

Heaps and Priority Queues



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Overview



Heap Overview

- Min and Max Heaps

Tree as Array

Heap Operations

- Push
- Pop
- Top

Priority Queue



Heap

A tree-based container type that provides $O(1)$ access to the minimum (min-heap) or maximum (max-heap) value while satisfying the heap property.



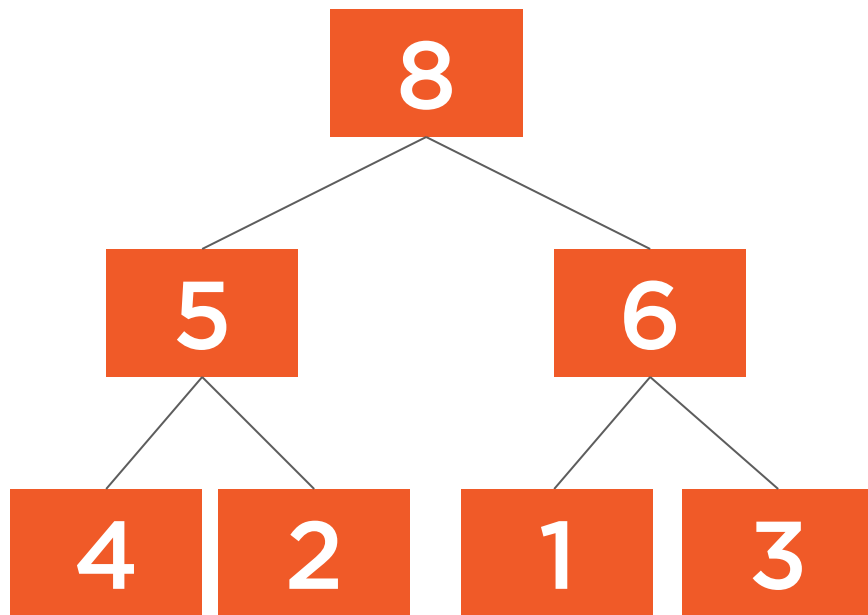
Heap Property

The value in the current tree node is greater than, or equal to, its children (max-heap).

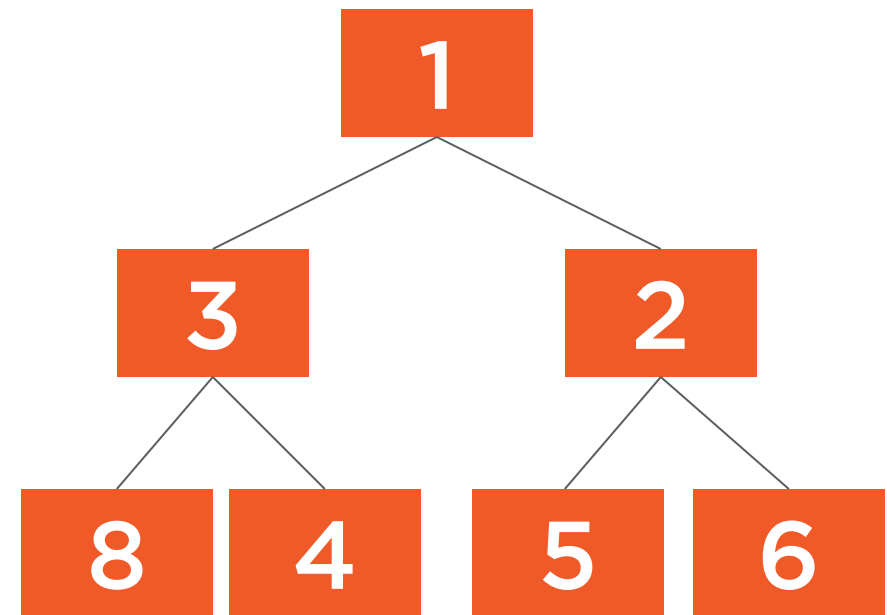


Heaps

Max-heap



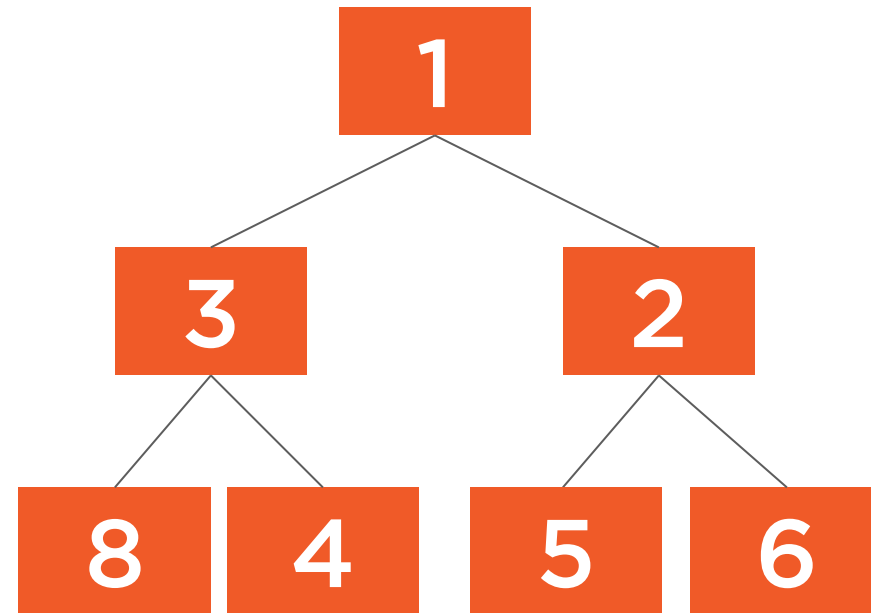
Min-heap



Satisfies the heap property



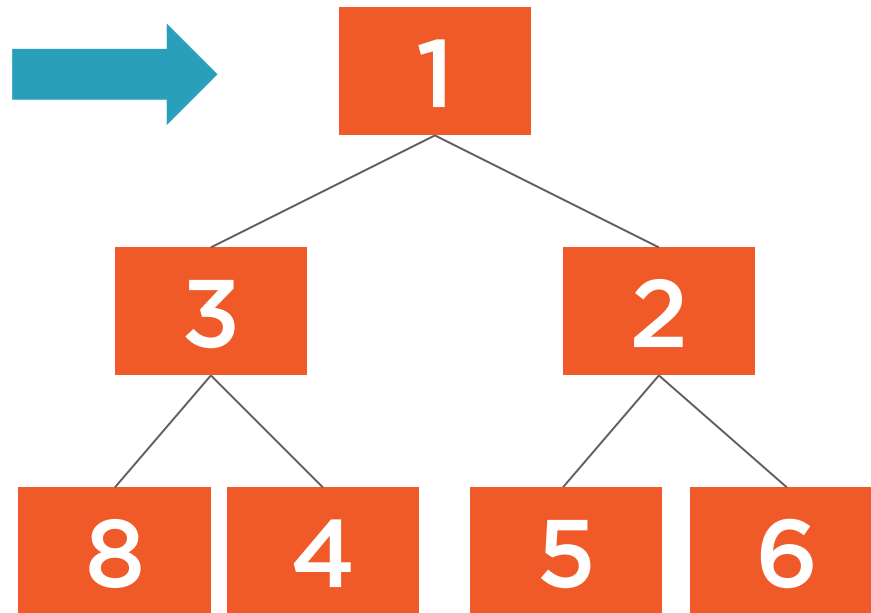
Satisfies the heap property



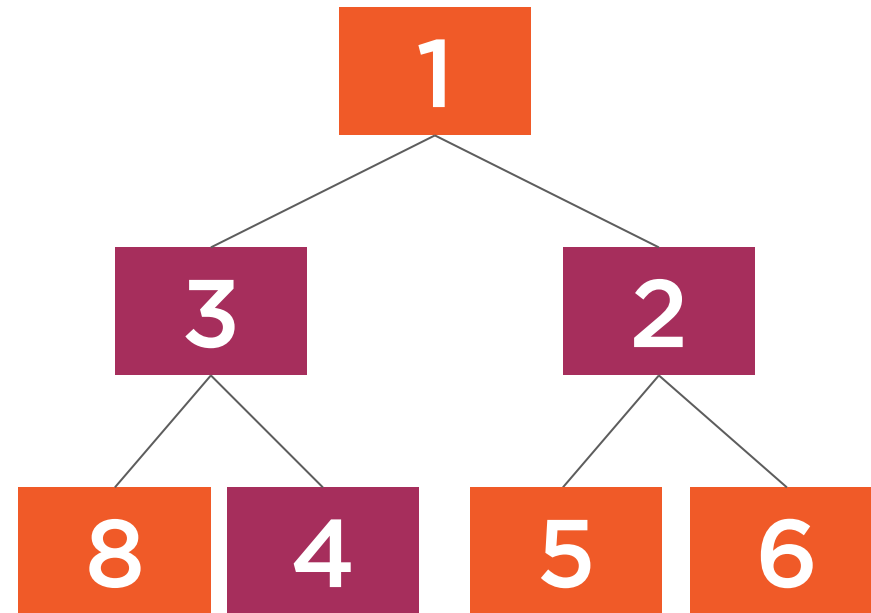
Min-heap



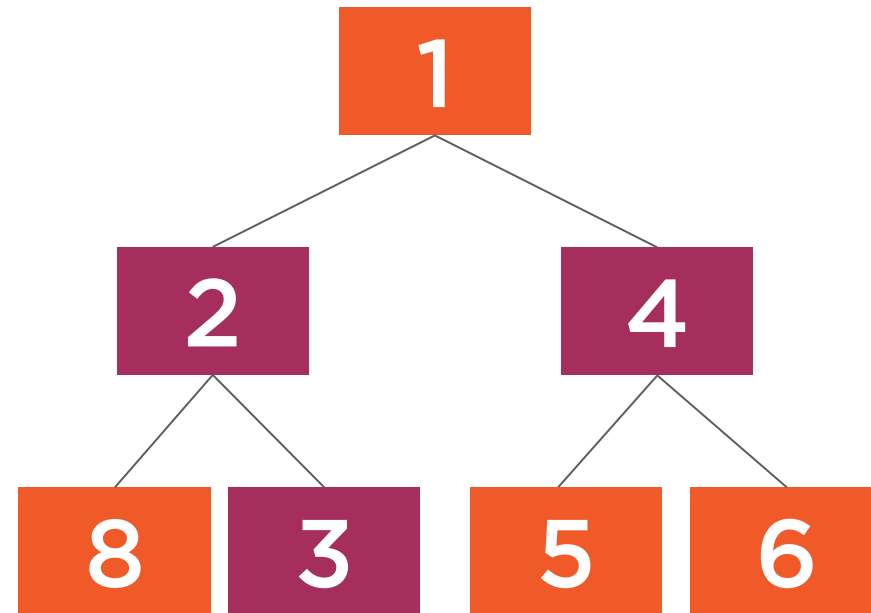
Satisfies the heap property



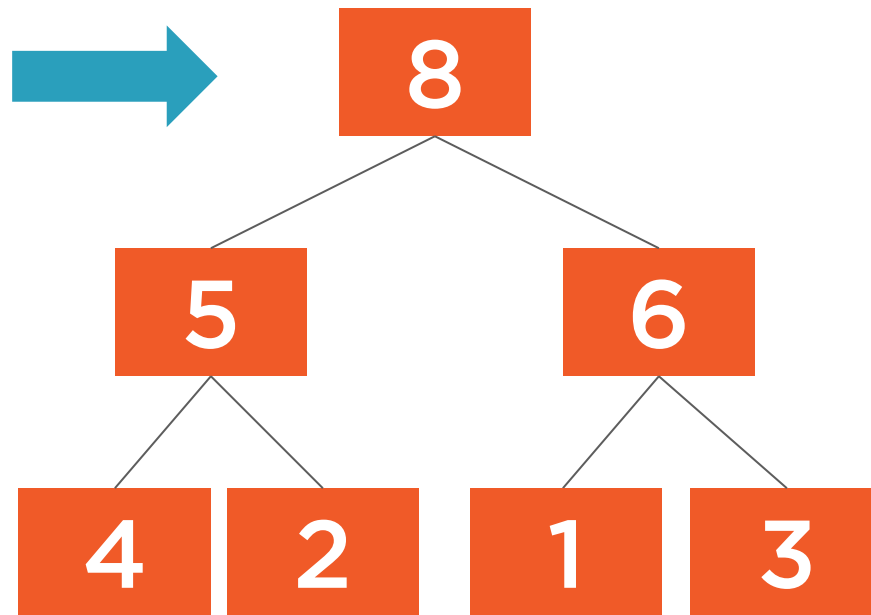
Satisfies the heap property



Satisfies the heap property



Satisfies the heap property

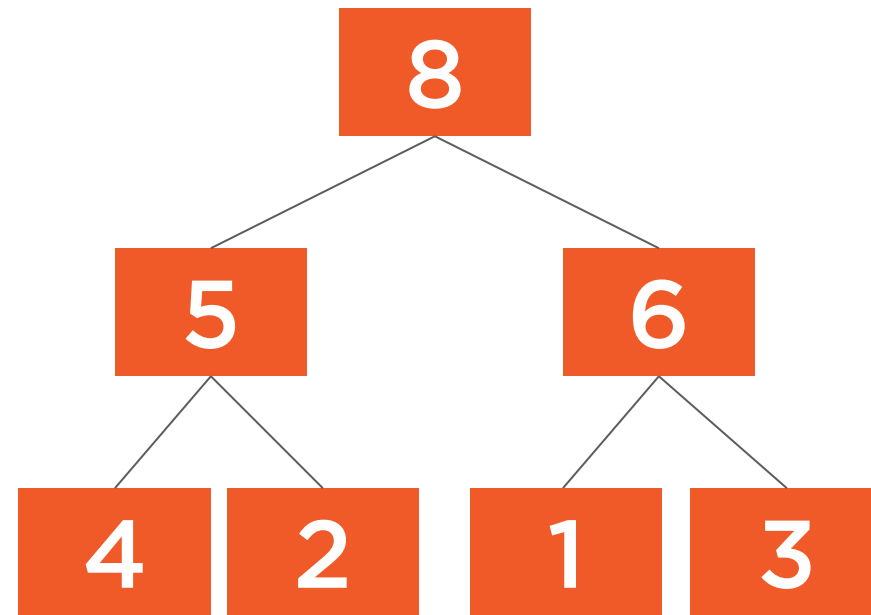


Max-heap



Satisfies the heap property

The tree is a complete tree



Complete Tree

A tree where every level is filled out from left-to-right before starting the next level.



Satisfies the heap property

The tree is a complete tree

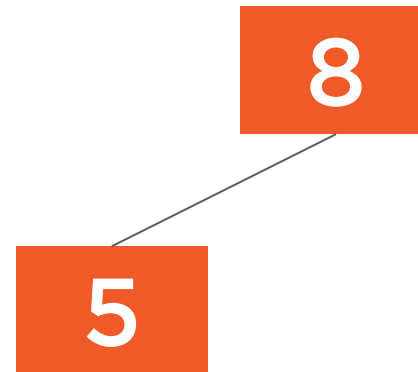
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Complete tree



Satisfies the heap property

The tree is a complete tree

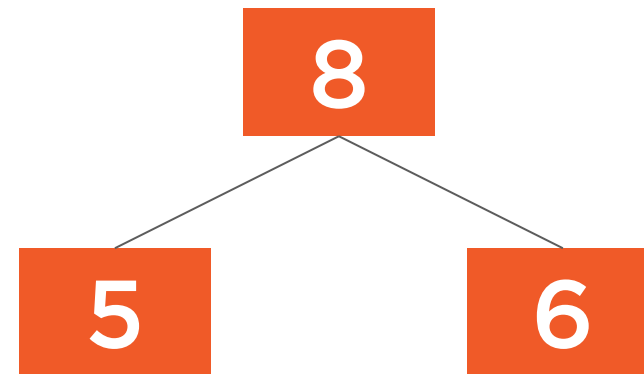


Complete tree



Satisfies the heap property

The tree is a complete tree

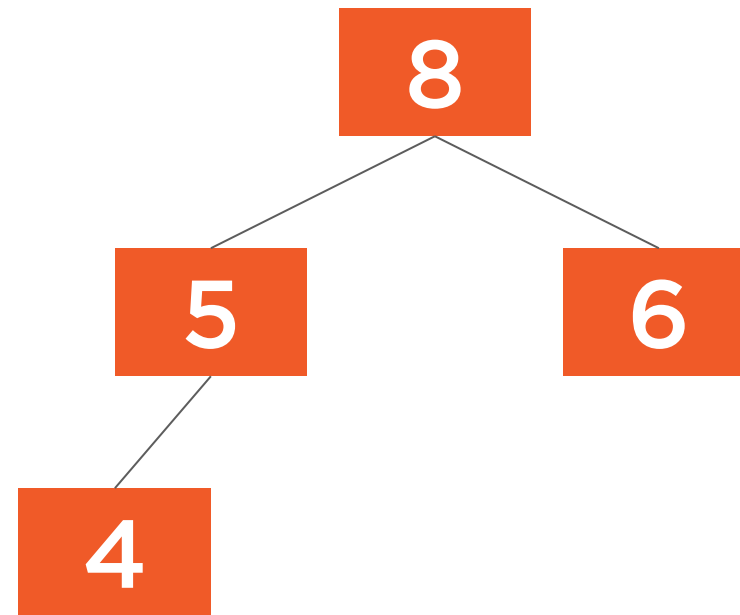


Complete tree



Satisfies the heap property

The tree is a complete tree

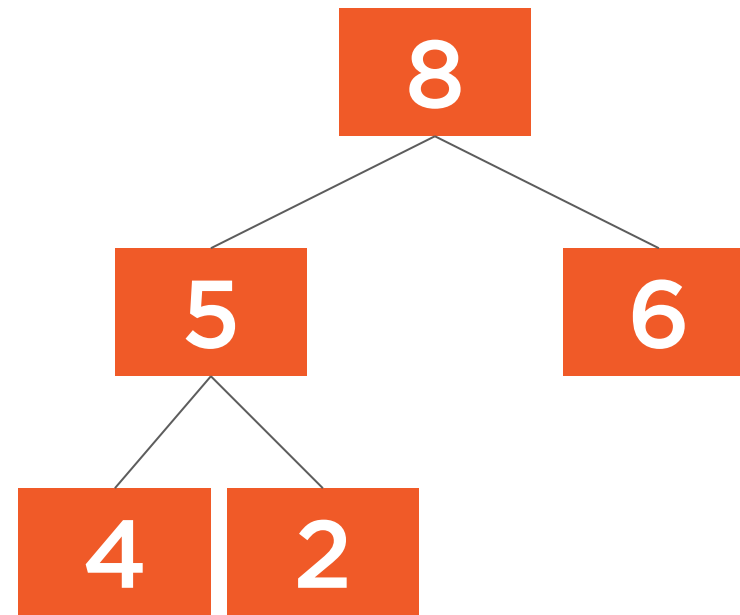


Complete tree



Satisfies the heap property

The tree is a complete tree

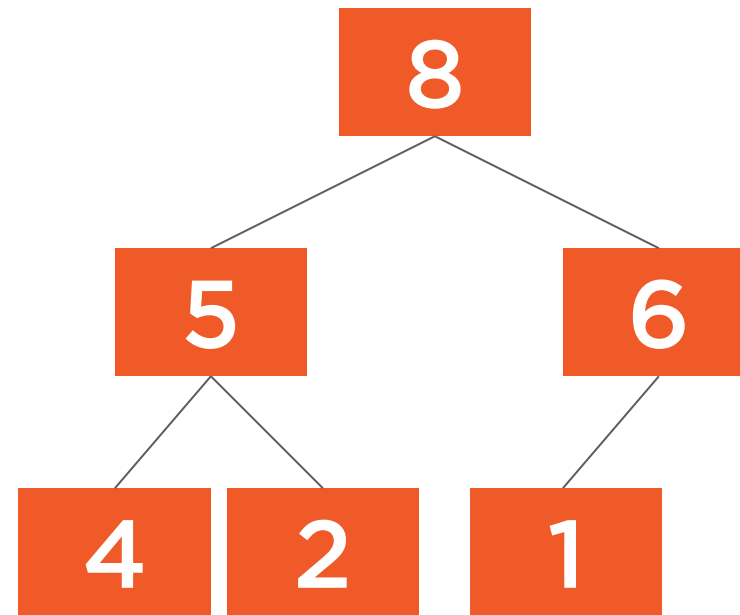


Complete tree



Satisfies the heap property

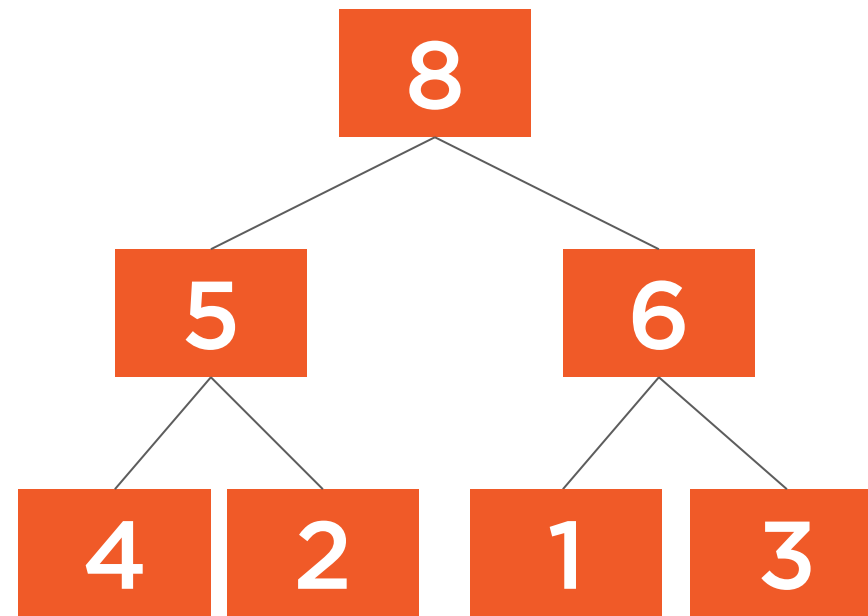
The tree is a complete tree



Complete tree

Satisfies the heap property

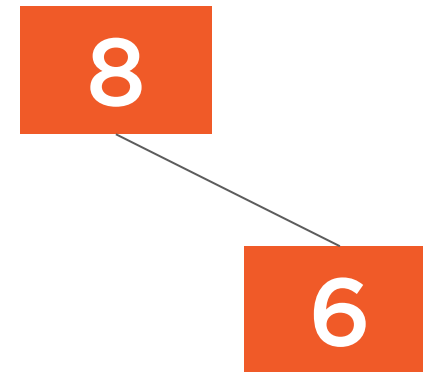
The tree is a complete tree



Complete tree

Satisfies the heap property

The tree is a complete tree

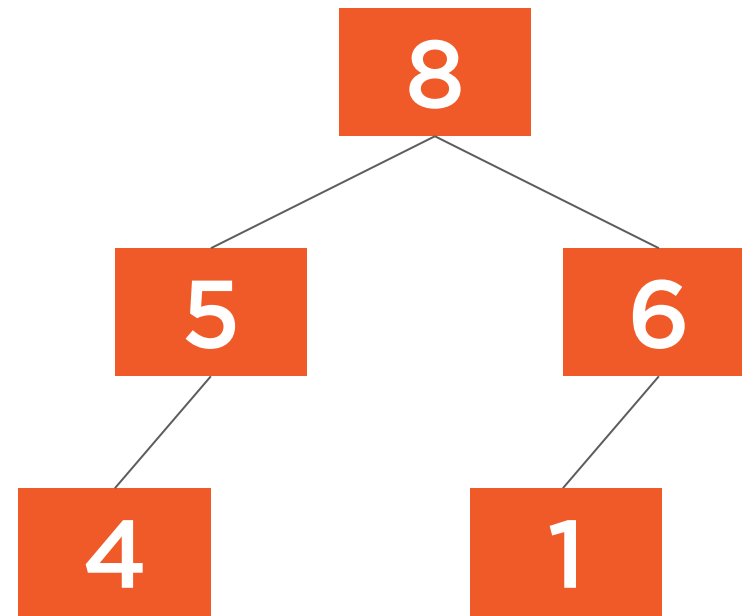


Incomplete tree



Satisfies the heap property

The tree is a complete tree

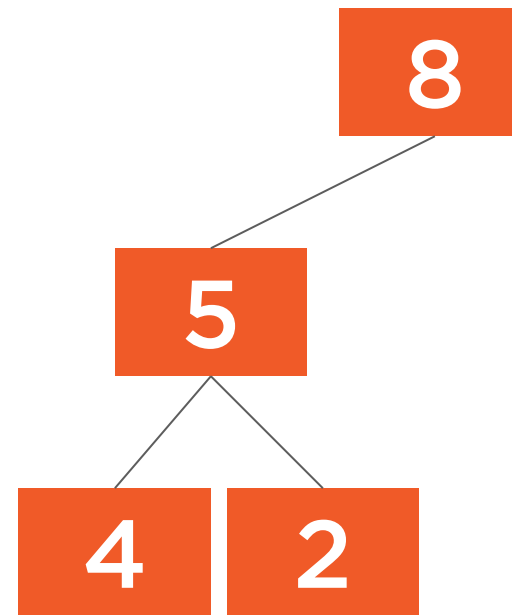


Incomplete tree



Satisfies the heap property

The tree is a complete tree



Incomplete tree

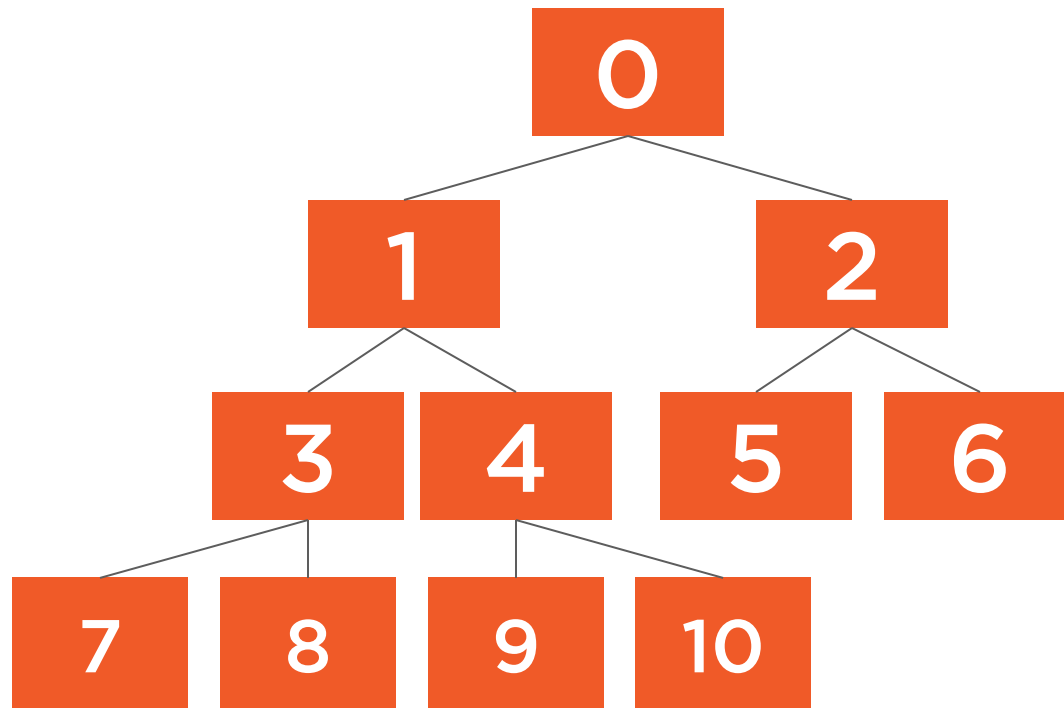


Trees as Arrays

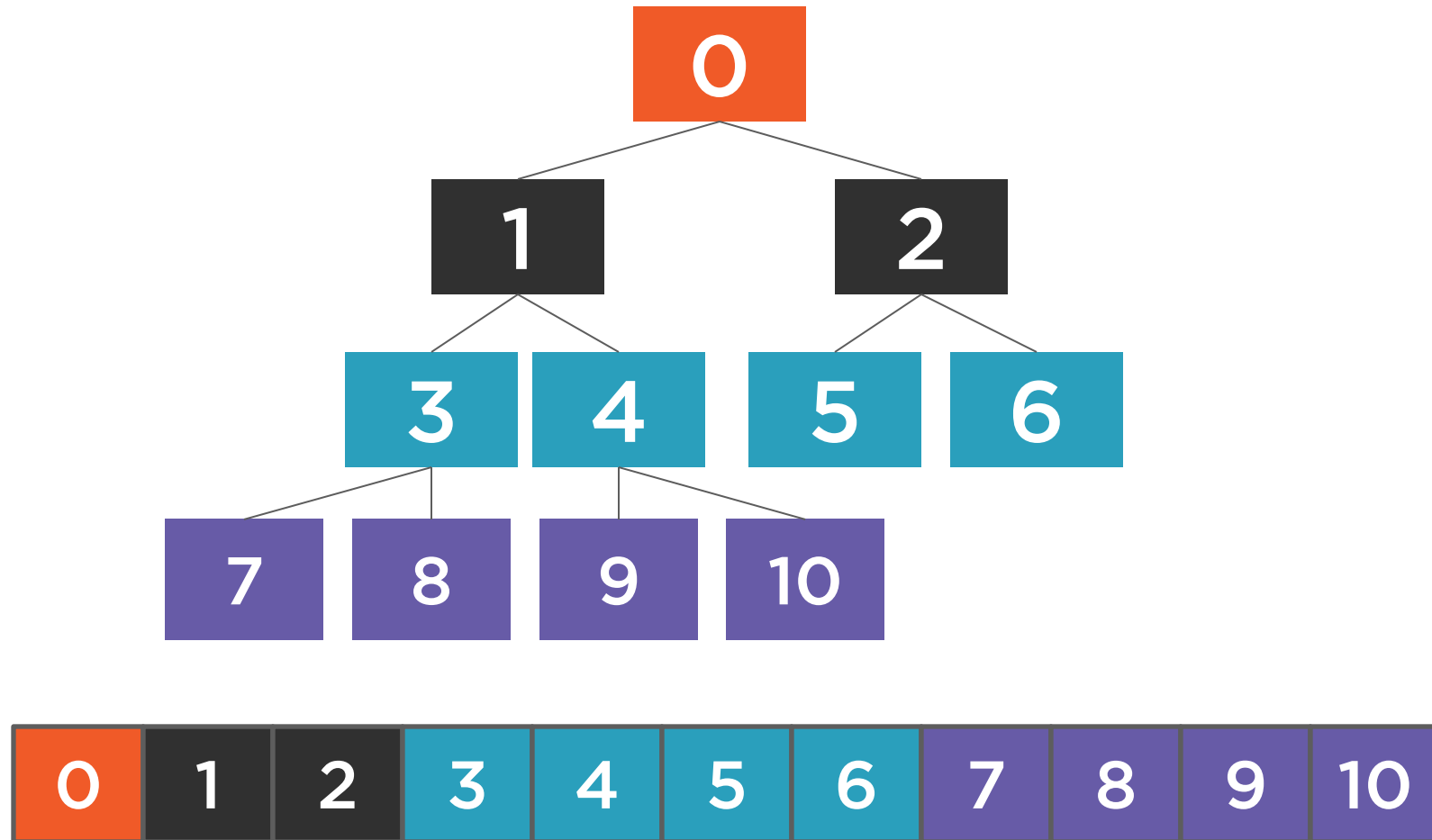
Complete binary trees can be compactly stored as arrays eliminating all structural overhead and providing $O(1)$ data access.



Tree as Array



Tree as Array



Tree as Array

Index	0	1	2	3	4	5	6	7	8	9	10
Parent	x	0	0	1	1	2	2	3	3	4	4
Left	1	3	5	7	9	11	13	15	17	19	21
Right	2	4	6	8	10	12	14	16	18	20	22

0	1	2	3	4	5	6	7	8	9	10
---	---	---	---	---	---	---	---	---	---	----



Tree as Array

Index	0	1	2	3	4	5	6	7	8	9	10
Parent	x	0	0	1	1	2	2	3	3	4	4
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---	---	---	---	---	---	---	---	---	---	----



Tree as Array

Index	0	1	2	3	4	5	6	7	8	9	10
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Left	1	3	5	7	9	11	13	15	17	19	21
Right	2	4	6	8	10	12	14	16	18	20	22

```
int parent(const int index) {  
    return (index - 1) / 2;  
}
```



Tree as Array

Index	0	1	2	3	4	5	6	7	8	9	10
Parent	x	0	0	1	1	2	2	3	3	4	4
Left	1	3	5	7	9	11	13	15	17	19	21
Right	2	4	6	8	10	12	14	16	18	20	22

```
int left(const int index) {  
    return 2 * index + 1;  
}
```



Tree as Array

Index	0	1	2	3	4	5	6	7	8	9	10
Parent	x	0	0	1	1	2	2	3	3	4	4
Left	1	3	5	7	9	11	13	15	17	19	21
Right	2	4	6	8	10	12	14	16	18	20	22

```
int right(const int index) {  
    return 2 * index + 2;  
}
```



Heap Operations



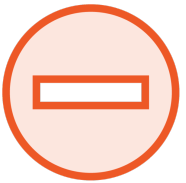
Heap Operations



Adding values (push)



Retrieving min or max value (top)



Removing min or max value (pop)



Push

Adds an item to the heap, placing it in the first valid position that retains the tree rules.



Push Operations

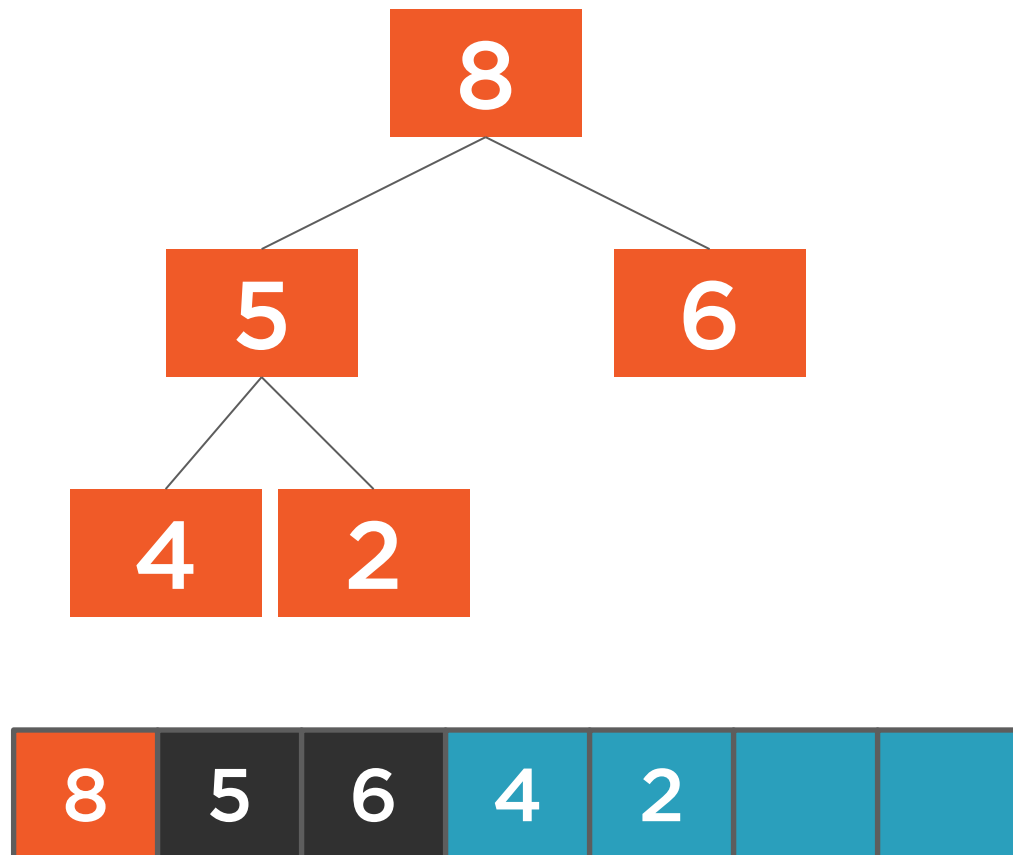


Add the new value to the end of the heap array

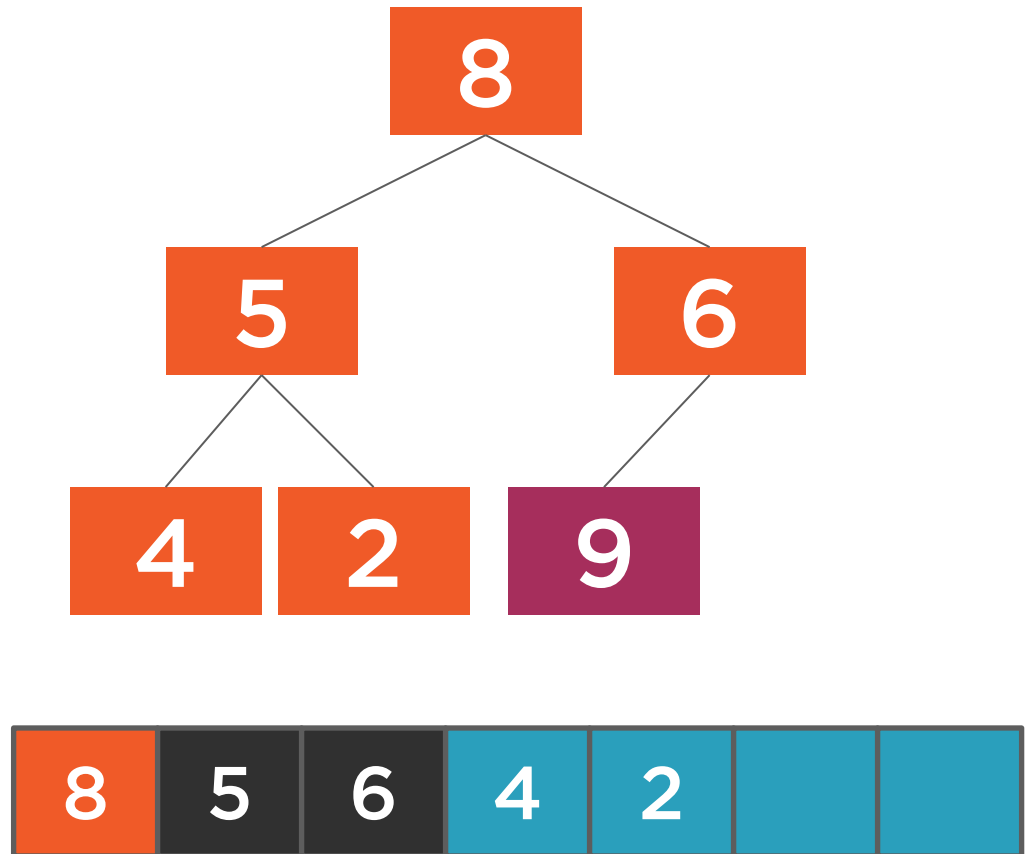


While the heap property is not satisfied, swap the new item with its parent

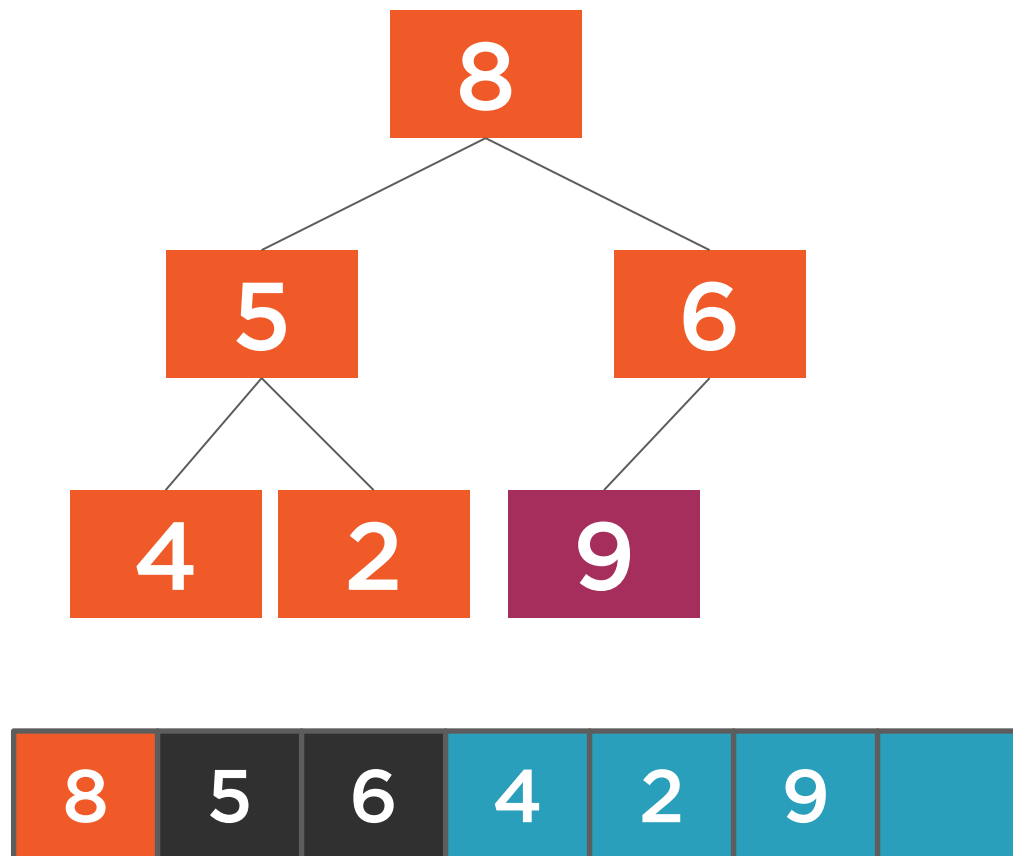
Add new value to end of
heap



Add new value to end of
heap

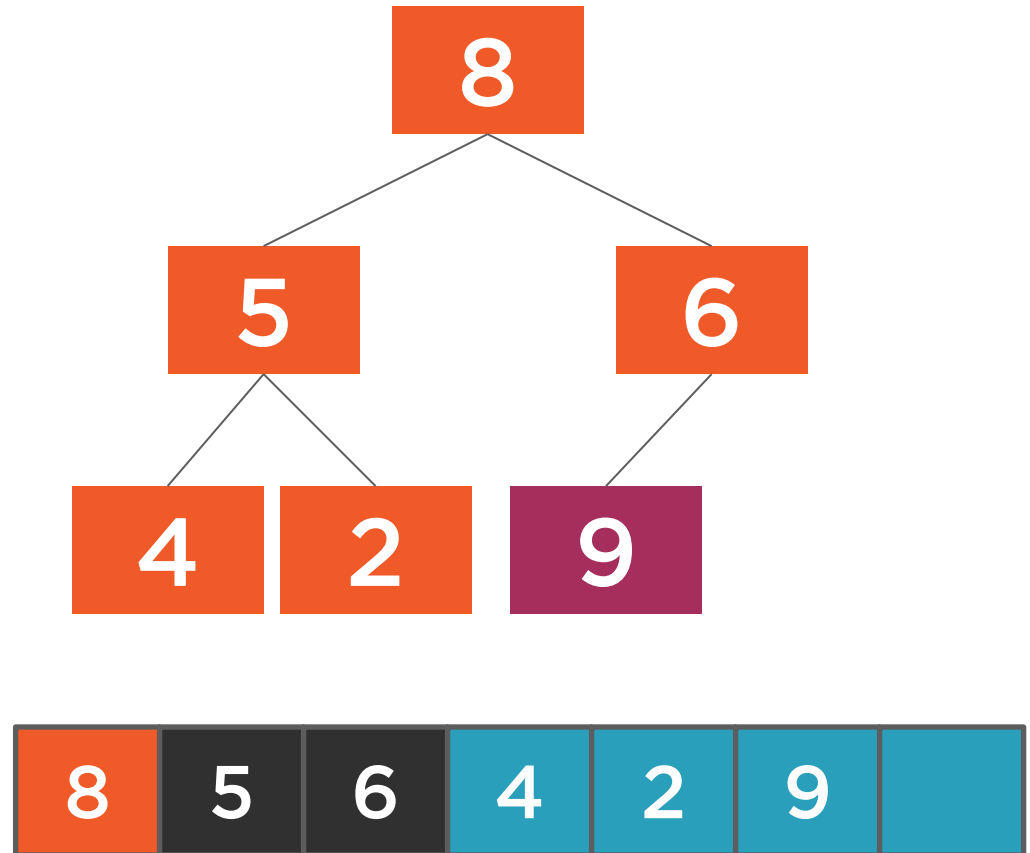


Add new value to end of
heap



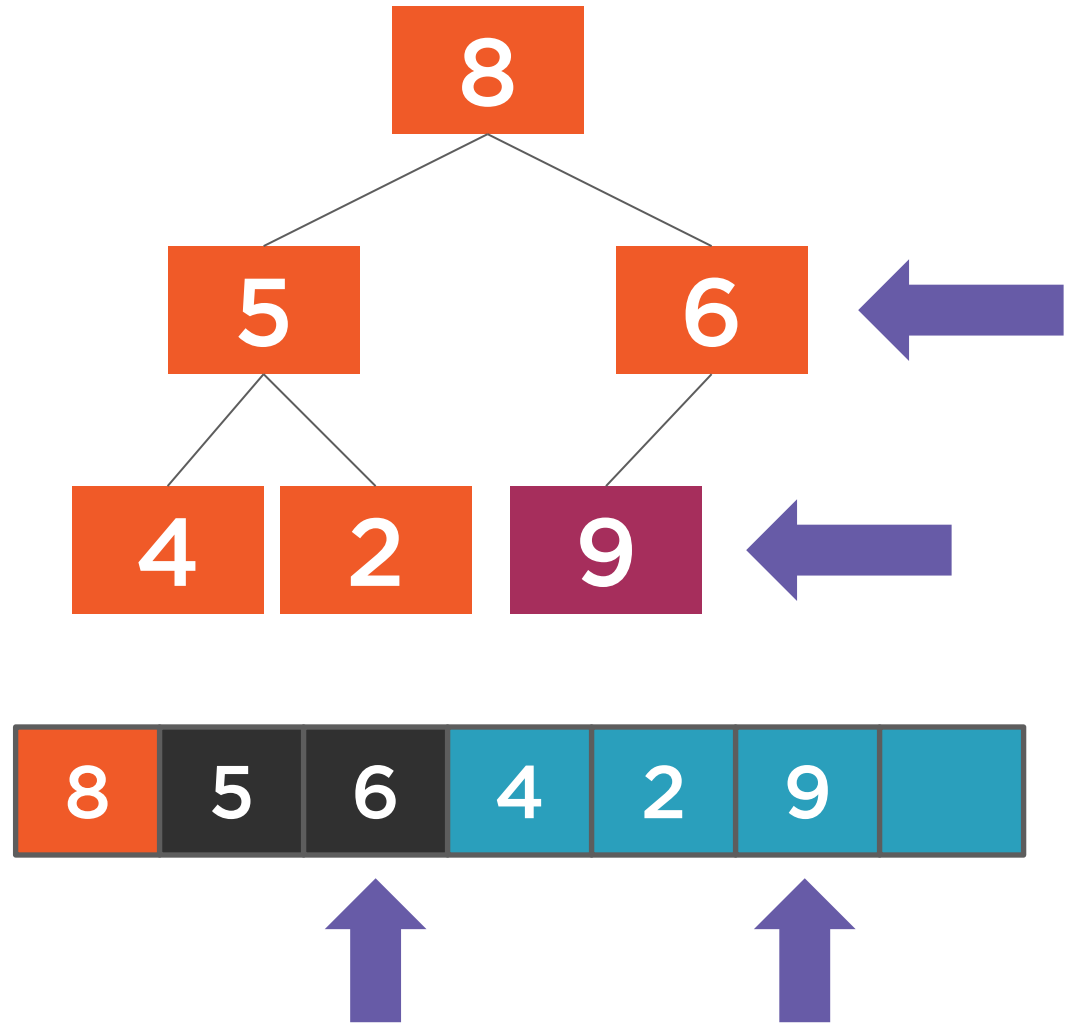
Add new value to end of
heap

While the heap property is
not satisfied, swap with
parent



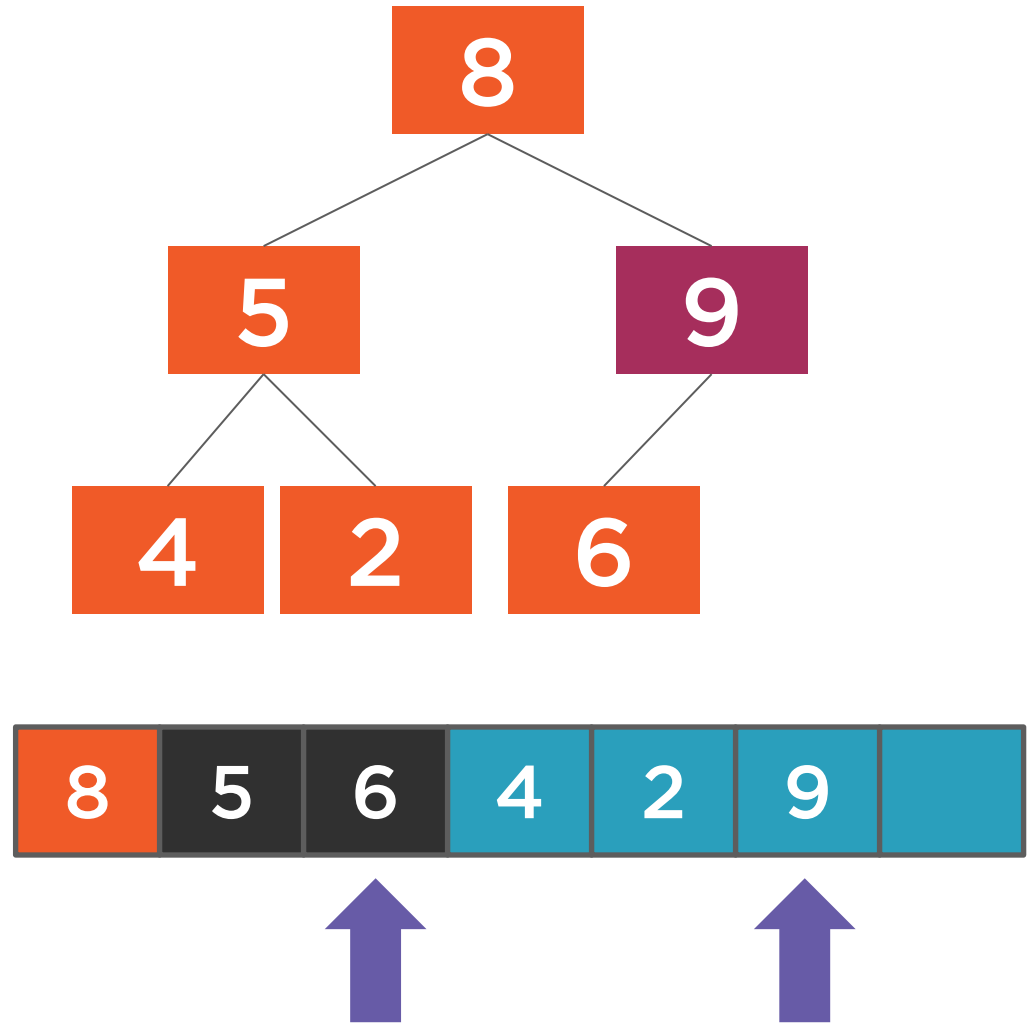
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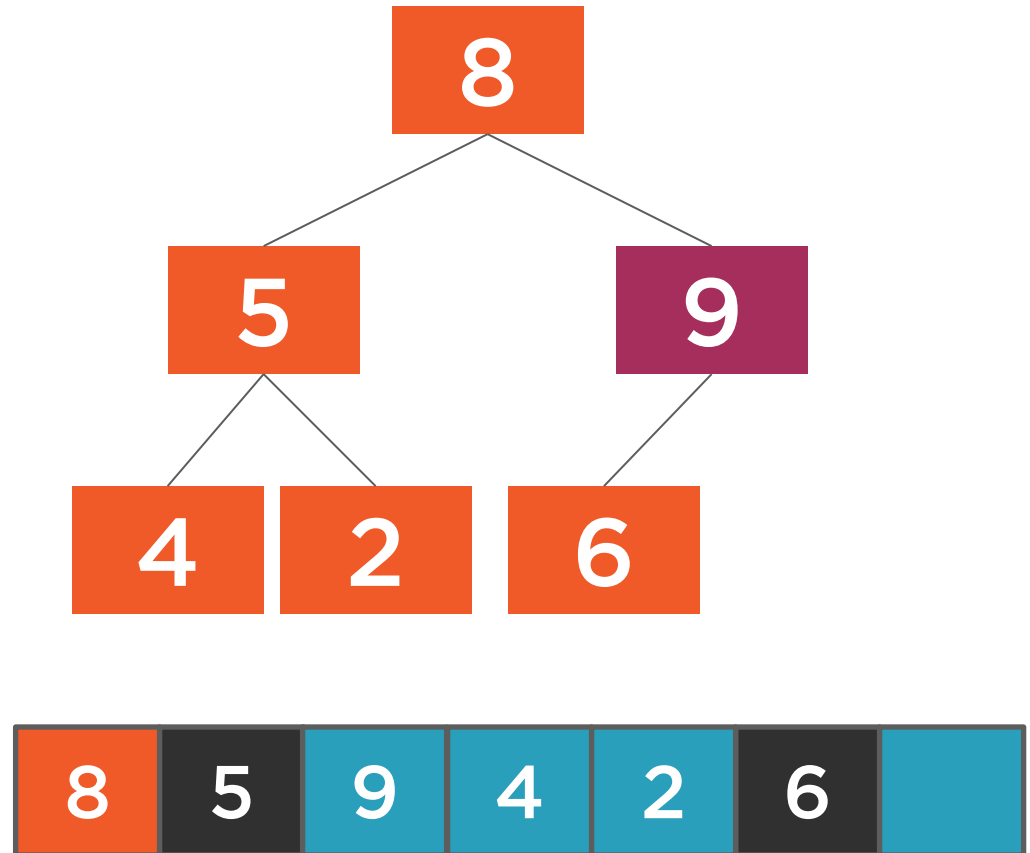
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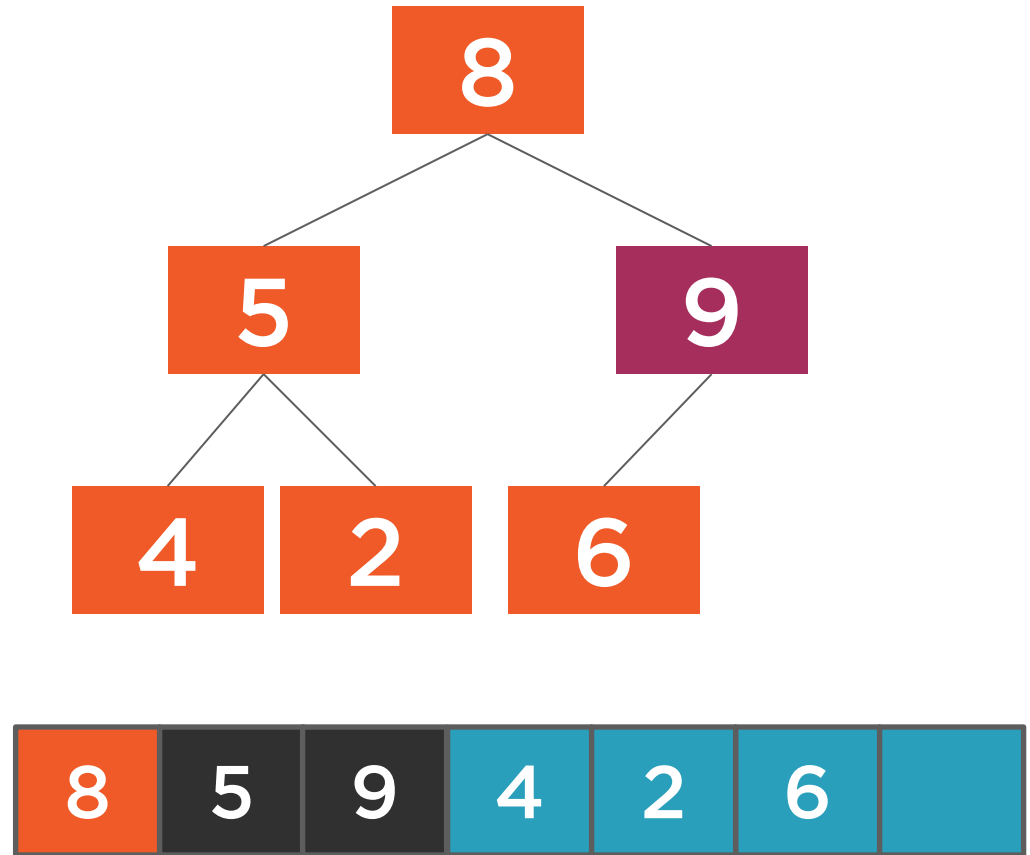
Add new value to end of
heap

While the heap property is
not satisfied, swap with
parent



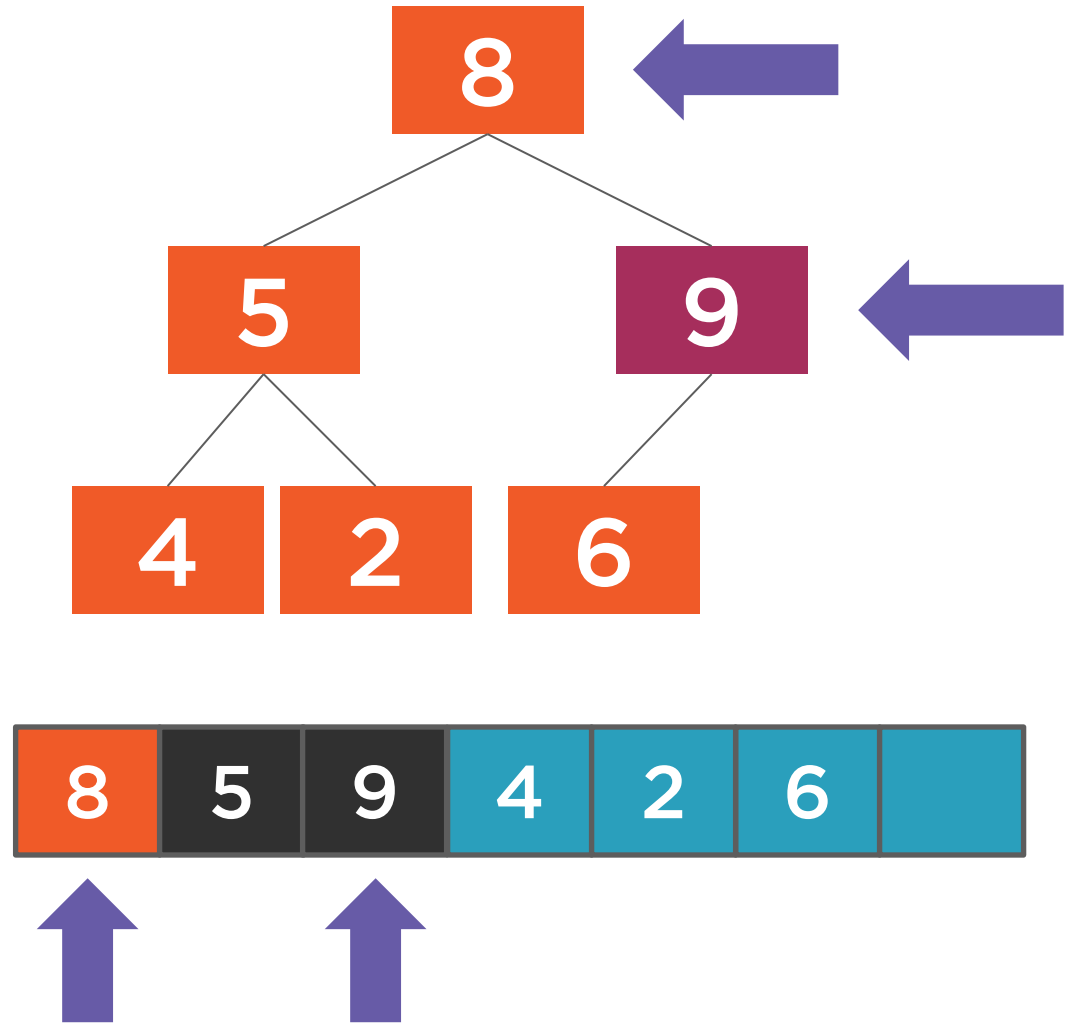
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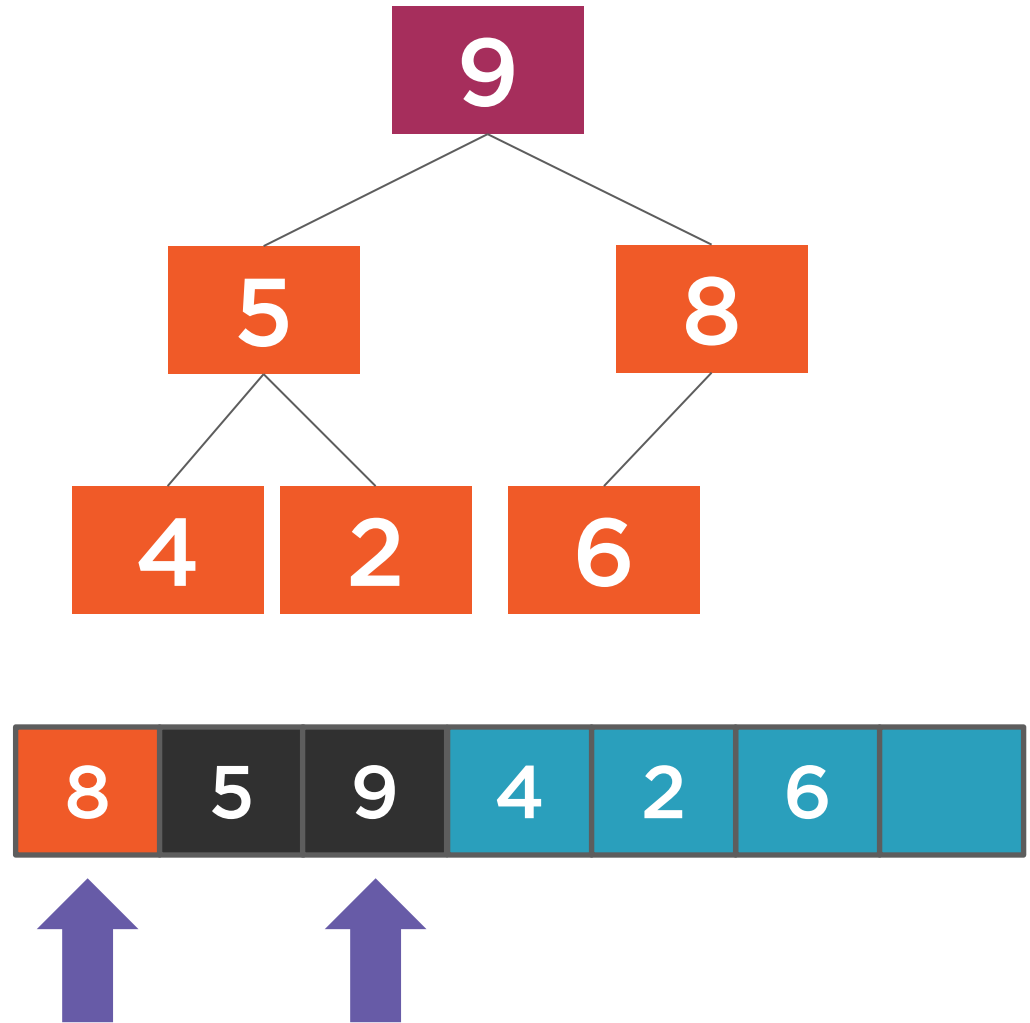
Add new value to end of heap

While the heap property is not satisfied, swap with parent



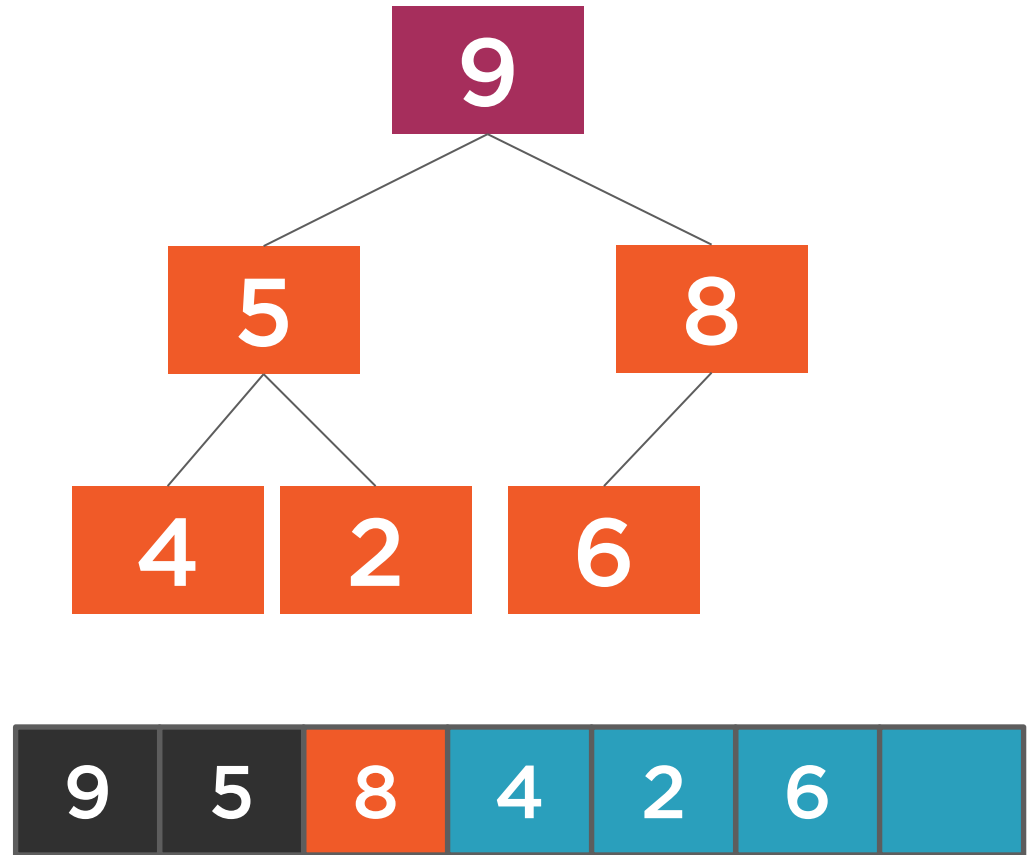
Add new value to end of heap

While the heap property is not satisfied, swap with parent



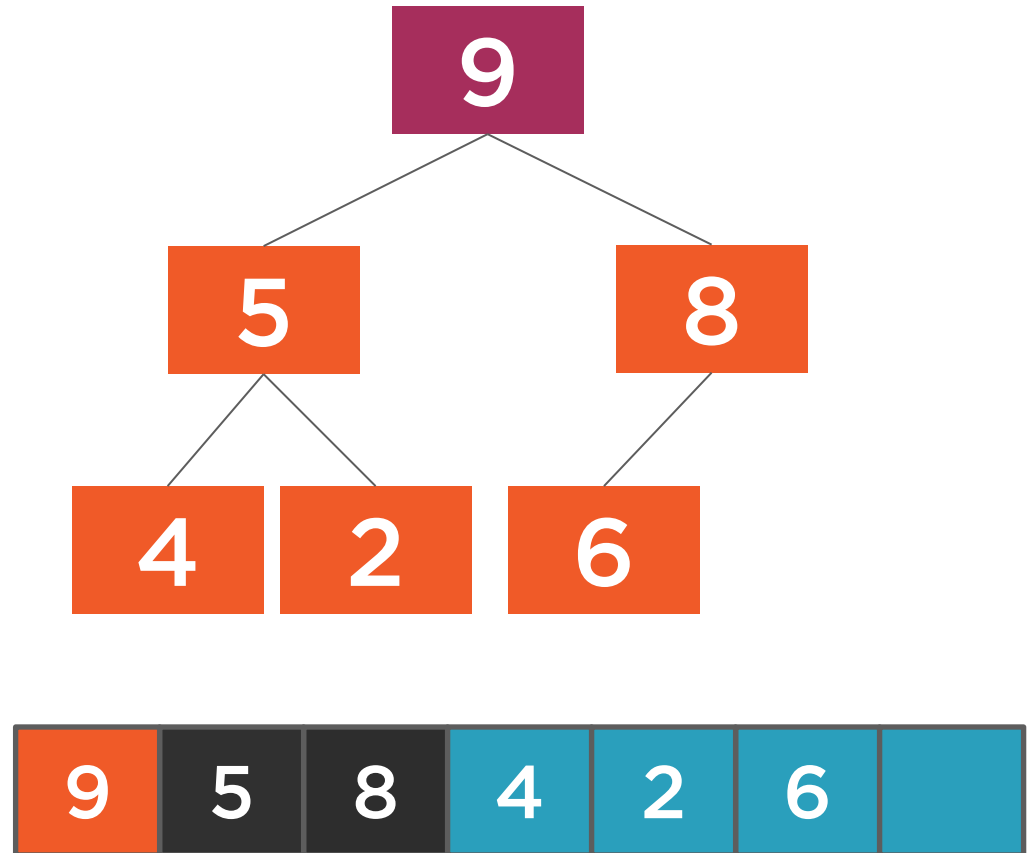
Add new value to end of heap

While the heap property is not satisfied, swap with parent



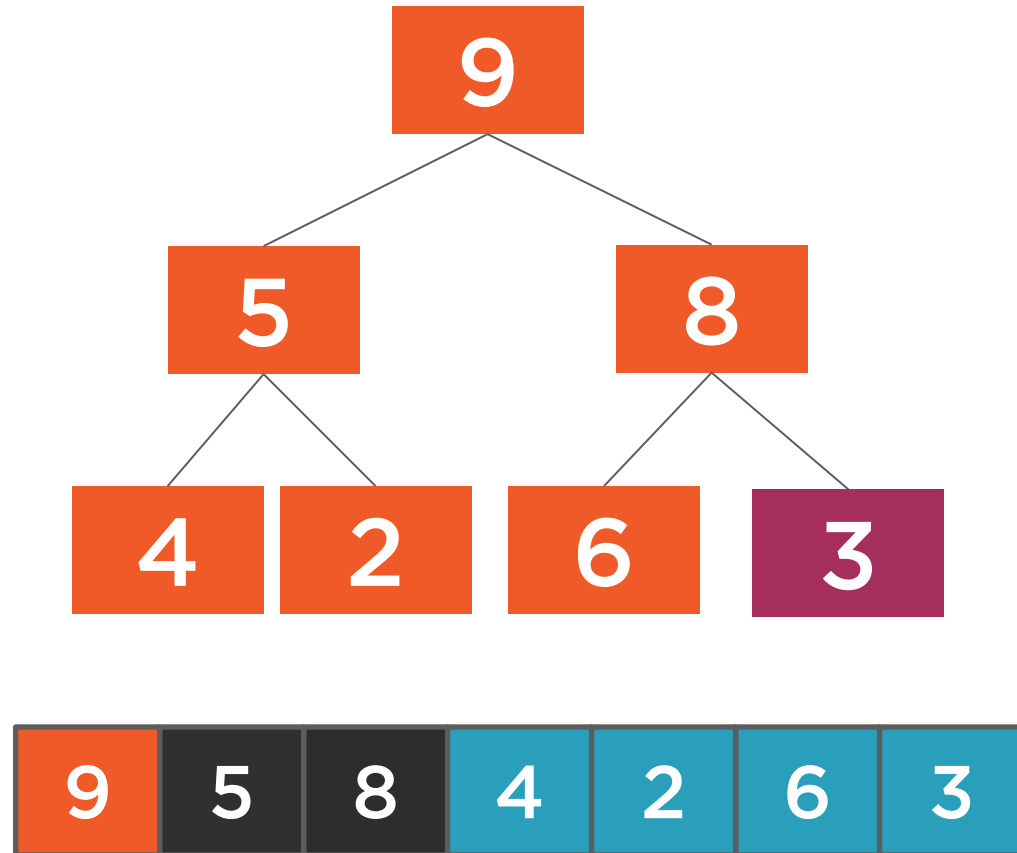
Add new value to end of
heap

While the heap property is
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Add new value to end of
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While the heap property is
not satisfied, swap with
parent

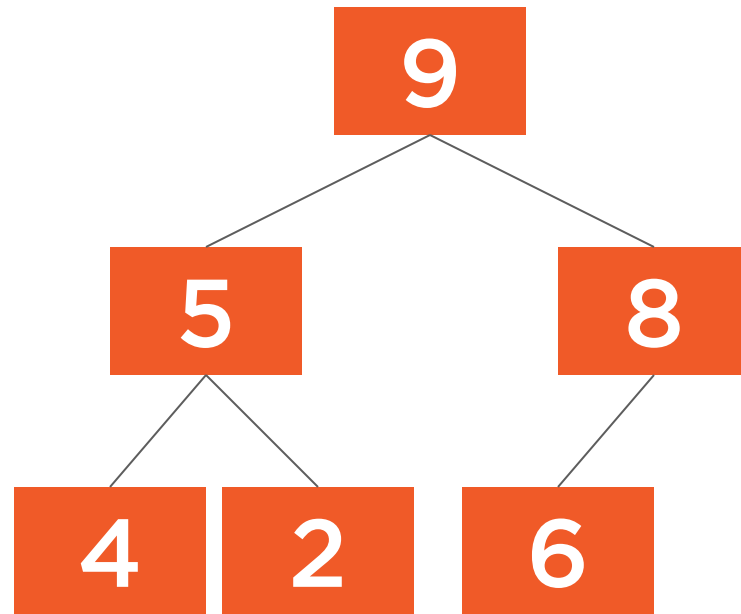


Top

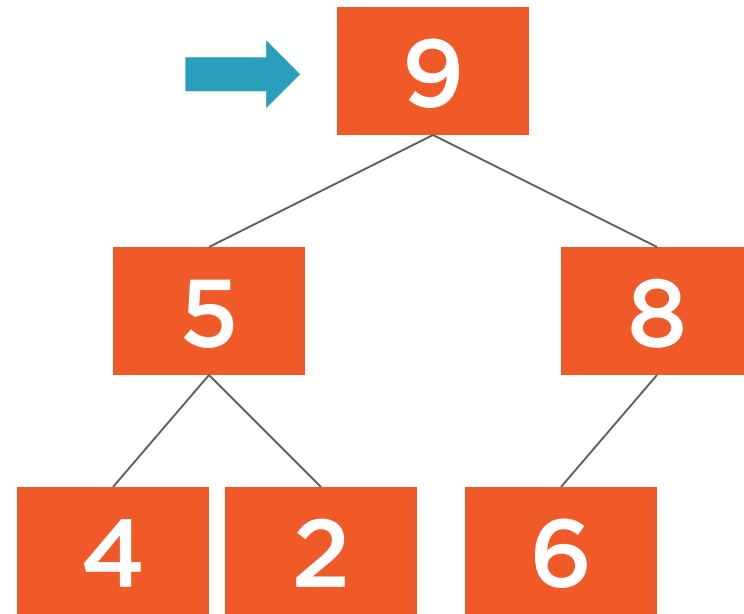
Returns the first item (min or max) in the heap.



Top



Top



```
public T Top() {  
    if (Count > 0) {  
        return data[0];  
    }  
    throw new Exception("Top called on empty heap");  
}
```

Top

Return the first item in the heap

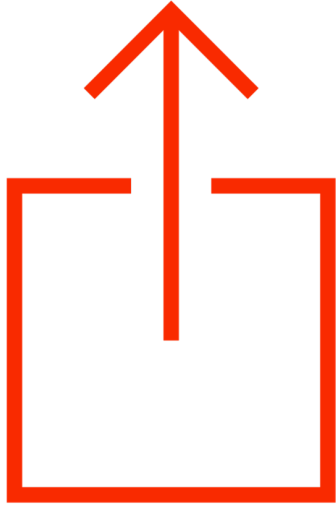


Pop

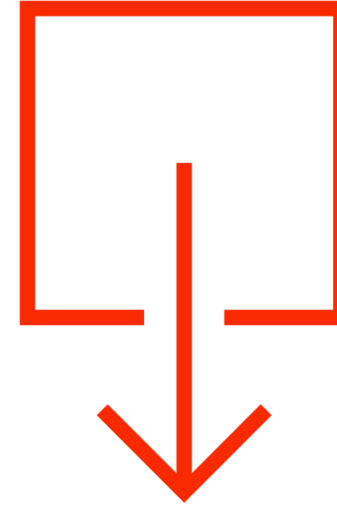
Removes the top item from the heap, moving the replacement item into the first valid position in the heap tree.



Pop Operations



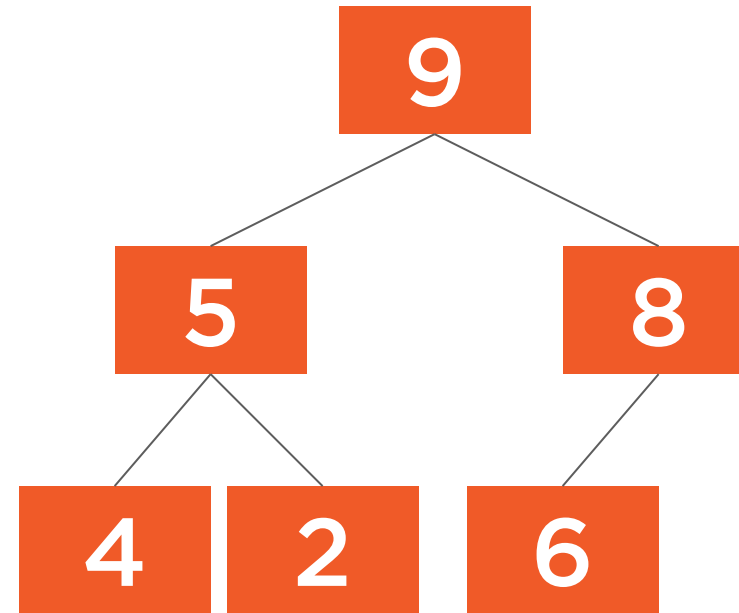
Move the last item in the heap array
into the zero (root) index



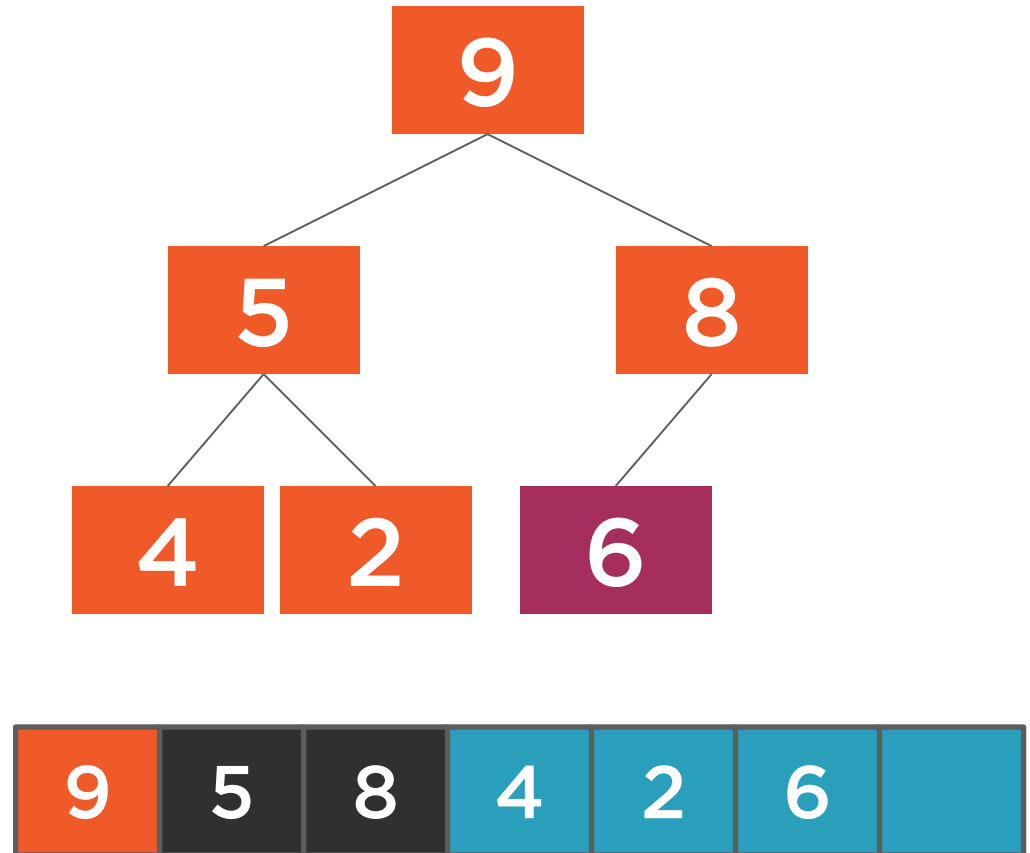
While the heap property is not
satisfied, swap the item with one of
its children



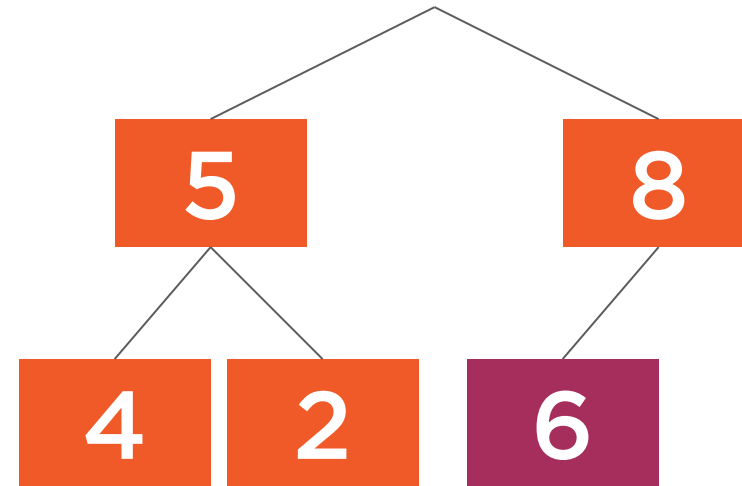
Replace top value with
right-most child



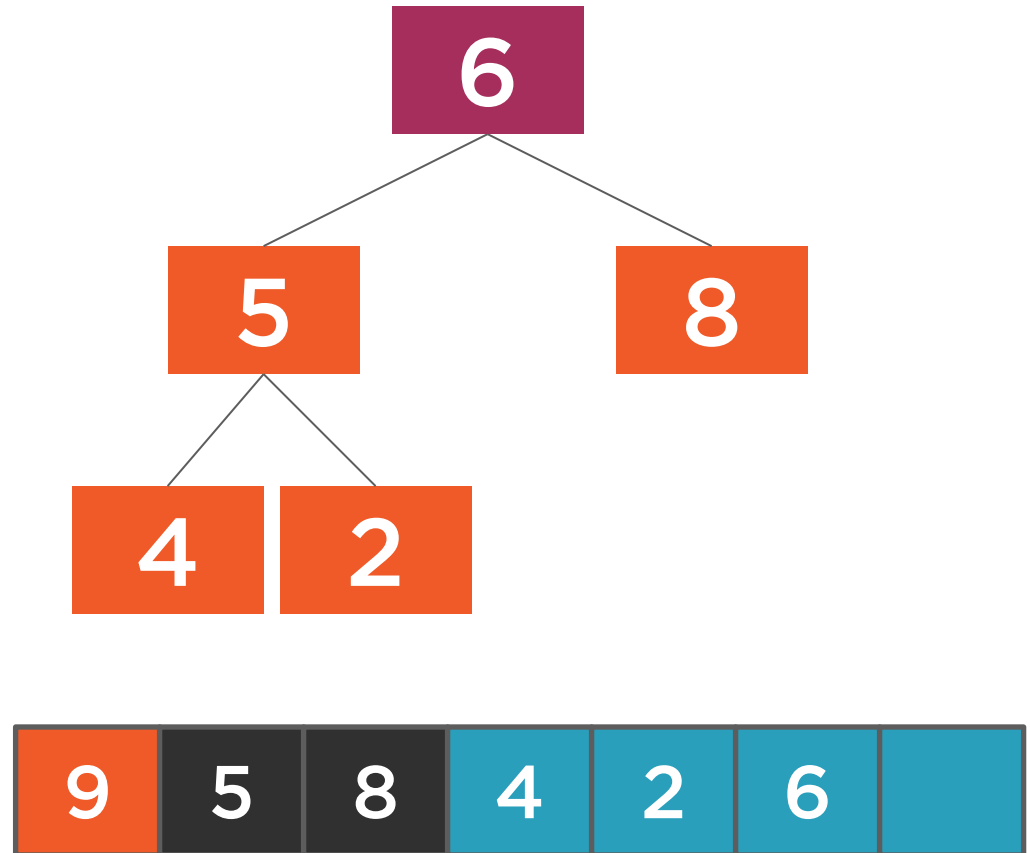
Replace top value with
right-most child



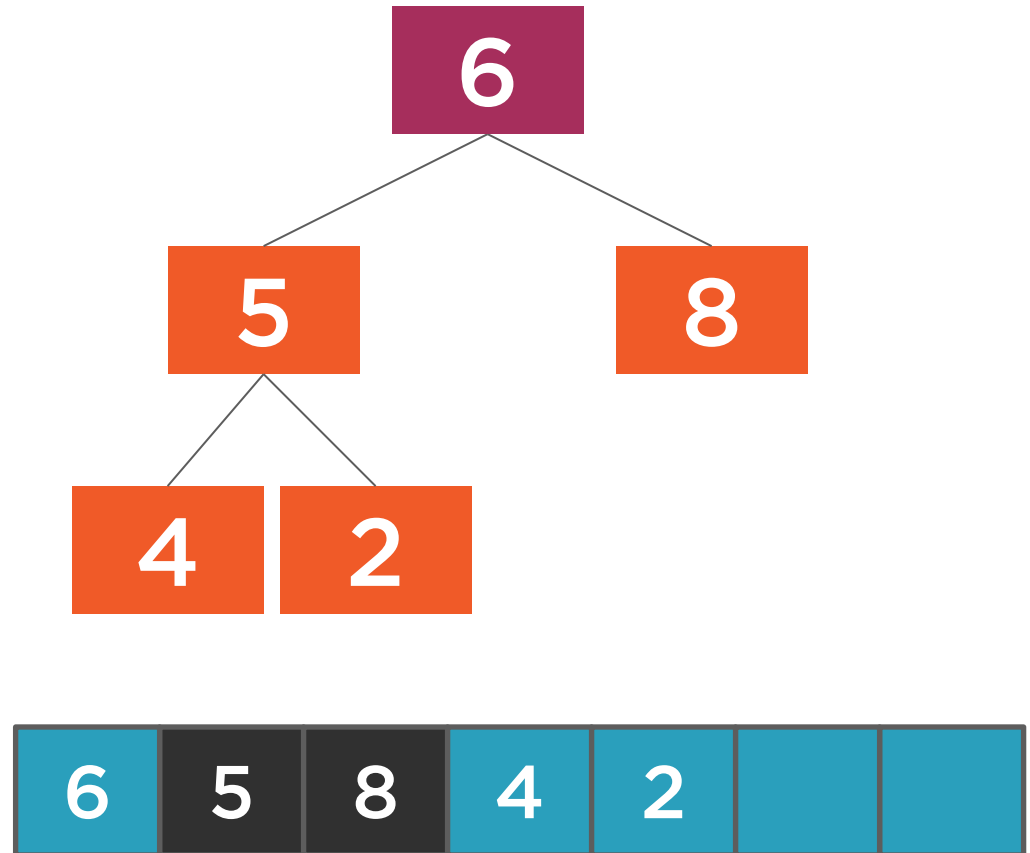
Replace top value with
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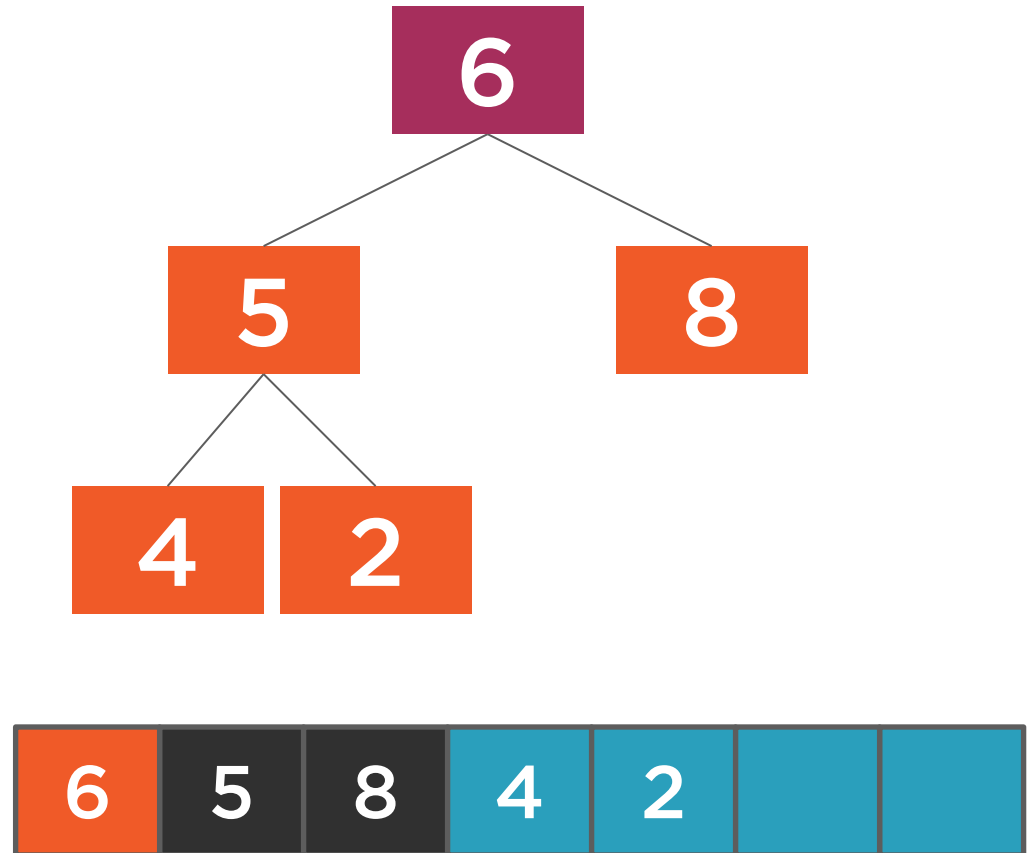
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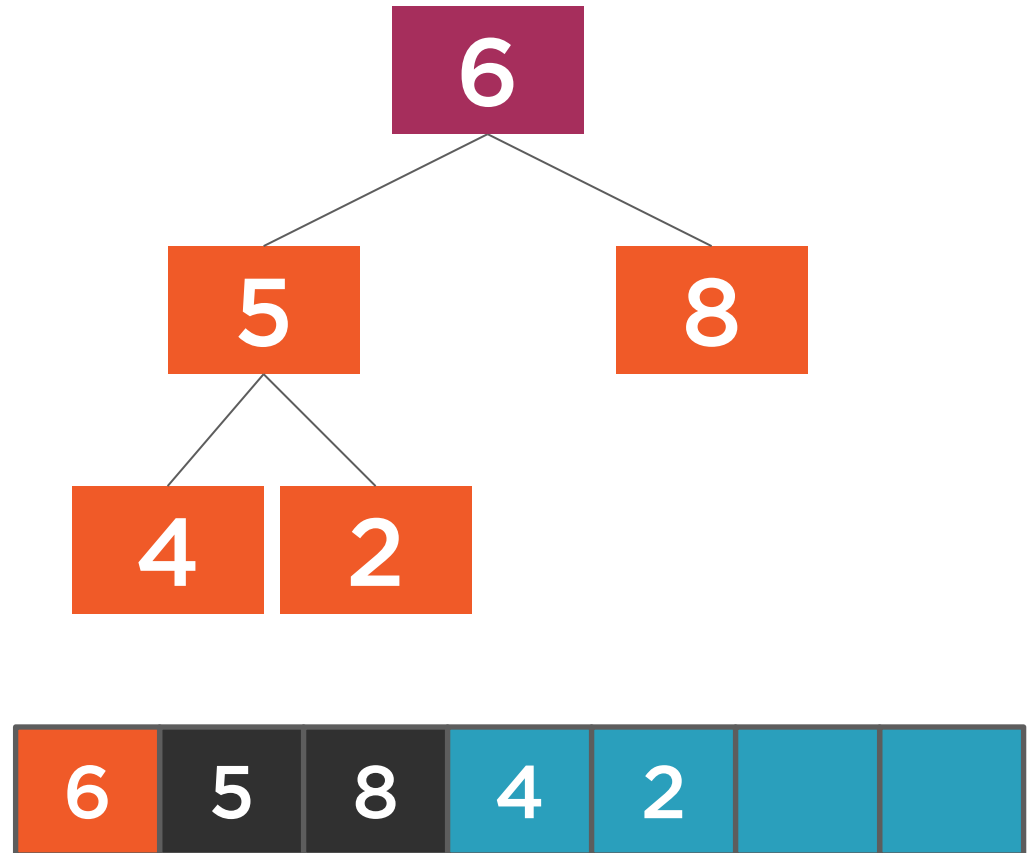


Replace top value with
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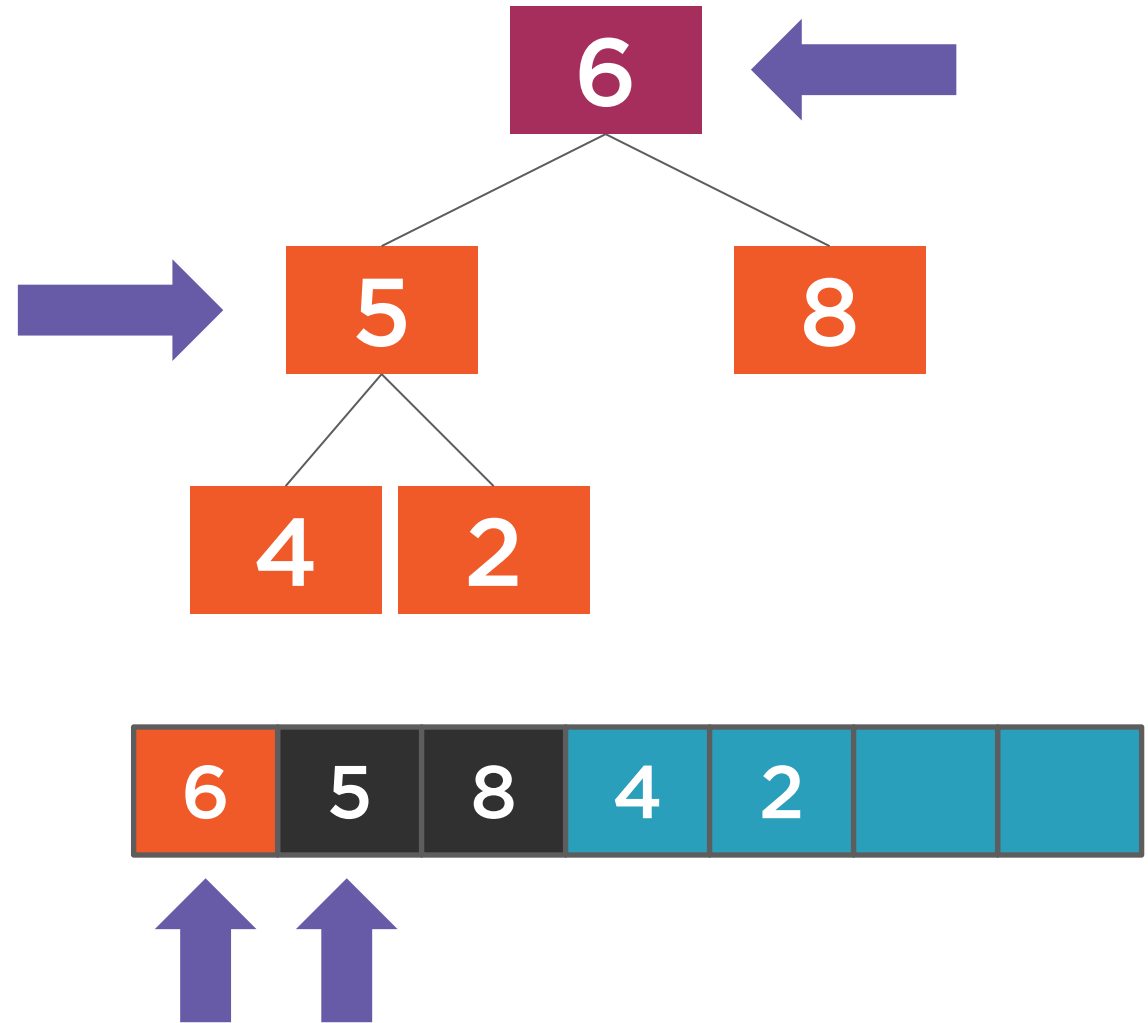
Replace top value with
right-most child

Swap new top with
children until heap
property is satisfied



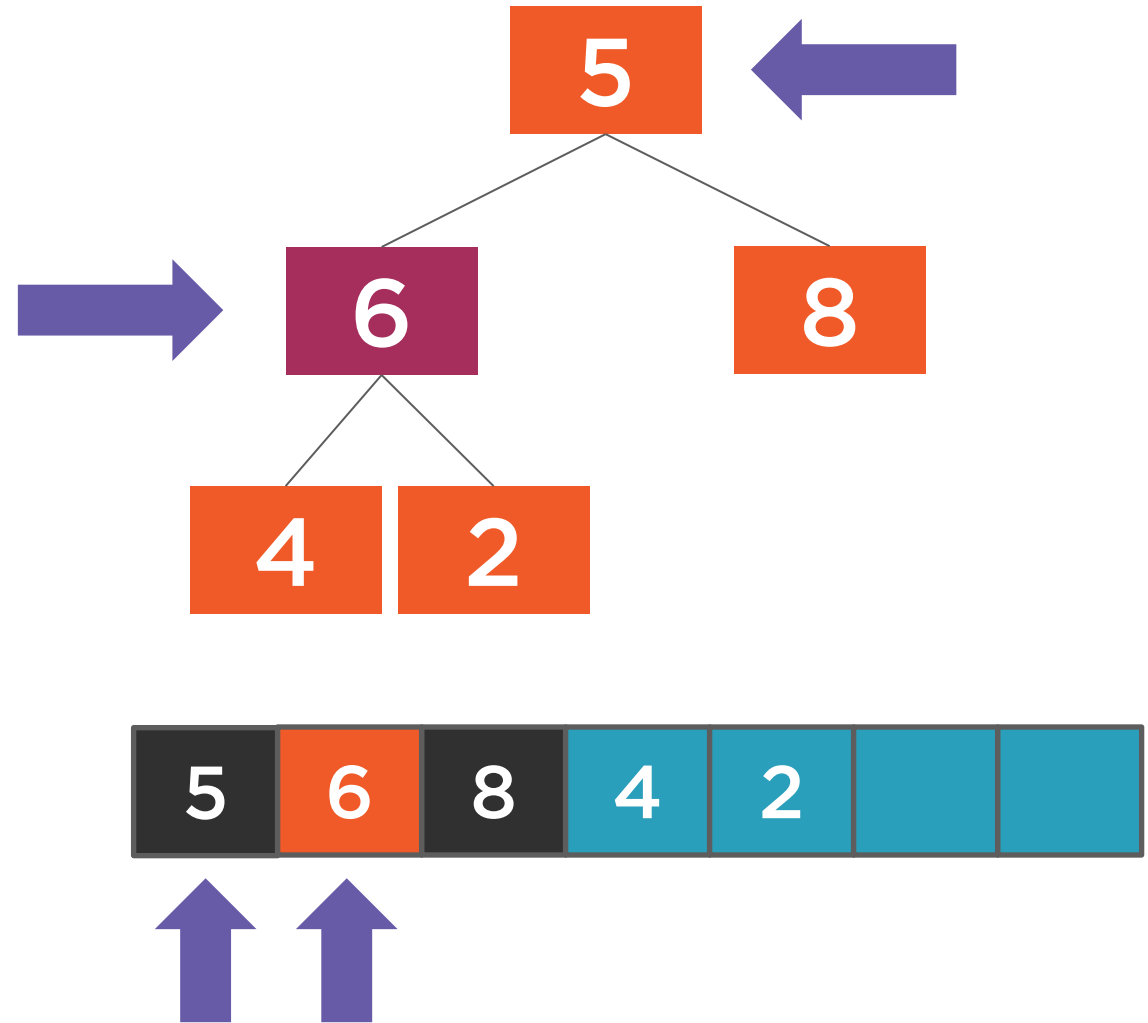
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right-most child

Swap new top with
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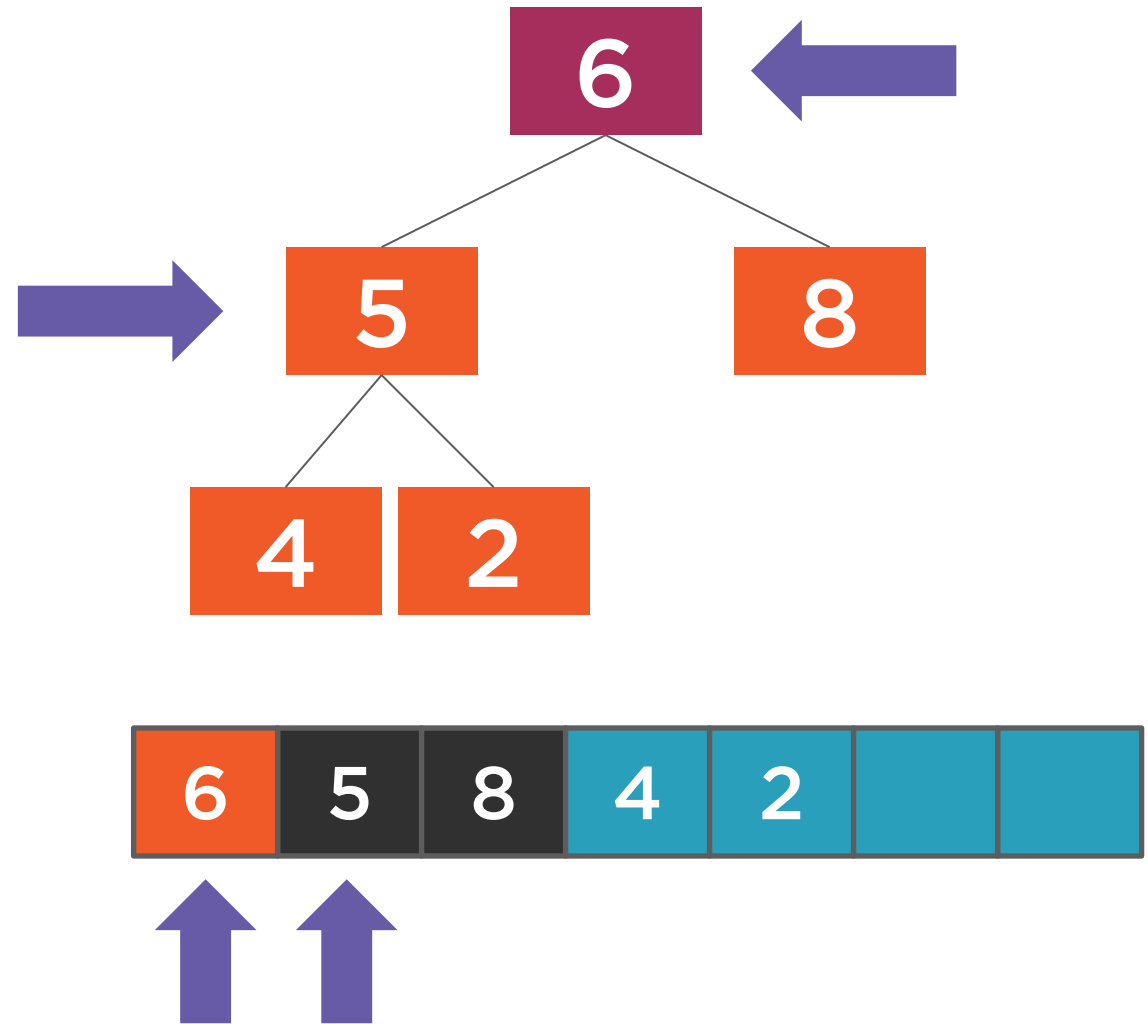
Replace top value with
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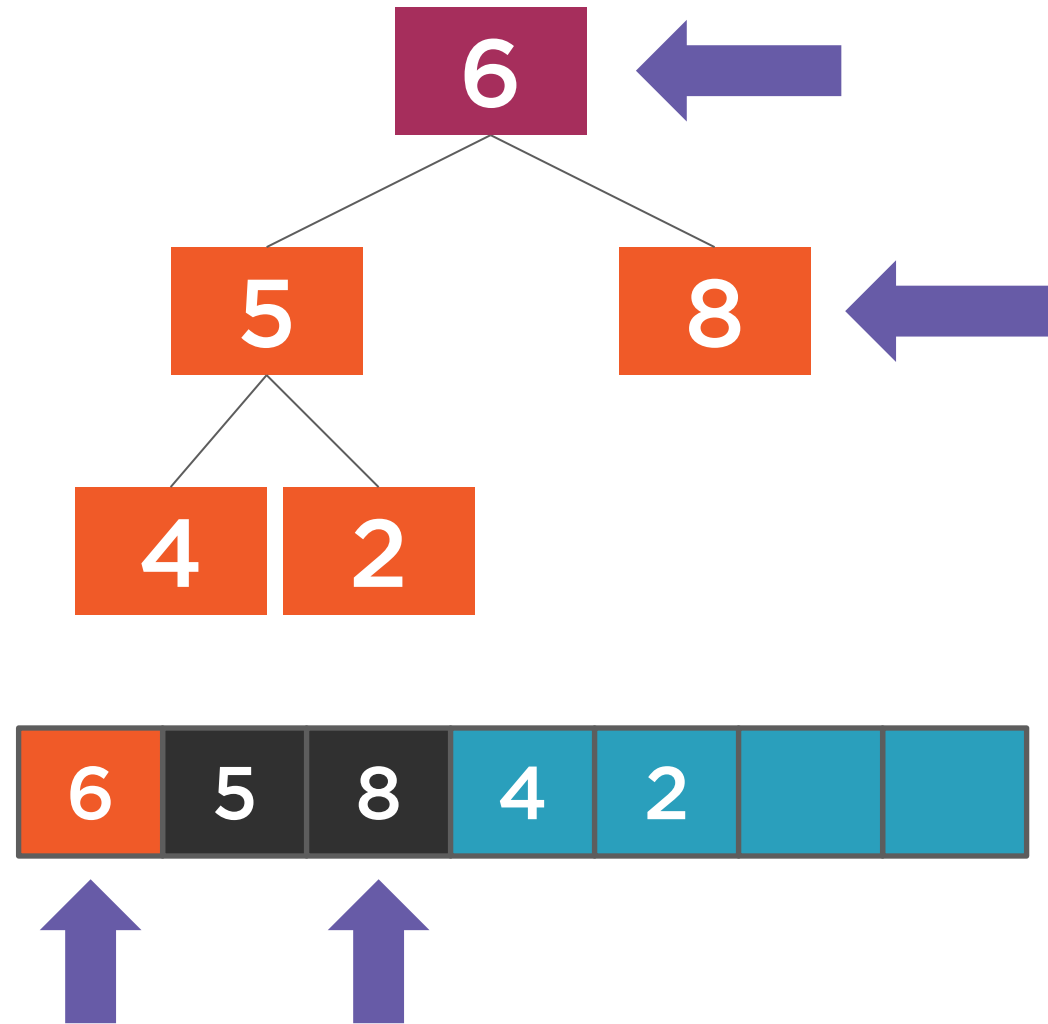
Replace top value with
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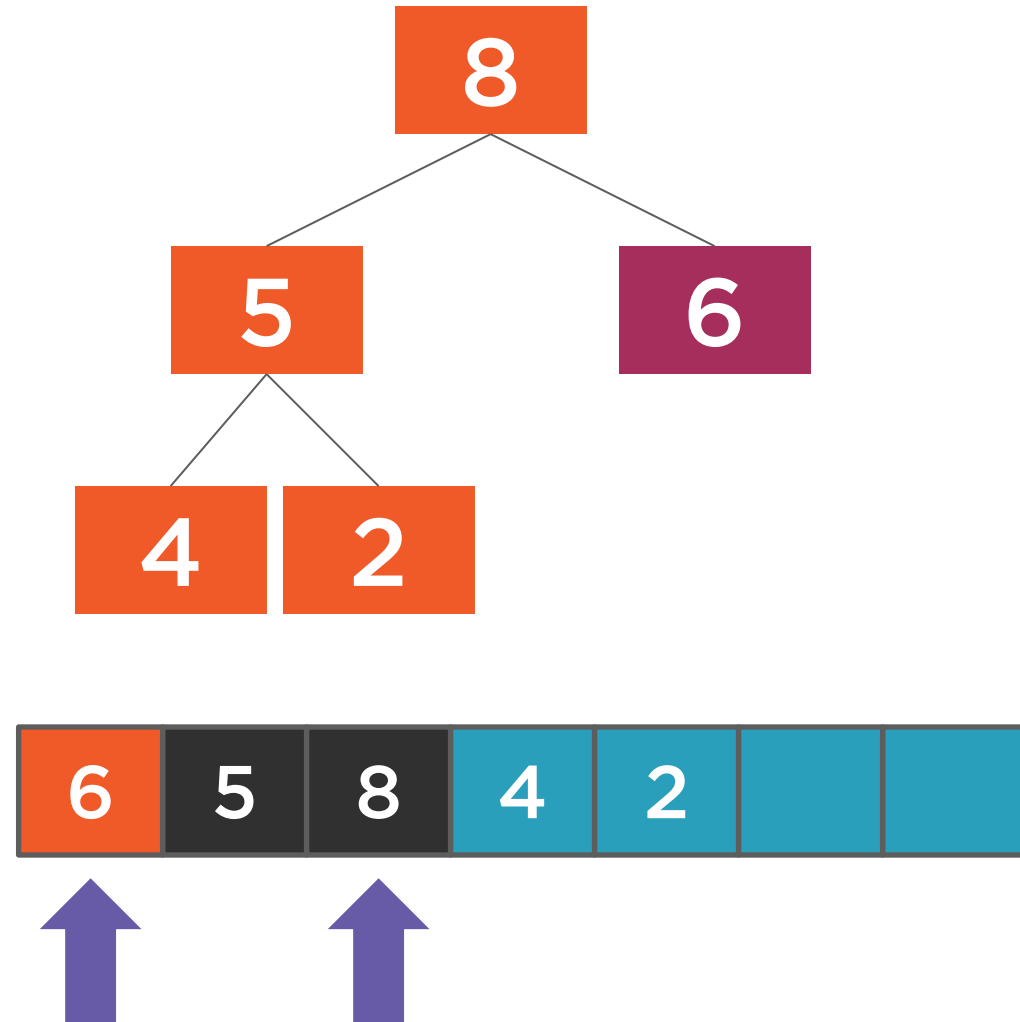
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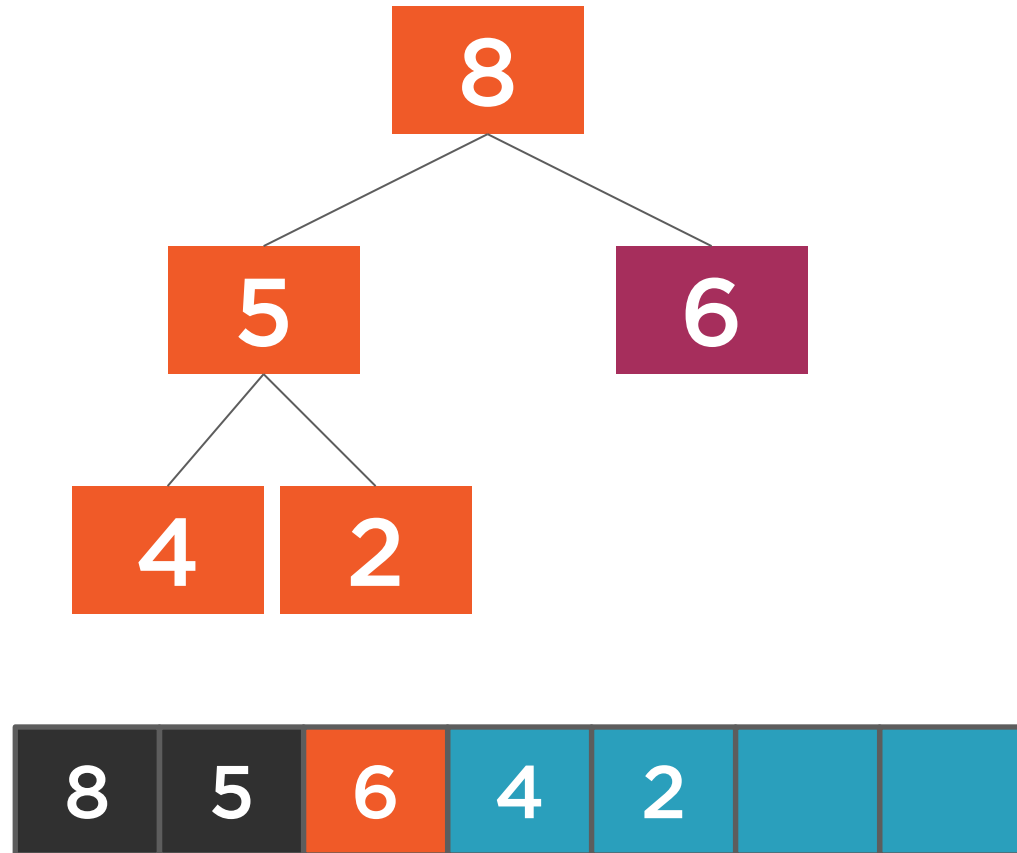
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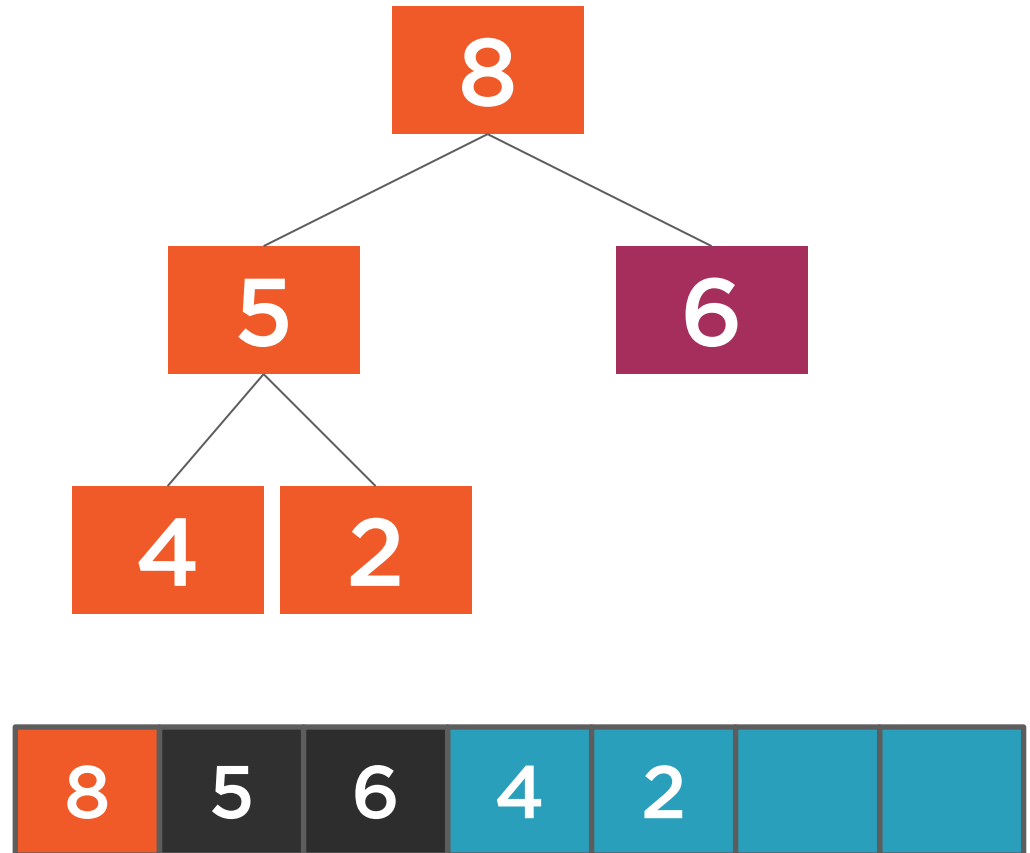
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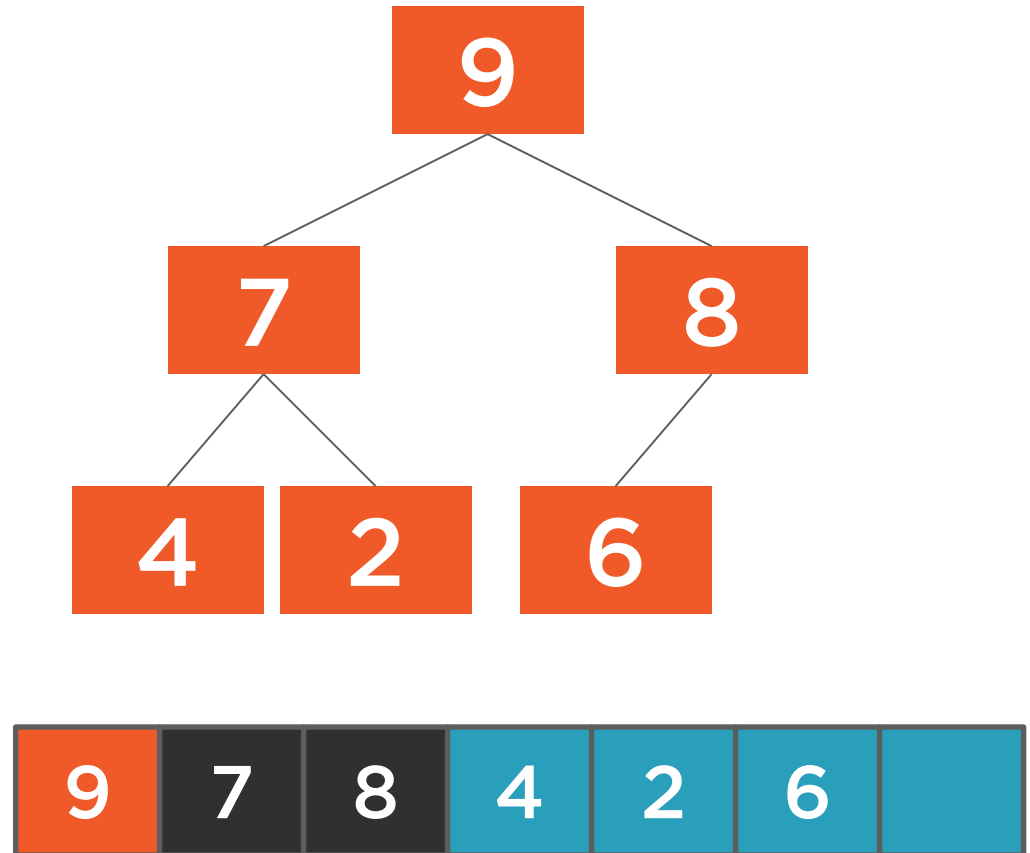


Replace top value with
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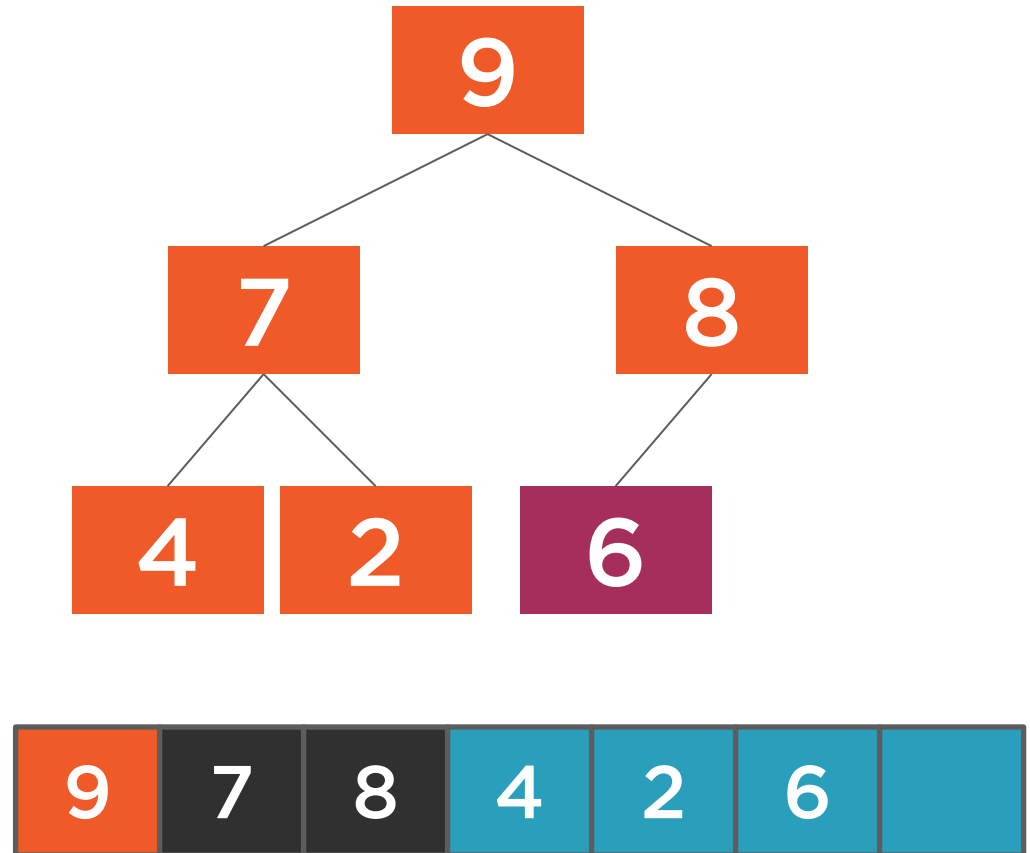
Swap new top with
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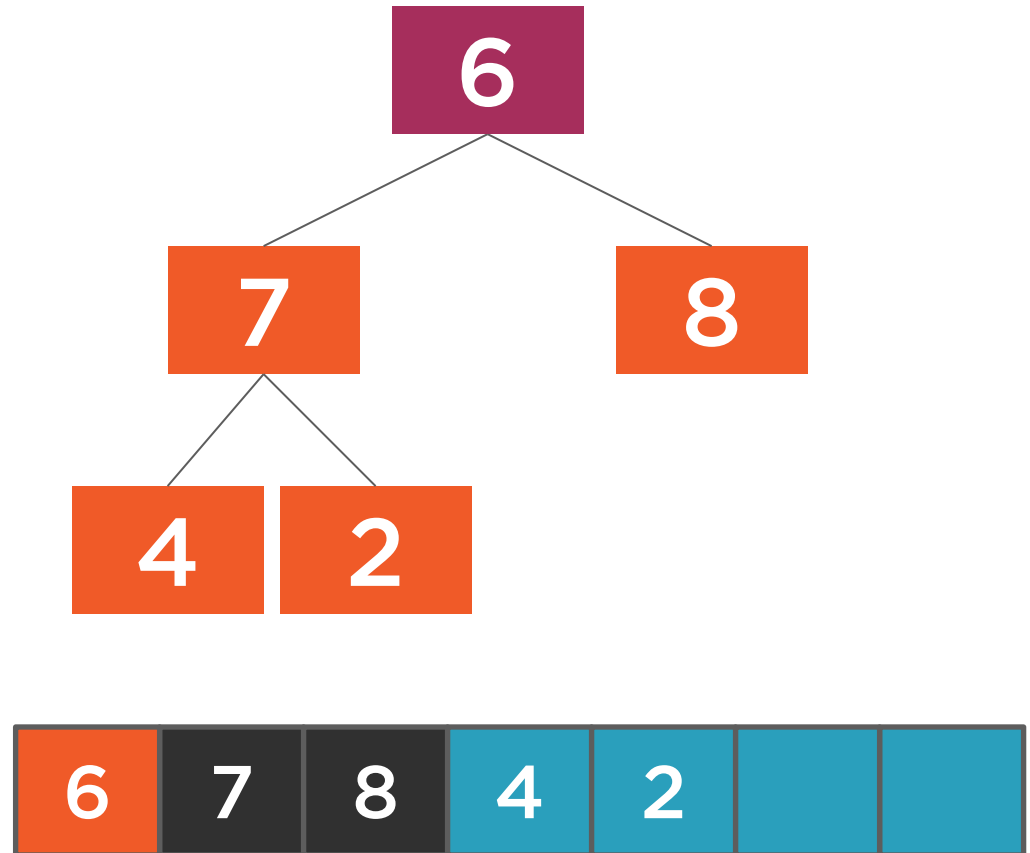
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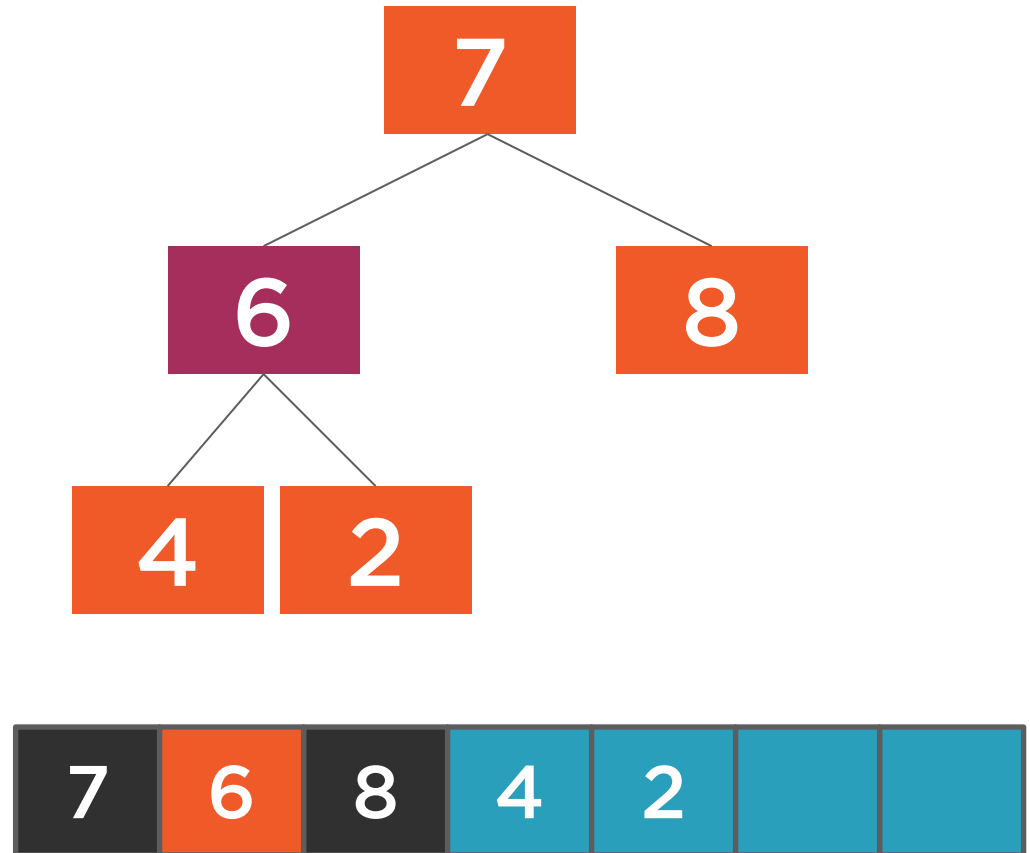


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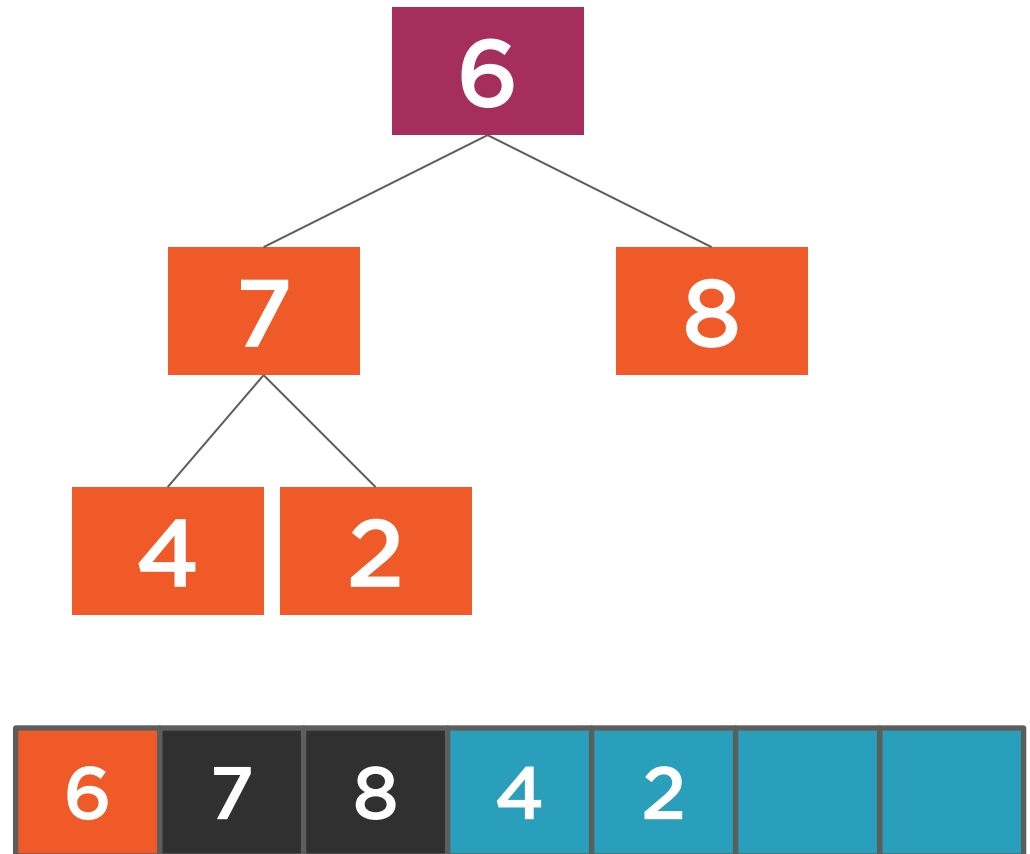
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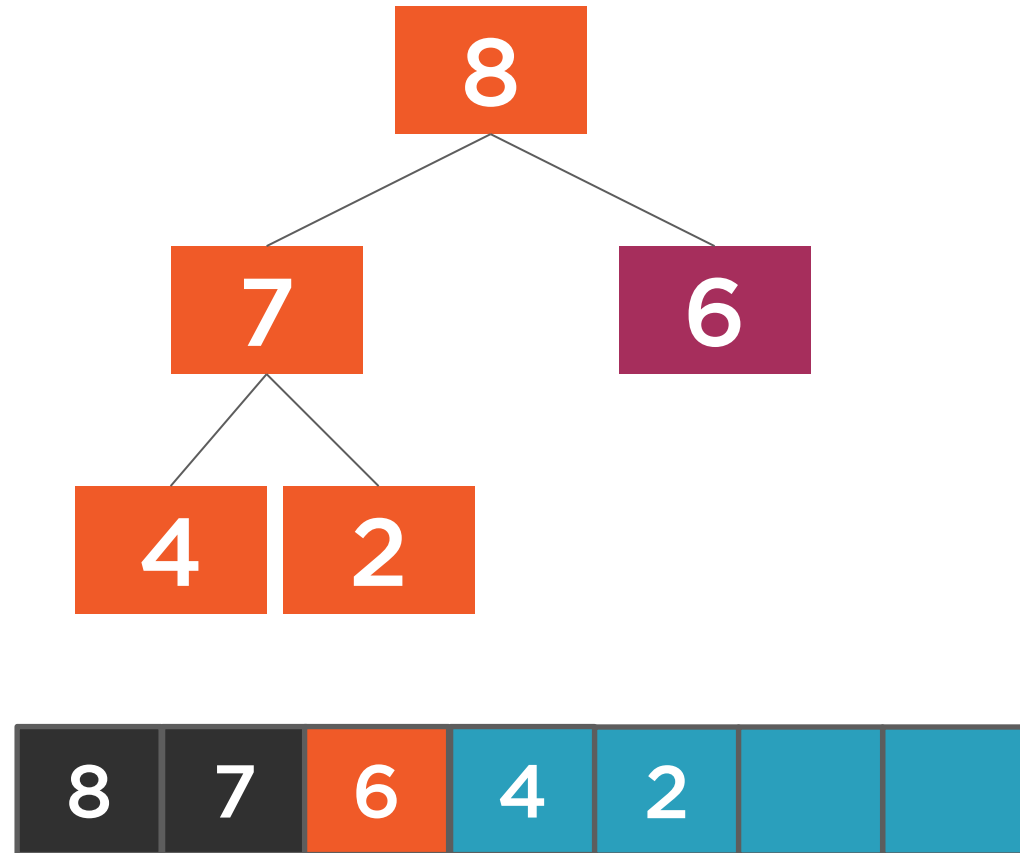
Replace top value with
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Swap new top with
children until heap
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Replace top value with
right-most child

Swap new top with
children until heap
property is satisfied



Priority Queue

A queue that pops item in priority, not FIFO, order.



Priority Queue



Priority Queue



Job 4



Priority Queue



Priority Queue



Job 3

Job 4



Priority Queue



Priority Queue



Job 2

Job 3

Job 4



Priority Queue



Priority Queue



Priority Queue



Priority Queue



Priority Queue



```
public class PriorityQueue<T> {  
    readonly Heap<T> heap = new Heap<T>();  
    public void Enqueue(T value) {  
        heap.Push(value);  
    }  
    public T Dequeue() {  
        T value = heap.Top();  
        heap.Pop();  
  
        return value;  
    }  
}
```

- ◀ Generic class
- ◀ Data is stored in a heap
- ◀ Enqueue pushes a value onto the heap
- ◀ Dequeue pops a value from the heap



Demo



Review heap code

Review priority queue

Example: job queue

