

# B-trees

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# Overview



## B-tree Overview

- Minimal degree
- Height

## Searching

## Adding

- Splitting Nodes

## Removing

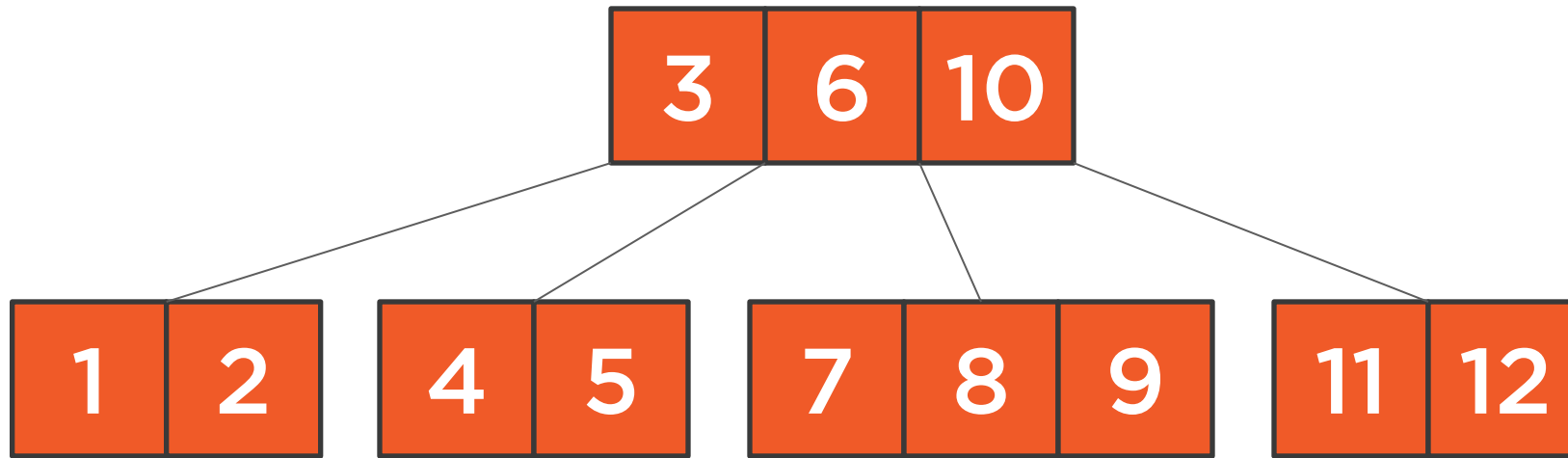
- Push down
- Rotation

# B-tree

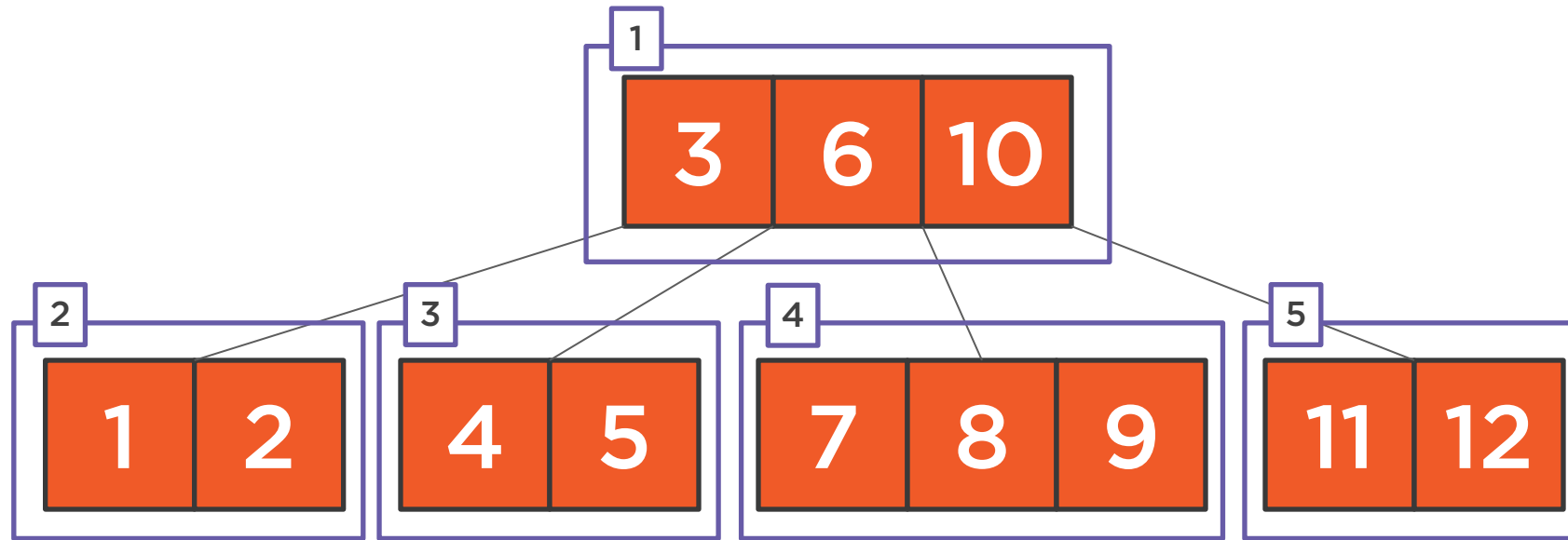
A sorted, balanced, tree structure typically used to access data on slow mediums such as disk or tape drives.



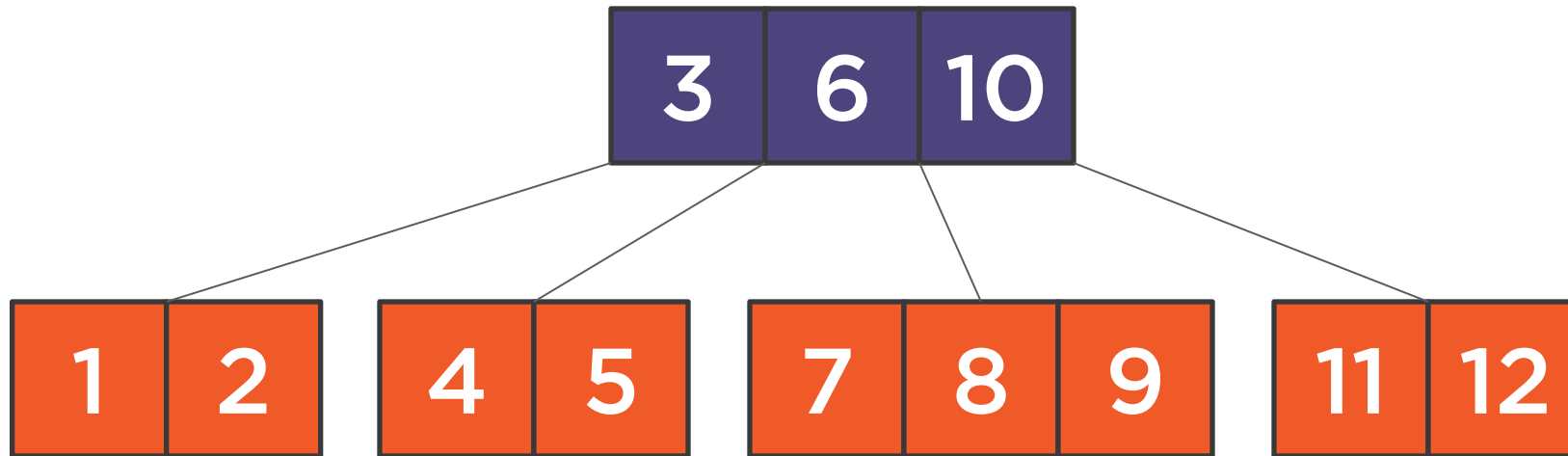
# B-tree Properties



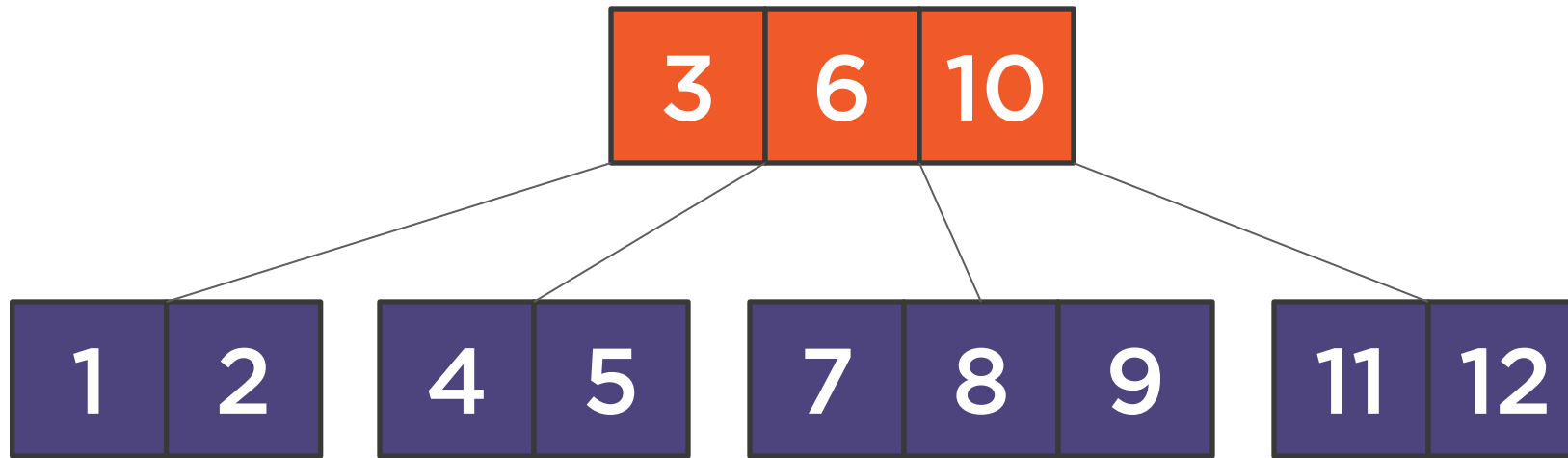
# B-tree Properties



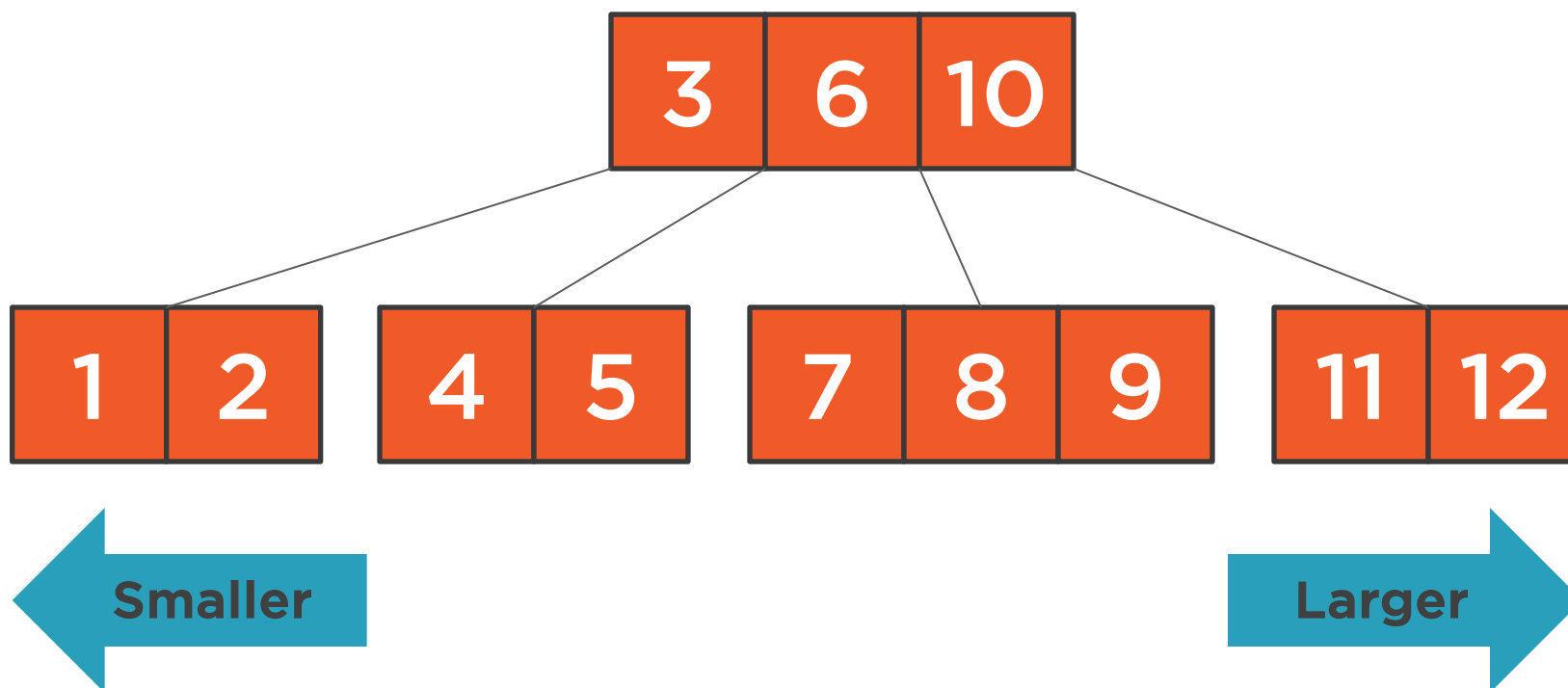
# B-tree Properties



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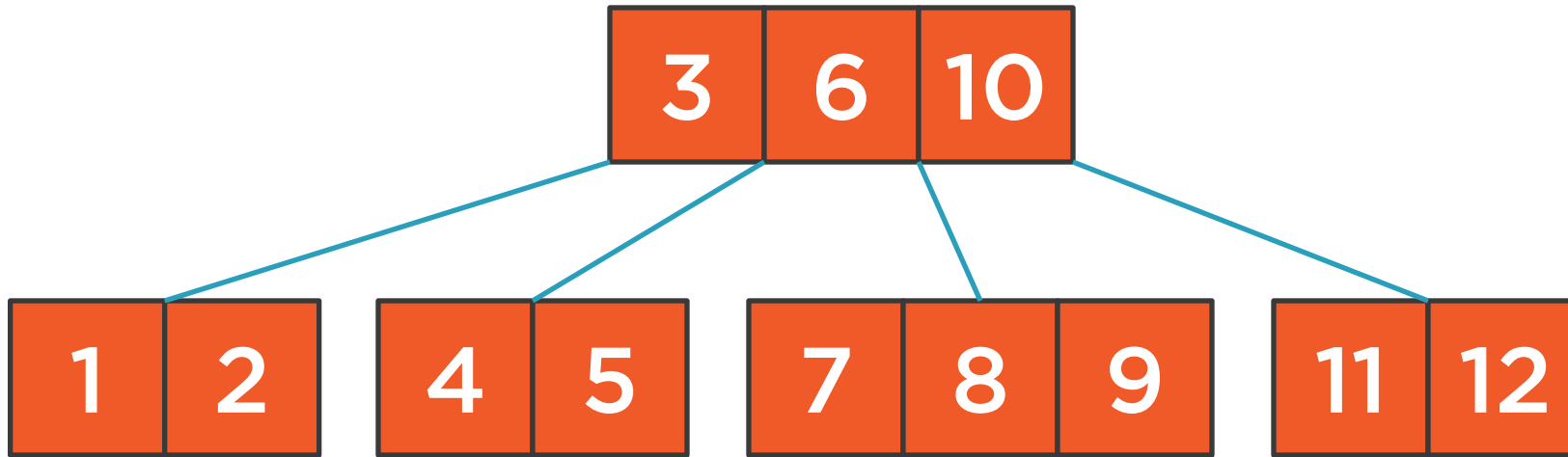


# B-tree Properties

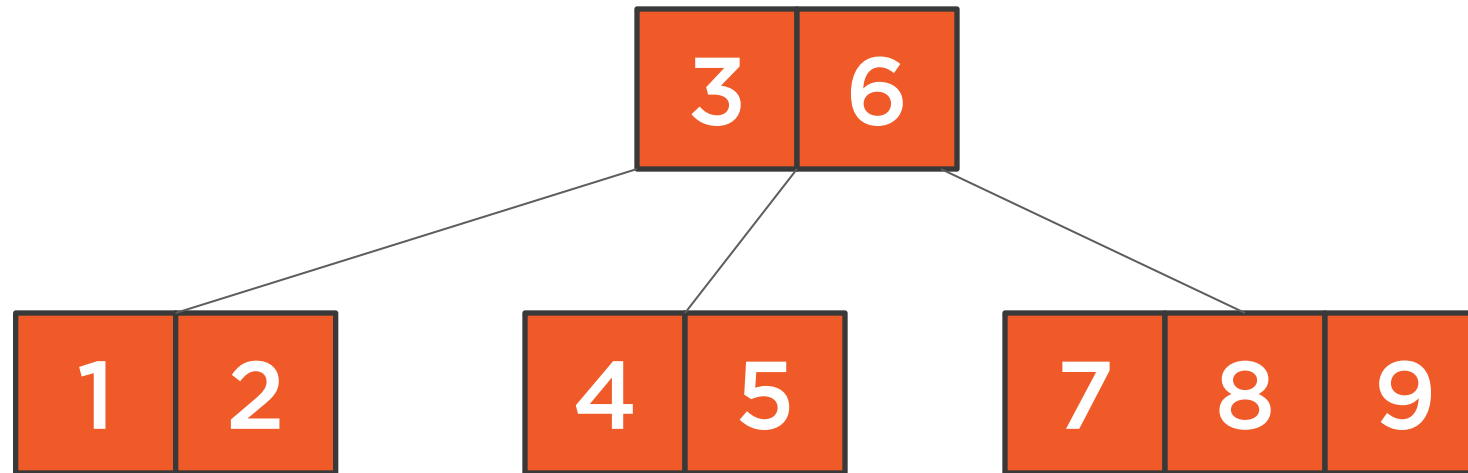




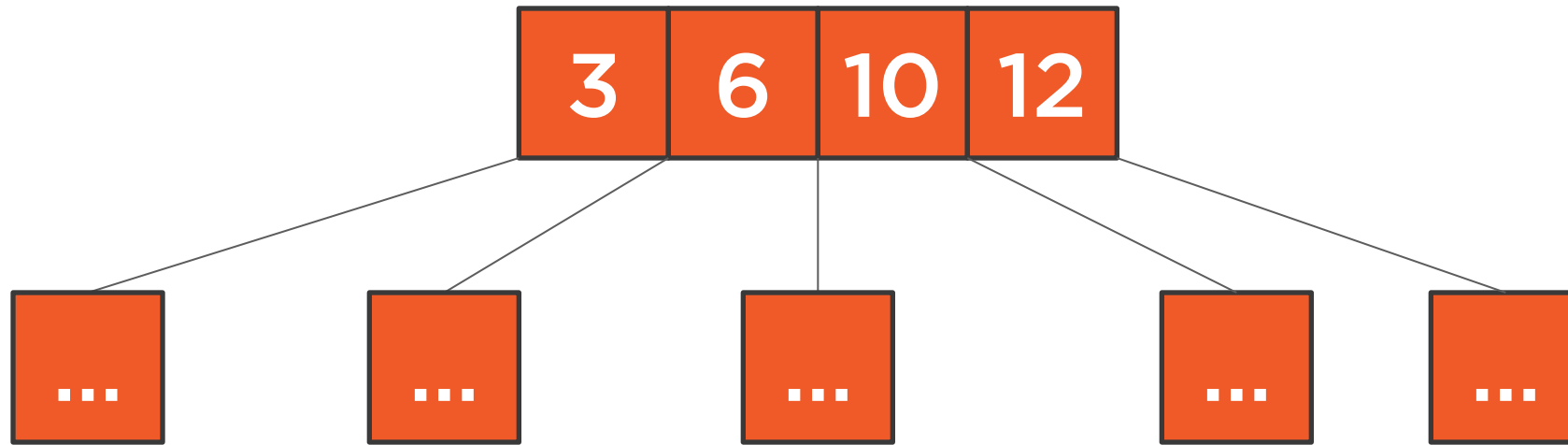
# B-tree Properties



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# B-tree Properties



Nodes will always have one  
more child than values



# Minimal Degree

The minimum number of children that every non-root node must have. Represented as the variable “T”.



# Minimal Degree

$T$

Each non-root must contain at least  $T$  (minimal degree) children

$T-1$

Every non-root node will have at least  $T-1$  values

$2T$

Each node in the B-tree will contain a maximum of  $2T$  children

$2T-1$

Every node will have at most  $2T-1$  values



# Minimal Node

A non-root node that has  $T-1$  values and  $T$  children.



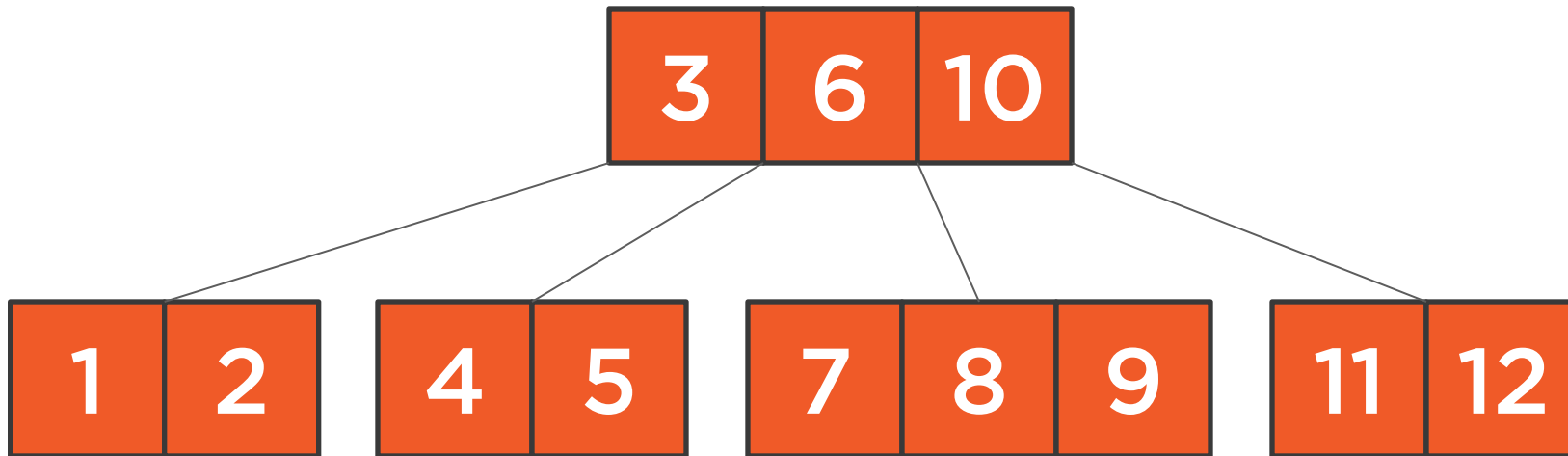
# Full Node

A node that has  $2^T - 1$  values and  $2^T$  children.

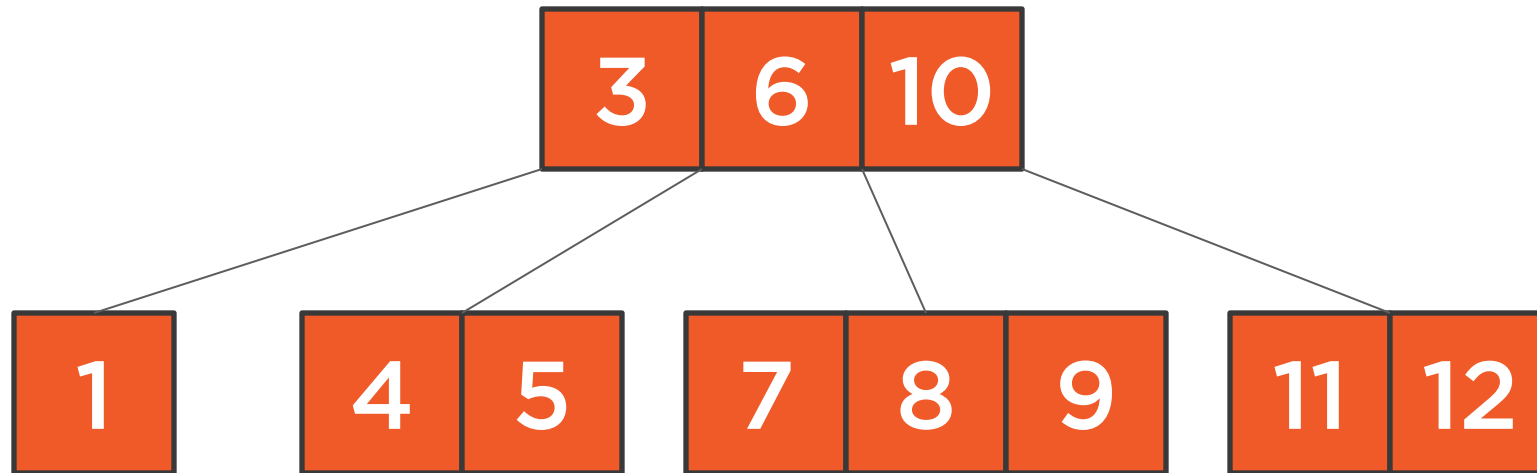




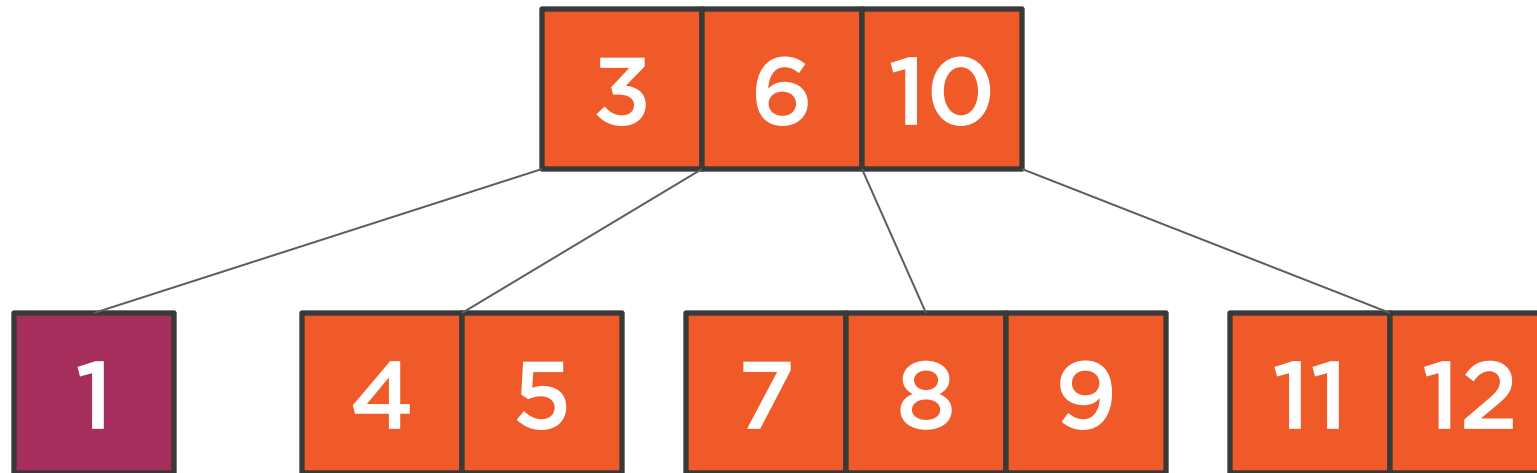
$T = 3$  (Valid)



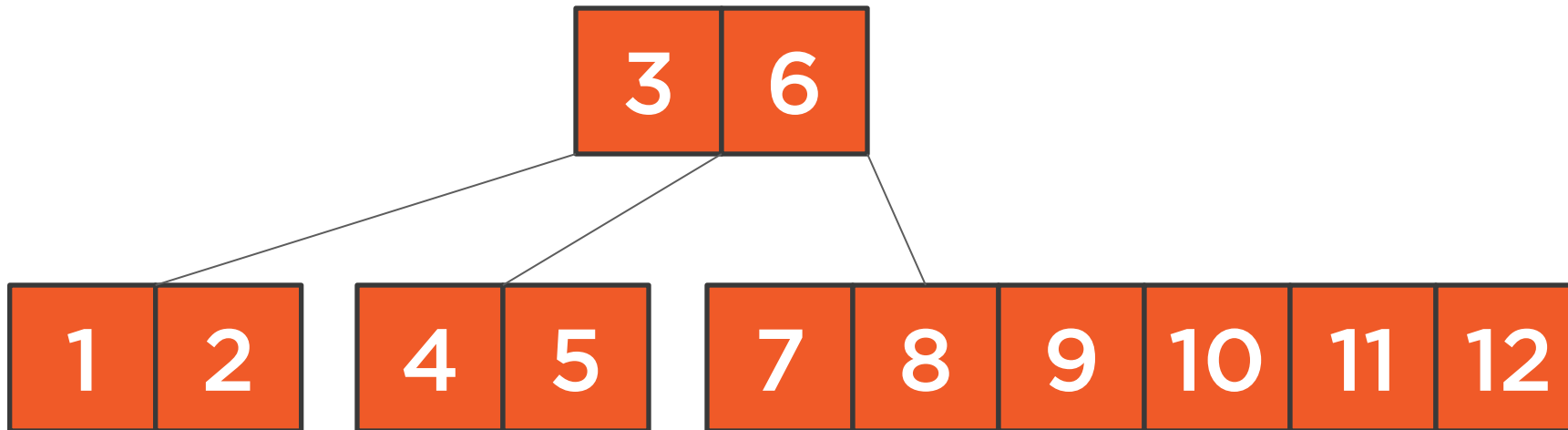
$T = 3$  (Invalid)



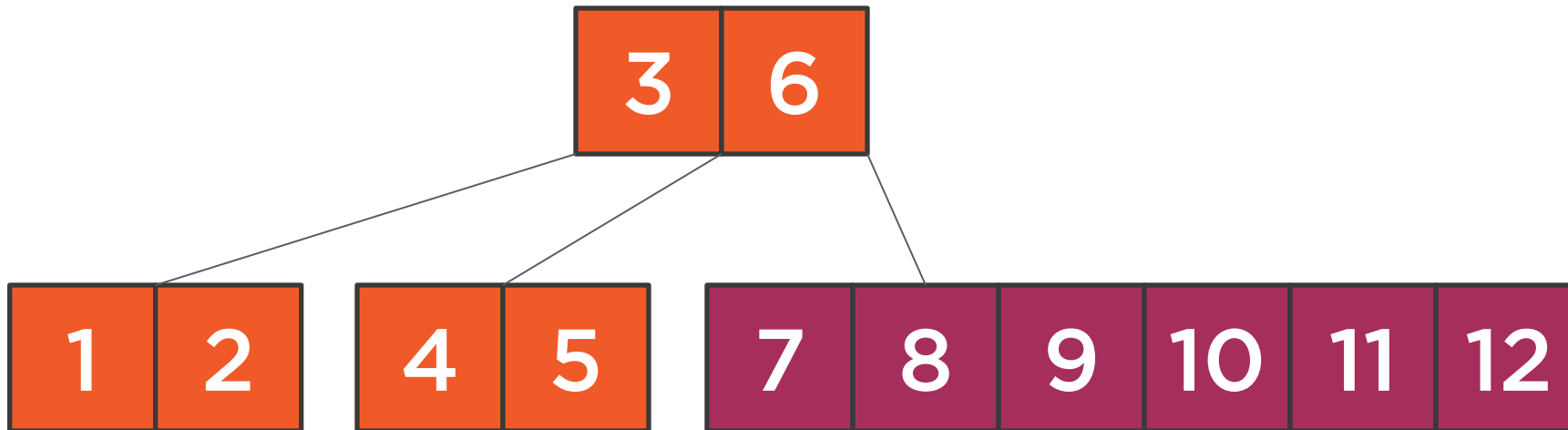
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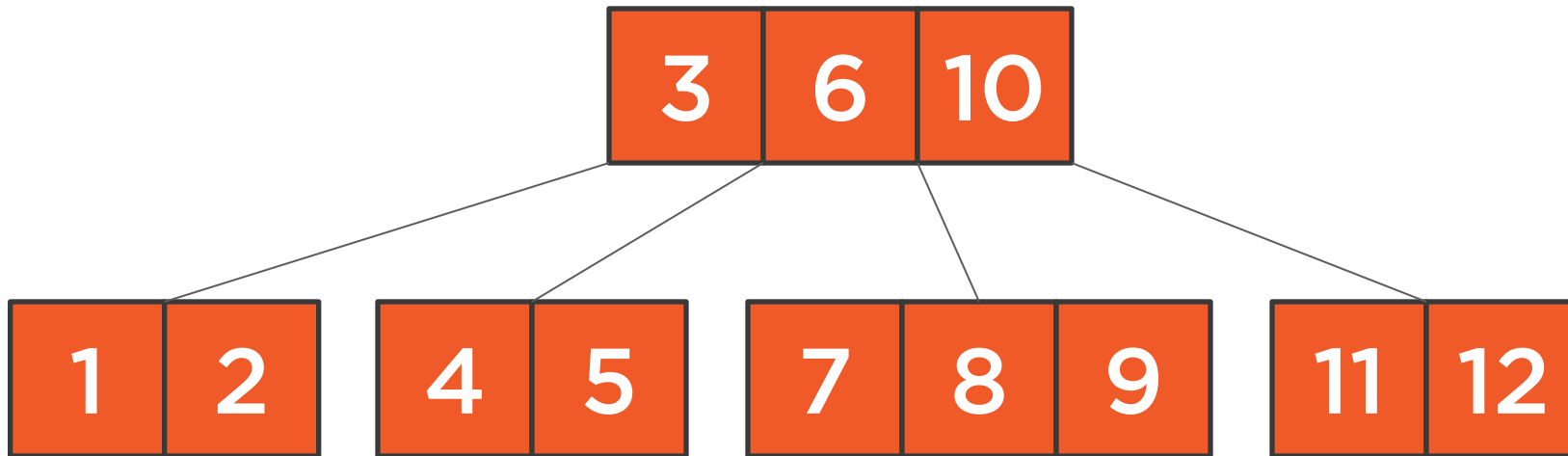


# Height

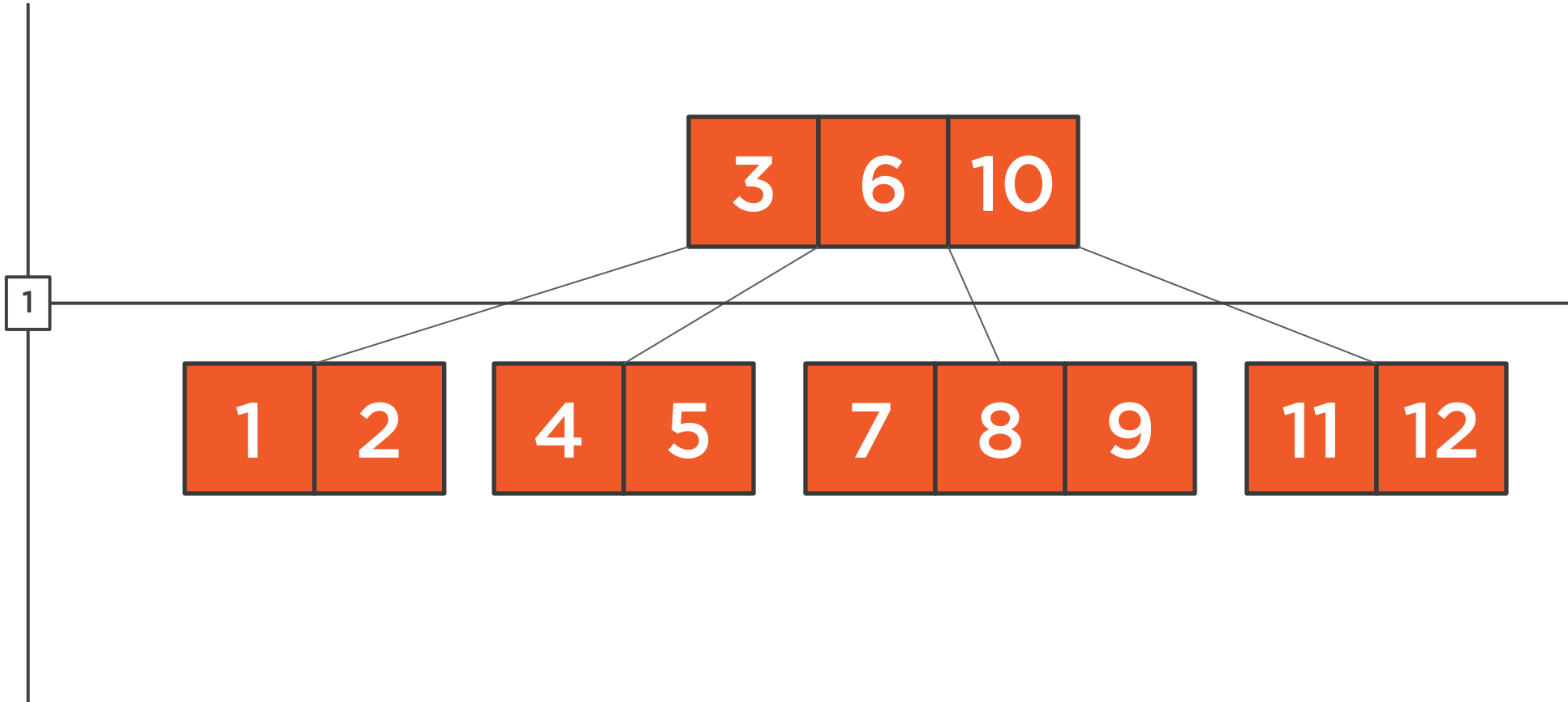
The number of edges between the root and the leaf nodes. All B-tree leaf nodes must have the same height.



# Height (Valid)

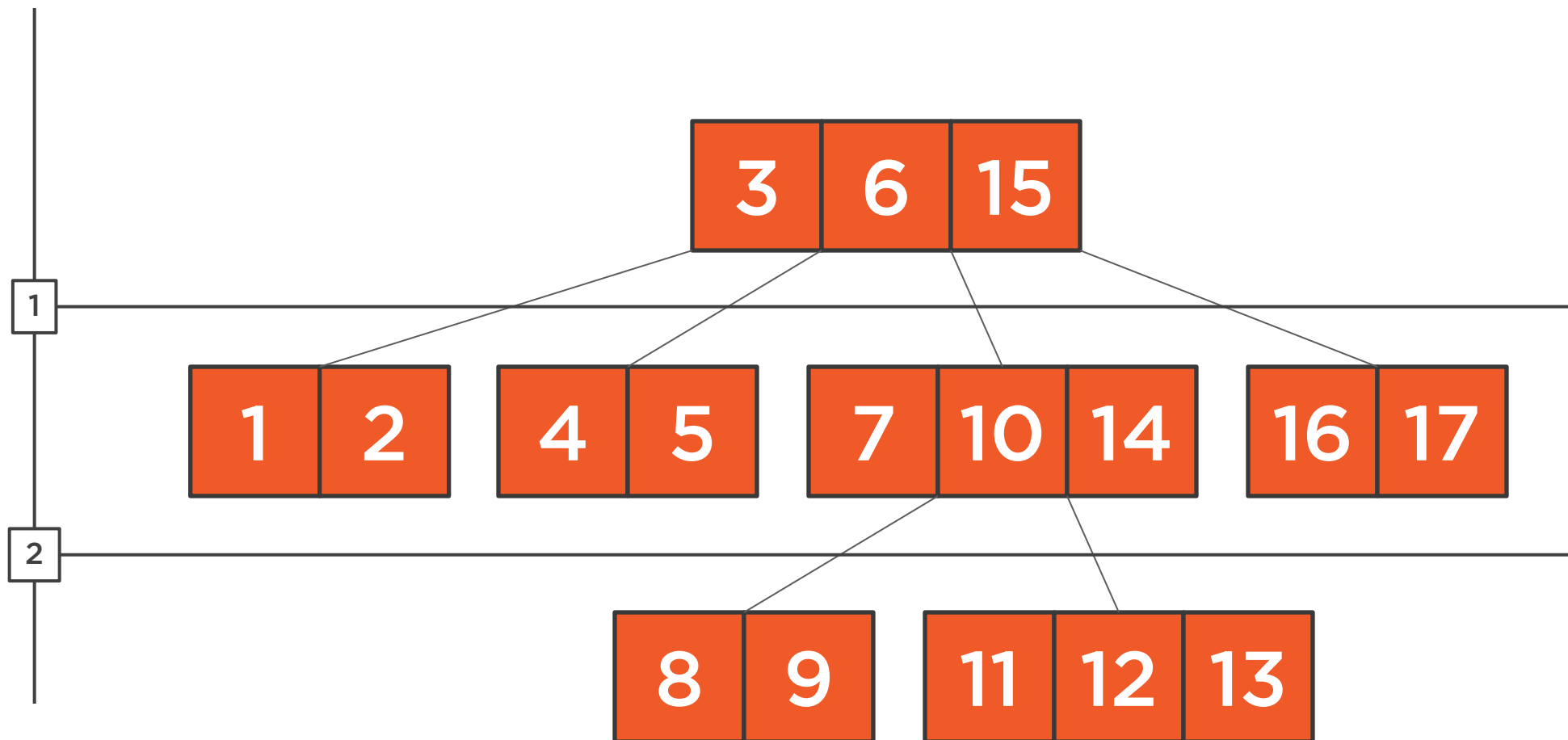


# Height (Valid)

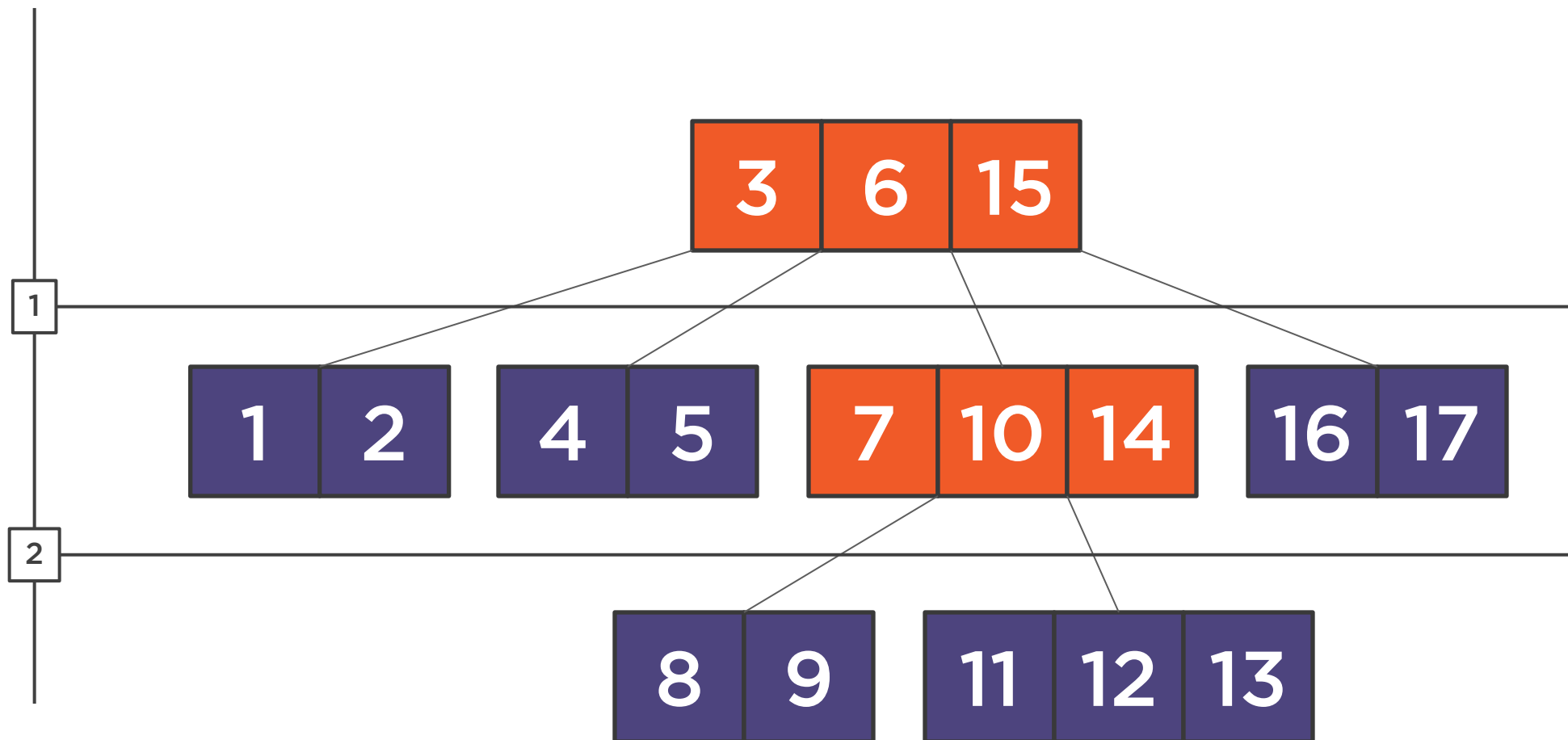




# Height (Invalid)



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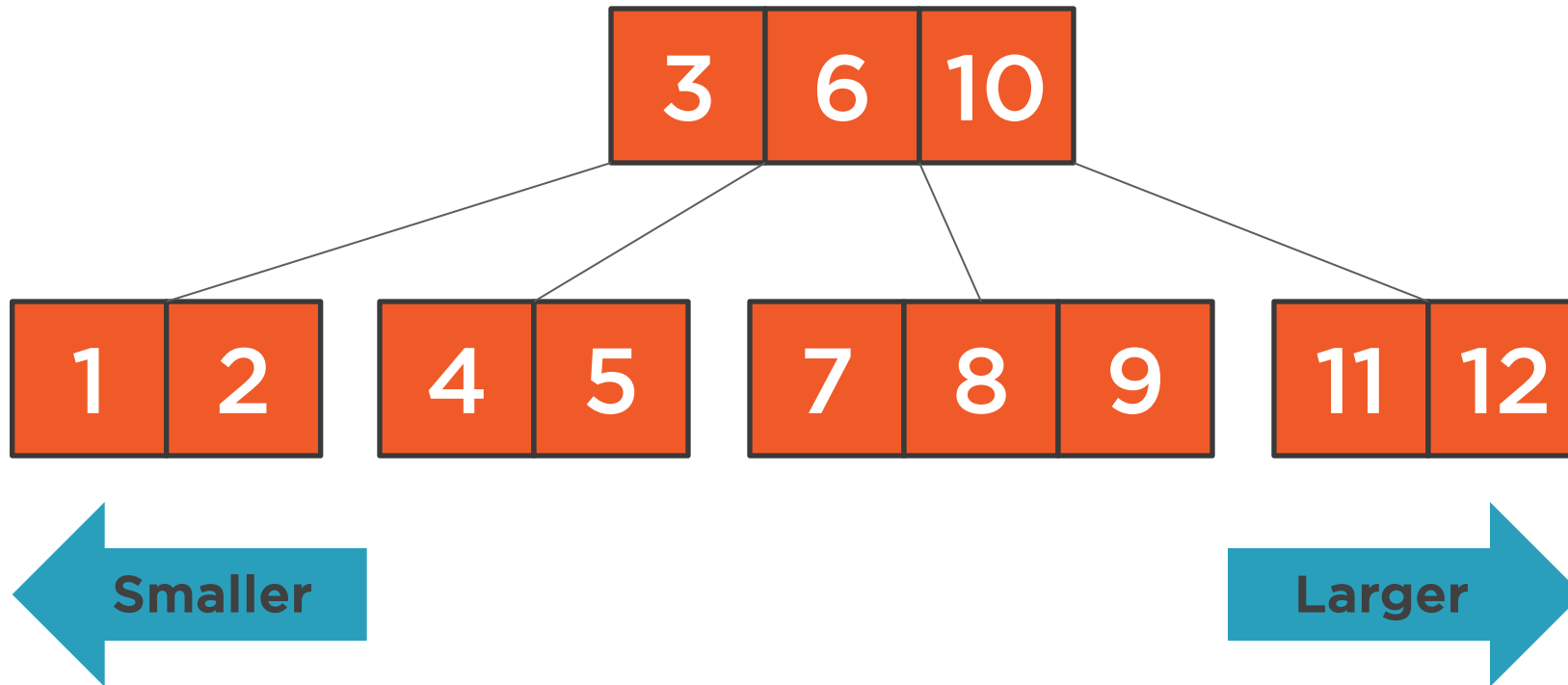


# Searching

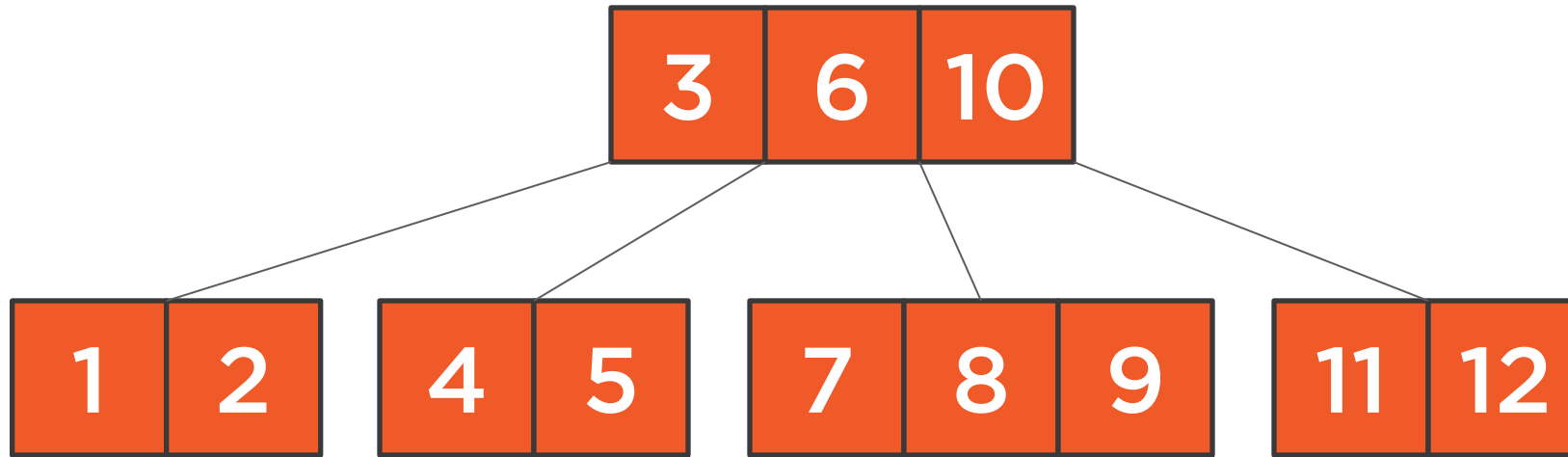
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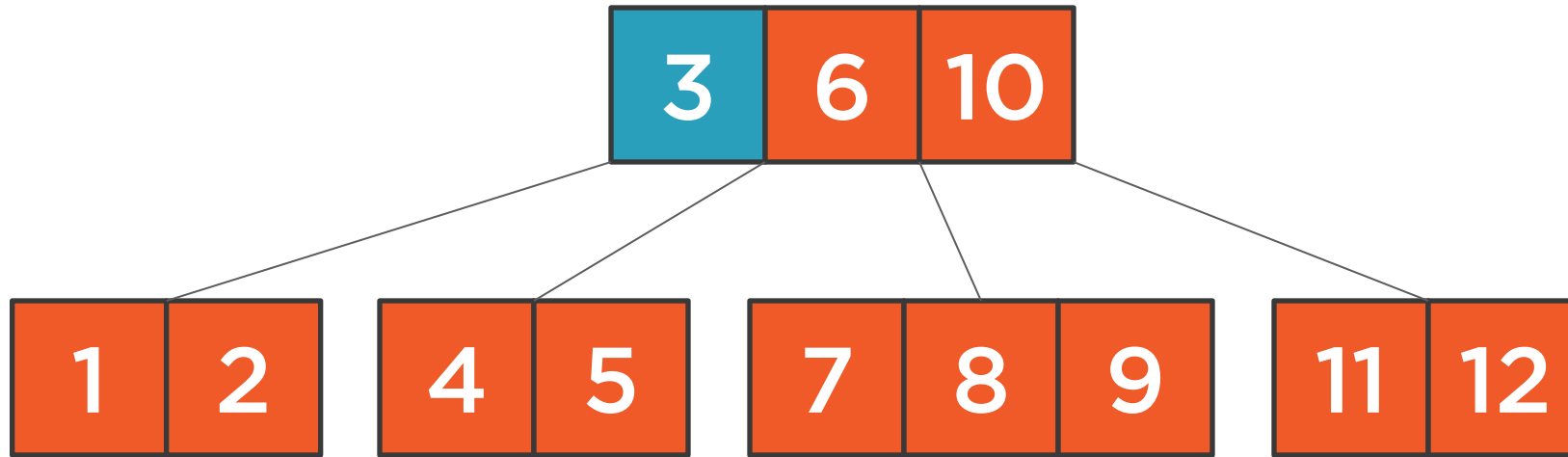
# Searching



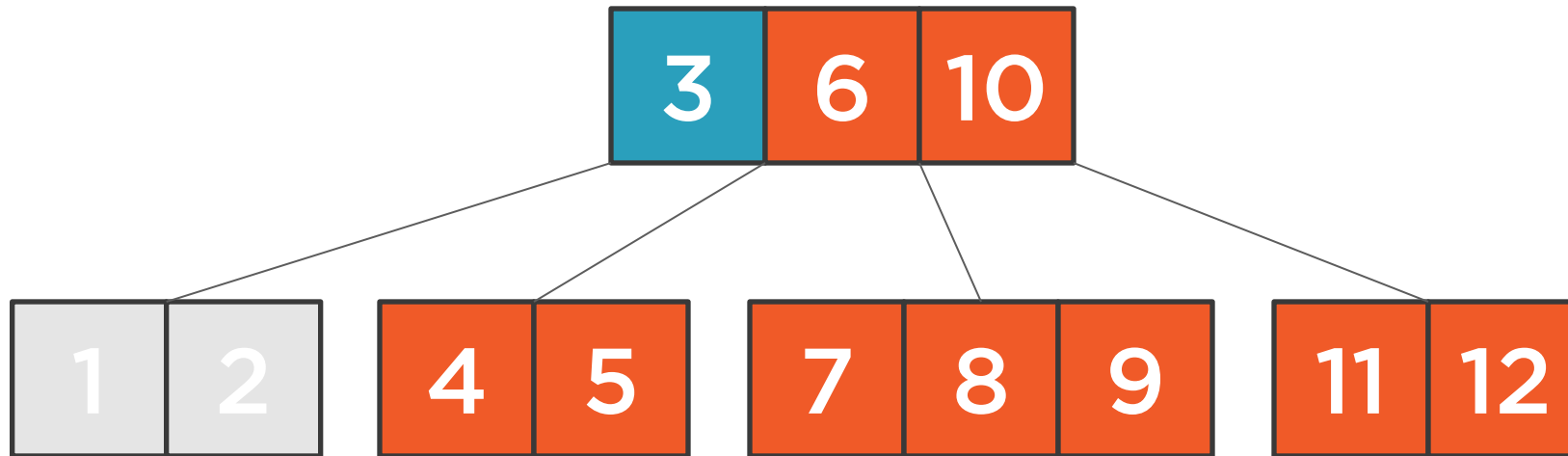
# Searching



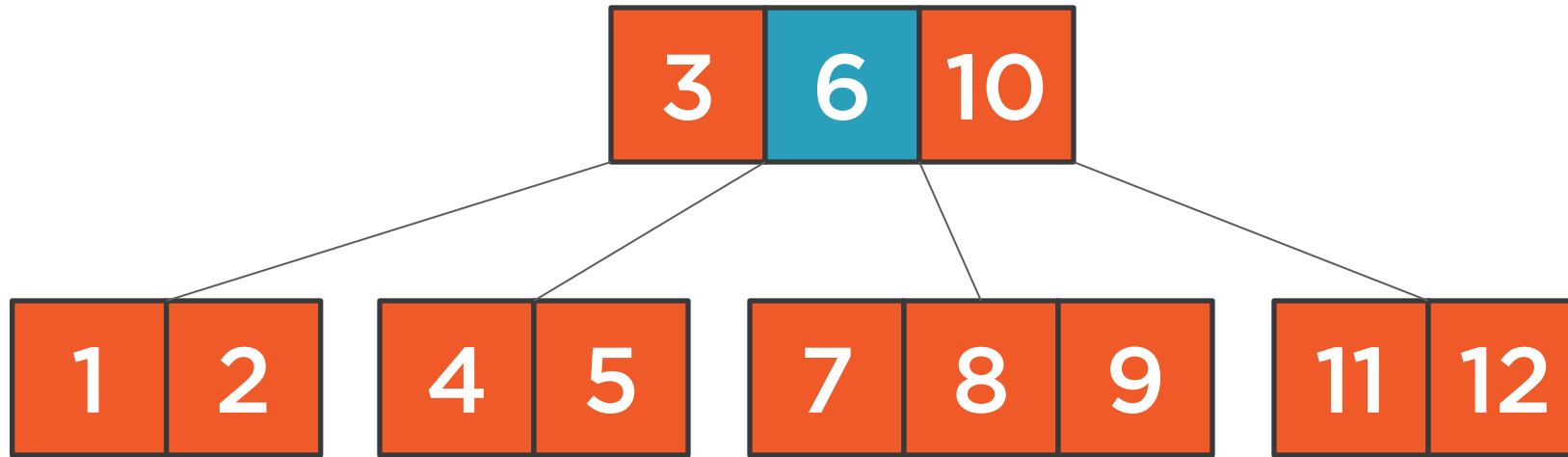
# Searching



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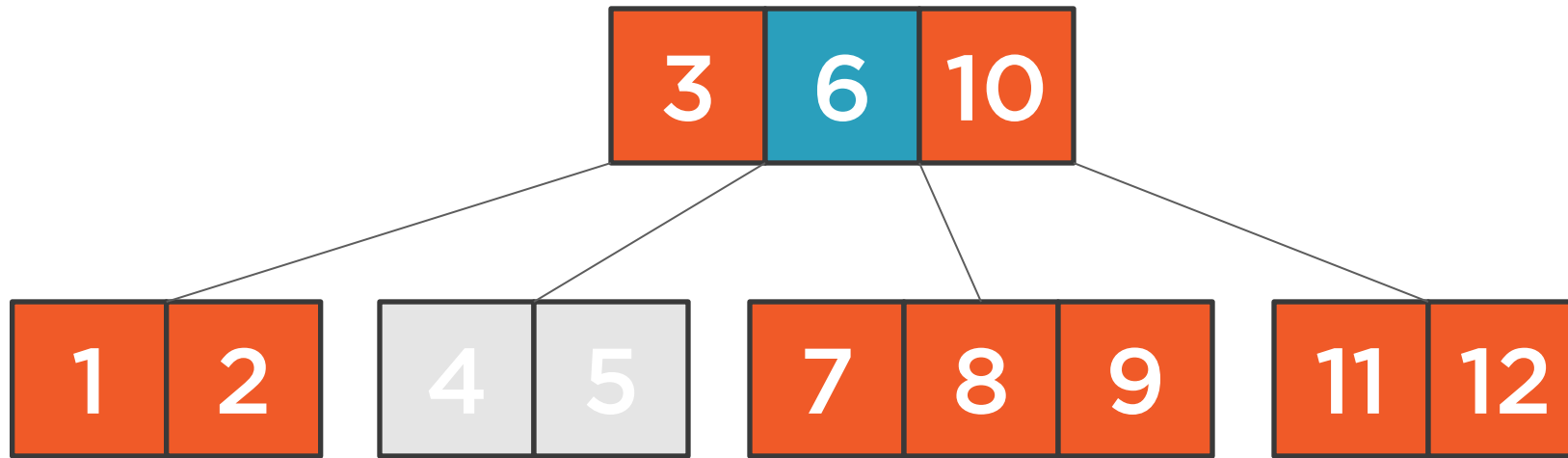


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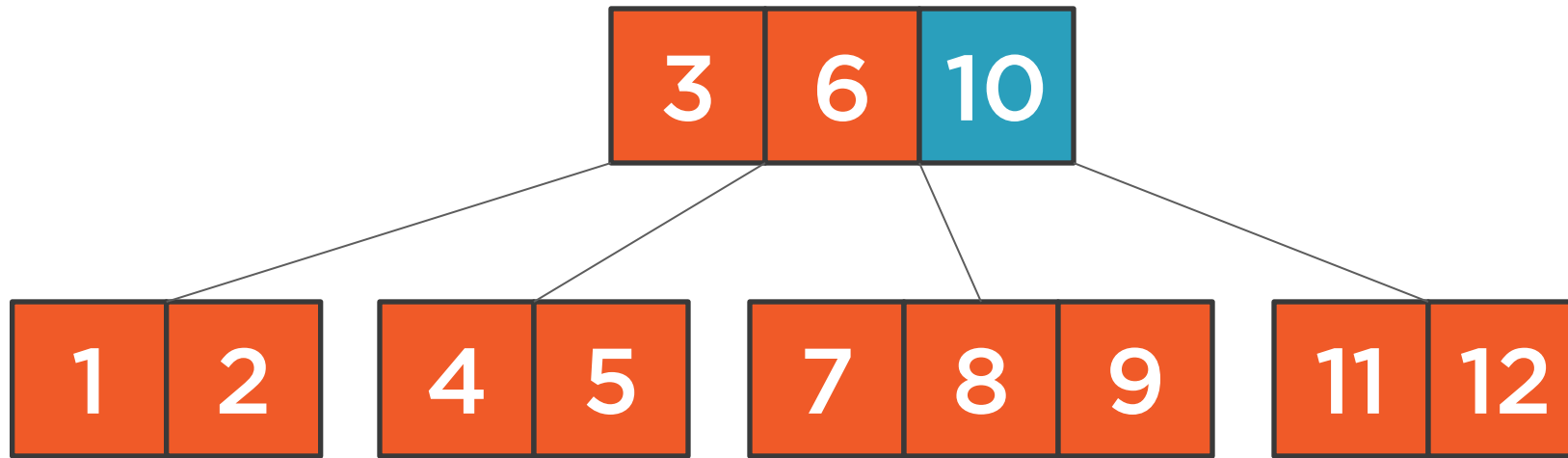




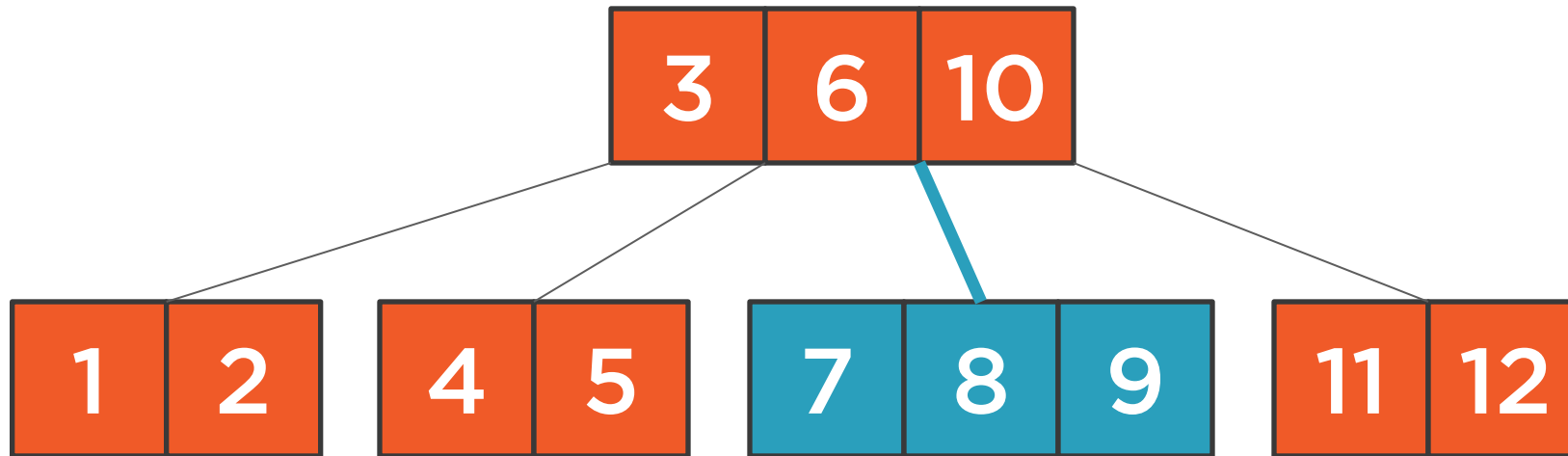
# Searching



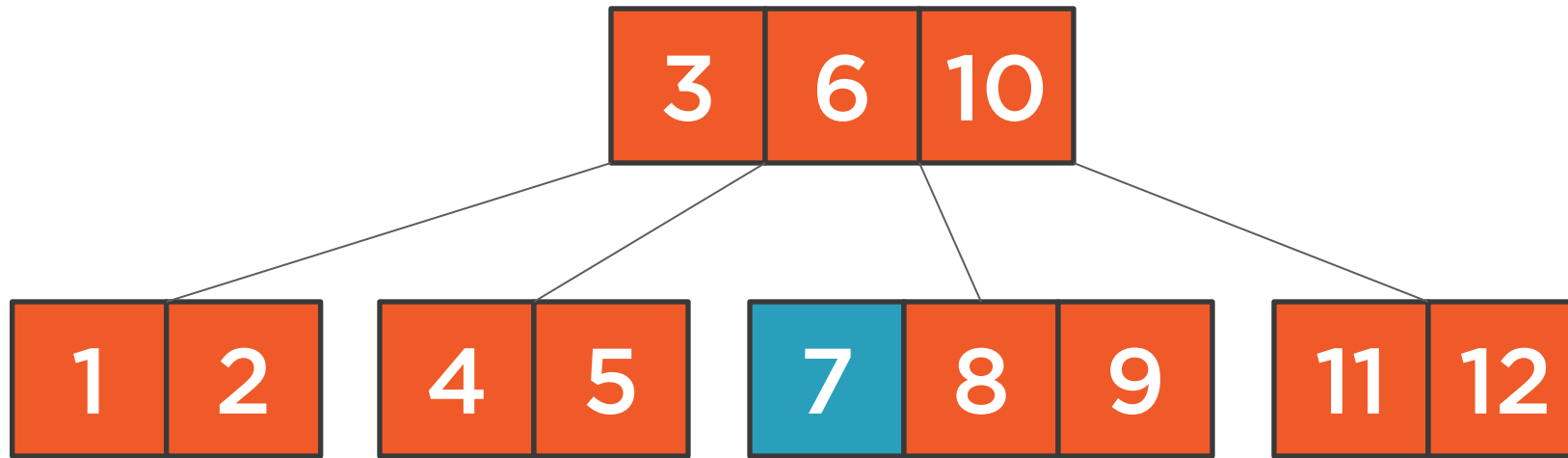
# Searching



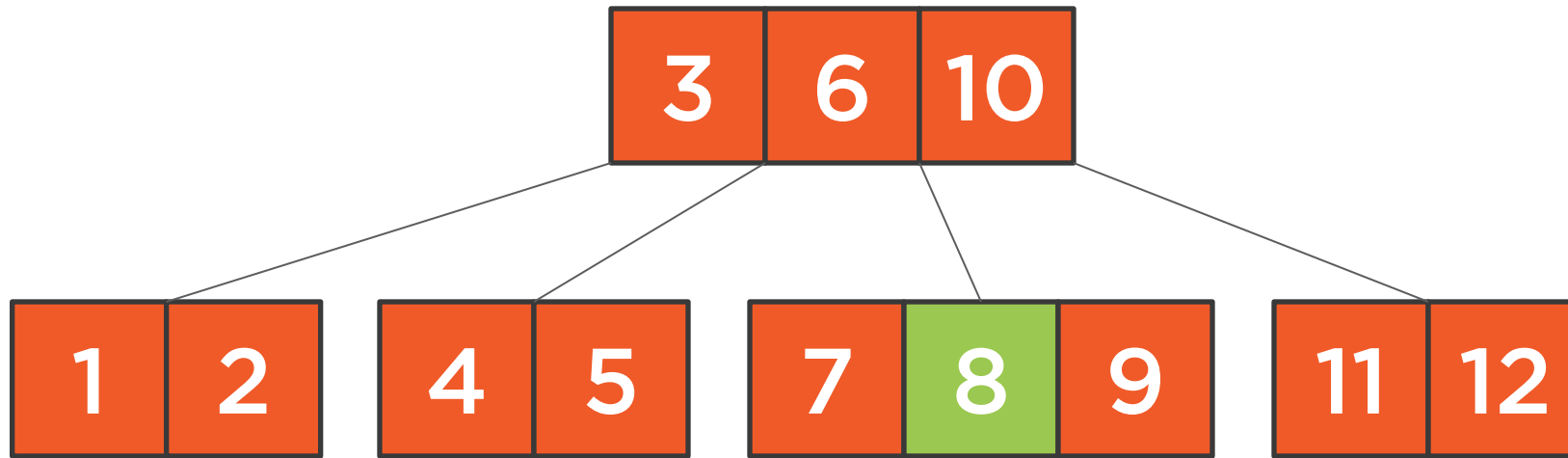
# Searching



# Searching



# Searching



```
bool search(node, valueToFind)

  foreach value in node
    if valueToFind == value
      return true

    if valueToFind < value
      return search(<left child>, valueToFind)
  end

  return search(<last child>, valueToFind)
end
```

## Searching

**Compare each value in the current node with the sought value, and then recursively check every child's value.**



Searching node values can  
be performed using a  
binary search



# Adding Values

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# Add Rules



Values can only be added to leaf nodes



Full nodes are split before the algorithm enters them (ensuring leaf nodes are never full before adding an item)



```
add(T value) {  
    if Empty  
        Root = new BTreeNode(value)  
    else {  
        if Root.Full  
            SplitRootNode(Root)  
        InsertNonFull(Root, value)  
    }  
  
    Count++  
}
```

## Adding Data to the B-tree (Root Node)

**If the root node is full then split the root node. Add the value to the non-full root node.**



```
InsertNonFull(BTreeNode node, T value) {  
    if node.Leaf  
        AddValueToLeaf (node, value)  
    else {  
        BTreeNode child = node.ChildForValue(value)  
  
        if (child.Full)  
            SplitChildNode(node)  
  
        InsertNonFull(child, value)  
    }  
}
```

## Adding Data to the Tree (Non-full Node)

**If the non-full node is a leaf node then add the value, otherwise find the appropriate child, splitting it if full, and then insert the node into the non-full child node.**



# Adding Values



# Adding Values

3



# Adding Values



# Adding Values

3	4	5
---	---	---



# Adding Values

1	3	4	5
---	---	---	---





# Adding Values

1	2	3	4	5
---	---	---	---	---



# Adding Values



# Adding Values



# Adding Values

1	2	3	4	5
---	---	---	---	---



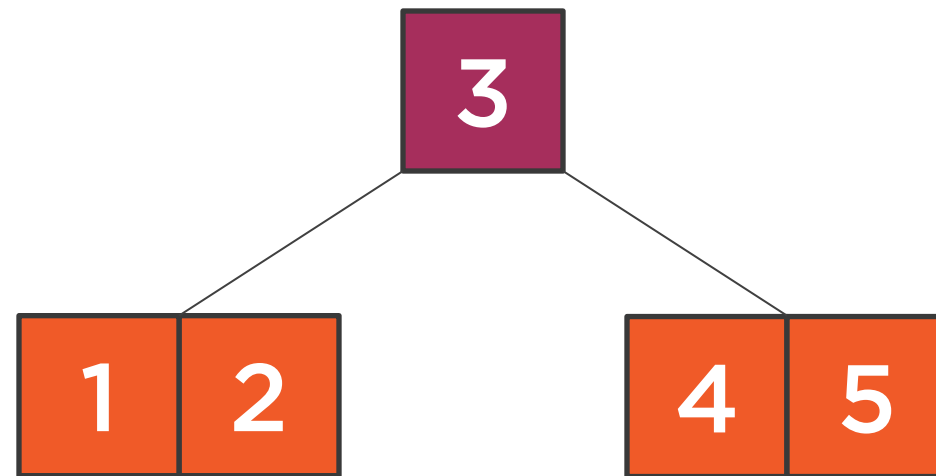
# Adding Values



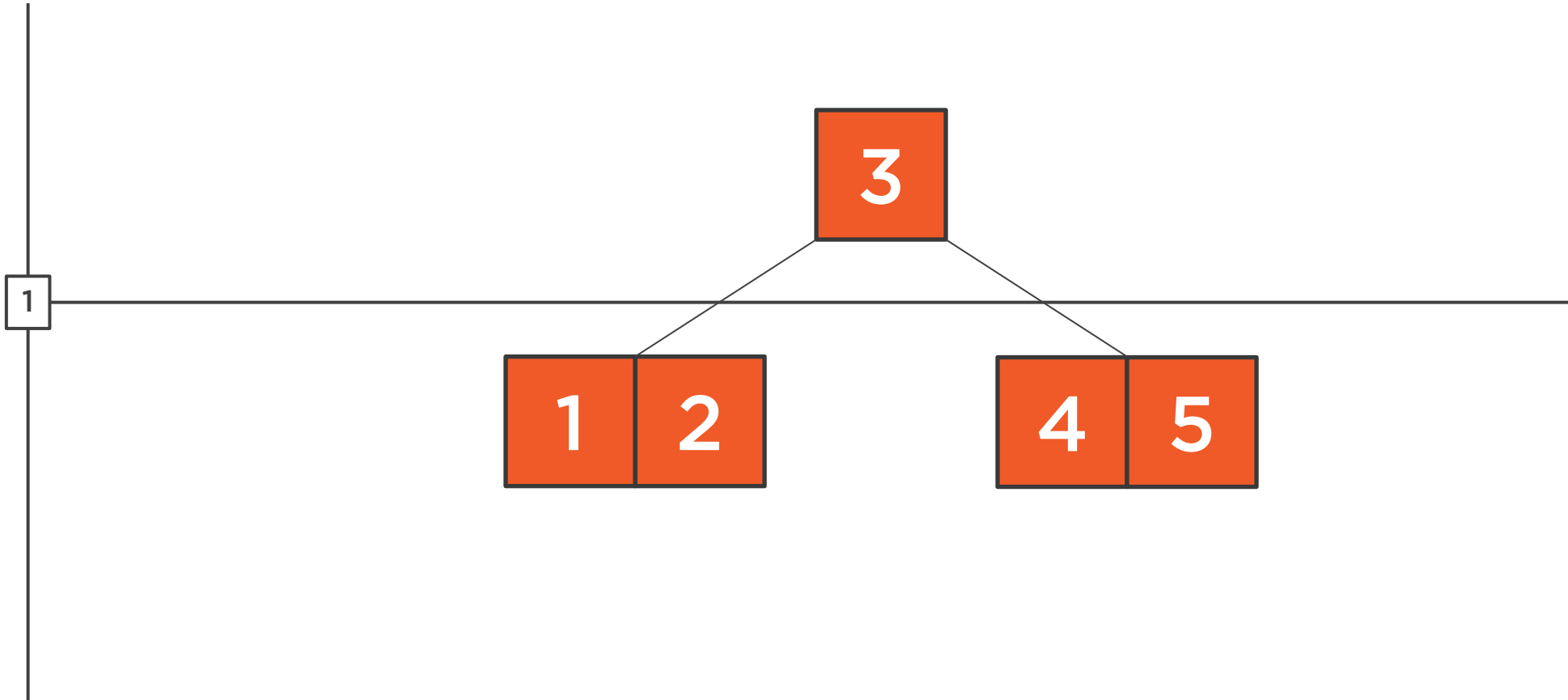
# Adding Values



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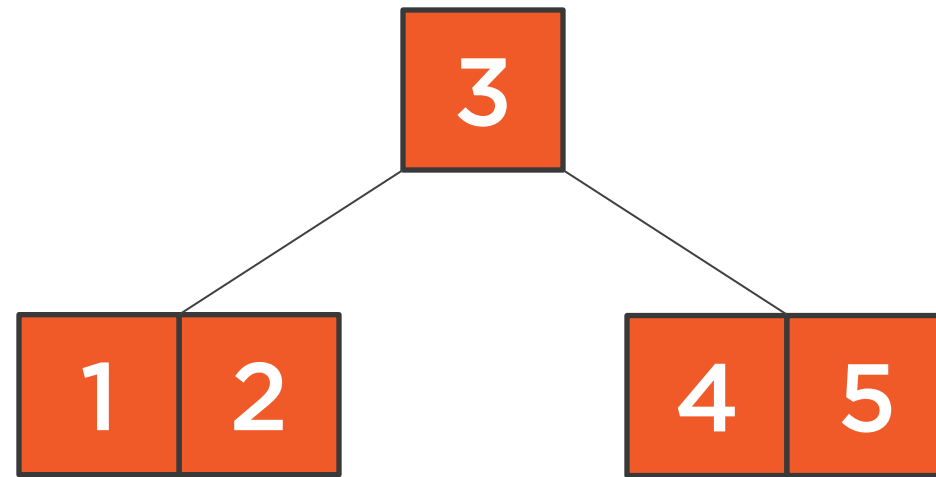




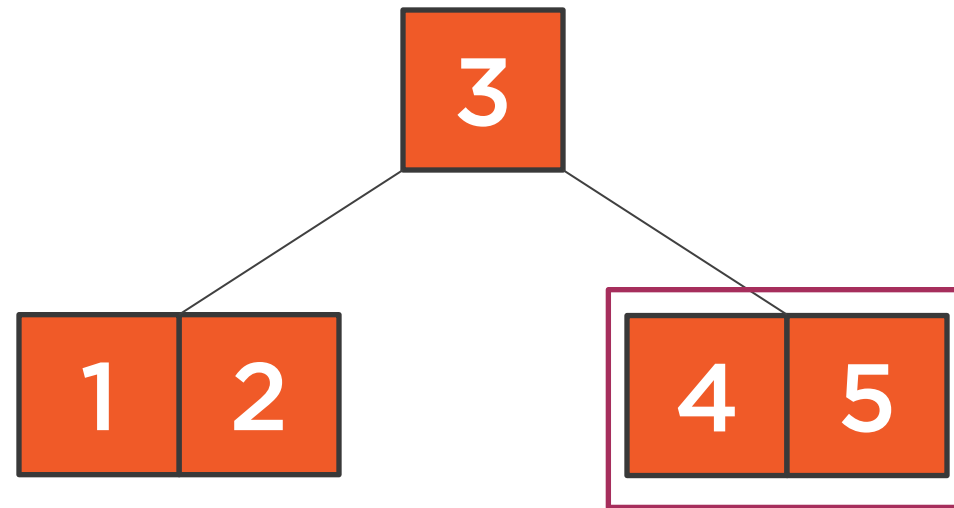
Splitting the root is the only way that the B-tree height increases



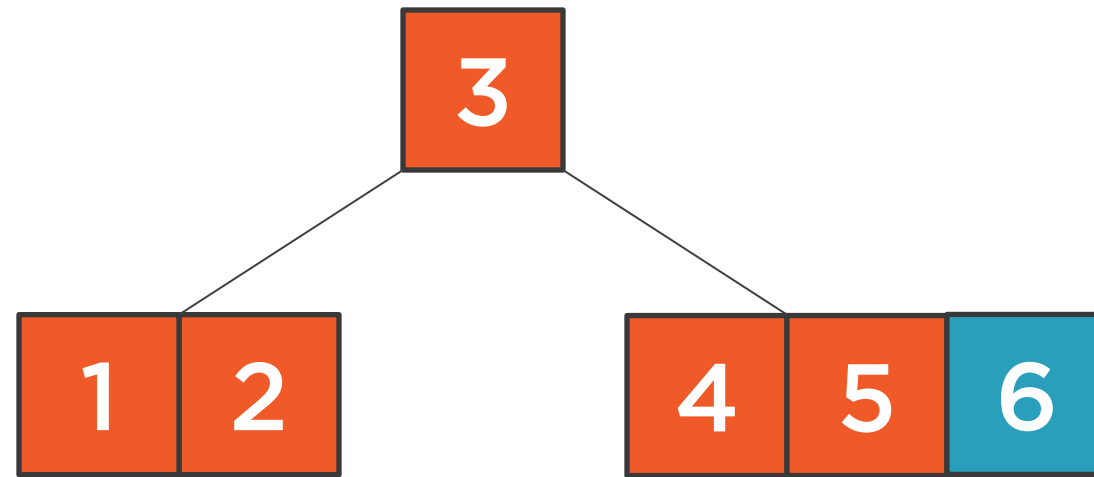
# Adding Values



# Adding Values



# Adding a Value to a Leaf Node ( $T=3$ )

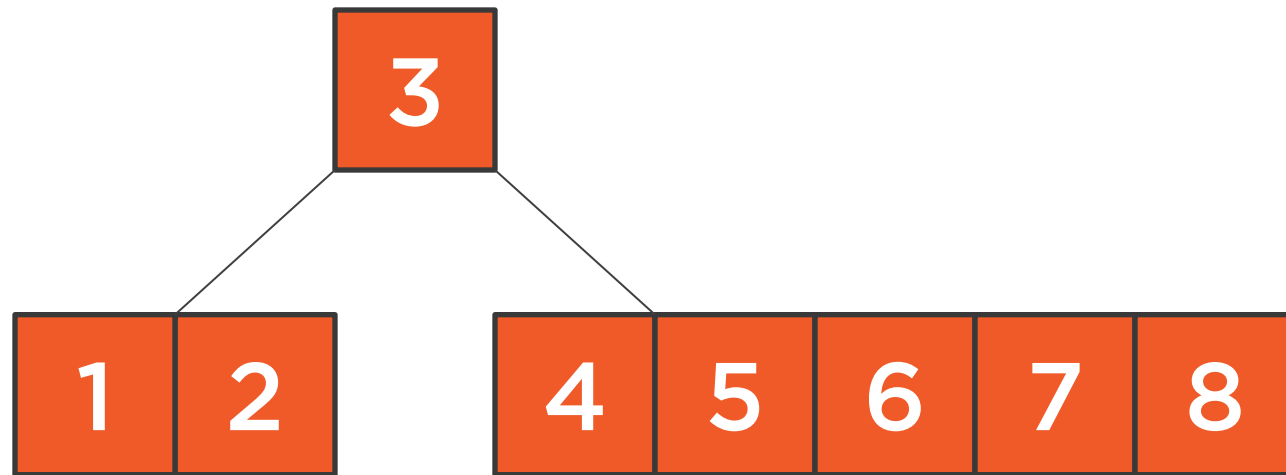


# Root Node Splitting

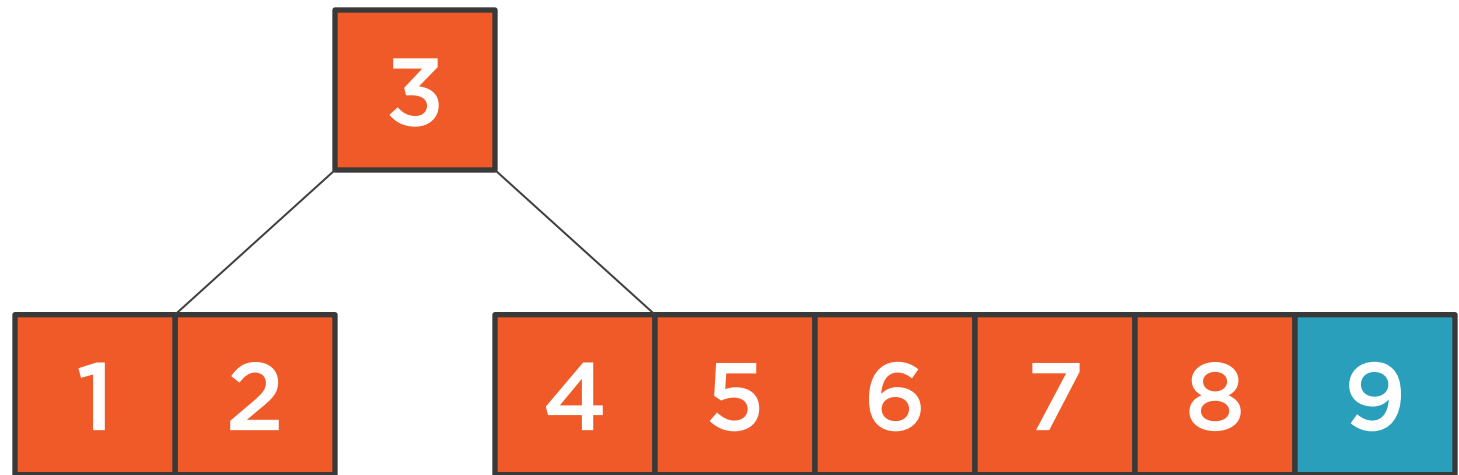
Splitting two child nodes out of the root node to prevent the root node from exceeding the maximum number of values allowed by the B-tree degree.



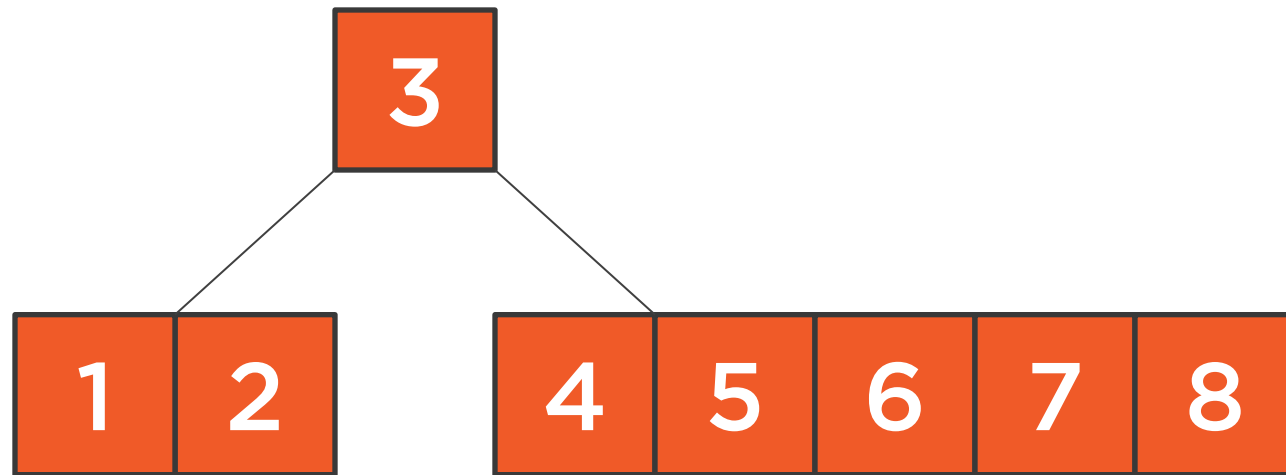
# Adding a Value to a Full Leaf (T=3)



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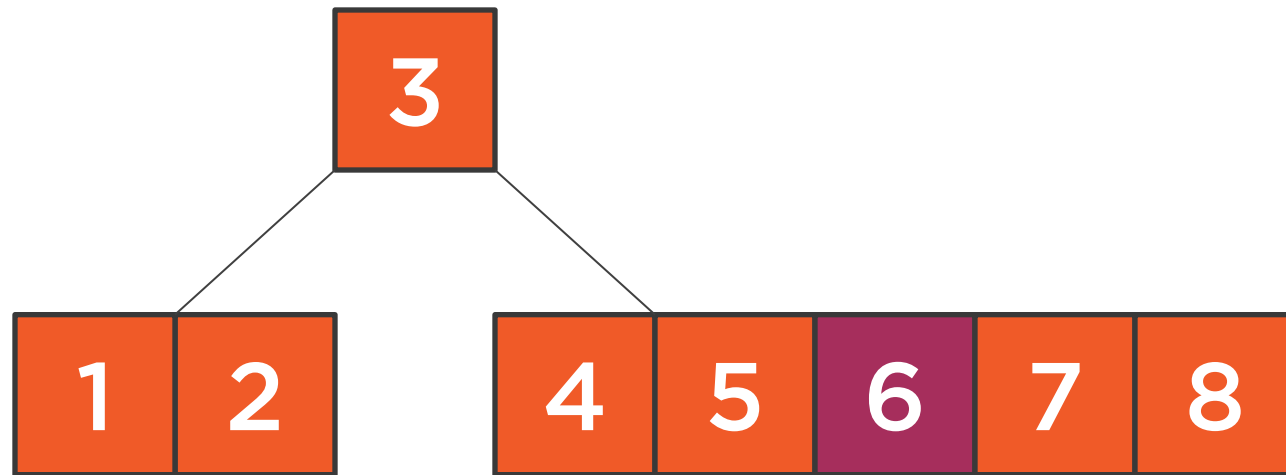


# Adding a Value to a Full Leaf (T=3)

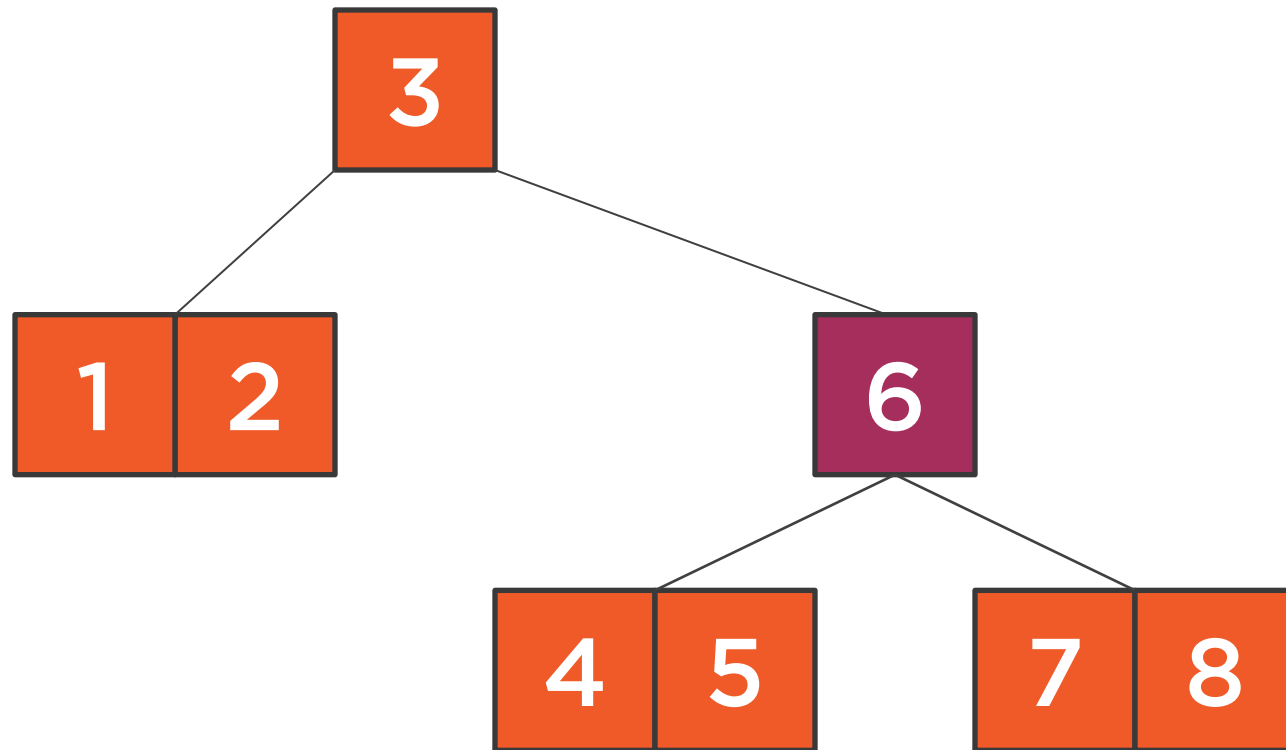




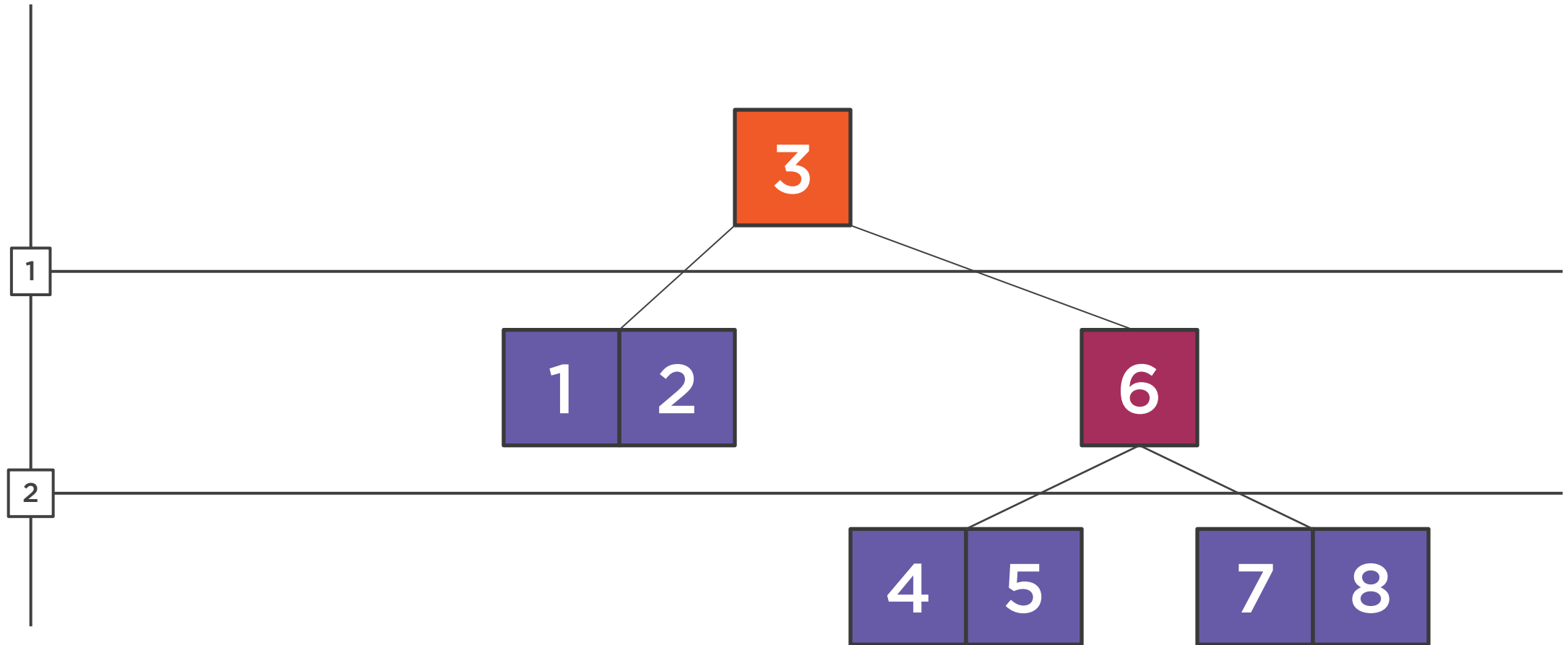
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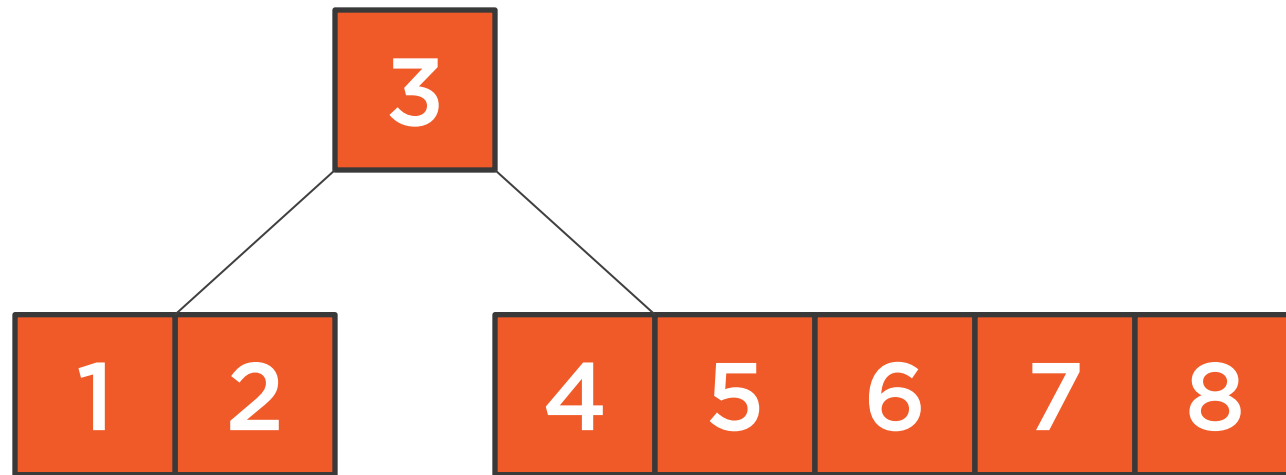
# Adding a Value to a Full Leaf (T=3)



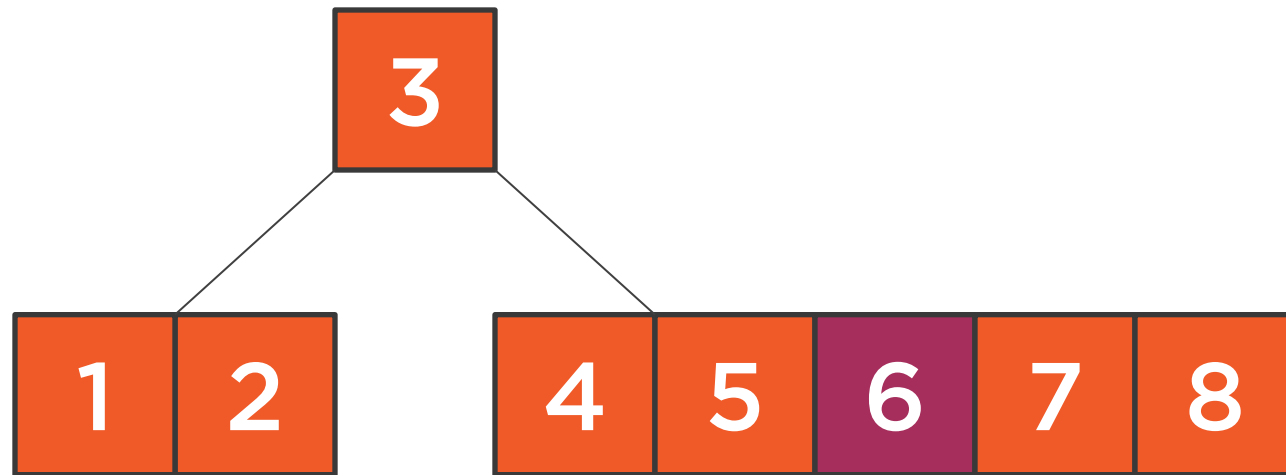
# Adding a Value to a Full Leaf (T=3)



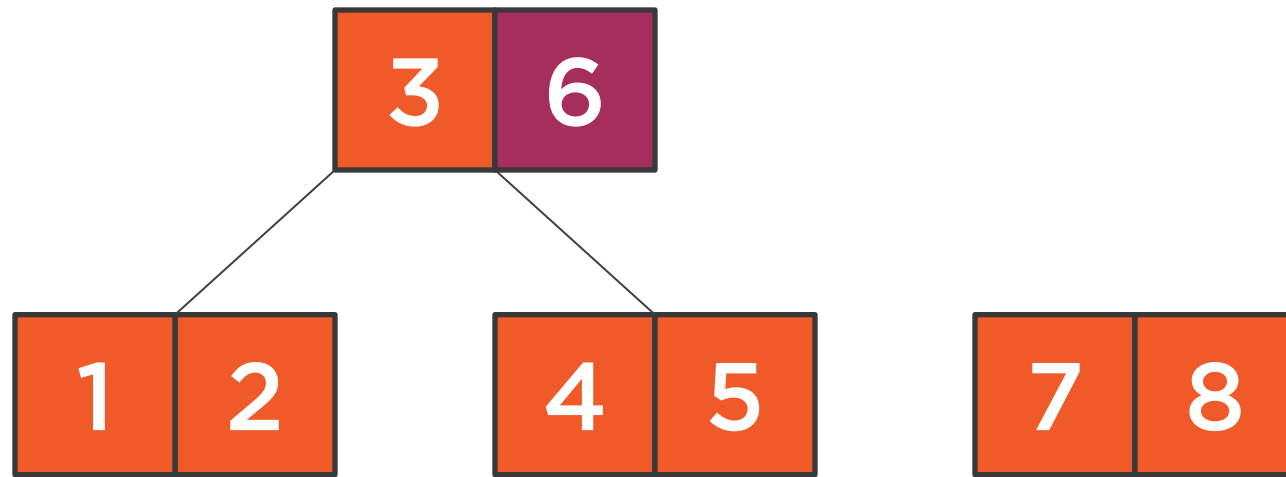
# Adding a Value to a Full Leaf (T=3)



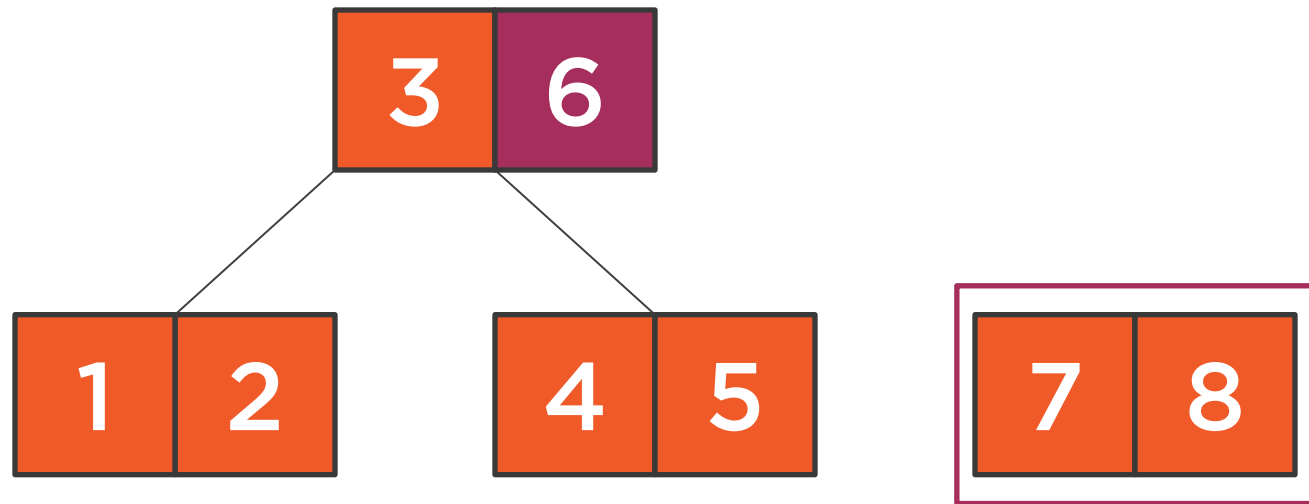
# Adding a Value to a Full Leaf (T=3)



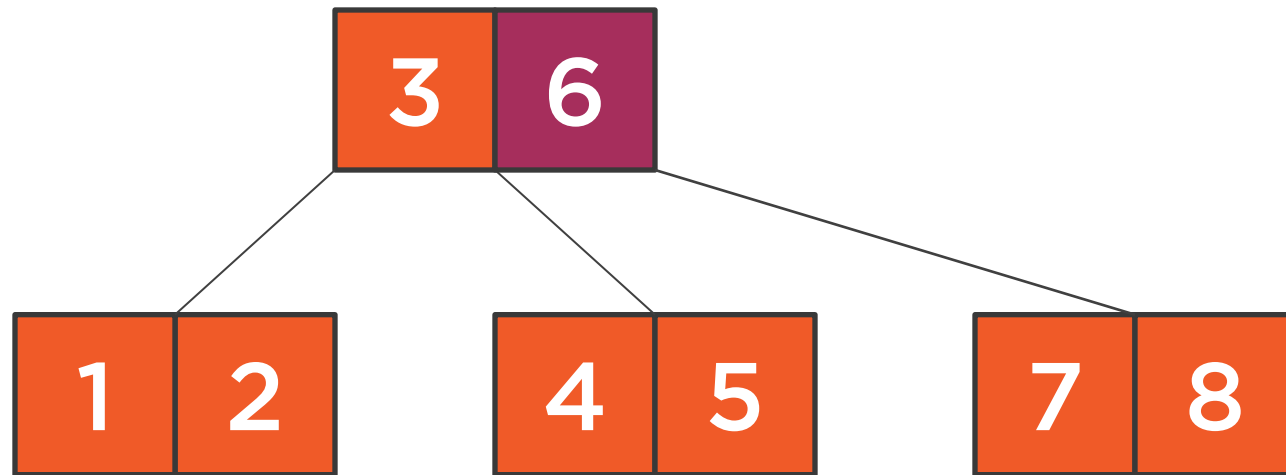
## Adding a Value to a Full Leaf (T=3)



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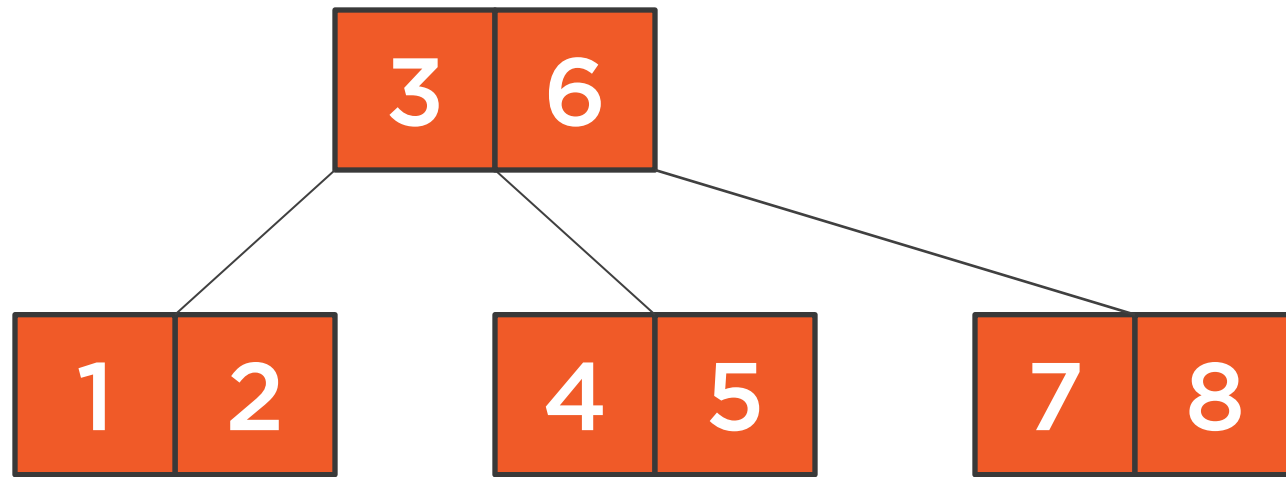


# Adding a Value to a Full Leaf (T=3)

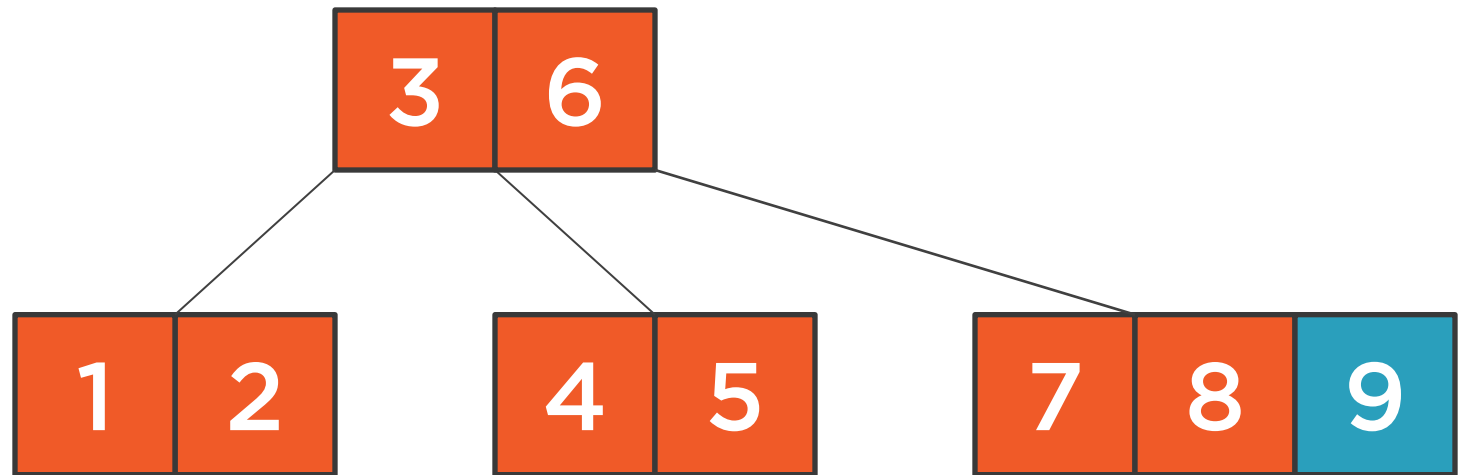




## Adding a Value to a Full Leaf (T=3)



# Adding a Value to a Full Leaf (T=3)



# Node Splitting

Splitting a child value in half by pulling the middle value up to the parent.



# Removing Values

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# Remove Rules



Values can only be removed from leaf nodes



Ensure that all nodes visited during the remove process have T values before entering them



# Balancing Operations



Splitting Nodes



Pushing Down



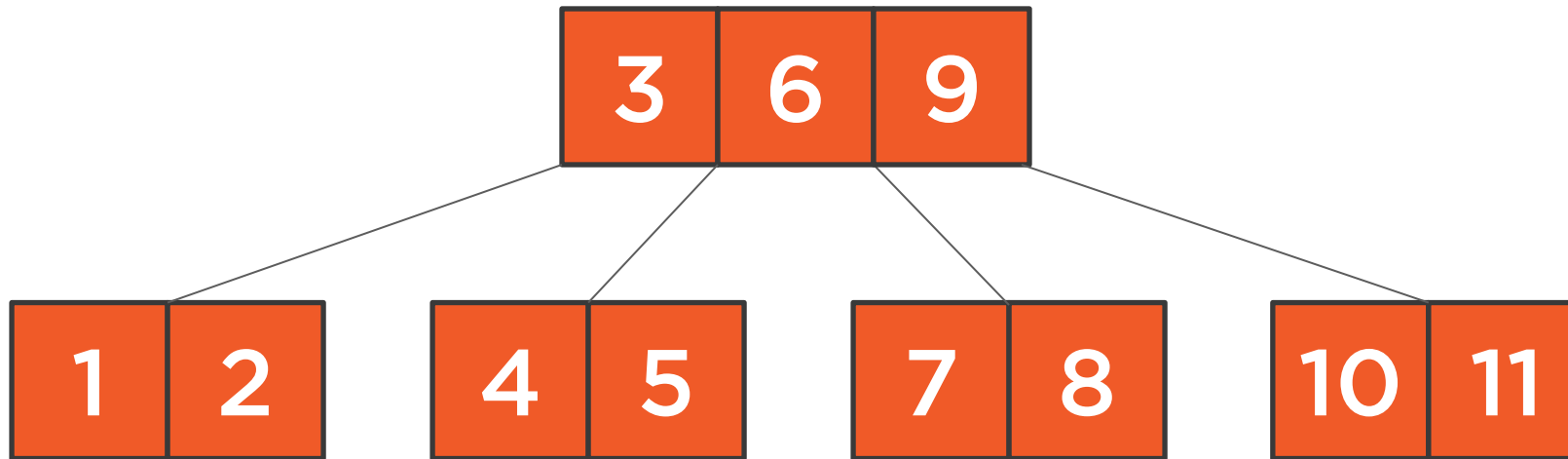
Rotation

# Pushing Down

Merging two child nodes by pushing a parent value between them.

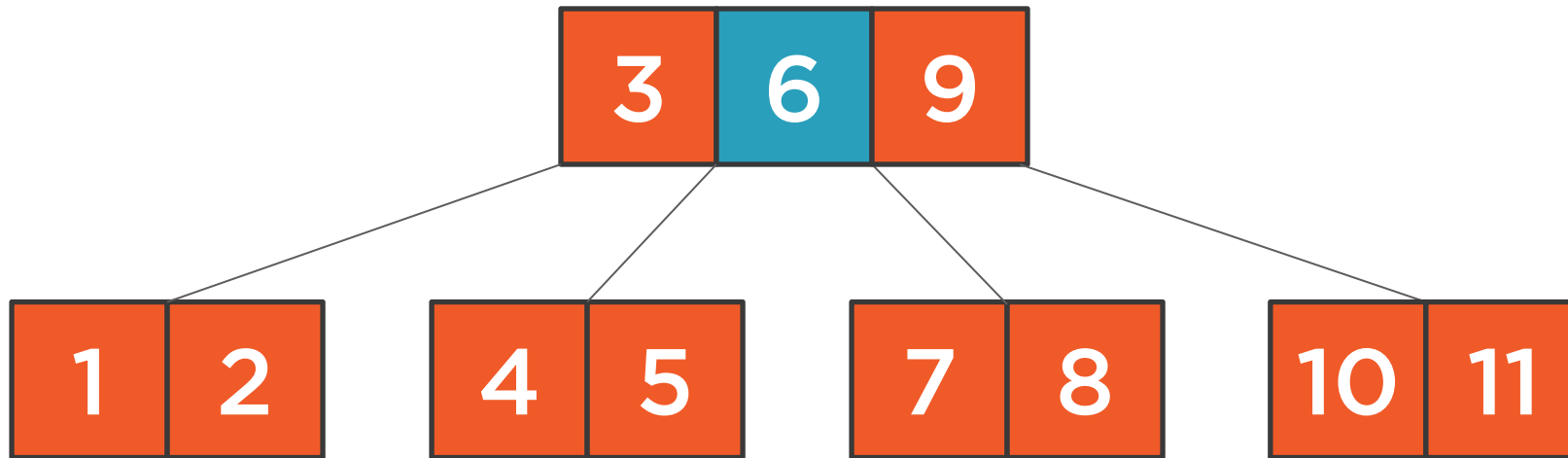


# Pushing Down

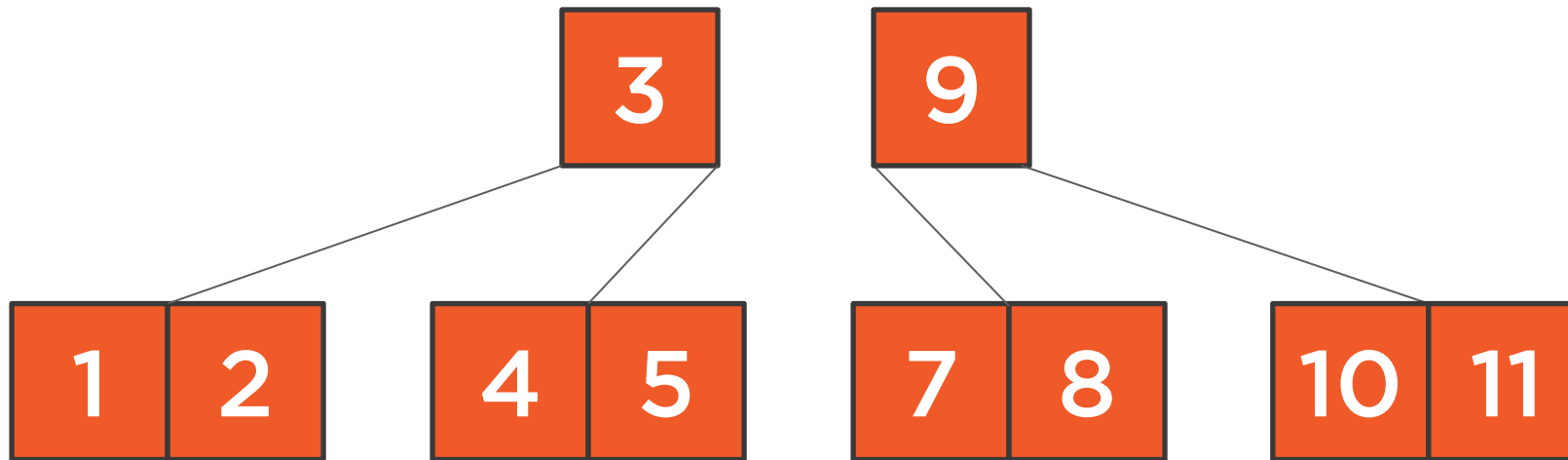




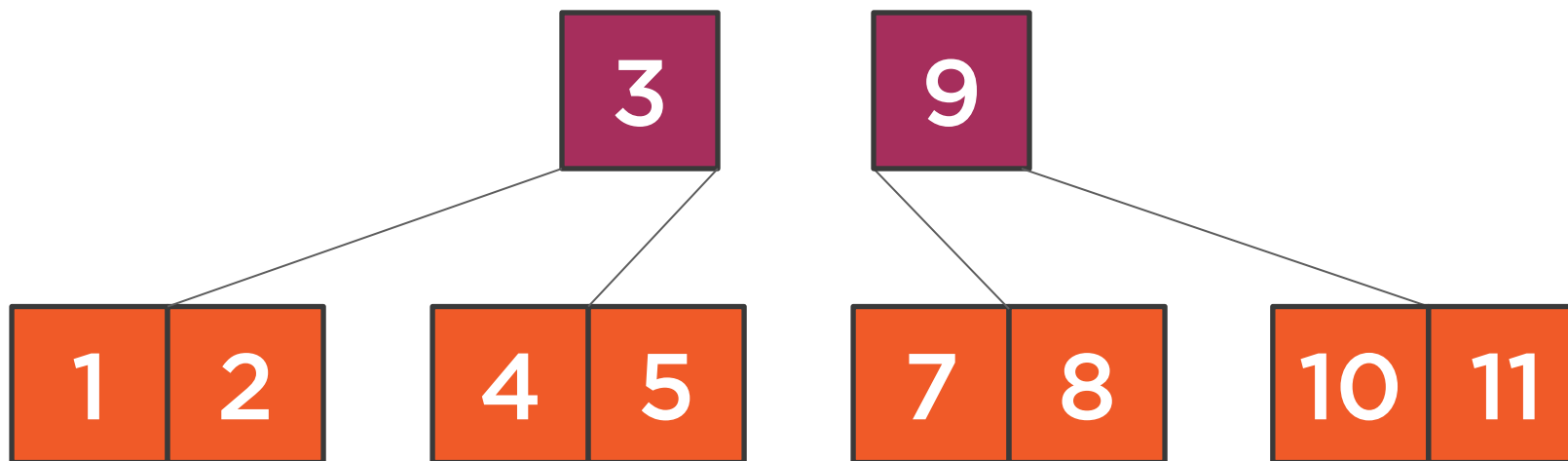
# Pushing Down



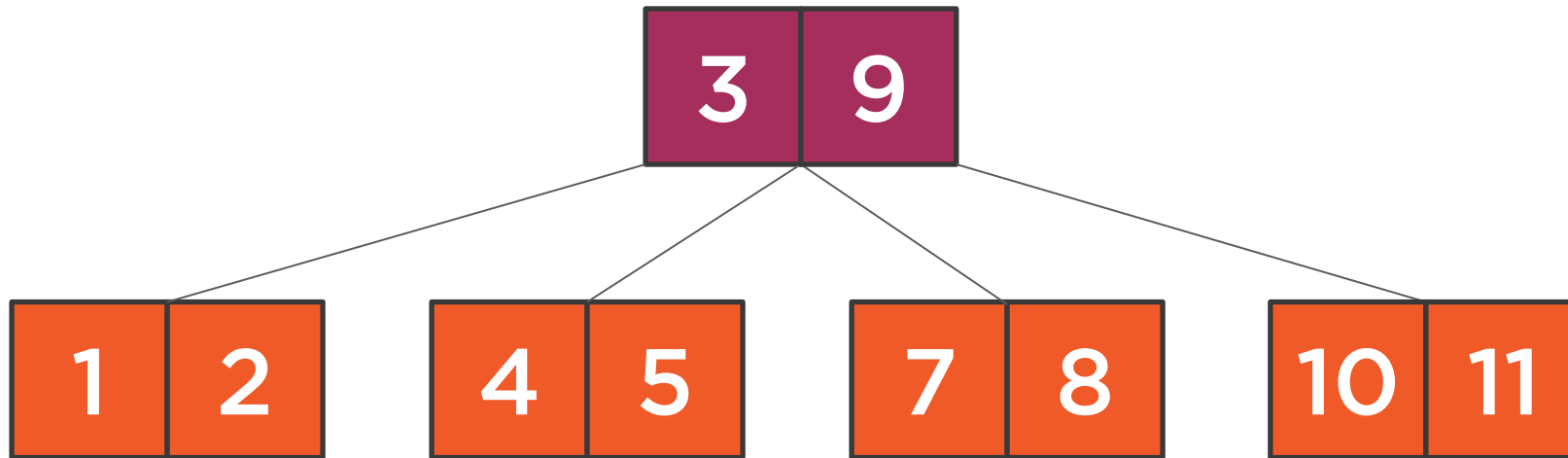
# Pushing Down



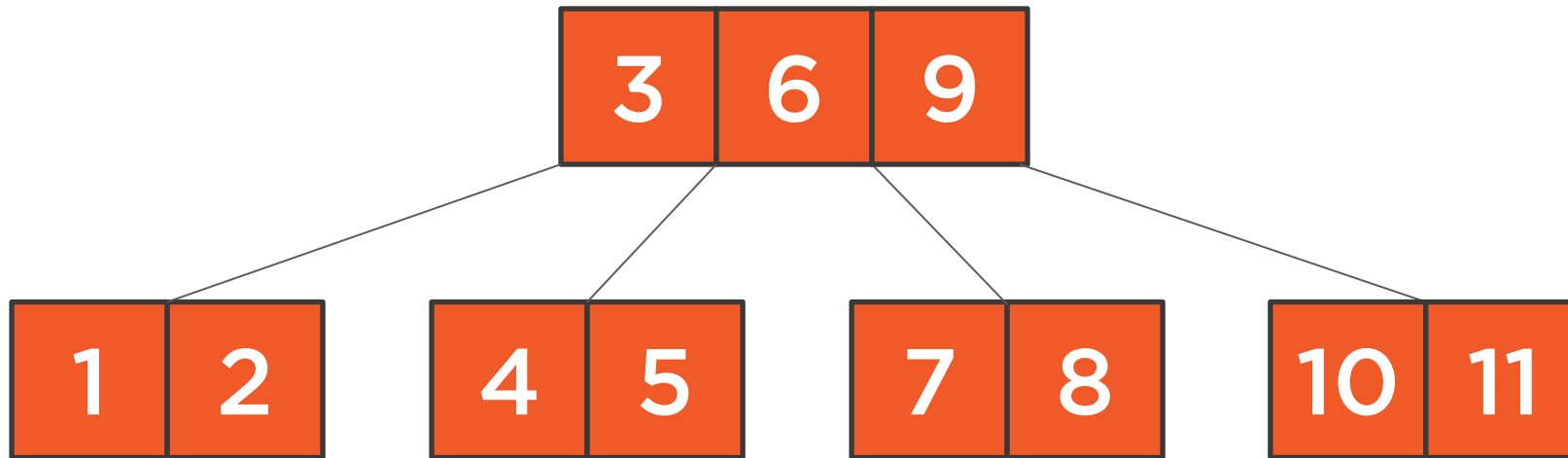
# Pushing Down



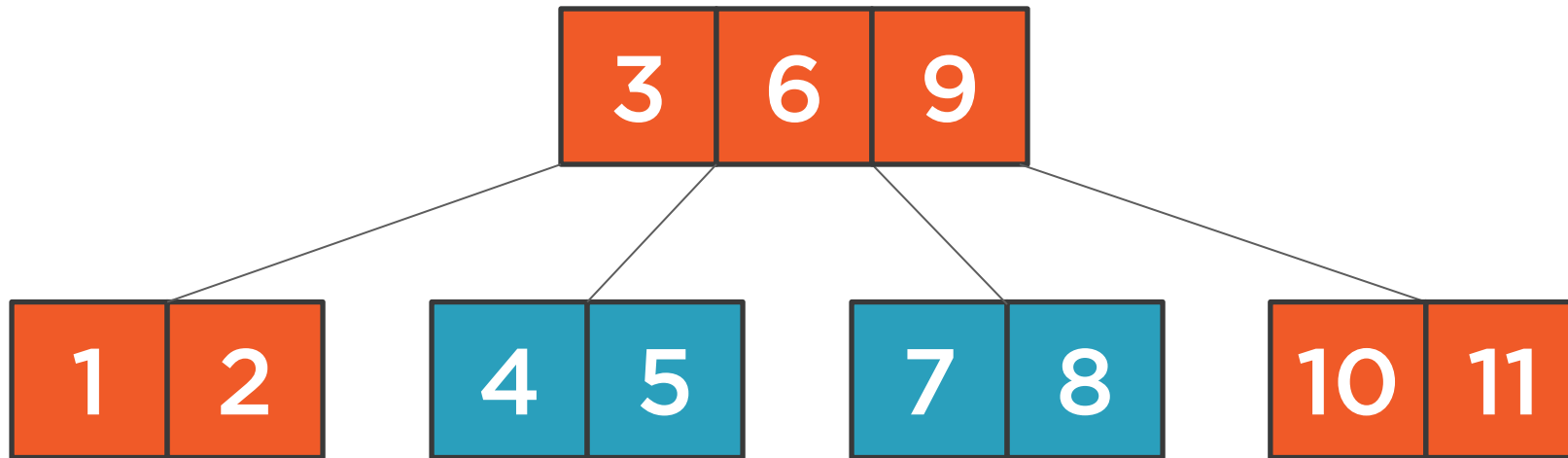
# Pushing Down



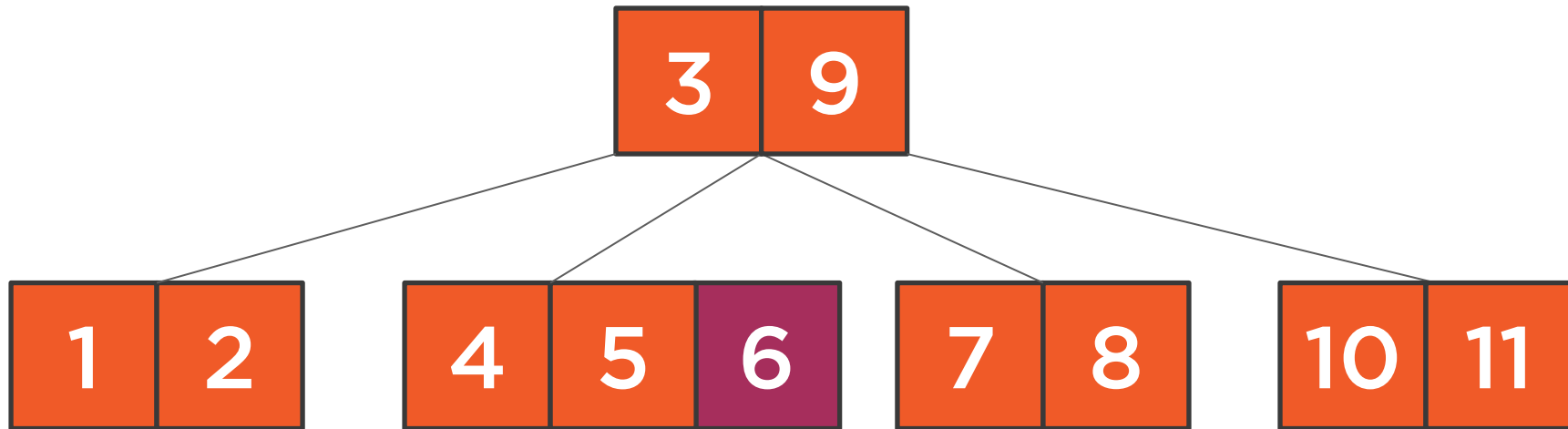
# Pushing Down



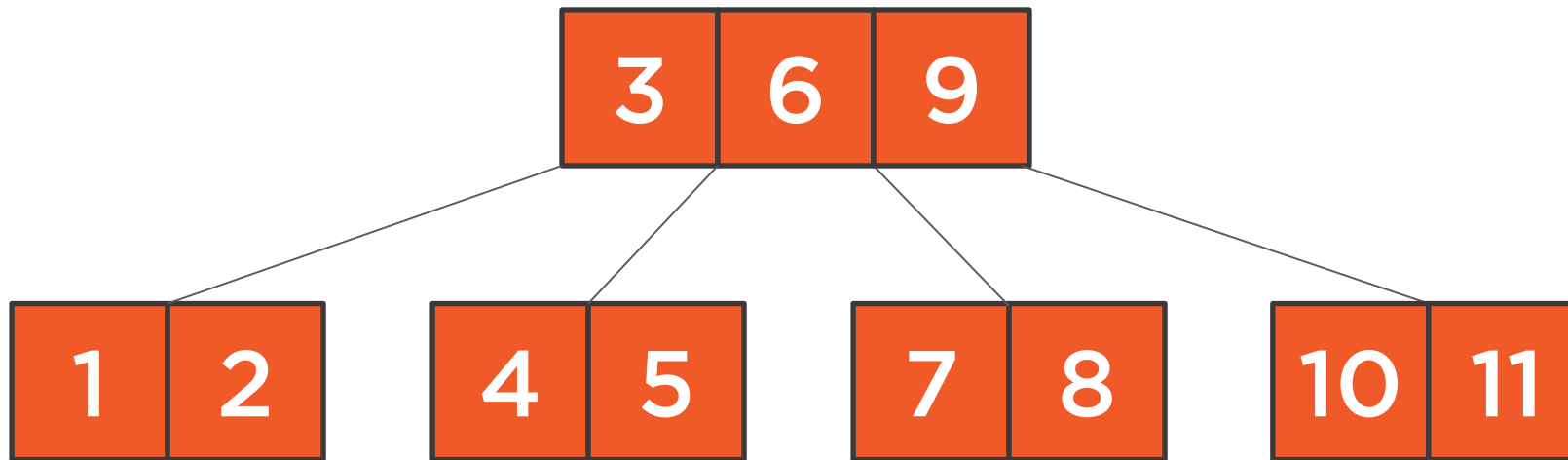
# Pushing Down



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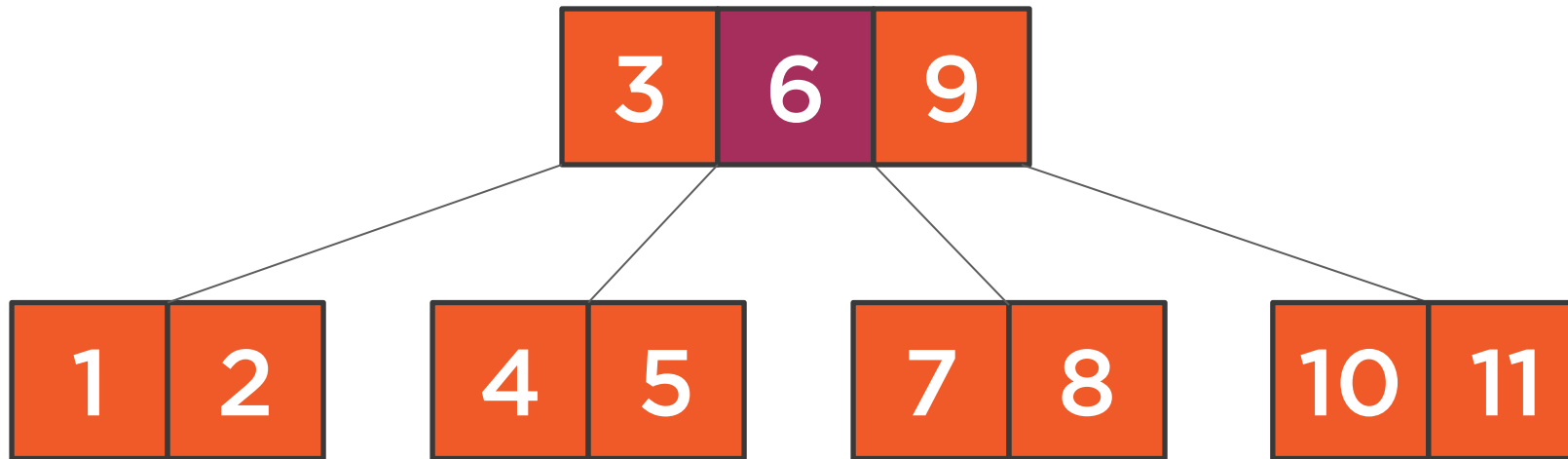


# Pushing Down

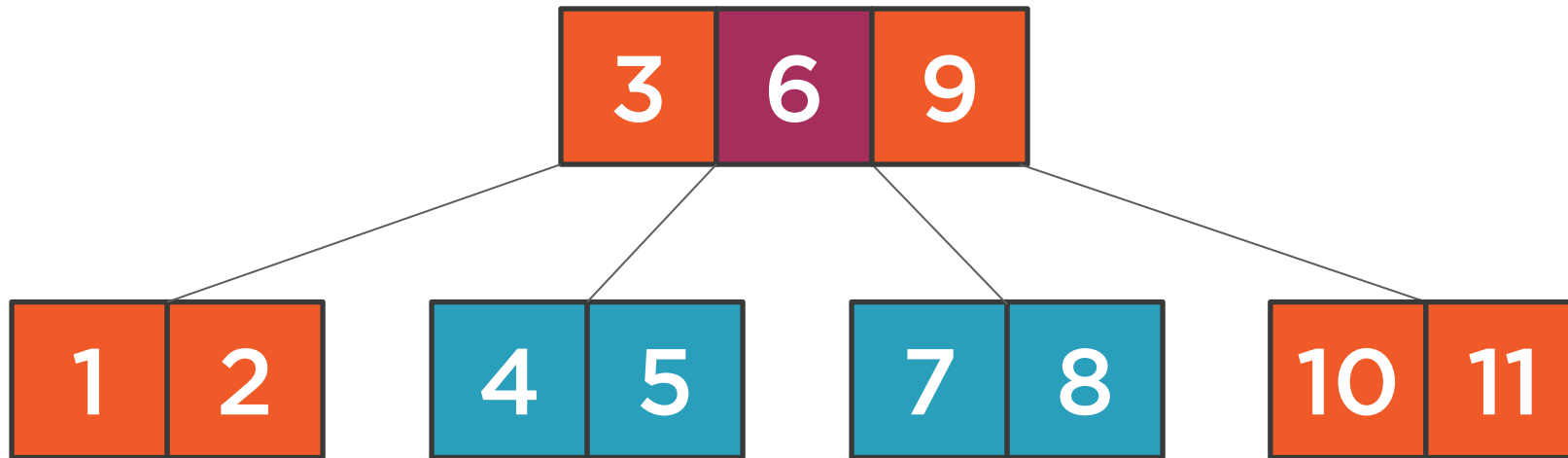




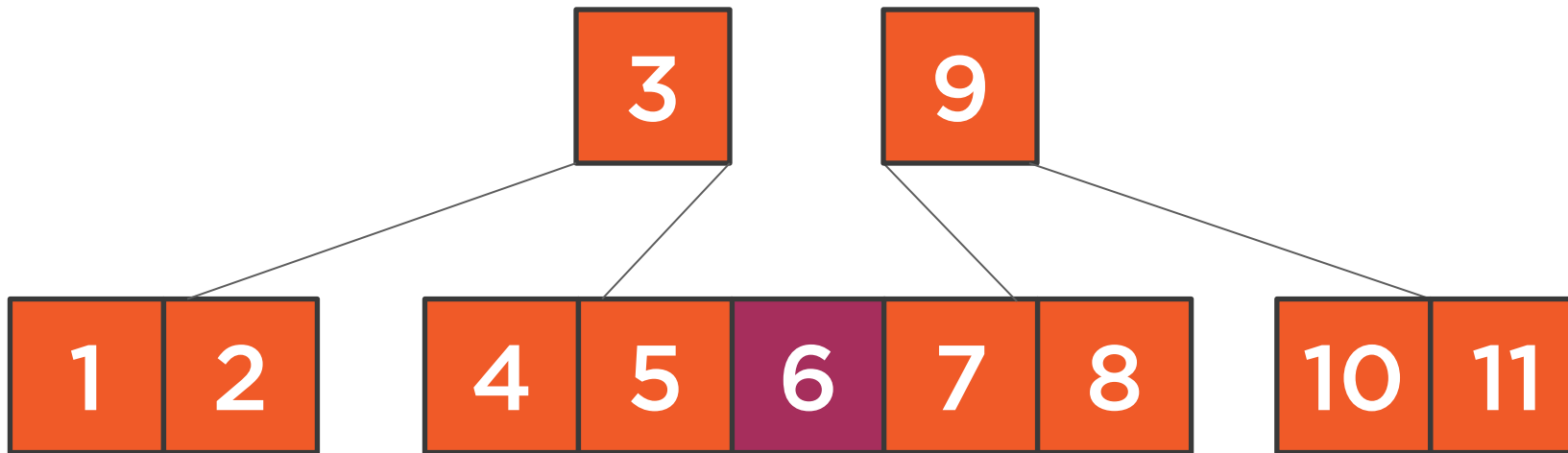
# Pushing Down



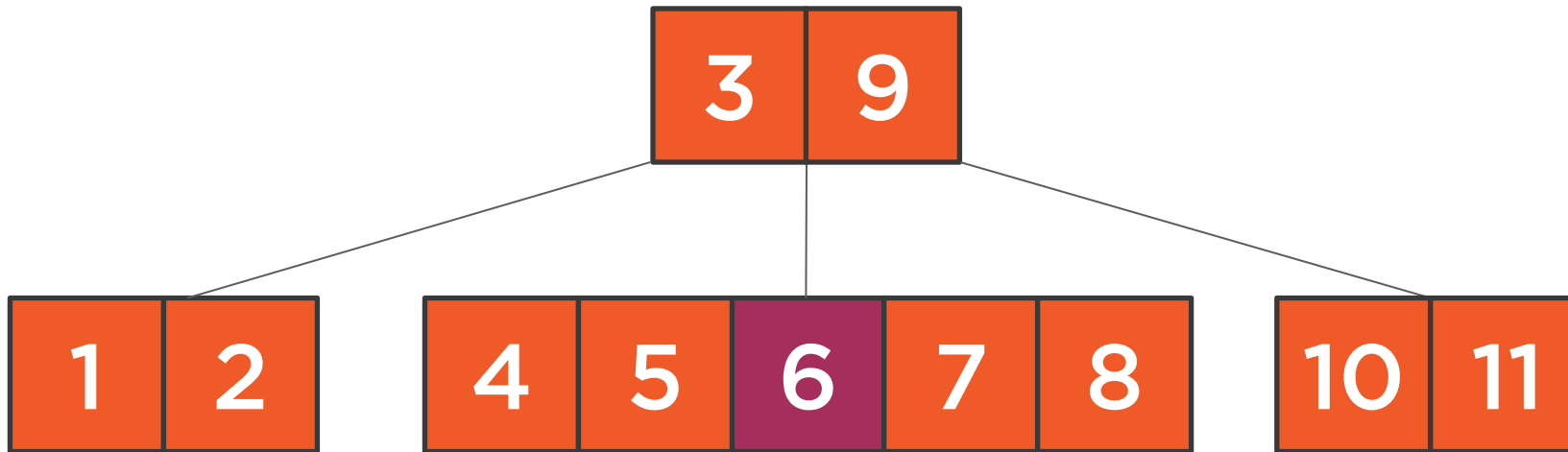
# Pushing Down



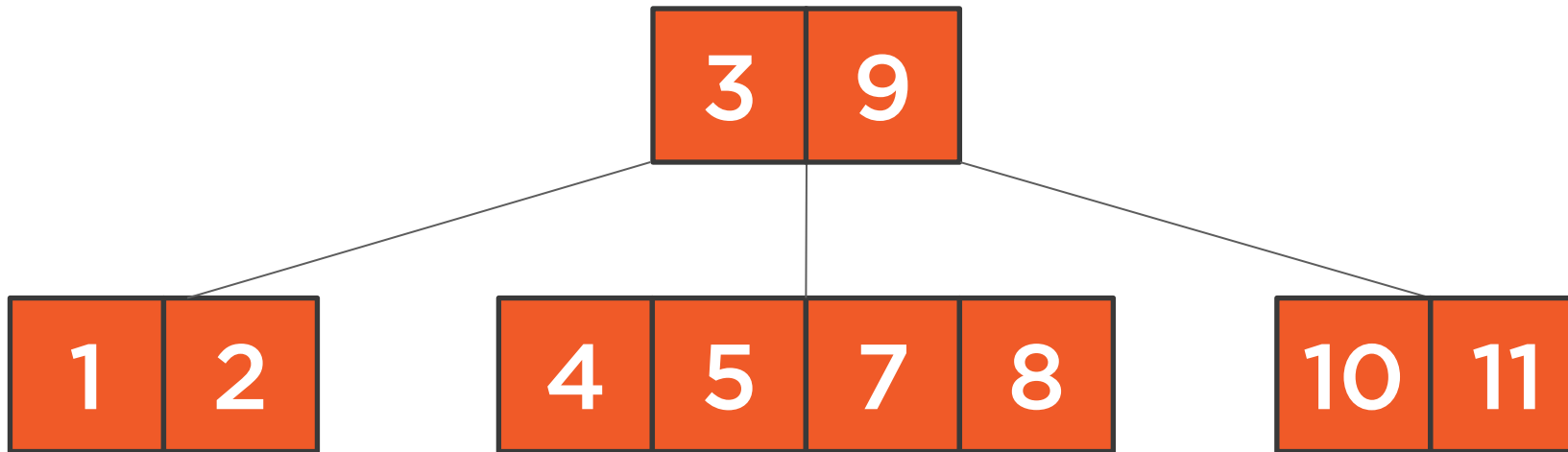
# Pushing Down



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# Pushing Down

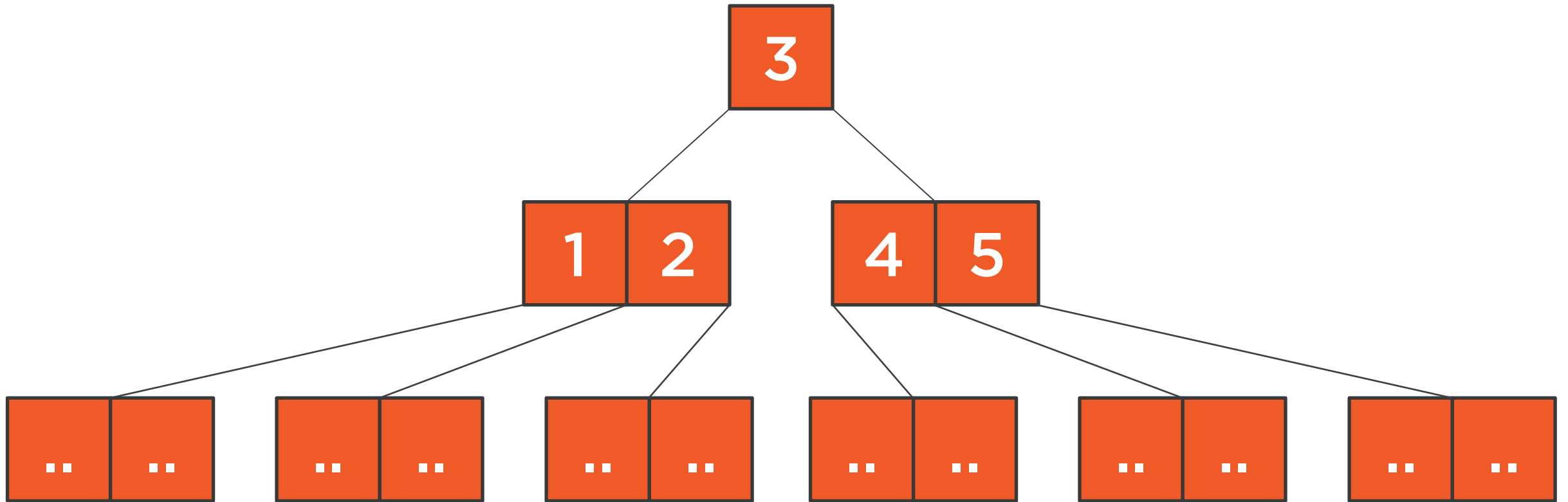


# Push Down Minimal Root

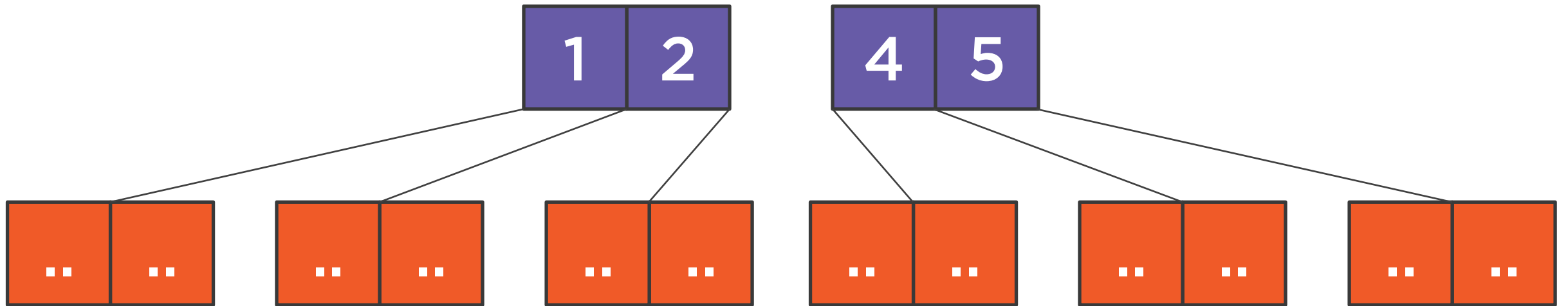
When the root has a single value and two minimal children, they can be combined with it to form a full root node.



# Pushing Down Minimal Root

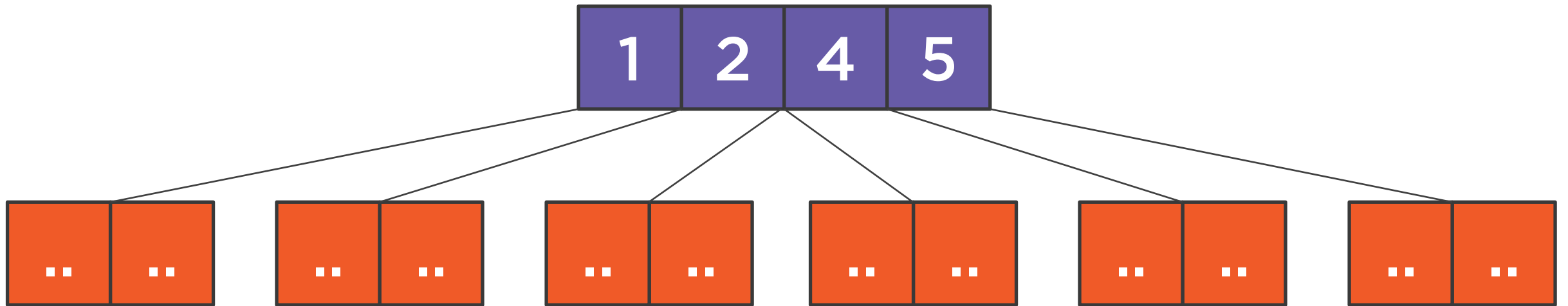


# Pushing Down Minimal Root

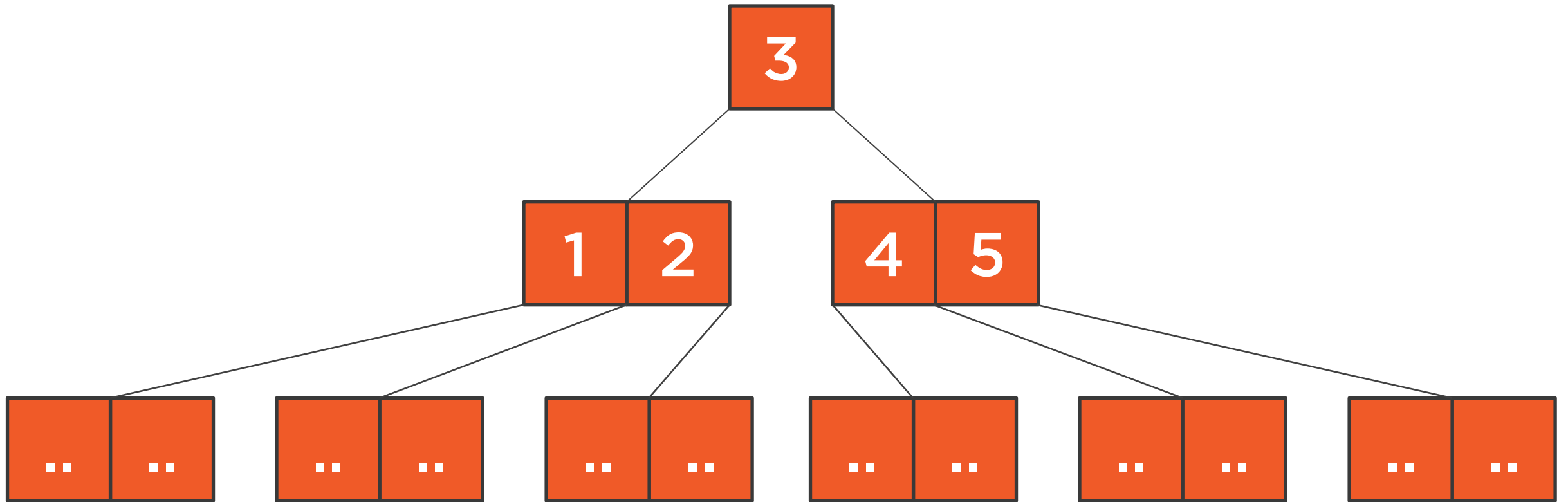




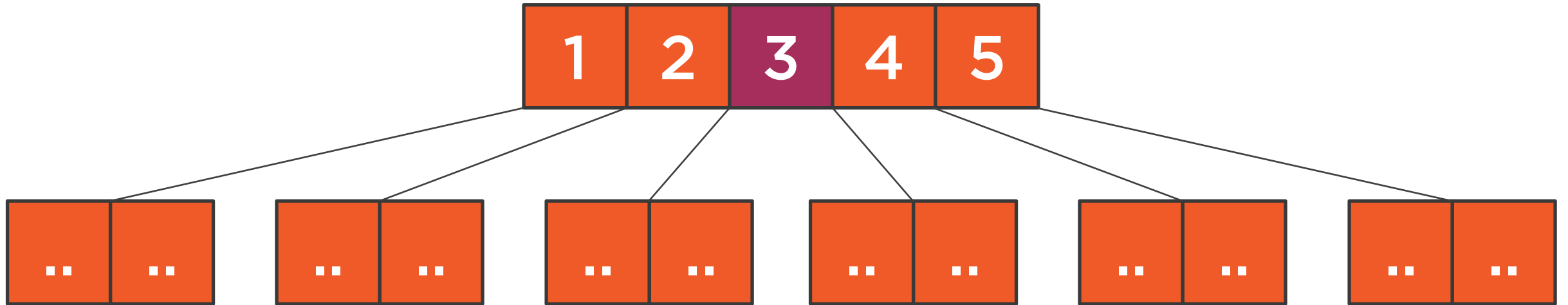
# Pushing Down Minimal Root



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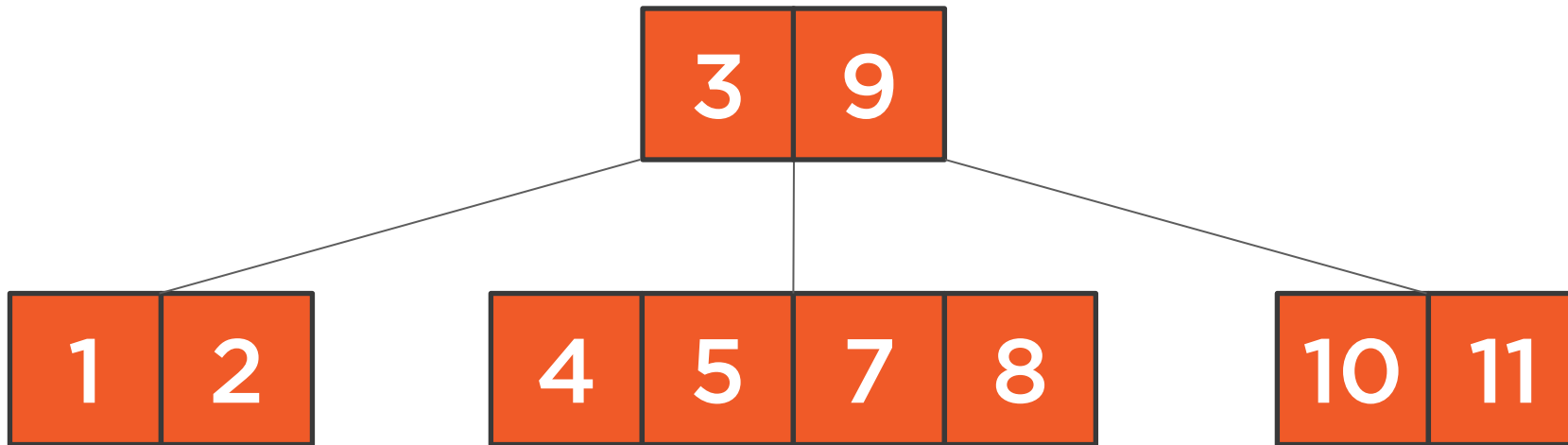


# Rotation

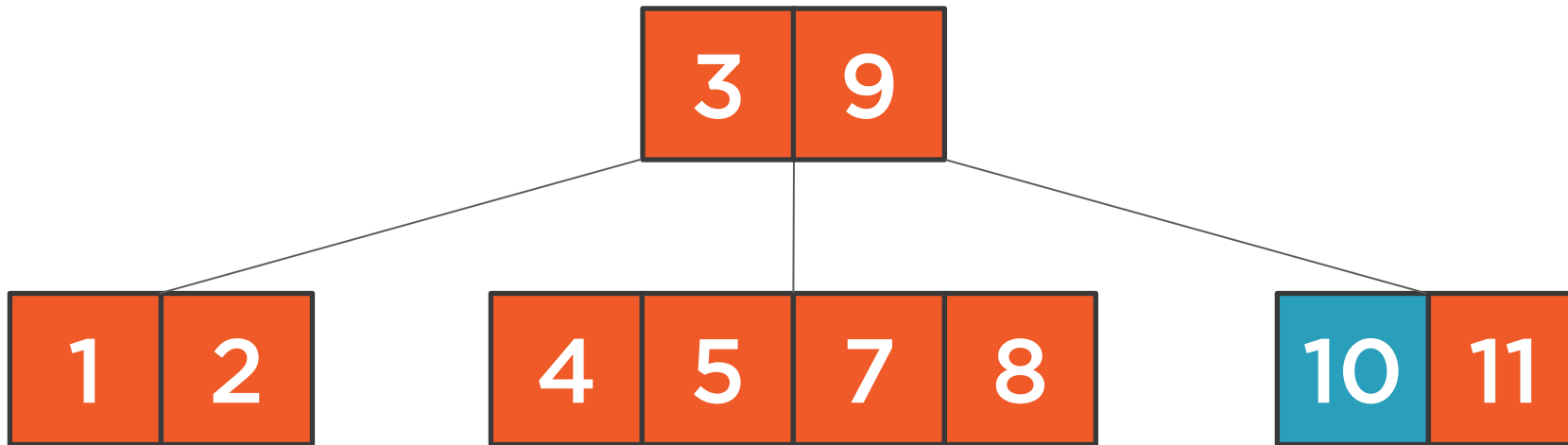
Rotating a value from a non-minimal child, to a sibling minimal child



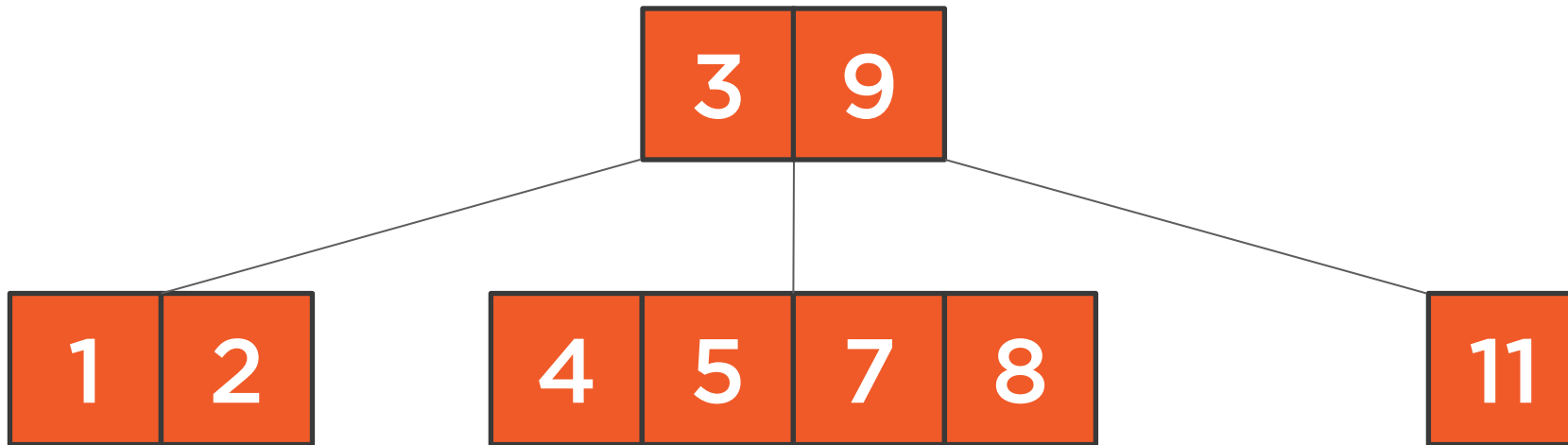
# Rotation



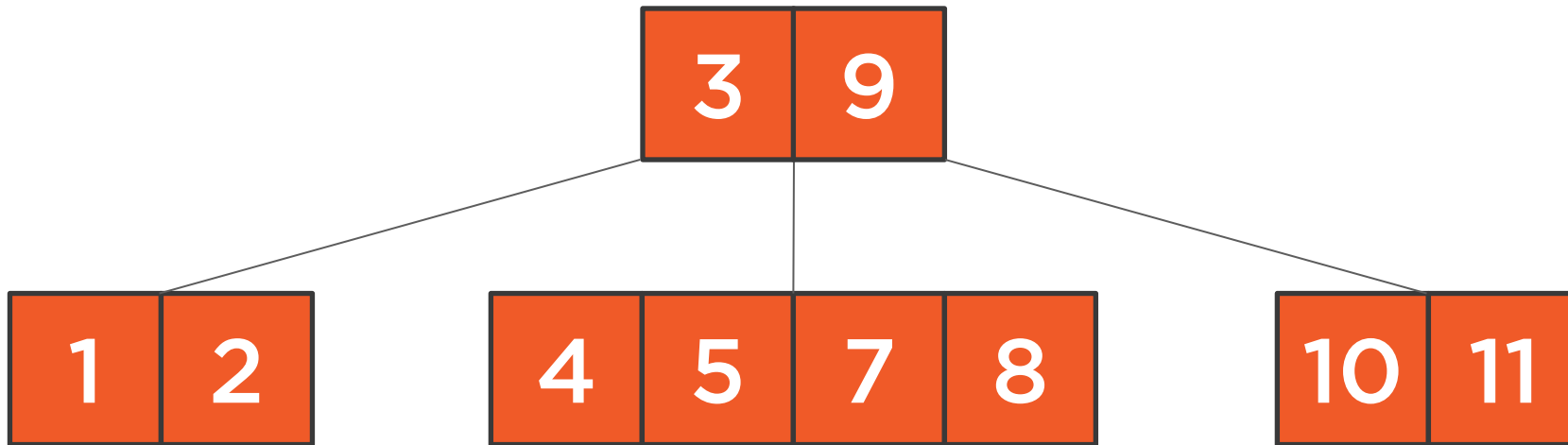
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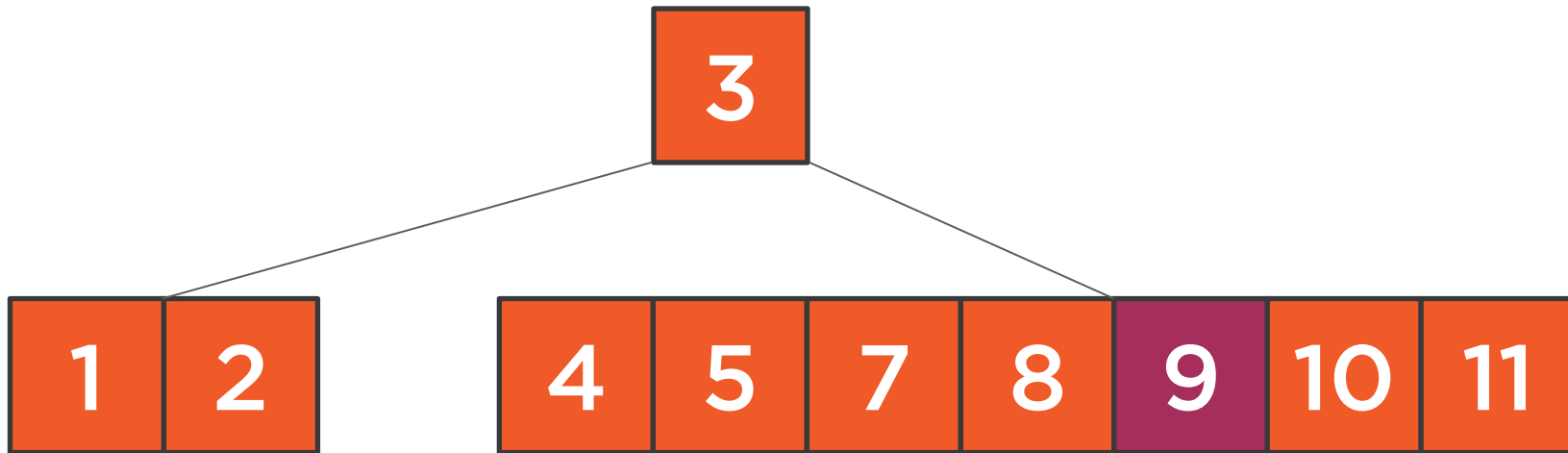


# Rotation

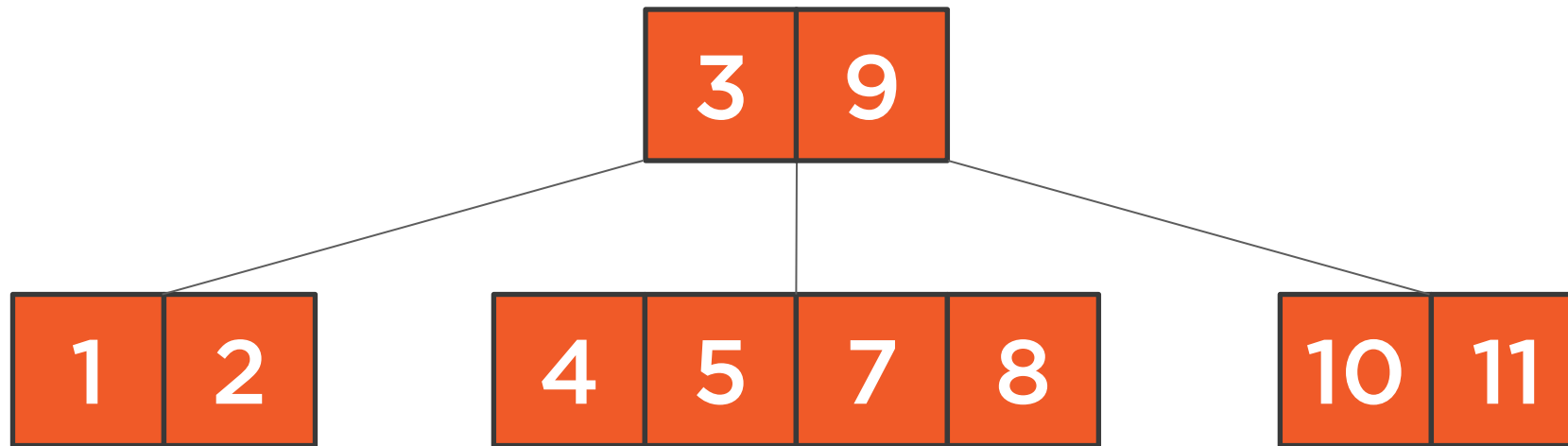




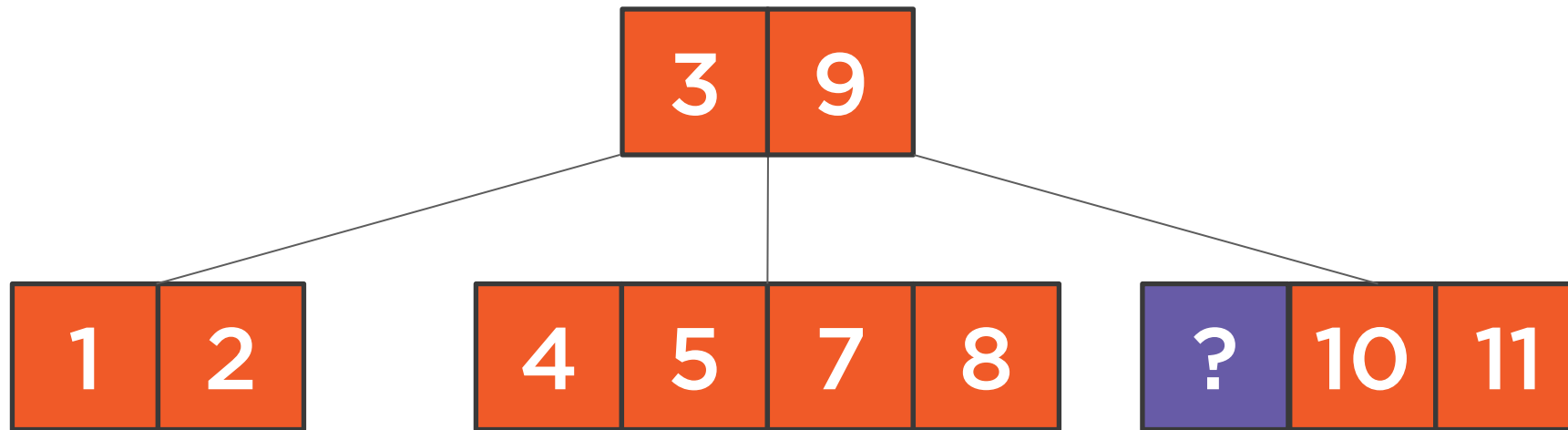
# Rotation



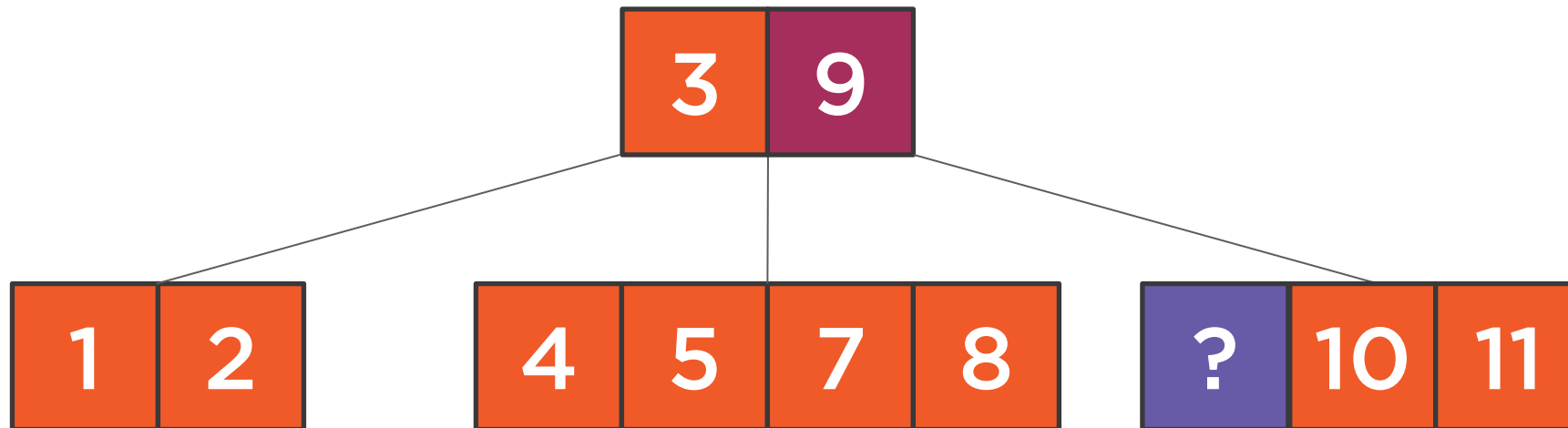
# Right Rotation



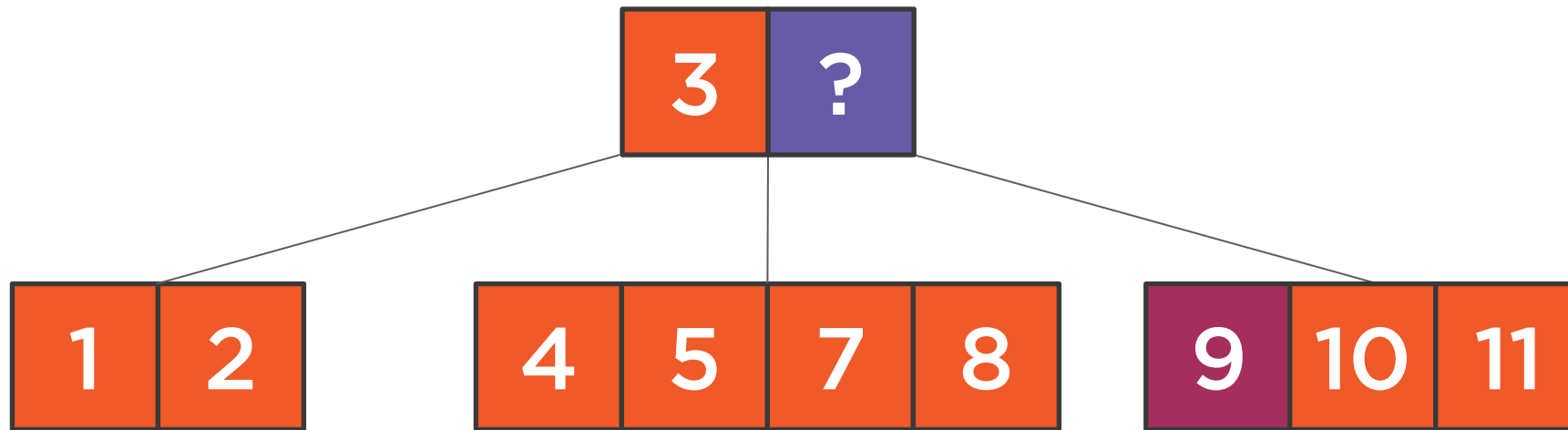
# Right Rotation



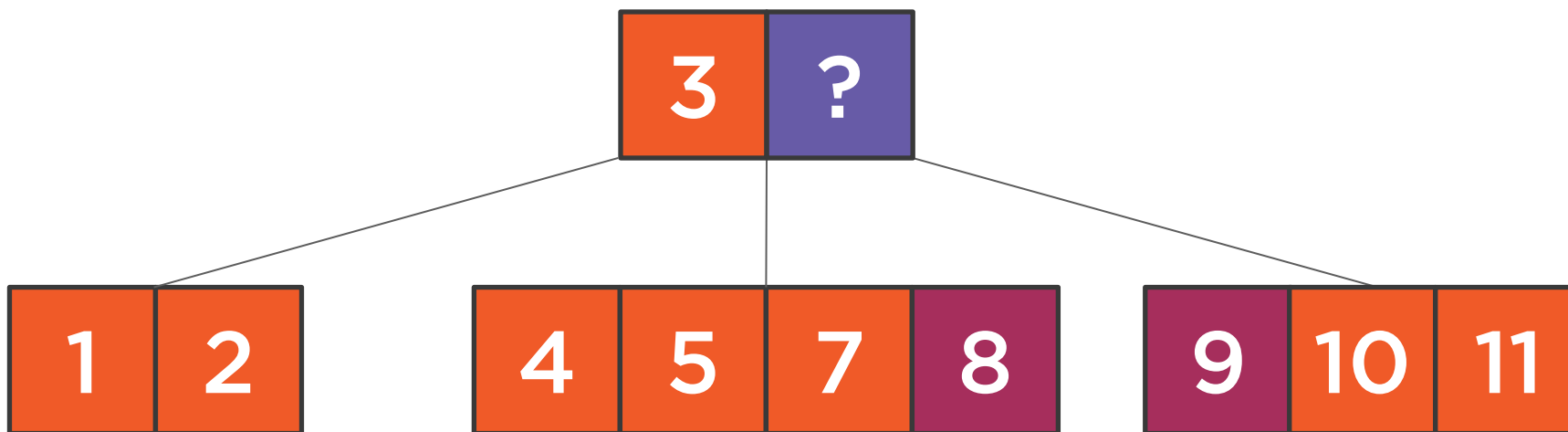
# Right Rotation



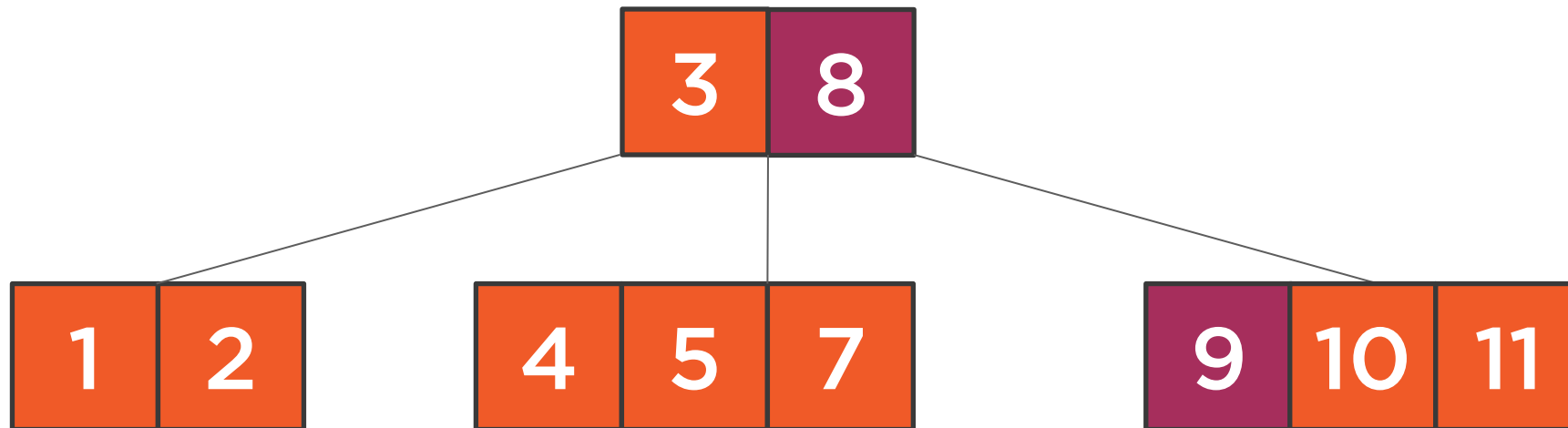
# Right Rotation



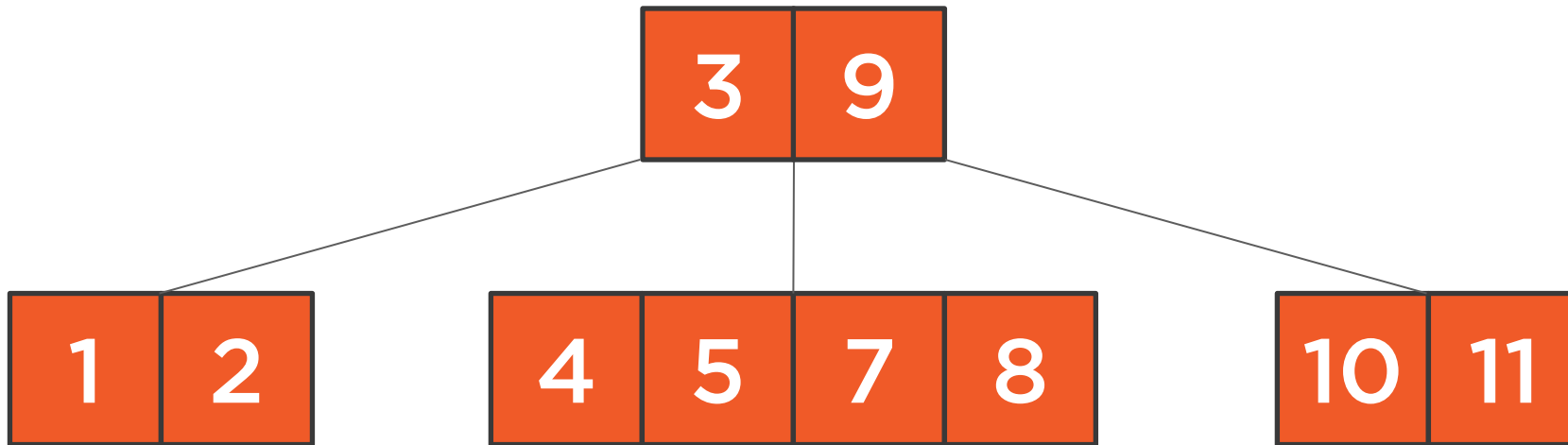
# Right Rotation



# Right Rotation

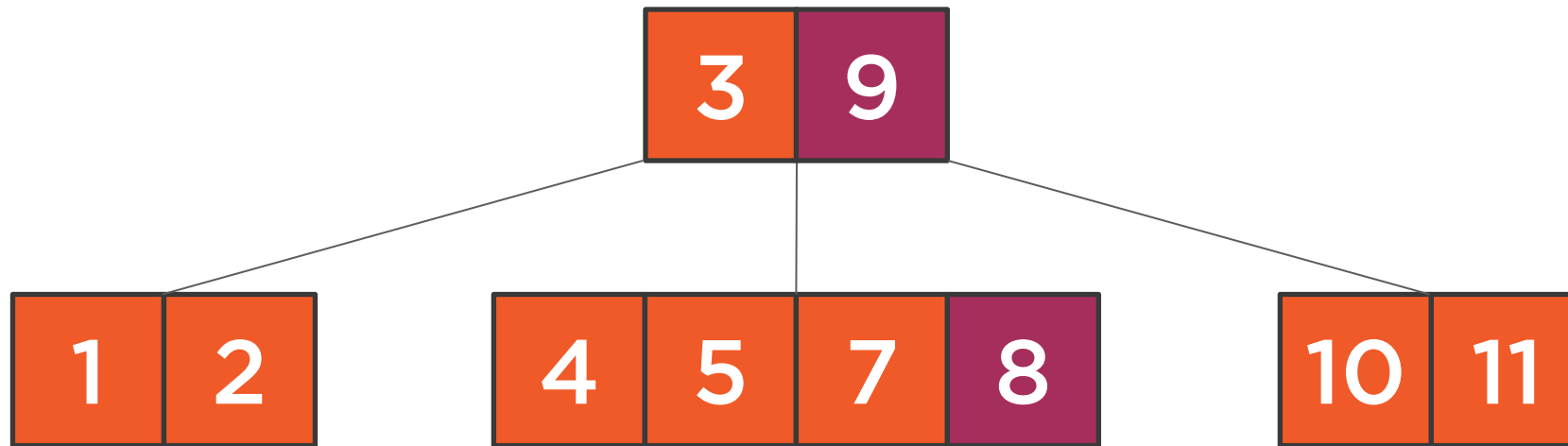


# Right Rotation

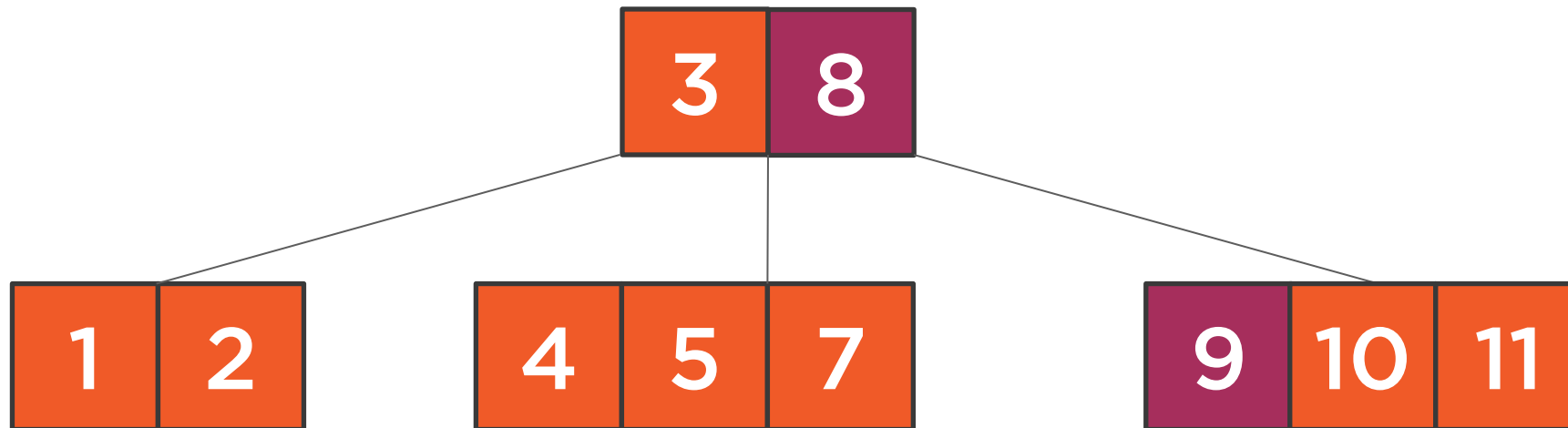




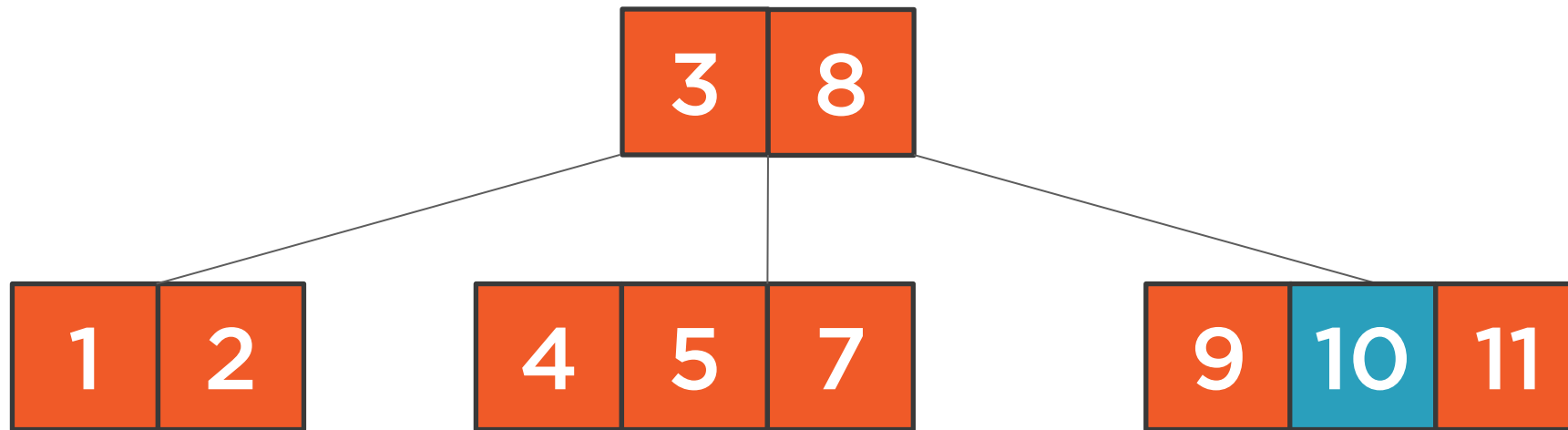
# Right Rotation



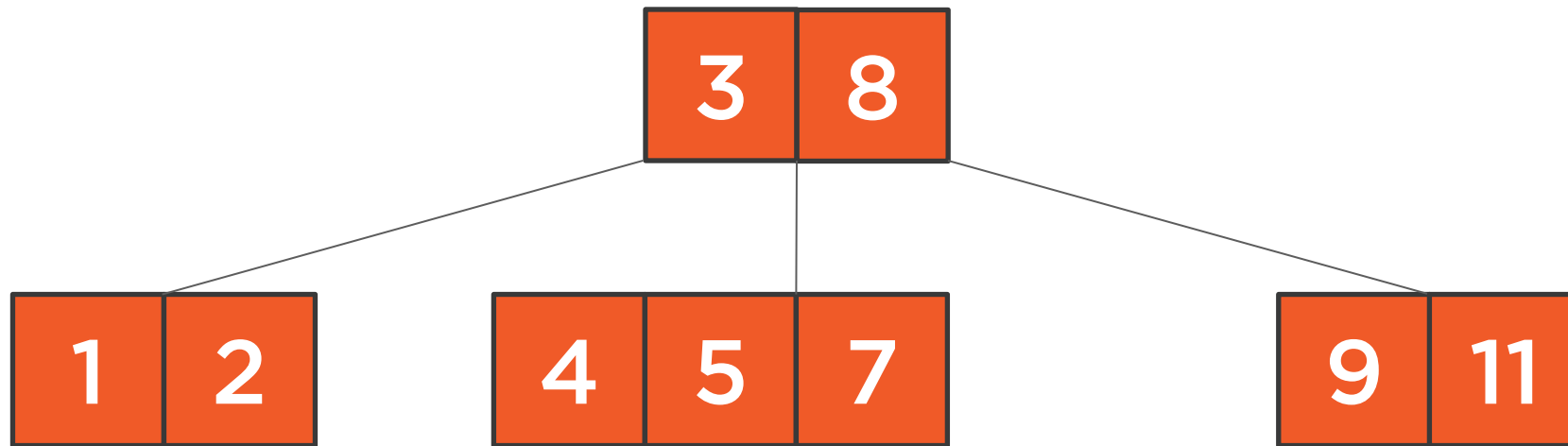
# Right Rotation



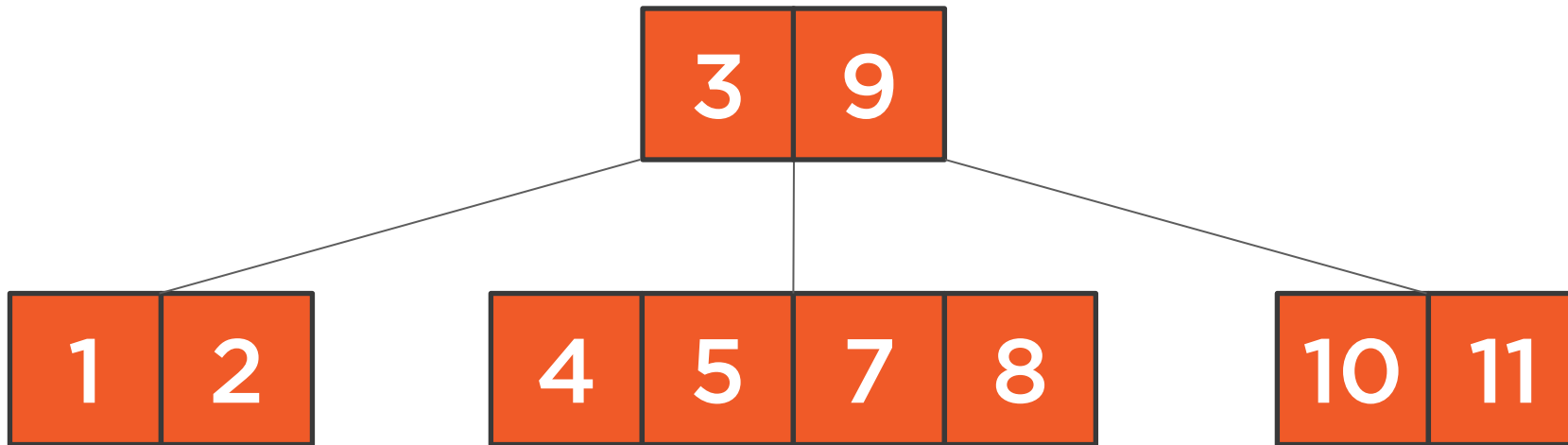
# Right Rotation



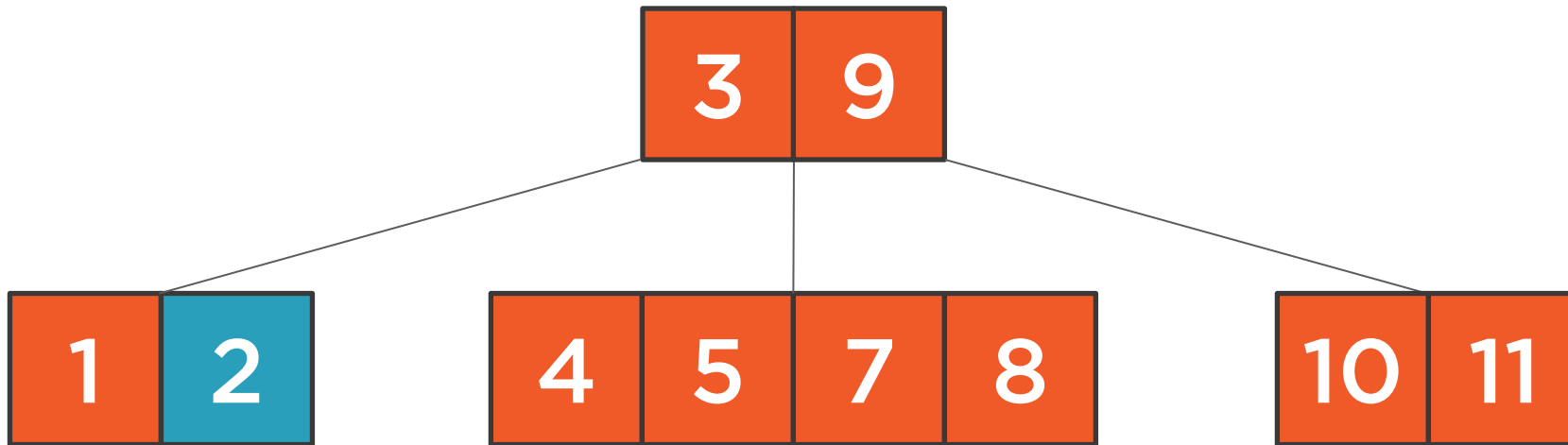
# Right Rotation



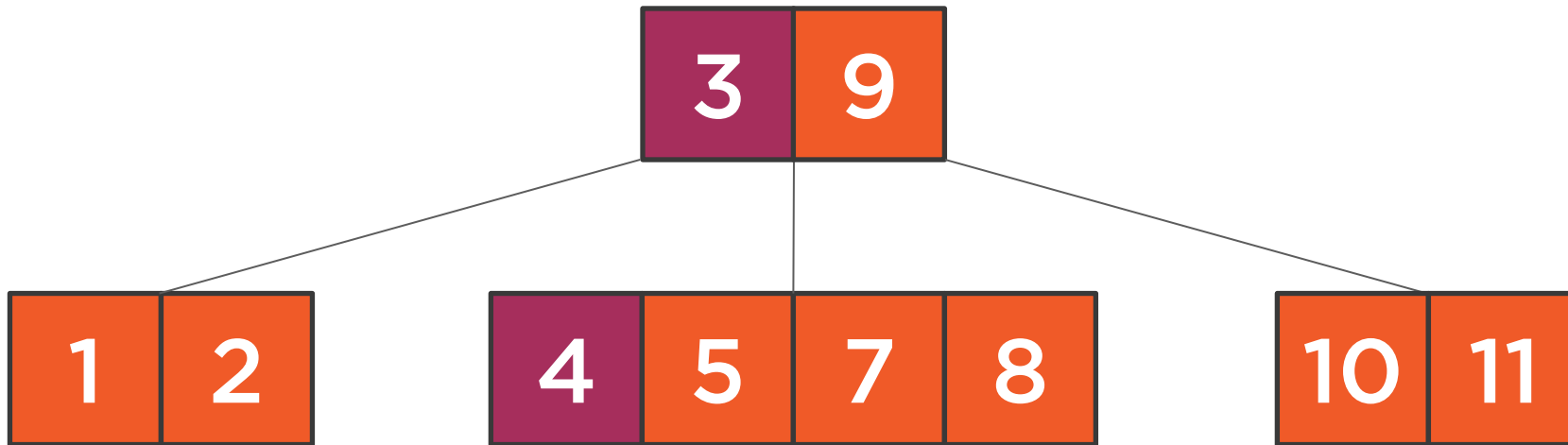
# Left Rotation



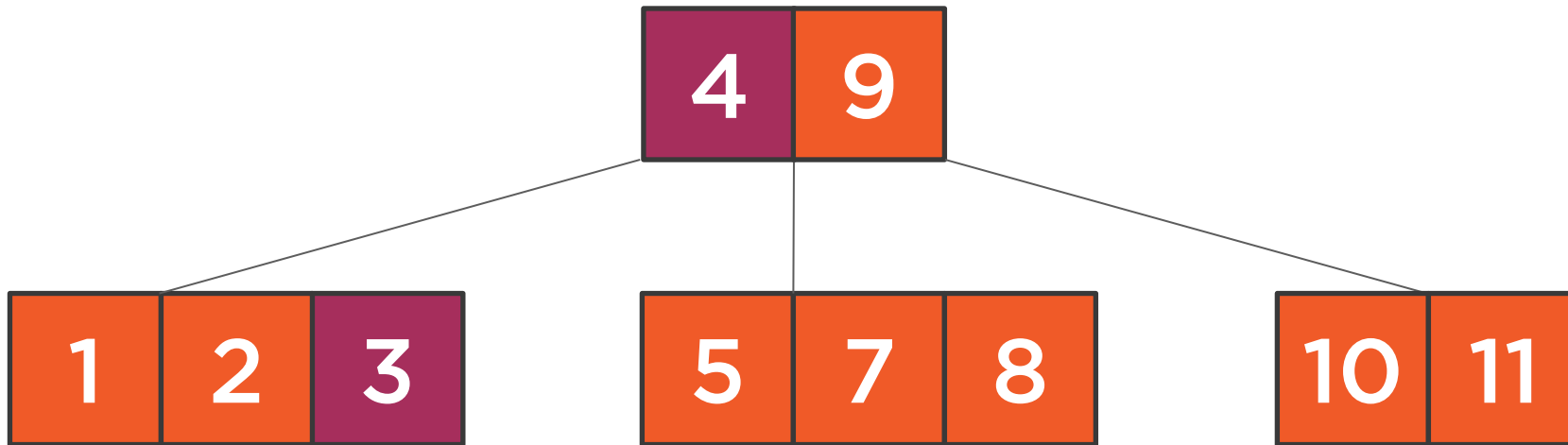
# Left Rotation



# Left Rotation

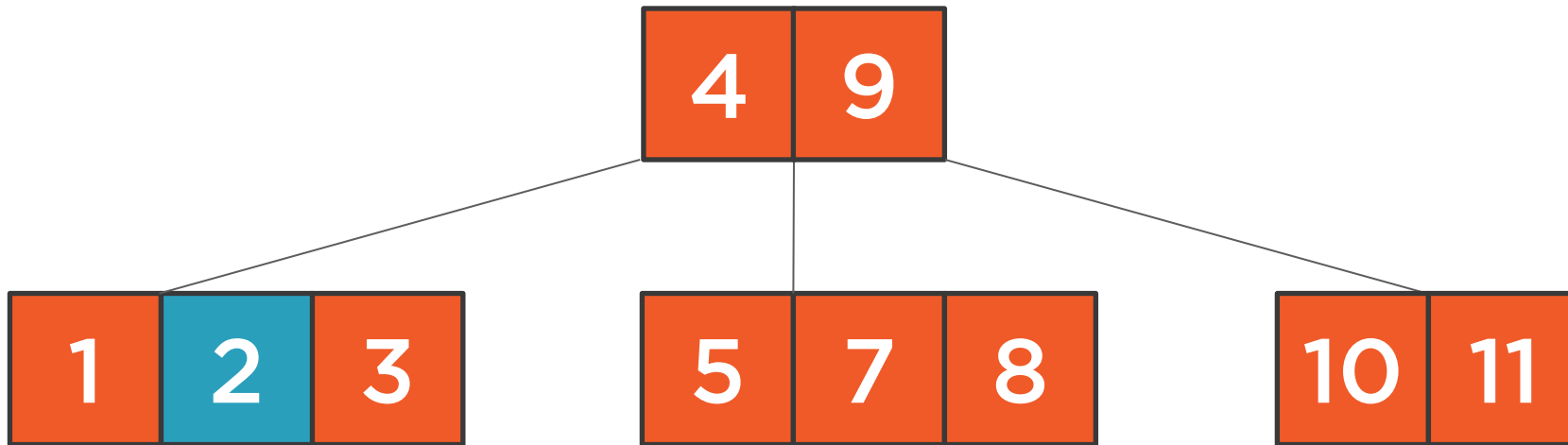


# Left Rotation

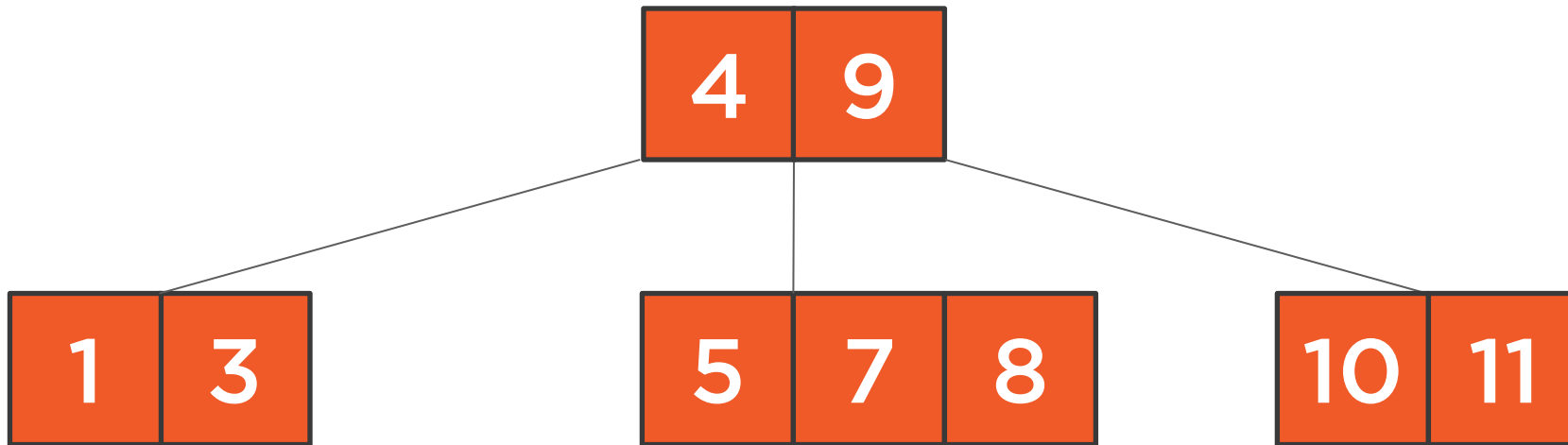




# Left Rotation



# Left Rotation



# Balancing Operations

## Pushing Down

Used when removing a value would leave a child node with too few values, the parent has multiple values, and the sum of the adjacent child and the current child is less than  $2 \cdot T - 1$ .

## Rotation

Used when removing a node and pushing down would not work because the parent has too few values, but a sibling has a value to spare.

## Splitting Nodes

Used when adding a node and the destination node would have too many values.



# Demo



## Sample B-tree Implementation

- Search
- Add
- Remove
- Balancing Operations

