
- Page Replacement
- Allocation of Frames
- Thrashing
- Memory-Mapped Files
- Allocating Kernel Memory
- Other Considerations
- Operating-System Examples



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Allocation of Frames

- Each process needs *minimum* number of frames
- Example: IBM 370 6 pages to handle SS MOVE instruction:
 - instruction is 6 bytes, might span 2 pages
 - 2 pages to handle from
 - 2 pages to handle to
- *Maximum* of course is total frames in the system
- Two major allocation schemes
 - fixed allocation
 - priority allocation
- Many variations



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Fixed Allocation

- Equal allocation For example, if there are 100 frames (after allocating frames for the OS) and 5 processes, give each process 20 frames
 - Keep some as free frame buffer pool
- Proportional allocation Allocate according to the size of process
 - Dynamic as degree of multiprogramming, process sizes change

$$-s_i = \text{size of process } p_i$$

$$-S = \sum s_i$$

$$-m$$
 = total number of frames

$$-a_i = \text{allocation for } p_i = \frac{s_i}{S} \times m$$

$$m = 64$$
$$s_1 = 10$$

$$s_2 = 127$$

$$a_1 = \frac{10}{137} \cdot 62 \gg 4$$

$$a_2 = \frac{127}{137} \cdot 62 \gg 57$$



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Global vs. Local Allocation

- Global replacement process selects a replacement frame from the set of all frames; one process can take a frame from another
 - But then process execution time can vary greatly
 - But greater throughput so more common
- Local replacement each process selects from only its own set of allocated frames
 - More consistent per-process performance
 - But possibly underutilized memory



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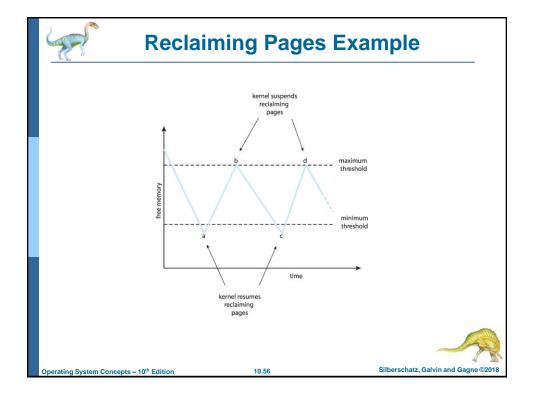
Reclaiming Pages

- A strategy to implement global page-replacement policy
- All memory requests are satisfied from the free-frame list, rather than waiting for the list to drop to zero before we begin selecting pages for replacement,
- Page replacement is triggered when the list falls below a certain threshold.
- This strategy attempts to ensure there is always sufficient free memory to satisfy new requests.



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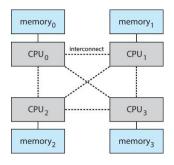
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Non-Uniform Memory Access

- So far all memory accessed equally
- Many systems are NUMA speed of access to memory varies
 - Consider system boards containing CPUs and memory, interconnected over a system bus
- NUMA multiprocessing architecture





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Non-Uniform Memory Access (Cont.)

- Optimal performance comes from allocating memory "close to" the CPU on which the thread is scheduled
 - And modifying the scheduler to schedule the thread on the same system board when possible
 - Solved by Solaris by creating Igroups
 - Structure to track CPU / Memory low latency groups
 - Used my schedule and pager
 - When possible schedule all threads of a process and allocate all memory for that process within the Igroup



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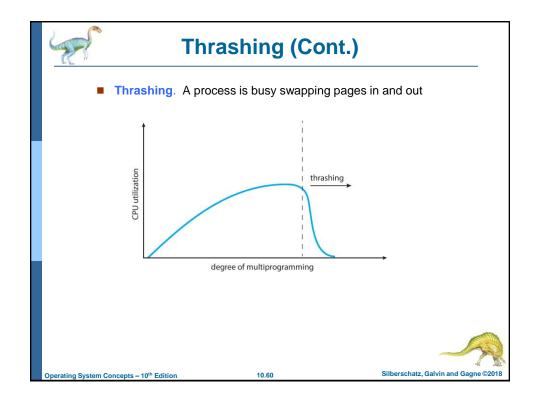
Thrashing

- If a process does not have "enough" pages, the page-fault rate is very high
 - Page fault to get page
 - Replace existing frame
 - But quickly need replaced frame back
 - This leads to:
 - Low CPU utilization
 - Operating system thinking that it needs to increase the degree of multiprogramming
 - Another process added to the system



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Demand Paging and Thrashing

■ Why does demand paging work?

Locality model

- Process migrates from one locality to another
- Localities may overlap
- Why does thrashing occur?

 Σ size of locality > total memory size

Limit effects by using local or priority page replacement



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Locality In A Memory-Reference Pattern



Working-Set Model

- Δ = working-set window = a fixed number of page references Example: 10,000 instructions
- WSS_i (working set of Process P_i) = total number of pages referenced in the most recent Δ (varies in time)
 - if Δ too small will not encompass entire locality
 - if Δ too large will encompass several localities
 - if $\Delta = \infty \Rightarrow$ will encompass entire program
- $D = \Sigma WSS_i \equiv \text{total demand frames}$
 - Approximation of locality



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Working-Set Model (Cont.)

- if $D > m \Rightarrow$ Thrashing
- Policy if D > m, then suspend or swap out one of the processes



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Keeping Track of the Working Set

- Approximate with interval timer + a reference bit
- Example: $\Delta = 10,000$
 - Timer interrupts after every 5000 time units
 - Keep in memory 2 bits for each page
 - Whenever a timer interrupts copy and sets the values of all reference bits to 0
 - If one of the bits in memory = 1 ⇒ page in working set
- Why is this not completely accurate?
- Improvement = 10 bits and interrupt every 1000 time units



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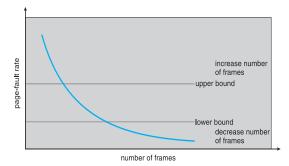
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Page-Fault Frequency

- More direct approach than WSS
- Establish "acceptable" page-fault frequency (PFF) rate and use local replacement policy
 - If actual rate too low, process loses frame
 - If actual rate too high, process gains frame



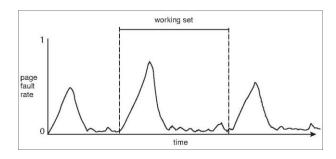
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Working Sets and Page Fault Rates

- Direct relationship between working set of a process and its page-fault rate
- Working set changes over time
- Peaks and valleys over time





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Allocating Kernel Memory

- Treated differently from user memory
- Often allocated from a free-memory pool
 - Kernel requests memory for structures of varying sizes
 - Some kernel memory needs to be contiguous
 - I.e. for device I/O



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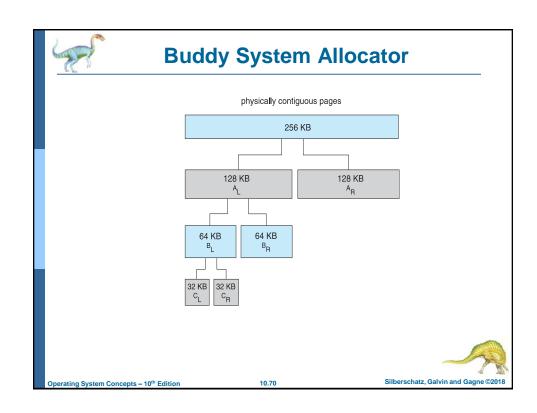
Buddy System

- Allocates memory from fixed-size segment consisting of physicallycontiguous pages
- Memory allocated using power-of-2 allocator
 - Satisfies requests in units sized as power of 2
 - Request rounded up to next highest power of 2
 - When smaller allocation needed than is available, current chunk split into two buddies of next-lower power of 2
 - Continue until appropriate sized chunk available
- For example, assume 256KB chunk available, kernel requests 21KB
 - Split into A_{L and} A_R of 128KB each
 - One further divided into B_L and B_R of 64KB
 - One further into C_L and C_R of 32KB each one used to satisfy request
- Advantage quickly coalesce unused chunks into larger chunk
- Disadvantage fragmentation



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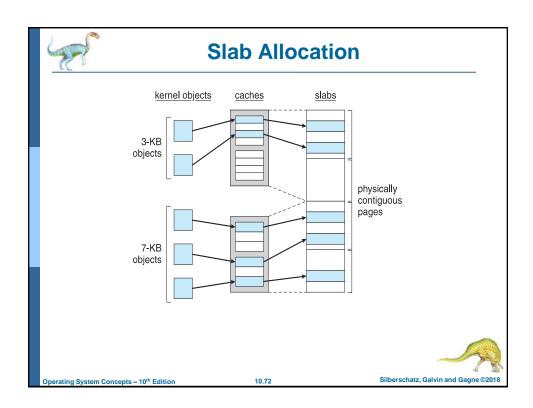
Slab Allocator

- Alternate strategy
- Slab is one or more physically contiguous pages
- Cache consists of one or more slabs
- Single cache for each unique kernel data structure
 - Each cache filled with objects instantiations of the data structure
- When cache created, filled with objects marked as free
- When structures stored, objects marked as used
- If slab is full of used objects, next object allocated from empty slab
 - If no empty slabs, new slab allocated
- Benefits include no fragmentation, fast memory request satisfaction



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Slab Allocator in Linux

- For example process descriptor is of type struct task_struct
- Approx 1.7KB of memory
- New task -> allocate new struct from cache
 - Will use existing free struct task struct
- Slab can be in three possible states
 - 1. Full all used
 - 2. Empty all free
 - 3. Partial mix of free and used
- Upon request, slab allocator
 - 1. Uses free struct in partial slab
 - 2. If none, takes one from empty slab
 - 3. If no empty slab, create new empty



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Slab Allocator in Linux (Cont.)

- Slab started in Solaris, now wide-spread for both kernel mode and user memory in various OSes
- Linux 2.2 had SLAB, now has both SLOB and SLUB allocators
 - SLOB for systems with limited memory
 - Simple List of Blocks maintains 3 list objects for small, medium, large objects
 - SLUB is performance-optimized SLAB removes per-CPU queues, metadata stored in page structure



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Other Considerations

- Prepaging
- Page size
- TLB reach
- Inverted page table
- Program structure
- I/O interlock and page locking



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Prepaging

- To reduce the large number of page faults that occurs at process startup
- Prepage all or some of the pages a process will need, before they are referenced
- But if prepaged pages are unused, I/O and memory was wasted
- Assume s pages are prepaged and α of the pages is used
 - Is cost of s * α save pages faults > or < than the cost of prepaging
 - s * (1-α) unnecessary pages?
 - α near zero ⇒ prepaging loses



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Page Size

- Sometimes OS designers have a choice
 - Especially if running on custom-built CPU
- Page size selection must take into consideration:
 - Fragmentation
 - Page table size
 - Resolution
 - I/O overhead
 - Number of page faults
 - Locality
 - TLB size and effectiveness
- Always power of 2, usually in the range 2¹² (4,096 bytes) to 2²² (4,194,304 bytes)
- On average, growing over time



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TLB Reach

- TLB Reach The amount of memory accessible from the TLB
- TLB Reach = (TLB Size) X (Page Size)
- Ideally, the working set of each process is stored in the TLB
 - Otherwise there is a high degree of page faults
- Increase the Page Size
 - This may lead to an increase in fragmentation as not all applications require a large page size
- Provide Multiple Page Sizes
 - This allows applications that require larger page sizes the opportunity to use them without an increase in fragmentation



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Program Structure

- Program structure
 - int[128,128] data;
 - Each row is stored in one page
 - Program 1

```
for (j = 0; j <128; j++)
for (i = 0; i < 128; i++)
data[i,j] = 0;
```

 $128 \times 128 = 16,384$ page faults

• Program 2

```
for (i = 0; i < 128; i++)

for (j = 0; j < 128; j++)

data[i,j] = 0;
```

128 page faults



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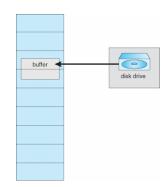
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I/O interlock

- I/O Interlock Pages must sometimes be locked into memory
- Consider I/O Pages that are used for copying a file from a device must be locked from being selected for eviction by a page replacement algorithm
- Pinning of pages to lock into memory



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Operating System Examples

- Windows
- Solaris



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Windows

- Uses demand paging with clustering. Clustering brings in pages surrounding the faulting page
- Processes are assigned working set minimum and working set maximum
- Working set minimum is the minimum number of pages the process is guaranteed to have in memory
- A process may be assigned as many pages up to its working set maximum
- When the amount of free memory in the system falls below a threshold, automatic working set trimming is performed to restore the amount of free memory
- Working set trimming removes pages from processes that have pages in excess of their working set minimum



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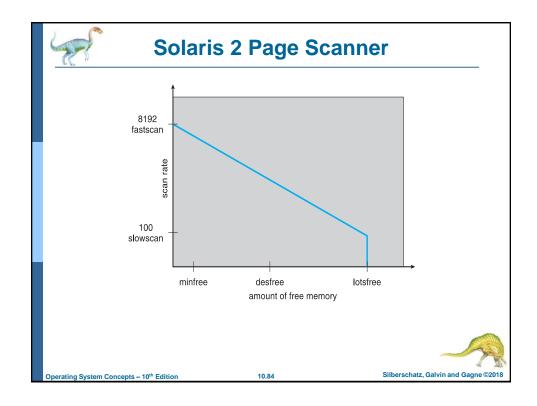
Solaris

- Maintains a list of free pages to assign faulting processes
- Lotsfree threshold parameter (amount of free memory) to begin paging
- Desfree threshold parameter to increasing paging
- Minfree threshold parameter to being swapping
- Paging is performed by pageout process
- Pageout scans pages using modified clock algorithm
- Scanrate is the rate at which pages are scanned. This ranges from slowscan to fastscan
- Pageout is called more frequently depending upon the amount of free memory available
- Priority paging gives priority to process code pages



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End of Chapter 10



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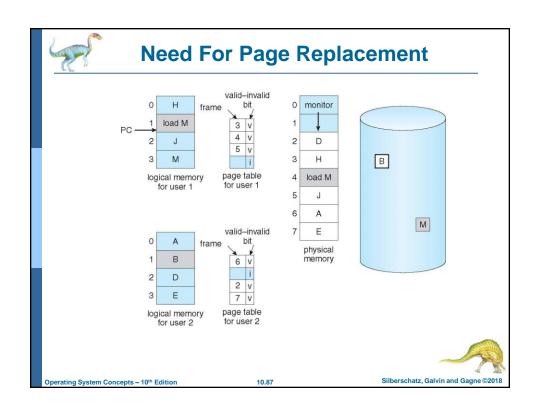
Performance of Demand Paging

- Stages in Demand Paging (worse case)
- 1. Trap to the operating system
- 2. Save the user registers and process state
- 3. Determine that the interrupt was a page fault
- 4. Check that the page reference was legal and determine the location of the page on the disk
- 5. Issue a read from the disk to a free frame:
 - 1. Wait in a queue for this device until the read request is serviced
 - 2. Wait for the device seek and/or latency time
 - 3. Begin the transfer of the page to a free frame
- 6. While waiting, allocate the CPU to some other user
- 7. Receive an interrupt from the disk I/O subsystem (I/O completed)
- 8. Save the registers and process state for the other user
- 9. Determine that the interrupt was from the disk
- 10. Correct the page table and other tables to show page is now in memory
- 11. Wait for the CPU to be allocated to this process again
- Restore the user registers, process state, and new page table, and then resume the interrupted instruction



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Priority Allocation

- Use a proportional allocation scheme using priorities rather than size
- If process **P**_i generates a page fault,
 - · select for replacement one of its frames
 - select for replacement a frame from a process with lower priority number



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Memory Compression

- Memory compression -- rather than paging out modified frames to swap space, we compress several frames into a single frame, enabling the system to reduce memory usage without resorting to swapping pages.
- Consider the following free-frame-list consisting of 6 frames

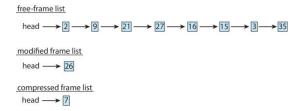
```
\begin{array}{c} \underline{\text{free-frame list}} \\ \text{head} \longrightarrow \boxed{7} \longrightarrow \boxed{2} \longrightarrow \boxed{9} \longrightarrow \boxed{21} \longrightarrow \boxed{27} \longrightarrow \boxed{16} \\ \underline{\text{modified frame list}} \\ \text{head} \longrightarrow \boxed{15} \longrightarrow \boxed{3} \longrightarrow \boxed{35} \longrightarrow \boxed{26} \\ \end{array}
```

Assume that this number of free frames falls below a certain threshold that triggers page replacement. The replacement algorithm (say, an LRU approximation algorithm) selects four frames -- 15, 3, 35, and 26 to place on the free-frame list. It first places these frames on a modified-frame list. Typically, the modified-frame list would next be written to swap space, making the frames available to the free-frame list. An alternative strategy is to compress a number of frames{\mdash}say, three{\mdash}and store their compressed versions n a single page frame.



Memory Compression (Cont.)

- An alternative to paging is memory compression.
- Rather than paging out modified frames to swap space, we compress several frames into a single frame, enabling the system to reduce memory usage without resorting to swapping pages.





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