

Macquarie University
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PROJECT REPORT

Static Type Analysis of a Dynamically Typed Programming Language

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1. Introduction

1.1 The Problem

Three out of the top ten programming languages in TIOBE index[1] are dynamically typed languages. One of the reasons for their popularity is that they can be easier to use and suitable for fast prototyping. But at the same time the possibility to omit type information, which might be helpful during the early stages of a software project, can lead to more error prone code, and eventually to problems in later phases of the development and maintenance. Dynamic typing is also challenging for the compiler's or interpreter's designers. With the type information, a compiler is usually able to emit more efficient code.

Programmers are aware of the possible problems with the maintenance of dynamically typed code and they often include the type information in documentation comments. However, the correspondence of the documentation and the actual code is not checked, and moreover the compiler usually does not take any advantage of having type hints in the comments.

The PHP programming language is one of the mentioned popular dynamic languages and Phalanger [2] is an implementation of a PHP compiler that compiles PHP code into the .NET intermediate code. Phalanger was developed at the Department of Software Engineering of the Charles University in Prague. A part of the Phalanger project is also an implementation of PHP tools for Visual Studio.

Because of its dynamic nature, PHP code is more difficult to analyse than code written in a statically typed language, especially if we want the analysis to be reasonably fast so that it can be used in everyday development. There is ongoing research of the static analysis methods for many different families of programming languages, including dynamic languages. The problem this project is addressing is to adapt and apply those methods on a real world and widely used programming language PHP. The result is a library that is capable of performing static analysis of PHP code and can be integrated into the Phalanger project. The library should be capable of supporting any kind of analysis, for example constant propagation. However, the main goal is to provide a type analysis in order to discover possible type related errors and mismatches with the type information in the documentation comments. Furthermore, in the future the library can be integrated into the Phalanger as a middle-end to provide optimizations for the compiler.

This project and the library has a code name *Control Flow for Phalanger* and we refer to it using this name in the following text.

1.2 Thesis structure

The following chapter describes the challenges connected with analysing source code written in the PHP programming language, approaches to static analysis of source code in general and our adoption of those to PHP. In chapter 3, we

briefly discuss existing software of this kind. Chapter 4 provides more detailed description of our implementation. The analysis has been evaluated on several middle to large sized open source PHP projects and the results are presented in chapter 5.

2. Analysing PHP Code

The PHP programming language first appeared in 1995[3]. Over the years the language has evolved and so have the ways how programmers use it. This project focuses on PHP version 5.5¹ and the aim for the analysis is to work well on PHP source code written in an object oriented style, using modern PHP patterns and idioms that are described later in this text. The analysis, however, should provide correct results for any valid PHP code of any PHP version. We do not focus only on websites, but also on PHP libraries and frameworks that by themselves do not contain any PHP files that produce HTML or any other output for the user.

2.1 PHP semantics

This section describes some important parts of the semantics of the PHP programming language, especially those that represent a challenge for static analysis.

In PHP, local or global variables, object fields and function or method parameters are dynamically typed, which means that they can hold values of completely different types at different times of the execution.

2.1.1 Local Variables

Local variables in PHP do not need to be declared explicitly. Instead the first usage of a variable is also its declaration. If a variable's value is used before the variable got any value assigned, then the interpreter generates a notice, however the execution continues and value `null` is used instead. A variable can get a value assigned to it when it appears on a left hand side of an assignment or when a reference to that variable is created, in which case it gets value `null`, but no notice is generated. References are discussed in one of the following subsections.

The scope of a local variable is always its parent function not the parent code block as in other languages like C or Java. So in the following example, the usage of variable `$y` at the end of the function can generate uninitialized variable notice, however, if `$x` was equal to 3, `$y` will have a value although it was declared in the nested code block.

```
function foo($x) {  
    if ($x == 3) { $y = 2; }  
    echo $y; // uninitialized variable if x != 3  
}
```

2.1.2 Global and Local Scope

PHP distinguishes two scopes for variables: global scope and local scope. Local scope is a scope of local variables within a user defined routine. Variables that are declared in global scope, that is outside of a user defined routine, are available anywhere in global scope and are called global variables. Global variables are also

¹From this point, if the PHP version is not stated explicitly, it is implicitly 5.5.

available in user defined routines as long as they are imported into the routine's scope using the keyword `global`.

```
$g1 = 1; // global variables g1 and g2
$g2 = 2;
function foo() {
    global $g1;
    echo $g1; // prints the value of global variable g1
    $g2 = 4; // sets the value of local variable g2,
    // because global variable g2 was not imported,
    // its value does not change
}
```

Global variables can be imported and used in any user defined routine. This means that even if we know some type information about a global variable's value at some point in the analysed code (e.g. straight after assignment to that variable), any time another user defined routine is invoked, we have to take into account that the other routine can change the value of the global variable even if we do not pass the global variable to the invoked routine as an argument passed by reference.

2.1.3 Closures

PHP also supports anonymous functions. An anonymous function has its own scope as any other function and its local variables are not visible to the scope where it was declared. Variables from the parent scope are available in the closure scope only if they are explicitly imported to its scope and they can be captured by value or by reference. Only the later represents a challenge for the analysis, because any code that can access the closure can invoke it and thus change the values of variables imported to the closure's scope by reference. By invoking a closure, we can influence the values of variables in a completely different and otherwise inaccessible scope.

2.1.4 References

References in PHP are similar, but not same, as pointers in the C programming language. PHP has a special operator `=&` (assign by reference) that turns the variable on the left hand side into a reference to the variable on right hand side. For example `$a=&$b`, after this, any assignment to `$a` will in fact change the value of `$b` and wherever the value of `$a` is used (e.g. in an expression), the value of `$b` is used instead.

The variable where the reference is pointing to is determined in a transitive fashion, which means that if we assign by reference another reference, the new reference will point to where the other reference was pointing to, but the intermediate link is lost. The following example illustrates this.

```
$a =& $b; // a points to b
$c =& $a; // c points to where a points, that is b
$a =& $d; // a points to d, but c still points to b
```

2.1.5 Arrays

Arrays in PHP do not have to be homogenous and they can be indexed by either integers or strings. In fact, PHP arrays are hash maps rather than arrays in the usual sense and that is also how they are implemented internally.

String indexed heterogenous arrays are often used as flexible ad-hoc structured data type. Instead of defining a class with required fields, one can use what would be a field name as an index into an array. Such arrays are usually indexed only with finite number of constant string values.

In this light, it is no surprise that using the subscribe operator `[]` with string index on an object instance will access the field with the same name as the index value.

2.1.6 Interesting Control Flow Structures

The **break** and **continue** statements with optional numeric argument are supported in PHP in a similar way as in other standard imperative programming languages. There are, however, important differences to be noted.

Firstly, The numeric argument can be an arbitrary expression in some of the older versions of PHP, in which case we cannot statically determine the target of the jump for the control flow resolution.

Secondly, the **switch** statement is considered a loop for the purposes of both **break** and **continue**. The semantics of **break** is intuitive. One of the meaningful use cases is to break a loop from within a **switch** by using **break 2**. The semantics of **continue** statement is perhaps not so intuitive: within a **switch** it works the same way as **break**.

Switch Statement Semantics. The basic semantics of the **switch** statement in PHP is again very similar to that of other standard imperative programming languages. The **switch** statement in PHP permits an arbitrary expression as the value to be used for comparison with values of its **case** labels. Furthermore, the values of **case** labels can also be arbitrary expressions and because we are in a context of dynamic programming language, they can again evaluate to a value of any type.

At runtime, the switch expression is evaluated only once at the beginning, and if it has an undefined value (undefined variable, void function call), then the control flow goes directly to the default item, without evaluating the expressions in the case items. If the value is defined, then it is one by one compared to the values that the **case** labels evaluate to. If a **case** label evaluates to **boolean** value, then it is used to decide whether to jump to that **case** item or continue with evaluating the value of the next **case** label. Note that the value of switch expression is not compared to the **case** label value. If a **case** label evaluates to a complex type (**object** or **array**), it is ignored and evaluation continues with the next **case** label. And finally, if a **case** label evaluates to an **integer**, **float** or **string** value, it is compared to the switch expression. All these expressions can have side effects due to usage of assignments as expressions or calls of functions with side effects.

PHP also allows to place the **default** label anywhere in between the other **case** labels. This can be used for fall-back to or from a **case** item as in the

following code sample that is abbreviated version of actual code taken from the WordPress[4] code base.

```
switch ( $status ) {
    default:
    case 'install':
        $actions[] = '<a class="install-now" ...';
        break;
    case 'update_available':
        $actions[] = '<a class="install-now" ...';
        break;
    case 'newer_installed':
    case 'latest_installed':
        $actions[] = '<span class="install-now" ...';
        break;
}
```

2.1.7 Conditional Declarations

User defined functions, classes, etc. are declared in a global scope in PHP, that is a scope where one can as well place any arbitrary code. Therefore a declaration can be wrapped in any control structure. It is not allowed to redeclare once declared symbol, however.

A typical use case is to dynamically import a file that may provide some functions and then check, using `function_exists`, whether the functions were indeed declared and if not, provide default implementation. This is a pre-object-oriented way of doing overriding and is usually not to be found in modern projects. Nonetheless, WordPress still relies on this pattern in parts of its code base.

Although the mentioned pattern could be deemed as reasonable and useful. This feature allows to write very problematic code as in the following example that may or may not crash on fatal errors “Cannot redeclare foo()” or “Call to undefined function foo()” depending upon the user input.

```
while ( $_POST['a'] != 3 ) {
    function foo() { return 5; }
    $_POST['a'] = $_POST['b'];
}
echo foo();
```

2.1.8 Auto-loading

Historically, in PHP in order to reference any symbol from a different file, one had to import that file explicitly. Newer versions of PHP support customized auto-loading. A user defined routine can be invoked by the runtime every time an undefined class is referenced. The auto-loading routine is then responsible for importing the file(s) that contain the code of the required class.

Auto-loading routine can use arbitrary logic to determine what file(s) to import, in fact, it can execute arbitrary code. Typical pattern used for example in Zend Framework[5] before namespaces were introduced to PHP is to have a file

per class and use class names in form of `CodeFolder1_SubFolderName_FileName` for a class placed in file `CodeFolder1\SubFolderName\FileName.php`.

2.1.9 PHPDoc Annotations

Although not part of the official PHP syntax, there is a widely recognized format for documentation comments of JavaDoc style called PHPDoc. PHPDoc comments may contain type information that cannot be expressed using PHP syntax. For example, PHP allows “type hints” for routine parameters, but only for class types, not for primitive types like `int`. However, primitive type expectations can be included in the documentation comments. The important difference is that PHP will throw an exception at runtime if a routine is invoked with a parameter of different type than what its “type hint” is. The documentation comments, on the other hand, are of course ignored by the runtime.

The PHPDoc defines a fairly advanced syntax for expressing type information. It supports multiple primitive and class types, homogenous and heterogeneous arrays as well multidimensional arrays, and some constants like `false`. For example, in the following code the documentation comment tells us that function `foo` can return either `null`, or `false` (but should never return `true`), or an array of `integer` values.

```
/**
 * @return null/false/int[]
 */
function foo() { ...
```

2.2 Static Code Analysis

Static analysis of source code is an analysis that is performed without executing the code. This means that we do not need to have a web server, for example, in order to analyse code of a web application. We can also guarantee some properties of the analysis that would not be possible to guarantee if we executed the code. Namely the halting property and upper bounds on time and space complexity. Arbitrary code may not halt if executed, but static analysis of such code can still halt and give results.

Static analysis can be used to get possible types of an expression in a dynamically typed language, to find out expressions that have constant value through constant propagation and many other problems. Static analyses usually do not give accurate solution, but its approximation, which can be an over-approximation or an under-approximation and it is up to the designer and user of the analysis which one is acceptable for his or her² purposes.

2.2.1 Terminology

Static analyses are usually described in the form of inference rules. An example of an inference rule can be “if the types of expressions e_1 and e_2 are integers,

²“His” or “he” should be read as “his or her” or “he or she” through the rest of the text.

then the type of expression $e_1 + e_2$ is integer”. Those rules can be more formally described with the following notation

$$\frac{\vdash e_1 : Integer \quad \vdash e_2 : Integer}{\vdash e_1 + e_2 : Integer}$$

where above the horizontal line we have hypothesis and below is the conclusion. The exact notation is not important, we will be using it intuitively to illustrate the ideas that we describe.

Flow Sensitivity. The example inference rule is not valid, if we admit that evaluation of expression e_1 can influence the type of expression e_2 or vice versa. In such case, the ordering of the expressions is important, but not captured in the inference rule. Therefore this rule is *flow insensitive*. If we make the hypotheses more complex using additional constraints to capture the ordering and dependency of the expressions, it will be *flow sensitive*.

Path Sensitivity. If we admit conditional control flow statements, like if-then-else, we can have more possible paths through the program. In our example, let us say that there is an if statement before the expression e_1 and the expression e_1 can evaluate to different type if the then branch of the if-then-else statement is taken than if the else branch is taken. If the inference rules do not model this, like our example rule, we say that the inference system is *path insensitive*, otherwise we say that it is *path sensitive*.

TODO: I should do some more reading about path sensitivity, is this a correct description? Add an example of how such inference rule would look like?

Abstraction. Another example of problem that can be partly solved with static analysis is the sign of integral variables. We can have inference rules of the following form.

$$\frac{\vdash v_1 : -10(sign : \ominus) \quad \vdash v_2 : 3(sign : \oplus)}{\vdash v_1 + v_2 : -7(sign : \ominus)}$$

However, the implementation would not be very efficient and we sometimes do not have the full information about variables values, but in some cases we can deduce another less precise, but still useful piece of information by other means. For example, variable of type **unsigned integer** will always be positive, we can count on that even if we do not know the actual value. What we can do is to abstract the possible integral values with set $\{0, \ominus, \oplus\}$ with the following meanings

- \ominus represents all negative integers,
- \oplus represents all positive integers,
- 0 represents zero,

and rewrite the inference rules as follows:

$$\frac{\vdash v_1 : \ominus \quad \vdash v_2 : \ominus}{\vdash v_1 + v_2 : \ominus}$$

Nonetheless, there is another problem. What to do when we have \ominus and \oplus in the hypothesis.

$$\frac{\vdash v_1 : \ominus \quad \vdash v_2 : \oplus}{\vdash v_1 + v_2 : ?}$$

The solution is to extend the domain so that it is closed under all operations. We add another element to our set:

- \top represents an unknown value (either positive, negative, or zero).

Then the rule will be:

$$\frac{\vdash v_1 : \ominus \quad \vdash v_2 : \oplus}{\vdash v_1 + v_2 : \top}$$

And for example another rule dealing with \top in hypothesis:

$$\frac{\vdash v_1 : \ominus \quad \vdash v_2 : \top}{\vdash v_1 + v_2 : \top}$$

2.2.2 Data Flow Analysis

Data Flow Analysis (DFA) is a static analysis framework for compiler optimizations and verification that scales to large code bases [6], [7].

Control Flow Graph. DFA is typically performed on a control flow graph, although there are exist approaches to DFA without explicit control flow graph construction [8].

Control flow graph nodes, also called basic blocks, are program statements that are always sequentially executed. Directed edges represent the control flow between basic blocks, for example, jumps in the control flow due to conditionals, `goto` statements or any other statements that can change the flow of the program. Control flow graphs usually contain two special nodes: entry node and exit node. The entry node does not have any incoming edges and all the paths lead to the exit node. An example of a control flow graph is given in figure 2.1.

Lattices. The reasoning behind correctness and termination of DFA is based on an algebraic structures called lattices. A lattice is a partially ordered set in which every two elements have a least upper bound, called supremum, and a greatest lower bound, called infimum.

Bounded lattice is a lattice that has a greatest element and a least element, usually denoted as \top and \perp . A bounded lattice is depicted in figure 2.1.

What is important about lattices for DFA analysis is that if we have a bounded lattice (S, \leq_s) and a function $f : S \rightarrow S$ that is monotonous, i.e. $\forall a \in S : a \leq_s f(a)$, then $\forall x \in S \exists k \in \mathbb{N}$, such that $f^k(x) = f^{(k+1)}(x)$. $f^k(x)$ is called a fixpoint.

Intuitively, f has to have a fixpoint because for every argument y , it must either return y itself, but then y is a fixpoint, or it returns another element that is strictly greater than y , but this cannot go on forever, because eventually f will be given \top for which it does not have any other option but returning \top and we have a fixpoint again.

The following few paragraphs will finish the description of Data Flow Analysis.

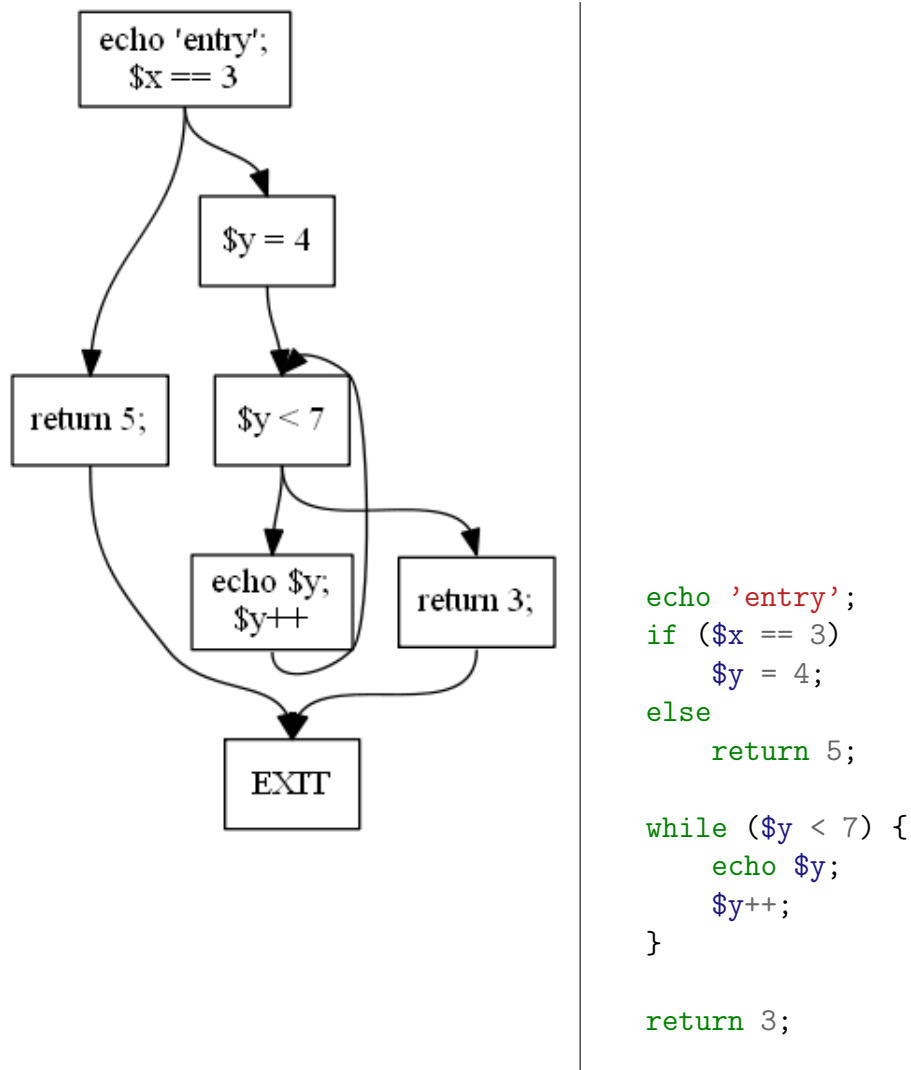


Table 2.1: Control flow graph

2.2.3 Intraprocedural Analysis

So far we have been discussing an analysis of a single function or method³. However, if we want to analyse whole program or a library, the interaction between the routines can be taken into account to make it more precise.

Context Sensitive Intraprocedural Analysis. The most precise solution would be to take into account the calling context when analysing a function. In different contexts, the function can be, for example, given different parameters values which may then influence the result of the analysis. More precise result for a specific call site context can be propagated to that call site, yielding another gain in precision when analysing the function that realises the call.

The following few paragraphs will discuss feasibility of Context Sensitive Intraprocedural Analysis, because call sites are not always known, practical consequences and usual approaches to make Context Sensitive Intraprocedural Analysis

³We will use term routine to designate a global function, static or instance method

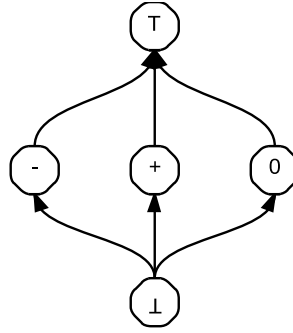


Figure 2.1: Bounded lattice with 5 elements.

more scalable.

2.3 Control Flow for Phalanger Approach

Description of the analysis used in our case using the terminology built up in the previous section. It will be more abstract description, without technical details about implementation.

- Modular approach that comes from assumption that reasonably written PHP code will be close to equivalent to a statically typed code: (Aggressive Type Inference, by John Aycock: Giving people a dynamically-typed language does not mean that they write dynamically-typed programs)
 - No heap abstraction: we use classes – enough for our purposes, no constant propagation, no null propagation, ...
 - No context sensitivity: we analyse routines in modular way.
 - Simple points-to analysis and lambda capture by ref.
 - Arrays: modelled as local variables.

3. Existing Software

It is not in the scope of this thesis to provide a comprehensive overview of the work related to static analysis of PHP or type inference in dynamic languages in general. Furthermore, those topics are being actively researched, but Control Flow for Phalanger’s focus is to adapt and apply some of the results of the research that are deemed to be useful for the purposes of type analysis of PHP.

3.1 Security Vulnerabilities in Web Applications

Most of the existing work on static analysis of PHP is focused on discovery of security vulnerabilities in web applications that typically come from improperly handled user input, also called taint-style vulnerabilities. It is important for such analyses to be able to follow the flow of data from global variables like `$_POST` that contain user input, therefore more precise model of heap memory is required so that flow of data in between object instances can be analysed. Likewise, context sensitivity is important in this case.

An analysis for security vulnerabilities has also a different model of usage. Such analysis can be run not so frequently, for example, only before release or as a part of a continuous build process. Interactive on-the-fly analysis in an integrated development environment could also be a viable use case, but typically not a main goal. Moreover, such analysis is certainly not expected to be run every time the application is to be compiled or interpreted.

Some of the available tools for detecting taint-style vulnerabilities in PHP are Pixy[9] and recently released Weverca: Web Verification Tool[10].

3.1.1 Weverca: Web Verification Tool

Precise Memory Model with aliasing, CFG inlining, assume nodes, supported PHP versions

3.2 Type Inference

Type inference for dynamic languages is typically implemented for the purposes of compiler or interpreter. A notable implementation is type inference for PHP in Facebook’s Hip Hop project [11], which is a compiler from PHP to C++ and a custom intermediate language that can be run in Hip Hop virtual machine. Hip Hop performs type analysis in order to find single type for a variable, but does not attempt to analyse the possible variable’s types further as long as it does not have only one single type.

There are implementations of type inference for other dynamic languages. Ecstatic[12] is type inference for Ruby implemented using control flow insensitive cartesian product algorithm. Rubydust[13] introduces a *constraint based dynamic type inference* that infers static types based on dynamic program executions.

3.2.1 Phantm

Phantm[14] is a tool for detection of type related errors. From all the projects mentioned in this chapter, the aim of Phantm is closest to our project, which is why we also used Phantm for evaluation and compared its results with ours in section 5.1 Comparison to Phantm.

Phantm uses semi-dynamic and semi-static analysis approach. The web application in question is run up to a defined point, which is invocation of special Phantm’s function that collects data about the state of the application, especially, values of global variables. This data is then used as an initial state for static analysis. The dynamic part of the analysis is called bootstrapping. This design illustrates that although type related errors can be searched for in generic frameworks, libraries or, for example, command line utilities written in PHP, Phantm’s focus is on complete web applications.

precise memory model, routines inlining NOTE: comparison on design level here. Discussion of what are the implications of the design with respect to the results and comparison to our results – in section “results”.

4. Implementation

4.1 Implementation Specific Constraints

One of the requirements was that the project should be implemented in the context of the Phalanger project. It should be ready to be plugged in between the Phalanger’s front-end and back-end and it should also provide public interface useful for the Phalanger PHP Visual Studio tools.

Abstract Syntax Tree. Phalanger front-end parses PHP code into an Abstract Syntax Tree (AST) [7] structure. This structure is then traversed by the back-end using the visitor design pattern [15]. Phalanger does not use any other intermediate representation than AST and the back end transforms the AST directly to Microsoft Intermediate Language (MSIL).

In order to reduce the memory consumption and provide better modularity, Phalanger code went through minor architecture refactoring before this project was started. The classes representing the AST nodes originally contained the code and data needed for emitting the corresponding MSIL opcodes. This, however, represents a coupling between the front-end and back-end and the front-end could not be used just on its own. In the new version, the classes representing AST nodes are capable of storing additional attributes in an extensible way and the back-end have been rewritten to be an ordinary AST visitor that uses the extensible AST nodes attributes. The attributes provide a way to annotate AST nodes with any additional information, which in our case will be the results of the analyses.

Integrated Development Environment Integration. The PHP Tools for Visual Studio use Phalanger front-end in order to parse PHP code into AST and then the AST is again traversed to provide code completion and other features. All the AST nodes hold necessary pieces of information, for example, the position in the source file or documentation comments.

The aim of this project is to provide the results of type analysis and other analyses to the integrated development environment so that the possible errors and warnings can be visualised.

The longer term aim of this project, not in the scope of this thesis, is to replace the existing algorithms for code completion, “jump to definition” and “find usages” features. Because with a dynamic language like PHP, it is not trivial to find all the usages of, for example, a class or determine a definition of, for instance, a field accessed on some local variable. In order to provide more precise results, type analysis is needed.

One of the challenging parts of integrated development environment integration is also dealing with incomplete code that is being typed in by the user. Therefore one of the requirements was also that the analysis should be capable of performing an ad-hoc re-analysis of once analysed code with a new statement added. This ad-hoc re-analysis should be, if possible, more effective than doing the whole analysis again.

4.2 Overall Design

The project is divided into several modules.

- Control Flow Graph,
- Intermediate Representation of PHP Code (Phil, RPhil),
- Generic Data Flow Analysis Framework,
- Tables with Type and Other Information,
- Concrete Analyses:
 - Dead Code Elimination,
 - Aliasing Analysis,
 - Constant Propagation,
 - Type Analysis.

The interactions between those modules on a conceptual level when performing an analysis are depicted in diagram 4.1. Green elements represent extension points. Red arrows represent the core flow of the algorithm.

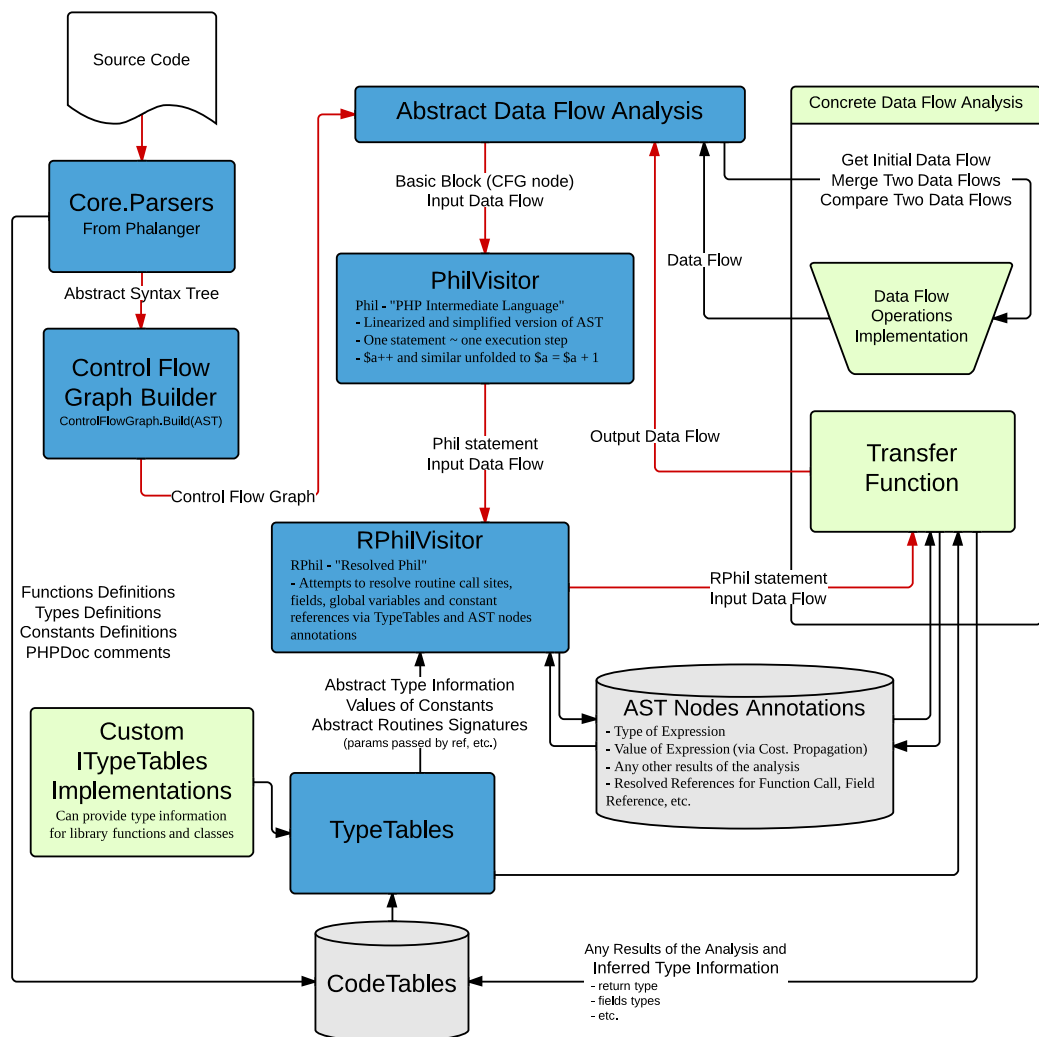


Figure 4.1: Control Flow for Phalanger Design Overview

Intermediate Language. One of the goals of the design was to stay as close as possible to the original AST representation, so that results of an analysis can be easily propagated to an IDE and that the Phalanger back-end does not have to be rewritten in order to leverage the this project.

Control Flow for Phalanger uses two intermediate representations on a conceptual level, however, they are usually not explicitly constructed as described later in the text. The purpose of the intermediate representations is to simplify the design of the analyses.

Phil stands for *PHP Intermediate Language* and is very abstract representations close to the original AST. Phil contains only statements and expressions that can be in a Basic Block, therefore it does not contain most of the control flow changing statements like if, switch, or loops. A Phil statement represents the smallest single step of an execution that can change state of variables or global state or throw an exception. Syntactic constructs like `$i++` are unfolded to `$i = $i + 1`, which is then split to evaluation of binary expression and assignment expression that uses the result of the binary expression. In some sense, Phil can be viewed as a three address code.

RPhil stands for *Resolved PHP Intermediate Language*. RPhil is basically a Phil with resolved symbols where possible. By resolved symbols, we mean references to the elements of Type Tables discussed in one of the following paragraphs. In order to resolve the symbols, the module building RPhil can use names explicitly expressed in the code, for example, for direct local variable access `$a`, or results of an analysis, for instance, results of the type analysis to resolve method calls and fields references. RPhil itself is typically consumed by the analyses, so the accuracy of RPhil and subsequently of the analyses results can be improved by iterative execution of the analyses.

Analyses. The Data Flow Analysis is performed on Control Flow Graph nodes called basic blocks. Each basic block contains a list of Abstract Syntax Tree elements. This list is then, usually on the fly, transformed to corresponding PHP Intermediate Language elements before they are passed to the flow function of a concrete Data Flow Analysis implementation.

Basic block can also contain already transformed PHP Intermediate Language elements. However, the interface does not change from the point of view of a concrete Data Flow Analysis implementation.

The Control Flow for Phalanger also contains and allows to plug-in simple analyses that are performed for all basic blocks sequentially without taking the control flow into account. Each basic block is then analysed only once. An example of such analysis is Aliasing Analysis.

The last analysis type, which stands aside, is Dead Code Elimination. It is performed on the Control Flow Graph, but traverses it on its own as opposed to a concrete Data Flow Analysis that only visits basic blocks in order determined by the Data Flow Framework, i.e. does not perform the graph traversal on its own. Control Flow for Phalanger also allows to add custom analyses that are performed on the raw Control Flow Graph.

Analyses Results. The results of an analysis can be annotations added to the AST node objects, or annotations of basic blocks, which support extensible

attributes in the same way as AST nodes.

The results of an analysis can also be pushed into the Code Tables. Code Tables gather relevant information about code elements like classes, functions, and so on. It is mainly type related information, for example, a return type of a function, but also which parameters are passed by reference or whether the function returns a reference.

Type Tables. This module provides almost the same information about routines, types, global variables and constants as Code Tables. However, in this case the information is abstract, because it might not be directly related to an actual element that can be found in the source code. This is the case of library functions and classes, but it also gives a possibility to merge the information of two or more conditionally declared elements.

Extensibility. The whole project is designed as a class library and framework with many extension points. Some of the functionality can be used independently. For example, Control Flow Graph builder to generate diagrams.

Nonetheless, in order to provide better usability, Control Flow for Phalanger also contains a Facade class **AnalysisDriver** that plugs in together all the necessary objects and provides a simple interface to perform a defined analysis of a file or a given piece of code.

4.3 The Intermediate Languages and Control Flow Graph

The aim of the intermediate languages is to simplify the interface for concrete analyses implementations. However, at the same time, it was desirable to stay as close to the original AST elements as possible in order to easily propagate the results and to easily integrate Control Flow for Phalanger into the Phalanger project. Lastly the intermediate language should stay close enough to PHP code so that PHP specific patterns can be recognized.

4.3.1 Phil: PHP Intermediate Language

Motivation

The main aim of Phil is to provide a framework for traversing the AST elements in the order of their execution in the smallest execution steps possible with respect to their possible effects to the environment. As opposed to implementing a full blown AST visitor for every analysis, Phil helps to avoid repetitive code that implements the AST structure traversal and breaking down some of the syntactic constructs like `$i++`. As discussed later, a “Phil element” is often just a conceptual term, but in reality most of the Phil elements are represented directly by AST elements in order to save resources and stay close to the original AST.

Implementation

Phil is implemented mainly by two classes `PhilVisitor` and `Linearizer`, which is a class nested in `PhilVisitor`. The `PhilVisitor` class implements a variant of the visitor design pattern with virtual `Visit*` methods for all the Phil elements. The `Linearizer` is implementation of a visitor for the AST elements and it converts them to Phil elements.

An important difference between original AST elements and Phil elements is that Phil does not have a hierarchical structure. Therefore an implementation of the `PhilVisitor` class does not need to perform recursive traversal for every visited element.

Under closer look, most of the AST elements correspond to exactly one Phil element¹. Those AST elements are passed to `PhilVisitor` as they are. So in this case the `Linearizer`, as the name suggests, performs only the traversal and linearizes the AST structure for `PhilVisitor` so that it does not have to care about AST structure traversing.

Elements that have to be unfolded into several operations, such as `IncDecEx` representing post or pre increment or decrement, have to be represented by more elements. One option would be to create a whole new alternative AST structure that would correspond the unfolded code. In our approach, we reuse the AST element to represent one of the unfolded operations and create new special Phil elements that wrap the original AST element and their only purpose is to indicate the stage of the compound operation. So for example, `IncDecEx` is broken down to

- `Expression` that represents the variable access and is recursively broken down to Phil elements,
- `IncDecEx` that represents the binary operation, so the `VisitBinaryEx` method is invoked for it on `PhilVisitor` as for any other binary operation.
- `IncDecPhilAssignment` that represents the assignment, and the `VisitAssignment` method is invoked for it on `PhilVisitor` as for any other assignment.

Because we have an object instance to represent every Phil element, we do not have to visit them on the fly, they can also be saved into an array and visited by the `PhilVisitor` later. This enables us to perform the traversing only once, shall it be a performance issue in the future. It is also possible to create accurate control flow graphs when it comes to try-catch blocks, because a single AST element, can cause exception in any stage of its evaluation, therefore it should be split into single execution steps and those put into separate basic blocks so that an edge from each of them to the catch block can be created. Note that the design is prepared for this case, but it is not implemented yet.

Variables Accesses and Context Information

The AST is broken down to small pieces visited by the `PhilVisitor`, which does not have to take care about their structure or order. However, sometimes a context information is required in order to handle some elements properly. This is the case of expressions that can be used as left hand side of an assignment

¹or they are ignored, which is the case of most control flow changing statements and declaration statements

or as a parameter passed by reference. In those cases the `Visit*` method of `PhilVisitor` takes another parameter of type `VarUseContext` that identifies the context in which the expression is accessed.

To simplify the AST further, all the accesses to a memory location, be it local variable access, instance field access, or any other, are wrapped in an implementation of abstract class `VarLikeConstructInfo`. This class provides unified interface for typical operations performed on this kind of elements. Namely, for example, `GetMayReferenceVars`, which returns local variables that this access can point to (typically using the results of points-to analysis).

4.3.2 RPhil: Resolved PHP Intermediate Language

RPhil elements are the same as Phil elements, but with additional information retrieved from Type Tables where possible. `RPhilVisitor` implements a variant of the visitor pattern in the same way as `PhilVisitor`, but the virtual `Visit*` methods take additional parameters that provide this kind of information.

A simple example is direct static field access, in which case the resolving is trivial, because the `RPhilVisitor` can get the class and field's name directly from the AST element. However, even a direct object's method access, is challenging, because we do not know the type of the expression that is being dereferenced using `->` operator.

RPhil does not perform any analysis in order to resolve such cases, but by convention it looks for certain additional attributes attached to the AST elements in question. Those attributes are expected to be filled in by analyses. Concretely, every expression can be annotated with its type and value.

4.3.3 Control Flow Graph

Control Flow Graphs are constructed by an implementation of the AST visitor. The class holds an instance of a basic block that is being currently constructed. Statements are sequentially visited, and those that do not change the flow of the control are just added to the current basic block. Statements that change the flow lead to a creation of new basic blocks that have incoming edges from the previous basic block.

This structure works for well structured code, but PHP permits `goto` statements, especially forward ones are problematic, because at the moment the `goto` statement is being processed, the basic block for the target might not be constructed yet. For this purpose a table of `goto` statements and all the labels is created during the control flow graph construction and the `goto` statements are connected to their `labels` at the end once the whole routine body is processed.

Exceptions

When a try code block is processed, every statement is placed in its own basic block that is connected to the basic block of the consecutive statement and connected to all the possible catch code blocks.

The possible catch blocks are chosen pessimistically, so a statement in try code block is connected to all the catch code blocks, even nested ones, up to a catch block that catches generic `Exception` or to the graph's `exit` basic block.

Edges

Control Flow Graph edges can have an optional attribute which states the expression that has to hold if this edge is taken during the execution. For example, an edge to then branch of `if ($x==3)` will have expression `$x==3` and the analyses may work out from it that `$x` is equal to 3 in the basic block corresponding to the then branch.

4.4 Data Flow Analysis

A data flow analysis (DFA) in general can be performed on any graph, the implementation of Control and so even in our case, we did not want it to be tied Flow Graph. For this purpose, our implementation of DFA is performed on interfaces that Control Flow Graph implements, but they can be implemented, for example, by definition-use graph[7] and DFA can be run on this graph too. The interfaces are depicted in figure 4.2.

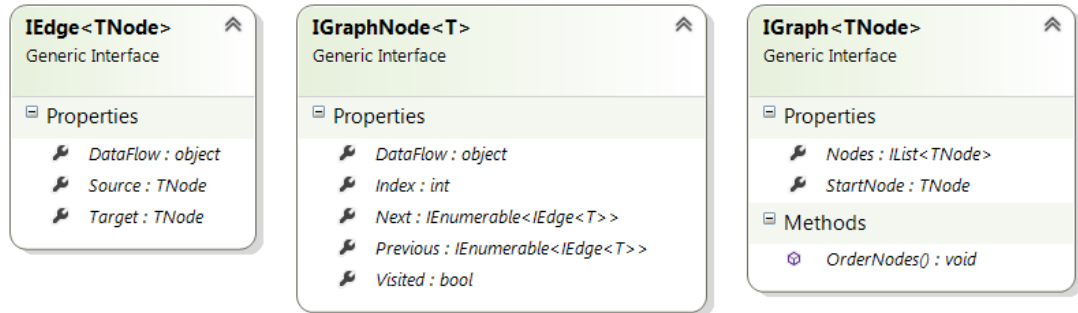


Figure 4.2: Generic Graph Interfaces for DFA

The generic data flow framework handles the order in which the graph nodes should be visited, compares the input and output data flows and decides when the analysis has reached a fix-point. However the concrete type of data flow, operations with data flow instances and the transfer function are left to be defined by a concrete analysis. In Control Flow for Phalanger, two types of analysis can be implemented. The basic one processes only nodes; the “branching” one also processes edges, in which case it can take the branching expressions of Control Flow Graph into account, for example, but in general any information that the concrete implementation of `IEdge` can provide.

The operations with data flow objects could be carried out by the objects themselves, but this would mean that already existing classes that happens to be suitable for being a data flow would have to be wrapped. And also one data flow representation, could not have different operations for different analyses. An example of this is `BitVector` class from .NET class library: it cannot implement any additional interface, and some analysis perform union of two vectors as the meet operation, while others perform intersection.

Nonetheless, having the data flow objects implement the operations by themselves is more convenient and allows better encapsulation. Because Control Flow for Phalanger is meant as a framework for as well as software on its own, both

scenarios are supported and some convenient generics based implementations of required interfaces are provided. The whole design is captured in diagram 4.3.

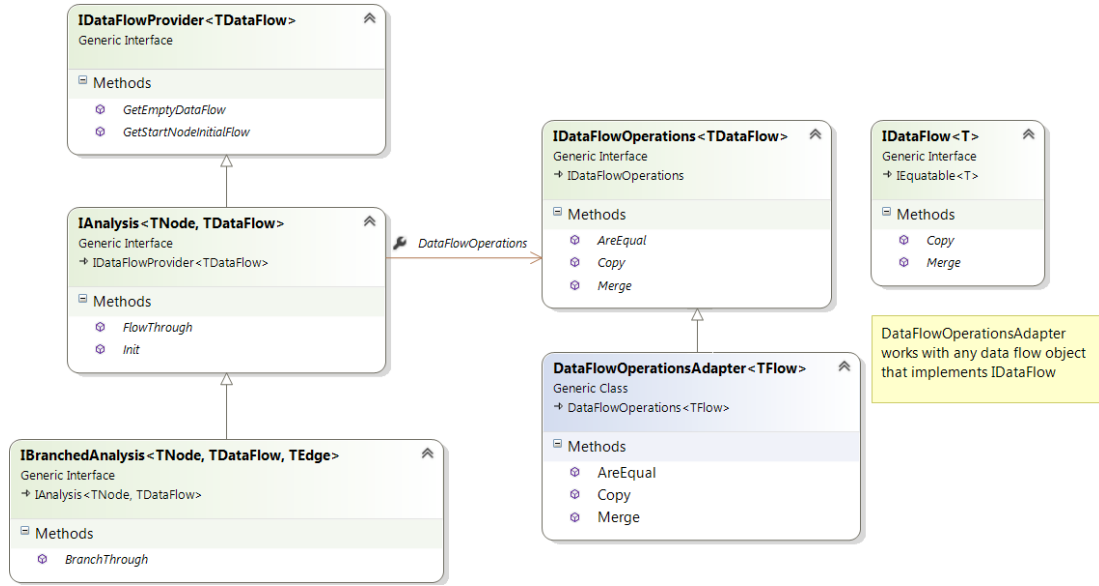


Figure 4.3: Interfaces for concrete Data Flow Analyses

A simple example of concrete Data Flow Analysis is the built-in constant propagation analysis, which is discussed in one of the following sections.

4.5 Tables

Tables module responsibility is to maintain a database of symbols that can be referenced from the code. This module distinguishes between two kinds of symbols: symbols that can be found in the analysed code, and external symbols, which could be library functions, for example.

4.5.1 Type Tables

The most generic interface `ITypeTables` for querying the tables database merges the two kinds of symbols and provides mainly abstract type related information. The structure is shown in class diagram 4.4.

The tables need to know a context from which the query is made, because certain names can refer to different symbols in different namespaces for example. The `ContextManager` class maintains the current context and the consumers of the `ITypeTables` should invoke `EnterContext` and `LeaveContext` on its `ContextManager` to provide the correct context for their queries.

Global variables and fields provide two pieces type information: `ExpectedType` is checked when a value is assigned to that variable and the `Type` is used as the type of an expression that accesses the variable. Typically, the expected type is what the PHPDoc states and the “type” can be either what PHPDoc states or type inferred from all the assignments made to that variable.

The fact that results of `ITypeTables` queries are only abstract type information not related to a concrete element in code, allows us to support built-in

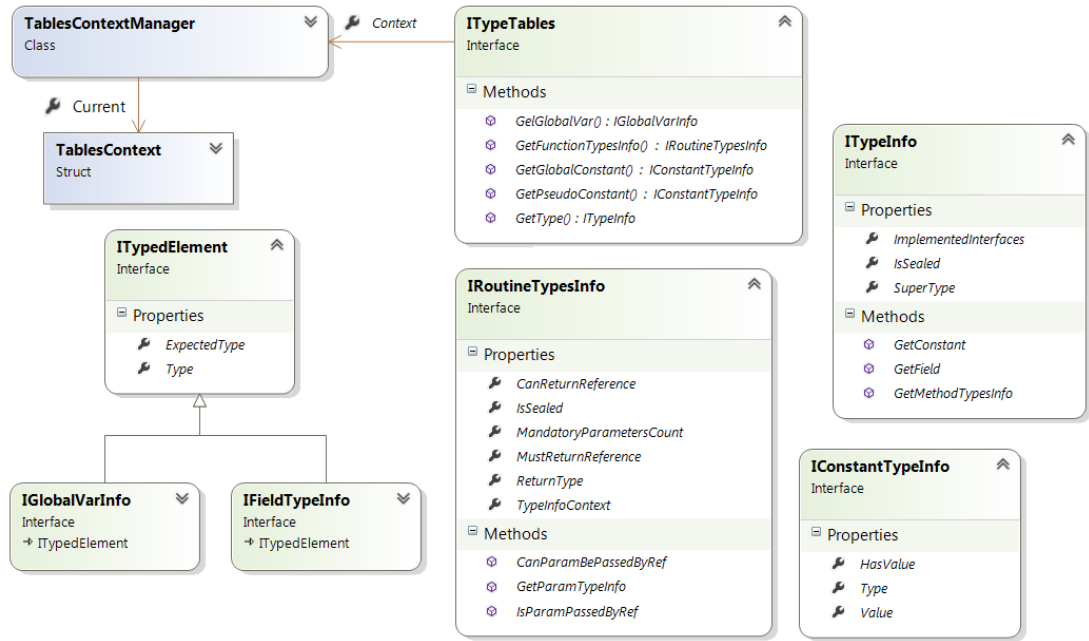


Figure 4.4: The Interface of Type Tables

functions and classes and also to handle conditional declarations by merging the type information from all the occurrences found. However, this has not been implemented, but the design is prepared for it, shall it be considered an important feature in the future. At the moment, if two or more declarations are found, the Type Tables behave as if they did not know the element at all, which preserves correctness for the price of losing precision.

The basic implementation of `ITypeTables` is `Tables` class, which follows a variant of the composite design pattern. It gets a list of other `ITypeTables` implementations as its constructor parameter and queries those one by one until the symbol is found, or returns a value that indicates that the symbol was not found. The actual implementations of `ITypeTables` include Code Tables discussed later and can include user defined `ITypeTables` implementation that provides information about built-in functions and classes.

4.5.2 Code Tables

Code Tables provide information related to concrete elements in the analysed code. So in this case not abstract type related information is provided, but a reference to the AST element with the declaration. Another difference to Type Tables is that Code Tables can return more results for one symbol name, which is because of conditional declarations. Other than that, the interface is similar to the one of Type Tables.

4.5.3 Dependency Resolver

Dependency Resolver serves as an adapter of Code Tables interface to the Type Tables interface. However, in the case of routines, the routine's declaration AST element does not provide all the necessary type information straight away. Espe-

cially inferred return type, which is results of Type Analysis, will not be available if the analysis has not been performed yet.

By convention, all the necessary information for analysing a routine and the results of the type analysis are stored in an instance of `RoutineContext` class in the additional attributes of the routine's declaration AST element. Since this AST element is returned by Code Tables, Dependency Resolver can check if the element has the annotation and if so return it as the result, and if not, it can start analysis of the routine. However, there can be cyclic references between routines, so Dependency Resolver also maintains a list of all the routines that are currently being analysed and if a routine is already in the list, default type information is returned as the query result instead of performing the analysis.

4.5.4 RoutineContext

`RoutineContext` class provides information about a routine needed for most of the analysis in order to analyse the routine. All the local variables, parameters and referenced global and static variables are numbered and accessed through their number instead of their name. The data `RoutineContext` provides are:

- Lists of
 - all referenced types in the routine's body,
 - all referenced variables (local, global, static).
- Results of the points-to analysis: lists of all variables that
 - given variable can point to,
 - can be pointed to by another variable,
 - are captured by reference by some lambda function.
- Control Flow Graph.
- Signature information – implementation of `IRoutineTypeInfo` from Type Tables.
- Results of Type Analysis:
 - inferred return type.

4.6 Analyses

4.6.1 Dead Code Elimination

The Dead Code Elimination is based on the Reverse Post Order algorithm, which is supposed to order nodes in a way that is the most beneficial for Data Flow Analysis and has to be done anyway in order to perform Data Flow Analysis.

Note that the Reverse Post Order algorithm as a part of the Data Flow Analysis module is implemented in a generic way for any `IGraph` implementations.

The algorithm performs a graph search from the *Start* node and after it finishes, the unvisited nodes are at the end of the list with all the nodes. At this point, the list with all the nodes is traversed from the last element and the unvisited nodes are removed until a first visited node is reached.

The Control Flow Graph is design allows to mark some edges as “not executable” if the branching condition is always false. Such edges will be ignored

when the Dead Code Elimination is performed, however, the tagging of edges with false branching condition has not been implemented in the final version.

4.6.2 Constant Propagation

Constant Propagation represents a simple example of non-branched implementation of a concrete Data Flow Analysis.

The lattice for the data flow values of single variable is depicted in figure 4.5. The Data Flow type is class `ConstantPropagationDataFlow`, which wraps an array that contains the value of each variable. Note that the variables names are already converted to numbers by `RoutineContext` and those numbers serve as indexes into this array. The value is an `object` instance, so it can be `null`, which is the least element of the lattice, or its value can be concrete singleton object instance that by convention represents `NotAConstant`, which is the greatest element of the lattice.

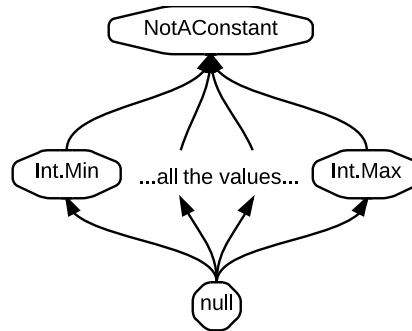


Figure 4.5: The Lattice for Constant Propagation

The transfer function annotates every expression with its constant value if possible. An access to a variable is annotated with this variable's value from the data flow, some expressions can be symbolically executed using the annotations to get operands values, and constants are annotated with their value retrieved from Type Tables.

The data flow is updated only for assignment statement, reference assignment statement and for function call, because some local variables can be passed by reference, which can change their value. The right hand side of the assignment is an expression, which should already be annotated with its value that is used for the data flow value.

4.7 Type Analysis

- *Type Information representation*
- *Data Flow representation*
- *AST annotations*

4.8 The Analyser pipeline and AnalysisDriver

Description of the high level public interface and the phases of the full analysis process.

5. Results

5.1 Comparison to Phantm

5.2 Evaluation on open source PHP code

The project has been evaluated on the following open source PHP frameworks and websites.

PHPUnit: a port of JUnit unit testing framework for PHP; it is a mature and well established project that has been developed for more than 6 years by 156 contributors. Being a unit testing framework, PHPUnit itself has extensive unit test suite. For the experiment the master branch of the clone of the repository retrieved on 18.5.2014 has been used.

Zend Framework: popular general purpose PHP framework. *Lorem ipsum... over 3000 problems reported. Quite a big bite to chew with analysing and categorizing all of them.*

Nette: another popular PHP framework for building websites. *Findings not so impressive: 1 (probably only) documentation error :(*

Piwik: open source version of Google Analytics. *few hundred problems found, not categorized yet*

PrestaShop: open source e-commerce solution. *few hundred problems found, not categorized yet*

Composer: popular dependency management system for PHP libraries. *few hundred problems found, not categorized yet*

An evaluation always started with downloading a git repository with the latest source code of given PHP project. Then the analysis was run and all the discovered problems were collected and categorized. Actual errors were rectified and recorded as commits in the git repository.

Often one real issue in the source code caused several warnings to be reported by the tool. For instance, if a documentation of a type of a field was not correct, most the lines where a value was assigned to that field were reported, however, the root of the cause was actually the only one line with the wrong type documentation. Such cases were counted as a single problem.

5.2.1 Problems Taxonomy

The problems were divided into few main categories described below. Some of the problems recurring across all the projects are discussed in this section, while the more project specific problems are investigated later each project's section.

Style: a category of problems that are not directly affecting the functionality of the application, but they can be considered as a bad coding style.

Relaying on default return value – when an execution of a routine does not end with a return statement, its return value is `NULL` by default, which can then be cast to values of other types, like `false` for example. Developers rely on this feature and omit the return statement if they want to return `NULL` or something that `NULL` can be cast to.

Documentation: inconsistency of the PHPDoc type documentation and what the code does. This category includes only cases where it is clear that the documentation is wrong, not the code, for example, due to updates in the code that were not reflected in the documentation. The inconsistency may also indicate another problem, in which case it does not belong to this category.

Interestingly, most of the inconsistencies of type of a parameter of a function call typically lead through several routines that only forward the parameter to the next routine until eventually the routine that has a wrong documentation is reached.

Missing false in return value type documentation – this is common pattern in PHP where a routine returns `false` when it fails to do what it was supposed to do. For example, function `fopen` returns `resource` of `false` if the resource could not be opened. It is so common that developers tend to forget to put `false` into the documentation.

Actual Error: includes all problems that can cause an unexpected exception or unexpected runtime error or notice.

False Positive: problems reported by the tool that are not in fact real problems. Includes only false positives that cannot be eliminated because of fundamental design reasons or are not intended to be eliminated, because it would cause some actual positives to be missed.

Unused routine arguments – when a method is an override of some base method, it can have the same signature and if some of the parameters are not used, they are not reported. There are however some cases where the routine is implementing some interface by convention that is not explicitly expressed in the syntax of PHP. For example, the pre-object-oriented pattern for global functions overriding. In such case the analyzer cannot determine that the unused parameter is in fact a part of an interface. Note that such function could omit the unused parameter and everything would work the same, therefore this may or may not be considered a false positive.

Amendable false positive – false positives that are reported, although the algorithm the analyzer is using should not report them. Those indicate errors in the implementation.

Built-in documentation errors – false positives due to the inaccuracy of the documentation of built-in functions and classes that was used in the experiment.

5.2.2 Summary

The following table provides a summary of the problems found. There is a table that lists concrete problems found PHPUnit available in appendix.

| Category | PHPUnit | Zend | Nette | WP | total |
|--------------------------------------|---------|------|-------|----|-------|
| Style | 6 | NA | NA | NA | 6 |
| <i>default return value</i> | 2 | NA | NA | NA | 2 |
| Documentation | 10 | NA | NA | NA | 10 |
| <i>missing false</i> | 3 | NA | NA | NA | 3 |
| Actual Error | 1 | NA | NA | NA | 1 |
| False positive | 8 | NA | NA | NA | 8 |
| <i>unused arguments</i> | 1 | NA | NA | NA | 1 |
| <i>amendable</i> | 4 | NA | NA | NA | 4 |
| <i>built-in doc error</i> | 3 | NA | NA | NA | 3 |
| Total (excl. false positives) | 17 | NA | NA | NA | 17 |

5.2.3 Selected Problems

Actual Error When Handling DOMElements

The error is related to the following code (shortened).

```
function assertEqualsXMLStructure(  
    DOMElement $expectedElement/*, ...*/) {  
    ///...  
    PHPUnit_Util_XML::removeCharacterDataNodes($expectedElement);  
    PHPUnit_Util_XML::removeCharacterDataNodes($actualElement);  
    ///...  
    for ($i = 0; $i < $expectedElement->childNodes->length; $i++) {  
        self::assertEqualsXMLStructure(  
            $expectedElement->childNodes->item($i) /*<<< error */  
            /*...*/);  
    }  
}
```

The method `assertEqualsXMLStructure` accepts only instances of `DOMElement`, but it invokes itself recursively with first argument of type `DOMNode`. Because according to the PHP documentation the value of the `childNodes` property of interface `DOMNode` is an instance of `DOMNodeList` and the method `item(integer)` of `DOMNodeList` returns `DOMNode`, it is a type mismatch error as `DOMNode` is not subtype of `DOMElement`.

In the PHP implementation of DOM model, the only implementations of `DOMNode` either inherit from `DOMElement` or implement `DOMCharacterData`, and those are removed from the `childNodes` collection by `removeCharacterDataNodes`. Therefore, in most cases, this code behaves as expected.

However, the `DOMNode` interface can be implemented by any user defined class, which does not have to inherit from `DOMElement`, and if an instance of such class was present in the `childNodes` collection, the code would cause an exception when trying to invoke `assertEqualsXMLStructure` with an argument of wrong type.

Note that if method `removeCharacterDataNodes` removed all the child nodes that are not instances of `DOMElement`, the code would be correct, but the error would still be reported, therefore it would be false positive.

6. Conclusion

6.1 Future Work

arrays support.

Integer interval analysis, make sure the analysis can be conservative enough and integrate it with the compiler back-end.

Spartial Vectors for ExTypeInfoos.

CFG-free analysis without backwards edges, comparison to what we have.

Another direction towards precision: implementing memory model.

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List of Tables

| File | Line | Category | Note |
|------------------------|------|----------------|---|
| Framework\TestCase.php | 1722 | Style | Foreach used in form <code>foreach(\$array as \$key=>\$val)</code> although the <code>\$key</code> variable was not used anywhere. |
| Framework\TestCase.php | 1726 | Style | Discussion follows. |
| Framework\Assert.php | 1861 | Actual Error | Discussion follows. |
| Framework\Assert.php | 1960 | Documentation | The routine code allows one of the arguments to be an array and works with it as such, but the documentation states that it can only be boolean . |
| Framework\Assert.php | 1896 | Documentation | assertSelectEquals method restricts its parameter type to be integer and is invoked with boolean . However, the parameter value gets only forwarded to convertSelectToTag , which accepts any type (mixed). |
| Util\XML.php | 544 | Documentation | Missing false in return value documentation. Built-in documentation error. |
| Util\Test.php | 294 | Documentation | |
| Util\GlobalState.php | 351 | False Positive | |
| Util\Test.php | 360 | False Positive | |
| Framework\TestCase.php | 1941 | Documentation | Field mockObjectGenerator is documented to have type array , but value of type MockObject_Generator is assigned to it. The documentation should be updated. |
| Util\GlobalState.php | 351 | False Positive | Built-in documentation error. |
| Util\Test.php | 46 | False Positive | Function trait_exists is conditionally declared if it does not exist. It follows the same signature of actual built-in function trait_exists , but it has empty body, therefore it does not use its arguments. |

Not finished

Attachments