

Some Title Involving $ZH \rightarrow ll\bar{b}\bar{b}$

A DISSERTATION PRESENTED
BY
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TO
THE DEPARTMENT OF PHYSICS

IN PARTIAL FULFILLMENT OF THE REQUIREMENTS
FOR THE DEGREE OF
DOCTOR OF PHILOSOPHY
IN THE SUBJECT OF
PHYSICS

HARVARD UNIVERSITY
CAMBRIDGE, MASSACHUSETTS
2018

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Some Title Involving $ZH \rightarrow ll\bar{b}\bar{b}$

ABSTRACT

The Higgs looks more Standard Model by the day. The bulk of this thesis is vomiting up what amount to book reports of the main analysis documents, a technical paper, and a RI quality theory steak haché.

For a “unique intellectual contribution,” I made three different BDT’s and went shake and bake to what I’m sure will be a set of inconclusive results of dubious actual scientific value.

If you insist, though:

This thesis describes variations on the two lepton channel of the Run-2 search for the SM Higgs boson produced in association with a vector boson using different variable sets for MVA training. The three variable sets in question are the set of variables from the fiducial analysis, a set based on the Lorentz Invariants (LI) concept, and a set based on a combination of masses and decay angles derived using the RestFrames (RF) package. Aside from the variable sets used for MVA training and discriminant distributions, the analysis is otherwise identical to the fiducial analysis. Both the LI and RF sets perform competitively on the basis of significances, with the RF set showing a $\sim 3.5\%$ improvement in expected fits to Asimov and data, though neither set boosts observed significance. Both sets also reduce the observed error on $\hat{\mu}$, with the LI set reducing the error due to systematics by 7.5% and the RF set doing so by 16%.

Thesis advisor: Professor John Huth

Stephen K. Chan

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THIS IS THE DEDICATION.

Acknowledgments

THIS THESIS WOULD NOT HAVE BEEN POSSIBLE without large amounts of espresso.

If it's stupid but it works, it isn't stupid.

Conventional Wisdom

0

Introduction

MUCH HAS BEEN SAID Since the discovery of a Standard Model (SM) like Higgs boson at the LHC in 2012[?], one of the main outstanding physics goals of the LHC has been to observe the primary SM Higgs decay mode, $H \rightarrow b\bar{b}$, with efforts primarily targeted at searching for Higgs bosons produced in association with a leptonically decaying vector (W or Z , denoted generically as V) boson. As the

integrated luminosity of data collected at the LHC increases, $H \rightarrow b\bar{b}$ searches will increasingly become limited by the ability to constrain systematic uncertainties, with the latest result from ATLAS at $\sqrt{s} = 13$ TeV using 36.1 fb^{-1} of pp collision data already approaching this regime, having a $VH(b\bar{b})$ signal strength of $1.20^{+0.24}_{-0.23}(\text{stat.})^{+0.34}_{-0.28}(\text{syst.})$ at $m_H = 125 \text{ GeV}$ ⁸³.

While this effort will likely require a combination of several different methods at various different stages in the analysis chain, one possible avenue forward is to revise the multivariate analysis (MVA) discriminant input variables used. Novel variable sets often promise to increase performance in two ways. The first is by having higher descriptive power, often through some sophisticated treatment of the missing transverse energy in an event, E_T^{miss} . The second is through using a more orthogonal basis of description, which allows one to more efficiently use data and simulation samples.^{*} This set of studies will seek to address the latter issue.

In order to largely factor out the first issue, gains from better treatments of E_T^{miss} , a closed final state, the 2-lepton $ZH \rightarrow \ell\ell b\bar{b}$ channel, will be studied here in an analysis that very closely mirrors the approach in⁸³ (henceforth referred to as the “fiducial analysis”). In addition to the standard variable set considered there, two additional variable sets, the “Lorentz Invariant” (LI)⁷⁵ and “Rest-Frames inspired” (RF) variable² are also studied.

Data and simulation samples used are described in Section ??, and event reconstruction definitions and event selection requirements are outlined in Section ???. The multivariate analysis, includ-

^{*}Heuristically, the more orthogonal one’s basis, the less overlapping information the variables contain, and the more efficiently something like a numerical minimization can proceed. Hence, even if the physical likelihood that something like an MVA is approximating has the same discriminating power for two variable sets in a stats only context, a more orthogonal basis can allow for a more efficient exploration of the extra dimensionality added through systematic uncertainty terms in a typical analysis, mitigating the usual broadening and smearing of the likelihood from systematics and reducing errors on fit quantities of interest.

ing a description of the LI and RF variable sets and a summary of performance in the absence of systematic uncertainties, is described in Section ???. The statistical fit model and systematic uncertainties are described in Section ??, and the fit results may be found in Section ???. Finally, conclusions and discussion are presented in Section A.16.

Editorial notes:

1. pdf will be *probability* distribution function
2. PDF will be *parton* distribution function

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1

Theory

MUCH HAS BEEN SAID about the so-called Standard Model of particle physics

Noli turbare circulos meos

Archimedes

2

The Large Hadron Collider and the ATLAS Detector

Look at^{52, 70}

THE CERN ACCELERATOR COMPLEX AND ITS EXPERIMENTS stand as a testament to human inge-

nuity and

2.1 THE CERN ACCELERATOR COMPLEX

- ionize hydrogen in E
- LINAC 2: 50 MeV
- BOOSTER: 1 GeV
- PS: 26 GeV
- SPS: 450 GeV
- LHC

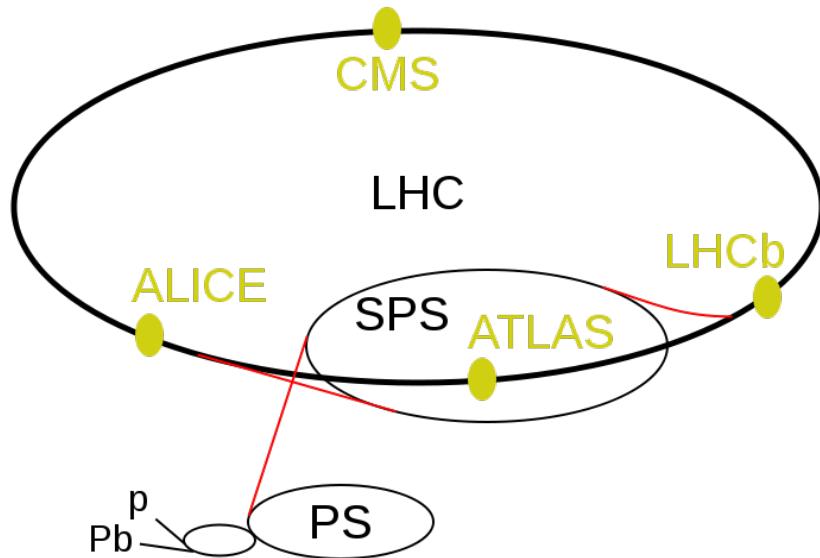


Figure 2.1: The Large Hadron Collider.

2.2 THE LARGE HADRON COLLIDER

The Large Hadron Collider

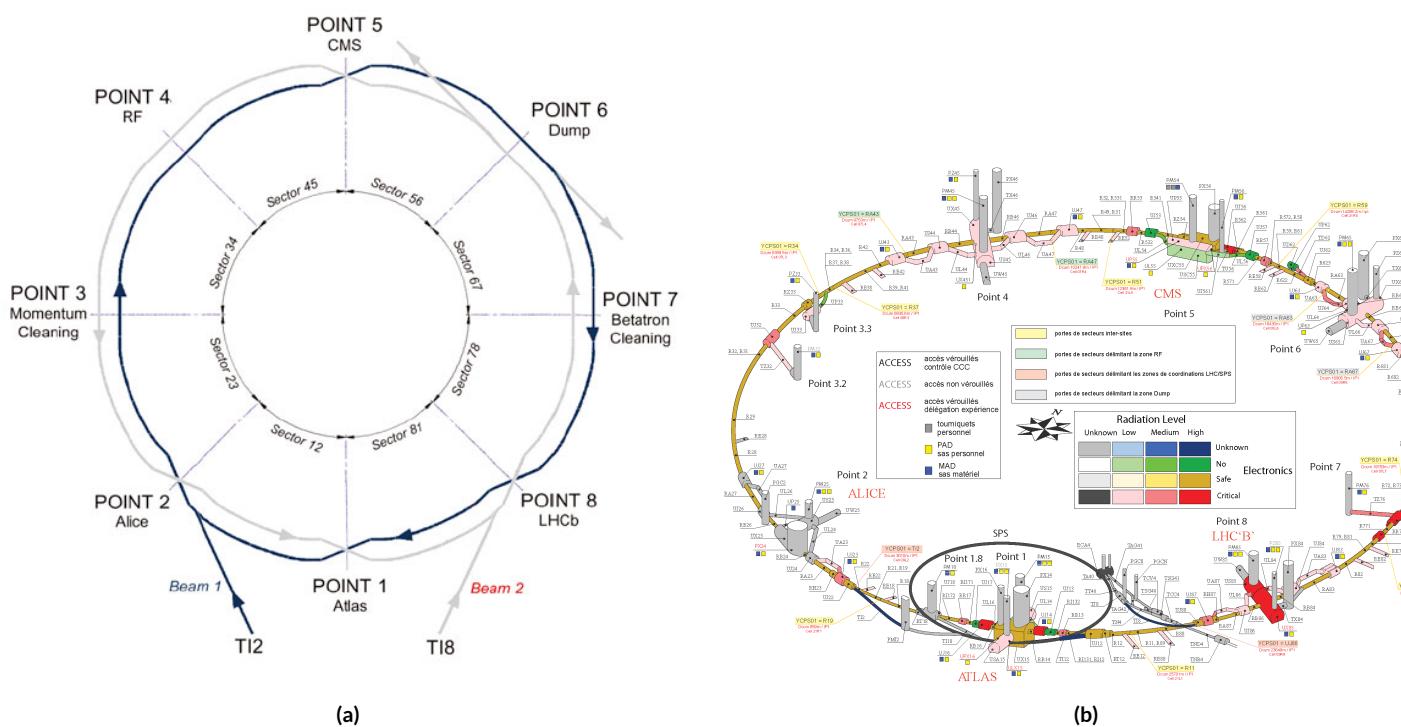


Figure 2.2: Detailed and schematic views of the LHC ring. IC.^{65, 88},

Describe the magnets I guess

2.3 THE ATLAS COORDINATE SYSTEM

A Toroidal LHC ApparatuS is one of the two general purpose, high luminosity detectors at the LHC, located at Interaction Point 1, as described above. With a length of 44 m and a height of 25 m, it is the detector with largest physical dimensions at the LHC.*. The ATLAS detector and its main components are shown in Figure 2.3

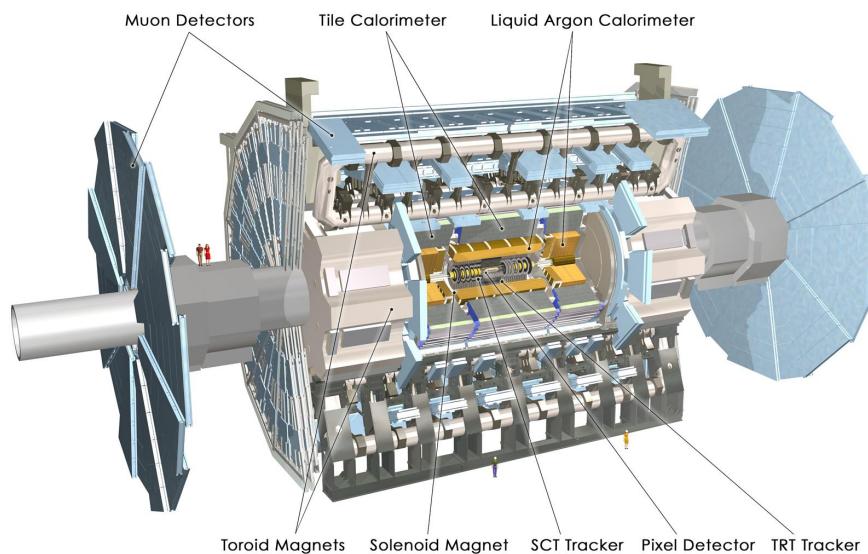


Figure 2.3: The ATLAS detevtor with principal subsystems shown.

While primarily a high luminosity proton-proton collision detector, ATLAS does collect heavy ion collision data, typically for one month during a year of typical operation.

The ATLAS coordinate system is cylindrical and shown in Figure

*This is the only reason CMS can call itself “compact.”

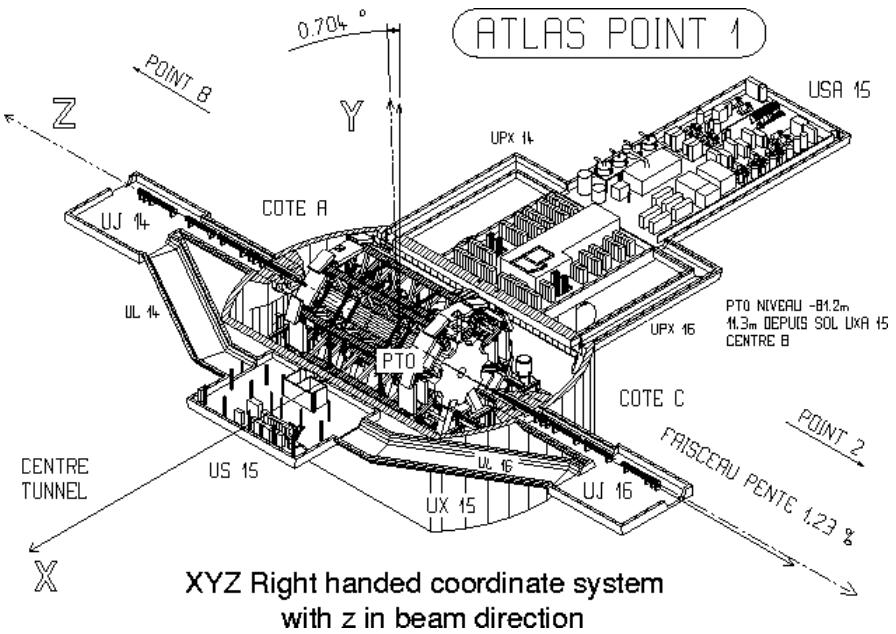


Figure 2.4: The ATLAS coordinate system.

(Pseudo)Rapidity Rapidity $y = \frac{1}{2} \ln \left[\frac{E+p_z}{E-p_z} \right]$, pseudorapidity $\eta = -\ln \tan \frac{\theta}{2}$; same for massless particles

ϕ, r Azimuthal angle and perpendicular distance from beam axis

p_T, E_T, E_T^{miss} Transverse momentum ($p_T = \sqrt{p_x^2 + p_y^2}$), transverse energy, and missing transverse energy ($E_T = \sqrt{E_x^2 + E_y^2} = -E_T^{miss}$). Neutrinos only show up as E_T^{miss} .

Barrel Lower $|\eta|$ material; cylindrical layers of the detector

End Cap Higher $|\eta|$ material; arranged in disks centered on the beam pipe

2.4 THE INNER DETECTOR

The inner detector uses two layers of silicon detector and one layer of gas straw detectors with filaments for e/π discrimination. There's a 2T magnetic field generated by a superconducting solenoid surrounding the ID (4.5 K). The ID's extent is to $|\eta| < 2.5$. Generally, there are two radiation lengths in the inner detector (it varies with η). So let's describe the sub-systems:

Figure 2.5: The ATLAS inner detector.

2.4.1 THE PIXEL DETECTOR

- Cooled to ~ -5 with N_2 gas, 150–600 V
- $10(115) \mu\text{m}$ resolution in $r - \phi(z)$; pixels are $50 \times 400(600) \times 250 \mu\text{m}$
- Barrel: Layers at 50.5, 88.5, and 122.5 mm at a 20tilt with overlap in $r - \phi$
- End Cap: 495, 580, 650 mm; rotate in ϕ by 3.75

2.4.2 THE SILICON MICROSTRIP DETECTOR (SCT)

- Cooled to ~ -5 with N_2 gas, 150–600 V
- $17(580) \mu\text{m}$ resolution in $r - \phi(z)$
- Barrel: 11tilt, 4 layers (299, 371, 443, 514 mm), rectangles
- End Cap: 9 disks each side (934–2720 mm), trapezoids

2.4.3 TRANSITION RADIATION TRACKER (TRT)

(Transition radiation is the X-ray emission from relativistic electrons as they go from dielectric to gas.)

- $|\eta| < 2$; 130 μm resolution; Straws 4 mm diameter; Xe-CO₂-O₂ (70-27-3); -1500 V
- Filaments/foil cause the transition radiation for e/π identification $-dE/dx \sim \gamma$
- Barrel: 73 layers, 144 cm long, along z
- End Cap: 160 cm, 37 cm long, disks, each straw points in \hat{r} at constant φ

2.5 THE ATLAS CALORIMETERS

2.5.1 CALORIMETER RESOLUTION

Following Tully's notation, we parameterize the momentum resolution of a *tracker* as:

$$\frac{\sigma_{p_T}}{p_T} = c_o \oplus c_i \cdot p_T \quad (2.1)$$

Effects: *multiple scattering*: $c_o = 0.5 - 2\%$ (much of a problem at high B); *curvature resolution* $c_i = 10^{-3} - 10^{-4} \text{ GeV}^{-1}$ (related: charge confusion happens when high p_T tracks have small curvature, wrong sign)

$$\frac{\sigma_E}{E} = \frac{S}{\sqrt{E}} \oplus \frac{N}{E} \oplus C \quad (2.2)$$

$S \sim 2.7\% \sqrt{d_{active} [\text{mm}] / f_{samp}}$ (the stochastic term; the sampling fraction in the tile calorimeter is $\sim 1/36$) and C (constant term, calibration effects—would be zero for a single detector because you could understand it “perfectly” in principle) will be noted for different calorimeters below. $N \sim 0.1 - 0.5 \text{ GeV}$.

Calorimeter energy resolution is noted in Eqn. 2.2. 89 K, liquid nitrogen, similar readouts.

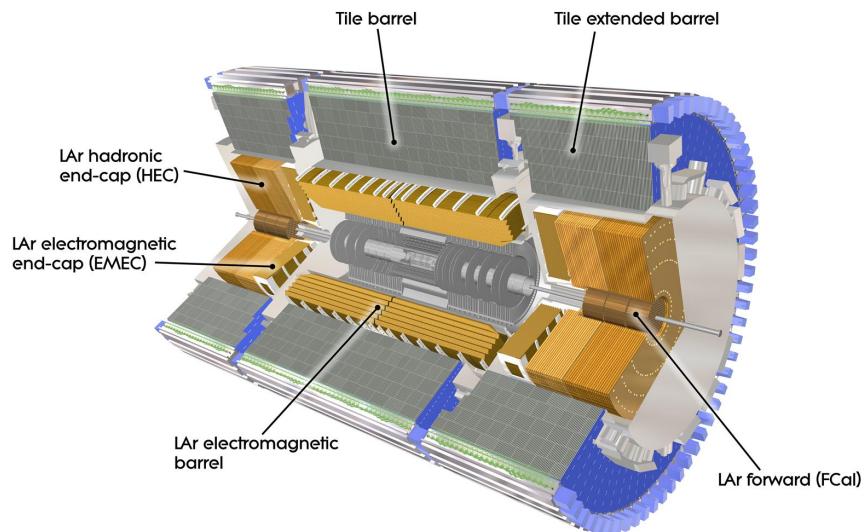


Figure 2.6: The ATLAS calorimeters.

2.5.2 THE ELECTROMAGNETIC CALORIMETER (ECAL)

- $S = 0.1 \text{ GeV}^{-1/2}$, $C = 0.002; 450 \text{ ns drift time}$
- 2-4 interaction lengths, $20 - 40 X_0$, LAr/Pb active/absorber
- accordion geometry, absorber thickness $1.53 (1.13) \text{ mm}$ $|\eta| < (>) 0.8$ (constant f_{samp})
- Pre-sampler: $|\eta| < 1.8$ 11 mm of LAr (in barrel)
- Barrel: $|\eta| < 1.475$, 3 layers: 1150, 1250, 2050 mm

- End Cap: $1.375 < |\eta| < 2.5$ (3.2) inner (outer) wheel, 3 (2) layers: out to 3100 mm

2.5.3 THE HADRONIC CALORIMETER (HCAL)

- $S = 0.5 \text{ GeV}^{-1/2}$, $C = 0.05$ (0.03 after calibration)
- ~ 10 interaction lengths (up to 20), 2.28–4.25 m
- $0.1 \times 0.1 (0.2 \times 0.2) \eta, \phi$ granularity with(out) tracking
- Barrel: polystyrene/steel, staggered matrix, $|\eta| < 1.7$ (UV scint.), 1800 V, 400 ns DT
- End Cap: $1.5 < |\eta| < 3.2$ LAr/Cu; two wheels each side 372(475) – 2030 mm r , $4300 < z < 6100$ mm

2.5.4 THE FORWARD CALORIMETER (FCAL)

- $S \approx 1 \text{ GeV}^{-1/2}$
- $3.1 < |\eta| < 4.9$; LAr/Cu-W, matrix of absorber, rods inside tubes (LAr lives in the gap between the rod and tube)

2.6 THE MUON SPECTROMETER

- Three layers: 5, 7.5, 10 m (barrel); 7, (11, small layer EC toroid), 13, 21 m (end cap)
- Air toroid 4.5 K LHe, 4 T max (0.5–1.0 T), coils. 20.5 kA
- momentum resolution, charge reconstruction: 5 GeV up to 3 TeV (10% resolution at 1 TeV (3% at 100 GeV))

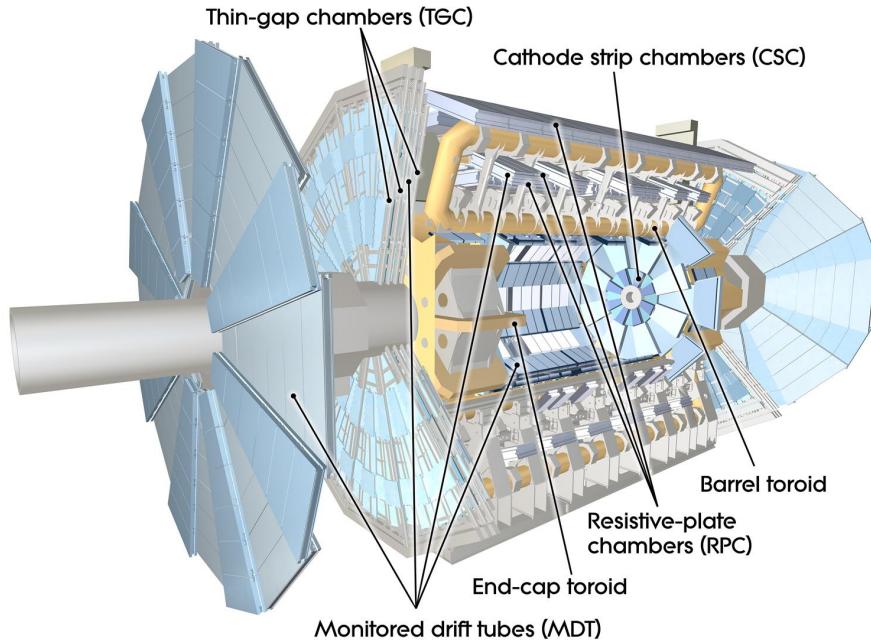


Figure 2.7: The ATLAS muon spectrometer.

2.6.1 PRECISION DETECTORS

- MDT: 3 cm diameter Monitored Drift Tubes, Ar/CO₂ gas, W-Re wire at 3 kV, $|\eta| < 2.0$, 700 ns DT
- CSC: Cathode Strip Chamber, $2.0 < |\eta| < 2.7$, multiwire proportional chamber, more radiation hard, DT $\lesssim 40$ ns

2.6.2 TRIGGER DETECTORS

- $|\eta| < 2.4$, must be fast, approx. p_T and pos. of μ track
- RPC: Resistive Plate Chamber, parallel plate detector, 2 mm, 9.8 kV, 5 ns; 3 layers, barrel
- TGC: Thin Gap Chamber, multiwire proportional chamber, DT+prop. < 25 ns; 4 layers, EC

If it's stupid but it works, it isn't stupid.

Conventional Wisdom

3

Data and Simulated Samples

MUCH HAS BEEN SAID

The data and Monte Carlo simulation (MC) samples are the same as in the fiducial analysis. The data corresponds to 36.1 fb^{-1} of $p\bar{p}$ collision data collected in 2015+16 at the ATLAS detector at $\sqrt{s} = 13 \text{ TeV}$. Only events recorded with all systems in ATLAS in good working order and passing certain

quality requirements, according to a Good Run List (GRL), are analyzed.

Details about MC samples may be found in⁸⁴. The $ZH \rightarrow \ell\ell b\bar{b}$ process is considered for both multivariate analysis (MVA) optimization and the final statistical analysis, while $WH \rightarrow \ell\nu b\bar{b}$ and $ZH \rightarrow \nu b\bar{b}$ production are included in the final statistical analysis only. Signal MC samples were generated separately for qq and gg initiated VH processes. $qqVH$ samples were generated with POWHEG MiNLO + PYTHIA8 with the AZNLO tune set and NNPDF3.0 PDF. Nominal $ggZH$ samples were generated using POWHEG for the matrix element (ME) and PYTHIA8 for the parton shower (PS), underlying event (UE), and multiple parton interactions (MPI), again applying the AZNLO tune and NNPDF3.0 PDF set.⁸⁰

The background processes considered in these studies are $Z+jets$, $t\bar{t}$, and diboson production for both MVA optimization and the final statistical analysis and single top production and $W+jets$ only considered in the final statistical analysis. $V+jets$ samples are generated using SHERPA 2.2.1⁶⁸ for both the ME and PS. These samples are generated in different groups, according to the identity of the V , the max (H_T, p_T^V) of events, and also further subdivided according to the flavor of the two leading jets in an event, b , c , or l , for a total of six categories.. $t\bar{t}$ samples are generated using POWHEG with the NNPDF3.0 PDF set interfaced with PYTHIA8 using the NNPDF2.3 PDF's and the A14 tune⁷¹. Single top samples use POWHEG with the CT10 PDF's interfaced with PYTHIA6 using the CTEQ6L1 PDF's^{53,77}. Diboson samples are generated with SHERPA 2.2.1 interfaced with the NNPDF3.0 NNLO PDF set normalized to NLO cross sections⁶⁴.

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4

Signal and Bacgkround Modeling

THIS CHAPTER summarizes the modeling of the dominant signal and background processes in this analysis, including corrections and systematic uncertainties (set in **this** font) related to each process. Further details on the specifics of these topics, including in-depth studies for the derivation and definitions of some of the quantities cited, may be in⁸⁴. We start with a general discussion of modeling

and associated major categories of uncertainties before addressing each of the physics processes in turn.

4.1 EVENT GENERATION IN A NUTSHELL

Before diving into the minutiae of the modeling and systematic uncertainties associated with each major set of physics processes considered in this analysis, we review at a schematic level*, the problem of simulation event generation, namely, once a physics processes of interest has been determined, how does one simulate an ensemble of particle collisions with the process in question. This is illustrated in Figure 4.1. Note that the scope of this problem does not include how these generated collision products propagate through one's detector. This problem is left for Chapter ??.

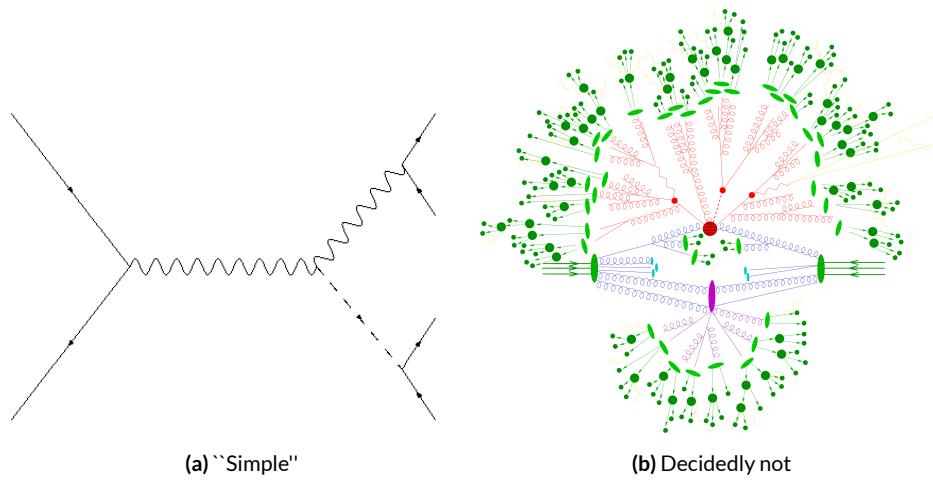


Figure 4.1: The problem here is how to get from (a) to (b).

The primary source of complication in event generation comes from dealing with hadronic ob-

*i.e. this will not be a technically rigorous discussion. For a more thorough treatment, the reader is directed to the usual references.

jects both in the initial state (the lefthand side of Figure 4.1 (a); the LHC is a hadron collider) and the final state (this analysis searches for Higgs decays to b -jets, the lower righthand side of Figure 4.1). Common to all hadronic objects, by definition, are the many considerations that go into calculations in quantum chromodynamics (QCD). In calculating the hard scatter process itself, one must make a variety of choices, such as the parton distribution function (PDF) set to use and to what order in perturbation theory to do the calculation (common choices are leading order (LO), (next to) next to leading order ((N)NLO), and (next to) next to leading log (NNLL)). Similar considerations often need to be made for the electroweak parts of an event. These considerations and others will be discussed in more detail below.

The initial state includes not only the hard scatter partons that generate the physics process of interest but also the rest of partons in the colliding protons, known as the underlying event (UE). Moreover, the hard scatter partons may not be the only interacting partons in an event, further complicating matters; this phenomenon is known as multiple parton interactions (MPI). Specific to the final state are the kinematic distributions of the final state objects—what their energies and angular distributions will be in addition to the overall cross section of the process as measurable by the detector (acceptance effects). Furthermore, one has to model hadronization, the process by which any free (colored) partons in an event transform into colorless hadrons.

Typically, it takes several steps and tools to accomplish this. The hard scatter itself is often done with a dedicated event generator like PowHEG⁸⁵ or MADGRAPH^{Alwall et al.}, with events generated being interfaced with a tool like PYTHIA⁸⁷ for the PS, UE, and MPI, though there are exceptions (SHERPA⁷³, for example, can do both for some processes).

4.2 DESCRIPTION OF MODELING UNCERTAINTY CATEGORIES

The general idea behind a systematic uncertainty[†] is to characterize the scale of variation for a given process in such a way that this knowledge can be easily combined with all other sources of uncertainty in an analysis to give total uncertainties for categories of systematics, different channels of analysis, and the analysis as a whole (as well as the single systematic itself).

The modeling systematics in this analysis are parametrized in a number of ways but are often characterized as Gaussians centered at zero, and so the relevant quantity to derive is the standard deviation for this distribution. In practice, one does this by, in addition to making distributions of discriminants (usually an MVA or m_{bb}) for the nominal case, distributions corresponding to a variation in a given systematic uncertainty by both one standard deviation up and one standard deviation down. Modeling systematics are exclusively weight systematics; that is, they only effect the weight with which an event is added to discriminating distributions.

Modeling systematics are derived separately for each physics process (simulation sample). Sometimes, all of the variation for a given process is encapsulated in a single systematic, but oftentimes the variations from multiple considerations are distinct enough to be treated separately. Furthermore, each of these separate systematics for a given sample may be treated in a number of ways. We will begin by outlining in more detail the former issue of theoretical considerations before describing the latter problem of different types of systematics.

[†]The terms “systematic uncertainty,” “systematic,” “uncertainty,” and “nuisance parameter” (often simply NP in both writing and speech) are often used interchangeably, though each has its own pedantically distinct definition.

The motivation of this section is to give prototypical examples for certain treatments of systematics to prevent verbosity and redundancy when describing each sample in detail.

4.2.1 PHYSICS CONSIDERATIONS

As described in the preceding section, many choices must be made when generating events for analysis studies. In general, evaluating the uncertainties arising from these choices entails generating alternate samples of events, which practically means tuning parameters in the various software packages and/or using alternate packages/libraries to make new samples. Once these samples have been created, they are compared at truth-level (particle level) using a package called Rivet⁶² instead of using the full ATLAS detector reconstruction for computational considerations. Given the nature of the problem and the tools, there are generally three main categories of physics issues, each described below.

UNDERLYING EVENT AND PARTON SHOWER

The modeling of the underlying event (UE) and the parton shower (PS) are usually handled by the same package, typically PYTHIA8 and so are usually treated together. One approach to modeling these uncertainties is to simply see what happens when a different model is used and then compare this alternate set of events to the nominal set, taking the difference as the scale of variation. Another approach is to vary some parameter within a given model, for example, using different tunes in the A14 set for PYTHIA8 with their accompanying variations, to characterize the scale of variation.

A natural question is how to treat these two approaches on the same footing. When examining

a set of potential variations related to the same process or effect, oftentimes the largest single variation in a set is picked as defining the scale for the systematic uncertainty; another approach is to use the average over a set of variations. The `ATLAS_UEPS_VH_hbb` systematic, for example, uses the `Pythia8 + A14` tunes approach to determine the scale of UE variation and compares `Pythia8` with `Herwig7` to characterize the PS variation. Each of the `A14` tunes comes with an up and down variation, and the difference between each of these variations and a nominal setup may be expressed as a ratio, R , of total events.

As is often done when a physical argument can be made for combining related, but ultimately orthogonal categories/measurements/uncertainties/systematics, the combined UE+PS systematic is taken to be the sum in quadrature of these two effects:

$$\sum_{tunes} \max \left(|R_{up} - R_{down}| \right) \oplus \sigma_{PS} \quad (4.1)$$

QCD SCALE

The term “QCD scale” in the context of modeling uncertainties refers to the choice of renormalization (μ_R) and factorization (μ_F) scales used in QCD calculations. These are typically treated together. Usually, some multiplicative scale factor, f , is chosen, and each scale is varied in concert with the other scale by $1/f$ and $1/f^2$ (nine total combinations), sometimes with a cap on how large the combined variation can be (so ignoring the (f,f) and $(1/f, 1/f)$ cases). Just as in the UE+PS, the largest variation is usually taken as the systematic uncertainty.

PARTON DISTRIBUTION FUNCTIONS AND α_s

Finally, separate uncertainties are often made for the choice of parton distribution function (PDF) set and associated choice of strong coupling for QCD (α_s). Much as in the previous two cases, one can vary the parameter α_s and study what samples of simulation events made using different PDF sets relative some nominal setup look like. Similarly, one can take the maximum, average, or sum in quadrature of different variations to characterize a systematic uncertainty.

4.2.2 MODELING SYSTEMATIC TYPES

ACCEPTANCE/NORMALIZATION

The most basic type of modeling uncertainty is a normalization uncertainty, often called an acceptance uncertainty. This simply denotes the uncertainty on the number of predicted events for a given process in a given region of phase space (usually delineated by the number of leptons in the final state sometimes by the number of and jets the p_T^V of an event) and is usually expressed as a percent.

As an example, the uncertainty on the theoretical prediction of the $H \rightarrow b\bar{b}$ branching ratio, denoted ATLAS_BR_bb (it is an ATLAS-wide systematic), is expressed as a normalization systematic with a value of 1.7%, affecting all VH processes. Now imagine we have an event in a VH sample with weight 1.0. The nominal histograms for this region gets filled with this event's relevant information with weight 1.0, while the ATLAS_BR_bb__1up (_1do) histograms get filled with weight 1.017 (0.983).

SHAPE SYSTEMATICS

In addition to normalization systematics expressed as single numbers attached to different processes in different regions, there are also the so-called “shape systematics” and “shape corrections.” These have the schematic form

$$w_{event} = \mathcal{A}_{region} \times f_{region}(event)$$

where w_{event} is the simulated event’s weight, \mathcal{A}_{region} is the overall normalization (in principle including any systematics), and $f_{region}(event)$ is some function of event-level variables, usually a single variable, like p_T^V or m_{bb} . The purpose of these systematics is to take into account (in the case of a systematic) or correct (in the case of a correction applied to the event weight) the non-trivial dependence of a normalization on one of these quantities. Some of these are taken from histograms while others are parametric functions (in this analysis, usually linear ones).

An example of the former case is the quantity δ_{EW} , the difference between the nominal $qqVH$ cross section and the differential cross section as a function of p_T^V at next to leading order (NLO). As a correction, this term is simply used as a correction factor $k_{EW}^{NLO} = (1 + \delta_{EW})$.

An example of the latter case is the systematic associated with the m_{bb} dependence of the $t\bar{t}$ normalization for 2 jet, $p_T^V \in [75, 150]$ GeV, 2 lepton events. In this case, a variety of effects are studied (ME, PS, UE), but the treatment of the ME calculation was seen to have the largest effect on normalization, so a linear fit to reasonably envelope the largest variation was done, and this was taken as a systematic variation.[‡]

[‡]If this all seems a little ad-hoc, that’s because it is, but, at least in this instance, the idea was that a single

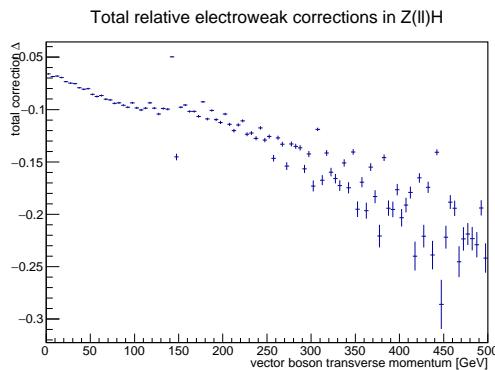


Figure 4.2: The δ_{EW} correction term for 2-lepton $q\bar{q}ZH$.

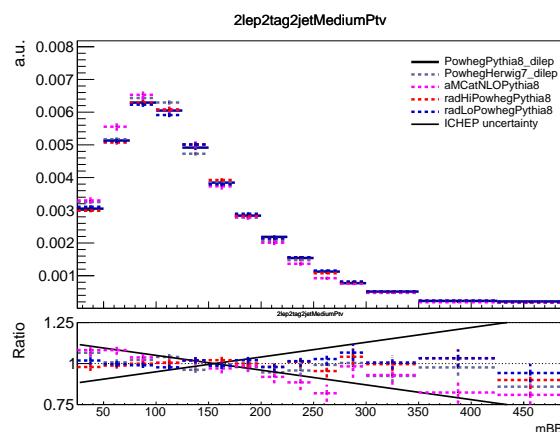


Figure 4.3: The derivation of the 2-lepton $t\bar{t} m_{bb}$ shape systematic.

DIVIDING MODELING UNCERTAINTIES: ACCEPTANCE RATIOS

In addition to uncertainties on absolute normalizations (both inclusive and region specific), modeling uncertainties are sometimes introduced for the ratio of normalizations in different regions. The two main categories are ratios between different flavor regions and between regions with different numbers of jets (henceforth n_{jet} regions). The former category is only relevant for $V+jets$ systematics and will be treated in that process's dedicated section below. In order to discuss the n_{jet} ratio systematics, we must first describe how exclusive n_{jet} cross section calculations are done.[§]

THEORETICAL ASIDE: STEWART-TACKMANN A way to calculate uncertainties on processes in regions with different numbers of jets was developed by Stewart and Tackmann and is implicitly used for most n_{jet} ratio systematics⁸⁹. The problem is how to calculate the cross section and associated uncertainty for a process with exclusively N jets in the final state. Generically:

$$\sigma_{\geq N} = \sigma_N + \sigma_{\geq N+1} \quad (4.3)$$

The physical interpretation of one parton to one jet is an idealized case. In order to demarcate between jets, one has some quantity that is used as a cutoff in an integral that defines the border between jet regions.

$$\sigma_{\geq N} = \int_0^{p_{cut}} \frac{d\sigma_N}{dp} + \int_{p_{cut}} \frac{d\sigma_{\geq N+1}}{dp} \quad (4.4)$$

systematic covered the largest effect, so others were unnecessary.

[§]We don't really need to do this, but everyone seems to mention Stewart-Tackmann, and no one ever explains it. The upshot is fairly simple, but the reasoning isn't necessarily so obvious.

Since these cutoffs (not necessarily constant, etc.) can make calculations more complicated, inclusive cross sections tend to be easier to calculate. Hence, it is usually much easier to evaluate the two inclusive cross sections and find the uncertainties on these by varying α_s in the usual way. One then assumes the inclusive uncertainties are uncorrelated, for a covariance matrix for $\{\sigma_{\geq N}, \sigma_N, \sigma_{\geq N+1}\}$ of:

$$\Sigma = \begin{pmatrix} \Delta_{\geq N}^2 & \Delta_{\geq N}^2 & 0 \\ \Delta_{\geq N}^2 & \Delta_{\geq N}^2 + \Delta_{\geq N+1}^2 & -\Delta_{\geq N+1}^2 \\ 0 & -\Delta_{\geq N+1}^2 & \Delta_{\geq N+1}^2 \end{pmatrix} \quad (4.5)$$

The main idea is that you have Sudakov double logs of p/Q , where $Q = m_H$ or whatever scale your hard process occurs at, and p_{cut} is usually something like a p_T cutoff. Now, the $N + 1$ term in that matrix is actually some uncertainty associated with your cutoff, but your double logs will dominate your higher order terms with Stewart and Tackmann giving the following reasoning:

“In the limit $\alpha_s L^2 \approx 1$, the fixed-order perturbative expansion breaks down and the logarithmic terms must be resummed to all orders in α_s to obtain a meaningful result. For typical experimental values of p_{cut} fixed-order perturbation theory can still be considered, but the logarithms cause large corrections at each order and dominate the series. This means varying the scale in α_s in Eq. (9) directly tracks the size of the large logarithms and therefore allows one to get some estimate of the size of missing higher-order terms caused by p_{cut} , that correspond to Δ_{cut} . Therefore, we can approximate $\Delta_{cut} = \Delta_{\geq 1}$, where $\Delta_{\geq 1}$ is obtained from the scale variation for $\sigma_{\geq 1}$.”

The above considerations are important for this analysis since phase space is separated into ≥ 2 and ≥ 3 jet regions, and the uncertainties for these regions are anti-correlated.

Of particular importance to ratio systematics is the so-called “double ratio” that is often take as the scale of variation (plus one). The ATLAS_UEPS_VH_hbb systematic mentioned above, for example, has associated with it, ATLAS_UEPS_VH_hbb_32JR. This systematic is evaluated by dividing the 3 jet to 2 jet ratio in the nominal setup by the same ratio in an alternate setup. Such a ratio generically looks like:

$$\frac{\text{Acceptance}[\text{Category}_A(\text{nominalMC})]}{\text{Acceptance}[\text{Category}_B(\text{nominalMC})]} \Big/ \frac{\text{Acceptance}[\text{Category}_A(\text{alternativeMC})]}{\text{Acceptance}[\text{Category}_B(\text{alternativeMC})]} \quad (4.6)$$

4.3 PROCESS SPECIFIC SYSTEMATIC SUMMARIES

Brief descriptions of modeling systematics, including recapitulations of nominal sample generation, are given in the following sections. The general approach here is to copy the relevant summary tables and describe any major deviations from the general procedures described in the previous section.

The dominant backgrounds for the 2-lepton channel are $Z+hf$ and $t\bar{t}$, accounting for well over 90% of all background events. Diboson samples are the next-leading background and are an important validation sample; others are included for completeness. A summary of all the modeling systematics in this analysis are given in Table 4.1.

4.3.1 SIGNAL PROCESSES

Nominal signal $qqVH$ samples are generated using PowHEG with the MiNLO (multiscale improved NLO)⁸² procedure applied interfaced with PYTHIA8 using the AZNLO tune⁸³ and NNPDF3.0

Process	Systematics
Signal	$H \rightarrow bb$ decay, QCD scale, PDF+ α_s scale, UE+PS (acc., p_T^V , m_{bb} , 3/2 jet ratio)
Z+jets	Acc, flavor composition, $p_T^V+m_{bb}$ shape
$t\bar{t}$	Acc, $p_T^V+m_{bb}$ shape
Diboson	Overall acc., UE+PS (acc, p_T^V , m_{bb} , 3/2 jet ratio), QCD scale (acc (2, 3 jet, jet veto), p_T^V , m_{bb})
Single top	Acc., $p_T^V+m_{bb}$ shape

Table 4.1: Summary of modeling systematic uncertainties, with background samples listed in order of importance.

PDF set⁶⁰. For the 2-lepton case, gluon fusion initiated Higgs production is also considered (accounting for $\sim 14\%$ of the total cross section in this channel), with samples generated with Powheg interfaced with Pythia8 using the AZNLO tune; the NNPDF2.3 set⁵⁹ is used for both the ME and UE+PS.

Alternate samples $qqVH$ samples are generated using MadGraph5_aMC@NLO⁵⁵ for the ME and Pythia8 for the UE+PS, hadronization and MPI. The NNPDF2.3_5f FFN PDF sets and the Al4 tune⁴⁶; the latter has variations included. Powheg+Minlo+Herwig7 were samples were also used for systematics.

The signal systematics categories are $H \rightarrow bb$ decay cross section, QCD scale, PDF+ α_s scale, and UE+PS. Additionally, there is the NNLOEWK correction described above. The correction scale factor is derived using the HAWK MC software. To encapsulate NNLOEW effects the maximum of 1%, the square of the correction factor, and the photon induced cross section is used as a systematic.

Table 4.2, reproduced from⁸⁴, summarizes the signal cross section systematics, which are applied uniformly across the analysis channels (as applicable).

The remaining signal systematics are analysis channel specific and are summarized in 4.3. The methodologies match those described in 4.2. The UE+PS systematics were derived using the alter-

Sys Name	source	Norm. effect	applied to
ATLAS_BR_bb	$H \rightarrow bb$ decay uncertainties (HO effects, m_b , α_s)	1.7%	all VH processes
ATLAS_QCDscale_VH	QCD scale uncertainty	0.7%	$qq \rightarrow VH$ processes
ATLAS_QCDscale_ggZH	QCD scale uncertainty	27%	$gg \rightarrow ZH$
ATLAS_pdf_Higgs_VH	PDF+ α_s uncertainty	1.9% 1.6%	$qq \rightarrow WH$ $qq \rightarrow ZH$
ATLAS_pdf_Higgs_ggZH	PDF+ α_s uncertainty	5.0%	$gg \rightarrow ZH$

Table 4.2: Summary of all systematic uncertainties on the VH cross section including their value, source and the corresponding nuisance parameter name.

nate samples mentioned above; QCD scale uncertainties were derived by varying scales by 1/3 and 3; and PDF uncertainties were derived by comparing the nominal set with the PDF4LHC15_30 PDF set⁶¹.

NP name	oL:		1L:		2L:	
	2j	3j	2j	3j	2j	$\geq 3j$
ATLAS_UEPS_VH_hbb	10.0%	10.0%	12.1%	12.1%	13.9%	13.9%
ATLAS_UEPS_VH_hbb_32JR	-	13.0%	-	12.9%	-	13.4%
ATLAS_UEPS_VH_hbb_VPT	shape only				shape+norm	
ATLAS_UEPS_VH_hbb_MBB	shape only					
QCDscale_VH_ANA_hbb_J2	6.9%	-	8.8%	-	3.3%	-
QCDscale_VH_ANA_hbb_J3	-7%	+5%	-8.6%	+6.8%	-3.2%	+3.9%
QCDscale_VH_ANA_hbb_JVeto	-	-2.5%	-	3.8%	-	-
QCDscale_VH_ANA_hbb_VPT	shape only				shape+norm	
QCDscale_VH_ANA_hbb_MBB	shape only					
pdf_HIGGS_VH_ANA_hbb	1.1%	1.1%	1.3%	1.3%	0.5%	0.5%
pdf_VH_ANA_hbb_VPT	shape only				shape+norm	
pdf_VH_ANA_hbb_MBB	shape only					

Table 4.3: Summary of all systematic uncertainties on the VH acceptance and shapes originating from altering the PDF and α_s uncertainties, including their corresponding nuisance parameter name.

4.3.2 $V+JETS$

Nominal $V+jets$ samples are generated using **SHERPA 2.2.1@NLO**^{¶74} for both the ME and PS, interfaced with the NNPDF’s and using a five quark flavor scheme, and alternative samples are derived using **MADGRAPH5** interfaced with **PYTHIA8**. In order to increase statistics in important regions of phase space, these samples were separated into kinematic slices based on p_T^V and into bins of jet flavor. The kinematic slices were in the quantity $\max(H_T, P_T^V)$ and had the intervals [070, 70140, 140280, 280500, 5001000, > 1000] GeV. The jet flavor slices were made using flavor vetoes and filters:

- BFilter: at least 1 b-hadron with $|\eta| < 4, p_T > 0$ GeV
- CFilterBVeto: at least 1 c-hadron with $|\eta| < 3, p_T > 4$ GeV; veto events which pass the BFilter
- CVetoBVeto: veto events which pass the BFilter or the CFilterBVeto

These in turn are related to the main flavor regions used in the analysis, based on the flavor of the two leading jets in an event (based on p_T). These five flavors (with up, down, and strange collectively known as “light”) yield six different flavor combinations: bb, bc, bl (these first three collectively known as “heavy flavor” or $V+hf$), cc, cl, ll (or just “light” or l). Ratio systematics are often made with respect to the acceptance in the bb region.

$V+jet$ systematics are derived in several steps. The first is to use double ratios of acceptances between analysis regions and nominal versus alternative MC’s (so $(\text{Region1-nominal}/\text{Region2-nominal})/(\text{Region1-alternate}/\text{Region2-alternate})$). The main region comparisons are 2 jet versus 3

[¶]SHERPA 2.1 is used for some variations not available in SHERPA 2.2.1.

jet ($3+$ jet for 2 -lepton) and then 0 -lepton versus 2 -lepton (1 -lepton) for $Z+hf$ ($W+hf$ [¶]). The final uncertainty contains the sum in quadrature of four effects:

1. Variation of 0.5 and 2 of QCD scales in the **SHERPA** sample
2. Sum in quadrature of half the variation from different resummation and CKKW merging scales **
3. Maximal variation between nominal setup and **SHERPA 2.2.1** with the MMHT_{2014nnlo68cl} and CT_{14nnlo} PDF sets
4. Difference between the **SHERPA** and **MADGRAPH5** sets

Summaries of the Z +jets uncertainties are provided here; the reader is referred to⁸⁴ for the W +jets systematics, as these events are virtually non-existent in the 2 -lepton case with which this thesis is almost exclusively concerned. In Table 4.4, from⁸⁴ are the normalization systematics.

Process	Name	prior in region					
		2jet			(\geq) ₃ jets		
		zL : low Vpt	zL : high Vpt	oL	zL : low Vpt	zL : high Vpt	oL
$Z+1$ $Z+cl$ $Z+hf$	SysZclNorm				18%		
	SysZlNorm				23%		
	norm_Zbb				Floating Normalisation		
$Z+hf$	SysZbbNorm_L2_J3	–	–	–	30%	30%	–
	SysZbbNorm_J3	–	–	–	–	–	17%
	SysZbbNorm_0L	–	–	7%	–	–	7%
	SysZbbPTV	effect on each region obtained from shape rw					

Table 4.4: Effect of modelling systematics on Z +jets normalisation in the 2 lepton regions. For systematic uncertainties implemented with a prior the effect of 1σ variation is reported. The uncertainties labelled as Zbb act on the entire $Z+hf$ background.

The flavor composition ratio systematics are in Table 4.5, also from⁸⁴.

[¶]The $W+hf$ CR versus the SR is also considered for $W+hf$

cf.⁷⁹, Section 2 for a summary of the CKKW method for different parton multiplicities used in **SHERPA

Category	Nuisance Parameter Name	Prior	Applied to
Z+bc/Z+bb	SysZbcZbbRatio	40%	Z+bc events (0-Lepton)
		40%	Z+bc events (2-Lepton 2jet)
		30%	Z+bc events (2-Lepton ≥ 3 jet)
Z+bl/Z+bb	SysZblZbbRatio	25%	Z+bl events (0-Lepton)
		28%	Z+bl events (2-Lepton 2jet)
		20%	Z+bl events (2-Lepton ≥ 3 jet)
Z+cc/Z+bb	SysZccZbbRatio	15%	Z+cc events (0-Lepton)
		16%	Z+cc events (2-Lepton 2jet)
		13%	Z+cc events (2-Lepton ≥ 3 jet)

Table 4.5: The priors on the relative acceptance variations for $Z+hf$. The first column details the flavour components across which the acceptance variation is being considered, the second column lists the names of the corresponding nuisance parameter in the Profile Likelihood Fit, the third contains the value of the prior and the fourth column the processes and categories to which this nuisance parameter is applied.

Finally, the p_T^V and m_{bb} shape systematics are derived using control regions in data^{††}. The functional form for the p_T^V systematic is $\pm 0.2 \log 10(p_T^V/50\text{GeV})$, and that of the m_{bb} systematic is $\pm 0.0005 \times (m_{jj} - 100\text{ GeV})$.

4.3.3 TOP-PAIR PRODUCTION

Nominal $t\bar{t}$ samples are produced with PowHEG at NLO for the ME calculation using the NNPDF3.0 PDF set interfaced with Pythia8.210 using the A14 tune and the NNPDF2.3 PDF set at LO. The parameters `hdamp` (nominal value $1.5 m_{top}$, a resummation damping factor for ME/PS matching that can heuristically thought of as tuning high p_T radiation) in PowHEG and `pThard` (nominal value 0) and `pTdef` (nominal value 2) in Pythia (both control merging with PowHEG) are varied to evaluate certain systematics. Alternative $t\bar{t}$ samples use PowHEG+Herwig7, MadGraph55_aMC@NLO+Pythia8.2,

^{††}These use the same selections as the signal regions except for b -tags (0, 1, and 2 tags are studied), with the added requirement in 2tag regions that m_{bb} not be in the range of 110–140GeV.

and the nominal setup with varied tunes and parameter values. Uncertainties are taken to cover the largest difference between the nominal and any of these alternate configurations.

The overall $t\bar{t}$ normalization is a floating normalization[#], and further systematics attached to the ratio of acceptances between regions (3-to-2 jet, SR-to-WhfCR, and 1-to-0 lepton) are defined using double ratios; these are summarized in Tables 4.6 and 4.7, taken from⁸⁴

Systematic	0-lepton		1-lepton			
	2j	3j	WCR 2j	SR 2j	WCR 3j	SR 3j
norm_ttbar	floating normalisation					
SysttbarNorm_L0	8%	8%	–	–	–	–
SysttbarNorm_J2	9%	–	9%	9%	–	–
SysttbarNorm_DWhfCR_L1	–	–	25%	–	25%	–

Table 4.6: Effect of modelling systematics on normalisation in the 0 and 1-lepton analysis region.

	2jet		≥ 3 jets	
	low Vpt [SR/CR]	high Vpt [SR/CR]	low Vpt [SR/CR]	high Vpt [SR/CR]
norm_ttbar_J2_L2	floating normalisation		–	
norm_ttbar_J3_L2	–		floating normalisation	
SysTTbarPTV_L2_L2	effect on each region obtained from shape rw			

Table 4.7: Effect of modelling systematics on normalisation in the 2lepton regions. The SysTTbarPTV_L2_L2 systematic is implemented as a shape systematic over the full $VpT > 75$ GeV range, and as a result has different acceptance effects in the low and high VpT regions.

Shape systematics for p_T^V and m_{bb} are linear and taken to cover the largest difference reasonably well, as described above in 4.2.2. These are summarized in Table 4.8, again taken from⁸⁴.

[#]The use of a top $e - \mu$ control region helps constrain this.

Analysis region	Uncertainty	Value	Source	Nuisance Parameter
0,1 lepton	p_T^V shape	shape	fit through largest deviation (aMC@NLO+PYTHIA8)	TTbarPTV
2 lepton	p_T^V shape	norm + shape	fit through largest deviation (aMC@NLO+PYTHIA8)	TTbarPTV_L2
0,1 lepton	$m_{b\bar{b}}$ shape	shape only	fit through largest deviation (aMC@NLO+PYTHIA8)	TTbarMBB
2 lepton	$m_{b\bar{b}}$ shape	shape only	fit through largest deviation (aMC@NLO+PYTHIA8)	TTbarMBB_L2

Table 4.8: Summary of all shape uncertainties for the $t\bar{t}$ process with short descriptions and the name of the corresponding nuisance parameters.

4.3.4 DIBOSON PRODUCTION

Three diboson production processes (collectively denoted VV) are important for these analyses: ZZ , WZ , and WW . Nominal samples are created using **SHERPA 2.2.1** using the NNPDF3.0 PDF set. Alternative samples use Powheg+Pythia8 and Powheg+Herwig++. The methodology here is similar to that of the $t\bar{t}$ systematics, with both overall acceptance and lepton channel specific uncertainties, with the exception that UE+PS and QCD scale are treated separately (PDF+ α_S was found to be negligible). p_T^V shape systematics are described using linear fits, while $m_{b\bar{b}}$ shape systematics are described using hyperbolic tangents (third degree polynomials) in the 2 jet (3 jet) regions. Once again, summary tables from⁸⁴ are reproduced here.

Sys Name	source	Norm. effect	applied to
SysWWNorm	overall cross section uncertainty	25%	WW in all regions
SysWZNorm	overall cross section uncertainty	26%	WZ in all regions
SysZZNorm	overall cross section uncertainty	20%	ZZ in all regions

Table 4.9: Summary of all systematic uncertainties on the diboson cross section including their value, source and the corresponding nuisance parameter name.

NP name	oL:		iL:		zL:	
	2j	3j	2j	3j	2j	$\geq 3j$
SysVZ_UEPS_Acc	5.6%	5.6%	3.9%	3.9%	5.8%	5.8%
SysVZ_UEPS_32JR	–	7.3%	–	10.8%	–	3.1%
SysVZ_UEPS_VPT	shape+norm		shape only		shape+norm	
SysVZ_UEPS_MBB	shape only					
SysVZ_QCDscale_J2	10.3%	–	12.7%	–	11.9%	–
SysVZ_QCDscale_J3	-15.2%	+17.4%	-17.7%	+21.2%	-16.4%	+10.1%
SysVZ_QCDscale_JVeto	–	+18.2%	–	+19.0%	–	–
SysVZ_QCDscale_VPT	shape+norm		shape only		shape+norm	
SysVZ_QCDscale_MBB	shape only					

Table 4.10: Summary of the systematic uncertainties on the VH acceptance in each analysis region and on the p_T^V and $m_{b\bar{b}}$ shapes originating from altering the QCD scale, including their nuisance parameter name.

4.3.5 SINGLE TOP PRODUCTION

Single top sample are generated separately for the different production channels (s , t , and Wt) using PowHEG with the CT10 NLO PDF's interfaced with PYTHIA6 using the PERUGIA2012 PS tune and the corresponding CTEQ6L LO PDF's and PHOTOS (TAUOLA) for QED final state (τ) decays. Just as with $t\bar{t}$ samples, PowHEG and PYTHIA settings are varied for certain systematics. Alternative samples use PowHEG+PYTHIA6 with Wt diagram subtraction (DS) (instead of “diagram removal” for the ME calculation) and MADGRAPH5_aMC@NLO+HERWIG++. Systematics are derived separately in each channel, and are well described in the sumamry Table 4.11 taken from⁸⁴.

No references were given in the note, and this background really isn't that important.

Production	Uncertainty	Value	Source	Nuisance Parameter
s -channel	overall normalisation	4.6%	sum in quadrature of R , F , s and PDF uncertainties	<code>stopNorm</code>
t -channel	overall normalisation	4.4%	sum in quadrature of R , F , s and PDF uncertainties	<code>stopNorm</code>
t -channel	2 jet region acceptance	17%	sum in quadrature of deviations in alternative generators	<code>stopAcc</code> correlated with 2 jet and 3 jet case
t -channel	3 jet region acceptance	20%	sum in quadrature of deviations in alternative generators	<code>stopAcc</code> correlated with overall and 3 jet case
Wt channel	overall normalisation	6.2%	sum in quadrature of R , F , s and PDF uncertainties	<code>stopWtNorm</code>
Wt channel	2 jet region normalisation	35%	sum in quadrature of deviations in alternative generators	<code>stopWtAcc</code> correlated with 2 jet and 3 jet case
Wt channel	3 jet region normalisation	41%	sum in quadrature of deviations in alternative generators	<code>stopWtAcc</code> correlated with overall and 3 jet case
t -channel	p_T^V shape	shape	fit through largest deviation (POWHEG+HERWIG++) $\pm 0.001 \times p_T^V \mp 0.17 + i$	<code>StopPTV</code>
t -channel	$m_{b\bar{b}}$ shape	shape	fit through largest deviation (POWHEG+PYTHIA6 radHi-radLo) $\pm 0.0008 \times m_{b\bar{b}} \mp 0.12 + i$	<code>StopMBB</code>
Wt channel	p_T^V shape	shape	fit through largest deviation (POWHEG+PYTHIA6 with diagram subtraction) $\pm 0.003 \times p_T^V \mp 0.69 + i$	<code>StopWtPTV</code>
Wt channel	$m_{b\bar{b}}$ shape	shape	fit through largest deviation (POWHEG+PYTHIA6 with diagram subtraction) $\pm 0.0036 \times m_{b\bar{b}} \mp 0.52 + i$ ($m_{b\bar{b}} < 275$ GeV) $\mp 0.47 + i$ ($m_{b\bar{b}} \geq 275$ GeV)	<code>StopWtMBB</code>

Table 4.11: Summary of all uncertainties for the single top process with short descriptions and the name of the corresponding nuisance parameters, updated for the winter baseline analysis.

If it's stupid but it works, it isn't stupid.

Conventional Wisdom

5

Object Definitions and Experimental Systematic Uncertainties

IN BREAKING WITH THE STANDARD CONVENTION both object definitions and their associated experimental systematic uncertainties will be defined in this chapter: the hope is that the proximity

of these descriptions will illuminate each other. Summary tables are almost exclusively taken from⁶³ or⁸³. This analysis, like most typical analyses in ATLAS use central object definitions from collaboration combined performance (CP) groups using standard analysis tools and recommendations from these groups for the various objects and their accompanying systematic uncertainties.

5.1 TRIGGERS

Tables of the triggers used with the 2015 and 2016 datasets are given in Tables 5.1 and 5.2.

0 lep	1 lep	2 lep
HLT_xe70	HLT_xe70 HLT_e24_lhmedium_L1EM20VH OR HLT_e60_lhmedium OR HLT_e120_lhloose	HLT_mu20_iloose_L1MU15 OR HLT_mu40 HLT_e24_lhmedium_L1EM20VH OR HLT_e60_lhmedium OR HLT_e120_lhloose

Table 5.1: Summary table of triggers used in 2015 Data.

The 0-lepton channel uses a \vec{E}_T^{miss} trigger, while 2-lepton channels use single lepton triggers, with the 1-lepton analysis using both. Since the 0- and 1-lepton channels are largely beyond the scope of this thesis, the discussion here will be limited to the single lepton triggers; the interested reader is directed towards⁶³ and its cited sources for an in-depth discussion of the use of the \vec{E}_T^{miss} trigger.

The efficiency of triggers is in general different on simulated datasets than in actual data collected in ATLAS, so a scale factor to correct for this difference in efficiency must be applied to simulation events to correct for this difference. This scale factor is given by the muon CP group for muons for both the 1- and 2-lepton cases and from the electron CP group for the 1-lepton case. For the two

period	o lep	1 lep	2 lep
A	HLT_xe90_mht_L1XE50	HLT_xe90_mht_L1XE50 HLT_e26_lhtight_nod0_ivarloose OR HLT_e60_lhmedium_nod0 OR HLT_e60_medium OR HLT_e140_lhloose_nod0	HLT_mu24_ilosse(data) HLT_mu24_ilosse_L1MU15(MC) OR HLT_mu40 HLT_e26_lhtight_nod0_ivarloose OR HLT_e60_lhmedium_nod0 OR HLT_e60_medium OR HLT_e140_lhloose_nod0
B-D ₃	HLT_xe90_mht_L1XE50	HLT_xe90_mht_L1XE50 HLT_e26_lhtight_nod0_ivarloose OR HLT_e60_lhmedium_nod0 OR HLT_e60_medium OR HLT_e140_lhloose_nod0	HLT_mu24_ivarmedium OR HLT_mu50 HLT_e26_lhtight_nod0_ivarloose OR HLT_e60_lhmedium_nod0 OR HLT_e60_medium OR HLT_e140_lhloose_nod0
D ₄ -E ₃	HLT_xe110_mht_L1XE50	HLT_xe110_mht_L1XE50 HLT_e26_lhtight_nod0_ivarloose OR HLT_e60_lhmedium_nod0 OR HLT_e60_medium OR HLT_e140_lhloose_nod0	HLT_mu24_ivarmedium OR HLT_mu50 HLT_e26_lhtight_nod0_ivarloose OR HLT_e60_lhmedium_nod0 OR HLT_e60_medium OR HLT_e140_lhloose_nod0
$\geq F_1$	HLT_xe110_mht_L1XE50	HLT_xe110_mht_L1XE50 HLT_e26_lhtight_nod0_ivarloose OR HLT_e60_lhmedium_nod0 OR HLT_e60_medium OR HLT_e140_lhloose_nod0	HLT_mu26_ivarmedium OR HLT_mu50 HLT_e26_lhtight_nod0_ivarloose OR HLT_e60_lhmedium_nod0 OR HLT_e60_medium OR HLT_e140_lhloose_nod0

Table 5.2: Summary table of triggers used in 2016 Data.

electron case, this was calculated by the analysis team as (details in the⁶³):

$$\frac{1 - (1 - \varepsilon_{\text{MC}}^{e1} \times \text{SF}^{e1}) \times (1 - \varepsilon_{\text{MC}}^{e2} \times \text{SF}^{e2})}{1 - (1 - \varepsilon_{\text{MC}}^{e1}) \times (1 - \varepsilon_{\text{MC}}^{e2})} \quad (5.1)$$

There are also systematic uncertainties associated with these trigger efficiencies. The single electron trigger efficiency systematic uncertainty is encapsulated in a single systematic, `EL_EFF_Trigger_Total_1NPCOR_PLU` while the single muon trigger efficiency has two components, one each for the sample statistics, `MUON_EFF_TrigStatUncertainty`, and systematic uncertainties `MUON_EFF_TrigSystUncertainty` associated with that efficiency's measurement.

While the momentum associated with the lowest un-prescaled single lepton triggers changes depending on data-taking conditions (the numbers associated with the triggers in the tables can be thought of as nominal p_T values for trigger level objects), the lowest typical value is ~ 25 GeV. In order to maintain this triggering capability on low p_T muons in the higher luminosity environment of the Run 3 LHC and beyond, trigger-capable detectors will be installed in upgraded New Small Wheels (NSW) of the ATLAS muon detector during the Phase I upgrade. Detailed studies in simulation of the trigger algorithm performance under nominal and misaligned conditions for the Micromegas detectors to be installed in the NSW may be found in Appendix A.

5.2 ELECTRONS

Electrons in ATLAS are reconstructed using a combination of the ATLAS electromagnetic calorimeter (ECAL) and inner detector (ID). Reconstruction begins by searching for so-called “seed clusters”

in the ECAL. The ECAL is divided into a 200×256 tower grid in the $\eta - \phi$ plane, with each tower having a size of 0.025 square in η and ϕ , corresponding to the granularity of the ECAL in its middle layer, with all energy in a tower summed longitudinally. A “sliding window” of 3×5 cells in the plane is then used to identify EM clusters associated with electrons based on criteria detailed in⁷². This comparatively simple algorithm (in contrast to jet reconstruction detailed below) is effective since electromagnetic showers have a well defined behavior and shape.

Once seed clusters have been formed, they are associated with tracks in the inner detector. Combined cluster-tracks pairs form electron candidates. In order for a electron candidate to be considered a suitable electron for analysis, it must pass certain quality requirements, based on a cut on the value of a likelihood-based (LH) discriminant (cf.⁴⁷ for details). This discriminant is given by:

$$d_{\mathcal{L}} = \frac{\mathcal{L}_S}{\mathcal{L}_S + \mathcal{L}_B}, \quad \mathcal{L}_S(\vec{x}) = \prod_{i=1}^n P_{s,i}(x_i) \quad (5.2)$$

where the s and S (b and B) subscripts refer to distributions in fiducial signal (background) distributions in bins of $|\eta|$ and E_T . The $P(x_i)$ are probability distributions functions (pdf’s) for input variables. Several input sets of input variables exist for increasingly stringent quality requirements on electrons; this analysis uses Loose LH electrons as the base for electron selection, with the input variables relating to leakage into the hadronic calorimeter (HCAL), shower and energy deposits in each of the ECAL layers, track quality requirements, TRT hits, and track-cluster matching. This analysis adds a LooseTrackOnly isolation requirement (the p_T sum of tracks within a certain distance of the candidate track must be below a certain value), impact parameter significance cuts, and an explicit

B-layer hit requirement. The ZH -signal electrons must further pass a $27\text{ GeV} p_T$ cut ($1.05 \times p_T^{trigger}$).

These requirements are summarized in Table 5.3.

Electron Selection		$ \eta $	ID	d_o^{sig}	$ \Delta z_o^{BL} \sin \vartheta $	Isolation
$VH - loose$	$>7\text{ GeV}$	$ \eta < 2.47$	LH Loose + B-layer cut	< 5	$< 0.5\text{ mm}$	LooseTrackOnly
$ZH - signal$	$>27\text{ GeV}$	$ \eta < 2.47$	LH Loose + B-layer cut	< 5	$< 0.5\text{ mm}$	LooseTrackOnly
$WH - signal$	$>27\text{ GeV}$	$ \eta < 2.47$	LH Tight	< 5	$< 0.5\text{ mm}$	FixedCutHighPtCaloOnly

Table 5.3: Electron selection requirements.

5.2.1 ELECTRON SYSTEMATICS

The electron CP group has tabulated standard systematic uncertainties to be associated with the use of reconstructed electrons in ATLAS analyses in two main categories. The first category is related to efficiency corrections and is broken into three components: identification (`EL_EFF_ID_TotalCorrUncertainty`), reconstruction (`EL_EFF_Reco_TotalCorrUncertainty`), and isolation (`EL_EFF_Iso_TotalCorrUncertainty`). The second category deals with electron energy scale (roughly, the uncertainty in taking the energy deposits in an EM cluster and turning them into an electron energy) and energy resolution (the width associated with this). This is in practice a very complicated procedure, with over 60 systematics associated, but this analysis is not at all sensitive to these effects and so a simplified model of two systematics, `EG_RESOLUTION_ALL` and `EG_SCALE_ALL`, is used.

5.3 MUONS

This analysis uses the standard CP muon collection in an event, though these muons in ATLAS are constructed in a variety of ways; for full details see⁵⁷ and⁵⁹. Most muons are constructed using tracks in the chambers of the muon spectrometer (MS), with a variety of algorithms available. MS tracks are sufficient to reconstruct a muon (a fit on these tracks can be used to point back to an interaction point for vertex matching, for example) and, in the $|\eta| \in (2.5, 2.7)$ interval where there is no tracking, these standalone (SA) muons are the default. The most common and robust form of muon reconstruction combines tracks in the MS with tracks in the ID (more precisely, a global refit with hits from both subsystems is typically done) to form combined (CB) muons. CB and SA muons automatically pass the loose reconstruction requirements for the Loose muons used in this analysis. Additionally, since there is a gap in the $|\eta| < 0.1$ range in the MS to make room for cabling and other detector services, there are two further muon types used in this range: the segment tagged (ST) muons that match ID tracks to segments in the MDT or CSC chambers and the calorimeter tagged (CT) muons that match ID tracks to calorimeter clusters consistent with minimum ionizing particles (which muons in ATLAS generally are).

Further quality requirements are imposed on Loose muons for the different muon categories used in this analysis. Isolation requirements similar to the electrons in corresponding categories are imposed, and impact parameter requirements are also imposed. The ZH signal muons also have a p_T cut at 27 GeV and a requirement that the muon fall within the $|\eta|$ range of the ID.

Muon Selection	η	ID	d_o^{sig}	$ \Delta z_o^{\text{BL}} \sin \theta $	Isolation
<i>VH - loose</i>	$>7 \text{ GeV}$	$ \eta < 2.7$	Loose quality	< 3	$< 0.5 \text{ mm}$
<i>ZH - signal</i>	$>27 \text{ GeV}$	$ \eta < 2.5$	Loose quality	< 3	$< 0.5 \text{ mm}$
<i>WH - signal</i>	$>25 \text{ GeV}$	$ \eta < 2.5$	Medium quality	< 3	$< 0.5 \text{ mm}$
					FixedCutHighPtTrackOnly

Table 5.4: Muon selection requirements.

5.3.1 MUON SYSTEMATICS

Similar to the treatment of systematic uncertainties associated with the electrons, muons have CP defined systematics. The muon momentum scale and resolution systematics are divided into three categories associated one for uncertainties related to ID tracks (`MUONS_ID`), one for MS tracks (`MUONS_MS`), one for the overall scale (`MUONS_SCALE`), and two for charge dependent momentum scales (`MUON_SAGITTA_RHO` and `MUON_SAGITTA_RESBIAS`). The remaining systematics have a STAT and SYS component corresponding to the sample statistics and systematic uncertainties for their individual components. For Efficiency scale factors use different standard candles in different p_T ranges (J/ψ 's (Z 's) below (above) 15 GeV), and so these systematics are broken up into two categories (`MUON_EFF_STAT` and `MUON_EFF_SYS`; `MUON_EFF_STAT_LOWPT` and `MUON_EFF_SYS_LOWPT`). There are also isolation systematics (`MUON_ISO_STAT`, `MUON_ISO_SYS`) and track to vertex association systematics (`MUON_TTVA_STAT`, `MUON_TTVA_SYS`).

5.4 MISSING TRANSVERSE ENERGY

High precision performance of \vec{E}_T^{miss} is not so crucial to the 2-lepton analysis (though it is very important to the other channels), so the interested reader is referred to⁵⁸ and its references for an in-

depth discussion. \vec{E}_T^{miss} in ATLAS is the negative vectoral sum of physics objects (in this analysis just jets and leptons, though in principle also including τ 's and γ 's) and a so-called track based soft term (TST). The TST is comprised of valid ID tracks not associated with any physics objects in an event. These tracks must be associated to an event's primary vertex, have a $p_T > 0, 4 \text{ GeV}$, and pass other quality requirements.

The \vec{E}_T^{miss} systematic uncertainties relevant to this analysis are related to track based energy scale and resolutions in both the soft term and in the jets and are: MET_SoftTrk_ResoPara, MET_Soft-Trk_ResoPerp, MET_SoftTrk_ScaleDown, MET_SoftTrk_ScaleUp, MET_JetTrk_Scale-Down , and MET_JetTrk_ScaleUp.

5.5 JETS

5.5.1 JET ALGORITHMS

The hadronic nature of jets makes jet reconstruction a lot more complicated than electron or photon reconstruction, where a regular shower shape and track matching (or lack thereof in the case of the chargeless photon) provide a fairly straightforward and robust approach. The interested reader is referred to⁸⁶ for an excellent survey, from which this discussion is greatly abbreviated.

Looking at an event like the one in Figure 5.1, the jets are particularly easy to identify, more or less popping out of the plane plot, but this is not always the case.

Two general methods of turning particles/calorimeter towers into jets exist: cone-based and sequential recombination. The general theme of the former is to find a hard (energetic) particle and

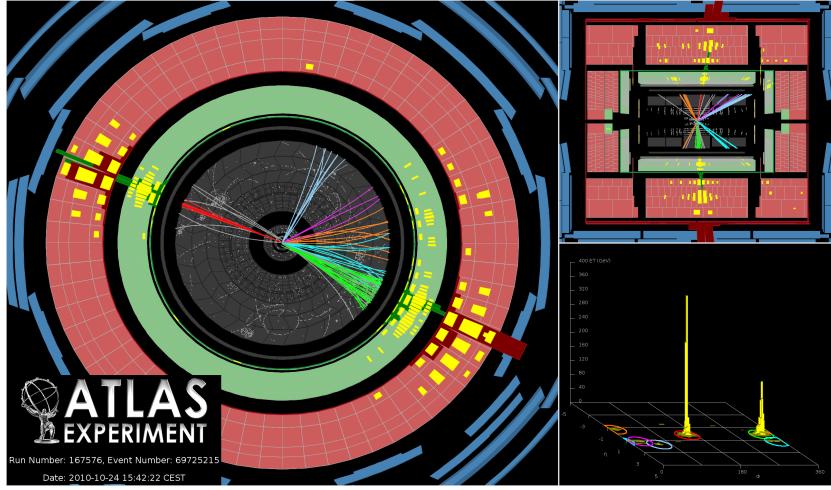


Figure 5.1: A clean ATLAS dijet event.

draw a circle around it in the plane in an intelligent manner, while the theme of the latter is to find some metric of distance between particles and then to cluster pairs based on this distance into jets in an intelligent way. Cone-based algorithms are simple (and therefore generally quite fast) but generally lack some nice properties of the sequentially recombined jets (though there are notable exceptions like SISCone). These jets are important for trigger level objects in ATLAS, though since no jet triggers are used in this analysis, they will not be discussed any further here.

The general drawback of cone-based algorithms is that they are not infrared and collinear (IRC) safe. That is, the emission of a soft (IR) quark or gluon during hadronization should not change the final jet collection in an event, and neither should the collinear splitting of hard particles during hadronization. These are fairly common edge cases and can lead to certain pathologies in QCD calculations. Infrared and collinear safety are diagrammed schematically in Figures 5.2 (a) and (b), taken from⁸⁶.

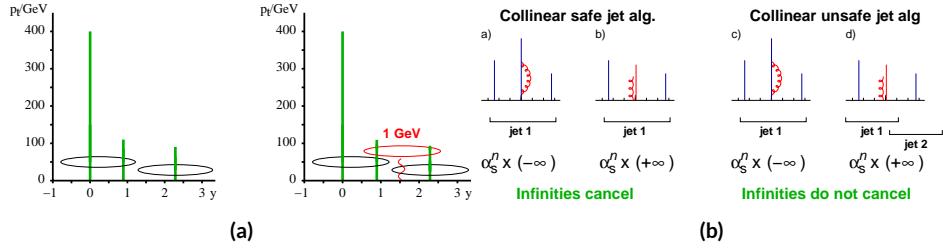


Figure 5.2: Infrared (a) and collinear (b) safety.

The sequential recombination algorithms are generally safe from these effects, as these edge cases are very “close” to each other by construction. A sequential recombination algorithm proceeds as follows

1. Evaluate the set of distances d_{ij} (for pairs of objects) and d_{iB} (the “beam distance” for each individual object)

$$d_{ij} = \min \left(p_{Ti}^{2p}, p_{Tj}^{2p} \right) \frac{\Delta R_{ij}^2}{R^2}, \quad d_{iB} = p_{Ti}^{2p} \quad (5.3)$$

2. Find the minimum distance

3. If the minimum distance is:

- A d_{ij} : cluster these objects together, and go to step 1
- A d_{iB} : call the i^{th} object a jet, remove it from the set of objects to be clustered, and go to step 1

4. Repeat until all objects are clustered into jets

The choices one must make in sequential recombination are the size parameter R , akin to a cone radius in cone-based algorithms, and the momentum power p . Common choices and their trade-offs are:

- +1: the k_t algorithm; favors the softer particles in an event, so the cluster sequence gives a history of hadronization, but jet shapes are irregular

- o: the Cambridge-Aachen algorithm: a pure distance metric; less substructure but jets are less “weird” looking
- -i: the anti- k_t algorithm: clustering begins with hardest particles in an event; regular, localized jet shapes, but virtually no substructure in clustering history

As shown in Figure 5.3

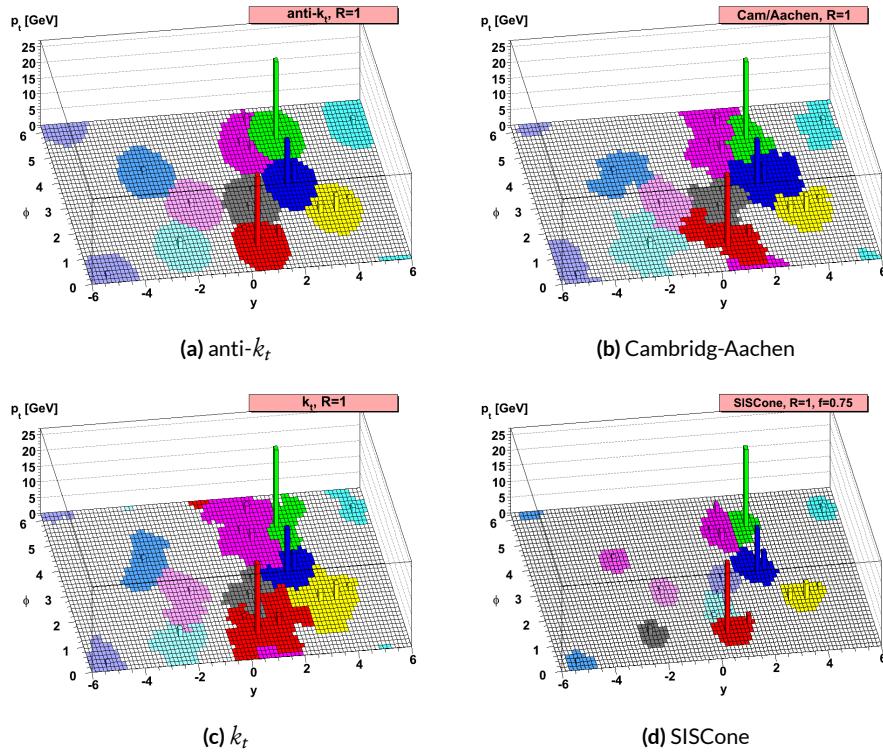


Figure 5.3: Different jet algorithms used on the same event. IC:⁸⁶

All three algorithms have uses for different applications in ATLAS, with anti- k_t $R = 0.4$ jets

being the default jet collection. These are the jets used in this analysis.

If the choice of jet algorithm seems a little arbitrary, it is. There is no one-size-fits-all jet collection perfect for every application, and analyzers have to make these choices for themselves. One interest-

ing choice is the jet size parameter, R . A large R jet will contain more of the radiation coming from a final state object, but its large size makes it susceptible to contamination from the underlying event and pileup, with small R jets having the opposite features. $R = 0.4$ is a fairly middle-of-the-road choice. A natural question to ask is whether there needs to be just one jet collection in an analysis. Might there not be more information to be gained from looking at more jet sizes or clusterings? Preliminary studies point to this answer being yes and are addressed in Appendix B.

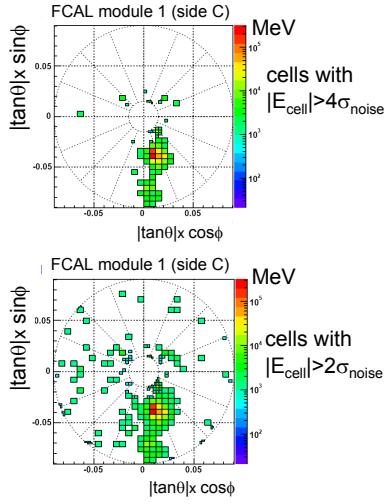
5.5.2 STANDARD ATLAS HBB JETS

There are a few considerations that arise with jets in physical detectors. The first is what type of object to use as the stand in for “particles” since ATLAS measures energy deposits, not pions. The approach ATLAS has settled upon are calorimeter topological clusters (or CaloTopoClusters for short)⁷⁸. Unlike the sliding window algorithm used for electron clusters, CaloTopoClusters use a noise significance based approach in the “4-2-1” algorithm. Each cell in the electromagnetic and hadronic calorimeters (ECAL and HCAL, respectively) has associated with it a characteristic noise level, with this noise level in each channel, it is possible to construct a “significance” for the registered energy deposit in the event by dividing the measured value by its characteristic noise. Groups of cells having a significance of 4 are taken as the centers of clusters in the plane. The second layer in a cluster includes all neighboring cells to the central layer with significance of 2, and the final layer includes all the nearest neighbors to the second layer. This is described in Figure 5.4 from⁸¹.

Once CaloTopoClusters have been formed and clustered into jets, they are calibrated using the electromagnetic (EM) scale (the scale for clusters coming from EM showers). Further details may be

Local Hadronic Calibration: Clusters

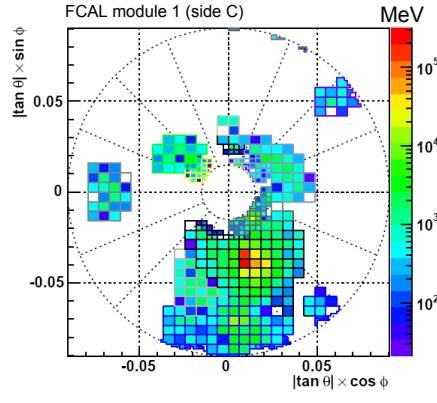
Sven Menke



□ Topological clustering

❖ 4,2,0 clusters in FCal

➢ jets with $p_T > 50 \text{ GeV}$



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Figure 5.4: A description of the 4-2-0 clustering algorithm.

found in⁴⁹.

Jets in this analysis fall into two categories, “signal” and “forward” jets, and are required to pass certain quality requirements, described in Table 5.5. All jets must pass a series of jet cleaning requirements using calorimeter level variables to eliminate jets coming from problematic calorimeter cells and certain backgrounds. Some signal jet candidates also make use of a Jet Vertex Tagger (JVT) that uses primary vertex and jet and track p_T information to decide whether certain soft jets are likely to have come from the the primary (hard scatter) vertex in an event or are to be considered pileup. Further details on JVT may be found in⁵⁶. Jets are further corrected using standard CP tools and a dedicated PtReco correction, all outlined in Section 7.3 of⁶³.

Overlap removal in this analysis is done according to the following precedence, taken from⁶³ with

Jet Category	Selection Requirements
Forward Jets	jet cleaning $p_T > 30 \text{ GeV}$ $2.5 \leq \eta < 4.5$
Signal Jets	$p_T > 20 \text{ GeV}$ and $ \eta < 2.5$ jet cleaning $\text{JVT} \geq 0.59$ if ($p_T < 60 \text{ GeV}$ and $ \eta < 2.4$)

Table 5.5: `AntiKt4EMTopoJets` selection requirements. The jet cleaning is applied via the `JetCleaningTool`, that removes events in regions corresponding to hot calorimeter cells.

further steps only taken into account if an object survives previous steps:

- tau-electron: If $(\tau, e) < 0.2$, the τ lepton is removed.
- tau-muon: If $(\tau, \mu) < 0.2$, the τ lepton is removed, with the exception that if the τ lepton has $> 50 \text{ GeV}$ and the muon is not a combined muon, then the τ lepton is not removed.
- electron-muon: If a combined muon shares an ID track with an electron, the electron is removed.

If a calo-tagged muon shares an ID track with an electron, the muon is removed.

- electron-jet: If $(\text{jet}, e) < 0.2$ the jet is removed.

For any surviving jets, if $(\text{jet}, e) < \min(0.4, 0.04 + 10 \text{ GeV}/e)$, the electron is removed.

- muon-jet If $(\text{jet}, \mu) < 0.2$ or the muon ID track is ghost associated to the jet, then the jet is removed if the jet has less than three associated tracks with $> 500 \text{ MeV}$ ($\text{NumTrkPt}500\text{PV}^{\text{jet}} < 3$) or both of the following conditions are met: the ratio of the muon and jet is larger than 0.5 ($\text{muon}/\text{jet} > 0.5$) and the ratio of the muon to the sum of tracks with $> 500 \text{ MeV}$ associated to the jet is larger than 0.7 ($\text{muon}/\text{SumPtTrkPt}500\text{PV}^{\text{jet}} > 0.7$).

For any surviving jets, if $(\text{jet}, \mu) < \min(0.4, 0.04 + 10 \text{ GeV}/\mu)$, the muon is removed.

- tau-jet: If $(\tau, \text{jet}) < 0.2$, the jet is removed.
- electron-fat jet: If $(e, \text{fat jet}) < 1.2$, the fat jet is removed.

Jets are corrected using a muon-in-jet correction and then a kinematic fitter (Appendix D of⁸³)

for the 2-lepton case (PtReco correction for the 0- and 1- lepton case). The muon-in-jet correction is designed for b -jets. Since the decay of a b -quark to a c -quark and finally to a light quark (these are the multiple vertices for which JetFitter searches) involves two weak decays, there are two W -bosons involved in the decay. Some of these will decay semileptonically, and, while electron and τ energy will be captured by the calorimeters, semileptonic μ 's will only be registered in the MS, which occurs in some 44% of all decays from a theoretical standpoint, which amounts to about 12% in practice (due to track isolation requirements for the leptons). This value is about 1–2% for electrons, which deposit their energy in the calorimeter and so require no correction; any jet with a valid lepton associated to it is deemed semileptonic (all others are called hadronic). Any jet with muons associated with it has the closest muon's 4-vector (in the plane) added to it.

The PtReco correction is a scale factor on the muon-in-jet corrected jet's 4-vector based on the jet's p_T and whether the jet is hadronic or semileptonic. This correction factor is based on particle level studies done on a TruthWZ sample. As the 0- and 1-lepton cases are not the focus of this thesis, the interested reader is directed to Section 7.3 of⁶³.

The kinematic fitter used in 2-lepton events with two or three jets takes as its input 12 fit parameters,

- energies of 2 electron or of 2 muons

- energies of 2 b -jets
- η, φ of 2 leptons and 2 jets
- p_T and p_T of $\ell\ell b\bar{b}$ system.
- $m_{\ell\ell}$

and 3 constraints for the variation of these parameters,

- parameters : Gaussian (b-jet energy : Transfer Functions (TF); these are denoted L , with an L_{truth} as a prior) (the φ parameters)
- p_T and p_T of $\ell\ell b\bar{b}$ system : zero with a width of 9 GeV obtained from ZH signal MC.
- m_Z : Breit-Wigner (BW) distribution of Z boson (final term, leptons denoted Ω)

which leads to test statistic from the usual likelihood formalism to be minimized in each event:

$$\begin{aligned}
 -2 \ln \mathcal{L} = & \sum_{i=j} \left(\frac{(\varphi_i^n - \varphi_i^o)^2}{\sigma^2} \right) + \left(\frac{(\Omega_l^n - \Omega_l^o)^2}{\sigma_\Omega^2} \right) - 2 \ln (L^j) - 2 \ln (L_{truth}^j) \\
 & + \sum_{i=x,y} \frac{(\sum p_i^n - \sum p_i^o)^2}{\sum p_i} + 2 \ln ((m_{\ell\ell} - M_X^2)^2 + M_X^2 \Gamma^2) \quad (5.4)
 \end{aligned}$$

5.5.3 JET SYSTEMATICS

As with the electron systematics, jet energy scale (JES) and resolution (JER) are the two principal considerations for systematic uncertainties, with even more standard. JER, as with the electron energy resolution, is a single systematic uncertainty, `JET_JER_SINGLE_NP`. There is also a single JVT efficiency `JET_JvtEfficiency` systematic uncertainty. There are 88 nominal JES systematics, and

this analysis is sufficiently sensitive to these variations that a single systematic is grossly insufficient. Nevertheless, some simplification is possible, with the 75 of these nuisance parameters (mostly statistical uncertainties related to the Z +jet and γ +jet calibrations) being reduced to 8, and 13 explicitly named nuisance parameter. These remaining 13 are related to the η intercalibration used to extrapolate standard calibrations to other jet η regions, uncertainties related to the flavor composition of principle background samples (W/Z +jets, top, and diboson), a single systematic for the b -jet energy scale, a high p_T jet energy scale systematic, and one for jets that punch through the HCAL to leave energy deposits in the MS. These are listed explicitly in Table 5.7.

5.5.4 FLAVOR TAGGING

Given that the final state in this analysis involves pairs of jets originating from b -quarks, deploying effective flavor tagging algorithms is imperative. While flavor tagging in general can be used to isolate any flavor (b , c , or light (u , d , s , or gluon-initiated jets)), this analysis exclusively looks for b -jets, so this disucssion will focus on b 's. At truth-level in sumlation this is fairly straightforward: one need only look at the particles contained within a jet and seeing if any include a b -quark (sometimes a B hadron) in the decay chain.

DIRECT TAGGING

One of the most distinctive features of b -jets is the presence of secondary vertices, as illustrated in Figure 5.5. While most partons created in particle collisions will hadronize promptly, b -quarks will first hadronize into B -hadrons, which have lifetimes of about a picosecond. This small but finite

lifetime means that these particles will travel about half a millimeter or so before decaying into a jet in much the usual way, and the tracks from this decay will point back to this displaced, secondary vertex.

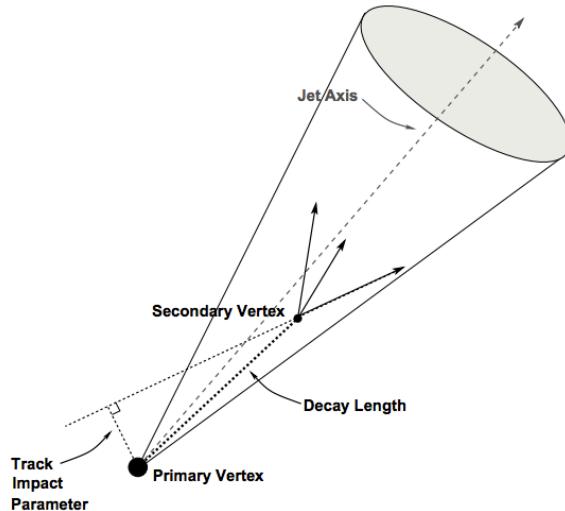


Figure 5.5: An illustration of a secondary vertex in a b -jet. Image credit: ⁷⁶

There are various secondary vertex algorithms used as inputs to the nominal b -tagging algorithm⁴⁸, with three main types of algorithms used as inputs

1. Track impact parameter based algorithms: I₂PD (signed transverse only; more pileup robust), I₃PD (signed transverse and longitudinal)
2. Inclusive secondary vertex reconstruction: SV1 (start with two track vertex pairs and construct a secondary vertex)
3. Multiple vertex reconstruction (decay chain): JetFitter ($\text{PV} \rightarrow b \rightarrow c$ decay chain using Kalman filter)

All of these are combined into a boosted decision tree (BDT) and trained on five million $t\bar{t}$ events with an 90%/10% $c/\text{light jet}$ background to form the MV2c10 algorithm, with 10 referring to the

percentage of charm samples in the training background. The 10% charm ratio was found to be a good balance between increased charm rejection capability (as opposed to MV2c00, which has no charm in the background training) and loss in light jet rejection (compared to MV2c20, which has

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ANALYSIS SPECIFIC CONCERNS AND SYSTEMATIC UNCERTAINTIES In addition to specifying the tagging algorithm, the working point efficiency must be specified. As with selection algorithms in general, there is a trade off between efficiency (identifying all the b -jets, minimizing type II error) and purity (making sure all jets positively identified are in fact b -jets, minimizing type I error). Nominal efficiency working points have been calibrated by the flavor tagging CP group and are outlined in Table 5.6.

name	MV2c10 weight cut	b -tagging efficiency [%]	c RR	light RR
FixedCutBEff_60	0.9349	60.03	34.54	1538.78
FixedCutBEff_70	0.8244	69.97	12.17	381.32
FixedCutBEff_77	0.6459	76.97	6.21	134.34
FixedCutBEff_85	0.1758	84.95	3.10	33.53

Table 5.6: b -tagging working points available for MV2c10 for AntiKt4EMTopoJets. RR is the rejection rate (the inverse of efficiency).

These values are aggregate figures, as both the jet’s p_T and η are inputs to the MV2c10 discriminant. The working point chosen for this analysis is the 70% FixedCutBEff_70 working point, with “fixed cut” referring to the fact that this particular usage of the MV2c10 BDT value is a simple cut value.

Just as with the trigger and lepton identification efficiencies, efficiencies differ from their nom-

inal values somewhat depending on what simulation or data sample is being used. To account for this difference, just as in the other cases, scale factors are applied to simulation event weights. It is through these event weights, as with the modeling systematics, that the flavor tagging systematic uncertainties are applied. Given that there are 24 input variables to MV2c10 and that flavor tagging is in general a very difficult problem, it is not surprising that, as with the JES, there are very many systematic uncertainties associated with flavor tagging. However, as with JES, the CP group has compacted the full systematic set into a reduced set of 13 systematic uncertainties: 3 each associated with c and light jets, 5 for b -jets (with the naming convention `FT_EFF_Eigen_(B|C|Light)N`), one for the extrapolation of scale factors to different jet p_T regimes (`FT_EFF_Eigen_extrapolation`), and one for the charm to bottom extrapolation (`FT_EFF_Eigen_extrapolation_from_charm`)⁹⁰. This schematic is a middle-of-the-road “Medium” set of systematics.

TRUTH TAGGING

Since imposing a 2 b -tag requirement overwhelmingly rejects events dominated by c - and light jets, statistics in such MC samples are very low. In order to circumvent this problem and restore full MC statistics, the tag rate function, or “truth-tagging” procedure (in contrast to the standard or “direct tagging” procedure) is applied, in which all events are kept but given a weight that preserves the overall shape and normalization of underlying distributions. Intuitively, this is done by giving events with real b -jets in MC a much higher weight than events having only c - or light jets. Truth-tagging is applied to all samples when conducting MVA training in order to maximize statistics and reduce the risk of overtraining. Truth-tagging is also used for data-MC comparison plots in 2-tag regions and

for $V + cc$, $V + c\ell$, $V + \ell$, and WW samples used in the final likelihood fit. A detailed description of the truth-tagging process is provided below.

Each jet in a given event has associated with it a b -tagging efficiency, denoted ε , that is a function of its p_T , η , and real flavor (b , c , or light) from truth-level information in MC. Intuitively, this efficiency can be thought of as the likelihood that a given jet will be b -tagged. Hence, b -jets have a much higher b -tagging efficiency than c -jets, which in turn have a higher b -tagging efficiency than light jets. We define a truth-tag weight for a given combination of tagged and untagged jets as the product of the efficiencies of the tagged jets times the product of the complement of the efficiencies of the untagged jets. For example, for an event with three jets, labeled 1, 2, and 3, if jets 1 and 2 are tagged, and jet 3 is untagged, the truth-tag weight associated with this combination is

$$\varepsilon_1 \varepsilon_2 (1 - \varepsilon_3) \quad (5.5)$$

In order to obtain a truth-tag weight for an event, one takes the sum of the weights for each possible tag combination. The current analysis requires that all events have exactly two b -tagged jets, so the truth-tag weight is the sum of all the weights of all possible pairs of tagged jets (events with fewer than two jets are discarded). Going back to the three jet example, one has the possible combinations: jets 1+2 as tagged and jet 3 as untagged; jets 1+3 as tagged and jet 2 as untagged; and finally jets 2+3 as tagged and jet 1 as untagged, which yields a total event weight of

$$w_{tot} = \varepsilon_1 \varepsilon_2 (1 - \varepsilon_3) + \varepsilon_1 \varepsilon_3 (1 - \varepsilon_2) + \varepsilon_2 \varepsilon_3 (1 - \varepsilon_1) \quad (5.6)$$

For some applications (e.g. in order to use variables like pTB₁, the p_T of the harder b -tagged jet

in an event, in MVA training), it is necessary to choose a combination of jets in an event as “tagged.”

This combination is chosen randomly, with the probability for a given combination to be chosen

being proportional to its truth-tag weight. In the three jet example, the probability of tagging jets

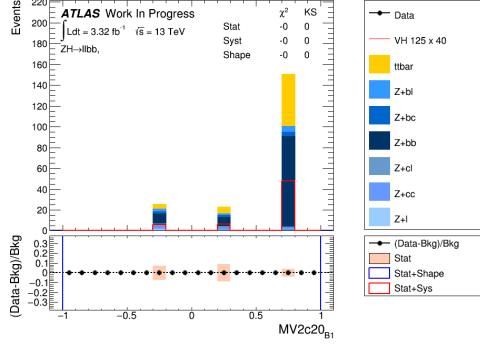
$i+2$ is:

$$\frac{\varepsilon_1 \varepsilon_2 (1 - \varepsilon_3)}{w_{tot}} = \frac{\varepsilon_1 \varepsilon_2 (1 - \varepsilon_3)}{\varepsilon_1 \varepsilon_2 (1 - \varepsilon_3) + \varepsilon_1 \varepsilon_3 (1 - \varepsilon_2) + \varepsilon_2 \varepsilon_3 (1 - \varepsilon_1)} \quad (5.7)$$

Though not used in the current analysis, functionality exists for generic truth-tagging requirements (i.e. an arbitrary number of tags on an arbitrary number of jets) through the logical combinatoric extension and for so-called “pseudo-continuous tagging,” where a b -tag score is generated for each jet in a given event. Since a random combination of jets is set by hand to pass the b -tagging cuts regardless of its b -tag score, a new score must be generated if this information is to be used in further analysis. Under current settings, jets that are tagged are assigned a random b -tag score that is sampled from the MV2c10 cumulative distribution above the 70% efficiency working point cut. All other jets in the event are assigned a random b -tag score below the 70% working point cut. Since these distributions are discrete, the scores are not truly continuous (cf. example distributions in Figure ??), hence the “pseudo-continuous” nomenclature.

A number of closure tests were performed on both the nominal and several systematics cases. In the plots that follow, truth (solid) and direct (dashed) tagging distributions for m_{bb} and $\Delta R(b_1, b_2)$ in different p_T^V regimes for 2 lepton, 2 jet events. Agreement between the truth and direct tagging cases is generally very good, an example of which can be seen in Figure ?? for a signal qqZllH125 sam-

Figure 5.6: An example of a pseudo-continuous b -tagging distribution



ple, and the overall benefit of truth-tagging can be somewhat dramatically seen in the corresponding plots $Z + \ell$ samples in Figure ???. At high p_T^V ($p_T^V > 200$ GeV), however, in events with two real b -jets, there is a much greater likelihood that the b -jets will merge into a single jet, which render the naïve assumption that jets remain discrete invalid. While this does not appear to be a problem in most samples (cf. $t\bar{t}$ in Figure ??), there is a mismodelling effect at low m_{bb} and low $\Delta R(b_1, b_2)$ at $p_T^V > 200$ GeV for $W/Z + bb$ samples where truth-tagging overestimates the number of events in this merged regime, as can be seen in Figure ??

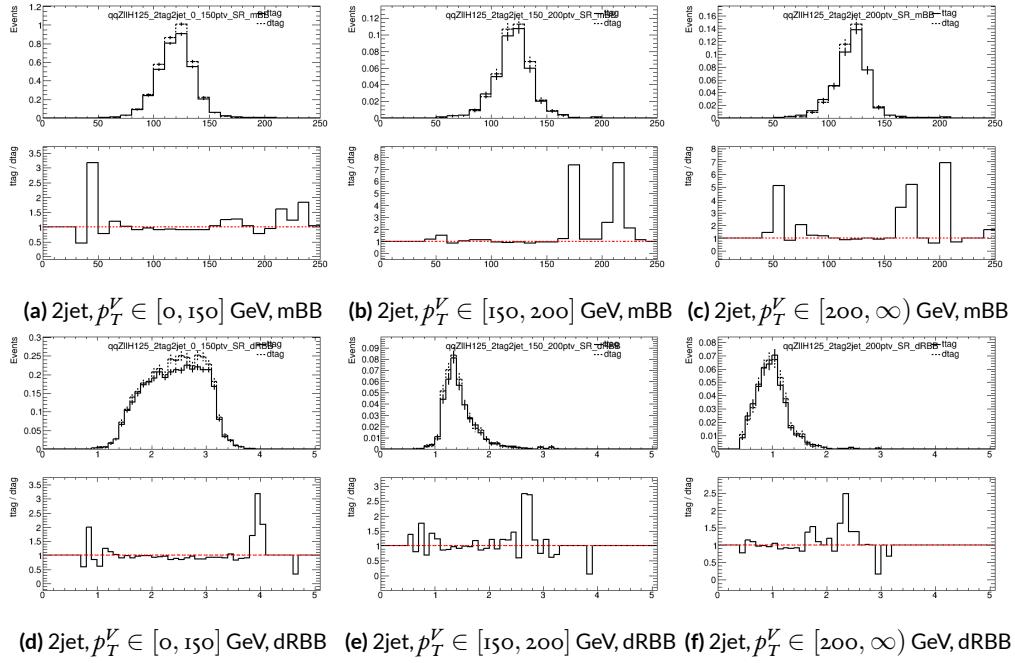


Figure 5.7: Truth-tagging closure tests for 2 lepton, 2 jet qqZIIH125 samples in three different p_T^V regions.

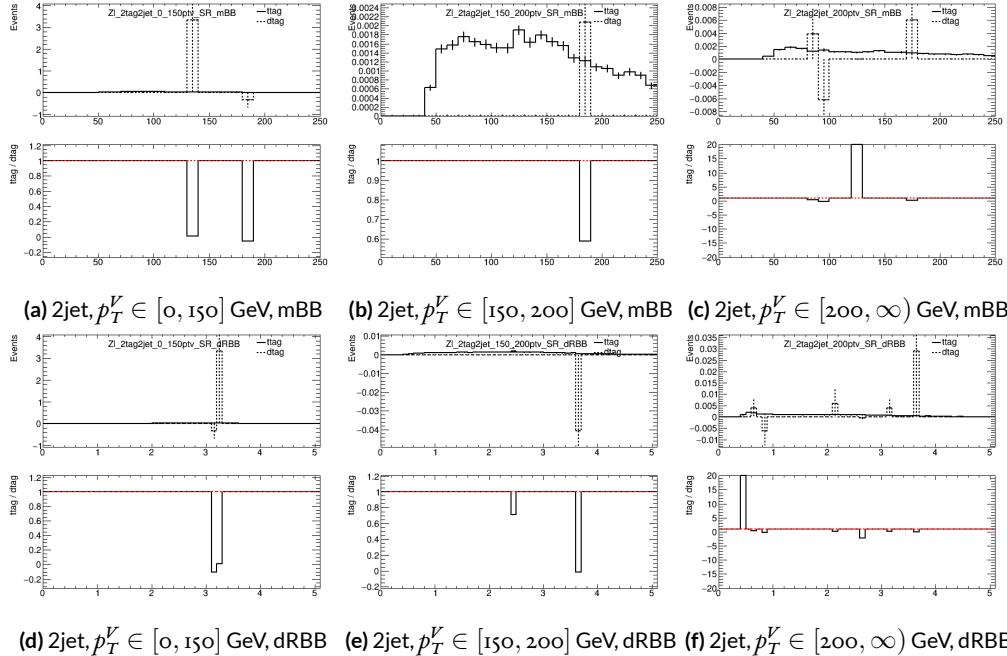


Figure 5.8: Truth-tagging closure tests for 2 lepton, 2 jet $Z + \ell$ samples in three different p_T^V regions.

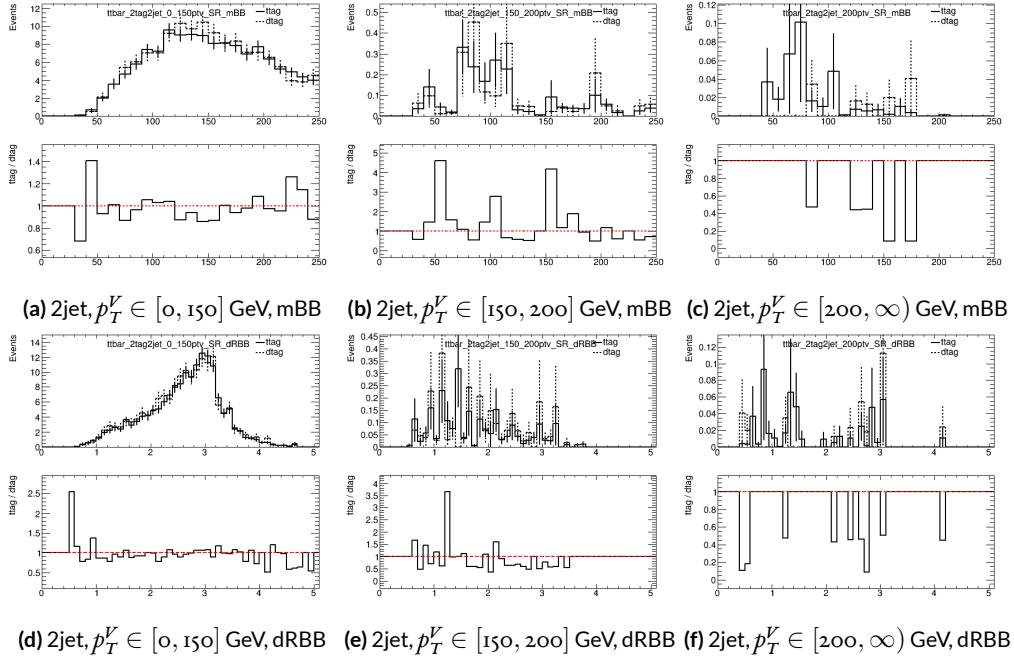


Figure 5.9: Truth-tagging closure tests for 2 lepton, 2 jet $t\bar{t}$ samples in three different p_T^V regions.

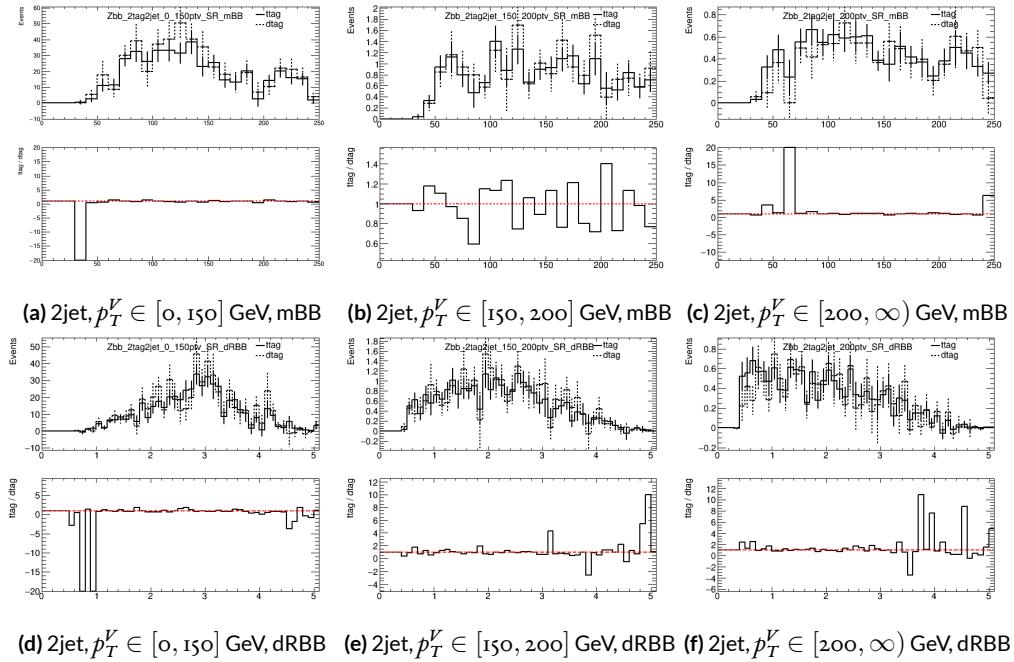


Figure 5.10: Truth-tagging closure tests for 2 lepton, 2 jet $Z + bb$ samples in three different p_T^V regions.

5.6 MISCELLANIA AND SUMMARY

A summary of all experimental systematics, taken from⁸³, may be found below. In addition to the systematics discussed above, there are also two further systematics, on the total integrated luminosity and on the event reweighting factor used to account for pileup, both included in Table 5.7

Systematic uncertainty	Short description	Reference
Event		
Luminosity	uncertainty on total integrated luminosity	Section 11.1 in Ref. ⁶³
Pileup Reweighting	uncertainty on pileup reweighting	Section 11.1 in Ref. ⁶³
Electrons		
EL_EFF_Trigger_Total_iNPCTOR_PLUS_UNCOR	trigger efficiency uncertainty	Section 11.2.2. in Ref. ⁶³
EL_EFF_Reco_Total_iNPCTOR_PLUS_UNCOR	reconstruction efficiency uncertainty	Section 11.3.1. in Ref. ⁶³
EL_EFF_ID_Total_iNPCTOR_PLUS_UNCOR	ID efficiency uncertainty	Section 11.3.1. in Ref. ⁶³
EL_EFF_Iso_Total_iNPCTOR_PLUS_UNCOR	isolation efficiency uncertainty	Section 11.3.1. in Ref. ⁶³
EG_SCALE_ALL	energy scale uncertainty	Section 11.3.2. in Ref. ⁶³
EG_RESOLUTION_ALL	energy resolution uncertainty	Section 11.3.2. in Ref. ⁶³
Muons		
MUON_EFF_TrigStatUncertainty	trigger efficiency uncertainty	Section 11.2.2. in Ref. ⁶³
MUON_EFF_TrigSystUncertainty	reconstruction and ID efficiency uncertainty for muons with > 15 GeV	Section 11.4.1. in Ref. ⁶³
MUON_EFF_STAT	reconstruction and ID efficiency uncertainty for muons with < 15 GeV	Section 11.4.1. in Ref. ⁶³
MUON_EFF_SYS	isolation efficiency uncertainty	Section 11.4.1. in Ref. ⁶³
MUON_EFF_STAT_LOWPT	track-to-vertex association efficiency uncertainty	Section 11.4.1. in Ref. ⁶³
MUON_EFF_SYST_LOWPT	momentum resolution uncertainty from inner detector	Section 11.4.2. in Ref. ⁶³
MUON_ISO_STAT	momentum resolution uncertainty from muon system	Section 11.4.2. in Ref. ⁶³
MUON_ISO_SYS	momentum scale uncertainty	Section 11.4.2. in Ref. ⁶³
MUON_TTVA_STAT	charge dependent momentum scale uncertainty	Section 11.4.2 in Ref. ⁶³
MUON_TTVA_SYS		
MUON_ID		
MUON_MS		
MUON_SCALE		
MUON_SAGITTA_RHO		
MUON_SAGITTA_RESBIAS		
Jets		
JET_2iNP_JET_EffectiveNP_1	energy scale uncertainty from the in situ analyses splits into 8 components	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_EffectiveNP_2	energy scale uncertainty from the in situ analyses splits into 8 components	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_EffectiveNP_3	energy scale uncertainty from the in situ analyses splits into 8 components	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_EffectiveNP_4	energy scale uncertainty from the in situ analyses splits into 8 components	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_EffectiveNP_5	energy scale uncertainty from the in situ analyses splits into 8 components	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_EffectiveNP_6	energy scale uncertainty from the in situ analyses splits into 8 components	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_EffectiveNP_7	energy scale uncertainty from the in situ analyses splits into 8 components	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_EffectiveNP_8restTerm	energy scale uncertainty from the in situ analyses splits into 8 components	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_EtaIntercalibration_Modeling	energy scale uncertainty on eta-intercalibration (modeling)	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_EtaIntercalibration_TotalStat	energy scale uncertainty on eta-intercalibrations (statistics/method)	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_EtaIntercalibration_NonClosure	energy scale uncertainty on eta-intercalibrations (non-closure)	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_Pileup_OffsetMu	energy scale uncertainty on pile-up (mu dependent)	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_Pileup_OffsetNPV	energy scale uncertainty on pile-up (NPV dependent)	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_Pileup_PtTerm	energy scale uncertainty on pile-up (pt term)	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_Pileup_RhoTopology	energy scale uncertainty on pile-up (density ρ)	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_Flavor_Composition_Zjets	energy scale uncertainty on $Z+jets$ sample's flavour composition	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_Flavor_Composition_Wjets	energy scale uncertainty on $W+jets$ sample's flavour composition	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_Flavor_Composition_top	energy scale uncertainty on top sample's flavour composition	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_Flavor_Composition	energy scale uncertainty on VV and VH sample's flavour composition	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_Flavor_Response	energy scale uncertainty on samples' flavour response	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_BJES_Response	energy scale uncertainty on b -jets	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_PunchThrough_MC15	energy scale uncertainty for punch-through jets	Section 11.5.1. in Ref. ⁶³
JET_2iNP_JET_SingleParticle_HighPt	energy scale uncertainty from the behaviour of high-pT jets	Section 11.5.1. in Ref. ⁶³
JET_JER_SINGLE_NP	energy resolution uncertainty	Section 11.5.1. in Ref. ⁶³
JET_JvtEfficiency	JVT efficiency uncertainty	Section 11.5.1. in Ref. ⁶³
FT_EFF_Eigen_B	b -tagging efficiency uncertainties (“BTAG_MEDIUM”): 3 components for b jets, 3 for c jets and 5 for light jets	Section 11.7. in Ref. ⁶³
FT_EFF_Eigen_C		
FT_EFF_Eigen_L		
FT_EFF_Eigen_extrapolation	b -tagging efficiency uncertainty on the extrapolation to high- jets	Section 11.7. in Ref. ⁶³
FT_EFF_Eigen_extrapolation_from_charm	b -tagging efficiency uncertainty on tau jets	Section 11.7. in Ref. ⁶³
MET		
METTrigStat	trigger efficiency uncertainty	Section 11.2.1. in Ref. ⁶³
METTrigTop/Z	track-based soft term related longitudinal resolution uncertainty	Section 11.6. in Ref. ⁶³
MET_SoftTrk_ResoPara	track-based soft term related transverse resolution uncertainty	Section 11.6. in Ref. ⁶³
MET_SoftTrk_ResoPerp	track-based soft term related longitudinal scale uncertainty	Section 11.6. in Ref. ⁶³
MET_SoftTrk_Scale	track MET scale uncertainty due to tracks in jets	Section 11.6. in Ref. ⁶³

Table 5.7: Summary of the experimental systematic uncertainties considered. Details on the individual systematic uncertainties can be found in the given Sections of Ref. ⁶³.

If it's stupid but it works, it isn't stupid.

Conventional Wisdom

6

Object Definitions and Event Selection

MUCH HAS BEEN SAID

6.1 EVENT SELECTION AND ANALYSIS REGIONS

This analysis focuses specifically on the 2-lepton channel of the fiducial analysis, with the event selection and analysis region definitions being identical. Common to all lepton channels in the fiducial

analysis is the set of requirements on the jets in a given event. There must be at least two central jets and exactly two signal jets that have been “*b*-tagged” according to the MV2c10 algorithm⁷, with at least one of these *b*-jets having $p_T > 45$ GeV. For MVA training and certain background samples, a process known as “truth-tagging” is applied instead of the standard *b*-tagging to boost sample statistics and stabilize training/fits (cf.⁸³ Section 4.2 for details). After event selection, the *muon-in-jet* and *PtReco* corrections, described in⁶³ 6.3.3-4, are applied to the *b*-jets.

In addition to the common selections, there are 2-lepton specific selections. All events are required to pass an un-prescaled single lepton trigger, a full list of which may be found in Tables 5 and 6 of⁶³ with the requirement that one of the two selected leptons in the event must have fired the trigger. There must be 2 VH-loose leptons, and at least one of these must be a ZH-signal lepton (cf. Tables 5.3 and 5.4 for definitions). This lepton pair must have an invariant mass between 81 and 101 GeV. In addition to the jet corrections described above, a kinematic fitter is applied to the leptons and two leading corrected jets in an event with three or fewer jets^{*} to take advantage of the fact that the 2-lepton final state is closed (cf.⁵²); these objects are only used for MVA training/fit inputs.

In order to increase analysis sensitivity, the analysis is split into orthogonal regions based on the number of jets and the transverse momentum of the Z candidate (the vectoral sum of the lepton pair; this p_T is denoted p_T^V): 2 and ≥ 3 jets; p_T^V in $[75, 150], [150, \infty)$ GeV. In addition to the signal regions where the leptons are required to be the same flavor (e or μ), there are top $e - \mu$ control regions used to constrain the top backgrounds.

^{*}The gain from using the kinematic fitter is found to be smeared out in events with higher jet multiplicities.

All of these requirements are summarized in ??.

Category	Requirement
Trigger	un-prescaled, single lepton
Jets	≥ 2 central jets; 2 b -tagged signal jets, harder jet with $p_T > 45$ GeV
Leptons	2 VH-loose leptons (≥ 1 ZH-signal lepton); same (opp) flavor for SR (CR)
$m_{\ell\ell}$	$m_{\ell\ell} \in (81, 101)$ GeV
$p_T^{\text{V}} \text{regions (GeV)}$	$[75, 150], [150, \infty)$

Table 6.1: Event selection requirements

If it's stupid but it works, it isn't stupid.

Conventional Wisdom

7

Multivariate Analysis

MUCH HAS BEEN SAID

7.1 TRAINING SAMPLES AND VARIABLES

A subset of samples described in Section ?? was used for multivariate analysis (MVA) training, with $qqZH \rightarrow \ell\ell b\bar{b}$ and $ggZH \rightarrow \ell\ell b\bar{b}$ used as signal samples and $Z + \text{jets}$, $t\bar{t}$, and VV used as background

samples. Truth-tagging is used on all samples in MVA training to improve training statistics and stability.

The standard set of variables taken as a baseline is the same as used in the fiducial analysis. The variables fall into several main categories: energy/momentum scales of composite objects (m_{bb} , m_{bbj} , p_T^V , $m_{\ell\ell}$), angles ($\Delta R(b_1, b_2)$, $\Delta\phi(V, H)$, $\Delta\eta(V, H)$), transverse momenta of the jets in the event ($p_T^{b_1}$, $p_T^{b_2}$, $p_T^{j_3}$), and E_T^{miss} .

In addition to the standard set of variables used for MVA training, two additional sets of variables were used: the Lorentz Invariants (LI) and RestFrames (RF) inspired variable sets. These will be discussed below. All figures quoted in this section scale distributions to a luminosity of 36.1 fb^{-1} .

7.2 LORENTZ INVARIANTS

The LI variables, first put forth by S. Hagebeck and others⁷⁵, are based upon the fact that the four-vectors of an event are determined, all of the information in an event are encoded into 16 quantities: the ten inner products of the four vectors, the three Euler angles, and the three parameters specifying the boost of the ZH system. The masses of the four final state objects are not considered very useful and so can be removed to leave six meaningful inner products (the ${}_4C_2$ combinations between distinct final state four vectors). Since these inner products can have an ill-defined physical interpretation and in order to help MVA training, each inner product is scaled by:

$$x \rightarrow \frac{x}{x + c} \quad (7.1)$$

where c is the mean of the distribution in the signal MC distribution. These inner products are denoted $x_i \cdot y_j$, where x and y are either j (for jet) or l (for lepton) and the indices are either o (i) for the leading (subleading) object by p_T in the event.

The number of useful angles can be reduced by recognizing some symmetries inherent in the final state. The symmetry around the beam axis eliminates one angle. Furthermore, the boost of the VH system is primarily in the beam direction (z) direction, marginalizing the utility of the transverse boost angles. This leaves the boost in the z direction, denoted `gamma_ZHz`, and two angles chosen to be the angle between the $b\bar{b}$ system and the beam (`angle_bb_z`) and the angle between $(b_1 + b_2) \times \hat{z}$ and $(b_1 + b_2) \times (l_1 + l_2)$ (`angle_bbz_bbll`).

Similar to the standard set there are masses (`j0_j1` corresponds to `mBB`, and `l0_l1` corresponds to `mLL`) and angles. Instead of individual final state object scales, there are the four jet-lepton inner products, though this correspondence (and indeed any physical interpretation) is far from clear. An important advantage of the LI variable set is that all of the variables are in it are orthogonal in the signal case by construction. A drawback of this framework in a completely closed final state is that there is no way to treat E_T^{miss} in a Lorentz invariant way. In practice, however, it is found that the E_T^{miss} has correlations comparable to other correlations between variables (cf. Figure 7.2 (b) and (e)). There is also no prescription for any additional jets in the event beyond the two b -tagged jets. They are simply ignored in these variable calculations.

7.3 RESTFRAMES VARIABLES

The RestFrames variables³, calculated using the software package of the same name, is based upon the idea that the most natural frame in which to analyze objects of the signal decay tree is in their individual production (rest) frames. The signal decay tree for $ZH \rightarrow \ell\ell b\bar{b}$ is show in Figure 7.1. Gen-

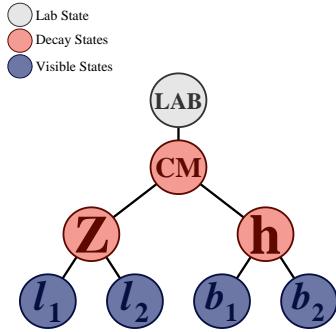


Figure 7.1: The $ZH \rightarrow \ell\ell b\bar{b}$ decay tree.

erally, one does not typically have enough information to determine exactly each of the intermediate rest frames or the boosts between the frames⁴, but in a completely closed final state like $ZH \rightarrow \ell\ell b\bar{b}$, this can be done in the usual way by adding the four-vectors of the final state objects and solving the usual equations from special relativity (RestFrames does this automatically for each event).

Each frame has associated with it the boost from its immediate parent and a mass scale; that mass and the angles between the Euclidean three vector associated with boost and the axis of the decay products provide useful variables. In general, the polar angle (typically given as a cosine) is considered more useful than the azimuthal angle (typically just a $\Delta\phi$), though this is dependent on the candidate decay tree. The Z frame, for example, has M_Z , which is just the usual $m_{\ell\ell}$, $\cos Z$, the cosine

³There are ways to do this for generic decay trees, though, and this is the focus of much of² and the functionality of the RestFrames package.

of the polar angle between the lepton momentum axis in their production frame and the boost from the ZH center of mass (CM) frame, and the angle $d\phi_{ICMZ}$.

In addition to the masses and angles attached to individual object rest frames, energy scales associated with the CM frame can be used to contextualize other event level quantities. In particular, one can use the mass of the CM frame as a natural scale to evaluate the momentum of the CM frame, and the p_T of the CM frame as a natural scale for the event's E_T^{miss} , yielding the variables:

$$R_{p_T} = \frac{p_{T,CM}}{p_{T,CM} + M_{CM}}, \quad R_{p_z} = \frac{p_{z,CM}}{p_{z,CM} + M_{CM}}, \quad R_{met} = \frac{E_T^{miss}}{E_T^{miss} + p_{T,CM}} \quad (7.2)$$

denoted R_{pT} , R_{pZ} , and R_{met} . These are used instead of the final state object scales and standard E_T^{miss} of the standard variable set.

For this analysis, the RF variables were chosen to be the masses M_{CM} , M_H , and M_Z , the angles $\cos CM$, \cosh , $\cos Z$, $d\phi_{ICM H}$, and the ratios R_{pT} , R_{pZ} , and R_{met} .

7.4 CORRELATIONS

The variable sets used in these studies are summarized in Table ??, while Figure 7.2 shows the correlations for the signal and background samples for the standard, LI, and RF variable sets in the most significant analysis signal region, the 2 jet, $p_T^V > 150$ GeV bin (matrices, as well as input distributions, for all regions made be found in Appendix ??). As can be seen in the correlation matrices, variable correlations tend to be much lower, particularly for the signal hypothesis, for the LI and RF variables than for the standard set. Notable exceptions are jet/lepton inner products in the LI set and

R_{met} and R_{pt} in the RF case (not surprising given variable definitions since $p_{T,CM}$ shows up in both and one roughly expects this to be antiparallel to E_T^{miss} in the signal case). While these lower correlations are not so important for the stats only results in this section, they offer an explanation for some of the results in Section ??.

Variable Set	Variables
Standard	<code>mBB, mLL, (mBBJ), pTV, pTB1, pTB2, (pTJ3), dRBB, dPhiVBB, dEtaVBB, MET 9(11) vars</code>
Lorentz Invariants	<code>j0_j1, j0_l1, l0_l1, j1_l1, j0_l0, j1_l0, gamma_ZHz, angle_bbz_bbll angle_bb_z, MET 10 vars</code>
RestFrames	<code>MH, MCM, MZ, cosH, cosCM, cosZ, Rpz, Rpt, dphiCMH, Rmet 10 vars</code>

Table 7.1: Variables used in MVA training. Variables in parentheses are only used in the ≥ 3 jet regions.

7.5 MVA TRAINING

MVA training and hyperparameter optimization (in this case, just the order in which variables are fed into the MVA) is conducted using the “holdout” method. In this scheme, events are divided into three equal portions (in this case using `EventNumber%3`), with the first third (the “training” set) being used for the initial training, the second third (the “validation” set) being used for hyperparameter optimizaiton, and the final third (the “testing” set) used to evaluate the performanace of the final discriminants in each analysis region.

The MVA discriminant used is a boosted descision tree (BDT). Training is done in TMVA using the training settings of the fiducial analysis^{83†}. For the purposes of hyperparameterization and test-

[†]Namely, `!H:!V:BoostType=AdaBoost:AdaBoostBeta=0.15:SeparationType=GiniIndex:-`

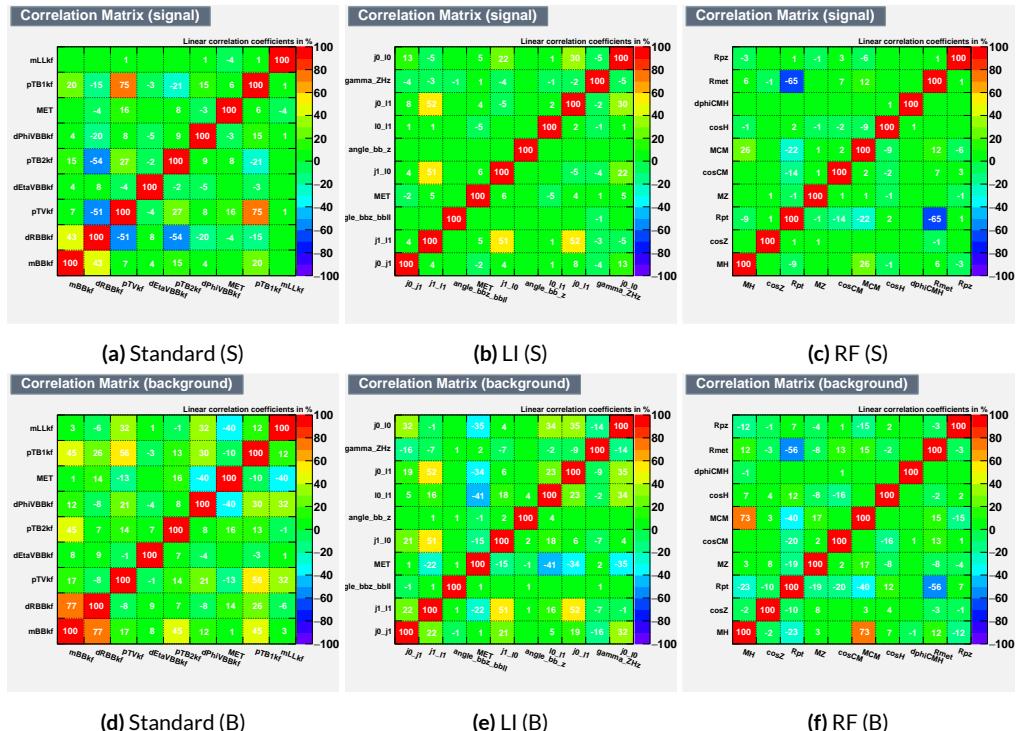


Figure 7.2: Signal and background variable correlations for the three variable sets in the 2 jet, $p_T^V > 150 \text{ GeV}$.

ing, transformation D with $z_s = z_b = 10$ is applied to the BDT distributions, and the cumulative sum of the significance $S/\sqrt{S+B}$ in each bin is calculated for each pair of distributions.

Variable ranking is done iteratively in each analysis region. In each set, the validation significance of a BDT using an initial subset of variables is calculated (**dRBB** and **mBB** for the standard set; **j0_j1** for the **LI** set; and **MH** for the **RF** set). Each of the remaining unranked variables are then added separately, one at a time, to the BDT. The variable yielding the highest validation significance is then added to the set list of ranked variables and removed from the list of unranked variables. This process is repeated until no variables remain. A plot of the ranking for the **LI** set in the $3+$ jet, low p_T^V region can be seen in Figure 7.3.

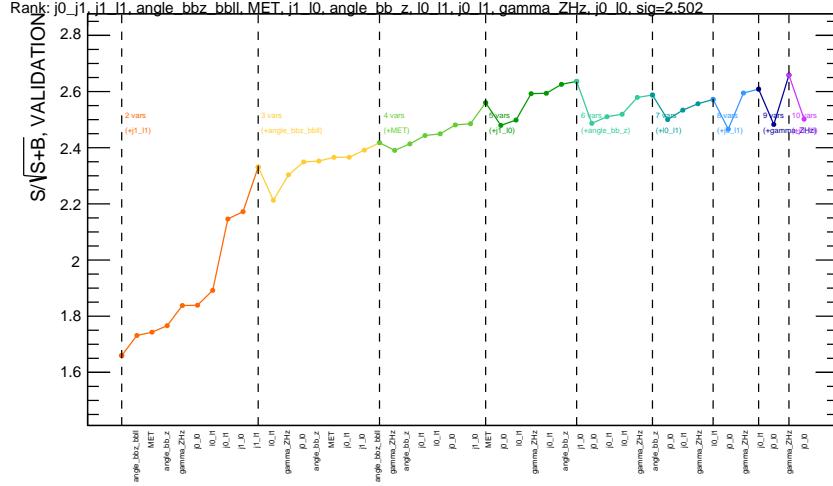


Figure 7.3: An example of a variable ranking to determine variable order in the BDT discriminant. The ranking for the 2 jet, $p_T^V > 150$ GeV region for the **LI** set is shown.

Once variables have been ranked, the BDT may be used both to evaluate performance in a simplified analysis scenario in the absence of systematic uncertainties (described below in Section 7.6)

`PruneMethod=NoPruning:NTrees=200:MaxDepth=4:nCuts=100:nEventsMin=5%`

and to create xml files for the production of fit inputs for an analysis including systematics. Following the approach taken in the fiducial analysis, BDT discriminants using two “k-folds” are produced to prevent overtraining, since the samples used for training are the same as those used to produce inputs for the full profile likelihood fit. In this scheme, a BDT trained on events with an even (odd) `EventNumber` are used to evaluate events with an odd (even) `EventNumber`.

7.6 STATISTICS ONLY BDT PERFORMANCE

As described above, cumulative significances can be extracted from pairs of signal and background BDT output distributions in a given region. In order to evaluate performance of variable sets in the absence of systematic uncertainties, such pairs can be constructed by evaluating BDT score on the testing set of events using the optimal variable rankings in each region. An example of such an output is shown in Figure 7.4, with the training BDT distributions in points and the testing distributions after transformation D as block histograms. For better comparison of the distributions, all histograms have been scaled to have the same normalization.

As can be seen in the summary of cumulative significances for each of these analysis regions and variable sets in Figure 7.5, the performance of each of the variable sets is quite similar. The standard set performs best, with the LI (RF) set having a cumulative significance that is 7.9% (6.9%) lower. This suggests that the LI and RF variables, in the $ZH \rightarrow \ell\ell b\bar{b}$ closed final state, have no more intrinsic descriptive power than the standard set. That these figures are all relatively high (~ 4.5) is due largely to the absence of systematics and possibly in part due to the fact that many of the most significant bins occur at high values of the BDT output, which, as can be seen in Figure 7.4, contain

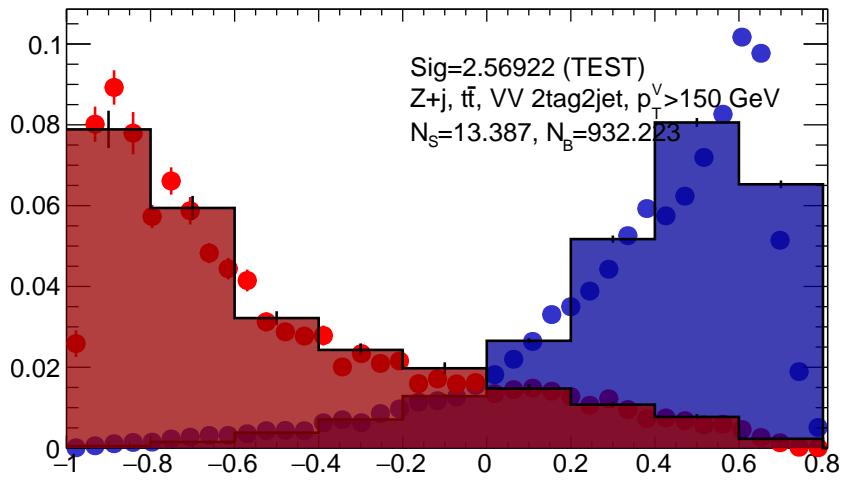


Figure 7.4: Training (points) and testing (block histogram) MVA distributions used for stat only testing for the 2 jet, $p_T^V > 150$ GeV region for the LI set is shown.

a small fraction of background events.

A full set of ranking and testing plots, as well as correlation matrices and input variable distributions, may be found in Appendix ??.

Correlation, ranking, and input variable plots for the standard, Lorentz Invariant, and Rest-Frames variable sets.

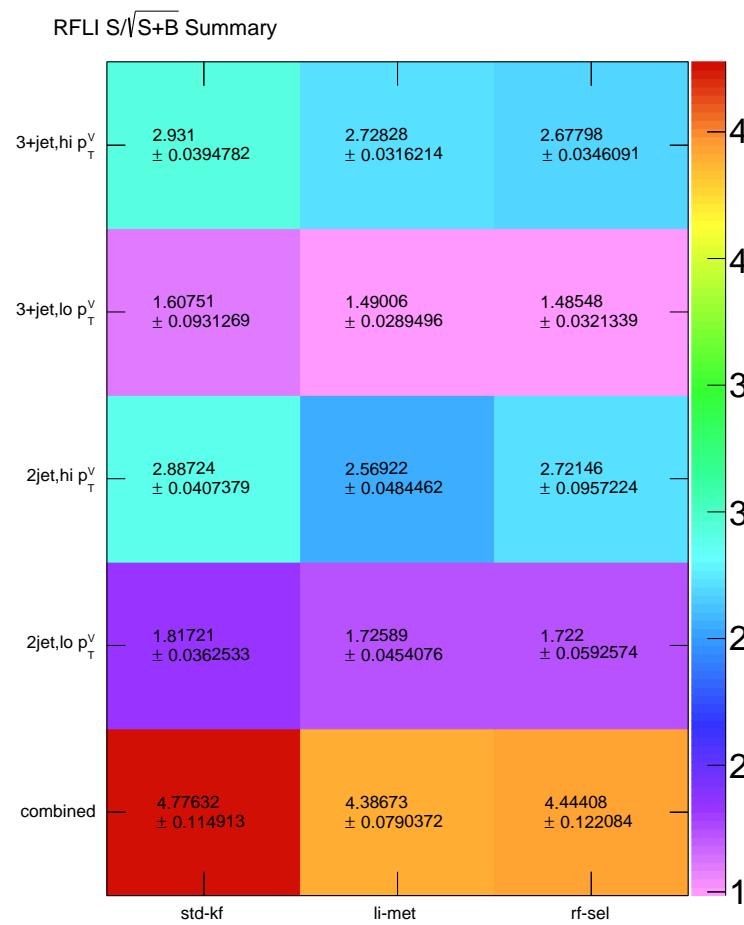


Figure 7.5: Results of testing significances sorted by analysis region and variable set.

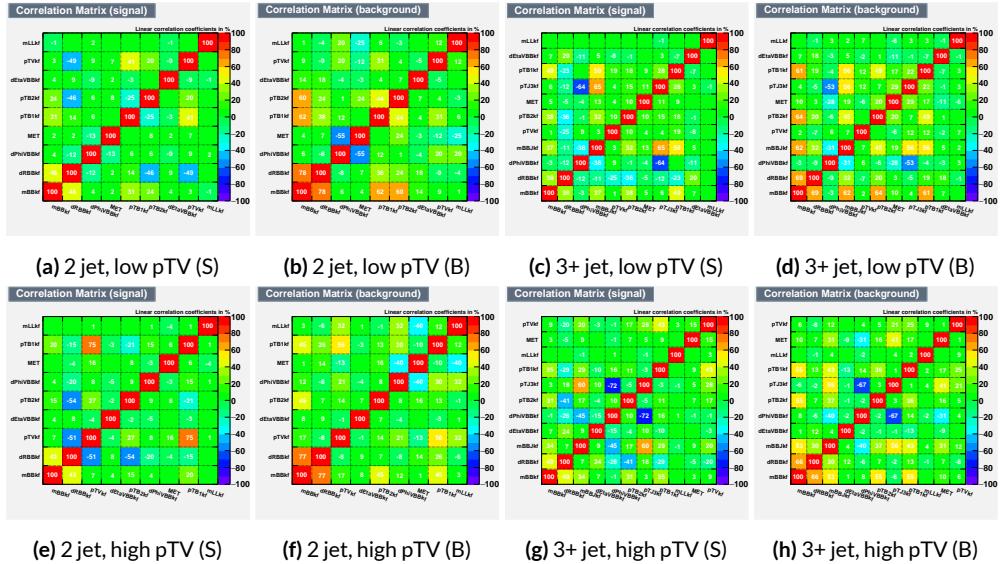


Figure 7.6: Signal and background variable correlations for the standard variable set.

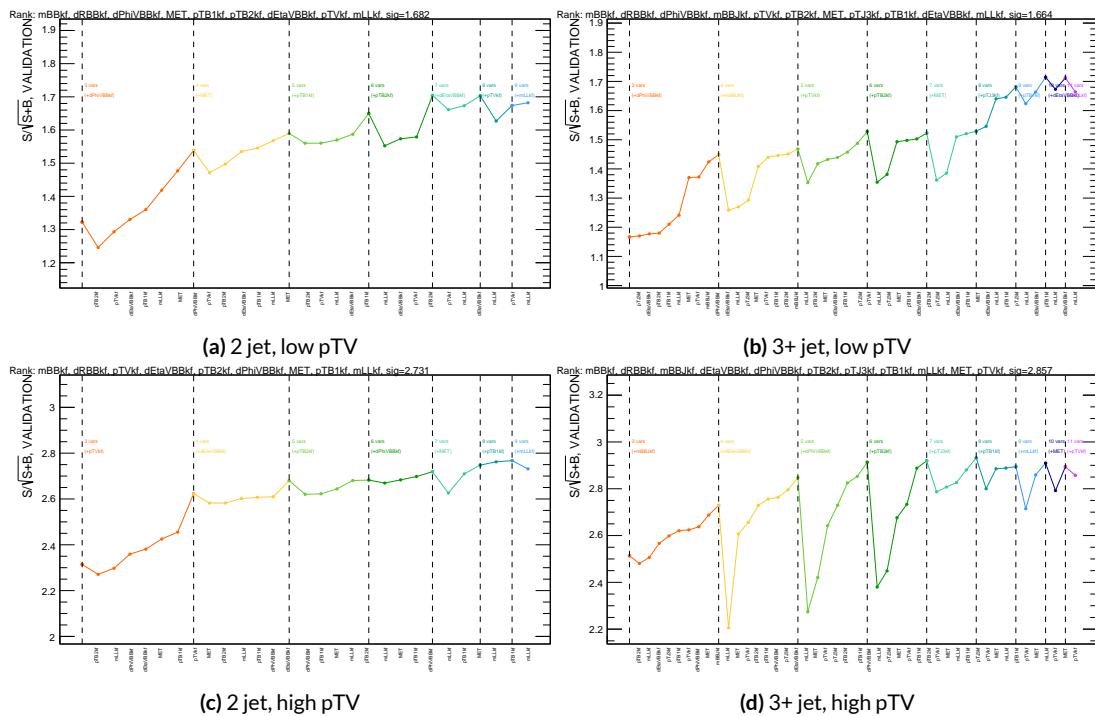


Figure 7.7: Signal and background variable correlations for the standard variable set.

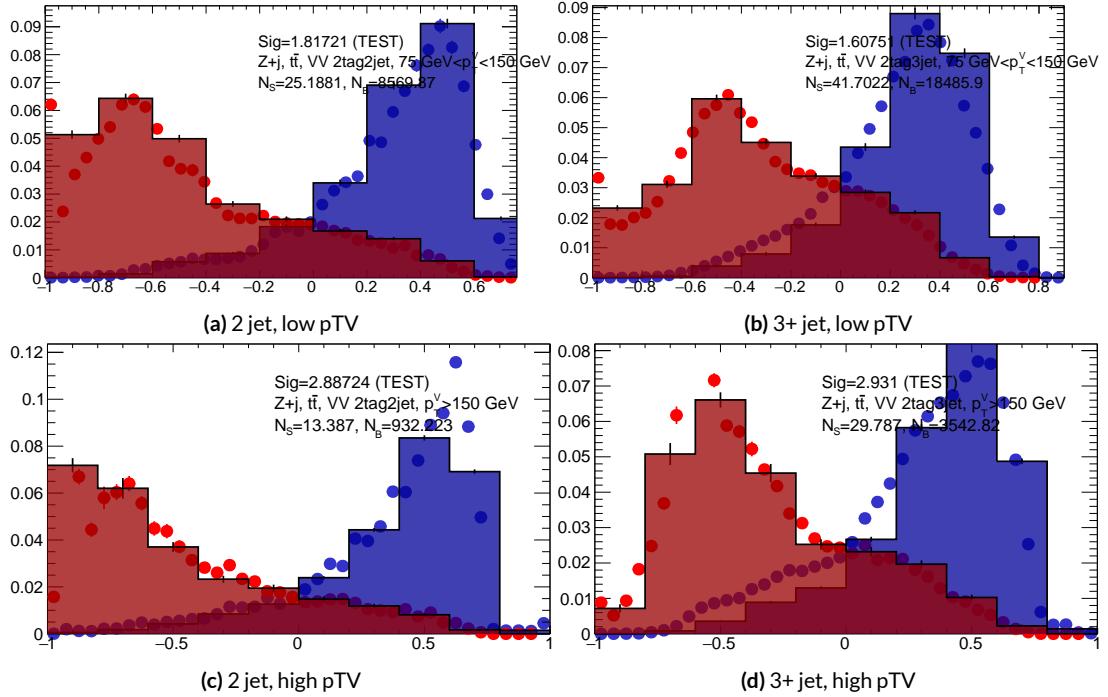


Figure 7.8: Training (points) and testing (block histogram) MVA distributions used for stat only testing for the standard variable set.

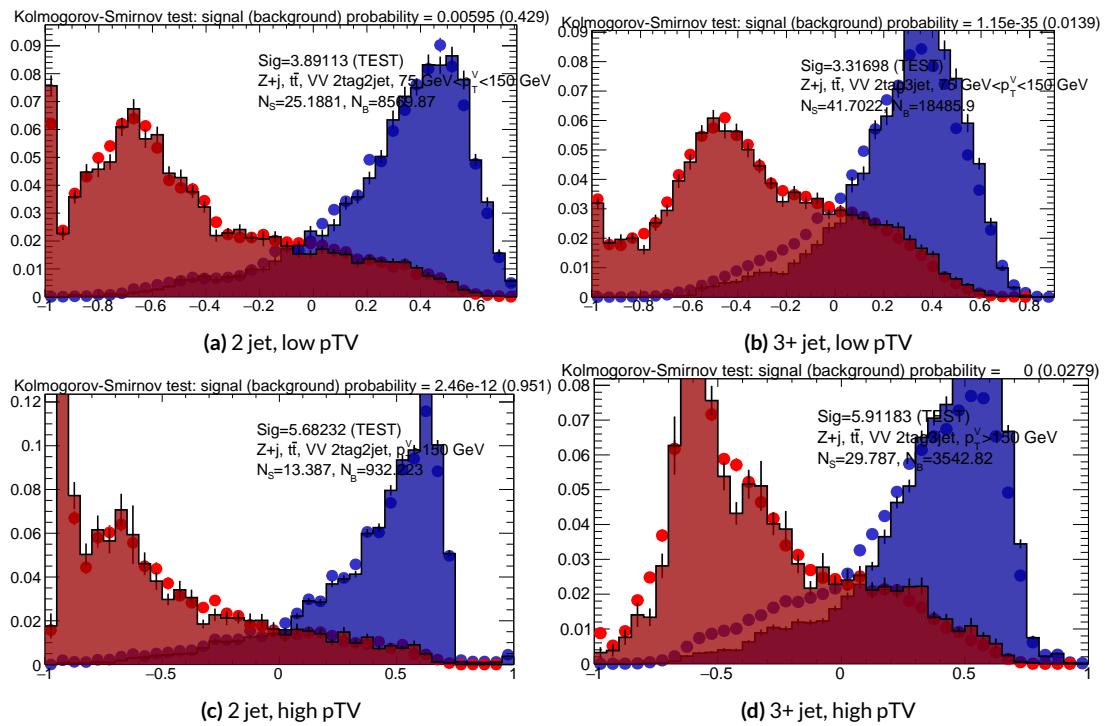


Figure 7.9: Training (points) and testing (block histogram) MVA distributions used for stat only testing for the standard variable set with no transformation D applied to the test distributions.

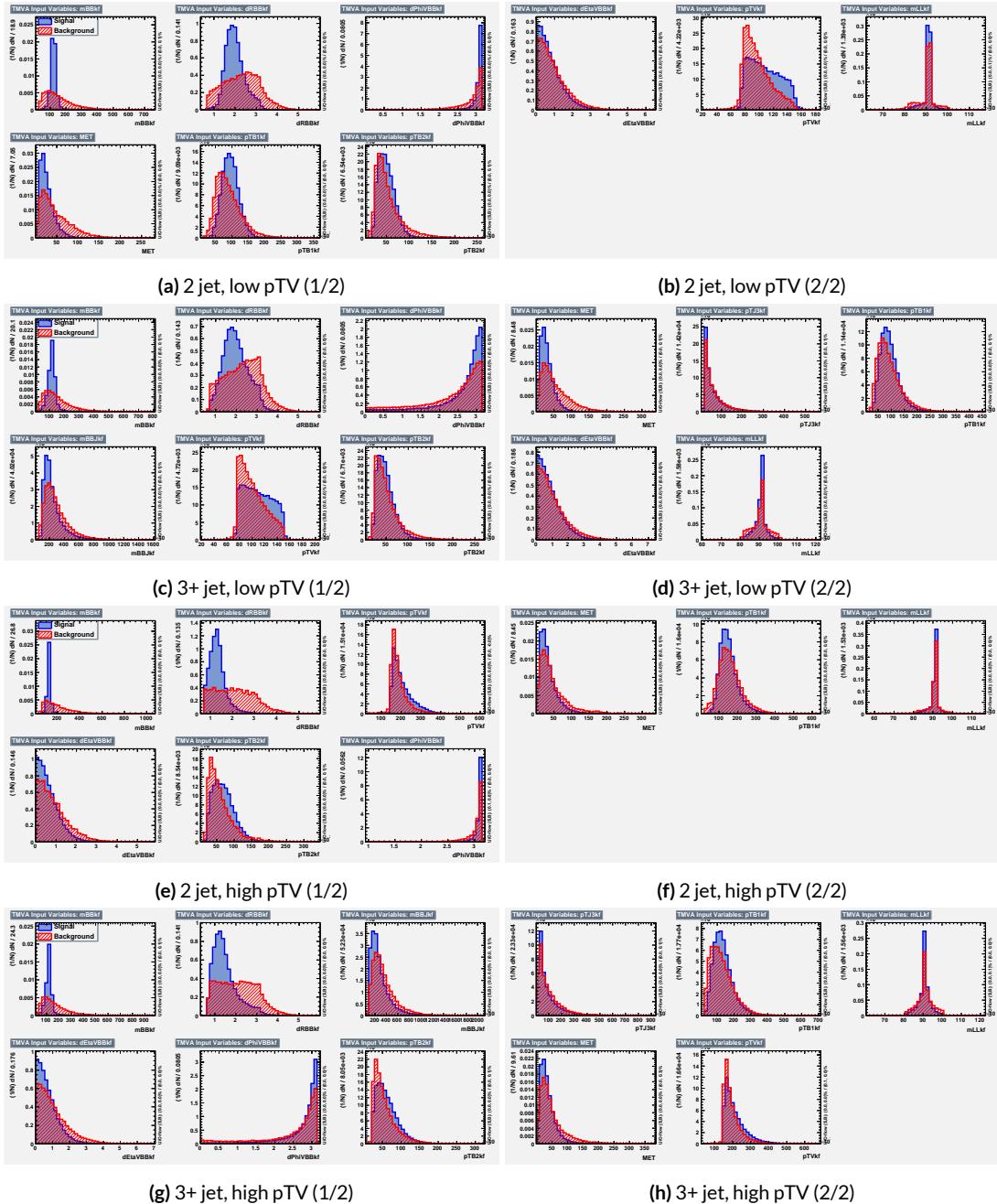


Figure 7.10: Input variables for the standard variable set.

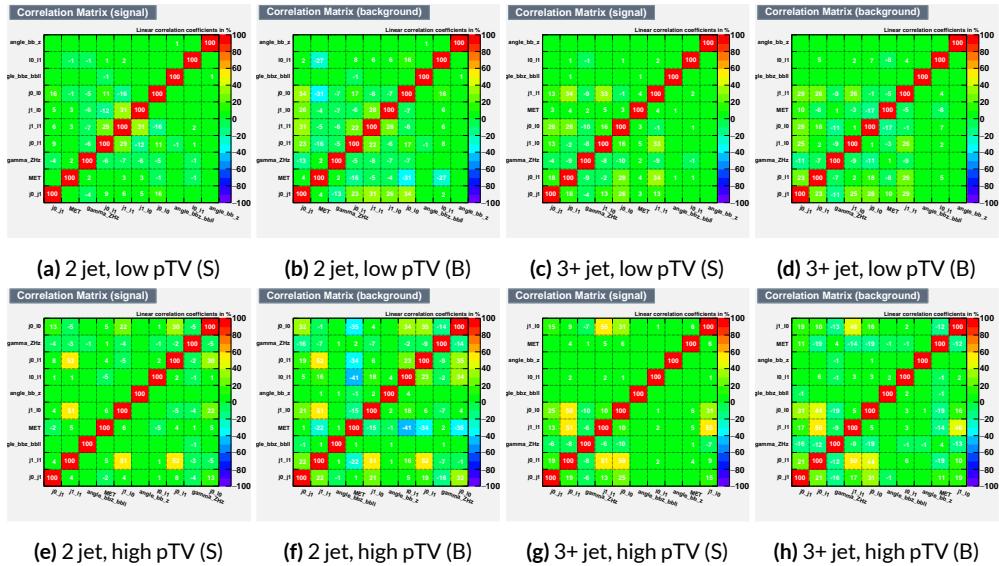


Figure 7.11: Signal and background variable correlations for the LI variable set.

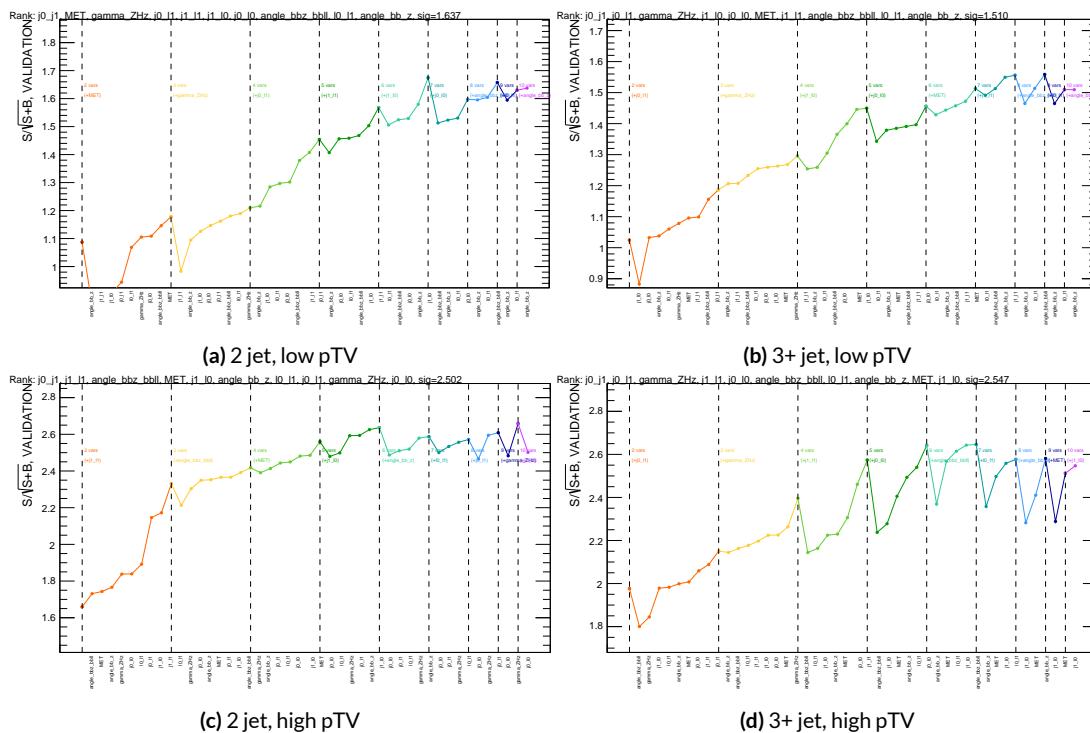


Figure 7.12: Signal and background variable correlations for the LJ variable set.

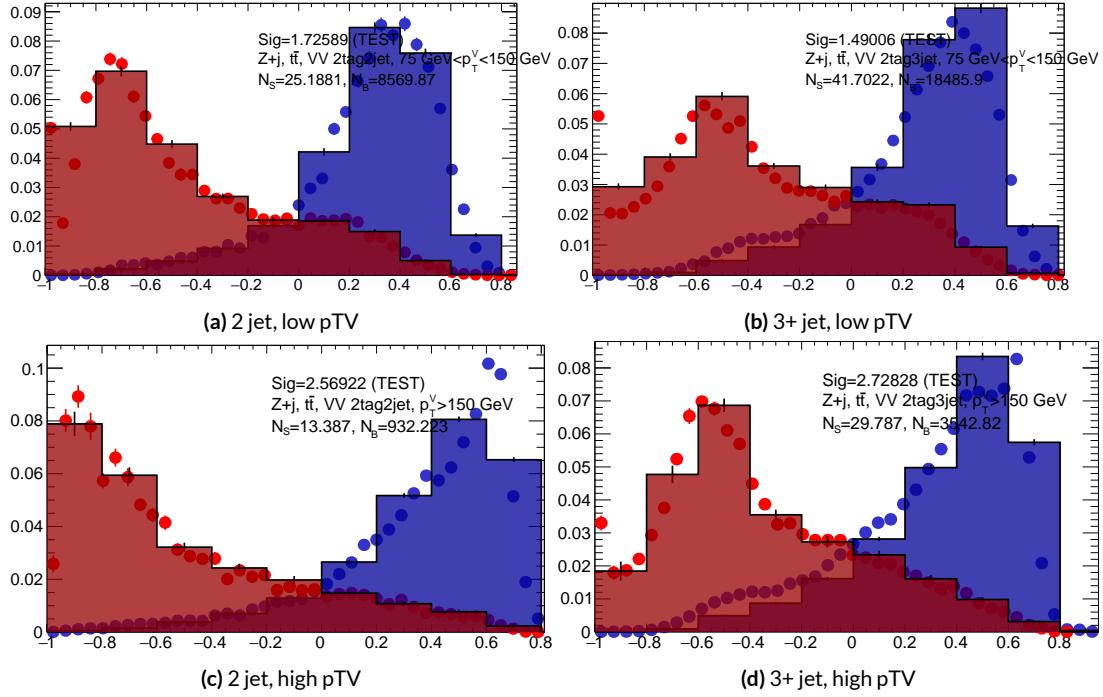


Figure 7.13: Training (points) and testing (block histogram) MVA distributions used for stat only testing for the L1 variable set.

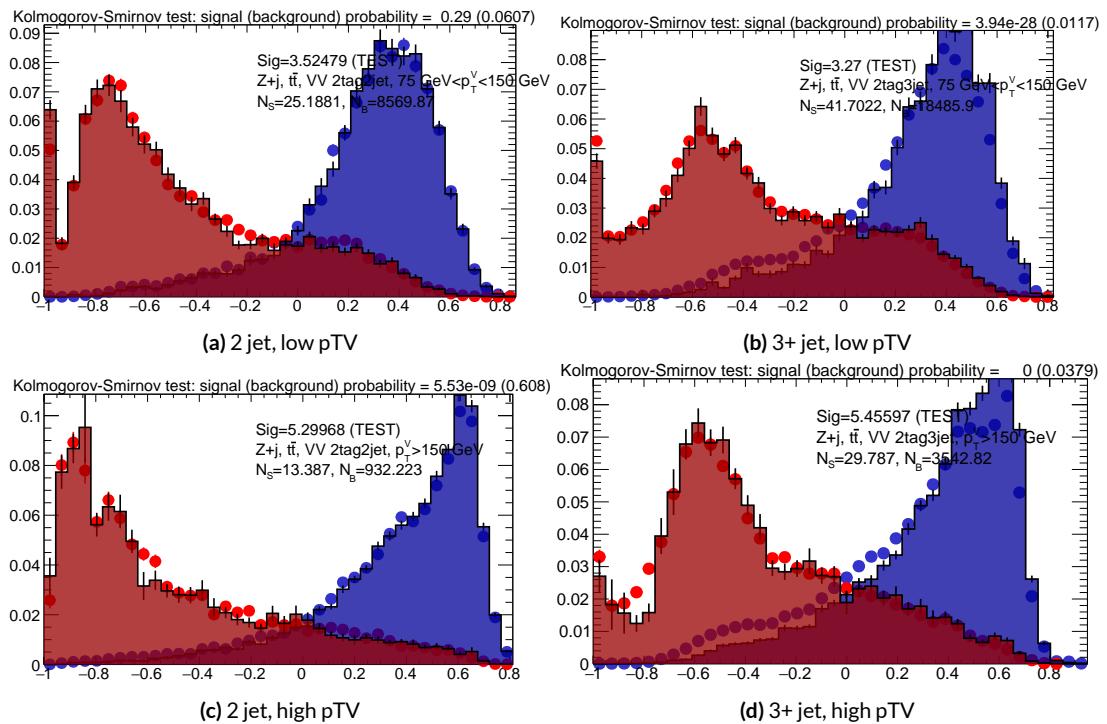


Figure 7.14: Training (points) and testing (block histogram) MVA distributions used for stat only testing for the L1 variable set with no transformation D applied.

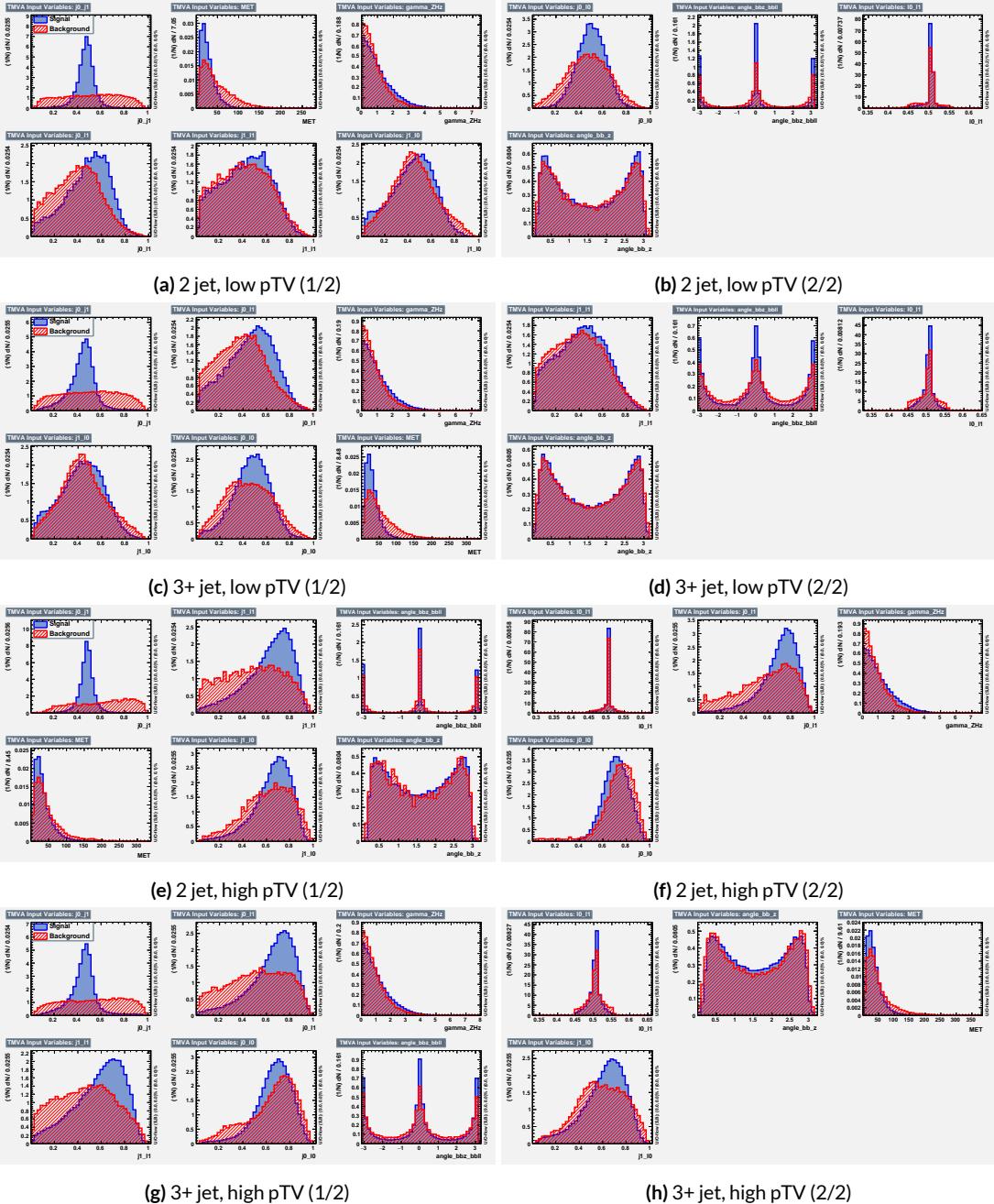


Figure 7.15: Input variables for the LI variable set.

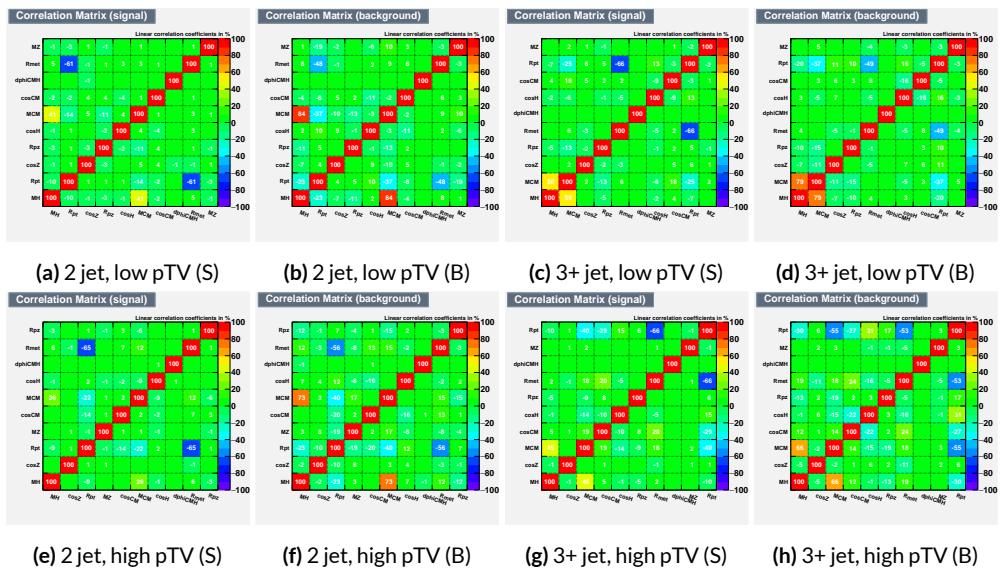


Figure 7.16: Signal and background variable correlations for the RF variable set.

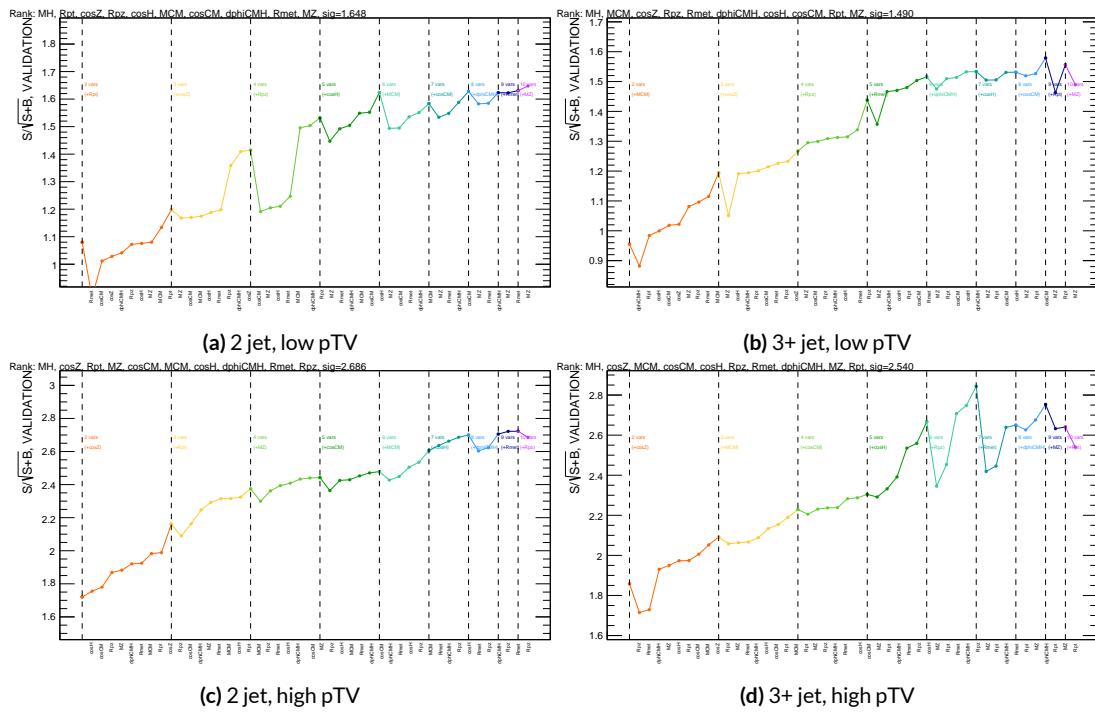


Figure 7.17: Signal and background variable correlations for the RF variable set.

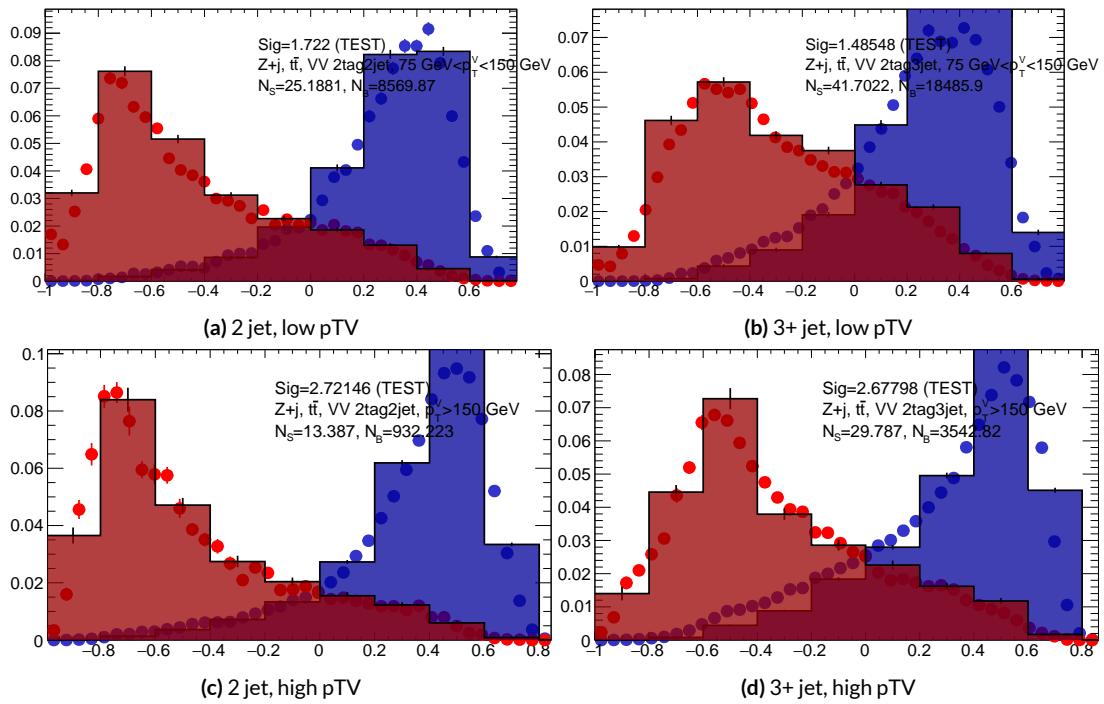


Figure 7.18: Training (points) and testing (block histogram) MVA distributions used for stat only testing for the RF variable set.

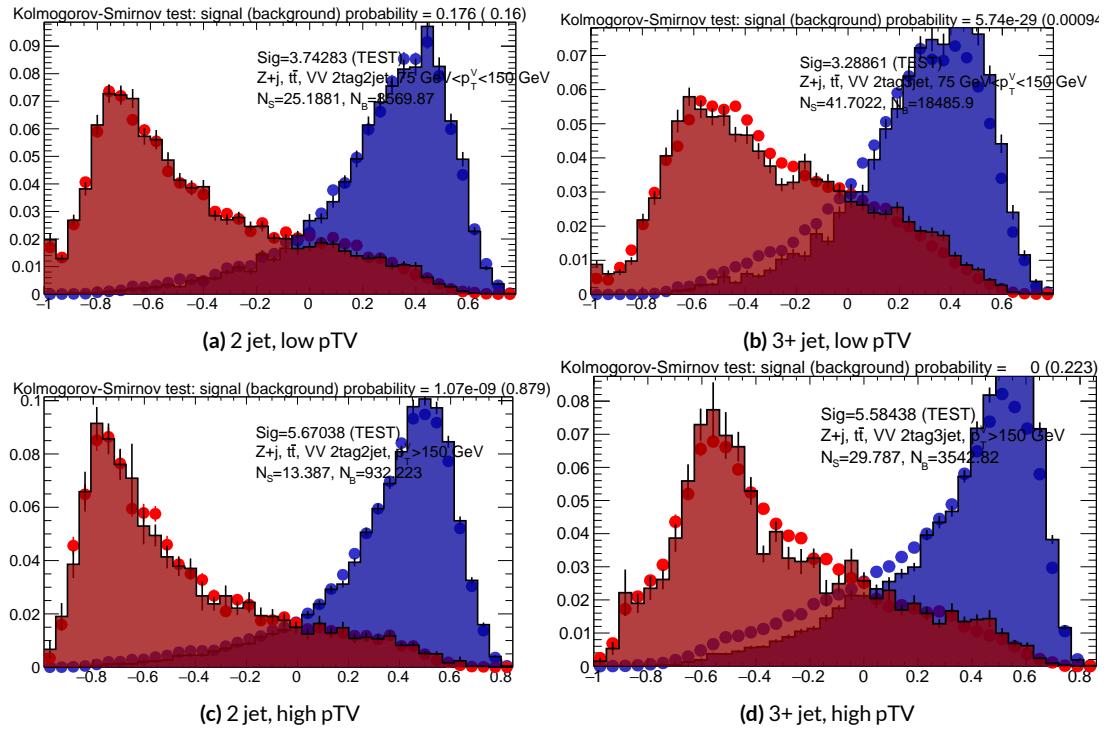


Figure 7.19: Training (points) and testing (block histogram) MVA distributions used for stat only testing for the RF variable set with no transformation D applied.

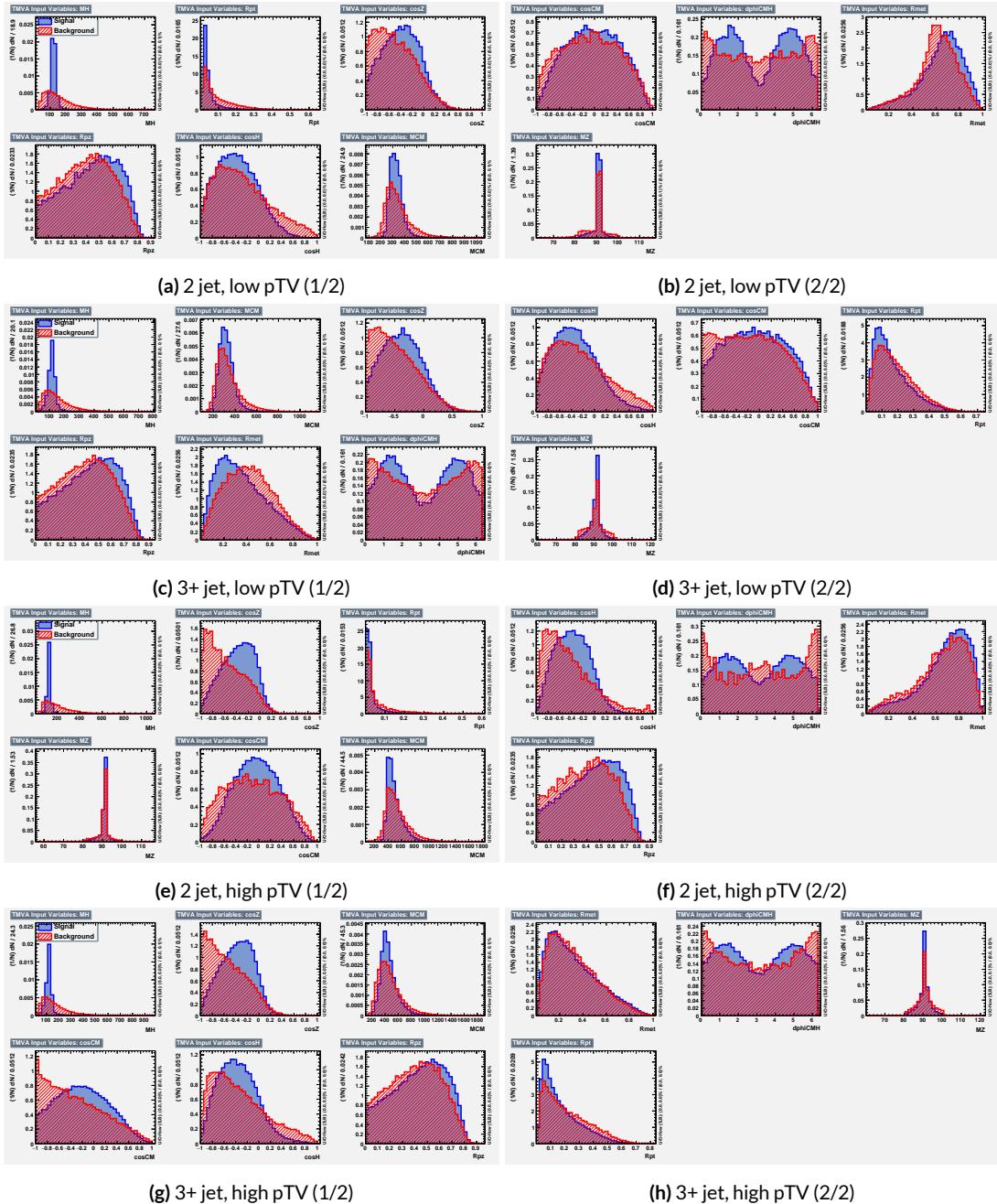


Figure 7.20: Input variables for the RF variable set.

If it's stupid but it works, it isn't stupid.

Conventional Wisdom

8

Statistical Fit Model and Validation

MUCH HAS BEEN SAID

8.1 THE FIT MODEL

In order to derive the strength of the signal process $ZH \rightarrow \ell\ell b\bar{b}$, denoted μ , and other quantities of interest while taking into account systematic uncertainties or nuisance parameters (NP's, collec-

tively denoted ϑ), a binned likelihood function is constructed as the product over bins of Poisson distributions:

$$\mathcal{L}(\mu, \vartheta) = \text{Pois}(n | \mu S + B) \left[\prod_{i \in \text{bins}} \frac{\mu s_i + b_i}{\mu S + B} \right] \quad (8.1)$$

where n is the total number of events observed, s_i and b_i are the number of expected signal and background events in each bin, and S and B are the total expected signal and background events. The signal and background expectations generally are functions of the NP's ϑ . NP's related to the normalization of signal and background processes fall into two categories. The first set is left to float freely like μ while the second set are parametrized as log-normally distributed to prevent negative predicted values. All other NP's are parametrized with Gaussian priors. This results in a “penalty” on the NLL discussed below of $(\hat{\alpha} - \mu)^2 / \sigma^2$, for NP α , normally parametrized with mean μ and variance σ^2 for an MLE of $\hat{\alpha}$.

One can maximize^{*} the likelihood in Equation 8.1 for a fixed value of μ to derive estimators for the NP's ϑ ; values of ϑ so derived are denoted $\hat{\vartheta}$ to emphasize that these are likelihood maximizing for a given ϑ . The profile likelihood technique finds the likelihood function's maximum by comparing the values of the likelihood over all possible values of μ using these “profiles” and picking the one with the greatest $\mathcal{L}(\mu, \hat{\vartheta})$ value; these values of μ and ϑ are denoted $\hat{\mu}$ and $\hat{\vartheta}$. The profile likelihood can further be used to construct a test statistic[†]

$$q = -2 \left(\log \mathcal{L}(\mu, \hat{\vartheta}) - \log \mathcal{L}(\hat{\mu}, \hat{\vartheta}) \right) \quad (8.2)$$

^{*}Maximization is mathematically identical to finding the minimum of the negative logarithm of the likelihood, which is numerically an easier problem. This is what is done.

[†]The factor of -2 is added so that this statistic gives, in the asymptotic limit of large N , a χ^2 distribution.

This statistic can be used to derive the usual significance (p value), by setting $\mu = 0$ to find the compatibility with the background-only hypothesis³. If there is insufficient evidence for the signal hypothesis, the CL_s method can be used to set limits³.

In order to both validate the fit model and study the behavior of fits independent of a given dataset, a so-called “Asimov” dataset can be constructed for a given fit model; this dataset has each bin equal to its expectation value for assumed values of the NP’s and a given μ value (in this case, $\mu = 1$, the SM prediction).

8.2 FIT INPUTS

Inputs to the binned likelihood are distributions of the BDT outputs described above for the signal regions and of m_{bb} for the top $e - \mu$ control regions. These regions split events according to their p_T^V and number of jets. All events are required to have two b -tags, as well as pass the other event selection requirements summarized in Table ??; the only difference between the signal and control region selections is that the same flavor requirement (i.e. leptons both be electrons or muons) is flipped so that events in the control region have exactly one electron and one muon. The BDT outputs are binned using transformation D, while the m_{bb} distributions have 50 GeV bins, with the exception of the 2 jet, high p_T^V region, where a single bin is used due to low statistics.

Input distributions in MC are further divided according to their physics process. The signal processes are divided based on both the identity of associated V and the number of leptons in the final state; $ZH \rightarrow \ell\ell b\bar{b}$ events are further separated into distributions for qq and gg initiated processes. $V+jets$ events are split according to V identity and into the jet flavor bins described in Section ??.

Due to the effectiveness of the 2 b -tag requirement suppressing the presence of both c and l jets, truth-tagging is used to boost MC statistics in the cc , cl , and l distributions.[‡] For top backgrounds, single top production is split according to production mode (s , t , and Wt), with $t\bar{t}$ as single category. Diboson background distributions are also split according to the identity of the V 's (ZZ , WZ , and WW). Fit input segmentation is summarized in Table 8.1.

Category	Bins
# of Jets	2, 3+
p_T^V Regions (GeV)	$[75, 150]$, $[150, \infty)$
Sample	data, signal $[(W, qqZ, ggZ) \times n_{lep}]$, $V+\text{jet} [(W, Z) \times (bb, bc, bl, cc, cl, l)]$, $t\bar{t}$, diboson (ZZ, WW, WZ), single top (s, t, Wt)

Table 8.1: Fit input segmentation.

8.3 SYSTEMATIC UNCERTAINTIES

A full discussion of systematic uncertainties can be found in⁸³. A brief summary of the NP's considered in these studies is provided below.

8.3.1 MODELING AND THEORETICAL UNCERTAINTIES

The signal and background physics processes considered in the final statistical fit and their nominal samples are described in Section ???. In addition to the nominal samples, alternate samples, described in⁸⁴, are also used to derive systematic uncertainties, also described there—these are summarized in

[‡]Since WW is not an important contribution to the already small total diboson background, no truth-tagging was applied here, in contrast to the fiducial analysis.

Table 8.2 below for the 2-lepton case. p_T^V systematics are generally shape and normalization, whereas m_{bb} systematics are shape only; these shape systematics are typically parametrized as linear functions.

Process	Systematics
Signal	$H \rightarrow bb$ decay, QCD scale, PDF+ α_S scale, UE+PS (acc., p_T^V , m_{bb} , 3/2 jet ratio)
Z+jets	Acc, flavor composition, $p_T^V+m_{bb}$ shape
$t\bar{t}$	Acc, $p_T^V+m_{bb}$ shape
Single top	Acc., $p_T^V+m_{bb}$ shape
Diboson	Overall acc., UE+PS (acc, p_T^V , m_{bb} , 3/2 jet ratio), QCD scale (acc (2, 3 jet, jet veto), p_T^V , m_{bb})

Table 8.2: Summary of modeling systematic uncertainties.

8.3.2 EXPERIMENTAL SYSTEMATICS

A full discussion may be found in ⁶³, and a full summary table may be found at Table 33 of ⁸³.

Process	Systematics
Jets	21 NP scheme for JES, JER as single NP
E_T^{miss}	trigger efficiency, track-based soft terms, scale uncertainty due to jet tracks
Flavor Tagging	Eigen parameter scheme (CDI File: 2016-20_7-13TeV-MC15-CDI-2017-06-07_v2)
Electrons	trigger eff, reco/ID eff, isolation eff, energy scale/resolution
Muons	trigger eff, reco/ID eff, isolation eff, track to vertex association, momentum resolution/scale
Event	total luminosity, pileup reweighting

Table 8.3: Summary of experimental systematic uncertainties.

8.4 POSTFIT PLOTS AND FIT VALIDATION

Plots for the postfit BDT output in the most sensitive signal region, the 2 jet, high p_T^V region are

given in Figure 8.1; postfit agreement is fairly good. Discussion of fit model validations are deferred to the appendices. The validation VZ fits and its results are described in Appendix ???. VH fit validation, including full postfit plots and a fit pull comparison, is provided in Appendix ??.

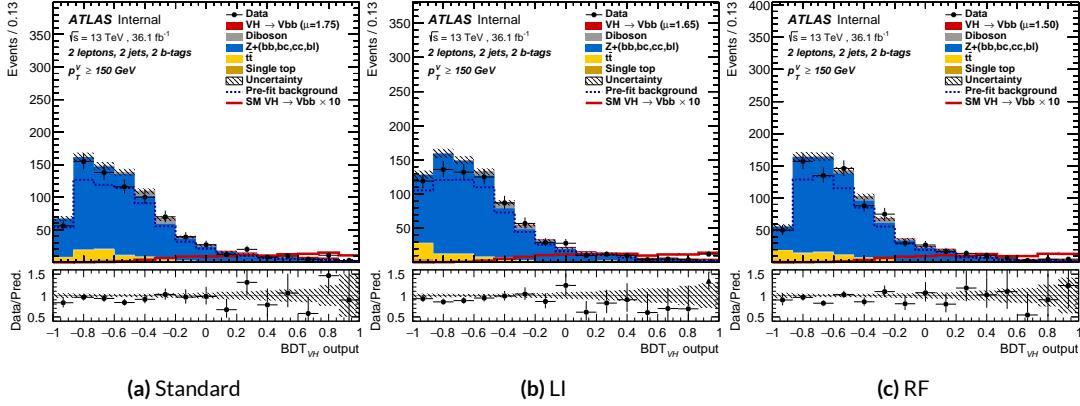


Figure 8.1: Postfit BDT_{VH} plots in the 2 jet, high p_T^V signal region for the standard, LI, and RF variable sets.

8.5 FULL BREAKDOWN OF ERRORS

A postfit ranking of nuisance parameters according to their impact on $\hat{\mu}$ for the different variable sets may be found in Figure 8.2.

	Std-KF	LI+MET	RF
Total	+0.608 / -0.511	+0.632 / -0.539	+0.600 / -0.494
DataStat	+0.420 / -0.401	+0.453 / -0.434	+0.424 / -0.404
FullSyst	+0.440 / -0.318	+0.441 / -0.319	+0.425 / -0.284
Floating normalizations	+0.122 / -0.125	+0.110 / -0.111	+0.093 / -0.089
All normalizations	+0.128 / -0.129	+0.112 / -0.112	+0.099 / -0.092
All but normalizations	+0.403 / -0.274	+0.387 / -0.250	+0.382 / -0.227
Jets, MET	+0.180 / -0.097	+0.146 / -0.079	+0.122 / -0.083
Jets	+0.051 / -0.030	+0.044 / -0.035	+0.025 / -0.042
MET	+0.173 / -0.091	+0.140 / -0.074	+0.117 / -0.063
BTag	+0.138 / -0.136	+0.069 / -0.071	+0.076 / -0.078
BTag b	+0.125 / -0.125	+0.067 / -0.070	+0.073 / -0.075
BTag c	+0.018 / -0.016	+0.004 / -0.004	+0.005 / -0.005
BTag light	+0.057 / -0.051	+0.020 / -0.014	+0.009 / -0.018
Leptons	+0.013 / -0.012	+0.029 / -0.026	+0.012 / -0.023
Luminosity	+0.052 / -0.020	+0.050 / -0.016	+0.050 / -0.019
Diboson	+0.043 / -0.039	+0.035 / -0.031	+0.038 / -0.029
Model Zjets	+0.119 / -0.117	+0.124 / -0.127	+0.095 / -0.086
Zjets flt. norm.	+0.080 / -0.106	+0.052 / -0.092	+0.026 / -0.072
Model Wjets	+0.001 / -0.001	+0.001 / -0.001	+0.000 / -0.001
Wjets flt. norm.	+0.000 / -0.000	+0.000 / -0.000	+0.000 / -0.000
Model ttbar	+0.076 / -0.080	+0.025 / -0.035	+0.025 / -0.040
Model Single Top	+0.015 / -0.015	+0.002 / -0.004	+0.021 / -0.007
Model Multi Jet	+0.000 / -0.000	+0.000 / -0.000	+0.000 / -0.000
Signal Systematics	+0.262 / -0.087	+0.272 / -0.082	+0.290 / -0.088
MC stat	+0.149 / -0.136	+0.168 / -0.154	+0.153 / -0.136

Table 8.4: Expected error breakdowns for the standard, LI, and RF variable sets

	Std-KF	LI+MET	RF
$\hat{\mu}$	1.7458	1.6467	1.5019
Total	+0.811 / -0.662	+0.778 / -0.641	+0.731 / -0.612
DataStat	+0.502 / -0.484	+0.507 / -0.489	+0.500 / -0.481
FullSyst	+0.637 / -0.451	+0.591 / -0.415	+0.533 / -0.378
Floating normalizations	+0.153 / -0.143	+0.128 / -0.118	+0.110 / -0.109
All normalizations	+0.158 / -0.147	+0.130 / -0.119	+0.112 / -0.110
All but normalizations	+0.599 / -0.402	+0.544 / -0.354	+0.486 / -0.318
Jets, MET	+0.218 / -0.145	+0.198 / -0.113	+0.167 / -0.106
Jets	+0.071 / -0.059	+0.065 / -0.047	+0.036 / -0.051
MET	+0.209 / -0.130	+0.190 / -0.102	+0.152 / -0.077
BTag	+0.162 / -0.166	+0.093 / -0.070	+0.115 / -0.099
BTag b	+0.142 / -0.147	+0.090 / -0.066	+0.110 / -0.094
BTag c	+0.022 / -0.021	+0.006 / -0.006	+0.007 / -0.007
BTag light	+0.074 / -0.072	+0.025 / -0.022	+0.031 / -0.029
Leptons	+0.039 / -0.029	+0.035 / -0.031	+0.034 / -0.030
Luminosity	+0.079 / -0.039	+0.073 / -0.034	+0.069 / -0.032
Diboson	+0.047 / -0.043	+0.031 / -0.028	+0.029 / -0.028
Model Zjets	+0.164 / -0.152	+0.141 / -0.143	+0.101 / -0.105
Zjets flt. norm.	+0.070 / -0.109	+0.041 / -0.086	+0.033 / -0.083
Model Wjets	+0.001 / -0.001	+0.001 / -0.000	+0.001 / -0.001
Wjets flt. norm.	+0.000 / -0.000	+0.000 / -0.000	+0.000 / -0.000
Model ttbar	+0.067 / -0.102	+0.029 / -0.040	+0.040 / -0.048
Model Single Top	+0.015 / -0.020	+0.001 / -0.005	+0.004 / -0.006
Model Multi Jet	+0.000 / -0.000	+0.000 / -0.000	+0.000 / -0.000
Signal Systematics	+0.434 / -0.183	+0.418 / -0.190	+0.364 / -0.152
MC stat	+0.226 / -0.201	+0.221 / -0.200	+0.212 / -0.189

Table 8.5: Observed signal strengths, and error breakdowns for the standard, LI, and RF variable sets

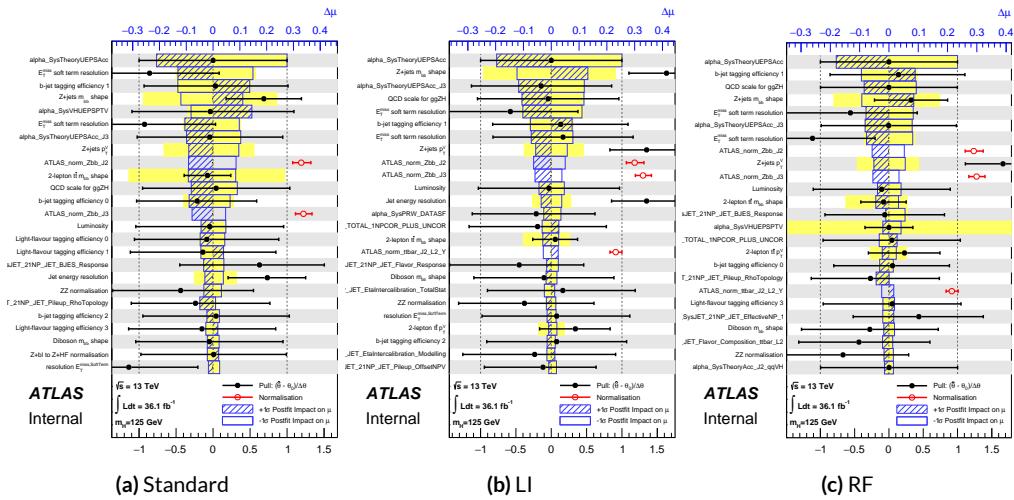


Figure 8.2: Plots for the top 25 nuisance parameters according to their postfit impact on $\hat{\mu}$ for the standard (a), LI (b), and RF (c) variable sets.

8.6 S/B PLOT

Plots for the binned S/B in signal region distributions may be found in Figure 8.3.

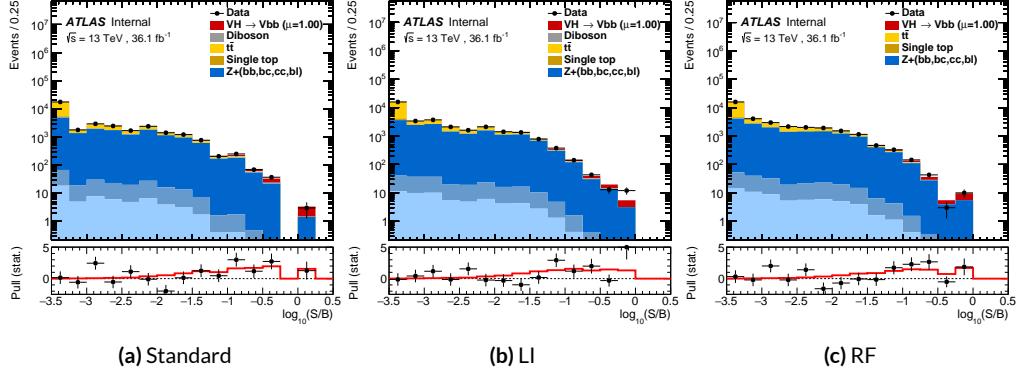


Figure 8.3: Binned S/B plots for the standard (a), LI (b), and RF (c) variable sets.

8.7 POSTFIT DISTRIBUTIONS

Postfit distributions for the MVA discriminant (m_{bb}) distribution in the signal (top $e - \mu$ control) region for the standard, Lorentz Invariant, and RestFrames variable sets.

8.8 NUISANCE PARAMETER PULLS

As can be seen in Figures 8.10–8.14, the fits for the three different variable sets are fairly similar from a NP pull perspective. Black is the standard variable set, red is the LI set, and blue is the RF set.

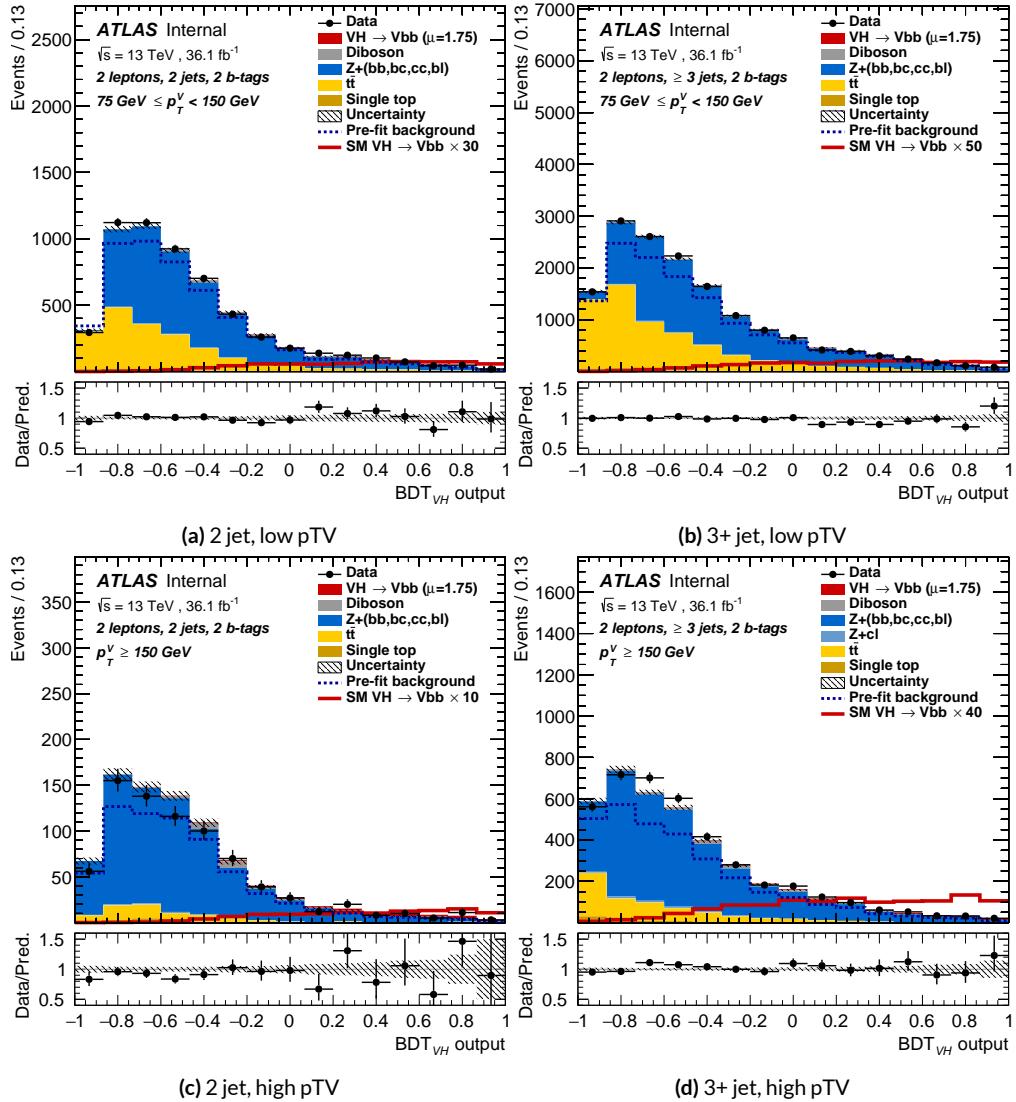


Figure 8.4: Postfit BDT_{VH} plots in the signal region for the standard variable set.

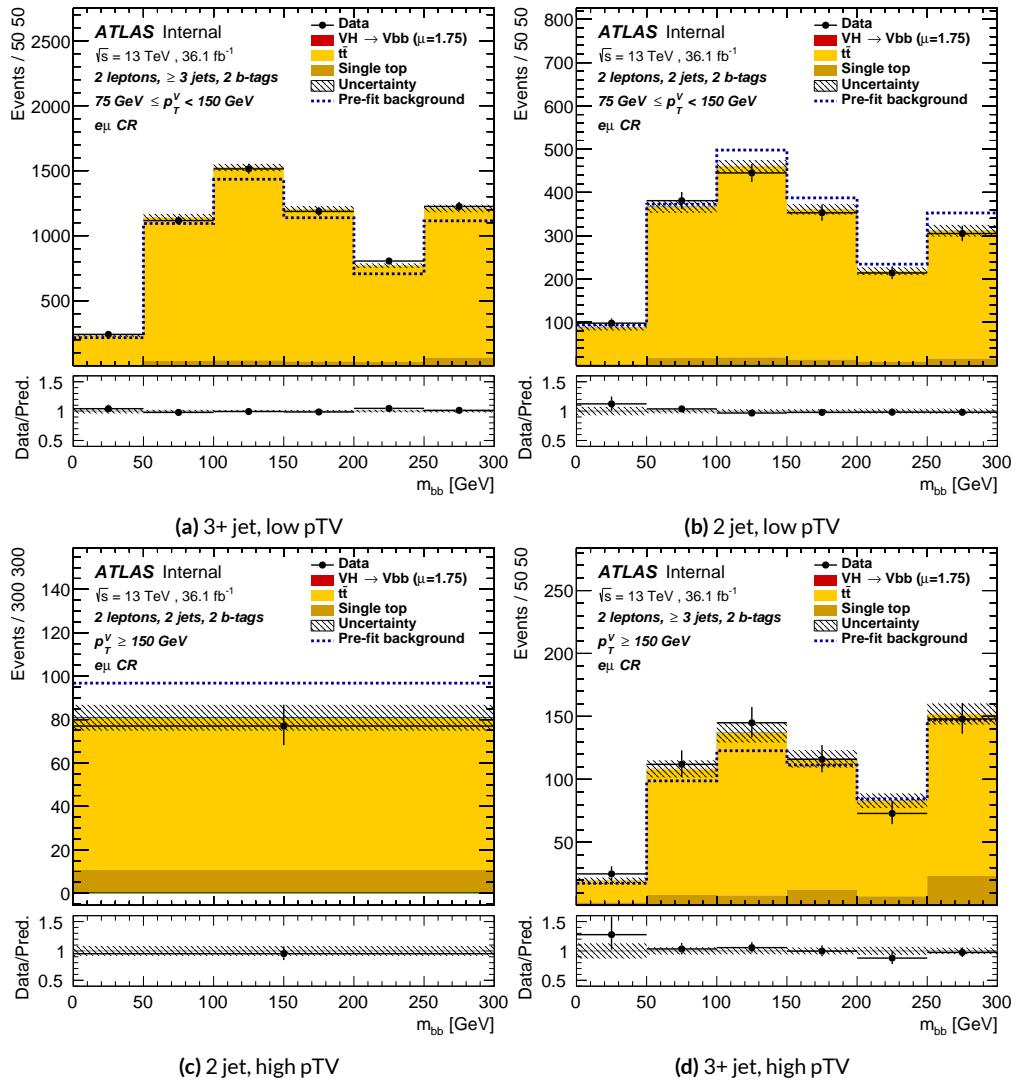


Figure 8.5: Postfit m_{bb} plots in the top $e - \mu$ CR for the standard variable set.

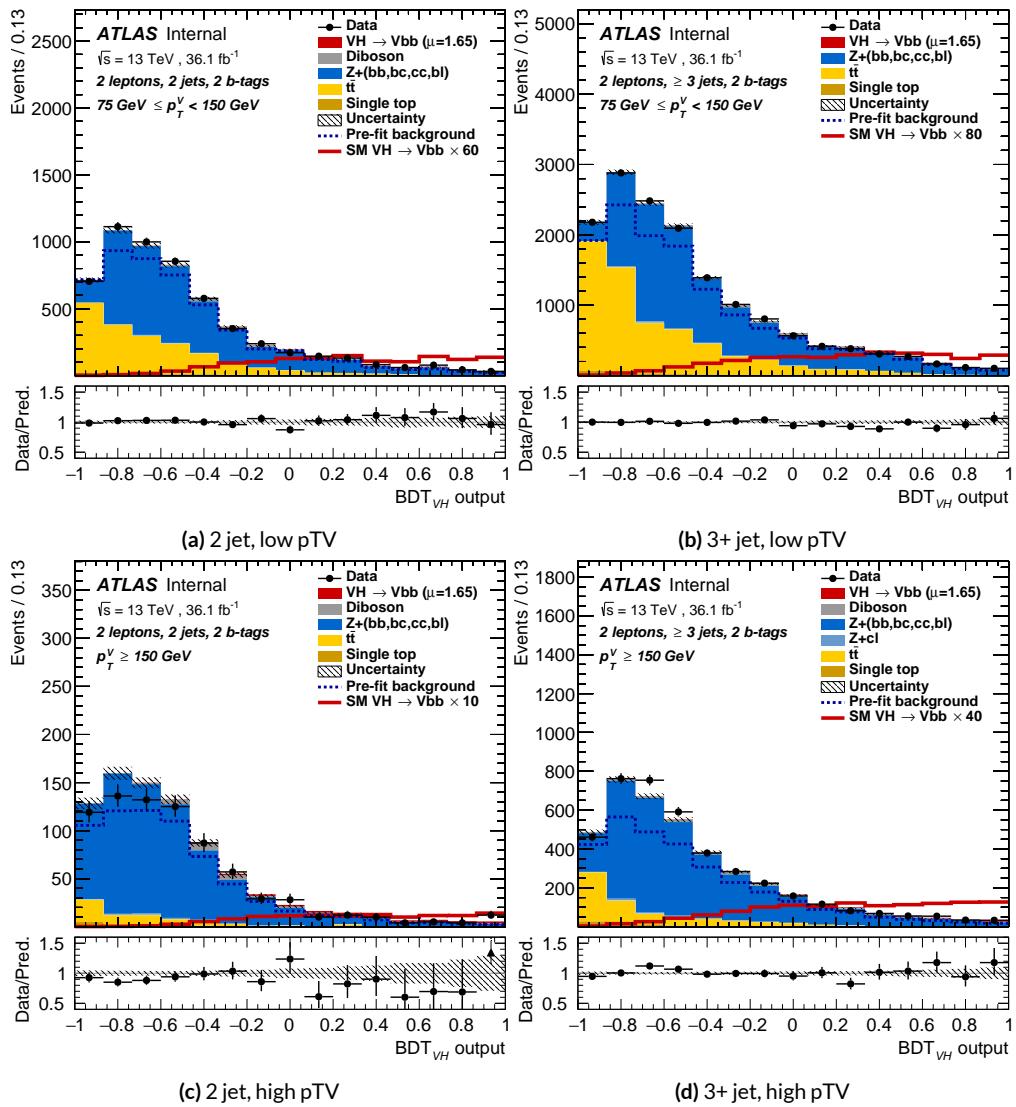


Figure 8.6: Postfit BDT_{VH} plots in the signal region for the LI variable set.

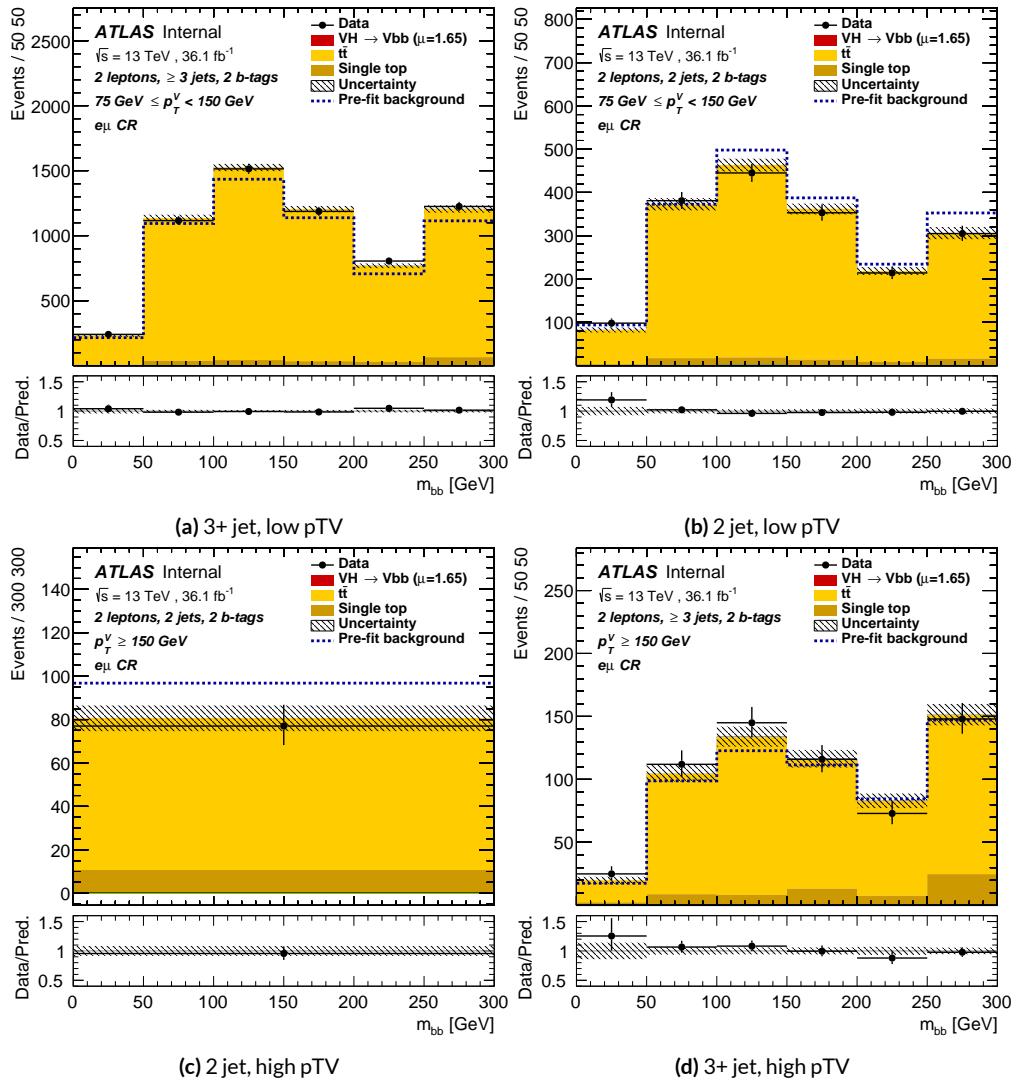


Figure 8.7: Postfit m_{bb} plots in the top $e - \mu$ CR for the LI variable set.

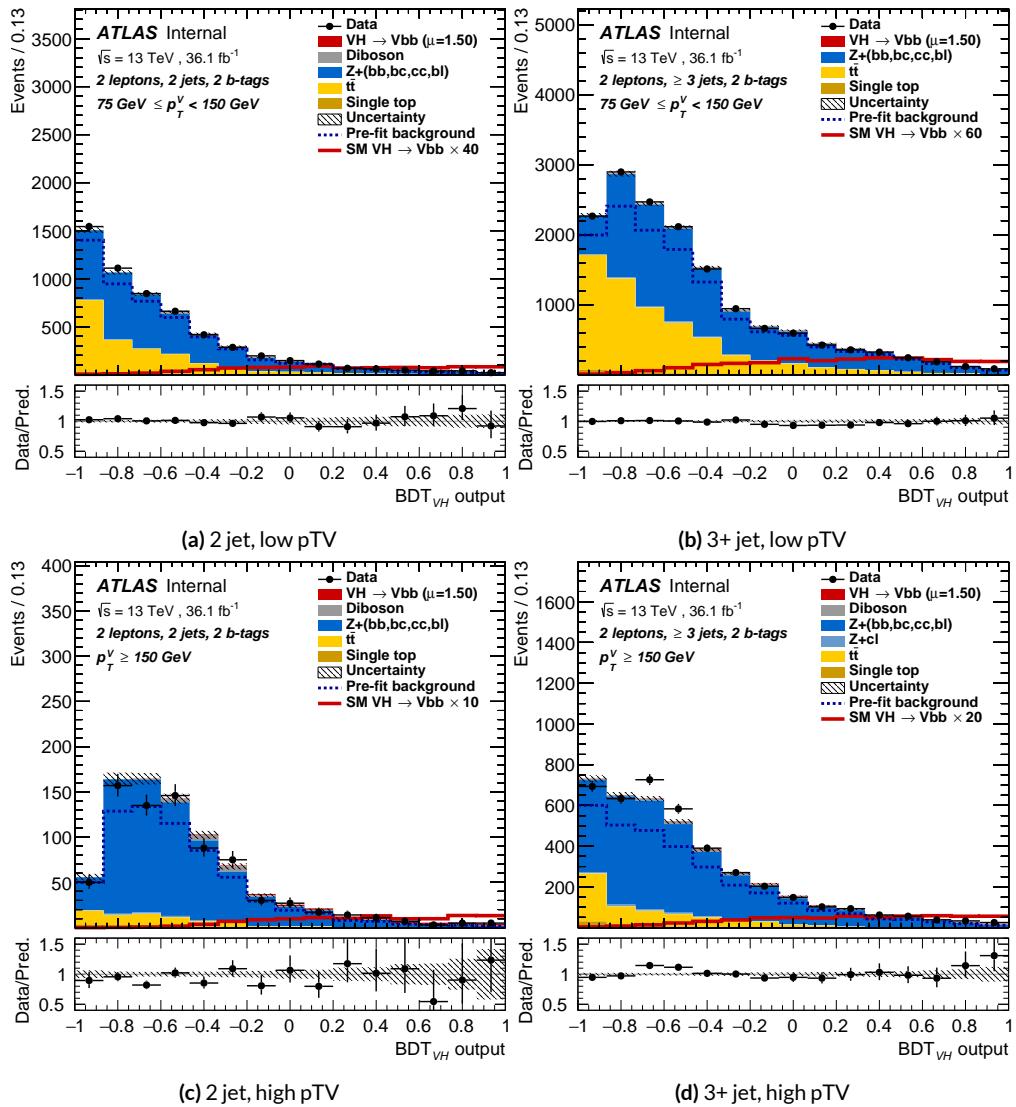


Figure 8.8: Postfit BDT_{VH} plots in the signal region for the RF variable set.

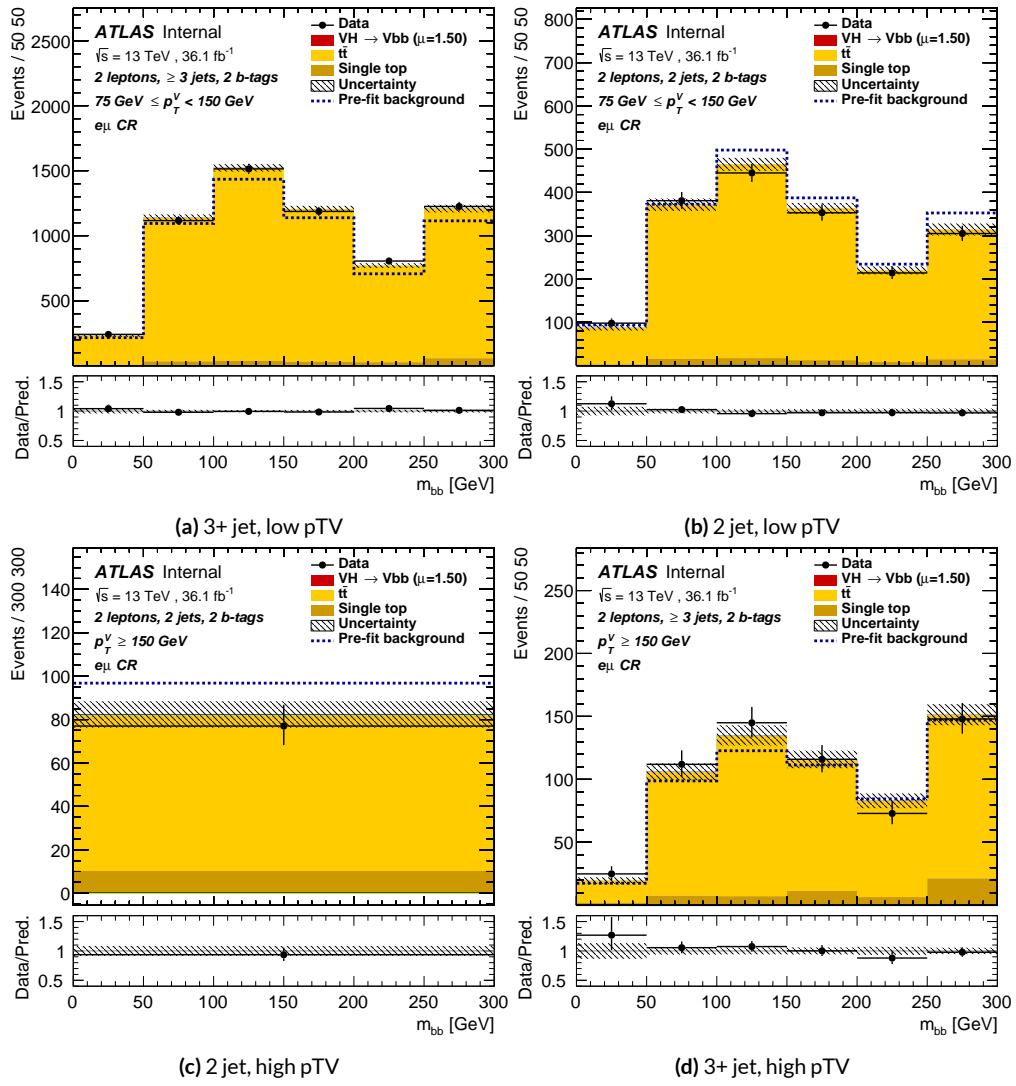


Figure 8.9: Postfit m_{bb} plots in the top $e - \mu$ CR for the RF variable set.

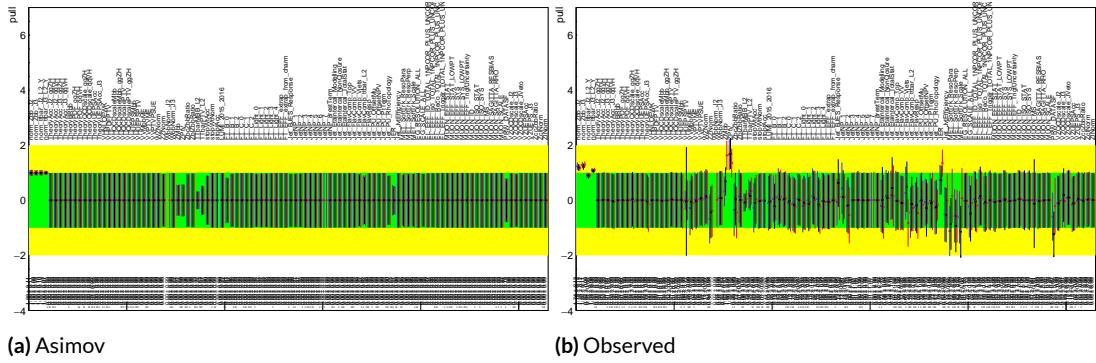


Figure 8.10: Pull comparison for all NP's but MC stats.

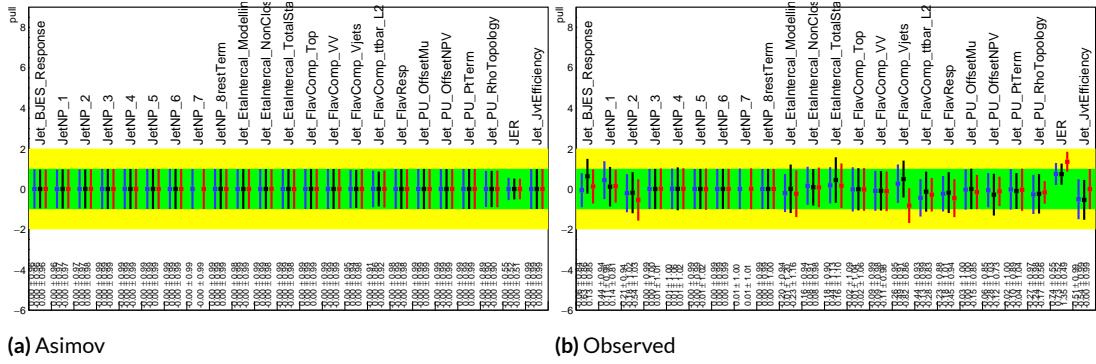


Figure 8.11: Pull comparison for jet NP's.

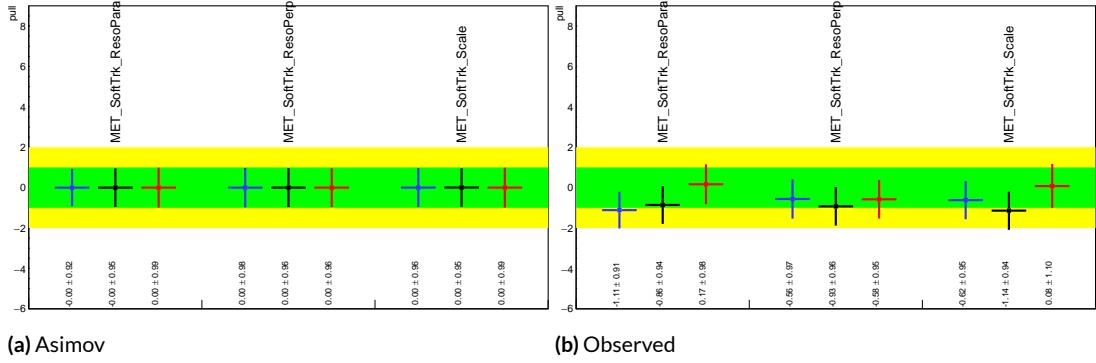


Figure 8.12: Pull comparison for MET NP's.

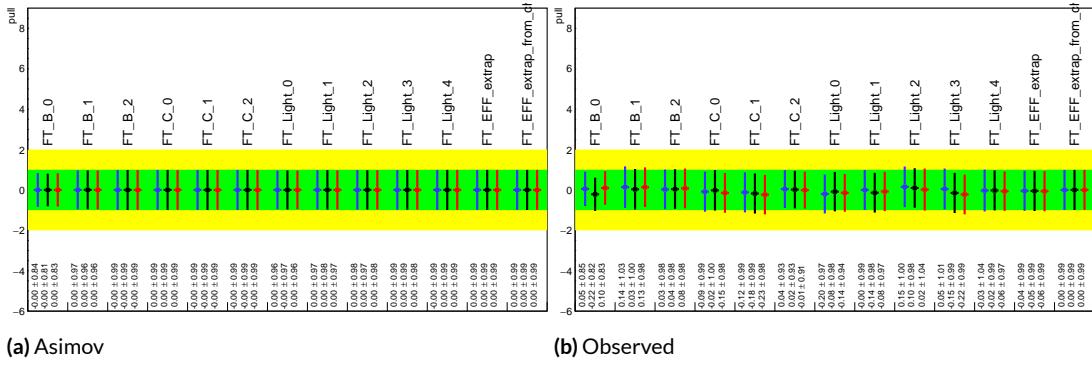


Figure 8.13: Pull comparison for Flavour Tagging NP's.

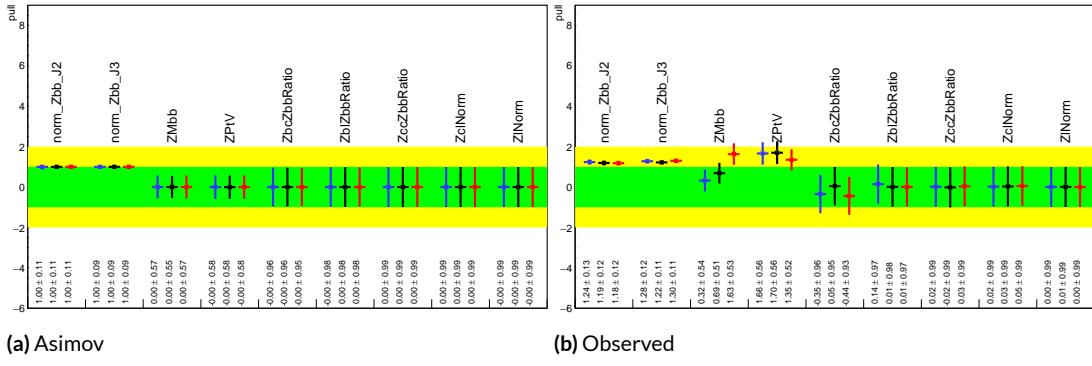


Figure 8.14: Pull comparison for $Z + \text{jets}$ NP's.

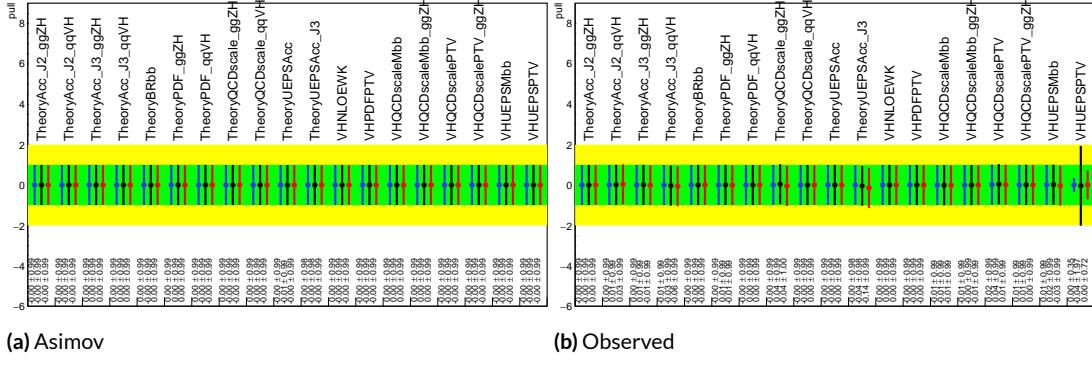


Figure 8.15: Pull comparison for signal process modeling NP's.

8.9 NUISANCE PARAMETER CORRELATIONS

Nuisance parameter correlation matrices (for correlations with magnitude at least 0.25) for all three

variable set fits can be found in Figure ?? for Asimov fits and Figure ?? for observed fits.

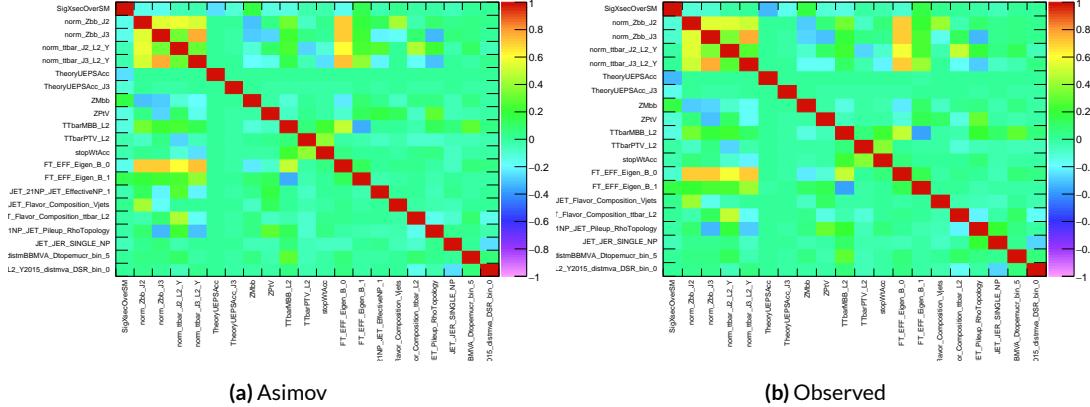


Figure 8.16: NP correlations for standard variable fits.

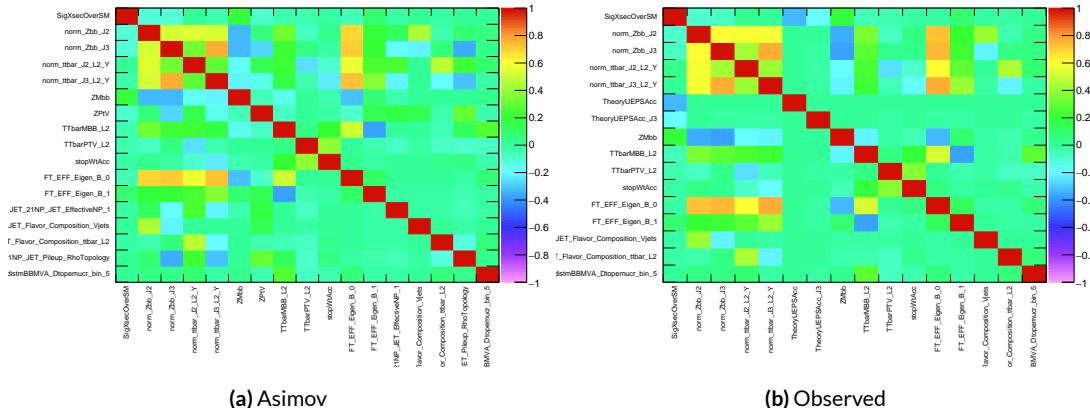


Figure 8.17: NP correlations for L1 variable fits.

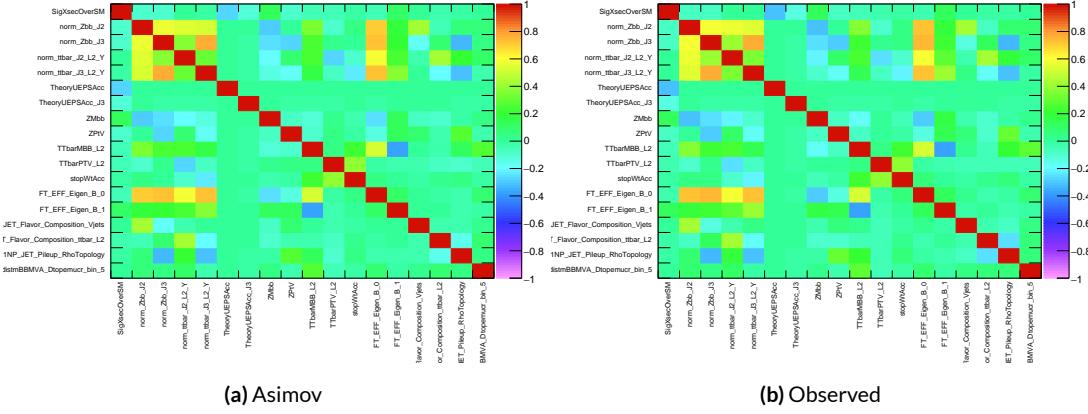


Figure 8.18: NP correlations for RF variable fits.

8.10 SUMMARY OF RESULTS

One of the primary validation cross-checks for the fiducial analysis was a VZ fit—that is, conducting the entire analysis but looking for $Z \rightarrow b\bar{b}$ decays instead of the Higgs. To do this, a new MVA discriminant is made by keeping all hyperparameter configurations the same (e.g. variable ranking) but using diboson samples as signal. For the 2-lepton case, this means using $ZZ \rightarrow \ell\ell b\bar{b}$ as the signal sample. This new MVA is used to make the inputs described in Section 8.2, and the fit is then run as for the VH fit (again, with ZZ as signal).

The VZ fit sensitivities for the standard, LI, and RF fits are summarized in Table 8.6. The expected significances are all fairly comparable and about what was the case in the fiducial analysis.

The observed significance for the standard set matches fairly well with the expected value on data, but the LI and RF observed significances are quite a bit lower.

These values, however, are consistent with the observed signal strength values, which can be seen in Figure 8.19 (b), with both the LI and RF fits showing a deficit of signal events with respect to the

	Standard	LI	RF
Expected (Asimov)	3.83	3.67	3.72
Expected (data)	3.00	2.95	3.11
Observed (data)	3.17	1.80	2.09

Table 8.6: Expected (for both data and Asimov) and observed $VZ \rightarrow \ell\ell b\bar{b}$ sensitivities for the standard, LI, and RF variable sets.

SM expectation, though not by much more than one standard deviation (a possible explanation is explored in the following section). Just as in the VH fits, errors arising systematic uncertainties are lower in the fits to the observed dataset. That the effect is not noticeable in Asimov fits is not too surprising, since this analysis (and these variable configurations in particular), is not optimized for VZ .

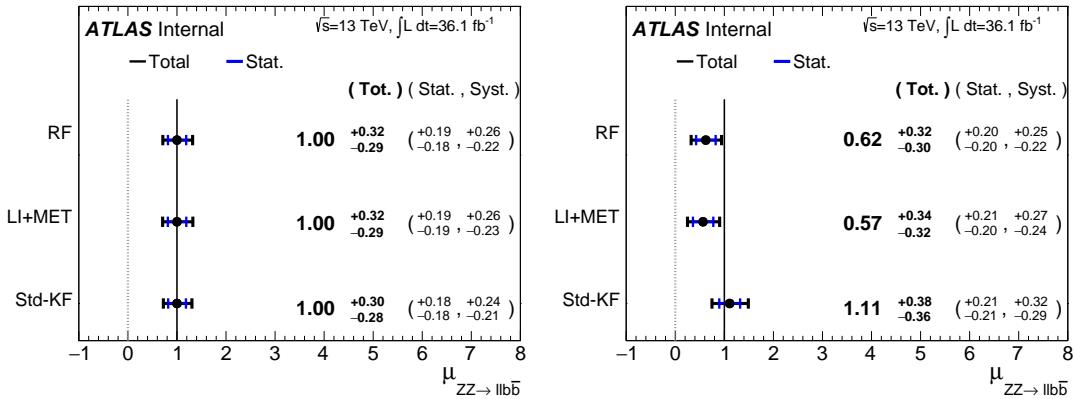


Figure 8.19: μ summary plots for the standard, LI, and RF variable sets. The Asimov case (with $\mu = 1$ by construction) is in (a), and $\hat{\mu}$ best fit values and error summary are in (b).

8.II 2 AND ≥ 3 JET FITS

While the treatment of simply ignoring any additional jets in the event is fine for the treatment in the VH analysis, the potential shortcoming of this treatment appears in the VZ analysis when the 2 and ≥ 3 jet cases are fit separately[§], as can be seen in Figure 8.20. Compared to the standard fit, the LI and RF fits have lower $\hat{\mu}_{\geq 3 \text{jet}}$ values, consistent with the interpretation that the additional information in the ≥ 3 jet regions for the standard case is important for characterizing events in these regions for VZ fits.

A natural question to ask is why this would be an issue for the VZ but not the VH case. One potential answer is that at high transverse boosts, there is a greater probability for final state radiation in the hadronically decaying Z , so there are more events where the third jet should be included in the calculation of variables like $m_{b\bar{b}}$ or for angles involving the $b\bar{b}$ system (e.g. \cosh in the RF case). While the absolute scale at which the low and high p_T^V regions are separated remains the same does not change from the VH to the VZ analysis, 150 GeV, the implicit cutoff on the transverse boost of the hadronically decaying boson does. For the Higgs, with a mass of 125 GeV, the p_T^V cutoff corresponds to $\gamma \sim 1.56 - 6.74$, but for the Z , with a mass of 91 GeV, this is $\gamma \sim 1.93 - 9.21$, about 23–37% higher.

If either the LI or RF schemes were to be used in a mainstream analysis, these validation fits suggest that perhaps events with 4 or more jets should be excluded (as in the 0 and 1-lepton cases) or that the third jet ought to be included in variable schemes (e.g. by adding the third jet to the Higgs

[§]standalone fits, with half the regions each, not 2 POI fits

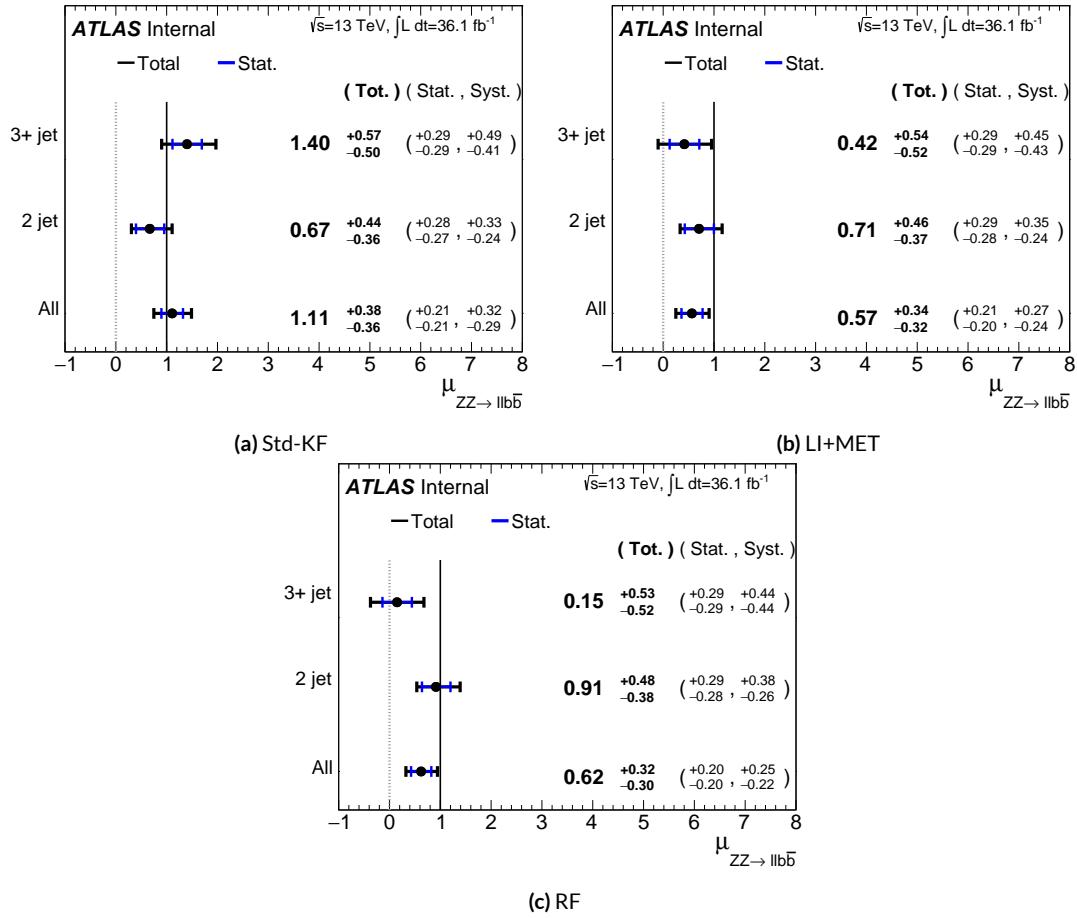


Figure 8.20: $\hat{\mu}$ summary plots with standalone fits for the different n_{jet} regions for the standard, LI, and RF variable sets.

in the high p_T^V case). Nevertheless, this optimization is beyond the scope of these studies, which aim to preserve as much of the fiducial analysis as possible for as straightforward a comparison as possible.

8.12 NUISANCE PARAMETER RANKING PLOTS AND BREAKDOWNS

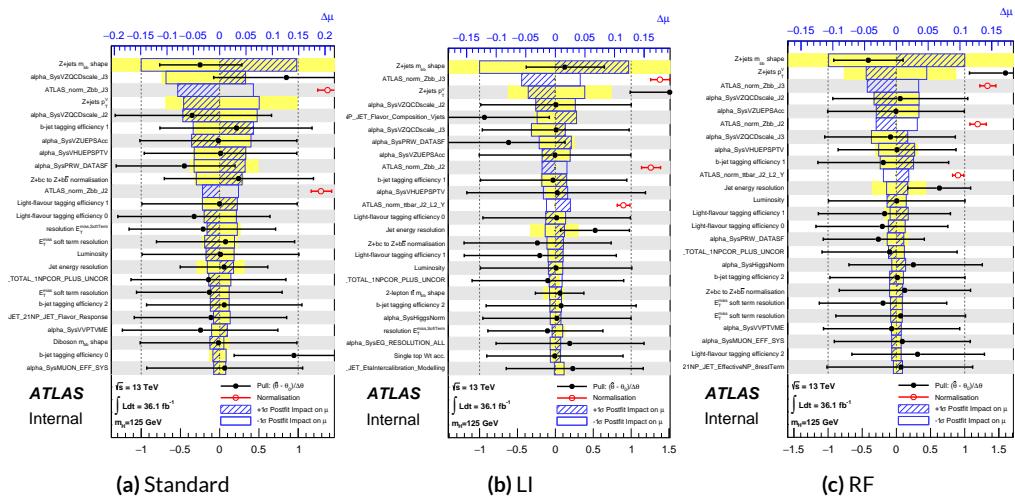


Figure 8.21: Plots for the top 25 nuisance parameters according to their postfit impact on $\hat{\mu}$ for the standard (a), LI (b), and RF (c) variable sets.

	Std-KF	LI+MET	RF
Total	+0.305 / -0.277	+0.324 / -0.292	+0.319 / -0.288
DataStat	+0.183 / -0.179	+0.190 / -0.186	+0.188 / -0.184
FullSyst	+0.244 / -0.212	+0.262 / -0.226	+0.258 / -0.221
Floating normalizations	+0.092 / -0.084	+0.098 / -0.079	+0.094 / -0.076
All normalizations	+0.093 / -0.084	+0.098 / -0.079	+0.094 / -0.076
All but normalizations	+0.214 / -0.179	+0.229 / -0.188	+0.224 / -0.182
Jets, MET	+0.052 / -0.043	+0.041 / -0.034	+0.047 / -0.037
Jets	+0.034 / -0.029	+0.033 / -0.028	+0.032 / -0.026
MET	+0.035 / -0.027	+0.015 / -0.012	+0.020 / -0.016
BTag	+0.064 / -0.051	+0.063 / -0.031	+0.059 / -0.032
BTag b	+0.053 / -0.041	+0.061 / -0.028	+0.055 / -0.025
BTag c	+0.011 / -0.010	+0.006 / -0.005	+0.007 / -0.006
BTag light	+0.030 / -0.027	+0.016 / -0.013	+0.022 / -0.019
Leptons	+0.021 / -0.012	+0.022 / -0.014	+0.023 / -0.014
Luminosity	+0.039 / -0.022	+0.039 / -0.022	+0.040 / -0.022
Diboson	+0.049 / -0.028	+0.047 / -0.026	+0.047 / -0.026
Model Zjets	+0.106 / -0.105	+0.113 / -0.110	+0.102 / -0.099
Zjets flt. norm.	+0.039 / -0.053	+0.024 / -0.029	+0.021 / -0.031
Model Wjets	+0.000 / -0.000	+0.000 / -0.000	+0.000 / -0.000
Wjets flt. norm.	+0.000 / -0.000	+0.000 / -0.000	+0.000 / -0.000
Model ttbar	+0.015 / -0.013	+0.032 / -0.017	+0.030 / -0.016
Model Single Top	+0.004 / -0.003	+0.009 / -0.008	+0.005 / -0.004
Model Multi Jet	+0.000 / -0.000	+0.000 / -0.000	+0.000 / -0.000
Signal Systematics	+0.003 / -0.003	+0.003 / -0.003	+0.003 / -0.003
MC stat	+0.097 / -0.094	+0.108 / -0.103	+0.107 / -0.104

Table 8.7: Summary of impact of various nuisance parameter categories on the error on μ for Asimov fits for the standard, LI, and RF variable sets.

	Std-KF	LI+MET	RF
$\hat{\mu}$	1.1079	0.5651	0.6218
Total	+0.381 / -0.360	+0.339 / -0.316	+0.322 / -0.299
DataStat	+0.214 / -0.211	+0.210 / -0.205	+0.201 / -0.197
FullSyst	+0.315 / -0.292	+0.267 / -0.241	+0.252 / -0.225
Floating normalizations	+0.120 / -0.122	+0.095 / -0.089	+0.082 / -0.079
All normalizations	+0.121 / -0.123	+0.095 / -0.090	+0.082 / -0.079
All but normalizations	+0.279 / -0.254	+0.228 / -0.200	+0.213 / -0.184
Jets, MET	+0.076 / -0.065	+0.045 / -0.043	+0.038 / -0.033
Jets	+0.047 / -0.040	+0.044 / -0.041	+0.027 / -0.024
MET	+0.055 / -0.046	+0.015 / -0.015	+0.012 / -0.010
BTag	+0.083 / -0.079	+0.041 / -0.031	+0.041 / -0.035
BTag b	+0.063 / -0.059	+0.032 / -0.022	+0.031 / -0.026
BTag c	+0.018 / -0.017	+0.008 / -0.007	+0.010 / -0.009
BTag light	+0.051 / -0.046	+0.024 / -0.021	+0.025 / -0.022
Leptons	+0.022 / -0.011	+0.015 / -0.008	+0.019 / -0.008
Luminosity	+0.044 / -0.022	+0.026 / -0.006	+0.027 / -0.008
Diboson	+0.049 / -0.026	+0.025 / -0.013	+0.027 / -0.017
Model Zjets	+0.156 / -0.162	+0.133 / -0.133	+0.115 / -0.117
Zjets flt. norm.	+0.061 / -0.089	+0.041 / -0.064	+0.028 / -0.056
Model Wjets	+0.000 / -0.001	+0.000 / -0.001	+0.000 / -0.001
Wjets flt. norm.	+0.000 / -0.001	+0.000 / -0.001	+0.000 / -0.001
Model ttbar	+0.015 / -0.024	+0.018 / -0.005	+0.017 / -0.009
Model Single Top	+0.005 / -0.003	+0.010 / -0.008	+0.007 / -0.004
Model Multi Jet	+0.000 / -0.001	+0.000 / -0.001	+0.000 / -0.001
Signal Systematics	+0.005 / -0.004	+0.009 / -0.006	+0.005 / -0.006
MC stat	+0.140 / -0.143	+0.132 / -0.131	+0.128 / -0.129

Table 8.8: Summary of impact of various nuisance parameter categories on the error on $\hat{\mu}$ for observed fits for the standard, LI, and RF variable sets.

8.13 POSTFIT DISTRIBUTIONS

Postfit distributions for the MVA discriminant (m_{bb}) distribution in the signal (top $e - \mu$ control)

region for the standard, Lorentz Invariant, and RestFrames variable sets.

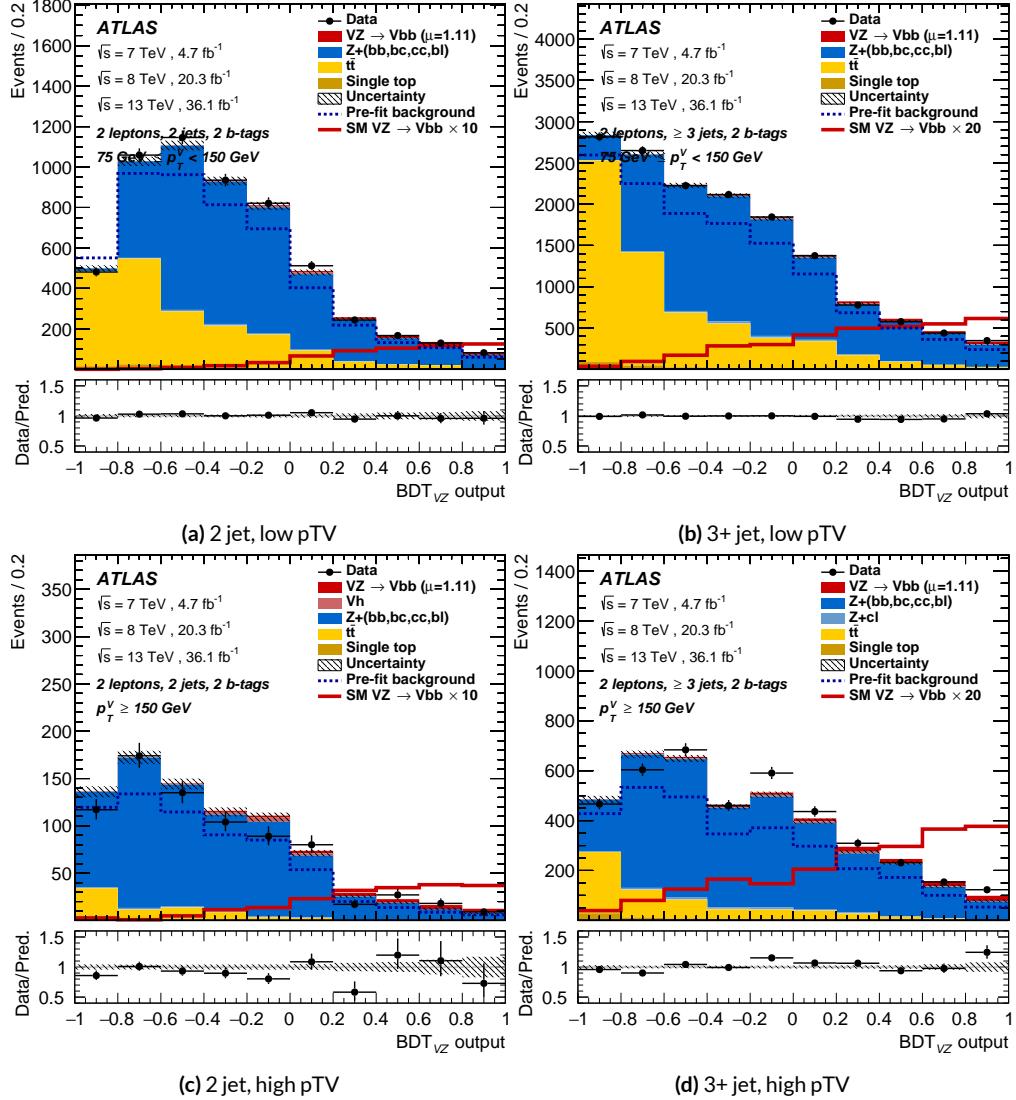


Figure 8.22: Postfit BDT_{VH} plots in the signal region for the standard variable set.

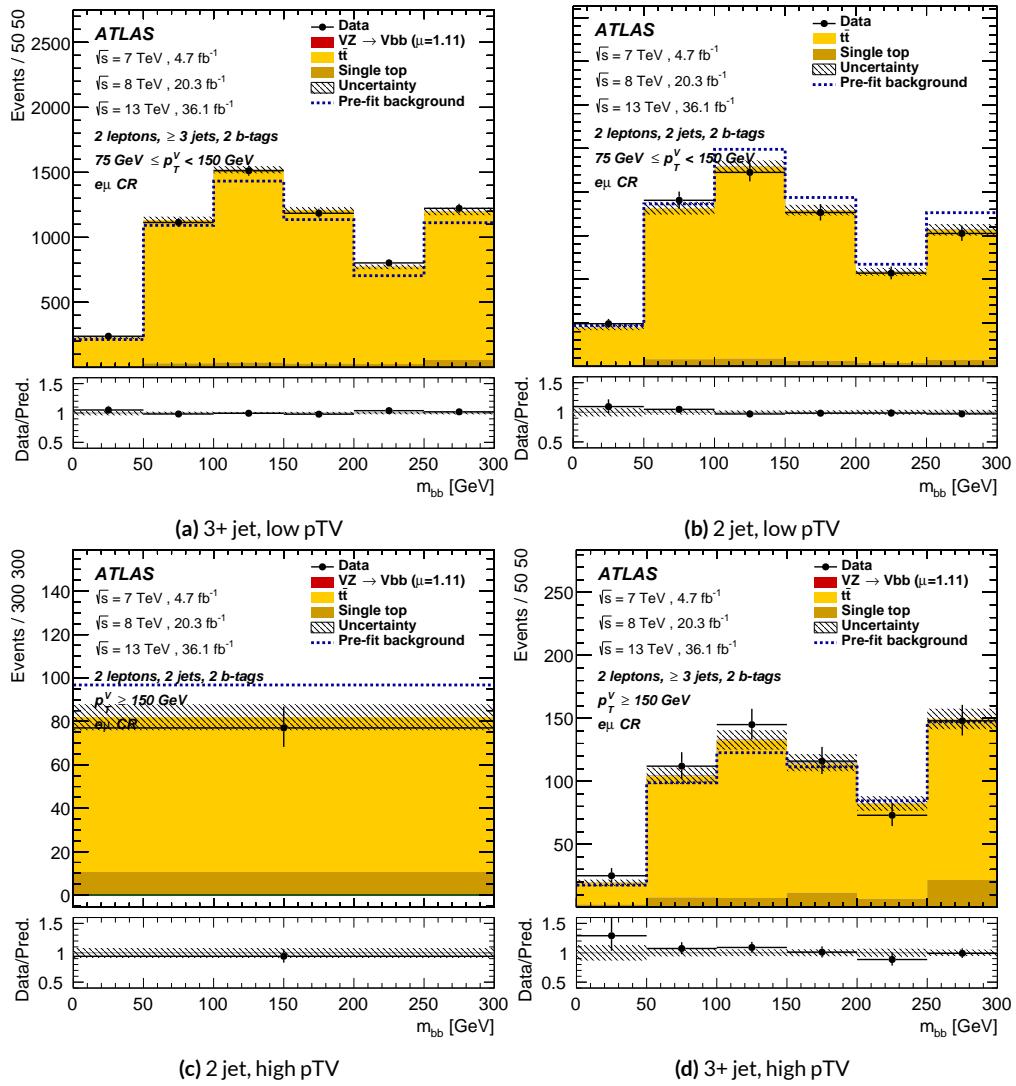


Figure 8.23: Postfit m_{bb} plots in the top $e - \mu$ CR for the standard variable set.

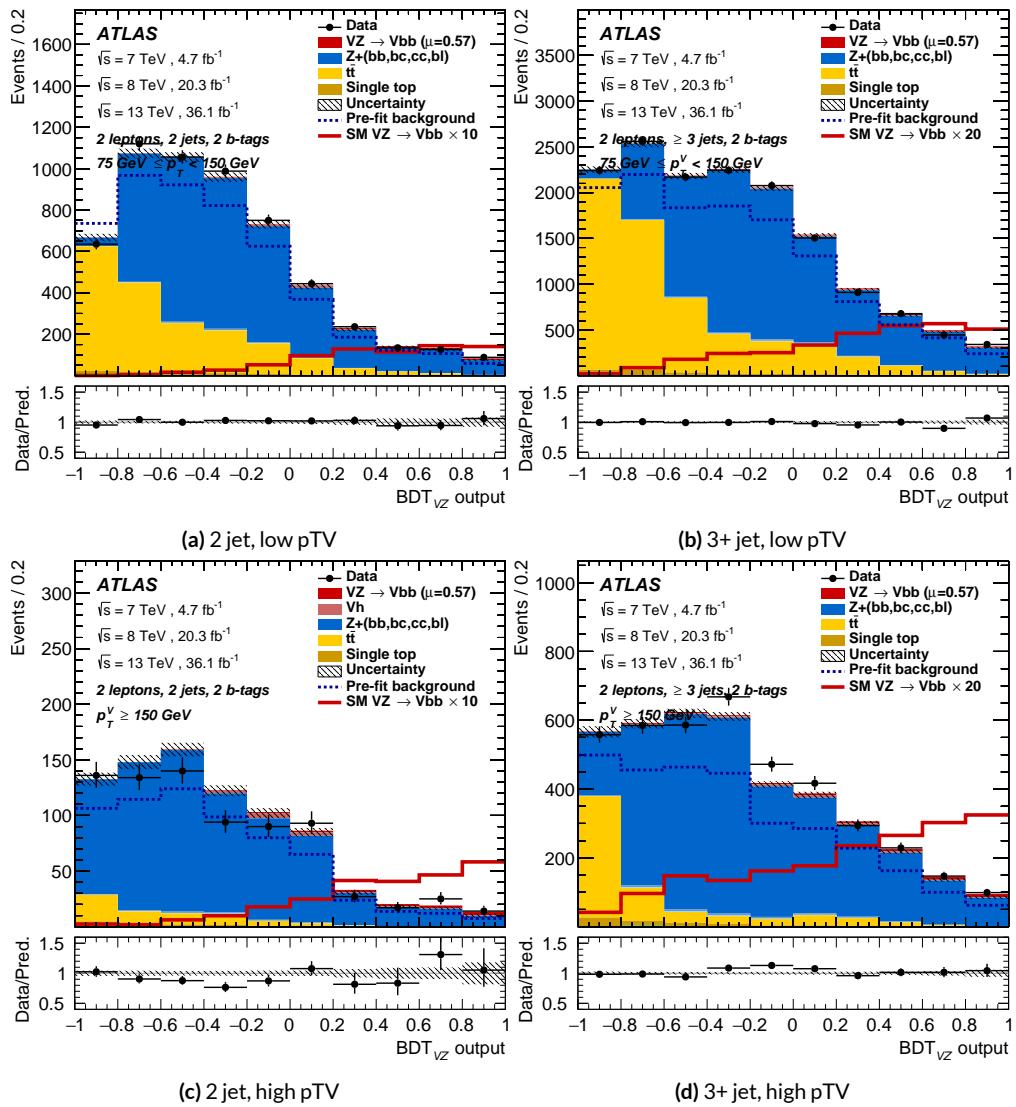


Figure 8.24: Postfit BDT_{VH} plots in the signal region for the LI variable set.

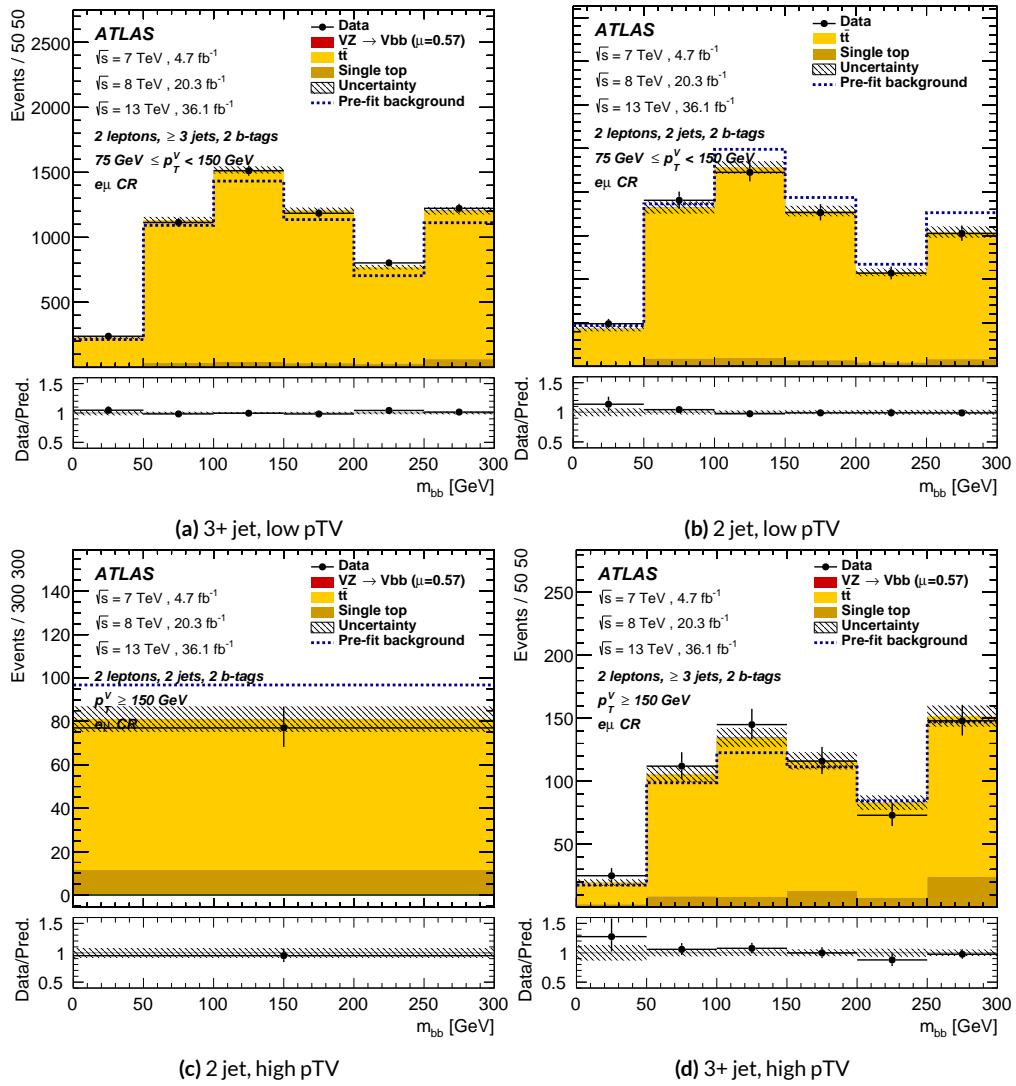


Figure 8.25: Postfit m_{bb} plots in the top $e - \mu$ CR for the LI variable set.

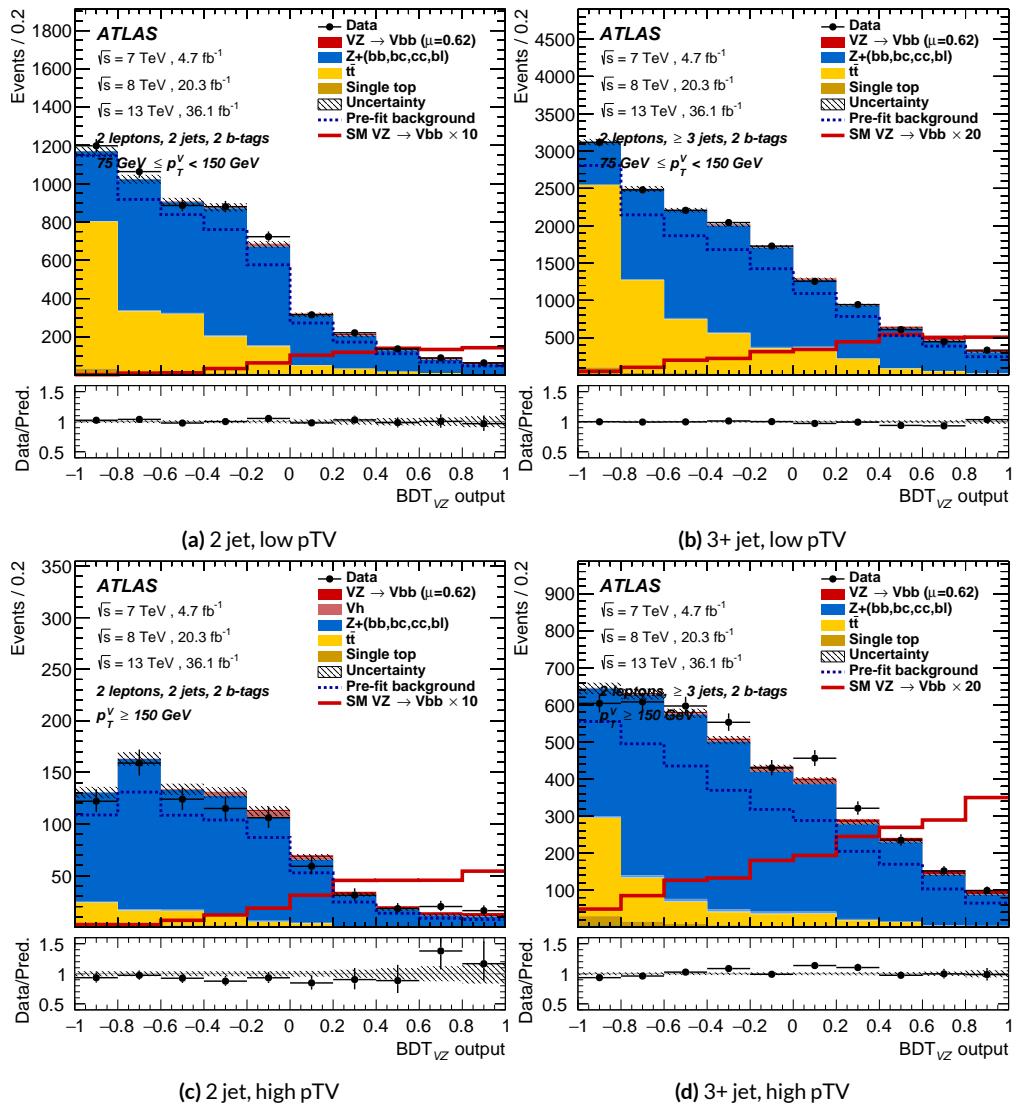


Figure 8.26: Postfit BDT_{VH} plots in the signal region for the RF variable set.

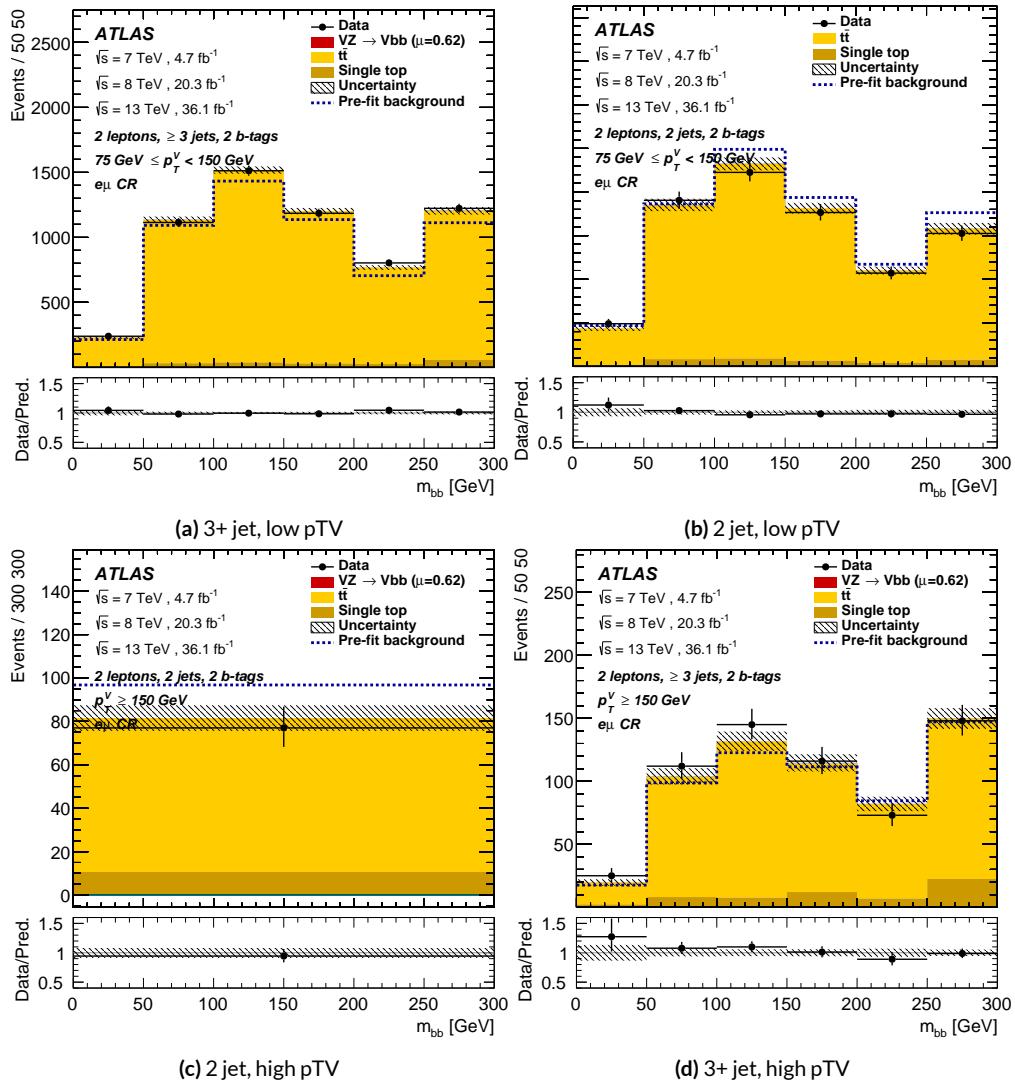


Figure 8.27: Postfit m_{bb} plots in the top $e - \mu$ CR for the RF variable set.

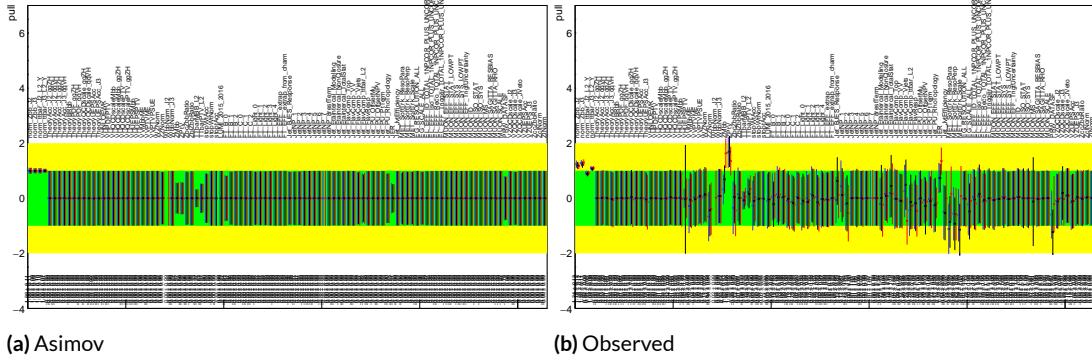


Figure 8.28: Pull comparison for all NP's but MC stats.

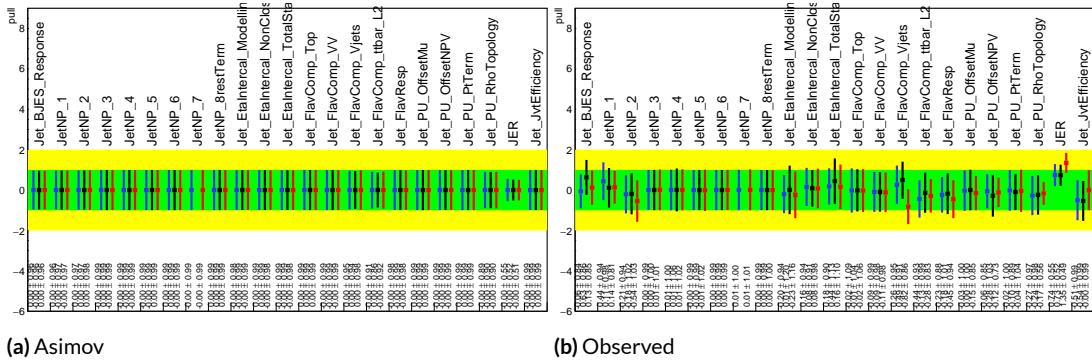


Figure 8.29: Pull comparison for jet NP's.

8.14 NUISANCE PARAMETER PULLS

As can be seen in Figures 8.28–8.32, the fits for the three different variable sets are fairly similar from a NP pull perspective. Black is the standard variable set, red is the LI set, and blue is the RF set.

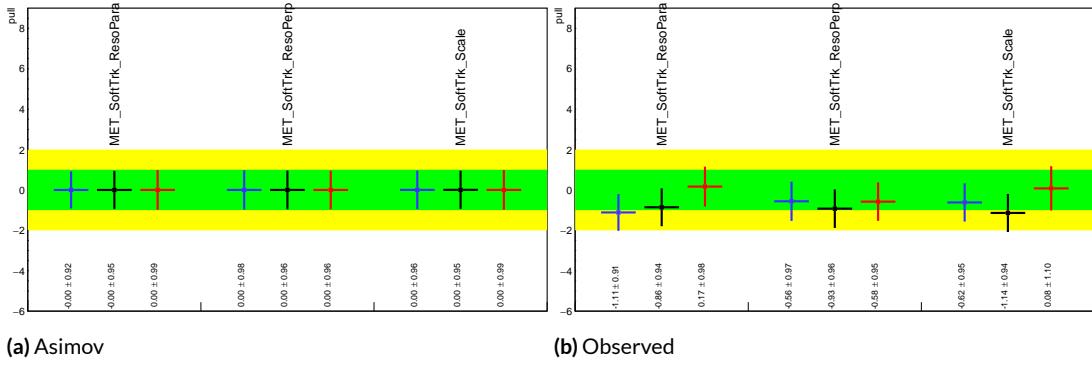


Figure 8.30: Pull comparison for MET NP's.

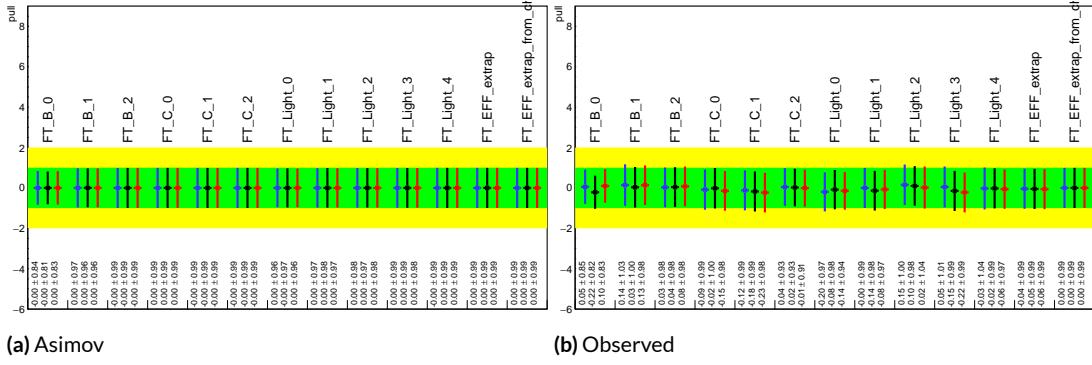


Figure 8.31: Pull comparison for Flavour Tagging NP's.

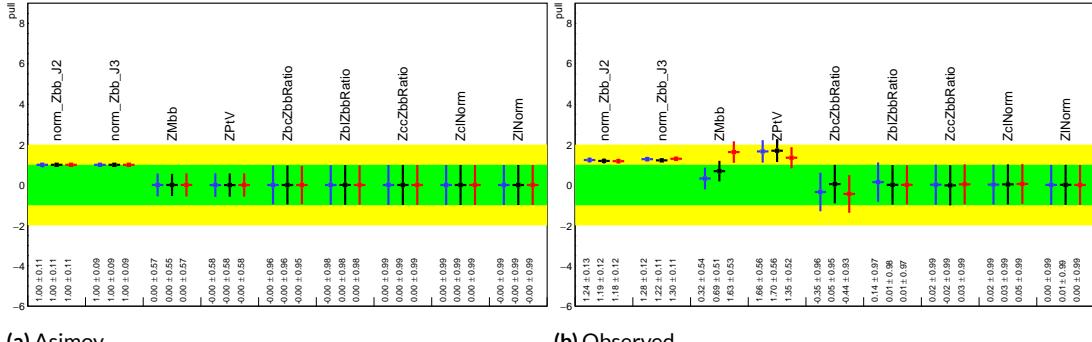


Figure 8.32: Pull comparison for Z +jets NP's.

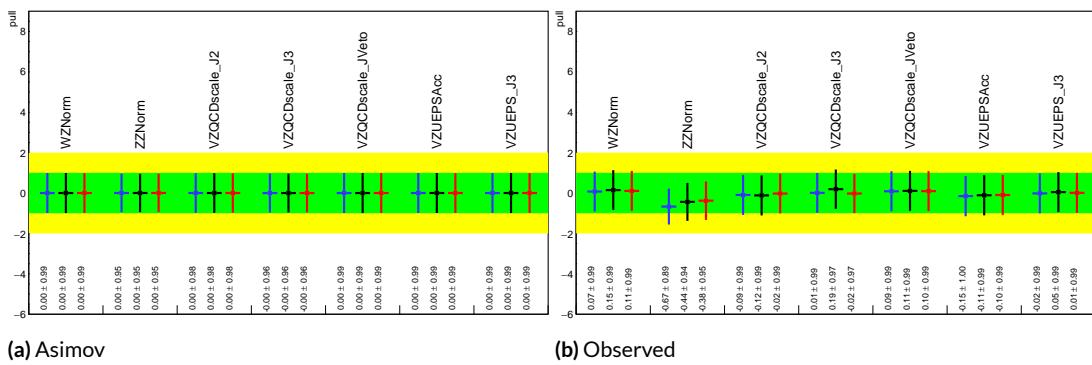


Figure 8.33: Pull comparison for signal process modeling NP's.

8.15 NUISANCE PARAMETER CORRELATIONS

Nuisance parameter correlation matrices (for correlations with magnitude at least 0.25) for all three

variable set fits can be found in Figure ?? for Asimov fits and Figure ?? for observed fits.

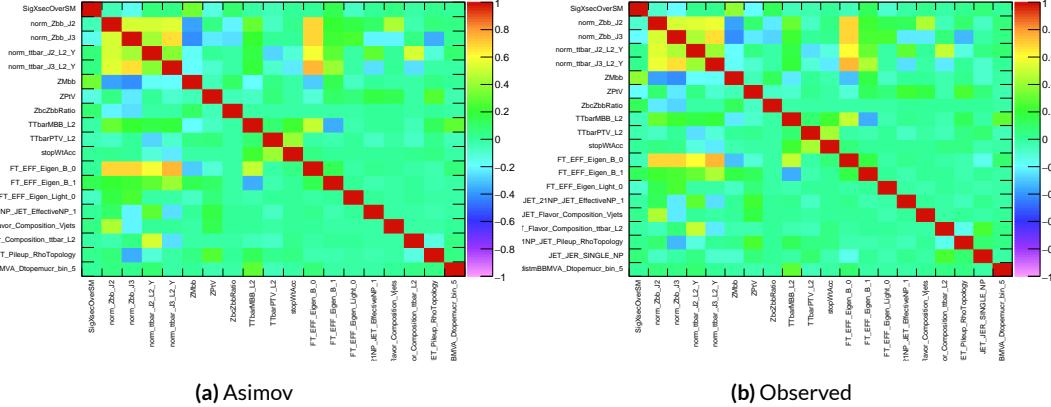


Figure 8.34: NP correlations for standard variable fits.

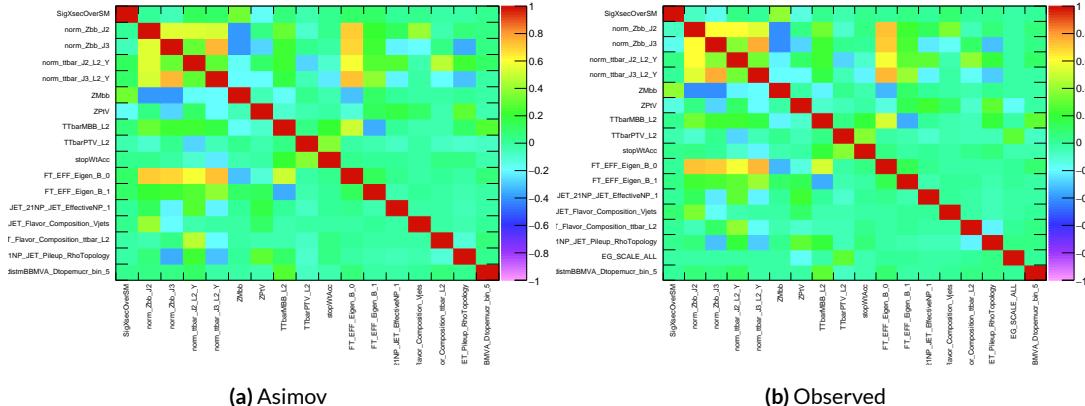


Figure 8.35: NP correlations for L1 variable fits.

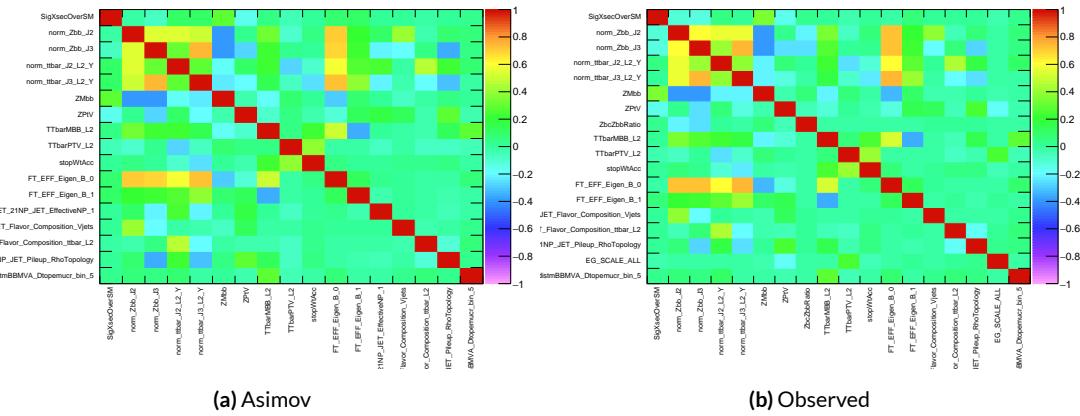


Figure 8.36: NP correlations for RF variable fits.

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Conventional Wisdom

9

Fit Results

MUCH HAS BEEN SAID Expected and observed sensitivities for the different variable sets may be found in Table 9.1. The RF fits feature the highest expected sensitivities, outperforming the standard set by 3.5% and 3.4% for fits to Asimov and observed datasets, respectively. The LI variable has a lower significance than both for expected fits to both Asimov and data with a 6.7% (1.7%) signifi-

cance than the standard set for the Asimov (observed) dataset. While the fit using standard variables does have a higher observed significance than both the LI and RF fits, by 2.8% and 8.6%, respectively, these numbers should be viewed in the context of the best fit $\hat{\mu}$ values, discussed below. That is, the standard set may yield the highest sensitivity for this particular dataset, but this is not necessarily (and likely is not) the case for any given dataset.

	Standard	LI	RF
Expected (Asimov)	2.06	1.92	2.13
Expected (data)	1.76	1.73	1.80
Observed (data)	2.87	2.79	2.62

Table 9.1: Expected (for both data and Asimov) and observed significances for the standard, LI, and RF variable sets.

A summary of fitted signal strengths and errors for both the Asimov (a) and observed (b) datasets are shown in Figure 9.1.^{*} A summary of error breakdowns is given in Tables 9.2 (Asimov) and 9.3 (observed) for total error, data statistics contributions, total systematic error contributions, and categories for which the total impact is ≥ 0.1 for the standard fit. As is to be expected for both the Asimov and observed dataset fits, the contribution to the total error on μ arising from data statistics is nearly identical, since each set of fits uses the same selections and data.[†]

The contribution from systematic uncertainties, however, does vary considerably across the variable sets. The Asimov fits are a best case scenario in the sense that, by construction, all NP's are equal to their predicted values (and so no “penalty” is paid for pulls on Gaussian NP's). The systematics

^{*}For reference, the standalone 2-lepton fit from the fiducial analysis is $2.11^{+0.50}_{-0.48}$ (stat.) $^{+0.64}_{-0.47}$ (syst.)

[†]Though not exactly identical. Since the BDT's are different for the different variable sets, the binning (as determined by transformation D) and bin contents in each set are generally different, leading to slightly different data statistics errors.

	Std-KF	LI+MET	RF
Total	+0.608 / -0.511	+0.632 / -0.539	+0.600 / -0.494
DataStat	+0.420 / -0.401	+0.453 / -0.434	+0.424 / -0.404
FullSyst	+0.440 / -0.318	+0.441 / -0.319	+0.425 / -0.284
Signal Systematics	+0.262 / -0.087	+0.272 / -0.082	+0.290 / -0.088
MET	+0.173 / -0.091	+0.140 / -0.074	+0.117 / -0.063
Flavor Tagging	+0.138 / -0.136	+0.069 / -0.071	+0.076 / -0.078
Model Zjets	+0.119 / -0.117	+0.124 / -0.127	+0.095 / -0.086

Table 9.2: Summary of error impacts on total μ error for principal categories in the Asimov standard, LI, and RF fits.

	Std-KF	LI+MET	RF
Total	+0.811 / -0.662	+0.778 / -0.641	+0.731 / -0.612
DataStat	+0.502 / -0.484	+0.507 / -0.489	+0.500 / -0.481
FullSyst	+0.637 / -0.451	+0.591 / -0.415	+0.533 / -0.378
Signal Systematics	+0.434 / -0.183	+0.418 / -0.190	+0.364 / -0.152
MET	+0.209 / -0.130	+0.190 / -0.102	+0.152 / -0.077
Flavor Tagging	+0.162 / -0.166	+0.093 / -0.070	+0.115 / -0.099
Model Zjets	+0.164 / -0.152	+0.141 / -0.143	+0.101 / -0.105

Table 9.3: Summary of error impacts on total $\hat{\mu}$ error for principal categories in the observed standard, LI, and RF fits.

error from the LI fit is slightly higher (subpercent) than that from the standard fit, and 4.6% higher error overall due to differences in data stats. The RF Asimov fit, however, has a 6.5% lower total error from systematics than the standard Asimov fit (and a 2.2% lower error overall). Moreover, for both the LI and RF sets, errors are markedly smaller for the MET and Flavor Tagging categories, with the RF fit also featuring a smaller errors on Z +jets modeling; the only notable exception to this trend in Asimov fits are the signal systematics.

These trends are more pronounced in the observed fits. As can be seen in Table 9.3, both the LI and RF fits have smaller errors from systematic uncertainties, both overall and in all principal categories, with the LI and RF fits having 7.5% (3.7%) and 16% (8.8%) lower systematics (total) error on $\hat{\mu}$, respectively.

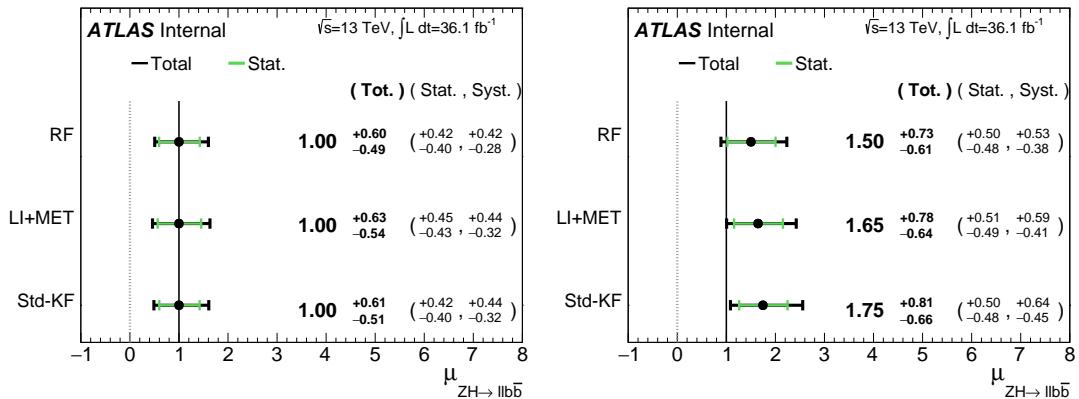


Figure 9.1: μ summary plots for the standard, LI, and RF variable sets. The Asimov case (with $\mu = 1$ by construction) is in (a), and $\hat{\mu}$ best fit values and error summary are in (b).

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10

Conclusions

MUCH HAS BEEN SAID Studying the performance of the Lorentz Invariant and RestFrames variable sets at both a data statistics only context and with the full fit model in the $ZH \rightarrow \ell\ell b\bar{b}$ channel of the $VH(b\bar{b})$ analysis suggests that these variables may offer a potential method for better constraining systematic uncertainties in $VH(b\bar{b})$ searches as more orthogonal bases in describing the

information in collision events.

The marginally worse performance of the LI and RF variables (7.9% and 6.9%, respectively) with respect to the standard variable at a stats only level illustrates that neither variable set has greater intrinsic descriptive power in the absence of systematics in this closed final state. Hence, any gains from either of these variable sets in a full fit come from improved treatment of systematic uncertainties.

With full systematics, the LI variable set narrows the sensitivity gap somewhat, with lower significances by 6.7% (1.7%) on expected fits to Asimov (data) and by 2.8% on observed significances. The RF variable set outperforms the standard set in expected fits with 3.5% (3.4%) higher significance on Asimov (data), but has an 8.6% lower observed significance, though the observed significances should be viewed in the context of observed $\hat{\mu}$ values.

Moreover, the LI and RF variable sets generally perform better in the context of the error on μ . The LI fit is comparable to the standard set on Asimov data and has a 7.5% lower total systematics error on $\hat{\mu}$ on observed data, while the RF fit is lower in both cases, with systematics error being 6.5% (16%) lower on Asimov (observed) data. A summary of performance metrics in this document may be found in Table 10.1.

These figures of merit suggest that both the LI and RF variables are more orthogonal than the standard variable set used in the fiducial analysis. Moreover, the RF variable set does seem to consistently perform better than the LI set. Furthermore, both variable sets have straightforward extensions to the one lepton channel in the $VH(b\bar{b})$ analysis, and the RF set has a straightforward extension to the zero lepton channel as well. The magnitude of any gain from the more sophisticated

	Standard	LI	RF
$\hat{\mu}$	$1.75^{+0.24}_{-0.23}$ (stat.) $^{+0.34}_{-0.28}$ (syst.)	$1.65^{+0.24}_{-0.23}$ (stat.) $^{+0.34}_{-0.28}$ (syst.)	$1.50^{+0.24}_{-0.23}$ (stat.) $^{+0.34}_{-0.28}$ (syst.)
Asi. $\Delta err(\mu)$	—	< 1%, +4.6%	-6.5%, -2.2%
Obs. $\Delta err(\hat{\mu})$	—	-7.5%, -3.7%	-16%, -8.8%
Stat only sig.	4.78	4.39 (-7.9%)	4.44 (-6.9%)
Exp. (Asi.) sig.	2.06	1.92 (-6.7%)	2.13 (+3.5%)
Exp. (data) sig.	1.76	1.73 (-1.7%)	1.80 (+3.4%)
Obs. (data) sig.	2.87	2.79 (-2.8%)	2.62 (-8.6%)

Table 10.1: Summary of performance figures for the standard, LI, and RF variable sets. In the case of the latter two, % differences are given where relevant. Differences in errors on μ are on full systematics and total error, respectively.

treatment of E_T^{miss} in these extensions is beyond the scope of these studies, but the performance in this closed final state do suggest that there is some value to be had in these non-standard descriptions independent of these considerations.

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Conventional Wisdom

A

Micromegas Trigger Processor Simulation

IN ORDER TO PRESERVE key physics functionality by maintaining the ability to trigger on low p_T muons, the Phase I Upgrade to ATLAS includes a New Small Wheel (NSW) that will supply muon track segments to the Level 1 trigger. These NSW trigger segments will combine segments from the sTGC and Micromegas (MM) trigger processors (TP). This note will focus in particular on the algo-

rithm for the MMTP, described in detail with initial studies in⁶⁹. The goal of this note is to describe the MMTP algorithm performance under a variety of algorithm settings with both nominal and misaligned chamber positions, as well as addressing a number of performance issues.

This note is organized as follows: the algorithm and its outputs are briefly described in Section A.1; Monte Carlo samples used are in Section B.1; nominal algorithm performance and certain quantities of interest are described in Section A.3; algorithm performance under misalignment, misalignment corrections, and corrected performance are shown in Section A.8; and conclusions are presented in Section A.16.

A.1 ALGORITHM OVERVIEW

The MMTP algorithm is shown schematically in Figure A.1, taken from⁶⁹, where a more detailed description may be found. The algorithm begins by reading in hits, which are converted to slopes. These slopes are calculated under the assumption that the hit originates from the IP; slopes calculated under this assumption are denoted by a superscript g for global in order to distinguish them from local slopes calculated using only hits in the wedge. In the algorithm simulation, events are screened at truth level to make sure they pass certain requirements. The track's truth-level coordinates must place it with the wedge since some generated tracks do not reach the wedge. These hits are stored in a buffer two bunch crossings (BCs) in time deep that separates the wedge into so-called "slope-roads." If any given slope-road has sufficient hits to pass what is known as a coincidence threshold, a fit proceeds. A coincidence threshold is a requirement for an event expressed as $aX+bUV$, which means that an slope-road must have at least a hits in horizontal (X) planes and at

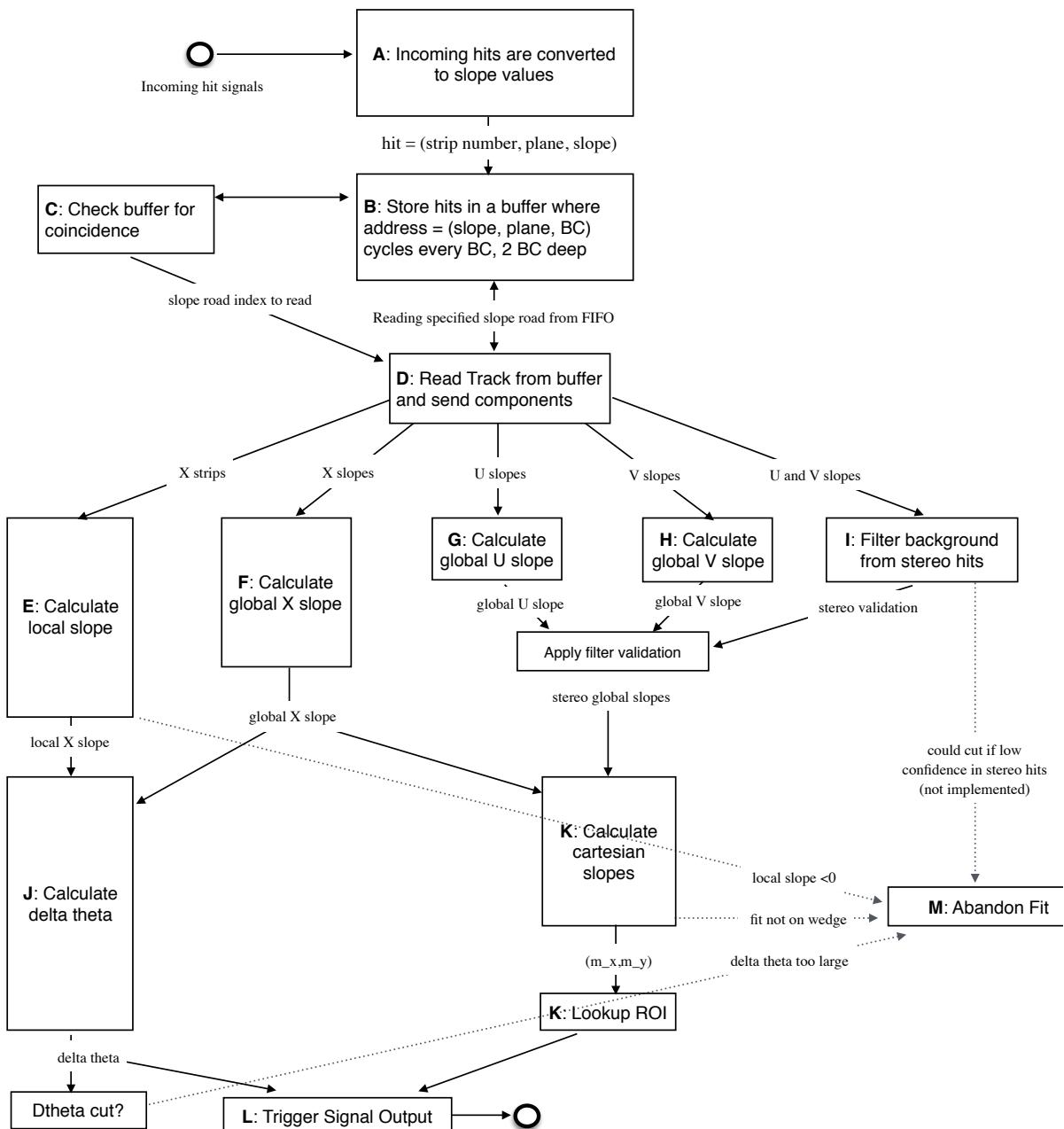


Figure A.1: A flow chart describing the algorithm steps, taken from⁶⁹.

least b hits in stereo (U or V (corresponding to positive and negative stereo rotations)) planes. For coincidence thresholds with a $2X$ hit requirement there is the extra requirement that, in the case of only $2X$ hits, one be on each quadruplet in order to ensure an adequate lever arm for the $\Delta\vartheta$ calculation. Note that less stringent (lower hit) coincidence thresholds are inclusive; i.e. a slope-road passing a $4X+4UV$ cut automatically passes $2X+1UV$. The coincidence threshold, size of the slope-roads (denoted b), and the number of slope-roads into which each horizontal and stereo hits get written centered upon their nominal value are configurable parameters of the algorithm.

An individual hit's slope is calculated as shown in Equation A.1, where y_{base} is the local y coordinate (orthogonal to the beamline and direction of the horizontal strips) of a station's base, w_{str} is the strip pitch, n_{str} is the hit's strip number, and z_{plane} is the location of the hit's plane along the beamline.

$$M_{hit} = \frac{y}{z} = \frac{y_{base}}{z_{plane}} + \frac{w_{str}}{z_{plane}} \times n_{str} \quad (\text{A.1})$$

In the fit, individual hit slopes in a slope-road are used to calculate global slopes associated with each plane type, which are averages (e.g. M_X^{ℓ} for the average slope of horizontal planes). These in turn are used to calculate the three composite slopes: slopes associated with the horizontal (m_x) and vertical coordinates (m_y) and the local slope of hits in the horizontal planes (M_X^l), all of which are shown in Equation A.4. Note that the expression for M_X^l differs but is equivalent to the expression given in ⁶⁹. This is due to a procedural change in the algorithm. The local X slope is expressed in ⁶⁹ as:

$$M_X^{local} = A_k \sum_i y_i z_i - B_k \sum_i y_i, \quad B_k = \frac{1}{n} \sum_i z_i A_k = \bar{z} A_k \quad (\text{A.2})$$

Procedurally, this entails doing the sums over y_i and $y_i z_i$, multiplying the sums by A_k , B_k , and then subtracting both of these numbers, $\mathcal{O}(10^3)$, to get local slopes, $\mathcal{O}(10^{-1})$, while requiring precision on these numbers on the order of $\mathcal{O}(10^{-3})$. This requires precision in the sums $\mathcal{O}(10^{-7})$, and with 32 bit fixed point numbers, there are deviations with respect to the floating point calculations at the level of $\mathcal{O}(10^{-5})$, which is enough to introduce a significant bias in the $\Delta\vartheta$ calculation.

In order to prevent these errors, we do the subtraction first

$$M_X^{local} = A_k \sum_i y_i z_i - B_k \sum_i y_i = A_k \sum_i (y_i z_i - y_i \bar{z}) = B_k \sum_i y_i \left(\frac{z_i}{\bar{z}} - 1 \right) \quad (\text{A.3})$$

Thus, we change the order of operations and store $1/\bar{z}$ instead of A_k in addition to B_k . We also change the units of y_i and z_i in the calculation by dividing the millimeter lengths by 8192.* With these changes, a 32 bit fixed point based algorithm has essentially identical performance to that of an algorithm based on the usual C++ 32 floating point numbers. Future work includes converting the 32 bit fixed point arithmetic to 16 bit where possible in the algorithm. While introducing 16 bit numbers uniformly might seem preferable, since simple 16-bit operations in the firmware can be done in a single clock tick, and a larger number of bits increases the algorithm latency, some numbers in the algorithm will require a larger number of bits, in particular in the local slope calculation, which is the single calculation in the algorithm requiring the largest numeric range.

In Equation A.4, ϑ_{st} is the stereo angle of 1.5 degrees; the sums are over relevant planes; \bar{z} is the average position in z of the horizontal planes; and y_i and z_i in the local slope expression refer to the y

*Chosen since it is a perfect power of 2 and of order the length scale of z in millimeters

and z coordinates of hits in X planes.

$$m_x = \frac{1}{2} \cot \vartheta_{st} (\mathcal{M}_U^\ell - \mathcal{M}_V^\ell), \quad m_y = \mathcal{M}_X^\ell, \quad M_X^l = \frac{\bar{z}}{\sum_i z_i^2 - 1/n (\sum_i z_i)^2} \sum_i y_i \left(\frac{z_i}{\bar{z}} - 1 \right) \quad (\text{A.4})$$

From these composite slopes, the familiar expressions for the fit quantities ϑ (the zenith), ϕ (the azimuth[†]), and $\Delta\vartheta$ (the difference in ϑ between the direction of the segment extrapolated back to the interaction point and its direction when entering the detector region; the following is an approximation) may be calculated, as noted in ⁶⁹:

$$\vartheta = \arctan \left(\sqrt{m_x^2 + m_y^2} \right), \quad \phi = \arctan \left(\frac{m_x}{m_y} \right), \quad \Delta\vartheta = \frac{M_X^l - \mathcal{M}_X^\ell}{1 + M_X^l \mathcal{M}_X^\ell} \quad (\text{A.5})$$

Looking at Equations A.4 and A.5, the dependence of fit quantities on input hit information becomes clear. $\Delta\vartheta$ relies exclusively on information from the horizontal (X) planes. Both ϑ and ϕ rely on both horizontal and stereo slope information. However, the sum in quadrature of m_x and m_y in the arctangent for ϑ means that ϑ is less sensitive to errors in stereo hit information than ϕ . Given that ϑ_{st} is small, $\cot \vartheta_{st}$ is large (~ 38), so m_x multiplies small differences in \mathcal{M}_U and \mathcal{M}_V , where m_y is simply an average over slopes. This means that while errors in horizontal hit information will affect all three fit quantities, comparable errors in stereo hits will have a proportionately larger effect on ϑ and particularly on ϕ . The $\Delta\vartheta$ cut after step J in Figure A.1 has been implemented, requiring all fits to have $|\Delta\vartheta| < 16$ mrad. This requirement ensures good quality fits but also slightly reduces

[†]Defined with respect to the center (y) axis and *not* the axis of the strips (x) as is sometimes typical, so a hit along the center of the wedge has $\phi = 0$

algorithm efficiency.

A.2 MONTE CARLO SAMPLES

The Monte Carlo (MC) samples used for these studies were generated in Athena release 20.1.0.2 using simulation layout ATLAS-R2-2015-01-01-00 with muon GeoModel override version MuonSpectrometer-R.07.00-NSW and modifications to have two modules per multiplet and xxuvuvxx geometry with a stereo angle of 1.5 degrees. Muons of a single p_T were generated around the nominal IP with a smearing of 50 mm along the beam line and 0.015 mm orthogonal to it; these muons were pointed toward a single, large sector of the NSW. Each event consists of one muon fired towards the single NSW wedge separated by effectively infinite time from other events.

A.3 NOMINAL PERFORMANCE

In order to evaluate algorithm performance, a number of quantities are evaluated, including the fit quantities ϑ , φ , and $\Delta\vartheta$ as well as algorithm efficiency. Unless otherwise stated, that algorithm is run with a 4X+4UV coincidence threshold, slope-road size of 0.0009, an X tolerance of two slope-roads (i.e. hits in horizontal planes are written into the two slope-roads closest to the hits' value), a UV tolerance of four slope-roads[‡], and a charge threshold requirement on hits of 1 (measured in units of electron charge) for a sample of 30 000 events with a muon p_T of 100 GeV. Samples were also generated for p_T values of 10 GeV, 20 GeV, 30 GeV, 50 GeV, and 200 GeV, which were used in some

[‡]The larger tolerance on stereo hits takes into account the particulars of the m_x calculation mentioned in Section A.1.

of the following studies.

A.4 FIT QUANTITIES

In order to evaluate the performance of the algorithm’s fit quantities ϑ , ϕ , and $\Delta\vartheta$, fit values are compared to truth-level MC values. The residual of the three fit quantities, $\vartheta_{fit} - \vartheta_{tru}$, $\phi_{fit} - \phi_{tru}$, and $\Delta\vartheta_{fit} - \Delta\vartheta_{tru}$, are recorded for every fitted track. The distributions of these quantities, in particular their biases and standard deviations, are then used to evaluate performance. In most cases, following⁶⁹, the mean and standard deviation of a 3σ Gaussian fit are quoted, as they capture the main features of the algorithm and generally behave like the raw mean and rms. Nevertheless, discussion of the raw quantities will be included when their behavior deviates markedly from that of the 3σ fit quantities.

The truth-level quantities used in residual distribution are taken from information in the MC. These come directly from the MC for ϑ , ϕ , and $\Delta\vartheta$. These quantities, along with the geometry of the (large) wedge, are then in turn used to calculate truth-level values for any intermediate quantities used in the algorithm. $m_{x,tru}$, for instance, is given by $\tan \vartheta_{tru} \sin \phi_{tru}$.

Residual distributions for fit quantities under the previously described default settings of the algorithm are shown in Figure A.2. Both the $\vartheta_{fit} - \vartheta_{tru}$ and $\Delta\vartheta_{fit} - \Delta\vartheta_{tru}$ distributions feature a mostly Gaussian shape with more pronounced tails. The mean bias for these distributions is negligible at under one tenth of a milliradian, and the fitted (raw) rms values are 0.349 (0.614) mrad for ϑ and 1.03 (2.55) mrad for $\Delta\vartheta$. The case of the $\phi_{fit} - \phi_{tru}$ distribution is less straightforward, with both the shape and bias arising from the xxuvuvxx geometry and relatively large extent of one of the two

η -stations, as explained in Appendix B of⁶⁷. The fitted (raw) rms for the φ distribution is 8.67 (16.6) mrad.

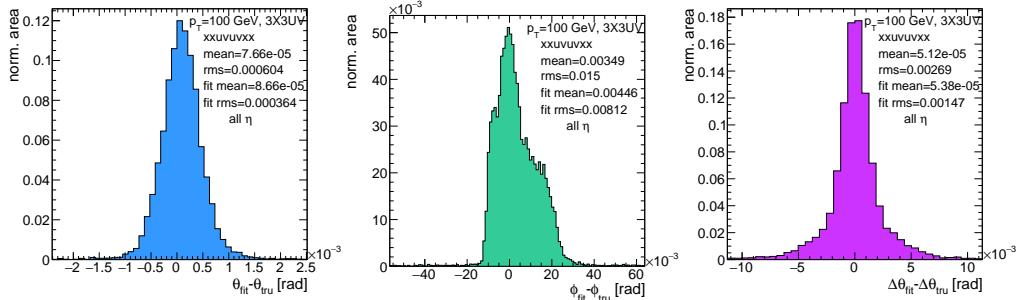


Figure A.2: Nominal residual plots; ϑ , φ , $\Delta\vartheta$ for $p_T = 100 \text{ GeV}$ muons

Both increasing muon p_T and increasing muon η for a fixed p_T imply increasing muon energy. As muons become more energetic, two effects compete in affecting the quality of fit. On the one hand, higher energy muons are deflected less by the ATLAS magnetic field, which should tend to improve the quality of the fit, since the fitted ϑ (upon which $\Delta\vartheta$ also relies) and φ values are calculated under the infinite momentum muon (straight track) assumption. However, as muon energy increases, the likelihood that the muon will create additional secondaries increases, which creates extra hits that degrade the quality of the fit. While the geometry of the multiplet is such that there is very good resolution in the direction orthogonal to the horizontal strip direction, the shallow stereo angle of 1.5 degrees means that early hits caused by secondaries can have an outsize impact on m_x . $\Delta\vartheta$, which does not rely upon stereo information should feel the effect of secondaries the least and benefit from straighter tracks the most and hence benefit from higher muon energies; φ , relying upon stereo information the most, would be most susceptible to secondaries and benefit the least from straighter

tracks and hence least likely to benefit from higher muon energy; ϑ relies upon both horizontal and vertical slope information, though small errors are less likely to seriously affect the calculation, so the two effects are most likely to be in conflict for this fit quantity.

The interplay of these effects on the residual standard deviations can be seen in their dependences on η (Figure A.3; note that the final point in each of these plots is the rms of the distribution overall η) and p_T (Figure A.4). For $p_T = 100$ GeV muons, $\Delta\vartheta$ performance increases with η (energy), and φ performance decreases, as expected;[§] for ϑ , the two effects appear to compete, with performance first increasing with η until the effects of secondaries begins to dominate. Integrated over all η , the effects are less clearly delineated. Both $\Delta\vartheta$ and ϑ performance increases with increasing p_T , suggesting straighter tracks with increasing energy are the dominant effect for these quantities, while φ performance appears to improve and then deteriorate (the slight improvement at high p_T is due to the addition of the $\Delta\vartheta$ cut into the algorithm, which filters out very poor quality fits).

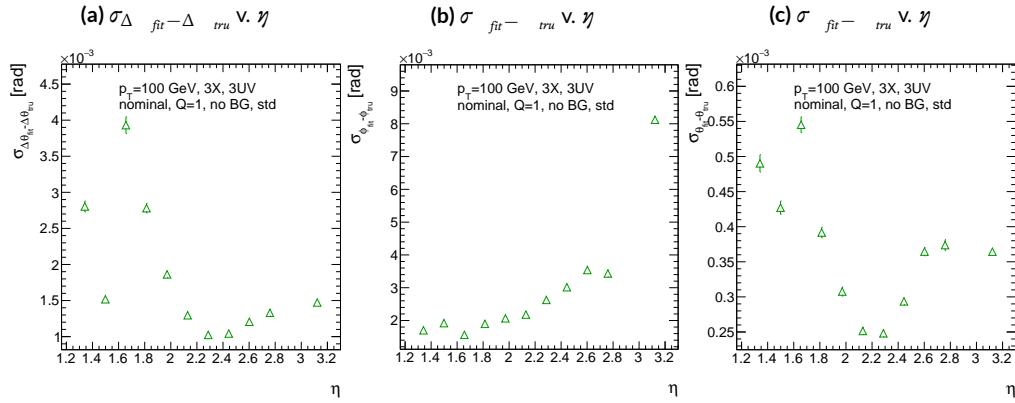


Figure A.3: The rms distributions of $\Delta\vartheta$, φ , and ϑ as a function of η for $p_T = 100$ GeV; the final point in each plot is the rms obtained from a fit obtained from a fit to the full distribution including all η bins.

[§]The much worse overall performance for φ is due to the η dependent bias and other effects

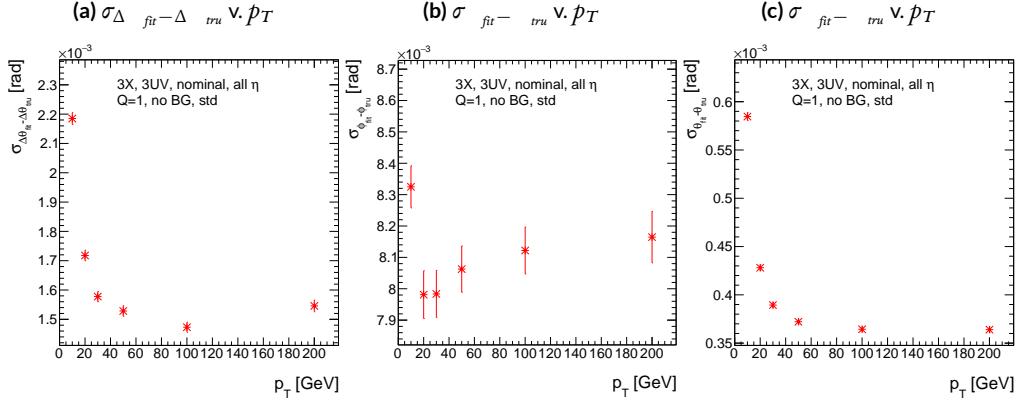


Figure A.4: The rms distributions of $\Delta\emptyset$, ϕ , and \emptyset as a function of p_T .

The rms of the three benchmark quantities as a function of algorithm set (i.e. slope-road) coincidence threshold are shown in Figure A.5 using Gaussian fits and in Figure A.6 for the raw quantities. The fitted σ 's for \emptyset and ϕ are fairly stable across coincidence threshold. $\Delta\emptyset$, on the other hand, performs better particularly for the most stringent coincidence threshold; this is a result of the fact that additional information for more hits greatly improves the quality of the local slope fit calculation. The raw rms is a different story. Naïvely, one would expect the performance to get better with more stringent coincidence threshold, but this is not the case in Figure A.6. As the coincidence threshold gets more stringent, fewer and fewer tracks are allowed to be fit. When moving from 2X hits to 3X hits, the tracks that get vetoed populate the tails of the distribution outside the 3σ fit range but are not in the very extremes of the distribution. While tracks with 2X hits are of lower quality than those with 3 and 4 X hits, tracks with the very worst fit values pass even the most stringent coincidence threshold requirements (e.g. as a result of many hits arising from a shower of secondaries). This is best illustrated when comparing the 2X+1UV $\Delta\emptyset$ residual distribution with the 4X+4UV dis-

tribution in Figure A.7. As both the overlayed normalized curves and ratio distribution show, while the most central regions are fairly similar, the $2X+1UV$ distribution is much more prominent in the tails but not the extreme tails, which means that, though the overall $2X+1UV$ raw rms goes down, the overall quality of algorithm fits is worse.

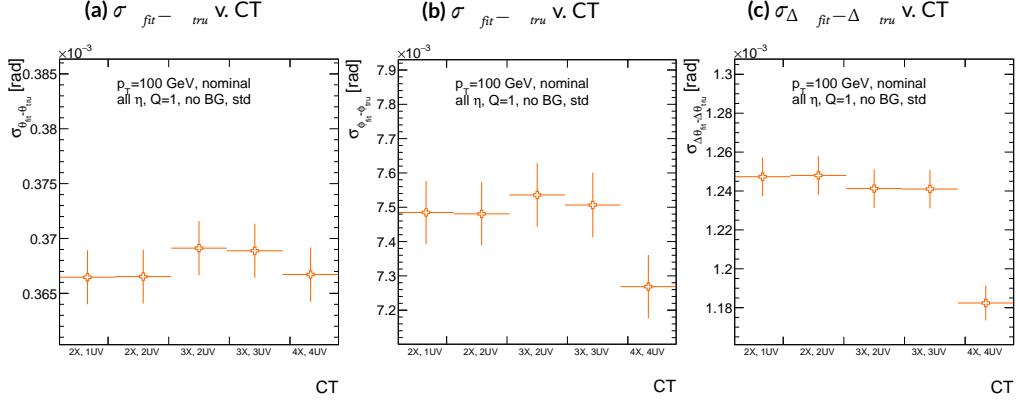


Figure A.5: The fitted rms of residual distributions for ϑ , ϕ , and $\Delta\vartheta$ as a function of coincidence threshold for $p_T = 100$ GeV.

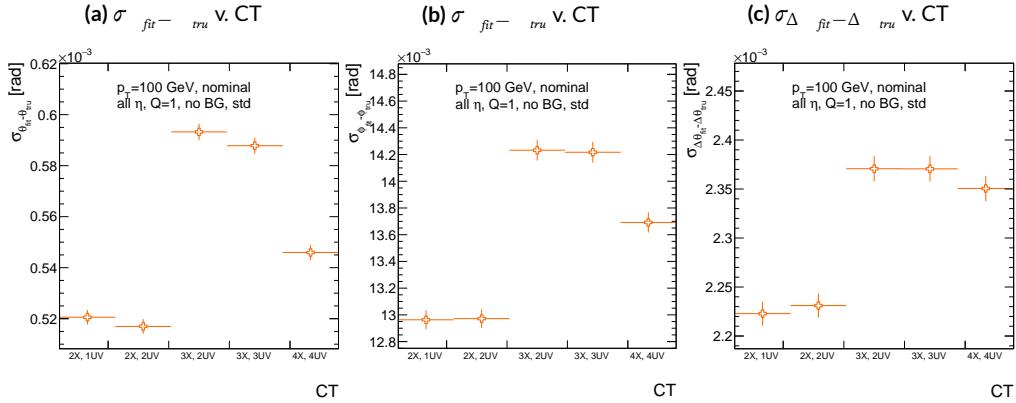


Figure A.6: The raw rms of residual distributions for ϑ , ϕ , and $\Delta\vartheta$ as a function of coincidence threshold for $p_T = 100$ GeV.

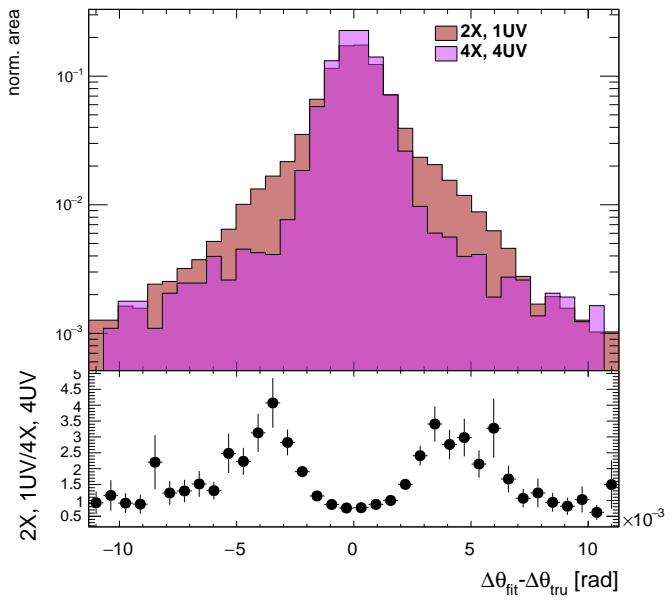


Figure A.7: Nominal $\Delta\theta$ residual distribution for $p_T = 100$ GeV muons with coincidence thresholds 2X+1UV and 4X+4UV normalized to the same area and plotted together (top) as well as the ratio of the 2X+1UV distribution and the 4X+4UV per bin.

A.5 EFFICIENCIES

Two general efficiencies have been formulated to study the performance of the MMTP algorithm.

The first, denoted ε_{alg} , is the fraction of tracks that pass some (slope-road) coincidence threshold configuration that are successfully fit. An event that passes a slope-road coincidence but does not fit fails because some of the hits included are of sufficiently poor quality to throw off the fit. This efficiency answers the question of how often the algorithm performs fits when technically possible, giving a measure of overall algorithm performance for a given configuration. For example, $\varepsilon = 95\%$ for $3X+2UV$ means that 95% of tracks that produce at least $3X$ hits and $2UV$ hits in at least one slope-road will be successfully fitted 95% of the time. The performance of this efficiency as a function of coincidence threshold, η (with the final point once again being the efficiency integrated over all η), and p_T is shown in Figure A.8. ε_{alg} is fairly constant in η and decreases with increased p_T , which can be attributed to the increased likelihood of secondaries introducing lower quality hits that cause the fit to fail.

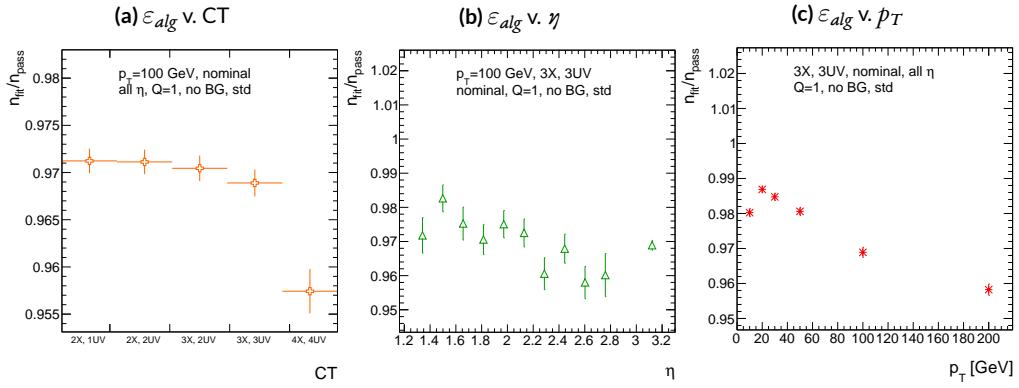


Figure A.8: ε_{alg} and as a function of coincidence threshold, η (final point is ε_{alg} integrated over all η), and p_T .

The second efficiency type, denoted ε_{fit} , is the fraction of tracks that enter the wedge whose fits (if any) satisfy a given coincidence threshold. This efficiency can be used to help establish an optimal coincidence threshold setting in the algorithm, balancing the improved overall fit quality of higher thresholds with the greater number of fits for lower thresholds. Hence, an ε_{fit} of 95% at 3X+2UV means that 95% of tracks entering the wedge are fit and that these fits include at least 3X and 2UV hits. ε_{fit} as a function of coincidence threshold is shown in Figure A.9 (a), which shows that the majority of fits having at most 3X+3UV hits. That there is a marked drop to 4X+4UV is not surprising, as there is a substantial population outside the 4X+4UV bin in Figure A.10. The behavior of ε_{fit} with η in Figure A.9 (b) (with the final point once again being the efficiency integrated over all η) is much more varied, with geometric effects of detector acceptance coming into play. The performance of ε_{fit} as a function of p_T , shown in Figure A.9 (c), is similar to that of ε_{alg} coincidence threshold, again consistent with the effects of secondaries at higher energies.

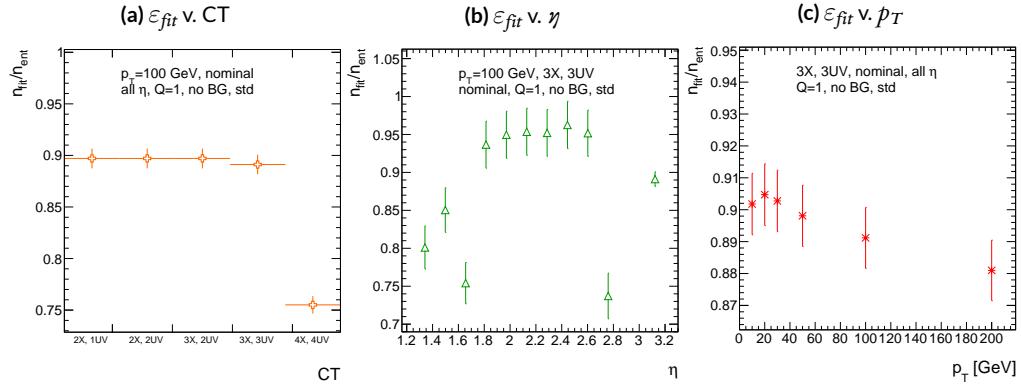


Figure A.9: ε_{fit} and as a function of coincidence threshold, η (final point is ε_{fit} integrated over all η), and p_T .

In order to better understand efficiency behavior with coincidence threshold, the distribution

of highest slope-road coincidence thresholds in events is shown in Figure A.10, with the 0,0 bin containing events that did not meet requirements for the minimum $2X+1UV$ coincidence threshold for a fit to occur. That the efficiency is lower at higher coincidence threshold suggests that most of the fits that fail have high hit multiplicity (i.e. a similar number fails in each of the coincidence threshold bins in Figure A.8 (a)), which is consistent with the interpretation that the primary source of fit failures is bad hits originating from secondaries created by higher energy muons.

Figure A.10: The distribution of highest slope-road coincidence thresholds in events; the 0,0 bin is the number of events passing selection requirements that fail to form the minimum $2X+1UV$ coincidence threshold necessary for a fit.

A.6 INCOHERENT BACKGROUND

The default slope-road size and tolerances associated with horizontal and stereo hits used in the above studies were configured to optimize algorithm performance, similar to studies in ⁶⁹. In order to evaluate algorithm performance under conditions with more limited resources, as might be expected at run-time, additional studies were conducted with the slope-road size and hit tolerances set equivalent to the sensitive area of a single VMM chip[¶] both with and without generation of incoherent background.

Incoherent background is generated based on the assumption that the intensity only varies as a function of the distance from a point to the beamline, r . The number of hits per unit area per unit time as a function of r is given in Equation A.6 and taken from ⁶⁹.

$$I = I_0 (r/r_o)^{-2.125} \quad (\text{A.6})$$

where $r_o = 1000$ mm and $I_0 = 0.141$ kHz/mm²

Background generation happens per event as follows:

1. Determine the total number of hits to be generated in this event according to a Poisson distribution
2. Assign a time to hits uniformly in $[t_{start} - t_{VMM}, t_{end}]$ where start and end are for the event clock and t_{VMM} is the VMM chip deadtime (100 ns)
3. Assign a plane to hits uniformly
4. Assign a ϕ value to hits uniformly

[¶]One VMM is assumed to cover 64 MM strips at 0.445 mm each.

5. Assign an r to hits according to Equation A.6

6. Calculate hit information according to these values.

The expectation value for the Poisson distribution is determined by integrating Equation A.6 over the surface area of the wedge to get the total hit rate for the wedge, Γ , and then multiplying this by the length of the time window over which hits may be generated. With $H = 982$ mm, $b_i = 3665$ mm, and $\vartheta_w = 33\pi/180$, we find^{||}:

$$\Gamma = 2I_0 r_o^{2.125} \int_0^{\pi/2} d\phi \int_{H \sec}^{(H+b_i) \sec} r dr r^{-2.125} = 98.6657 \text{ MHz} \quad (\text{A.7})$$

In this case, we have taken the nominal values of the MM sector geometry for H (wedge base), b_i (the wedge height), and ϑ_w (the wedge opening angle).

The effects of incoherent background and larger slope road size are summarized in Figure A.11 for efficiencies and in Figure A.13 and Table A.1 for residual of fit quantities.

Figure A.11 show the effect of both wider slope-roads and the introduction of background on efficiencies. The introduction of wider slope-roads increases the chance that an early errant hit (either from secondaries/ionization or background) will be introduced into the fit, and the presence of incoherent background greatly increases the number of such errant hits. Both wider slope-roads and background drive down the number of fits (numerator) in both efficiencies, and background can artificially inflate the denominator of ε_{alg} , a reco-level, slope-road coincidence threshold. The shape of the ε_{fit} versus coincidence threshold distributions remains fairly constant with each complicating

^{||}Using Mathematica and the extra factor of r from the volume element

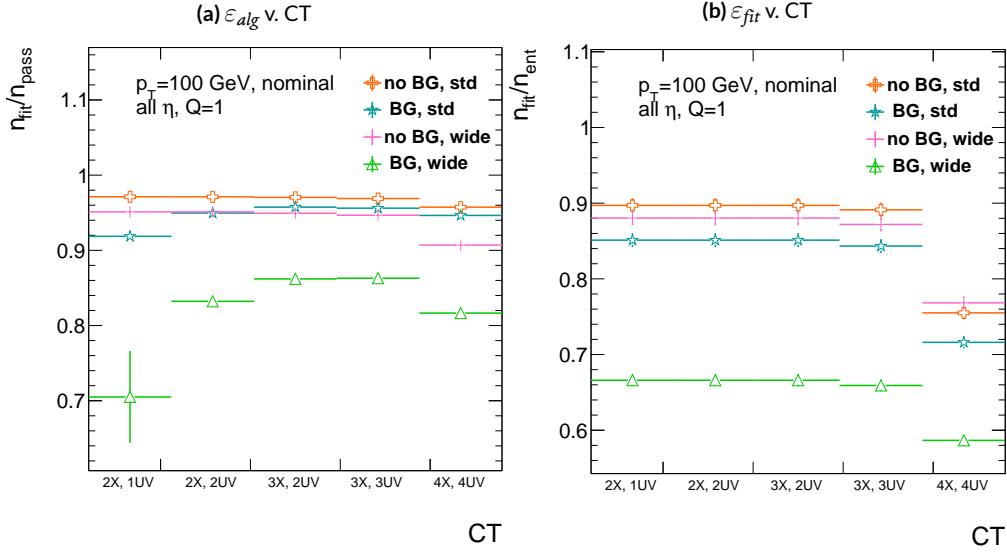


Figure A.11: The algorithm and total efficiencies as a function of coincidence threshold for different background settings and slope-road sizes (standard and wide (one slope road as 1 VMM chip)).

factor (standard, wider slope-roads, background, both wider slope-roads and background), suggesting many muons will simply not be fit with any number of hits; ε_{fit} does not take into account the coincidence threshold of tracks that are not fit, so the effect appears uniform across coincidence threshold. The effects seen for ε_{alg} , which are not uniform across coincidence threshold can be better understood when examining the distribution of event highest coincidence thresholds, shown for wide slope-roads both without and with background in Figure A.12. Take, for example the 2X+1UV case. The 2X+1UV bin in particular has a marked increase when background is introduced. No new, good tracks are introduced between the no background and background cases, so the increase is entirely due to bad, background hits; hence, these events do not (and should not) fit, causing the particularly pronounced drop in this bin between these two cases in Figure A.11.



Figure A.12: The distribution of highest slope-road coincidence thresholds in events for the algorithm with wide slope-roads (width of 1 VMM) both without (a) and with (b) incoherent background; the 0,0 bin is the number of events passing selection requirements that fail to form the minimum $2X+1UV$ coincidence threshold necessary for a fit.

The effect of increasing slope-road size and incoherent background on fit quantity residual rms values as a function of p_T is shown in Figure A.13. As the figure shows, the fitted rms values are fairly robust against increased slope-road size and background. This does not hold for all of the raw rms values, however, as shown in Table A.1. Just as with the efficiencies, the introduction of background has a larger effect than that of increased slope-road size, which does not seem to have an overly large impact on any of the fit quantities on its own. While $\Delta\vartheta$ remains robust to both increased slope-road size and background (likely due to the $\Delta\vartheta$ cut of 16 mrad built into the algorithm), ϑ shows some degradation in performance, and the ϕ residual raw rms shows a very large increase upon the introduction of background. Nevertheless, the contrasting behavior of the fitted and raw rms values suggests that tracks that drive up the raw rms values already had very poor fit quality even before the introduction of background, so the impact on fit quantities should remain fairly limited.

	No BG, std	No BG, wide	BG, std	BG, wide
ϑ	0.364 (0.604)	0.363 (0.542)	0.379 (0.886)	0.380 (1.07)
φ	8.12 (15.0)	7.93 (13.2)	8.20 (24.6)	7.63 (24.8)
$\Delta\vartheta$	1.47 (2.69)	1.40 (2.66)	1.50 (2.89)	1.43 (2.90)

Table A.1: The fitted (absolute) σ of fit quantity residuals in mrad under different algorithm settings.

As Table A.1 shows, rms values appear to be robust to an increase in slope-road size. Nevertheless, though the fitted σ residual values are also fairly robust to the introduction of background, the

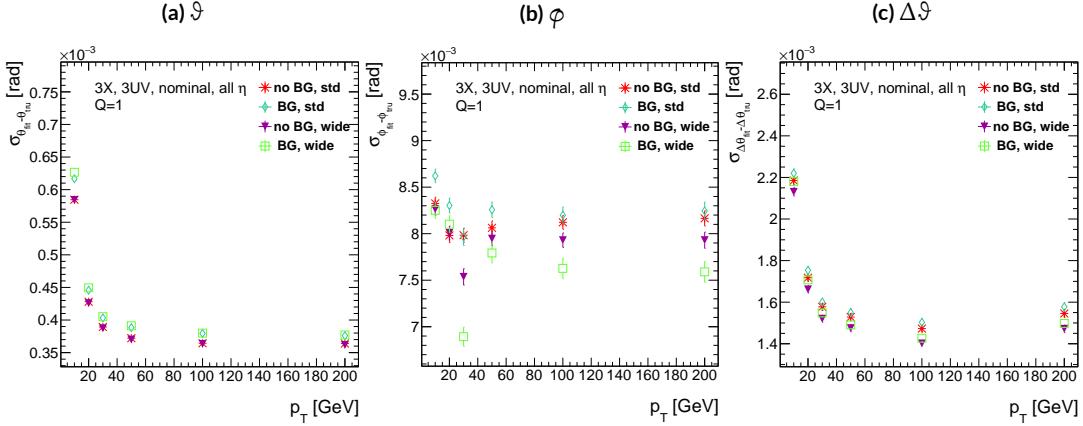


Figure A.13: The three fit quantity residual rms values as a function of p_T for different background settings and slope-road sizes (standard and wide (one slope road as 1 VMM chip)).

raw rms values are not. While the raw $\Delta\vartheta$ rms stays stable, both ϑ and φ suffer noticeable degradation, which suggests that the introduction of background has a detrimental effect on horizontal slope residual (i.e. on stereo strips in particular). This level of degradation is likely acceptable for ϑ , though further steps may need to be taken to address φ .

A.6.1 BCID

A fitted track's BCID is determined by the most common BCID associated with its hits. Concerns were raised that this might cause incorrect BCID association for fitted tracks. In order to address this, the rate of successful BCID association for fitted tracks was recorded. Figure A.14 shows the dependence of this success rate as a function of p_T and coincidence threshold in the different background and resource conditions used in the previous section. The successful BCID identification rate is always over 99.5%, demonstrating that this issue is not a concern with the state-of-the-art detector

simulation.

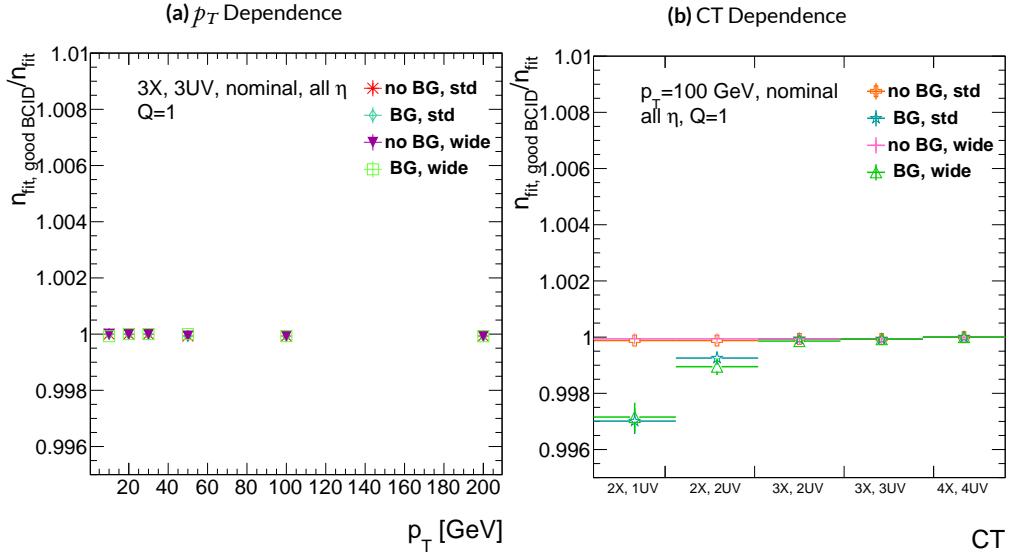


Figure A.14: The rate of good BCID association based majority hit BCID as a function of p_T and coincidence threshold.

A.7 CHARGE THRESHOLD

The MMTP uses the first hits registered passing a charge threshold requirement given in units of electron charge. In principle, it would be beneficial to be able to use any hits that are registered regardless of deposited charge, but in the high rate environment envisioned for the NSW, this requirement might need to be raised. Nominal algorithm settings have this charge threshold requirement set to 1, and studies were conducted on algorithm performance for charge threshold values of 0, 1, and 2. Efficiencies as a function of coincidence threshold for different charge thresholds are shown in Figure A.15. Increasing the charge threshold lowers both efficiencies, particularly at high coincidence threshold, which suggests that energetic muons with secondaries create both very many hits

and hits with higher charge. While the shapes of the fit quantity distributions as a function of p_T in Figure A.16 are fairly constant across charge threshold, performance is not. ϑ and $\Delta\vartheta$ show some improvement with higher charge threshold, particularly at low p_T , suggesting that resolution improves in the vertical direction, but ϕ shows degradation at higher charge threshold, which is a symptom of more highly charged particles experiencing greater bending in the ATLAS magnetic field in the ϕ direction.

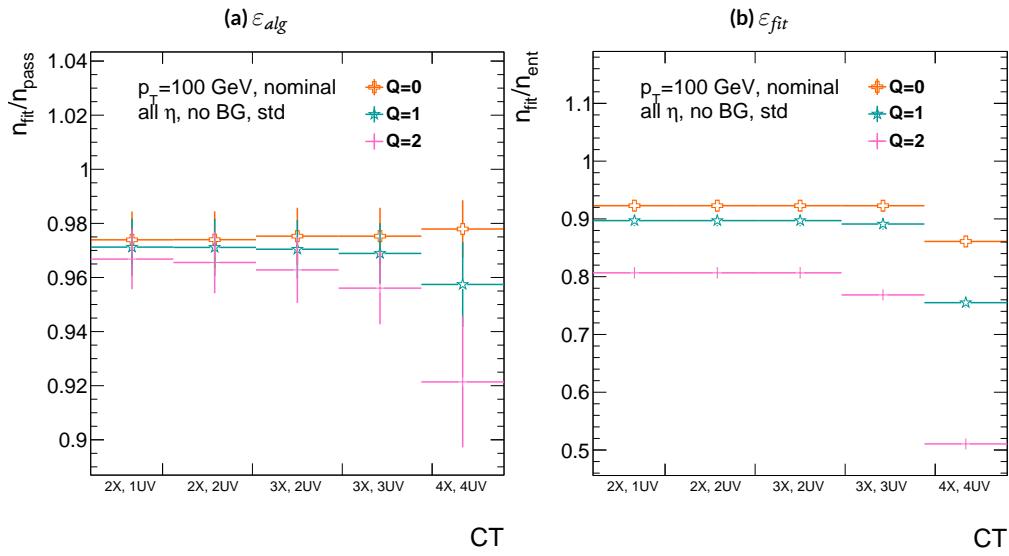


Figure A.15: The efficiencies as a function of coincidence threshold for charge thresholds 0, 1, and 2.

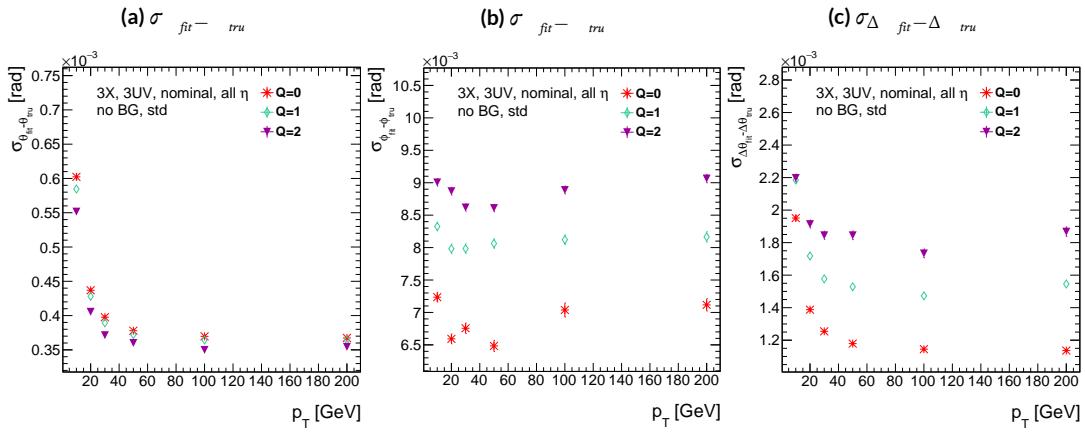


Figure A.16: The fit quantity residual rms values as a function of p_T for charge thresholds of 0, 1, and 2.

A.8 MISALIGNMENTS AND CORRECTIONS

The performance of the trigger algorithm under misalignment has been studied for each of the six alignment quantities (three translations and three rotations all along the principal axes) described in³ and², whose convention we will follow here. For the simulated wedge studied here the local coordinates described in² are taken to be centered at the center of the base of the wedge^{**}, the local t axis corresponds to the axis of the beam line, the local z axis corresponds to the direction orthogonal to both the beam line and the horizontal strips, and the local s axis completes the right-handed coordinate system. The rotation angles α , β , and γ correspond to rotations around the local t , z , and s axes, respectively. Note that the local s , z , and $-t$, axes correspond to the usual global x , y , and z axes. Misalignments were studied in twenty evenly spaced increments from nominal positions to misalignments of 1.5 mrad for the rotations (-1.5 mrad to +1.5 mrad for the γ case), and of 5 mm (a roughly corresponding linear shift) for the translations. In all cases, the front quadruplet is misaligned while the rear quadruplet remains in its nominal position. While only the front quadruplet of a single wedge is misaligned, the framework for misalignment presented below could be used to study generic local and global misalignments. The six misalignments are schematically represented in Figure A.17.

Chamber misalignments manifest themselves as altered strips in algorithm input. In order to simulate the effects of misalignment, the change in the local y coordinate—the distance from the

^{**}Not, as is sometimes the case, the centroid position for simplicity's sake, as the agreed upon geometry of the detector changed several times while studies were in progress; any transformation in a centroid-origin coordinate system can of course be formed by a combination of the six transformations examined.

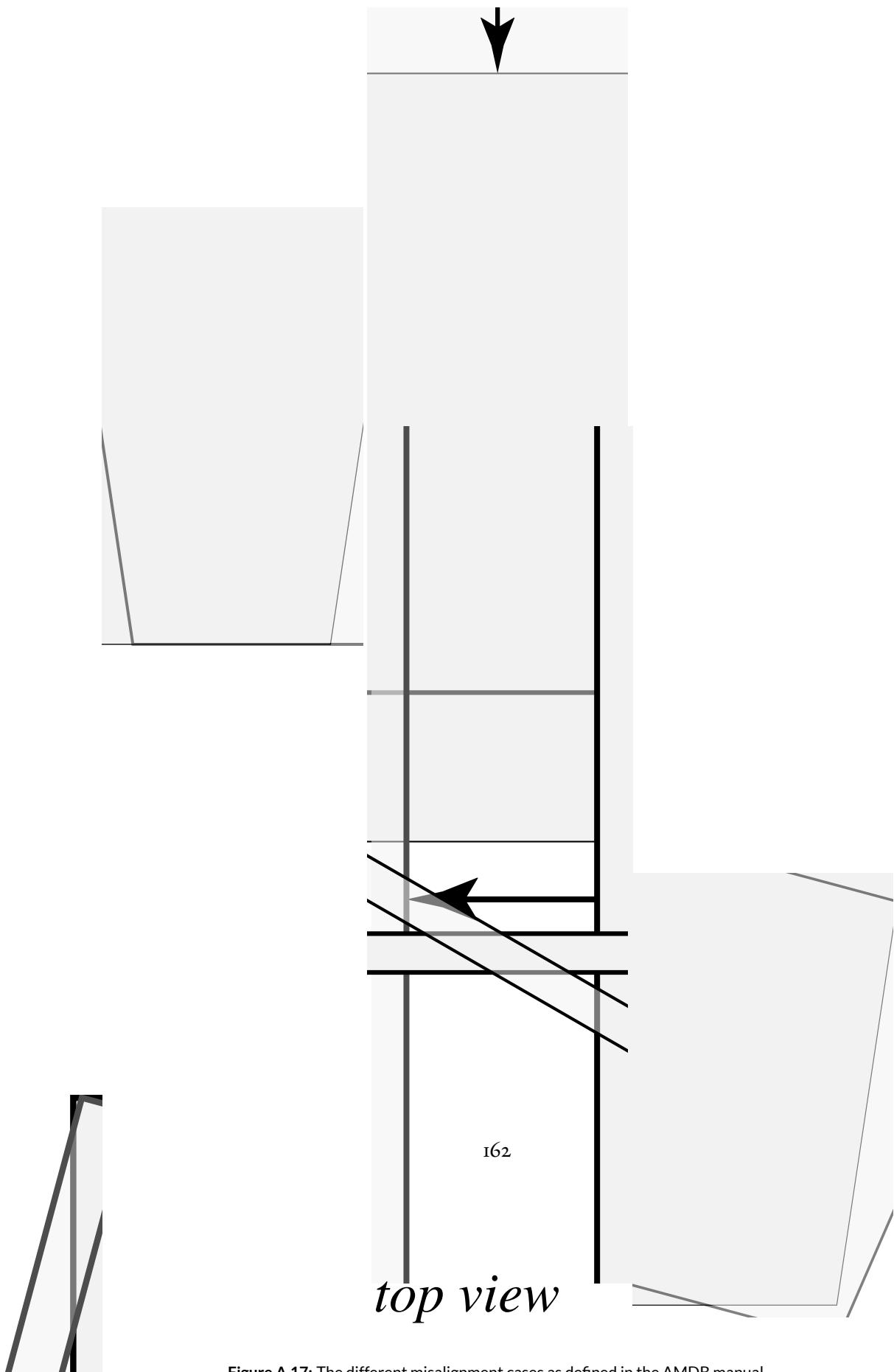


Figure A.17: The different misalignment cases as defined in the AMDR manual.

bottom wedge center in the direction perpendicular to both the beamline and the strip direction—is calculated for a track coming straight from the interaction point defined by the truth-level ϑ and ϕ angles for generic misalignment. This displacement in y is then added to input hit information and the algorithm is then run normally.

To understand how this displacement is calculated, some notation first needs to be described.

Table A.2: A summary of notation used in this section: note that non-AMDB notation is used in this section.

Symbol	Definition
s_x, s_y, s_z, \vec{s}	Position of the muon hit in ATLAS global coordinates; the infinite momentum muon track
\hat{n}	Vector normal to the plane; taken to be \hat{z} (the beamline) in the nominal case
$\vec{\mathcal{O}}_{IP}^{g,l}$	Position of the interaction point in ATLAS global (g) or wedge local (l) coordinates
$\vec{\mathcal{O}}_{base}^{g,l}$	Position of the plane base in ATLAS global (g) or wedge local (l) coordinates; (o, y_{base}, z_{pl}) ((o, o, o)) for the nominal case in global (local) coordinates
$\vec{\xi}$	$\vec{s} - \vec{\mathcal{O}}_{base}$
primed quant.	quantities after misalignment

Generically speaking, a hit is the intersection of a line (the muon track) with a plane (the individual plane in the multiplet). We assume the muon moves in a straight line defined by the origin and the truth-level ϑ_{pos} and ϕ_{pos} (i.e. the infinite momentum limit) and that the MM plane is rigid and defined by a point, which we take to be the center of the bottom edge of the plane, and a normal vector, which we take to be the z axis in the nominal case.

The coordinate axes x, y, z axes used here correspond to the usual AMDB $s, z, -t$ axes. Since the direction does not really matter when studying misalignment or corrections thereof, the major

difference is the choice of origin.

The muon track we denote^{††} \vec{s} , the bottom point of the plane $\vec{\mathcal{O}}_{base}$, and the normal vector \hat{n} .

The muon track will always be given as (the wedge gets moved, not the muon):

$$\vec{s} = \mathcal{O}_{IP} + k\hat{s} \quad (\text{A.8})$$

$$\hat{s} = \sin \vartheta_{pos} \sin \phi_{pos} \hat{x} + \sin \vartheta_{pos} \cos \phi_{pos} \hat{y} + \cos \vartheta_{pos} \hat{z} \quad (\text{A.9})$$

$$\vec{s} = k\hat{s} = \frac{z_{pl}}{\cos \vartheta_{pos}} \hat{s} = z_{pl} (\tan \vartheta \sin \phi \hat{x} + \tan \vartheta \cos \phi \hat{y} + 1) \quad (\text{A.10})$$

where $k \in \mathbb{R}$, along with the unit vector \hat{s} , defines the point where the track intersects the wedge.

Rotations are done before translations, according to the order prescribed in the AMDB guide for chamber alignment, so the axes the principal axes of the plane are rotated according to the following matrix (where s , c , and t are the obvious trigonometric substitutions)

$$\begin{aligned} & \begin{pmatrix} 1 & 0 & 0 \\ 0 & c\gamma & -s\gamma \\ 0 & s\gamma & c\gamma \end{pmatrix} \begin{pmatrix} c\beta & 0 & s\beta \\ 0 & 1 & 0 \\ -s\beta & 0 & c\beta \end{pmatrix} \begin{pmatrix} c\alpha & -s\alpha & 0 \\ s\alpha & c\alpha & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c\gamma & -s\gamma \\ 0 & s\gamma & c\gamma \end{pmatrix} \begin{pmatrix} c\alpha c\beta & -s\alpha c\beta & s\beta \\ s\alpha & c\alpha & 0 \\ -c\alpha s\beta & s\alpha s\beta & c\beta \end{pmatrix} \\ & = \boxed{\begin{pmatrix} c\alpha c\beta & -s\alpha c\beta & s\beta \\ s\alpha c\gamma + c\alpha s\beta s\gamma & c\alpha c\gamma - s\alpha s\beta s\gamma & -c\beta s\gamma \\ s\alpha s\gamma - c\alpha s\beta c\gamma & c\alpha s\gamma + s\alpha s\beta c\gamma & c\beta c\gamma \end{pmatrix}} = A \end{aligned} \quad (\text{A.11})$$

^{††}Recall ϕ_{pos} is defined with respect to the y axis instead of the x axis, as might otherwise be typical.

The thing that matters is what the new strip hit is—i.e. what the new y value is since this, along with a plane number, is all that is fed into the algorithm. To find this, we must solve for the new point of intersection with the rotated plane and then apply the effects of translations. The path connecting the base of the wedge with the intersection of the muon track will always be orthogonal to the normal vector of the plane. Our quantities after misalignment, denoted by primed quantities, will look like

$$\mathcal{O}_{base} \rightarrow \mathcal{O}_{base} + ds\hat{x} + dz\hat{y} + dt\hat{z} = \mathcal{O}'_{base}, \quad \hat{n} \rightarrow A\hat{n} = A\hat{z} = \hat{z}', \quad \vec{s} \rightarrow k'\hat{s} + \mathcal{O}_{IP} = \vec{s}' \quad (\text{A.12})$$

so, moving to explicit, global coordinates in the last line so we can do the computation (relying on the fact that any vector in the wedge, namely $\vec{\zeta}' = \vec{s}' - \mathcal{O}'$ the local coordinates of the interaction point, is necessarily orthogonal to \hat{n}):

$$o = \hat{n} \cdot (\vec{\mathcal{O}}_{base} - \vec{s}) \rightarrow o = A\hat{z}' \cdot (\vec{\mathcal{O}}'_{base} - (k'\hat{s} + \vec{\mathcal{O}}_{IP})) \quad (\text{A.13})$$

$$\rightarrow k' = \frac{s\beta\mathcal{O}'_{base-IP,x} - c\beta s\gamma\mathcal{O}'_{base-IP,y} + c\beta c\gamma\mathcal{O}'_{base-IP,z}}{\hat{s} \cdot \hat{z}'} \quad (\text{A.14})$$

$$= \frac{s\beta ds - c\beta s\gamma(y_{base} + dz) + c\beta c\gamma(z_{pl} + dt)}{s\beta s\vartheta s\varphi - c\beta s\gamma s\vartheta c\varphi + c\beta c\gamma c\vartheta} \quad (\text{A.15})$$

To find our new y coordinate, we need to evaluate $s'_y = \hat{y}' \cdot k'\vec{s}'$ to find the final correction of:

$$\Delta y = \vec{\zeta}' \cdot \hat{y}' - \vec{\zeta} \cdot \hat{y} = (k'\hat{s} - \vec{\mathcal{O}}'_{base}) \cdot \hat{y}' - (s_y - y_{base}) \quad (\text{A.16})$$

The correction will be plane dependent since (denoting the stereo angle ω):

$$\hat{y}_x = \hat{y} \rightarrow \hat{y}'_x = -\sin\beta\hat{x} + (\cos\gamma - \sin\beta\sin\gamma)\hat{y} + (\cos\gamma + \sin\beta\cos\gamma)\hat{z}$$

(A.17)

and

$$\begin{aligned}\hat{y}_{U,V} = & \pm \sin\omega\hat{x}' + \cos\omega\hat{y}'_{U,V} = [\pm \cos\beta\sin\omega - \sin\beta\cos\omega]\hat{x} + [\pm (\sin\gamma + \cos\beta\sin\gamma)\sin\omega \\ & + (\cos\gamma - \sin\beta\sin\gamma)\cos\omega]\hat{y} + [\pm (\sin\gamma - \cos\beta\cos\gamma)\sin\omega + (\cos\gamma + \sin\beta\cos\gamma)\cos\omega]\hat{z}\end{aligned}$$

A.8.1 INDIVIDUAL CASES

Currently we only study the cases where one misalignment parameter is not zero. We examine these in detail below, calculating the most pertinent quantities in the misalignment calculation, k'/k and the new horizontal and stereo y axes. Before setting out, we simplify the expressions for the transformed \hat{y}' 's, removing any terms with the product of two sines of misalignment angles, which will be

zero.^{††}

$$\hat{y}'_x = -s\alpha c\beta \hat{x} + c\alpha c\gamma \hat{y} + c\alpha s\gamma \hat{z} \quad (\text{A.19})$$

$$\hat{y}'_{U,V} = [\pm c\alpha c\beta s\omega - s\alpha c\beta c\omega] \hat{x} + [\pm s\alpha c\gamma s\omega + c\alpha c\gamma c\omega] \hat{y} + [\mp c\alpha s\beta c\gamma s\omega + c\alpha s\gamma c\omega] \hat{z} \quad (\text{A.20})$$

If the translations are zero,

$$k' = \frac{-c\beta s\gamma y_{base} + c\beta c\gamma z_{pl}}{s\beta s\partial s\phi - c\beta s\gamma s\partial c\phi + c\beta c\gamma c\theta}, \quad k'/k = \frac{-c\beta s\gamma y_{base}/z_{pl} + c\beta c\gamma}{s\beta t\partial s\phi - c\beta s\gamma t\partial c\phi + c\beta c\gamma} \quad (\text{A.21})$$

A.8.2 $ds \neq 0$

$k'/k = 1$ (the point of intersection does not move closer or further from the IP), and only the stereo planes are affected. Note that only relevant term in Equation A.16, for the stereo strip \hat{y} for $\vec{\theta}'_{base} = ds\hat{x}$ is:

$$\pm \sin \omega ds \approx \pm 0.0261 ds \quad (\text{A.22})$$

meaning that a displacement in x of 17 mm, more than three times the range of misalignments studied, would be necessary for a shift in the stereo planes corresponding to one strip width.

^{††}If only one misalignment parameter is non-zero, then two or more sines will contain at least one term will contain $\sin \phi = 0$.

A.8.3 $dz \neq 0$

$k'/k = 1$ (the point of intersection does not move closer or further from the IP). This case is the trivial one (cf. Equation A.16 with $\vec{\phi}'_{base} = dz\hat{y}$). y just gets moved in the opposite direction as the wedge. Correction is an additive constant.

A.8.4 $dt \neq 0$

$k'/k = (z_{pl} + dt) / z_{pl}$. y gets modified by a simple scale factor. Correct by storing changing definitions of plane positions in algorithm to match the misaligned values.

A.8.5 $\alpha \neq 0$

$k'/k = 1$ and

$$\hat{y}'_x = -s\alpha\hat{x} + c\alpha\hat{y} \quad (\text{A.23})$$

$$\hat{y}'_{U,V} = [\pm c\alpha s\omega - s\alpha c\omega]\hat{x} + [\pm s\alpha s\omega + c\omega]\hat{y} \quad (\text{A.24})$$

A.8.6 $\beta \neq 0$

We have $k'/k = (1 + \tan \beta \tan \vartheta \sin \varphi)^{-1}$, and

$$\hat{y}'_x = \hat{y} \quad (\text{A.25})$$

$$\hat{y}'_{U,V} = \hat{y} \pm (c\beta\hat{x} - s\beta\hat{z})s\omega \quad (\text{A.26})$$

A.8.7 $\gamma \neq 0$

$$k'/k = \frac{1 - \tan \gamma \frac{y_{base}}{z_{pl}}}{1 - \tan \gamma \tan \vartheta \cos \phi} \quad (\text{A.27})$$

$$\hat{y}'_x = c\gamma\hat{y} + s\gamma\hat{z} \quad (\text{A.28})$$

$$\hat{y}'_{U,V} = \pm s\omega\hat{x} + c\omega\hat{y} - s\gamma c\omega\hat{z} \quad (\text{A.29})$$

In order to evaluate algorithm performance under misalignment and corrections for misalignment, the absolute means and relative resolutions of the fit quantities ϑ , ϕ , and $\Delta\vartheta$ are measured as a function of misalignment. In the following, results will only be shown for which the effects of misalignment are significant. “Significant,” for misalignments of 1 mm (0.3 mrad) for translations (rotations) means more than a 5% degradation in rms and/or bias shifts in ϑ , ϕ , and $\Delta\vartheta$ of 0.01 mrad, 1 mrad, and 0.1 mrad, respectively.

While corrections are typically done on a case-by-base basis, they fall under two general categories, analytic and simulation based. Analytic corrections rely upon specific knowledge of the misalignment, with each case being handled separately; as such, the additional resources required, both extra constants and operations, if any, vary accordingly. Simulation based corrections are all done in the same manner. The algorithm is run over a training MC sample (same setup but with $p_T = 200$ GeV instead of the normal 100 GeV sample so as not to overtrain the corrections), and the mean biases for ϑ , ϕ , and $\Delta\vartheta$ are saved for different, equally spaced regions in the $\eta - \varphi$ plane over the wedge based on the fitted ϑ and ϕ values. Currently, these values are saved for 10 η and 10 φ bins (100 η, φ

Table A.3: A summary of corrections with additional constants/operations (written as $n_{const}c/n_{ops}\text{op}$; n_X is the number of X hits in a fit) necessary for analytic corrections. Yes means a correction exists but might not entirely remove misalignment effects, while yes+ means a quality of correction is only limited by knowledge of misalignment and memory

	Δs	Δz	Δt	γ_s	β_z	α_t
Analytic Resources	yes+ 11c/2op	yes+ oc/oop	yes+ oc/oop	yes 56c/1op	no —	yes 400c/2n _X op, 32c/12n _X op
Simulation	yes+	no	no	no	yes+	yes+

bins total), with the number of bins in each direction being a configurable parameter. When the algorithm runs with simulation based correction, this table of constant corrections is saved in a LUT before runtime, and corrections are added to final fit quantities based on the (uncorrected) ϑ and ϕ fit values. With the settings mentioned, this is 300 extra constants ($10\vartheta\text{-bins} \times 10\phi\text{-bins} \times 3$ fit quantities) and two extra operations (a lookup and addition for each quantity done in parallel). The simulation correction can, in principle, also be applied to the algorithm in nominal conditions with non-trivial improvements, as detailed below in Section A.9. Depending on the misalignment case in question, different approaches work better. A summary of correction methods, including resources necessary for the individual analytic cases, is shown in Table A.3.

A.9 SIMULATION CORRECTION OF THE ALGORITHM UNDER NOMINAL CONDITIONS

In addition to using simulation based correction to counter the effects of several classes of misalignment, the correction can be applied at to the algorithm under nominal conditions. The main effect of this correction is to mitigate the effects of the bias in stereo strips. As such, the correction has a larger effect on quantities that rely on the aggregate slope m_y , as can be seen in in Figure A.18, improving $\sigma_{\text{fit} - \text{tru}}$ resolution by about 25%, and reducing $\sigma_{\text{fit} - \text{tru}}$ by over 50% and restoring a largely Gaussian shape. The slight, apparent degradation in $\Delta\vartheta$ is due to a more mild version of the effect seen in Figure A.7.

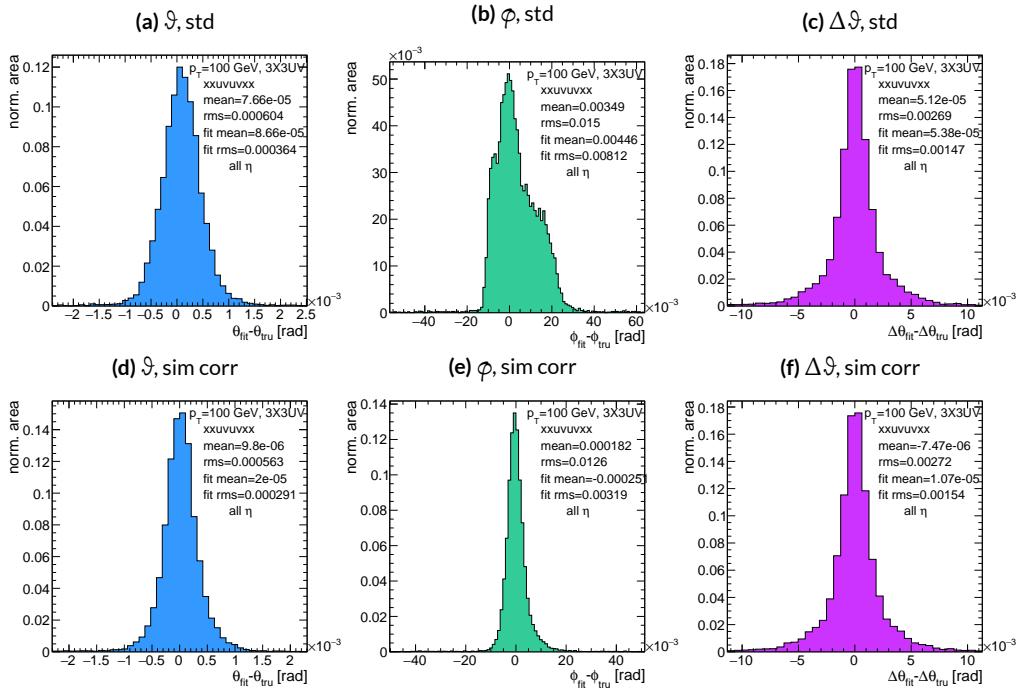


Figure A.18: Nominal residual plots for both uncorrected and simulation corrected cases; ϑ , ϕ , $\Delta\vartheta$ for $p_T = 100$ GeV muons

As can be seen in Figure A.19, the simulation based correction also removes the γ dependence to fit quantity resolution distributions, as expected. One consequence of this is that simulation-based corrections applied to the misalignment cases below will restore performance to the “sim” and not the “std” distributions of Figure A.18. Hence, when making comparisons between simulation corrected curves and the nominal performance point, simulation-corrected distributions of benchmark quantities versus misalignment will often look generally better.

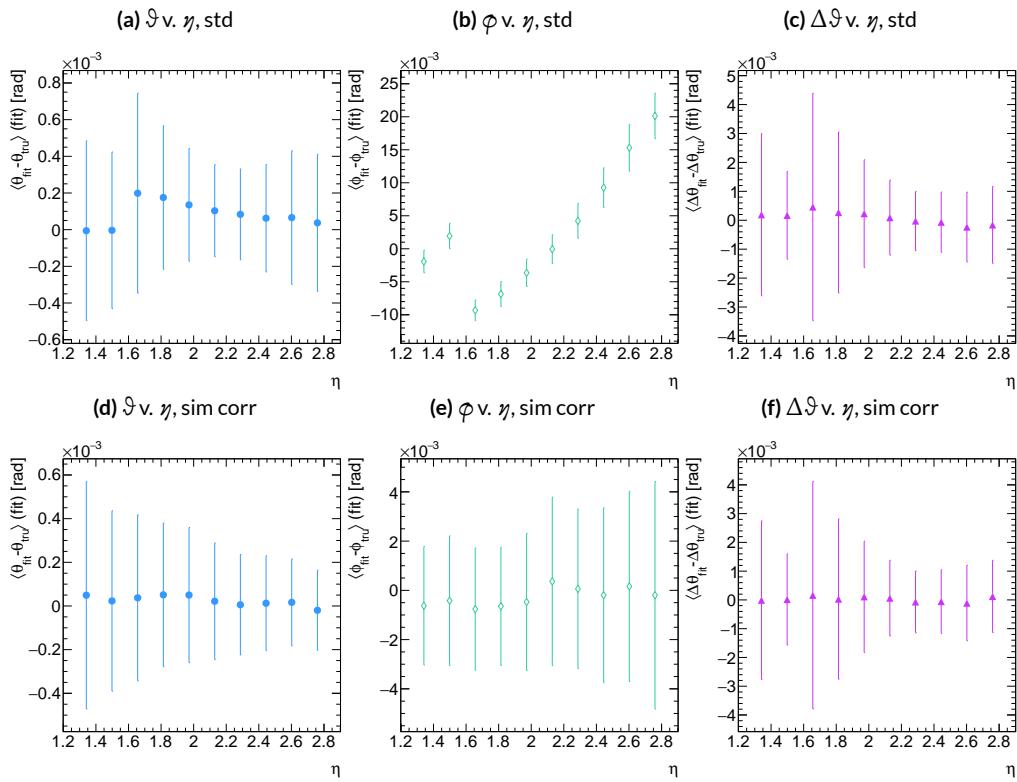


Figure A.19: Nominal residual plots as a function of γ with points as means and error bars as rms values in each γ bin for the angles ϑ , ϕ , $\Delta\vartheta$ for $p_T = 100$ GeV muons in the uncorrected and simulation corrected cases.

That the improvements from a simulation-based correction improve performance of the algo-

rithm in nominal conditions most for the quantities that depend most on stereo information (ϕ and ϑ) and remove the γ dependence of fit quantity resolutions suggests that there could, in principle, be analytic corrections that could be applied to the nominal algorithm. One possible solution is to introduce an additional set of constants, having the y_{base} depend on the strip number, similar to the γ_s correction for z_{plane} described in Section A.13, which would add a lookup per hit and $8 \times n_{bins,y}$ extra constants that would be optimized as the γ_s correction was.

$$M_{hit} = \frac{\gamma}{z} = \frac{y_{base}}{z_{plane}} (n_{str}) + \frac{w_{str}}{z_{plane}} n_{str} \quad (\text{A.30})$$

The simulation correction residual rms values suggest a limit on the quality of such a correction and could perhaps be implemented generically on their own regardless of misalignment for rms values on fit quantities of 0.291 mrad for ϑ , 3.19 mrad for ϕ , and 1.54 for $\Delta\vartheta$, which represent a 20% improvement for ϑ , a 62% improvement for ϕ , and a slight degradation in $\Delta\vartheta$ of 4.7%, again owing to an effect similar to the one in A.7.

A.10 TRANSLATION MISALIGNMENTS ALONG THE HORIZONTAL STRIP DIRECTION (Δs)

A translation in s (i.e. along the direction of a horizontal strip) only affects the stereo strips, and, since the stereo angle is small, a very large misalignment is necessary for effects to be noticeable (a misalignment of roughly 17 mm corresponds to one strip's misalignment in the stereo planes). The only quantity to show any meaningful deviation with misalignments with translations in s is the φ residual bias (a change of 0.4 mrad at $\Delta s = 1$ mm), as can be seen in the uncorrected curve of Figure A.20.

A translation in s induces a constant shift in the calculated horizontal slope, m_x in Equation A.4. This constant shift should only depend on which stereo planes included in a fit are misaligned and how misaligned they are. Hence, the correction to m_x , for a sum over misaligned stereo planes i , with their individual misalignments in s and plane positions in z is:

$$\Delta m_x = \frac{1}{N_{\text{stereo}}} \sum_{i, \text{misal stereo}} \frac{\Delta s_i}{z_{i, \text{plane}}} \quad (\text{A.31})$$

Given prior knowledge of misalignment, these corrections to m_x can be performed ahead of time and saved in a lookup table (LUT), similar to the LUT used for constants in the X local slope (M_x^l) calculation. The added overhead of this analytic correction is hence eleven constants in memory, a lookup, and one addition. The correction perfectly corrects the effects of misalignment, as can be seen in Figure A.20. The simulation based correction described above can also be used to correct for Δs misalignments, with the results of that correction also shown in Figure A.20. The apparent

discrepancy between the simulated and analytic correction is a natural consequence of the fact that the simulation correction, as previously mentioned, restores the ϕ residual distribution to an overall more Gaussian shape.

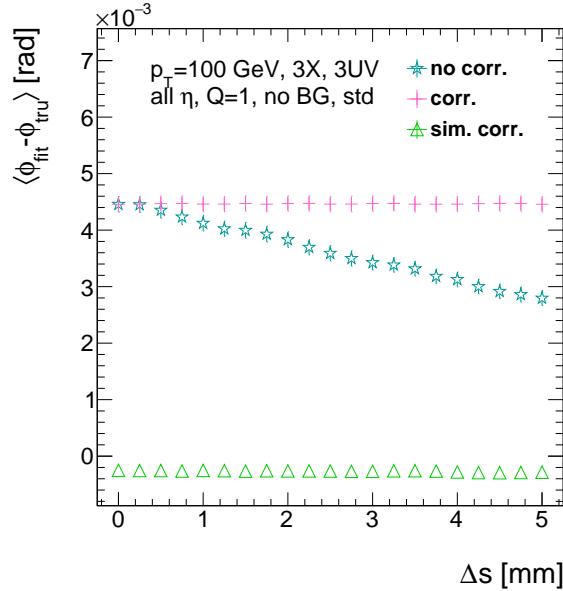


Figure A.20: The mean of the ϕ residual as a function of misalignment for the uncorrected case and the analytic and simulation correction cases.

A.II TRANSLATION MISALIGNMENTS ORTHOGONAL TO THE BEAMLINE AND HORIZONTAL STRIP DIRECTION (Δz)

A translation in AMDB z , the direction orthogonal to both the beamline and the horizontal strip direction, corresponds to a translation in the y of Equation A.1, affecting all slope calculations. This has a large impact on the ϑ residual bias and both the bias and rms of $\Delta\vartheta$ residual, as can be seen in Figures A.21 (a)–(c). The marked degradation and non-linear behavior in performance at very high levels of misalignments is a result of low statistics; there are fewer fits at high level of misalignments since for $\Delta z \gtrsim 3$ mm, most fits will fail the $\Delta\vartheta$ cut. The ϑ bias shifts by about 0.075 mrad at $\Delta z = 1$ mm, and $\Delta\vartheta$ shifts by about 5 mrad for the same level of misalignment. While the fitted rms of the $\Delta\vartheta$ residual remains fairly stable for $\Delta z < 1$ mm or so, between $\Delta z = 2$ mm and $\Delta z = 3$ mm, the rms increases by 15% before the $\Delta\vartheta$ cut issue mentioned above intervenes.

Fortunately, these misalignments are straightforward to correct with knowledge of the misalignment. The only modification necessary for this correction is to change the definitions of y_{base} in Equation A.1 for the individual hit slope addressing. This is done before runtime and adds no overhead to the algorithm, and the correction quality is only limited by knowledge of the misalignment. The results of this correction are also shown in Figures A.21 (a)–(c) and restore nominal performance.

Since $\Delta\vartheta = \frac{M'_X - M''_X}{1 + M'_X M''_X}$ and $M'_X = B_k \sum y_i (z/\bar{z} - 1)$, a shift Δy translates (with typical slope values of ~ 0.3) to $5B_k (z_1 + z_2)/\bar{z}$ (with B_k in units of inverse mm); set equal to 16 mrad ($\Delta\vartheta$ is centered at zero), this corresponds to $\Delta y = 2.7$ mm

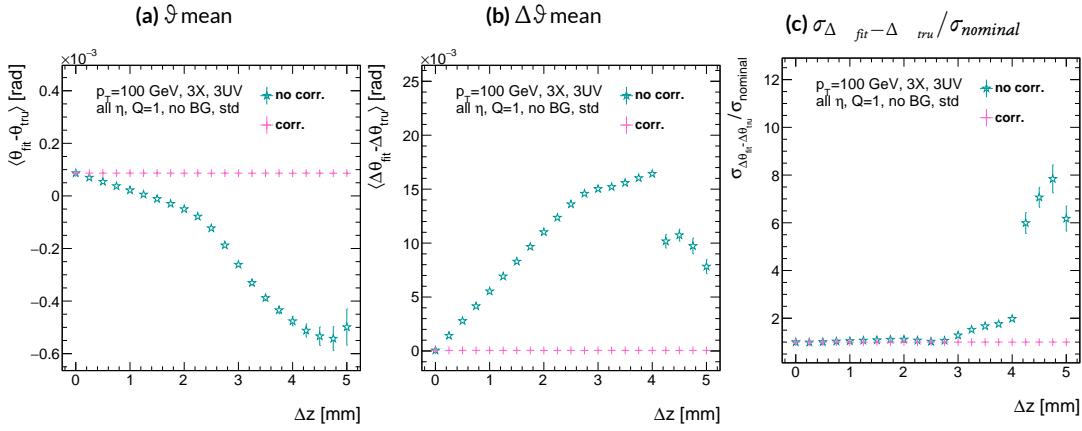


Figure A.21: The affected quantities of Δz misalignments: θ bias, $\Delta\theta$ bias, and $\sigma_{\Delta_{\text{fit}} - \Delta_{\text{true}}} / \sigma_{\text{nominal}}$ for both the misaligned and corrected cases.

A.12 TRANSLATION MISALIGNMENTS PARALLEL TO THE BEAMLINE (Δt)

The effects of misalignment due to translations in t are very similar to those due to translations in z without the complication of the $\Delta\vartheta$ cut, affecting the z instead of the y coordinate that enters into hit slope calculations. Again, ϑ bias, $\Delta\vartheta$ bias, and $\sigma_{\Delta_{fit} - \Delta_{true}}$ are the primarily affected quantities. For $\Delta t = 1$ mm, ϑ bias shifts by about 0.02 mrad, $\Delta\vartheta$ bias shifts by just under 2 mrad, and $\sigma_{\Delta_{fit} - \Delta_{true}}$ degrades by about 20%. The correction for this misalignment once again costs no overhead and consists of changing stored constants in the algorithm, in this case the positions along the beamline of the misaligned planes, with results similarly limited by knowledge of the misalignment. The slight improvement with correction to $\Delta\vartheta$ rms is due to the real effect of a larger lever arm. Both the misaligned and corrected distributions of affected quantities of interest are shown in

Figure A.22.

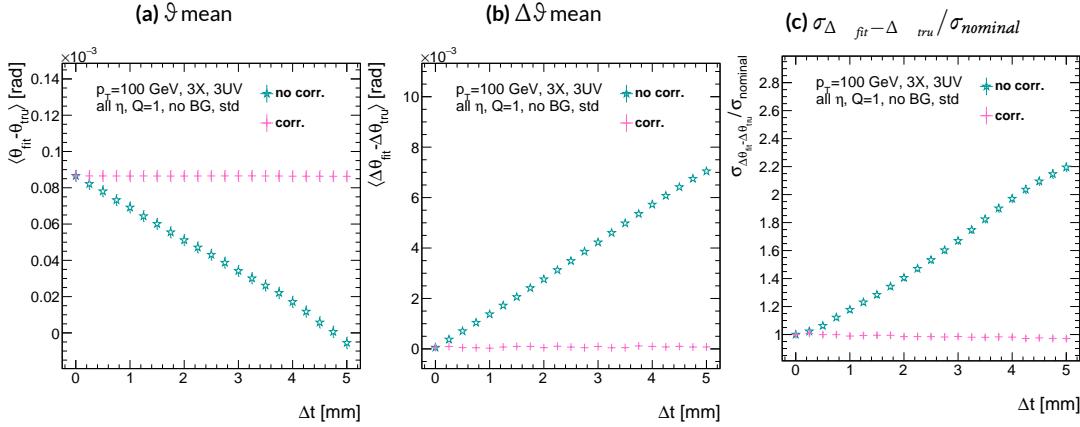


Figure A.22: The affected quantities of Δt misalignments: ϑ bias, $\Delta\vartheta$ bias, and $\sigma_{\Delta_{fit} - \Delta_{true}} / \sigma_{nominal}$ for both the misaligned and corrected cases.

A.13 CHAMBER TILTS TOWARDS AND AWAY FROM THE IP (γ_s ROTATION)

Chamber misalignment due to rotations around the s axis act effectively like a translation in t that depends on strip number. These rotations tilt misaligned chambers away from (towards) the IP for positive (negative) values of γ_s . Since, unlike for the other two rotation cases that will be studied, positive and negative rotation values are not symmetric, this misalignment is studied for both positive and negative γ_s values. The divergent effect at the tails is a result of a large population of fits not having fit quantities within the cores, and so not appearing in the fit rms. Once again, affected quantities of interest ϑ bias, $\Delta\vartheta$ bias, and $\sigma_{\Delta\vartheta_{fit} - \Delta\vartheta_{true}}$. The effects of misalignment can be seen in Figures A.23 (a)–(c). The relationship between biases and γ_s is roughly linear with $\Delta\gamma_s = 0.3$ mrad (the angular scale corresponding to linear shifts of ~ 1 mm) corresponding to 0.005 mrad (0.12 mrad) for $\vartheta(\Delta\vartheta)$. For $\sigma_{\Delta\vartheta_{fit} - \Delta\vartheta_{true}}$, degradation is not symmetric. For negative (positive) γ_s , with the quadruplet tilted towards (away from) the IP, slope-roads are artificially expanded (shrunk), decreasing (increasing) the granularity of the trigger, explaining the asymmetry in Figure A.23 (c), with the degradation being a 10% (25%) effect for γ_s of $+(-)0.3$ mrad.

Corrections are less simple in this case. In principle, corrections of the same accuracy of the translations could be calculated per strip, but the overhead of one correction per strip (many thousands of constants) is prohibitive. Instead, each plane was divided into eight equal segments with a t value (z in the slope calculation) assigned to strips in each region to correct for the misalignment. This amounts to 56 extra constants and a 2D instead of a 1D LUT for z positions while the algorithm runs. The corrected distributions can also be seen in Figures A.23 (a)–(c). The corrections, while not

as effective as for the simple translation cases, are still very effective with the quoted misalignment values for bias shifts down to 0.001 mrad (0.25 mrad) for ϑ ($\Delta\vartheta$) and no more than a 2% degradation in $\sigma_{\Delta_{fit} - \Delta_{true}}$ for $|\gamma_s| = 0.3$ mrad.

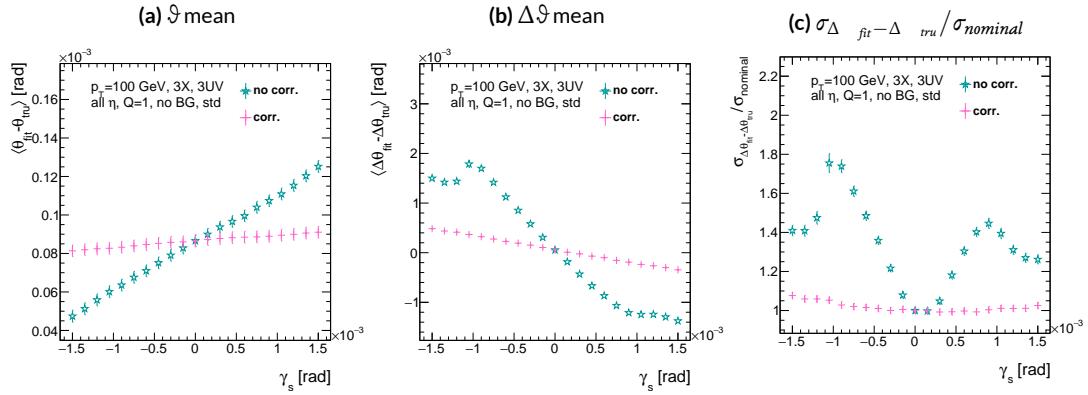


Figure A.23: The noticeable effects of rotations in the s axis and the behavior of these quantities (ϑ and $\Delta\vartheta$ bias shifts and $\sigma_{\Delta_{fit} - \Delta_{true}} / \sigma_{nominal}$) with and without misalignment correction.

A.14 ROTATION MISALIGNMENTS AROUND THE WEDGE VERTICAL AXIS (β_z)

While misalignments coming from rotations around the z axis (the direction orthogonal to both the beamline and the horizontal strip direction) foreshorten the strips as seen from the IP and add a deviation in t , the long lever arm largely washes out any effects of this misalignment. Only the $\sigma_{\Delta \theta_{fit} - \Delta \theta_{true}}$ is noticeably affected, though only at severe misalignments, with only about a 1% degradation in performance at $\beta_z = 0.3$ mrad (corresponding to a linear shift of ~ 1 mm). A simulation based correction works well to cancel out the effects of this misalignment, and the $\sigma_{\Delta \theta_{fit} - \Delta \theta_{true}}$ as a function of misalignment with and without corrections are shown in Figure A.24. The apparent 2% effect in the simulation corrected curve is a result of a more mild version of the effect shown in Figure A.7.

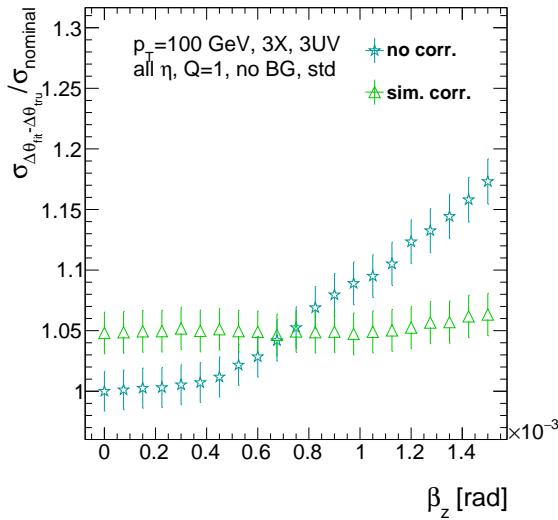


Figure A.24: The effects of rotations in the z axis on $\sigma_{\Delta \theta_{fit} - \Delta \theta_{true}} / \sigma_{nominal}$ as a function of β_z both with and without misalignment corrections.

A.15 ROTATION MISALIGNMENTS AROUND THE AXIS PARALLEL TO THE BEAMLINE (α_t)

Misalignments arising from rotations around the t axis (parallel to the beamline at the center of the base of the wedge) are essentially rotations in the φ direction. The quantities of interest most affected are the φ bias and $\sigma_{\Delta_{fit} - \Delta_{tru}}$, as shown in Figures A.25 (a) and (b), respectively, and correspond to a shift in φ bias of 0.2 mrad and a 10% degradation in $\sigma_{\Delta_{fit} - \Delta_{tru}}$ for $\alpha_t = 0.3$ mrad (corresponding to a linear shift of ~ 1 mm). The raw instead of fitted mean φ biases is used in Figure A.25 (a) to better illustrate the effect of misalignment.

Since the effect of misalignment is dependent on horizontal (along the strip direction, \hat{s}) in addition to vertical information, corrections cannot be applied before a fit takes place. The φ bias shift is uniform over the entire wedge, so a constant additive correction to φ based on the level of misalignment can be applied to all fits depending on how many misaligned stereo planes enter in the fit. $\Delta\vartheta$ is less straightforward, but corrections to the y and z information used in the local slope calculation in Equation A.4 can be applied once ϑ_{fit} and φ_{fit} are known. These corrections are calculated ahead of time in bins of uniform γ and φ as with the simulation corrections using the same framework as the misalignment calculation. The results of both types of correction can be seen in Figure A.22. The apparent discrepancy between the simulation and analytic corrections in the φ bias happens for the same reason as in the Δs misalignment correction cases, as simulation correction restores a more Gaussian shape to the φ residual distribution opposed to the uncorrected nominal case, as discussed in Section A.9.

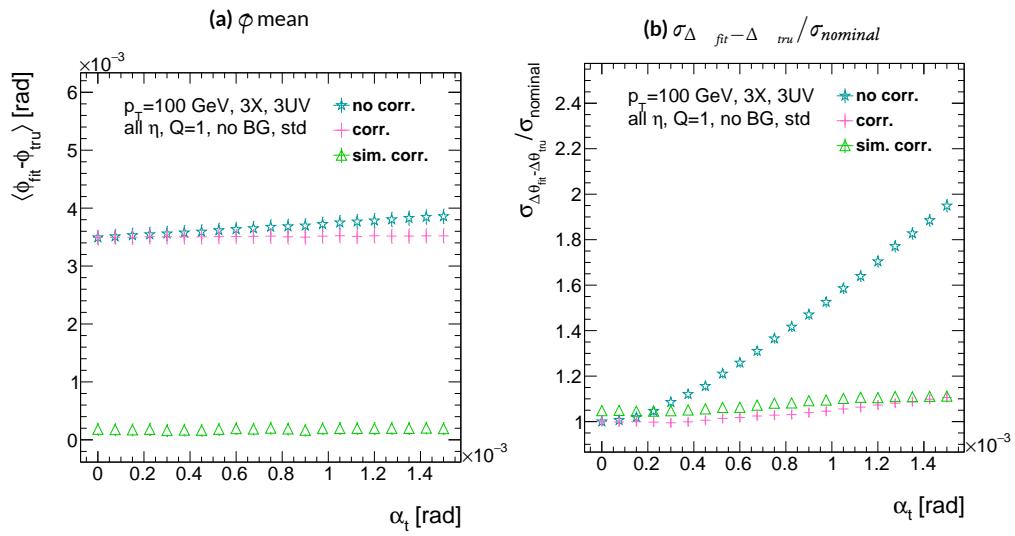


Figure A.25: The effects of rotation misalignments around the t axis for ϕ bias and $\sigma_{\Delta_{fit} - \Delta_{true}} / \sigma_{nominal}$ as a function of misalignment. The uncorrected and both the analytic and simulation correction cases are shown.

A.16 CONCLUSION

The algorithm for Micromegas detectors in the NSW Trigger Processor performs well in a variety of conditions and has proven robust to a number of effects to deliver measurements on muon tracks of the three angles ϑ , ϕ , $\Delta\vartheta$. Under nominal conditions, the rms values for the residuals of these quantities are 0.364 mrad for ϑ , 8.12 mrad for ϕ , and 1.47 mrad for $\Delta\vartheta$. Algorithm performance was found to be largely independent of the charge threshold setting, and a hit majority BCID association was found to provide proper timing information over 99.7% even in the most relaxed settings ($_{2X+1}UV$ coincidence threshold requirement+wide slope-road+background). The introduction of wide slope-roads to better mimic potentially limited algorithm resources at run time and the introduction of incoherent background was found to have a manageable effect on fit quantity residual rms values and on total algorithm efficiency for sufficiently stringent coincidence threshold. The effects of the three translation and three rotation misalignments specified by AMDB convention were studied, and correction methods for each of the six cases was developed. Simulation-based corrections were found to improve nominal algorithm performance to residual rms value of 0.291 mrad for ϑ , 3.19 mrad for ϕ , and 1.54 for $\Delta\vartheta$, which represent improvements of 20%, 62%, and -4.7%, respectively. Misalignment corrections were found to restore nominal performance for all but the rotation around the s axis, and a summary of tolerances may be found in Table A.4.

Table A.4: A summary of levels of misalignment corresponding to a 10% degradation in any residual rms or, for biases shifts of, 0.01 mrad for ϑ , 1 mrad for ϕ , and 0.25 mrad for $\Delta\vartheta$ for both the uncorrected and corrected cases; > 5 mm and > 1.5 mrad mean that such a degradation does not occur for the range of misalignment studied. Most affected quantity in parentheses.

	No Correction	Correction
Δs	4 mm (ϕ bias)	> 5 mm
Δz	0.25 mm ($\Delta\vartheta$)	> 5 mm
Δt	0.25 mm ($\Delta\vartheta$)	> 5 mm
γ_s	0.15 mrad ($\Delta\vartheta$ bias)	0.75 mrad
β_z	0.9 mrad ($\Delta\vartheta$ rms)	> 1.5 mrad
α_t	0.375 mrad ($\Delta\vartheta$ rms)	> 1.5 mrad

If it's stupid but it works, it isn't stupid.

Conventional Wisdom

B

Telescoping Jets

ANOTHER APPROACH TO IMPROVING $ZH \rightarrow \ell\ell b\bar{b}$ is the use of telescoping jets⁶⁶, which harnesses the power of multiple event interpretations. The use of multiple event interpretations was originally developed with non-deterministic jet algorithms like the Q-jets (“quantum” jets) algorithm⁷. When a traditional or “classical” algorithm, such as the Cambridge-Aachen⁷ and anti- k_t ⁷ algorithms, is

applied to an event, it produces one set of jets for that event, i.e. a single interpretation of that event. With multiple event interpretations, each event is instead given an ensemble of interpretations. In the case of Q-jets, this ensemble is created through a non-deterministic clustering process for an anti- k_t jet algorithm. With telescoping jets, multiple jet cone radii (the characteristic size parameter, R) around a set of points in the pseudorapidity-azimuth ($\eta - \phi$) plane are used to generate a series of jet collections. Instead of an event passing or not-passing a given set of cuts, a fraction (called the cut-weight, z) of interpretations will pass these cuts. This cut-weight allows for enhanced background suppression and increased significance of observed quantities for a given data set, as detailed in Ref.[?]. The telescoping jets algorithm provides the benefits of multiple event interpretations without the significant computational overhead of a non-deterministic algorithm like the Q-jets algorithm, and its multiple cone sizes are particularly suited to studying processes like associated production, which suffers from a pronounced low tail in the dijet invariant mass distribution due to final state radiation (FSR) “leaking” outside the relatively narrow jets used for object reconstruction.

B.I MONTE CARLO SIMULATION

The MC simulated samples used in this study are the same as in Ref.[?]. The signal sample used is generated in PYTHIA8[?] with the CTEQ6L1 parton distributions functions (PDFs) and AU2 tune^{???} for the ZH process with $m_H = 125$ GeV (henceforth, ZH_{125}). The primary background processes examined in this study were Z +jets with massive b and c quarks. These samples are generated with version 1.4.1 of the SHERPA generator[?]. Additionally, $t\bar{t}$ production and di-boson (ZZ) production processes were studied in validation. The $t\bar{t}$ samples are generated by the Powheg gen-

erator^{??}, using CT10 PDFs, interfaced with PYTHIA6, and the ZZ samples are generated with the HERWIG[?] generator using the CTEQ6L1 PDFs and the AUET2 tune^{??}.

B.2 JET RECONSTRUCTION AND CALIBRATION

In order to construct telescoping jets, jet axes must first be found around which to “telescope.” In the reconstructed-level analysis, the anti- k_t algorithm with $R = 0.4$ is used to reconstruct jets from topological clusters in the calorimeters. The four vectors of these anti- k_t algorithm with $R = 0.4$ jets are calibrated to match truth information obtained from simulation and validated in data. To take into account the effect of pile-up interactions, jet energies are corrected using a jet-area based technique[?], and each jet with $p_T < 50$ GeV and $|\eta| < 2.4$ is subject to a requirement that at least 50% of the scalar sum of the p_T of tracks matched to this jet be composed of tracks also associated with the primary vertex. Jet energies are also calibrated using p_T and η -dependent correction factors[?]. Furthermore, at least two jets must have $|\eta| < 2.5$ in order to be b -tagged. The MV1 algorithm^{?????} is used for b -tagging. Once jets are reconstructed and b -tag weights have been calculated, the two hardest, b -tagged jets are used as the telescoping jet axes. Additional details can be found in Ref.[?].

After the telescoping jet axes have been established, telescoping jets are constructed using topological clusters in the calorimeters at a variety of jet cone sizes. Including the original anti- k_t jets used for the $R = 0.4$ case, twelve total sets of jets of cone sizes ranging from $R = 0.4\text{--}1.5$ are constructed, with each successive size having a radius 0.1 larger than the preceding set. For each jet axis, telescoping jets consist of any topological cluster lying within R of the axis. In the event of overlap, clusters are assigned to the closer jet axis. If a given cluster is equidistant from the two jet axes, the cluster

is assigned to whichever jet axis is associated with the anti- k_t jet with higher p_T . Calibration for the telescoping jets is conducted using corrections for anti- k_t calorimeter topological cluster jets; the $R = 0.4$ corrections are used for telescoping $R = 0.5$, and the $R = 0.6$ corrections are used for telescoping $R \geq 0.6$ (cf. Sec. B.4). The telescoping cone jets ($R \geq 0.5$) at reconstructed level are trimmed using Cambridge-Aachen jets with $R = 0.3$ and $f_{cut} = 0.05$ with respect to the untrimmed jet p_T ². Since these jets are trimmed, the active area correction is not applied. In the event a Z candidate electron falls within R of the axis of a telescoping jet, its 4-momentum is subtracted from that of the jet vectorially.

A similar process is used to construct telescoping jets in the truth-level analysis below. Instead of the two hardest b -tagged anti- k_t with $R = 0.4$ jets reconstructed with calorimeter topological clusters, the two hardest truth b -jets in an event are used. Instead of making a cut on b -tagging weight to b -tag, truth jets are examined to see whether a b -hadron with $p_T > 5$ GeV is contained within $\Delta R < 0.4$ of the jet axis; the presence of a b -hadron is used to b -tag truth-level jets. These two jets again provide the jets for the $R = 0.4$ case and the axes around which telescoping takes place. Stable truth particles, not including muons and neutrinos, are used in place of calorimeter topological clusters. Z candidate electron-telescoping jet overlap removal is performed at truth level, too. Missing E_T is calculated using the vector sum of the four momenta of stable truth-level neutrinos. Since there are no pileup particles stored at truth level, truth-level telescoping jets are not trimmed.

B.3 EVENT RECONSTRUCTION AND SELECTION

Events are selected on the basis of a combination of leptonic, jet, and missing E_T requirements, which are outlined in Table B.1. Leptons are categorized by three sets of increasingly stringent quality requirements, which include lower limits on E_T , upper limits on $|\eta|$, impact-parameter requirements, and track-based isolation criteria. The requirements differ for electrons[?] and muons[?]. Events are selected with a combination of single lepton, dielectron, and dimuon requirements. Each event must contain at least one lepton passing medium requirements and at least one other lepton passing loose requirements. These leptons are used to create a dilepton invariant mass cut to ensure the presence of a Z boson and suppress multijet backgrounds.

Event selection requirements are also imposed on the anti- k_t with $R = 0.4$ jets. There must be at least two b -tagged jets in a given event. The p_T of the harder b -tagged jet must be at least 45 GeV, and the second b -tagged jet must have p_T of at least 20 GeV. There are further topological cuts on the separation of the two jets $\Delta R(b, \bar{b})$, the distance between the two jets in the (η, ϕ) plane, according to the transverse momentum of the Z boson, p_T^Z . These are shown in Table B.2.

The truth-level analysis has the same missing E_T , jet p_T , m_{ll} , and additional topological selection criteria, but the use of truth-level information simplifies the other requirements. Instead of lepton quality requirements, Z boson candidate leptons' statuses and MC record barcodes are checked to ensure the leptons are stable.

In the jet calibration validation, the reconstructed level analysis lepton and m_{ll} requirements are imposed, but neither the missing E_T nor the jet selection requirements are applied so as not to bias

the validation.

Table B.1: A summary of basic event selection requirements. Truth-level b -tagging is done with truth-level information.

Requirement	Reconstructed	Truth	Validation
Leptons	1 medium + 1 loose lepton	2 produced by Z boson	1 medium + 1 loose lepton
b -jet	2 b -tags	2 b -jets	—
p_T jet 1 (jet 2)		> 45 GeV (> 20) GeV	—
Missing E_T		$E_T^{\text{miss}} < 60$ GeV	—
Z boson		$83 < m_{ll} < 99$ GeV	

Table B.2: Topological requirements of the event selection.

p_T^Z [GeV]	$\Delta R(b, b)$
0–90	0.7–3.4
90–120	0.7–3.0
120–160	0.7–2.3
160–200	0.7–1.8
> 200	< 1.4

B.4 VALIDATION OF JET CALIBRATION

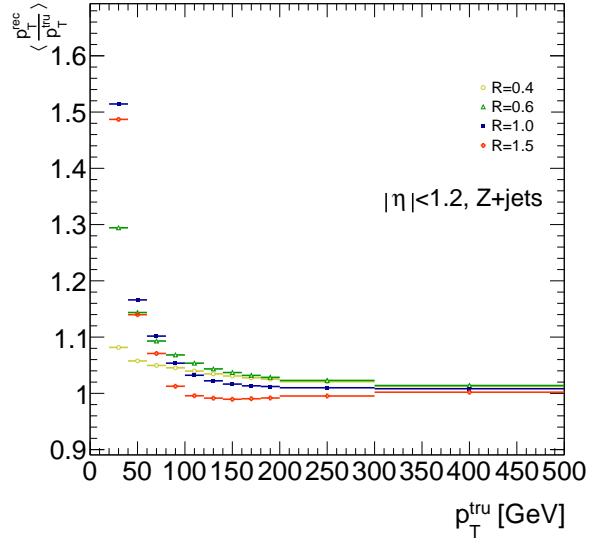
In order to validate the jet energy scale and resolution of jets constructed with this telescoping-jets algorithm, values of $p_T^{\text{rec}}/p_T^{\text{true}}$ are studied for each value of R for the Z +jets MC sample. In a given event, all jets, not just the two hardest b -tagged jets, are telescoped. These jets are constructed in the same way as in the reconstructed and truth-level analyses; reconstructed-level jets are made from calorimeter topological clusters within R of the anti- k_t with $R = 0.4$ jet axes and then trimmed, and

truth-level jets are made from stable truth particles within R of the anti- k_t with $R = 0.4$ jet axes.

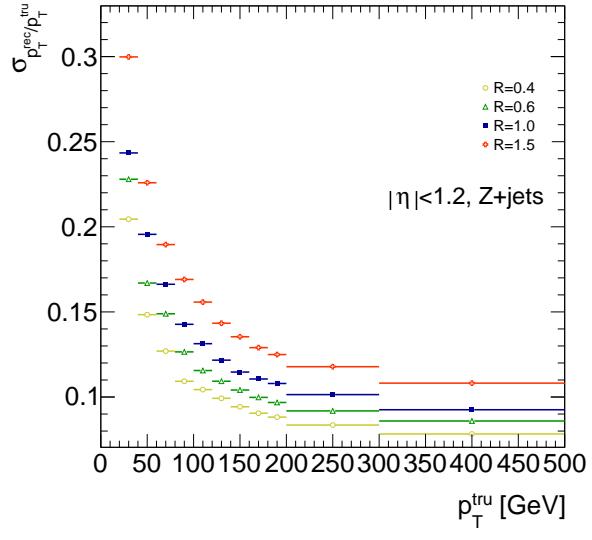
The reconstructed and truth-level telescoping jet ensembles are matched according to the separation in the (η, ϕ) plane of their corresponding anti- k_t with $R = 0.4$ jets used as seeds. Only jets with $|\eta| < 1.2$ are examined here, and the results of studies on the ZH_{125} , ZZ , and $t\bar{t}$ samples, as well as over other $|\eta|$ ranges, are outlined in ⁶⁶. Any reconstructed jets not within $\Delta R = 0.3$ of a truth jet are discarded. In the event that multiple reconstructed jets are the same distance away from a given truth jet, the reconstructed jet with the highest p_T gets matched. Matching is retained for all R values (i.e. telescoping seeds are matched, and telescoping jets are assumed to match if the anti- k_t jets from which their seeds are derived match).

Once anti- k_t with $R = 0.4$ reconstructed and truth jets are matched, response functions are created by generating a series of distributions of p_T^{rec}/p_T^{tru} in 20 GeV bins of p_T^{tru} from 20–200 GeV, one bin for 200–300 GeV, and one bin for 300–500 GeV for each R , with bins chosen for purposes of statistics. Ensembles with $p_T^{tru} < 20$ GeV are ignored since no calibration exists for jets with transverse momentum below this value. The values of $\langle p_T^{rec}/p_T^{tru} \rangle$ in each p_T^{tru} bin are calculated by doing a two sigma gaussian fit on the distribution of p_T^{rec}/p_T^{tru} in that bin and taking the mean of that fit, and the error on the mean is taken from the error of this parameter in the fit. The resolutions are the values of the square root of the variance on this fit. As the total response distributions in Figure B.1 show, performance is best for low R values and high values of p_T^{tru} . Figure B.1 shows the $R = 0.4$ (antik $_t$) case to show a baseline for performance, $R = 0.6$ to show the deviations with “correct” calibrations, and $R = 1.0, 1.5$ to show how big those deviations get with larger R jets. The resolutions, $\sigma_{p_T^{rec}/p_T^{tru}}$, as a function of p_T^{tru} are shown in Figure B.1(b). For $p_T^{tru} > 60$ GeV, response is fairly

consistent over various R values. Resolution, as might naïvely be expected, is worse for increasingly larger values of R . For $p_T^{true} < 60$ GeV, resolution degrades, and response degrades in particular for increasing R ; this is likely a result from residual pileup effects.



(a)



(b)

Figure B.1: The mean and resolution of p_T^{rec}/p_T^{tru} for the background $Z+jets$ sample for $|\eta| < 1.2$ and for $R = 0.4, 0.6, 1.0$, and 1.5 in 20 GeV bins of p_T^{tru} for $20-200 \text{ GeV}$, one bin for $200-300 \text{ GeV}$, and one bin for $300-500 \text{ GeV}$, with bins chosen for purposes of statistics.

B.5 TRUTH-LEVEL ANALYSIS

To understand the limits and sources of any potential improvements, a truth-level analysis was conducted on MC samples with a ZH_{125} signal sample and a $Z+jets$ background sample. Distributions for the dijet invariant mass, m_{bb} , were made for each telescoping radius.* Both signal and background samples develop more pronounced tails in the high m_{bb} region as R increases, as shown in Figure B.2. N_{events} is normalized to expected values in data.

One way to take advantage of this information is to make a cut on m_{bb} for two different radii. This is graphically depicted in Figure B.3 for the optimized combination of $m_{bb,R=0.9}$ (telescoping cone jets constructed as outlined in Sec. B.2) vs. $m_{bb,R=0.4}$ (anti- k_t jets). At truth-level, the majority of events in the signal ZH_{125} sample are concentrated in relatively narrow region of parameter space, where this is certainly not the case for the more diffuse $Z+jets$ background sample.

Another way to take advantage of multiple event interpretations is to make use of an event's cut-weight, denoted z and defined as the fraction of interpretations in a given event that pass a certain set of cuts (in this note, a cut on m_{bb}). The distribution of cut-weights for a sample of events is denoted $\rho(z)$. To enhance the significance of a cut-based analysis, events can be weighted by the cut-weight or any function $t(z)$ of the cut-weight. Weighting events by $t(z)$ modifies the usual $S/\delta B$ formula used to calculate significances. In this note, δB is based on Poissonian statistics and is taken as $0.5 + \sqrt{0.25 + N_B}$, where N_B is the number of background events.

*Distributions for m_{bb} at truth and reconstructed level for all telescoping radii studied may be found in Appendix ??

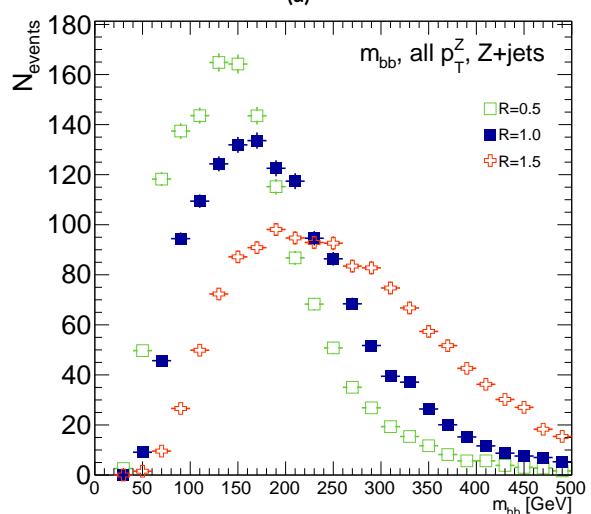
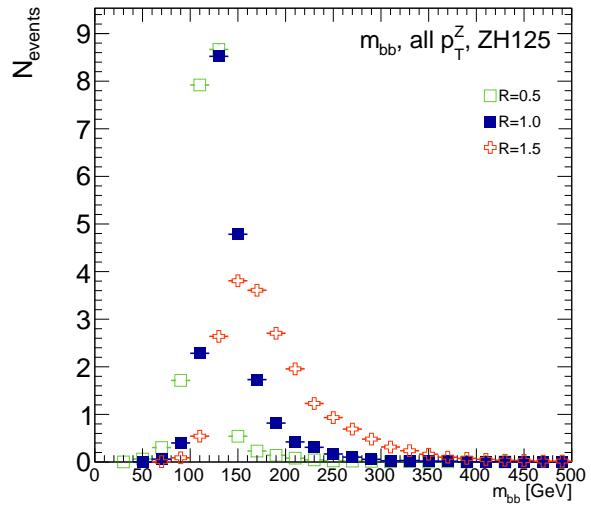
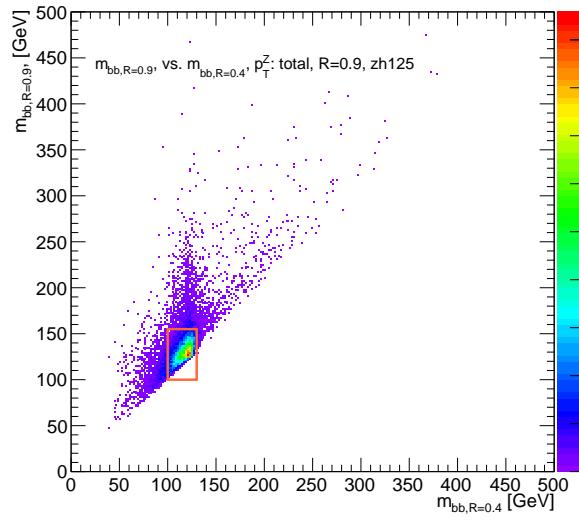
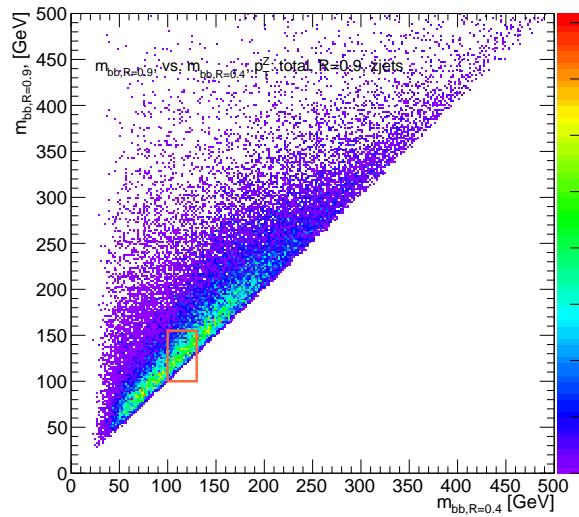


Figure B.2: The m_{bb} distribution for the telescoping jets with $R = 0.5, 1.0$, and 1.5 truth-level jets is shown for the signal and background samples in (a) and (b), respectively.



(a)



(b)

Figure B.3: The 2D distribution of $m_{bb,R=0.9}$ vs. $m_{bb,R=0.4}$ is shown for signal and background truth-level samples in (a) and (b), respectively. The region chosen for the double m_{bb} cut is outlined in orange.

B.6 ERRORS ON TELESCOPING SIGNIFICANCES

Significances of measurements are quoted in units of expected background fluctuations, schematically, $S/\delta B$. For counting experiments with high numbers of events, we can use Gaussian statistics and express this as S/\sqrt{B} , which we here denote as \mathcal{S} . However, with lower statistics, it becomes more appropriate to use Poissonian statistics, and

$$\mathcal{S}_{gaus} = \frac{S}{\sqrt{B}} \rightarrow \mathcal{S}_{pois} = \frac{S}{0.5 + \sqrt{0.25 + B}} \quad (\text{B.1})$$

where $0.5 + \sqrt{0.25 + B}$ is the characteristic upward fluctuation expected in a Poissonian data set using the Pearson chi-square test².

B.7 COUNTING

The significance is given as above, where $S = N_S$ and $B = N_B$. That is, the signal and background are just the number of events in signal and background that pass some cuts. The error for the Gaussian case is the standard:

$$\Delta \mathcal{S}_{gaus} = \frac{1}{\sqrt{B}} \Delta S \oplus \frac{S}{2B^{3/2}} \Delta B \quad (\text{B.2})$$

The error for the Poissonian case is:

$$\Delta \mathcal{S}_{pois} = \frac{1}{0.5 + \sqrt{0.25 + B}} \Delta S \oplus \frac{S}{2(0.5 + \sqrt{0.25 + B})^2 \sqrt{0.25 + B}} \Delta B \quad (\text{B.3})$$

where \oplus denotes addition in quadrature, and $\Delta S(B)$ is the error on signal (background).

B.8 MULTIPLE EVENT INTERPRETATIONS

Using multiple event interpretations changes the formulae used in with simple counting. That is, S is not necessarily merely N_S , the number of events passing some signal cuts, and similarly for B and N_B . Using an event weighting by some function of the cut-weight, z , denoted $t(z)$, $S = N_S \langle t \rangle_s$ and $B = N_B \langle t^2 \rangle_B$. So

$$\mathcal{S}_{t,gaus} = \frac{N_S \langle t \rangle_s}{\sqrt{N_B \langle t^2 \rangle_B}} \rightarrow \mathcal{S}_{t,pois} = \frac{N_S \langle t \rangle_s}{0.5 + \sqrt{0.25 + N_B \langle t^2 \rangle_B}} = \frac{N_S \int_0^1 dz t(z) \xi_S(z)}{0.5 + \sqrt{0.25 + N_B \int_0^1 dz t^2(z) \xi_B(z)}} \quad (\text{B.4})$$

For histograms, everything is done bin-wise. The notation used below is as follows: ξ_i is the value of $\xi(z)$ at bin i (where the bins run from 0 to n_{tel} , where n_{tel} is the total number of telescoping radii). $t_i = t_i(\xi_{S,i}, \xi_{B,i}, i/n_{tel})$ is the value of $t(z)$ at bin i , which can depend, in principle, on $\xi_{S,i}$, $\xi_{B,i}$ and i/n_{tel} (the last of which is z in bin i). Explicitly,

$$N = \sum_{i=0}^{n_{tel}} \xi_i, \quad \int_0^1 dz t(z) \xi_S(z) = \sum_{i=0}^{n_{tel}} t_i \xi_{S,i}, \quad \int_0^1 dz t^2(z) \xi_B(z) = \sum_{i=0}^{n_{tel}} t_i^2 \xi_{B,i}$$

For the calculations that follow, let $\xi = \sum_{i=0}^{n_{tel}} t_i \xi_{S,i}$, $\psi = 0.5 + \sqrt{0.25 + N_B \sum_{i=0}^{n_{tel}} t_i^2 \xi_{B,i}}$, $\partial_S = \frac{\partial}{\partial \xi_{S,i}}$ (and similarly for B), so $\mathcal{S}_t = N_S \xi / \psi$

Some partial derivatives:

$$\partial_S N_S = 1, \quad \partial_{B,i} N_B = 1$$

$$\begin{aligned}\partial_S \xi &= t_i + (\partial_S t_i) \xi_{S,i}, & \partial_B \xi &= (\partial_B t_i) \xi_{B,i} \\ \partial_S \psi &= \frac{N_B t_i (\partial_S t_i) \xi_{B,i}}{\sqrt{0.25 + N_B \sum_{i=0}^{n_{tel}} t_i^2 \xi_{B,i}}}, & \partial_B \psi &= \frac{\sum_{i=0}^{n_{tel}} t_i^2 \xi_{B,i} + N_B (t_i^2 + 2t_i (\partial_B t_i) \xi_{B,i})}{2\sqrt{0.25 + N_B \sum_{i=0}^{n_{tel}} t_i^2 \xi_{B,i}}} \\ \partial_S \mathcal{S}_t &= \frac{\xi}{\psi} + \frac{N_S}{\psi} \partial_S \xi - \frac{N_S \xi}{\psi^2} \partial_S \psi, & \partial_B \mathcal{S}_t &= N_S \left(\frac{1}{\psi} \partial_B \xi - \frac{\xi}{\psi^2} \partial_B \psi \right)\end{aligned}$$

Thus,

$$\Delta \mathcal{S}_{t,i} = \left[\frac{\xi}{\psi} + \frac{N_S}{\psi} \partial_S \xi - \frac{N_S \xi}{\psi^2} \partial_S \psi \right] \Delta \xi_{S,i} \oplus N_S \left[\frac{1}{\psi} \partial_B \xi - \frac{\xi}{\psi^2} \partial_B \psi \right] \Delta \xi_{B,i} \quad (\text{B.5})$$

and the total error is given by the sum in quadrature over all bins i of $\Delta \mathcal{S}_{t,i}$.

$$\text{B.9} \quad t(z) = z$$

With $t(z) = z$, $t_i = i/n_{tel}$, so $\partial_S t_i = \partial_B t_i = 0$. So:

$$\begin{aligned}\partial_S \psi &= \partial_B \xi = 0 \\ \partial_S \xi &= \frac{i}{n_{tel}} \\ \partial_B \psi &= \frac{\sum_i i^2 \xi_{B,i} + N_B i^2}{n_{tel} \sqrt{n_{tel}^2 + N_B \sum_i i^2 \xi_{B,i}}}\end{aligned}$$

so $\Delta\mathcal{S}_{z,i}$ reduces to

$$\Delta\mathcal{S}_{t,i} = \left[\frac{\xi + N_S t_i}{\psi} \right] \Delta\varrho_{S,i} \oplus \left[\frac{N_S \xi}{\psi^2} \partial_B \psi \right] \Delta\varrho_{B,i} \quad (\text{B.6})$$

$$\text{B.10} \quad t(z) = \varrho_S(z) / \varrho_B(z)$$

With the likelihood optimized[†] $t^*(z) = \varrho_S(z) / \varrho_B(z)$, $t_i = \varrho_{S,i} / \varrho_{B,i}$, so $\partial_S t_i = 1 / \varrho_{B,i}$ and $\partial_B t_i = -\varrho_{S,i} / \varrho_{B,i}^2$. So:

$$\begin{aligned} \partial_S \xi &= 2 \frac{\varrho_{S,i}}{\varrho_{B,i}} = 2t_i \\ \partial_B \xi &= -\frac{\varrho_{S,i}}{\varrho_{B,i}} = -t_i \\ \partial_S \psi &= \frac{N_B t_i}{\sqrt{0.25 + N_B \sum_i \varrho_{S,i}^2 / \varrho_{B,i}}} \\ \partial_B \psi &= \frac{\sum_i \varrho_{S,i}^2 / \varrho_{B,i} - N_B \left(\varrho_{S,i} / \varrho_{B,i} \right)^2}{\sqrt{1 + 4N_B \sum_i \varrho_{S,i}^2 / \varrho_{B,i}}} \end{aligned}$$

simplifying somewhat the terms in the per bin error in Equation B.6.

The new significance figure using multiple event interpretations becomes, with ϱ_S and ϱ_B denoting the cut-weight distributions in signal and background, respectively

$$\frac{S}{\delta B} = \frac{N_S \langle t \rangle_s}{\sqrt{0.5 + \sqrt{0.25 + N_B \langle t^2 \rangle_B}}} \quad (\text{B.7})$$

Of particular interest is the likelihood optimized $t(z)$,[‡] $t^*(z) = \varrho_S(z) / \varrho_B(z)$. m_{bb} windows are

[†]for the Gaussian statistics case

[‡]Derived under the assumption of Gaussian statistics in Ref[?]

chosen separately for each scheme studied to maximize total significances and are summarized in

Table B.3.

$$\left(\frac{S}{\delta B}\right)_z = \frac{N_S \epsilon_S}{0.5 + \sqrt{0.25 + N_B (\epsilon_B^2 + \sigma_B^2)}} \quad (B.8)$$

$$\left(\frac{S}{\delta B}\right)_{t^*(z)} = \frac{N_S \int_0^1 dz \frac{\dot{\xi}_S(z)}{\xi_B(z)}}{0.5 + \sqrt{0.25 + N_B \int_0^1 dz \frac{\dot{\xi}_S(z)}{\xi_B(z)}}} \quad (B.9)$$

where $\epsilon_{S,B}$ are the means of $\xi_{S,B}(z)$ and σ_B^2 is the variance of $\xi_B(z)$. Further details can be found in Refs.⁶⁶ and Appendix B.6.

Table B.3: m_{bb} windows studied. These windows were chosen to optimize significances over all p_T^Z .

Analysis Type	$S/\delta B$ Type	Optimal m_{bb} Window
Reconstructed	$\text{anti-}k_t R = 0.4$ $t(z) = z$ $t(z) = \xi_S(z) / \xi_B(z)$ $\text{anti-}k_t R = 0.4, \text{telescoping } R = 0.6$	$90-140 \text{ GeV}$ $110-155 \text{ GeV}$ $110-155 \text{ GeV}$ $95-140 \text{ GeV} (R = 0.4), 105-160 \text{ GeV} (R = 0.6)$
Truth	$\text{anti-}k_t R = 0.4$ $t(z) = z$ $t(z) = \xi_S(z) / \xi_B(z)$ $\text{anti-}k_t R = 0.4, \text{telescoping } R = 0.9$	$100-130 \text{ GeV}$ $115-140 \text{ GeV}$ $120-135 \text{ GeV}$ $100-130 \text{ GeV} (R = 0.4), 100-155 \text{ GeV} (R = 0.9)$

The truth-level distributions $\xi_S(z)$, $\xi_B(z)$, and $\xi_S(z) / \xi_B(z)$ are shown for the m_{bb} window that optimizes $(S/\delta B)_{t^*(z)}$ in Figure B.4, and significance improvements as a function of p_T^Z are summarized in Figure B.5. Uncertainties in Figures B.5 and B.9 are statistical uncertainties. JES systematics will need to be evaluated for different R 's, as modeling uncertainties is an outstanding issue, but these systematics will likely be strongly correlated for the different R 's and are not anticipated to be

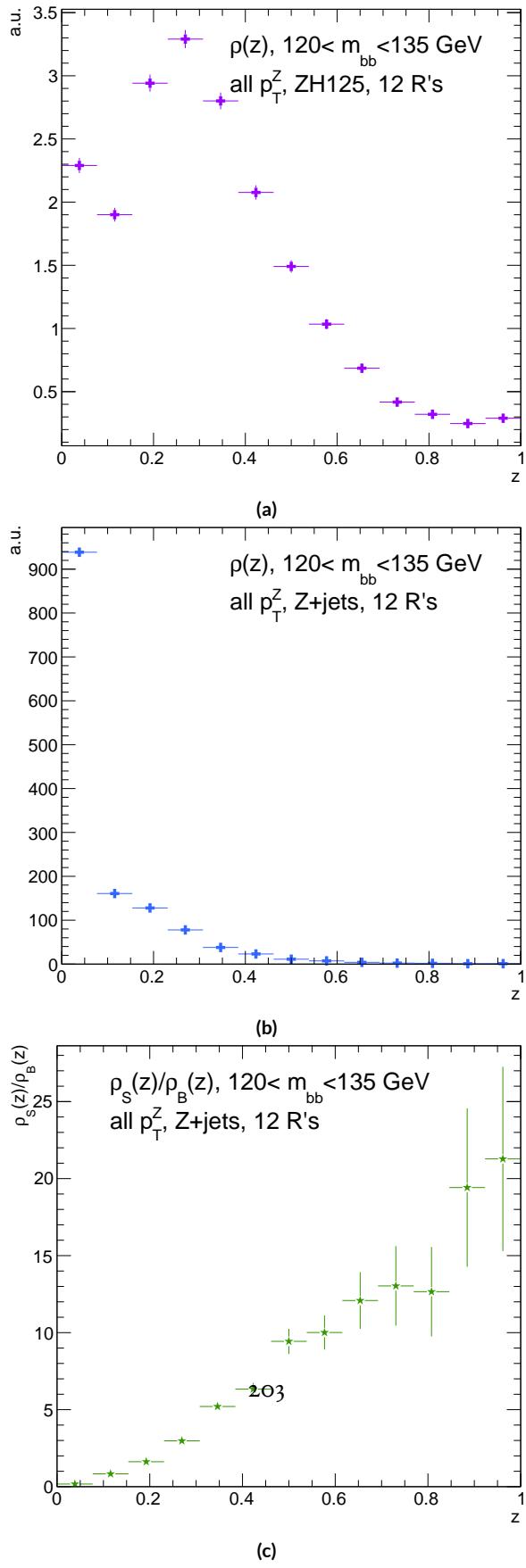


Figure B.4: Truth-level $\rho(z)$ distributions for the m_{bb} window optimizing $(S/\delta B)_{t^*(z)} \cdot \rho_S(z)$ for the signal $ZH125$ sample is shown in (a), and $\rho_B(z)$ for the background $Z+jets$ sample is shown in (b). The distribution of $\rho_S(z) / \rho_B(z)$

a very large contribution to total uncertainties. While the two dimensional m_{bb} cut and $t(z) = z$ schemes only showed marginal improvement at truth level at 2.87%[§] and 1.45%, respectively, the likelihood optimized $t^*(z)$ showed a more substantial 40.7% improvement overall, with a steady increase in improvement with increasing p_T^Z . Figure B.5 (d) summarizes the improvements with respect to p_T^Z for the $t^*(z)$ event weight for five, seven, and twelve telescoping radii (interpretations) per event. Improvements increase with a greater number of interpretations and are more pronounced at higher p_T^Z for this scheme.[¶] The optimal $120 < m_{bb} < 135$ GeV window for $t^*(z)$ case is among the smallest studied. The benefits of this window's narrowness are suggested in Figure B.4. While the background cut-weight distribution, $\xi_B(z)$ in Figure B.4 (b) behaves as one might with a marked peak at $z = 0$, the signal $\xi_S(z)$ distribution peaks at a relatively modest $z = 0.3$, which indicates that much of the gain at truth level comes from background rejection. This is possible at truth level since there is both truth-level information available and no smearing and since ξ_S/ξ_B is the relevant quantity (as shown in Figure B.4 (c)).

[§]The limited improvement is provably due to the simplified treatment of the 2D case; better performance with a more sophisticated treatment has been observed in Ref.[?].

[¶]This is not the case for the z event weight, which is more thoroughly treated in Section ??.

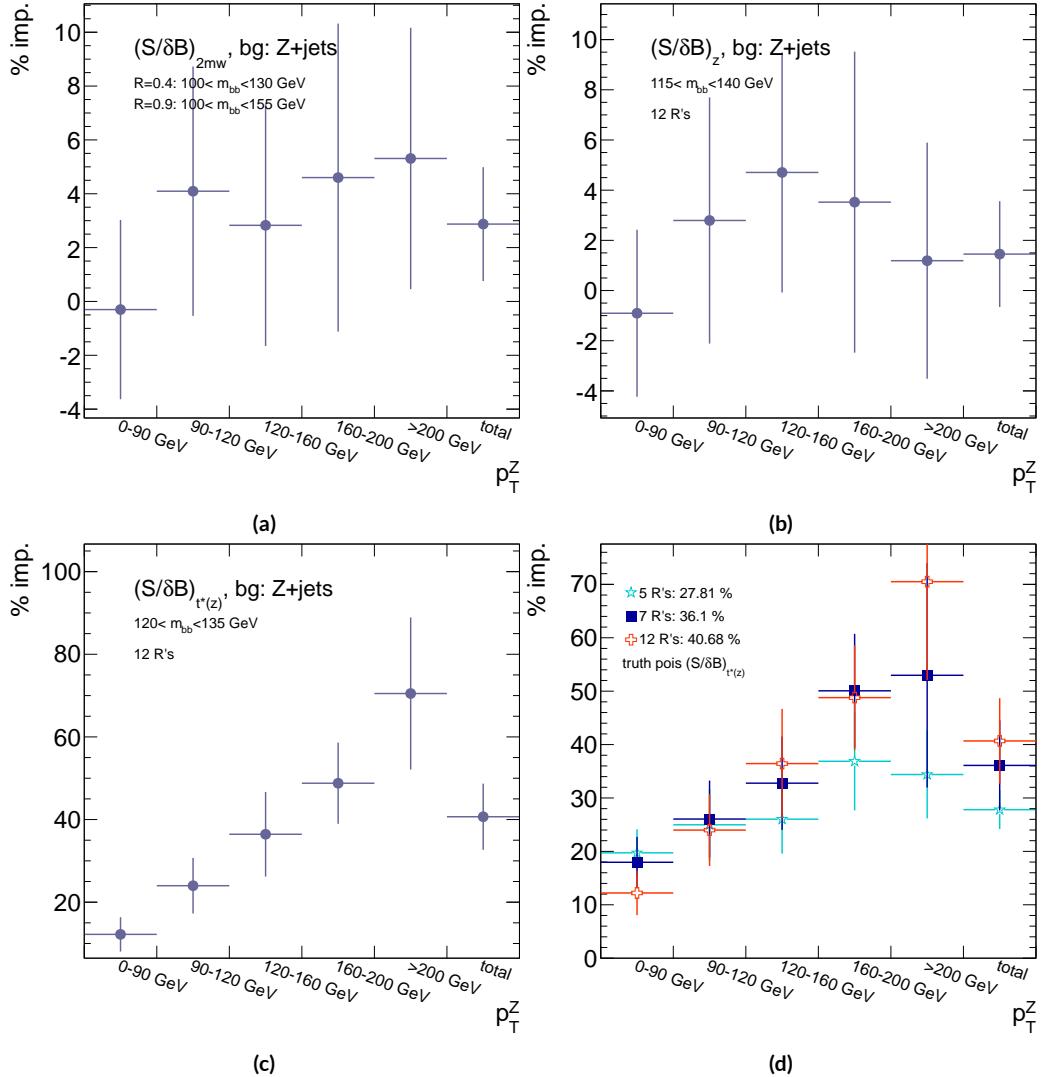


Figure B.5: A summary of the improvements for different truth-level telescoping jet cuts and weights is shown in bins of p_T^Z . The final bin is the total improvement over all p_T^Z . Shown are improvements for the 2D m_{bb} cut (a), $t(z) = z$ (b), $t(z) = t^*(z)$ with 12 radii (c), and $t(z) = t^*(z)$ for various radii (d).

B.II RECONSTRUCTED-LEVEL ANALYSIS

At reconstructed level, the same overall effect of introducing a high tail in m_{bb} distributions with increasing R is evident in comparing Figures B.2 and B.6. The optimal m_{bb} windows, however, grow larger, due to the lack of truth-level information.

Total significance gains at reconstructed level for the two dimensional m_{bb} cut and the $t(z) = z$ case are similar, at 2.87% and 1.45%, respectively. The optimal two-dimensional m_{bb} cut at reconstructed level is $95 < m_{bb,R=0.4} < 140$ GeV, $105 < m_{bb,R=0.6} < 160$ GeV. Just as at truth level, the $R = 0.4$ m_{bb} cut is comparable to the optimal single $R = 0.4$ m_{bb} cut, and the second m_{bb} cut is at similar values (cf. Table B.3 and Figures B.3 and B.7). However, the optimal second telescoping radius is markedly smaller at $R = 0.6$ versus the optimal truth-level second radius of $R = 0.9$, which suggests that effects like pileup at reconstructed level obscure correlations between the $R = 0.4$ interpretations and limit the usefulness of larger R interpretations in this particular scheme. The $t(z) = z$ case has a wider optimal window and yields about half the improvement it does at truth level.^{||}

The optimal m_{bb} window for the $t^*(z)$ case is also markedly wider at reconstructed level, at $110 < m_{bb} < 155$ GeV in comparison to the truth-level optimal $120 < m_{bb} < 135$ GeV. The $\varrho(z)$ distributions for the signal ZH_{125} and background $Z + \text{jets}$ as well as the $\varrho_S(z) / \varrho_B(z)$ in this window are shown in Figure B.8. Compared with the truth-level distributions in Figure B.4, both the signal and background optimal $\varrho(z)$ distributions have higher values at higher z . The peak in $\varrho_S(z)$ at

^{||}A fuller treatment of this scheme is given in Section ??.

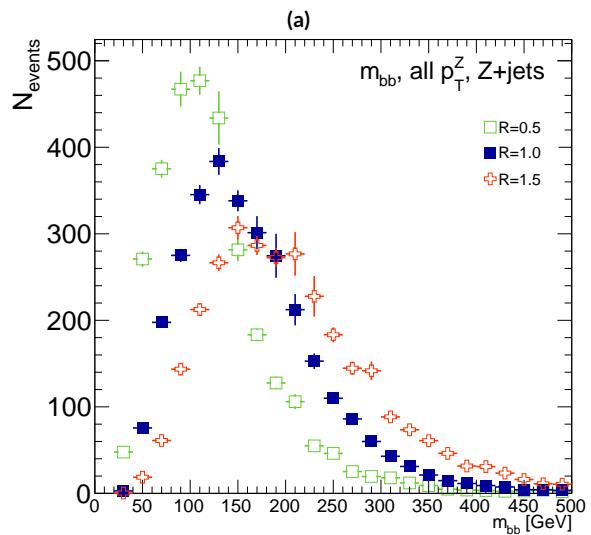
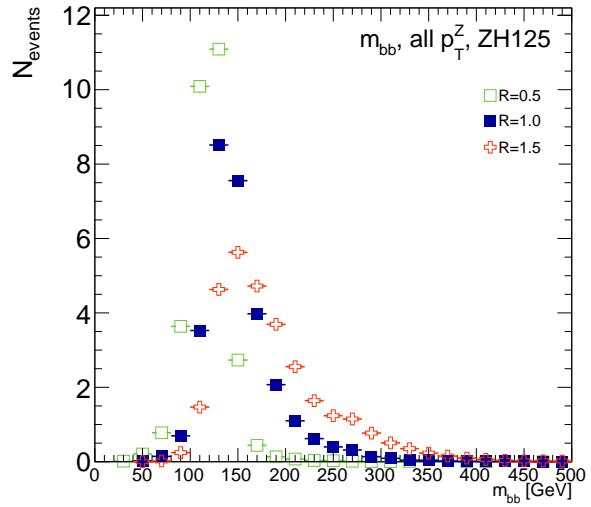


Figure B.6: The m_{bb} distribution for the telescoping jets with $R = 0.5$, $R = 1.0$, and $R = 1.5$ reconstructed-level jets is shown for the signal and background samples in (a) and (b), respectively.

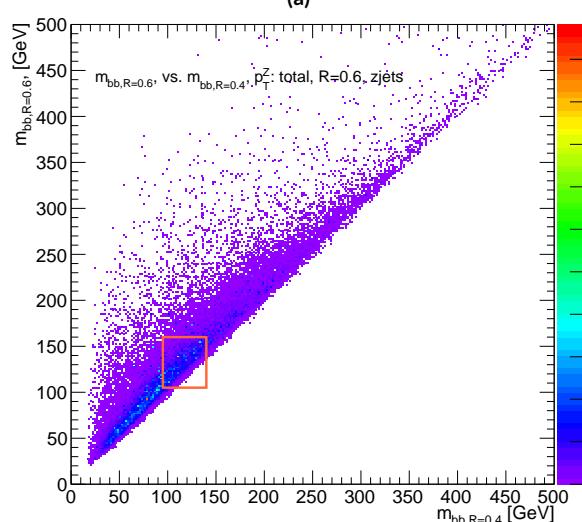
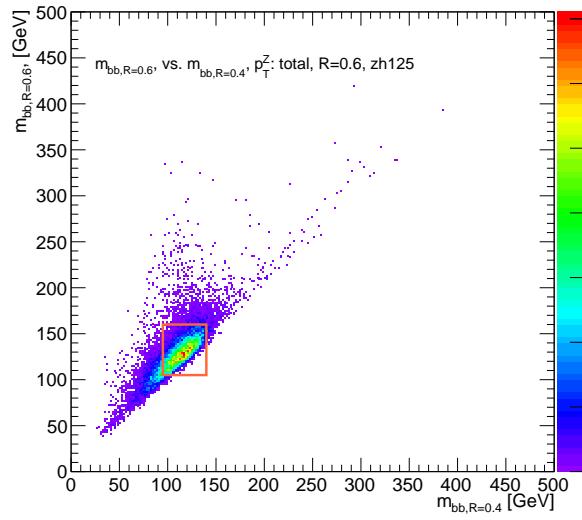


Figure B.7: The 2D distribution of $m_{bb,R=0.8}$ vs. $m_{bb,R=0.4}$ is shown for signal and background reconstructed-level samples in (a) and (b), respectively. The region chosen for the double m_{bb} cut is outlined in orange.

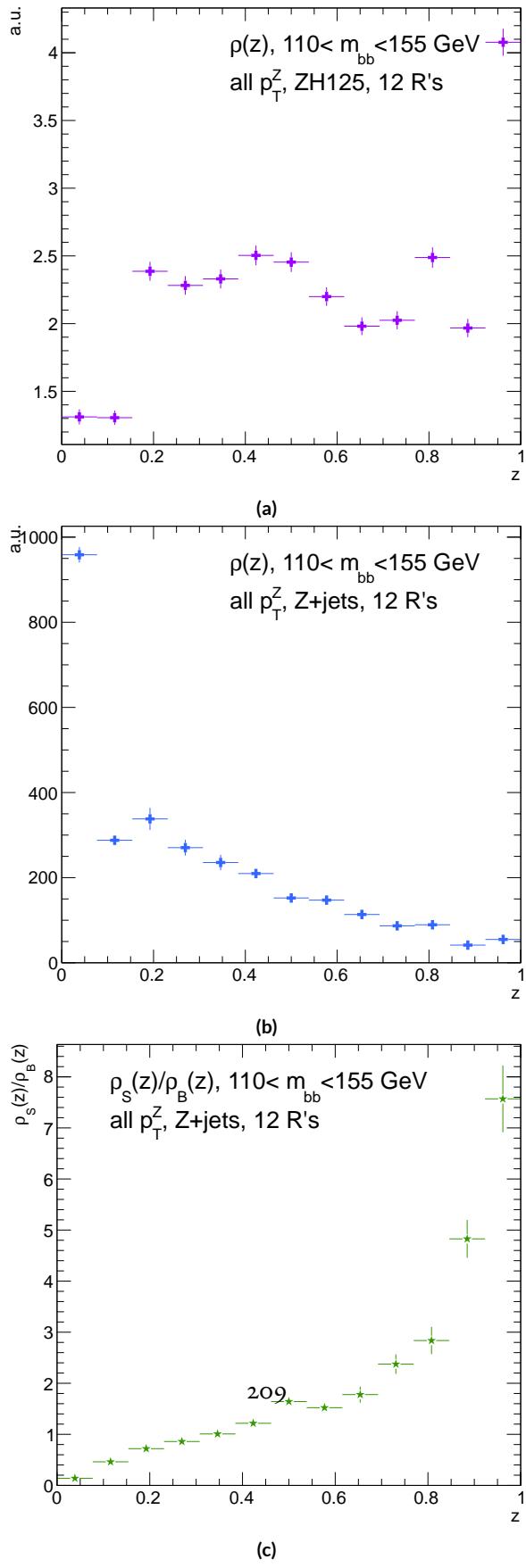


Figure B.8: Reconstructed-level $\rho(z)$ distributions for the m_{bb} window optimizing $(S/\delta B)_{t^*(z)} \cdot \rho_S(z)$ for the signal $ZH125$ sample is shown in (a), and $\rho_B(z)$ for the background $Z+jets$ sample is shown in (b). The distribution of $\rho_s(z)/\rho_b(z)$ for these samples is shown in (c).

$z = 1$ suggests that at reconstructed level, maximizing the number of more “signal-like” events is the key to optimizing significances, as opposed to the optimal, background suppressing $\xi(z)$ distributions at truth level. The use of a greater number of interpretations per event (telescoping radii) does appear to result in overall greater improvement as at truth level, as twelve radii performed better than five, but this is less clear at reconstructed level, as shown in Figure B.9 (d). The improvement at reconstructed level using an event weight of $t^*(z)$ is 20.5%, just over half the improvement at truth level but still quite significant. Summaries of improvements as a function of p_T^Z for all three cases studied and for the $t^*(z)$ case for different numbers of telescoping radii are shown in Figure B.9.

Table B.4: A summary of significances for different weighting schemes and cuts and for reconstructed and truth jets for a luminosity of 20.3 fb^{-1} .

Type	0–90 GeV	90–120 GeV	120–160 GeV	160–200 GeV	> 200 GeV	total
anti- k_t , $R = 0.4_{rec}$	0.47492	0.28214	0.28339	0.25748	0.37337	0.76887
anti- k_t , $R = 0.4_{tru}$	0.57414	0.30655	0.37309	0.35042	0.53569	0.98619
$2 m_{bb,rec}$	0.48903	0.2858	0.28812	0.25972	0.38297	0.78611
$2 m_{bb,tru}$	0.5724	0.3191	0.38364	0.36655	0.56414	1.0145
z_{rec}	0.50698	0.27962	0.29937	0.25688	0.36846	0.79158
z_{tru}	0.56894	0.31511	0.39065	0.36277	0.54206	1.0005
$t^*(z)_{rec}$	0.55085	0.29931	0.33367	0.30107	0.51321	0.92649
$t^*(z)_{tru}$	0.64425	0.38008	0.50904	0.5214	0.91337	1.3873

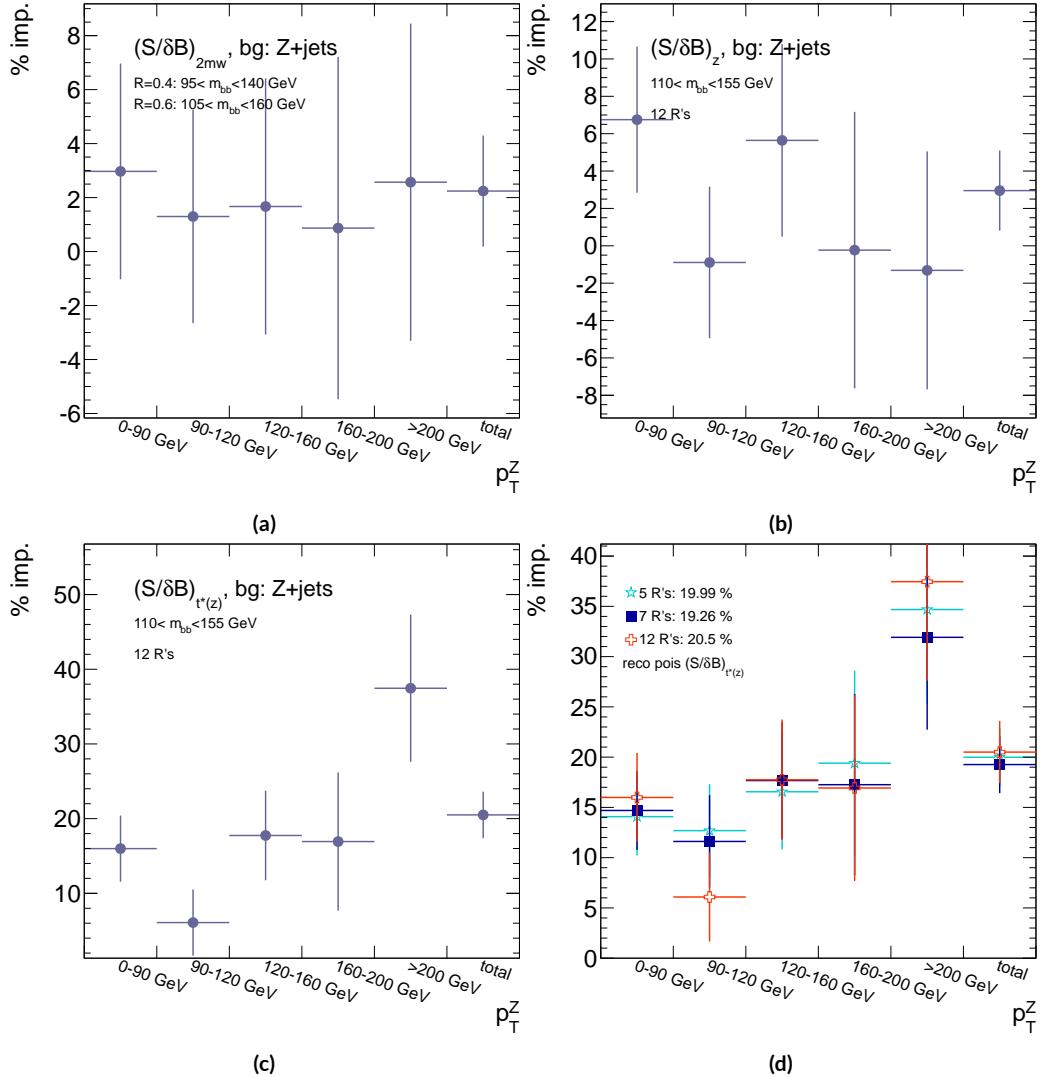


Figure B.9: A summary of the improvements for different reconstructed-level telescoping jet cuts and weights is shown in bins of p_T^Z . The final bin is the total improvement over all p_T^Z . Shown are improvements for the 2D m_{bb} cut (a), $t(z) = z$ (b), $t(z) = t^*(z)$ with 12 radii (c), and $t(z) = t^*(z)$ for various radii (d).

B.12 CONCLUSIONS AND PROSPECTS

The use of telescoping jets to provide multiple event interpretations shows promise as an avenue to increase significances in the $H \rightarrow b\bar{b}$ search in ATLAS and make an observation in the systematics-limited environment of early Run 2. A preliminary study using the telescoping jets algorithm with 12 telescoping radii to build 12 event interpretations on 2012 Monte Carlo based on the full, cut-based Run 1 analysis yielded a 20.5% improvement in $S/\delta B$ over using anti- k_t with $R = 0.4$ alone at reconstructed level using a likelihood maximized event weighting to study the $ZH \rightarrow llb\bar{b}$ process. The jets used in this note at reconstructed level were trimmed in order to guarantee reasonable resolution in the large- R interpretations. The algorithm, in particular, showed discriminating power at high p_T^Z , so better performance can be expected in Run 2 with a higher \sqrt{s} and higher numbers of events with large p_T^Z . Additionally, the many simplifying assumptions regarding jet calibration and the relatively basic use of information^{**} from multiple invariant masses in this note suggest that even further improvements than those quoted are possible. While this note did not explore the correlations between multiple event interpretations and the variables used in the BDT of the latest multivariate version of the $H \rightarrow b\bar{b}$ analysis³², new phenomenological studies suggest that such correlations are not strong³. The corresponding reconstructed-level study, using a BDT, is left for future work. Also left for future work are better understanding the effects of jet trimming and which interpretations are the most useful.

^{**}For examples of more sophisticated treatments compared to the treatment in this note, see Ref³.

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