

# 1.1 Vector Orientation

In our common phrase "x-y-plane" lies the convention that points are specified as ordered pairs  $(x, y)$  with  $x$  denoting its horizontal component and  $y$  its vertical component. We rephrase this convention in terms of the **vectors** that point along the  $x$  and  $y$  axes, i.e.,

$$e_x = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \text{ and } e_y = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \quad (1)$$

respectively. We illustrate these vectors in Figure ??? together with the combination

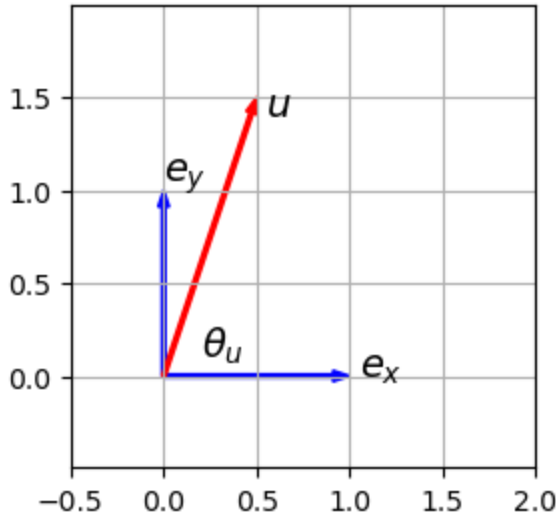
$$u = \begin{bmatrix} 0.5 \\ 1.5 \end{bmatrix} = \begin{bmatrix} 0.5 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 1.5 \end{bmatrix} = 0.5e_x + 1.5e_y \quad (2)$$

This sequence also serves as a first demonstration of both vector addition and scalar multiplication.

```
In [7]: # Draw and label three vectors and an angle
        %load_ext watermark
        %matplotlib inline
        import matplotlib.pyplot as plt      # the standard plot library (click on Help in t

        plt.figure(figsize=(3,3))
        plt.arrow(0,0,1,0,width=0.02,length_includes_head=True, color='b')
        plt.text(1.05,0,'$e_x$',fontsize=14)
        plt.text(0.2,0.1,'$\theta_u$',fontsize=14)
        plt.arrow(0,0,0,1,width=0.02,length_includes_head=True, color = 'b')
        plt.text(0,1.05,'$e_y$',fontsize=14)
        plt.arrow(0,0,0.5,1.5,width=0.02,length_includes_head=True, color='r')
        plt.text(0.55,1.4,'$u$',fontsize=14)

        plt.grid('on')
        plt.axis('equal')
        plt.axis([-0.5, 2, -0.5, 2]);
```



*[Math Processing Error]*

Further examples of vector addition and scalar multiplication are

$$\begin{bmatrix} 1 \\ 3 \end{bmatrix} + \begin{bmatrix} 4 \\ 1 \end{bmatrix} = \begin{bmatrix} 5 \\ 4 \end{bmatrix} \text{ \textcolor{red}{and} } 6 \begin{bmatrix} 4 \\ 2 \end{bmatrix} = \begin{bmatrix} 24 \\ 12 \end{bmatrix}.$$

We will also make frequent use of the **polar** representation of points in the plane. Regarding the  $u$  in Figure ??? we write

$$u = \begin{bmatrix} 0.5 \\ 1.5 \end{bmatrix} = \|u\| \begin{bmatrix} \cos(\theta_u) \\ \sin(\theta_u) \end{bmatrix} \quad (3)$$

where  $\theta_u$  is the counterclockwise angle that  $u$  makes with  $e_x$ , and  $\|u\|$  denotes the length, or **norm**, of  $u$  in the pythagorean sense

$$\|u\| \equiv \sqrt{u[0]^2 + u[1]^2} = \sqrt{10}/2 \quad (4)$$

where  $u[0]$  and  $u[1]$  are respectively the first and second elements of  $u$ .

The most common product of two vectors,  $u$  and  $v$ , in the plane is the **inner product**. It is the sum of their elementwise products

$$u^T v \equiv \begin{bmatrix} u[0] & u[1] \end{bmatrix} \begin{bmatrix} v[0] \\ v[1] \end{bmatrix} = u[0]v[0] + u[1]v[1]. \quad (5)$$

As  $u[j]v[j] = v[j]u[j]$  for each  $j$  it follows that  $u^T v = v^T u$ . For example,

$$\begin{bmatrix} 10 & 2 \end{bmatrix} \begin{bmatrix} -3 \\ 5 \end{bmatrix} = 10 \cdot (-3) + 2 \cdot 5 = -20.$$

So, the inner product of two vectors is a number. The superscript  $T$  on the  $u$  on the far left of (5) stands for **transpose** and, when applied to a column yields a **row**. Columns are vertical and rows are horizontal and so we see, in (5), that  $u^T$  is  $u$  laid on its side.

As,  $u^T u = u[0]^2 + u[1]^2$ , we recognize that the norm of a vector is the square root of its inner product with itself, i.e.,

$$\|u\| = (u^T u)^{1/2} = (u[0]^2 + u[1]^2)^{1/2}. \quad (6)$$

Let's compute the inner product of the two vectors illustrated in Figure ???.

We write them in their Cartesian and polar forms

$$u = \begin{bmatrix} u[0] \\ u[1] \end{bmatrix} = \begin{bmatrix} 1 \\ 3 \end{bmatrix} = \|u\| \begin{bmatrix} \cos(\theta_u) \\ \sin(\theta_u) \end{bmatrix} \text{ \textcolor{red}{and} } v = \begin{bmatrix} v[0] \\ v[1] \end{bmatrix} = \begin{bmatrix} 4 \\ 1 \end{bmatrix} = \|v\| \begin{bmatrix} \cos(\theta_v) \\ \sin(\theta_v) \end{bmatrix}$$

and on substitution into (5) find

$$\begin{aligned} u^T v &= u[0]v[0] + u[1]v[1] \\ &= \|u\| \|v\| (\cos(\theta_u) \cos(\theta_v) + \sin(\theta_u) \sin(\theta_v)) \\ &= \|u\| \|v\| \cos(\theta_u - \theta_v) \\ &= \|u\| \|v\| \cos(\theta). \end{aligned} \quad (7)$$

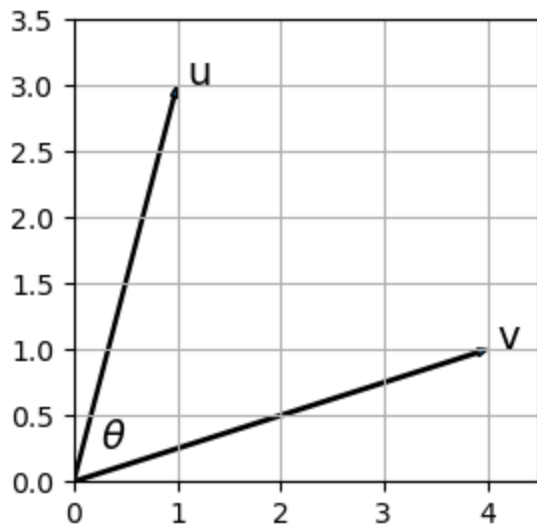
where  $\theta = \theta_u - \theta_v$ . We interpret (7) by saying that the inner product of two vectors is proportional to the cosine of the angle between them. When this angle is  $\pi/2$  we say that the two vectors are **perpendicular** to one another.

On taking the absolute value of each side of (7) we arrive at the **Cauchy-Schwarz inequality**

$$|u^T v| \leq \|u\| \|v\|. \quad (8)$$

```
In [8]: # Draw and label two vectors
%matplotlib inline
import matplotlib.pyplot as plt

plt.figure(figsize=(3,3))
plt.arrow(0,0,4,1,width=0.02,length_includes_head=True)
plt.text(4.1,1,'v',fontsize=14)
plt.arrow(0,0,1,3,width=0.02,length_includes_head=True)
plt.text(1.1,3,'u',fontsize=14)
plt.text(0.25,0.25,'$\theta$',fontsize=14)
plt.grid('on')
plt.axis([0, 4.5, 0, 3.5]);
```



`\begin{myfig}\label{fig:mf1}` The angle between two vectors. `\end{myfig}`

`\begin{exercise}\label{ex:arithmetic}` Given

`\begin{equation*}`  
 $x = \begin{bmatrix} 2 \\ 1 \end{bmatrix}$  and  $y = \begin{bmatrix} 3 \\ 4 \end{bmatrix}$   
`\end{equation*}`

complete the code cell below to compute and display **(a)**  $x+y$ , **(b)**  $|x|$ ,  
**(c)**  $|y|$ ,  
**(d)**  $x^Ty$ , and  
**(e)** the angle between  $x$  and  $y$ . `\end{exercise}`

```
In [10]: # vector and print operations in python
import numpy as np      # import the library of numerical functions (click Help in
# %watermark -p numpy    # checking versions
# %watermark -p matplotlib

x = np.array([2,1])     # vectors are called arrays in python
y = np.array([3,4])
print('x + y is ', x+y)
normx = np.sqrt(x[0]**2 + x[1]**2)    # to take powers we use ** in python
print('norm of x is ', round(normx,3))
# normy =
#print('norm of y is ', normy)
ip = np.dot(x, y)       # the inner product is also known as the dot product
print(' x^Ty is ', ip )
#angle =      # use np.arccos and equation 7
# print( ' angle between x and y is ', angle)

x + y is  [5 5]
norm of x is  2.236
x^Ty is  10
```

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`\begin{exercise}\label{ex:orth2}` Find a vector perpendicular to  $[1,1]^T$ . Use the code cell below  
`\end{exercise}`

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```
In [13]: # Your solution here
import numpy as np
print(np.dot([1,1],[1,-1]))
```

0

We next explore the geometry of the sum of two vectors

$$u = \begin{bmatrix} a \\ c \end{bmatrix} \text{ and } v = \begin{bmatrix} b \\ d \end{bmatrix} \quad (9)$$

```
In [12]: # vector addition as a parallelogram
%matplotlib inline
import numpy as np
import matplotlib.pyplot as plt

fig, (ax1, ax2) = plt.subplots(1, 2)
plt.subplots_adjust(right=2, top=1, wspace=0.25)

a = 1.75
b = 0.3
c = 0.5
d = 1.5

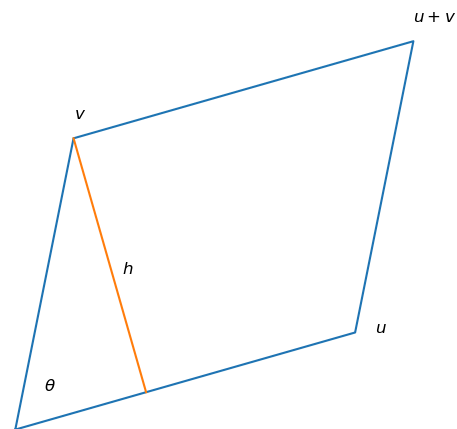
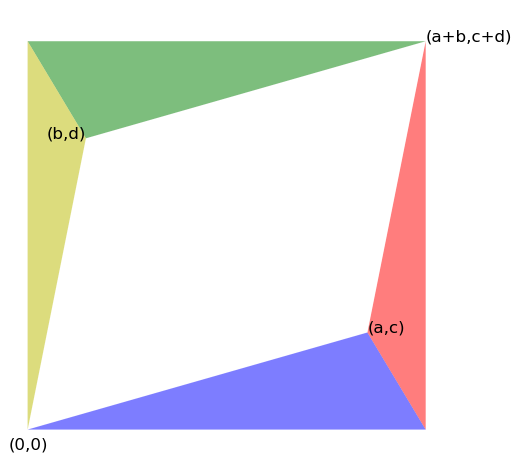
ax1.fill([0, a+b, a, 0], [0, 0, c, 0], 'b', alpha = 0.5)
ax1.fill([a, a+b, a+b, a], [c, 0, c+d, c], 'r', alpha = 0.5)
ax1.fill([0, b, a+b, 0], [c+d, d, c+d, c+d], 'g', alpha = 0.5)
ax1.fill([0, b, 0, 0], [0, d, c+d, 0], 'y', alpha = 0.5)

ax1.text(0-.1, 0-.1, '(0,0)', fontsize=12)
ax1.text(a,c, '(a,c)', fontsize=12)
ax1.text(a+b,c+d, '(a+b,c+d)', fontsize=12)
ax1.text(b-.2,d, '(b,d)', fontsize=12)

ax1.axis('equal')
ax1.axis('off')

ax2.plot([0,a, a+b, b, 0],[0,c,c+d,d,0])
# find height
u = np.array([a,c])
v = np.array([b,d])
pv = np.dot(u,v)*u/np.dot(u,u)
ax2.plot([pv[0], v[0]], [pv[1], v[1]])
ax2.text(0.15,0.2, '$\\theta$', fontsize=12)
ax2.text(a+0.1,c, '$u$', fontsize=12)
ax2.text(b,d+0.1, '$v$', fontsize=12)
ax2.text(a+b,c+d+0.1, '$u+v$', fontsize=12)
ax2.text(0.55,0.8, '$h$', fontsize=12)

ax2.axis('equal')
ax2.axis('off');
```



*[Math Processing Error]*

`\begin{exercise}\label{ex:parallelogram}` We compute the area of the parallelogram in Figure \r  
 (a) Using the left panel, subtract the 4 triangle areas from the area of large rectangle and arrive  
 (b) Using the right panel, use area = base times height =  $\|u\| \|v\| \sin(\theta)$ .  
 (c) Reconcile these two formulas using the fact that  $\theta = \theta_v - \theta_u$ . `\end{exercise}`

Your solution here.

`\begin{exercise}\label{ex:polarization}` Please complete the markdown cell below and arrive at  
`\begin{equation}`  
 $\|x+y\|^2 - \|x-y\|^2 = 4x^T y,$   
`\end{equation}`  
 holds for all  $x$  and  $y$  in  $\mathbb{R}^2$ .  
`\end{exercise}`

Your solution here.

$$\begin{aligned}
 \|x + y\|^2 - \|x - y\|^2 &= (x + y)^T (x + y) - (x - y)^T (x - y) \\
 &= (x^T + y^T)(x + y) - (x^T - y^T)(x - y) \\
 &= \text{now FOIL both products and simplify}
 \end{aligned}
 \tag{10}$$

```

\begin{exercise}\label{ex:triangineq} Show that the triangle inequality

\begin{equation}
\|x+y\| \leq \|x\| + \|y\|
\end{equation}

holds for all  $x$  and  $y$  in  $\mathbb{R}^2$ .
First sketch this for two concrete planar  $x$  and  $y$  and expound on the aptness
of the name. Then, in the markdown cell below, expand
 $\|x+y\|^2$ , invoke the Cauchy-Schwarz inequality, (\ref{eq:cauchyschwarz}),
and finish with a square root.
\end{exercise}

```

Your solution here.

Next Section: [1.2 Matrix Vector Multiplication](#)