

CS 383

Lecture 03 – Nondeterministic Finite Automata (NFAs)

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Fall 2023

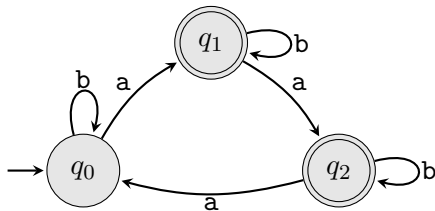
Review from last time

DFA's are 5-tuples $M = (Q, \Sigma, \delta, q_0, F)$

where

- Q is a finite set of states
- Σ is an alphabet (finite, nonempty set of symbols)
- $\delta : Q \times \Sigma \rightarrow Q$ is the transition function
- $q_0 \in Q$ is the start state
- $F \subseteq Q$ is the set of accepting states

A language A is regular if it is recognized by some DFA M , i.e.,
 $A = L(M) = \{w \in \Sigma^* \mid M \text{ accepts } w\}$



Operations on languages

We can define **operations on languages** which are functions that map from one or more languages to a new language

Unary operations are functions that map one language to another

- Complement: $\overline{A} = \{w \in \Sigma^* \mid w \notin A\}$
- Reverse: $A^{\mathcal{R}} = \{w^{\mathcal{R}} \mid w \in A\}$
- Kleene star: $A^* = \{w_1 w_2 \cdots w_k \mid k \geq 0 \text{ and } w_i \in A \text{ for all } i\}$
- $\text{ENDSWITH}(A) = \{xw \mid x \in \Sigma^* \text{ and } w \in A\}$
- ...

Operations on languages

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Binary operations are functions that map a pair of languages to a new language

- Union: $A \cup B$
- Intersection: $A \cap B$
- Concatenation: $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$
- ...

Complement

Theorem

If A is a regular language, then \overline{A} is a regular language.

General proof technique

- ① Start by assuming that A is a regular language
- ② Since (by assumption) A is regular, there is a DFA $M = (Q, \Sigma, \delta, q_0, F)$ that recognizes A (i.e., $L(M) = A$)
- ③ Construct a new DFA $M' = (Q', \Sigma, \delta', q'_0, F')$ that recognizes the language we want to show is regular
- ④ Since the language is recognized by a DFA, it is regular

Complement

Theorem

If A is a regular language, then \overline{A} is a regular language.

Proof.

- 1 Assume A is a regular language recognized by DFA $M = (Q, \Sigma, \delta, q_0, F)$

Complement

Theorem

If A is a regular language, then \overline{A} is a regular language.

Proof.

- 1 Assume A is a regular language recognized by DFA $M = (Q, \Sigma, \delta, q_0, F)$
- 2 Construct a new DFA $M' = (Q, \Sigma, \delta, q_0, F')$ that is identical to M except that the accepting and nonaccepting states have been swapped.
That is, $F' = Q \setminus F$.

Complement

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That is, $F' = Q \setminus F$.
- 3 If M accepts w , then when M is run on w , it ends in a state $q \in F$. Thus, when M' is run on w , it ends in state $q \notin Q \setminus F = F'$ so M' rejects w .

Complement

Theorem

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Proof.

- 1 Assume A is a regular language recognized by DFA $M = (Q, \Sigma, \delta, q_0, F)$
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- 4 If M rejects w , then when M is run on w , it ends in state $q \notin F$. Thus, when M' is run on w , it ends in state $q \in Q \setminus F = F'$ so M' accepts w .

Complement

Theorem

If A is a regular language, then \overline{A} is a regular language.

Proof.

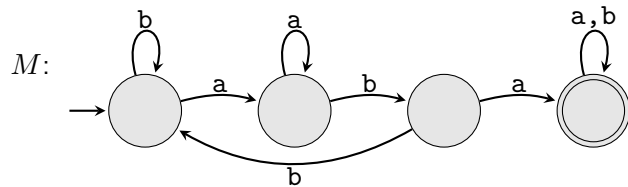
- ① Assume A is a regular language recognized by DFA $M = (Q, \Sigma, \delta, q_0, F)$
- ② Construct a new DFA $M' = (Q, \Sigma, \delta, q_0, F')$ that is identical to M except that the accepting and nonaccepting states have been swapped.
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- ③ If M accepts w , then when M is run on w , it ends in a state $q \in F$. Thus, when M' is run on w , it ends in state $q \notin Q \setminus F = F'$ so M' rejects w .
- ④ If M rejects w , then when M is run on w , it ends in state $q \notin F$. Thus, when M' is run on w , it ends in state $q \in Q \setminus F = F'$ so M' accepts w .
- ⑤ Therefore, $L(M') = \overline{A}$. Since DFA M' recognizes \overline{A} , \overline{A} is regular. □

Complement example

Let $A = \{w \mid \text{aba is a substring of } w\}$

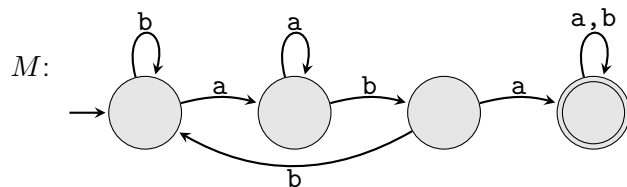
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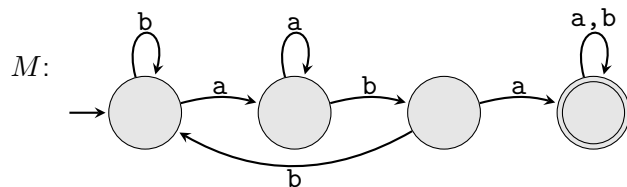
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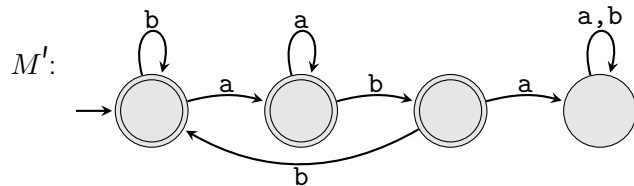
$\overline{A} = \{w \mid \text{aba is *not* a substring of } w\}$

Complement example

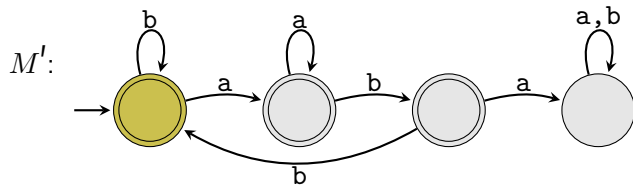
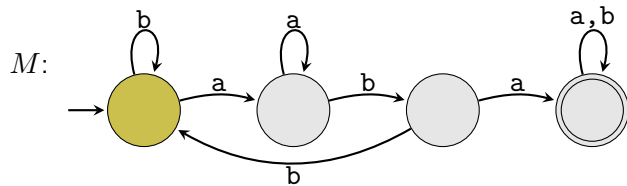
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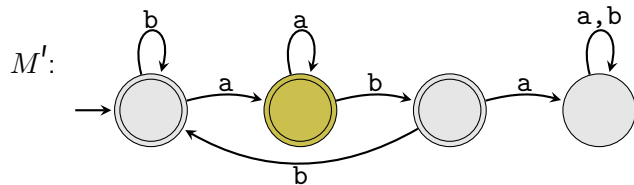
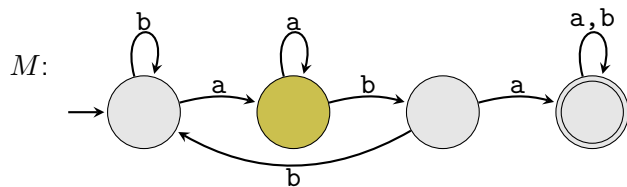


Complement example



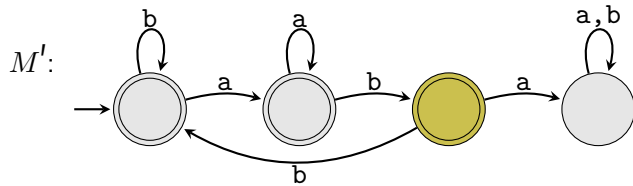
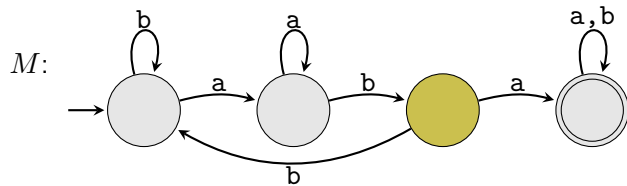
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Complement example



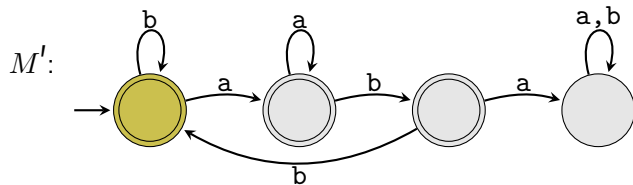
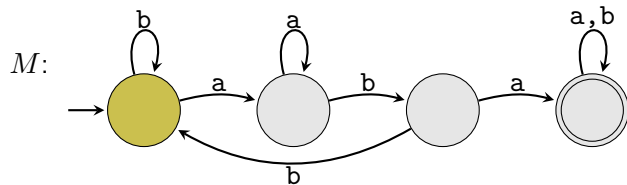
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Complement example



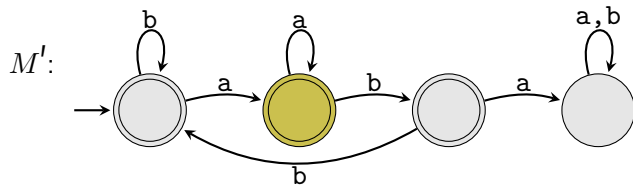
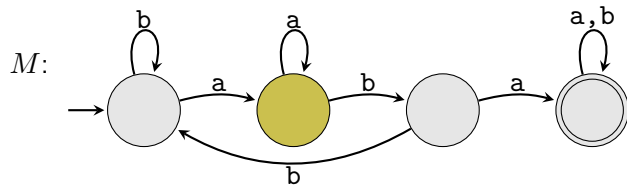
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Complement example



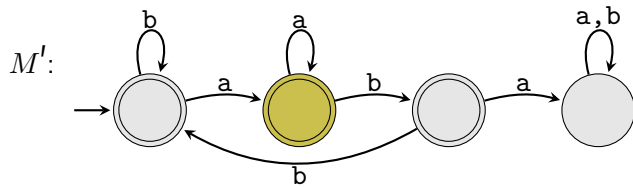
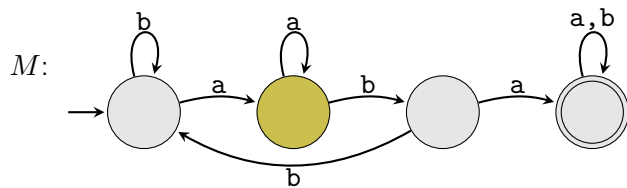
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Complement example



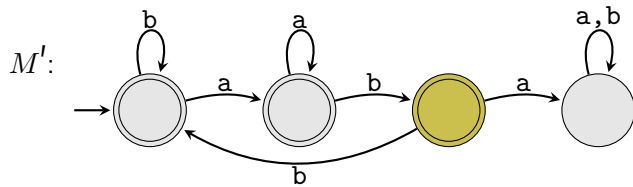
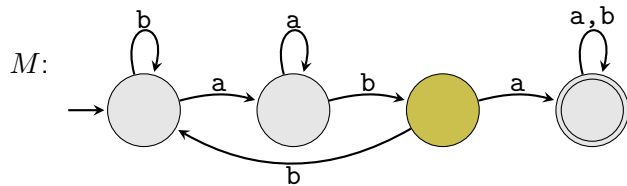
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Complement example



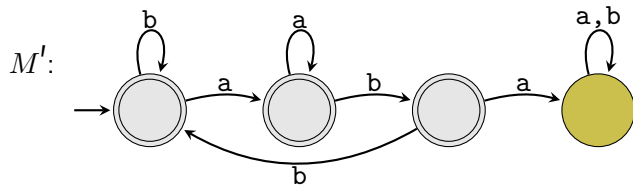
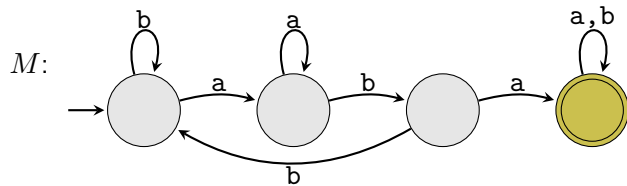
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Complement example



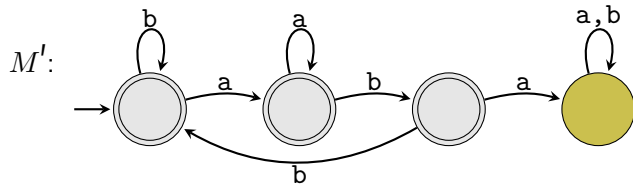
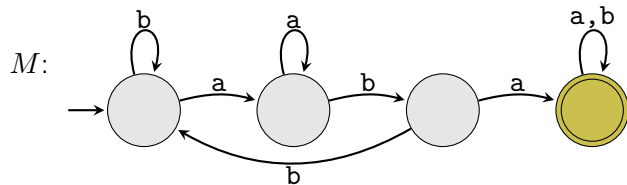
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Complement example



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Complement example



abbaabab

Union

Theorem

If A and B are regular languages, then $A \cup B$ is regular.

Proof.

- 1 Assume DFA $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$ recognizes A and $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ recognizes B .

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- 2 Build a new DFA $M = (Q, \Sigma, \delta, q_0, F)$ with states consisting of pairs of states from M_1 and M_2 . Formally,

$$Q = Q_1 \times Q_2$$

$$q_0 = (q_1, q_2)$$

$$\delta((q, r), t) = (\delta_1(q, t), \delta_2(r, t))$$

$$F = \{(q, r) \mid q \in F_1 \text{ or } r \in F_2\}.$$

As M transitions from state (q, r) to state (q', r') , the first element changes according to δ_1 and the second according to δ_2 .

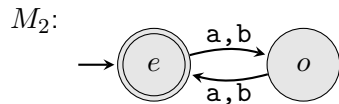
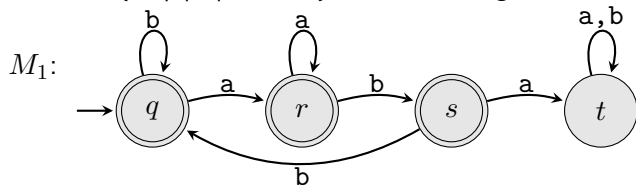
Union

- ③ Consider running M_1 , M_2 , and M on string w . The three DFAs end in states q , r , and (q, r) , respectively. If $w \in A$, then M_1 accepts w so $q \in F_1$ and thus $(q, r) \in F$ so M accepts w . Similarly, if $w \in B$, then M_2 accepts w so $r \in F_2$ and thus $(q, r) \in F$. If w is in neither A nor B , then $q \notin F_1$ and $r \notin F_2$ so $(q, r) \notin F$.
- ④ Therefore, $L(M) = A \cup B$ so $A \cup B$ is regular. □

Union example

Let $A = \{w \mid \text{aba is not a substring of } w\}$ and M_1 recognize A

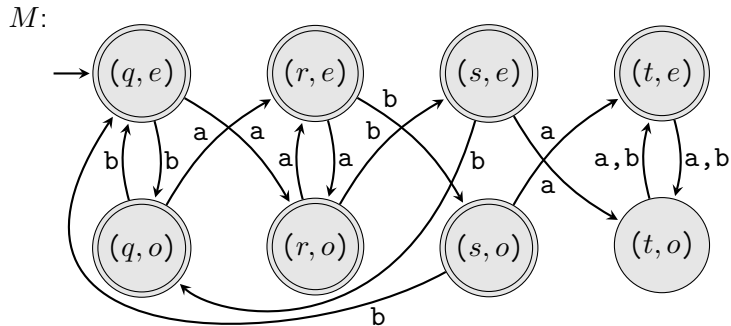
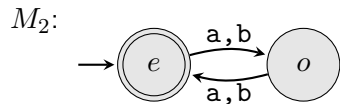
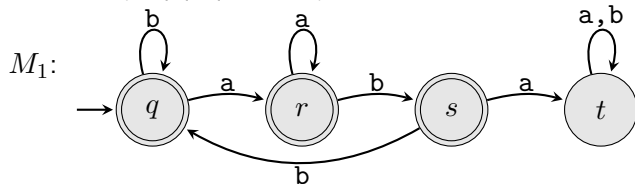
Let $B = \{w \mid |w| \text{ is even}\}$ and M_2 recognize B



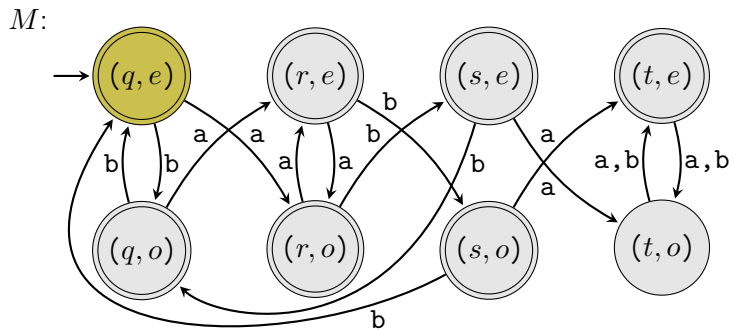
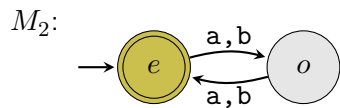
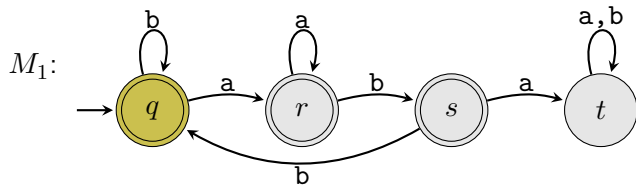
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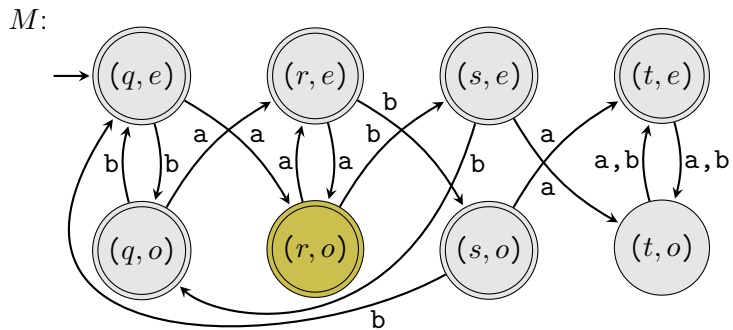
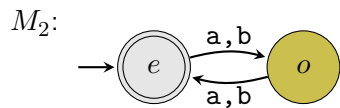
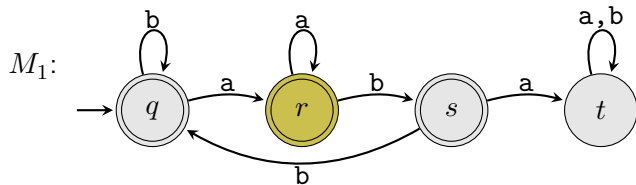


Union example



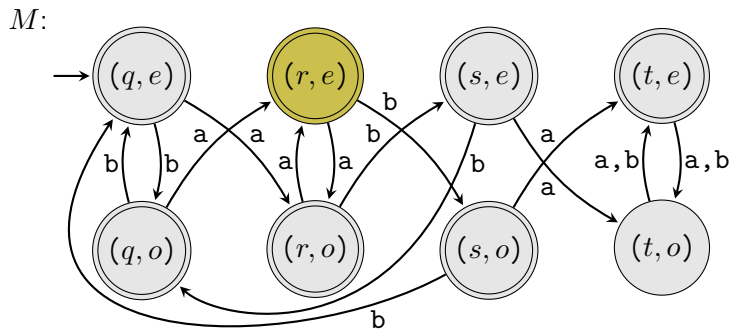
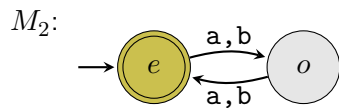
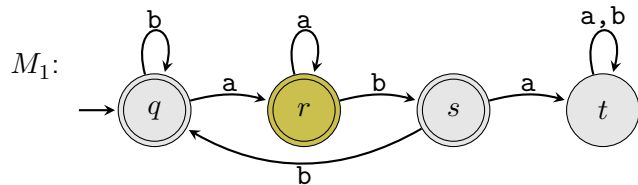
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Union example



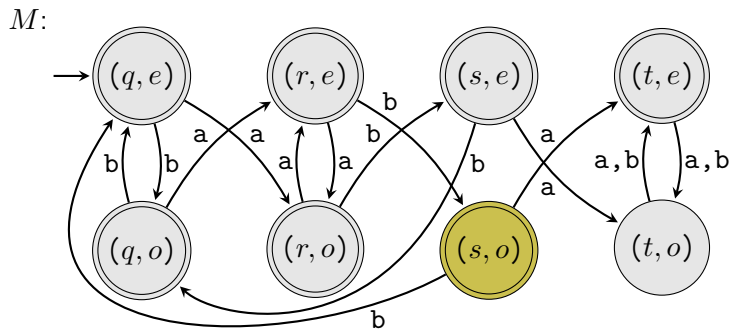
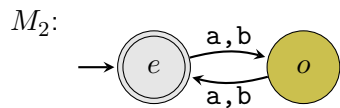
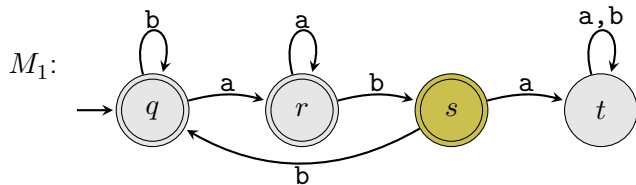
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Union example



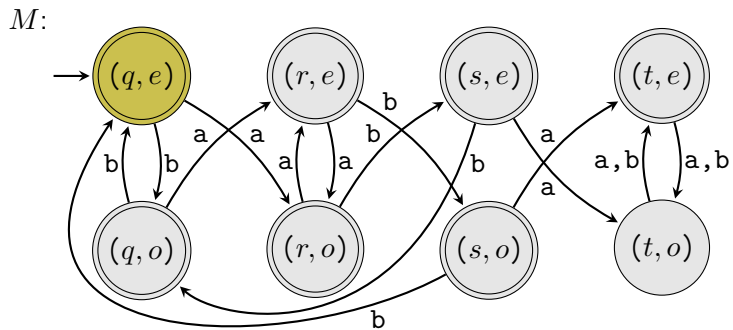
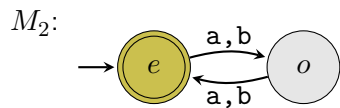
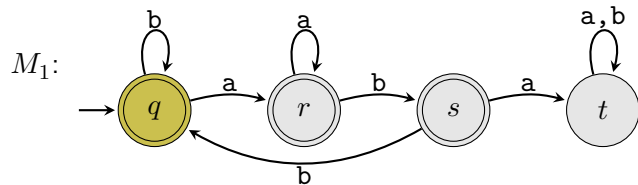
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Union example



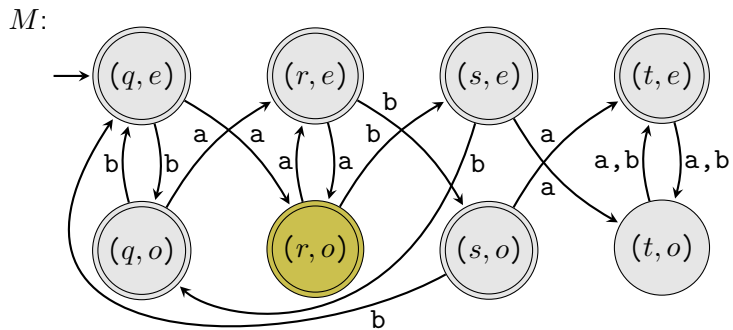
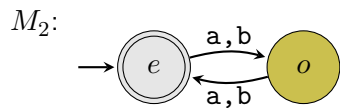
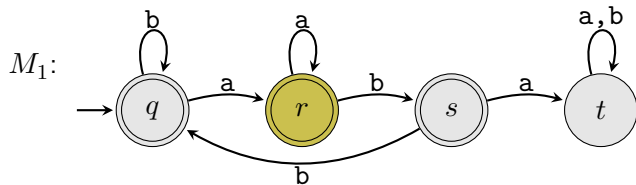
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Union example



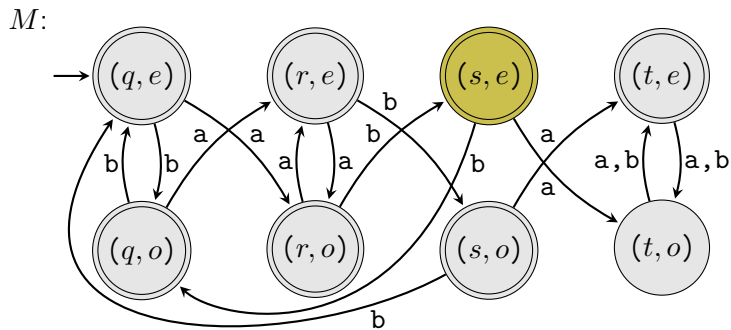
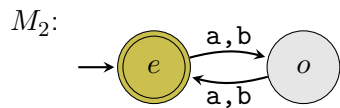
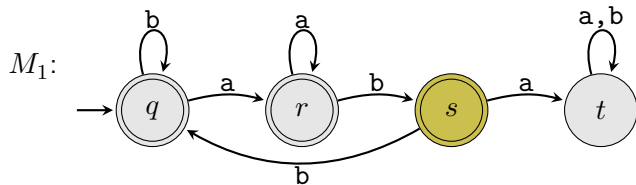
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Union example



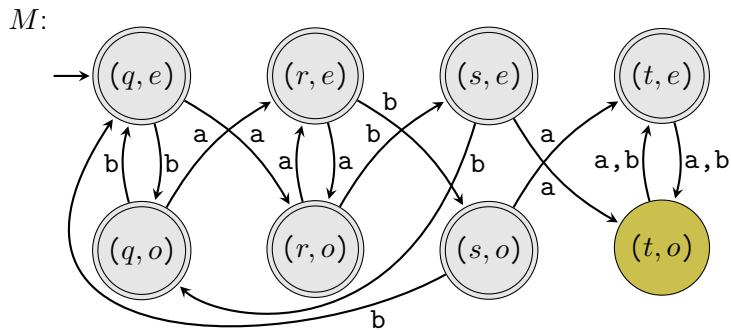
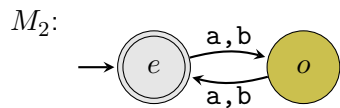
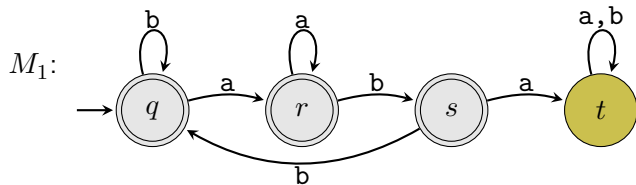
aabba**b**a

Union example



aabbab**a**

Union example



aabbaba ✗ Rejected

ENDSWITH

$$\text{ENDSWITH}(A) = \{xw \mid x \in \Sigma^* \text{ and } w \in A\}$$

- $A = \{a, aab, bab\}$; $\text{ENDSWITH}(A) = \{w \mid w \text{ ends with } a, aab, \text{ or } bab\}$
- $B = \{b^k \mid k > 0\}$; $\text{ENDSWITH}(B) = \{w \mid w \text{ ends with 1 or more } b\}$
- $C = \{a^k b^k \mid k \geq 0\}$;
 $\text{ENDSWITH}(C) = \{w \mid w \text{ ends with } a^k b^k \text{ for some } k \geq 0\} = \Sigma^* \text{ [Why?]}$

A simple theorem

Theorem

If A is regular, then $\text{ENDSWITH}(A) = \{xw \mid x \in \Sigma^ \text{ and } w \in A\}$ is regular.*

Proof technique

Start by assuming that A is regular and thus there exists a DFA M such that $L(M) = A$

Now construct a new DFA M' such that $L(M') = \text{ENDSWITH}(A)$.

Ideally, this new DFA would have two parts:

- ① some states that read symbols from Σ^* (i.e., matching the symbols of x)
- ② a copy of M to accept the last part of the string which should be in A

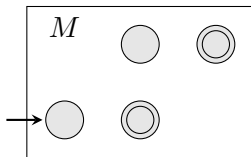
A simple theorem proof difficulty

The two parts are individually easy

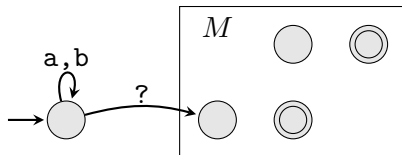
- 1 Match symbols from Σ^* (assume $\Sigma = \{a, b\}$, easy to generalize)



- 2 A copy of M



But how can we combine them?



Determinism

DFAs are **deterministic** because at every step, the DFA has exactly one thing it can do

When M is in some state $q \in Q$ and the next input symbol is $t \in \Sigma$, the only thing it can do is move to state $\delta(q, t)$

Graphically, we don't allow any state to have multiple edges (transitions) labeled with the same symbol going to different states

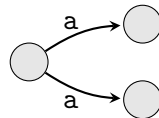
Similarly, we don't allow a state to not have a transition labeled with a symbol of Σ

Nondeterminism

Let's build a new type of machine, a **nondeterministic** finite automaton (NFA), where at each step, it has zero or more things it can do

Three new options

- ① Multiple transitions from a state on the same symbol

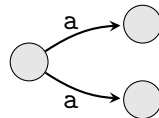


Nondeterminism

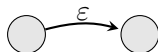
Let's build a new type of machine, a **nondeterministic** finite automaton (NFA), where at each step, it has zero or more things it can do

Three new options

- 1 Multiple transitions from a state on the same symbol



- 2 Transitions on no input

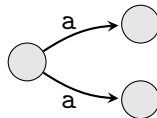


Nondeterminism

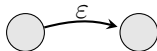
Let's build a new type of machine, a **nondeterministic** finite automaton (NFA), where at each step, it has zero or more things it can do

Three new options

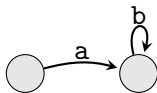
- ① Multiple transitions from a state on the same symbol



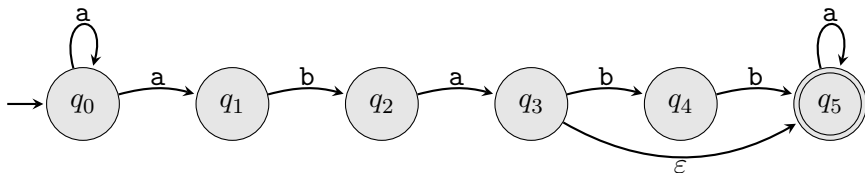
- ② Transitions on no input



- ③ States without transitions on some (or all) symbols

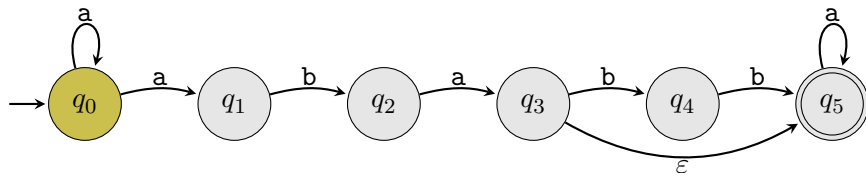


Example



Let's run this on input ababb

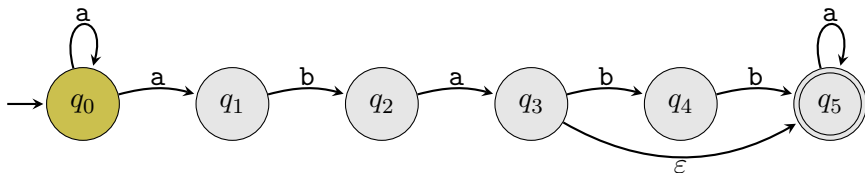
Example



Let's run this on input ababb

- 1 Start in q_0 , first symbol is a, two choices, let's stay in q_0

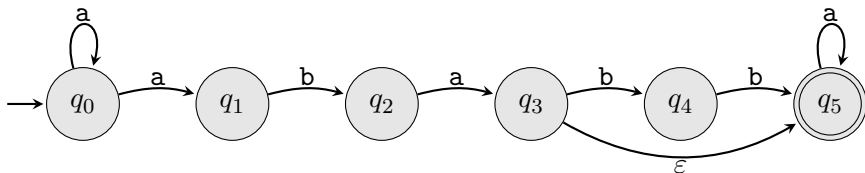
Example



Let's run this on input ababb

- 1 Start in q_0 , first symbol is a, two choices, let's stay in q_0
- 2 Next symbol is b, but there are no transitions labeled b

Example

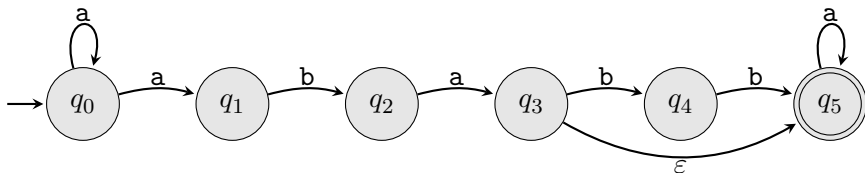


Let's run this on input ababb

- 1 Start in q_0 , first symbol is a, two choices, let's stay in q_0
- 2 Next symbol is b, but there are no transitions labeled b
- 3 Now the machine is dead because there's no active state

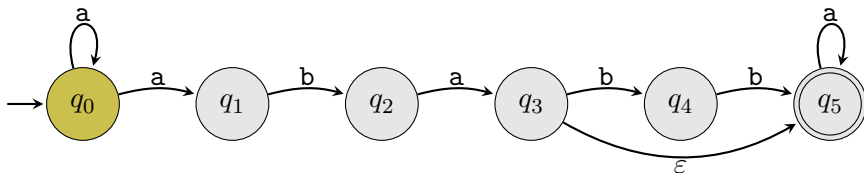
Since the machine didn't end in an accepting state. Is ababb **✗Rejected**?

Example again



Let's run this on input ababb again

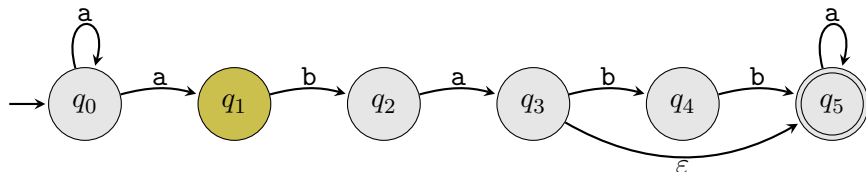
Example again



Let's run this on input ababb again

- 1 Start in q_0 , first symbol is a, two choices, let's go to q_1

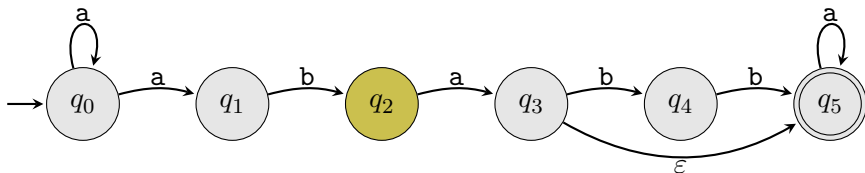
Example again



Let's run this on input ababb again

- 1 Start in q_0 , first symbol is a, two choices, let's go to q_1
- 2 Next symbol is b, go to q_2

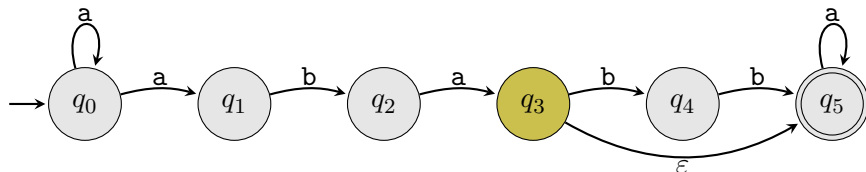
Example again



Let's run this on input ababb again

- 1 Start in q_0 , first symbol is a, two choices, let's go to q_1
- 2 Next symbol is b, go to q_2
- 3 Next symbol is a, go to q_3

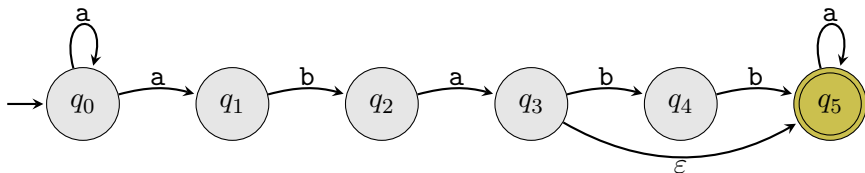
Example again



Let's run this on input ababb again

- 1 Start in q_0 , first symbol is a, two choices, let's go to q_1
- 2 Next symbol is b, go to q_2
- 3 Next symbol is a, go to q_3
- 4 We have two choices: follow the ε transition or not, let's follow it

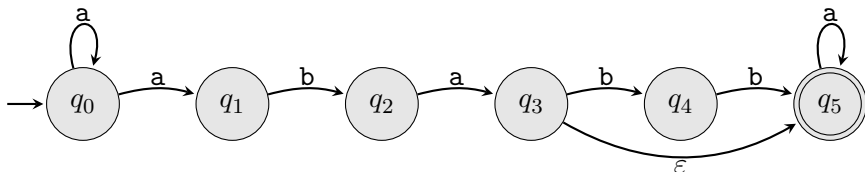
Example again



Let's run this on input ababb again

- 1 Start in q_0 , first symbol is a, two choices, let's go to q_1
- 2 Next symbol is b, go to q_2
- 3 Next symbol is a, go to q_3
- 4 We have two choices: follow the ε transition or not, let's follow it
- 5 Next symbol is b, but there are no transitions labeled b

Example again

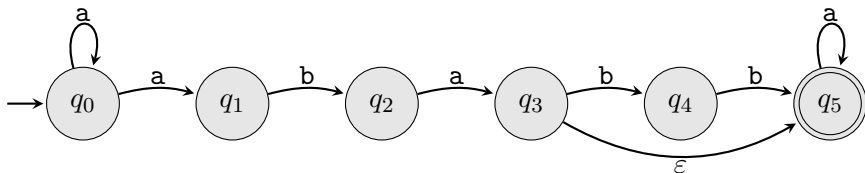


Let's run this on input ababb again

- 1 Start in q_0 , first symbol is a, two choices, let's go to q_1
- 2 Next symbol is b, go to q_2
- 3 Next symbol is a, go to q_3
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- 5 Next symbol is b, but there are no transitions labeled b
- 6 Now the machine is dead because there's no active state

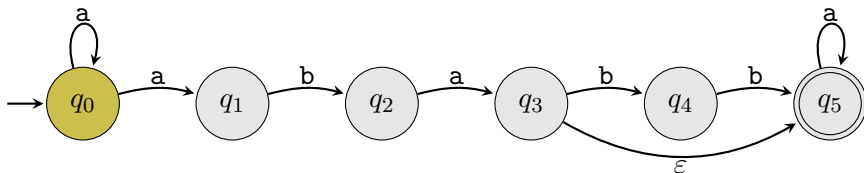
Once again, it didn't end in an accepting state.

Example yet again



Let's run this on input ababb a third time

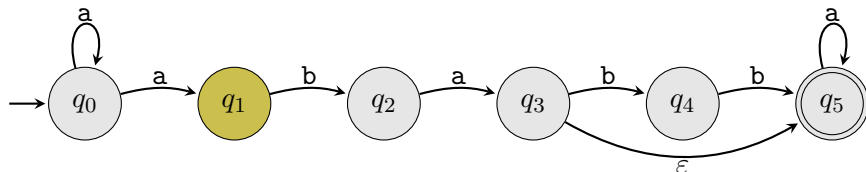
Example yet again



Let's run this on input ababb a third time

- 1 Start in q_0 , first symbol is a, two choices, let's go to q_1

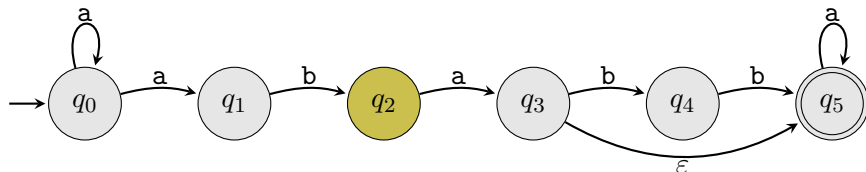
Example yet again



Let's run this on input ababb a third time

- 1 Start in q_0 , first symbol is a, two choices, let's go to q_1
- 2 Next symbol is b, go to q_2

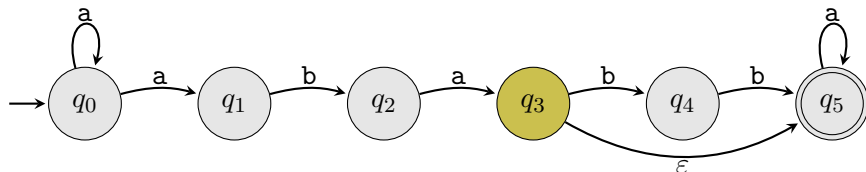
Example yet again



Let's run this on input ababb a third time

- 1 Start in q_0 , first symbol is a, two choices, let's go to q_1
- 2 Next symbol is b, go to q_2
- 3 Next symbol is a, go to q_3

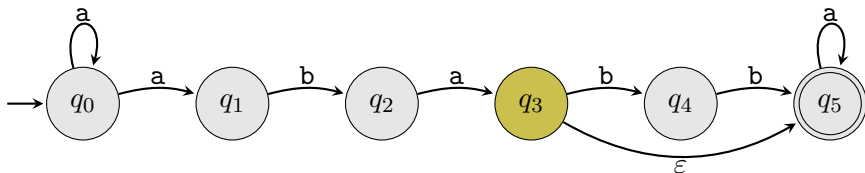
Example yet again



Let's run this on input ababb a third time

- 1 Start in q_0 , first symbol is a, two choices, let's go to q_1
- 2 Next symbol is b, go to q_2
- 3 Next symbol is a, go to q_3
- 4 We have two choices: follow the ε transition or not, let's *not* follow it

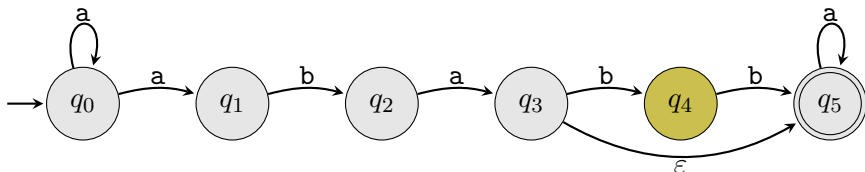
Example yet again



Let's run this on input ababb a third time

- 1 Start in q_0 , first symbol is a, two choices, let's go to q_1
- 2 Next symbol is b, go to q_2
- 3 Next symbol is a, go to q_3
- 4 We have two choices: follow the ε transition or not, let's *not* follow it
- 5 Next symbol is b, go to q_4

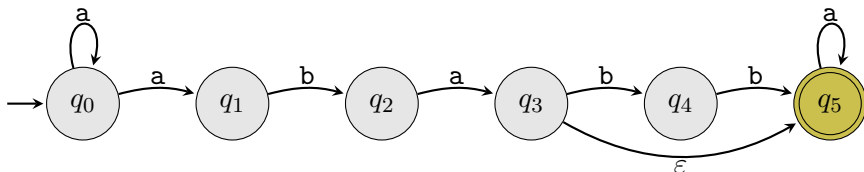
Example yet again



Let's run this on input ababb a third time

- 1 Start in q_0 , first symbol is a, two choices, let's go to q_1
- 2 Next symbol is b, go to q_2
- 3 Next symbol is a, go to q_3
- 4 We have two choices: follow the ε transition or not, let's *not* follow it
- 5 Next symbol is b, go to q_4
- 6 Next symbol is b, go to q_5

Example yet again



Let's run this on input ababb a third time

- 1 Start in q_0 , first symbol is a, two choices, let's go to q_1
- 2 Next symbol is b, go to q_2
- 3 Next symbol is a, go to q_3
- 4 We have two choices: follow the ϵ transition or not, let's *not* follow it
- 5 Next symbol is b, go to q_4
- 6 Next symbol is b, go to q_5
- 7 There's no more input and the machine ended in an accepting state so ababb is
✓ Accepted

Was ababb accepted or rejected?

Two choices we made led to the machine dying because it couldn't follow a transition

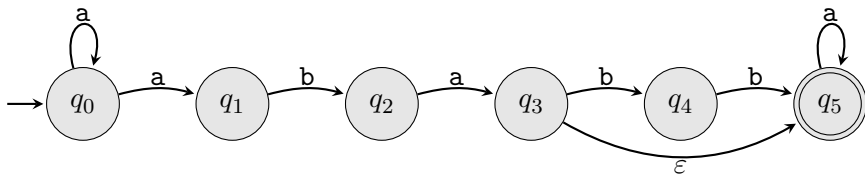
The third choice we made ended in an accepting state

Let's say an **NFA accepts a string** if *any* path through the NFA ends in an accepting state

So ababb was  **Accepted**

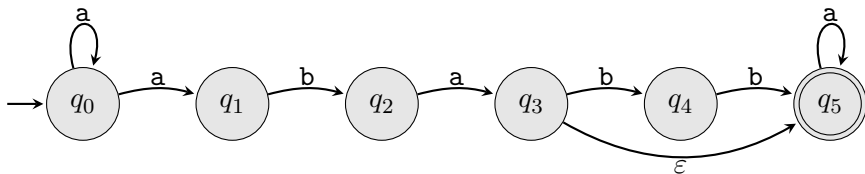
Language of the NFA

What strings are accepted by this NFA?



Language of the NFA

What strings are accepted by this NFA?



Strings starting with at least 1 a, followed by ba, optionally followed by bb, followed by any number of as: $\{a^m b a w a^n \mid m \geq 1 \text{ and } n \geq 0 \text{ and } w \in \{\epsilon, bb\}\}$

Running NFAs

It was a pain to run the NFA multiple times on the same input, making difference choices

Let's instead keep track of all possible states the NFA N can be in at each point in its computation

Rather than having a single current state, let's have a set of current states, call it C

At each step, we're going to update C

Procedure for running NFAs

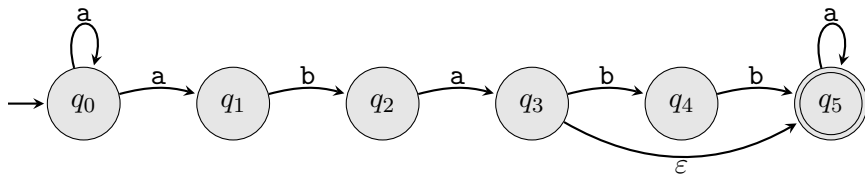
Procedure

- ➊ Set $C = \{q_0\}$, the set containing only the start state
- ➋ Set $C = \{q \mid q \text{ is reachable from } C \text{ by following 0 or more } \varepsilon\text{-transitions}\}$
- ➌ For each successive symbol t in the input w ,
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- ➏ If C contains any accepting states, N accepts w , otherwise N rejects w

Running our NFAs

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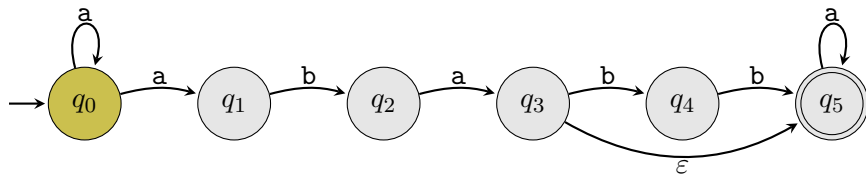


ababb

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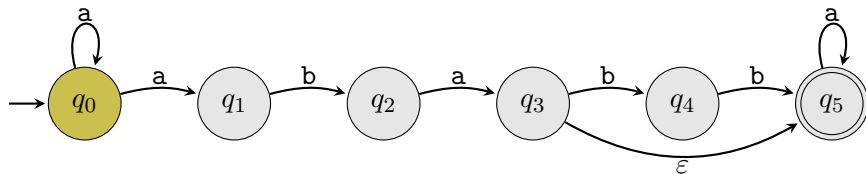


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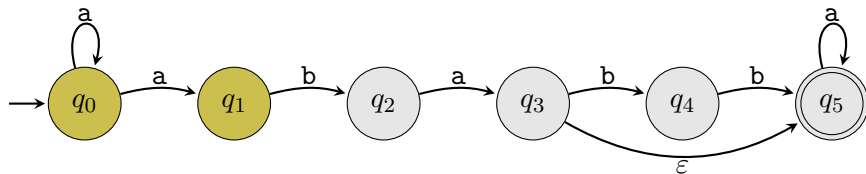


ababb

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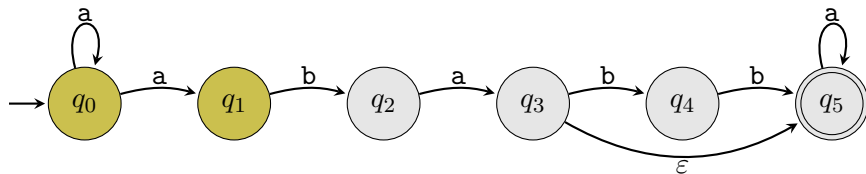


a**b**abb

Running our NFAs

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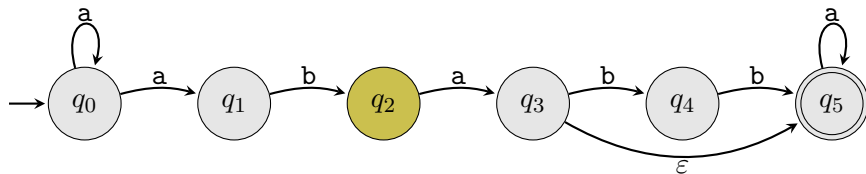


a**b**abb

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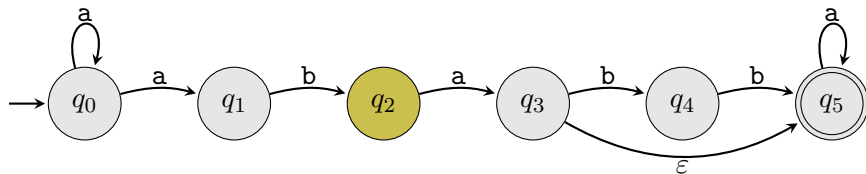


ababb

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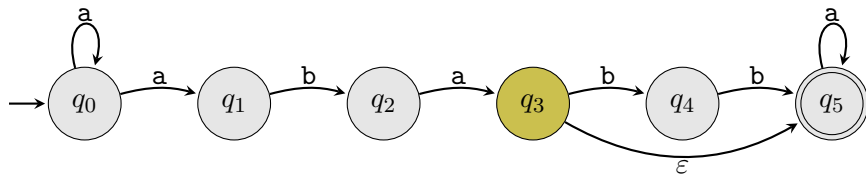


ababb

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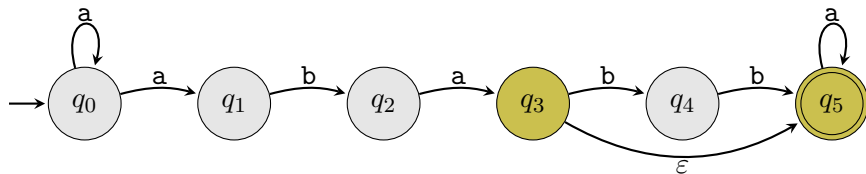


aba**bb**

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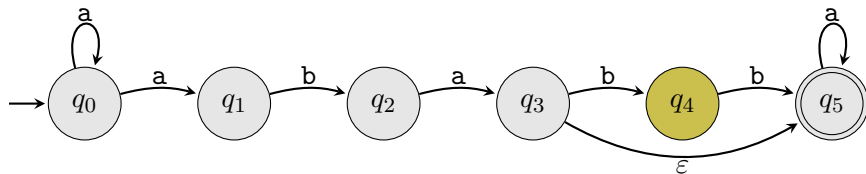


aba**b**

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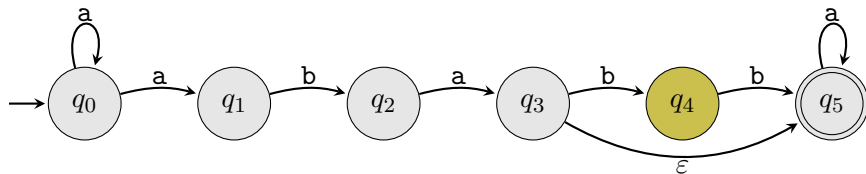


abab**b**

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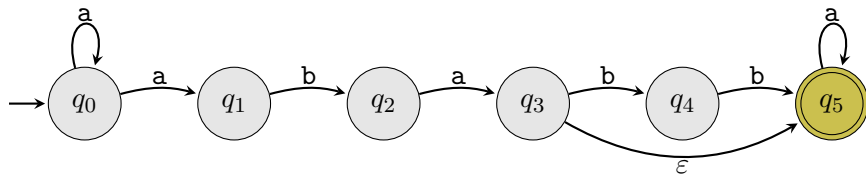


abab**b**

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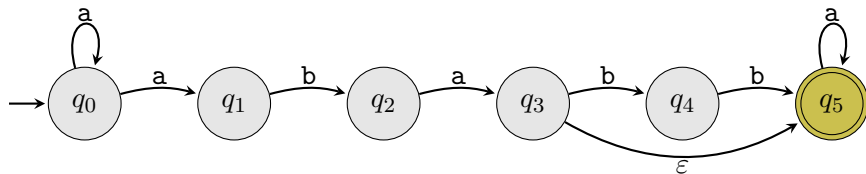


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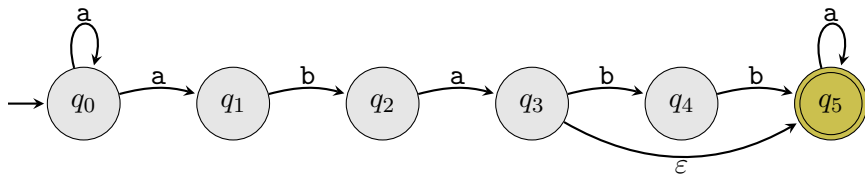


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- 6 If C contains any accepting states, N accepts w , otherwise N rejects w



ababb ✓ Accepted

Nondeterministic finite automaton (NFA)

A **nondeterministic finite automaton** (NFA) is a 5-tuple $N = (Q, \Sigma, \delta, q_0, F)$ where

- Q is a finite set of **states**
- Σ is an **alphabet**
- $\delta : Q \times \Sigma_\epsilon \rightarrow P(Q)$ is the **transition function**
- $q_0 \in Q$ is the **start state**
- $F \subseteq Q$ is the **set of accepting (or final) states**

$\Sigma_\epsilon = \Sigma \cup \{\epsilon\}$ is the alphabet Σ augmented with an additional symbol ϵ which we use to denote transitions on no input

$P(Q)$ is the power set of Q so δ returns a **set** of next states

Transition functions

DFAs have transitions of the form $\delta : Q \times \Sigma \rightarrow Q$

For each (state, symbol) pair, δ returns a single state

NFAs have transitions of the form $\delta : Q \times \Sigma_\varepsilon \rightarrow P(Q)$

For each (state, symbol) pair, δ returns 0 or more states

For each (state, ε), δ returns 0 or more states

Formalizing NFA computation

Let $N = (Q, \Sigma, \delta, q_0, F)$ be an NFA and let $w = w_1w_2\cdots w_n$ be a string where $w_i \in \Sigma_\varepsilon$

N accepts w if there exist states $r_0, r_1, \dots, r_n \in Q$ such that

- ❶ $r_0 = q_0$
[The NFA starts in the start state]
- ❷ $r_i \in \delta(r_{i-1}, w_i)$ for $i \in \{1, 2, \dots, n\}$
[The NFA moves from state r_{i-1} to one of the possible next states according to δ]
- ❸ $r_n \in F$
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Two key differences from DFAs

- ❶ w_i is either an alphabet symbol or ε
E.g., if $w = \text{abaa}$, then we can write $w = \varepsilon \text{ab} \varepsilon \varepsilon \varepsilon \text{a} \varepsilon \text{a}$
- ❷ $r_i \in \delta(r_{i-1}, w_i)$ since δ returns a set of next possible states

The sequence of $n + 1$ states r_0, r_1, \dots, r_n is one of the possible sequences of states that the NFA moves through on input w

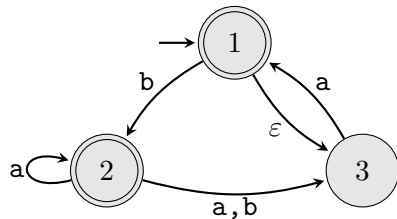
Language of an NFA

The **language** of an NFA N is $L(N) = \{w \mid N \text{ accepts } w\}$

We say N **recognizes** a language A to mean $L(N) = A$

[This is analogous to DFAs]

Example



$N = (Q, \Sigma, \delta, q_0, F)$ where

$$Q = \{1, 2, 3\}$$

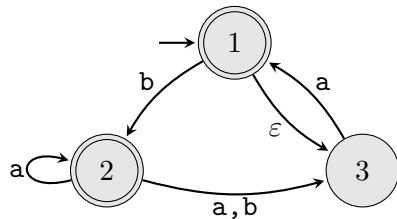
$$\Sigma = \{a, b\}$$

$$q_0 = 1$$

$$F = \{1, 2\}$$

$\delta :$	a	b	ε
1	\emptyset	$\{2\}$	$\{3\}$
2	$\{2, 3\}$	$\{3\}$	\emptyset
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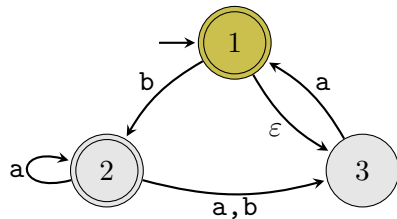
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Consider string $w = abaa$

Write w as $\epsilon abaa$ then one of the possible sequences of states N moves through is

$r_0 \quad r_1 \quad r_2 \quad r_3 \quad r_4 \quad r_5$

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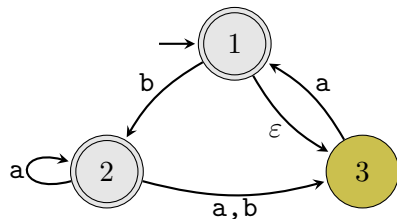
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$$\begin{array}{cccccc} r_0 & r_1 & r_2 & r_3 & r_4 & r_5 \\ 1 & & & & & \end{array}$$

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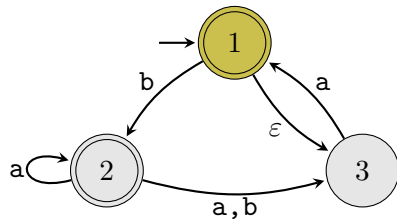
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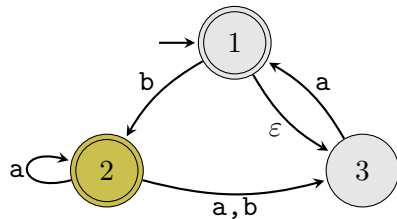
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1	3	1			

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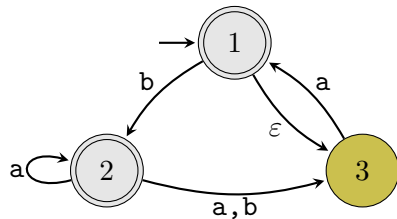
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1	3	1	2		

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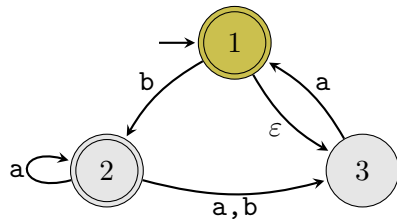
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All three conditions for acceptance hold

- ① $r_0 = q_0$
- ② $r_i \in \delta(r_{i-1}, w_i)$ for $i \in \{1, 2, \dots, n\}$
- ③ $r_n \in F$

Converting NFAs to DFAs

Theorem

For every NFA N , there exists a DFA M such that $L(M) = L(N)$.

We can prove this by following our procedure for running NFAs

Procedure

- ① Set $C = \{q_0\}$, the set containing only the start state
- ② Set $C = \{q \mid q \text{ is reachable from } C \text{ by following 0 or more } \varepsilon\text{-transitions}\}$
- ③ For each successive symbol t in the input w ,
- ④ Set $C = \{q \mid \text{there is a transition to } q \text{ on symbol } t \text{ from some state in } C\}$
- ⑤ Set $C = \{q \mid q \text{ is reachable from } C \text{ by following 0 or more } \varepsilon\text{-transitions}\}$
- ⑥ If C contains any accepting states, N accepts w , otherwise N rejects w

Some helpful notation

Given an NFA $N = (Q, \Sigma, \delta, q_0, F)$, define a new function E that takes a set of states $S \subseteq Q$ as input and returns the set of states reachable by following 0 or more ε -transitions from states in S

Formally, $E : P(Q) \rightarrow P(Q)$ given by

$E(S) = \{q \mid q \text{ is reachable from some } r \in S \text{ by following 0 or more } \varepsilon\text{-transitions}\}$

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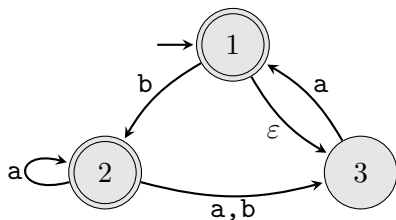
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Running the procedure again

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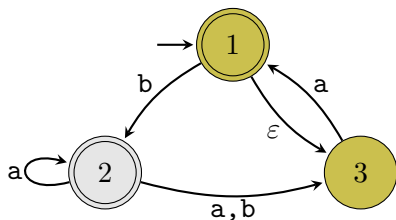


• abaabba

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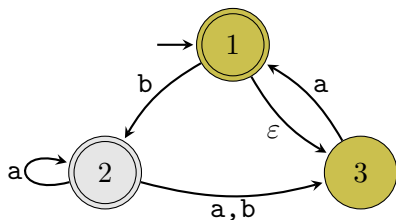


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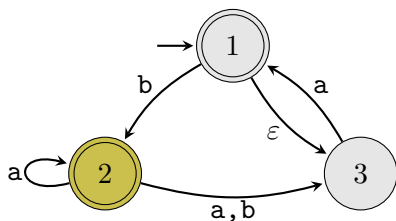


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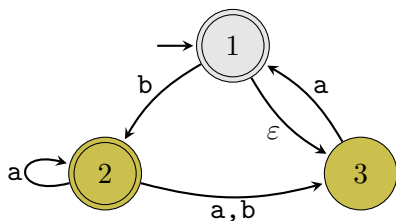


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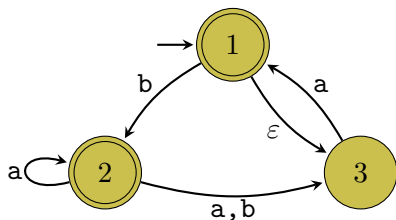


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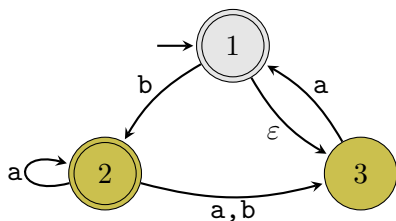


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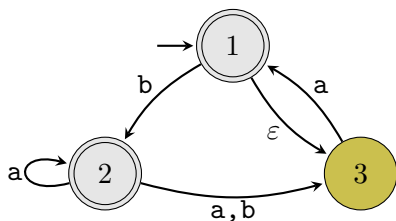


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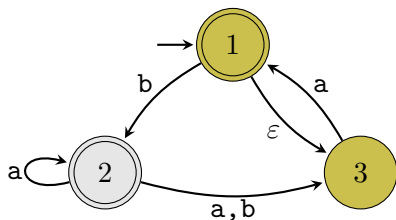


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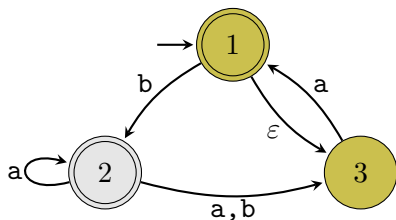


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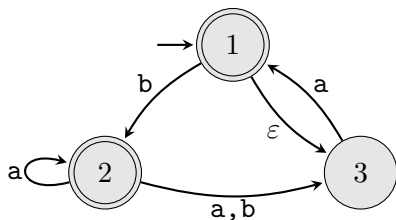


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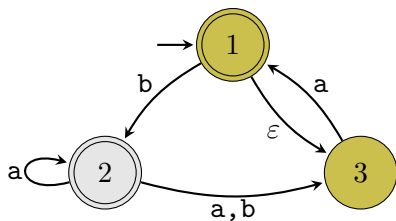
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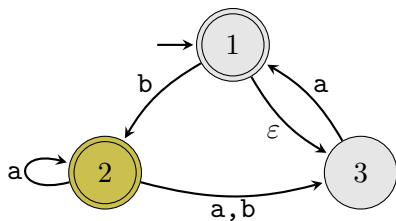
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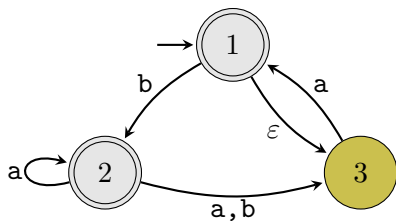


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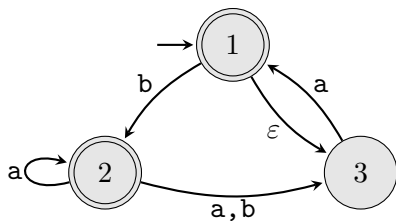
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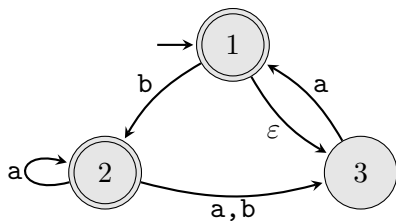
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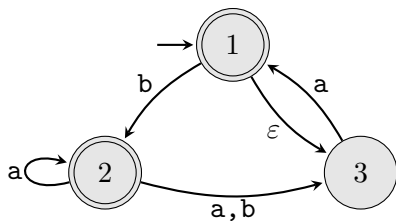


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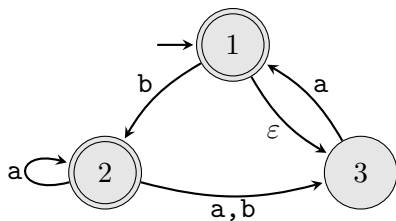


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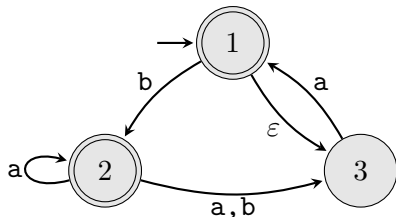
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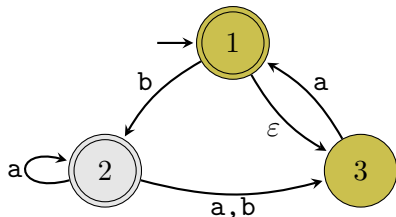
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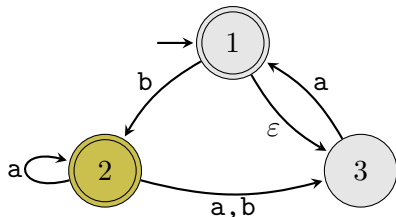


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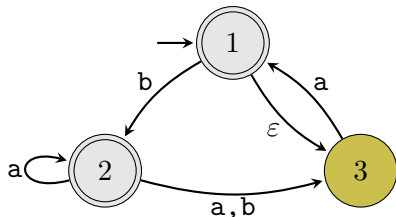


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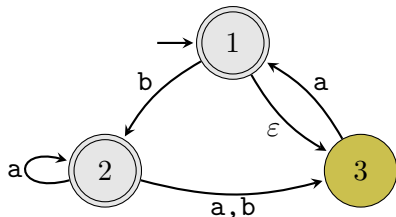


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- 4 If $C \cap F \neq \emptyset$, N accepts w , otherwise N rejects w



- abaabba ✓ Accepted
- bbbab ✗ Rejected
- bb ✗ Rejected

Converting an NFA to a DFA

Procedure (ver. 2)

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 $\delta'(C, t) = \{q \mid q \in E(\delta(r, t)) \text{ for some } r \in C\}$

Converting an NFA to a DFA

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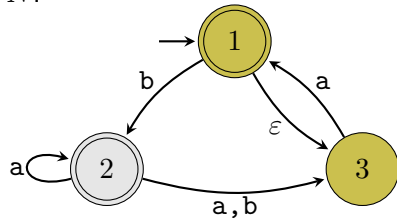
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 $\delta'(C, t) = \{q \mid q \in E(\delta(r, t)) \text{ for some } r \in C\}$
- M 's accepting states are every subset of Q that contains at least one of N 's accepting states: $F' = \{S \mid S \subseteq Q \text{ and } S \cap F \neq \emptyset\}$

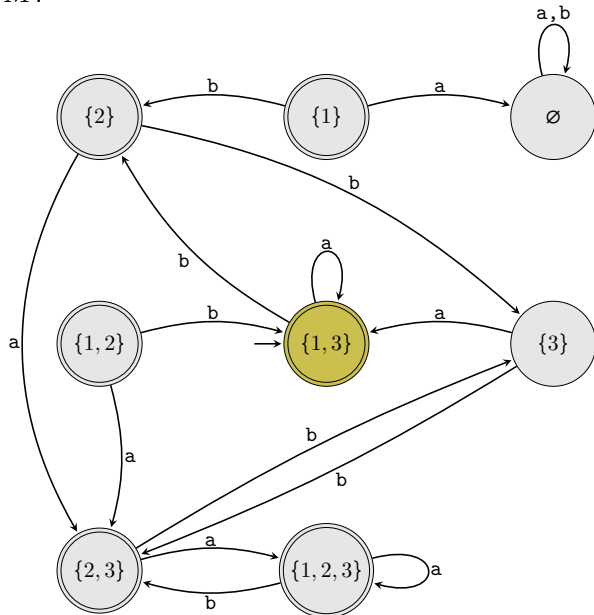
Converting our example to a DFA

N :



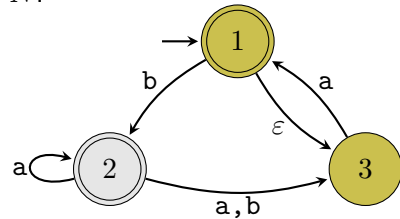
abaababb

M :



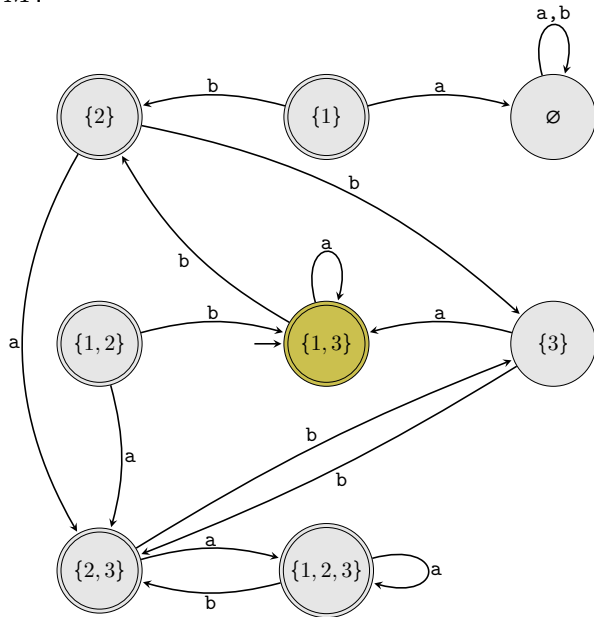
Converting our example to a DFA

N :

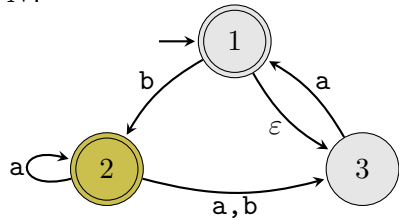


a**b**aababb

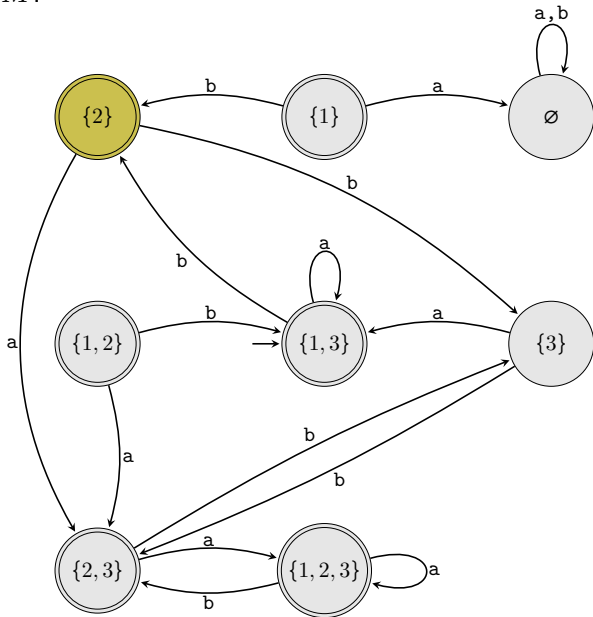
M :



Converting our example to a DFA

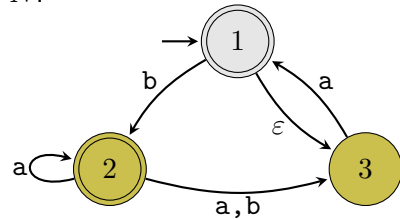
 $N:$ 

ab**a**ababb

 $M:$ 

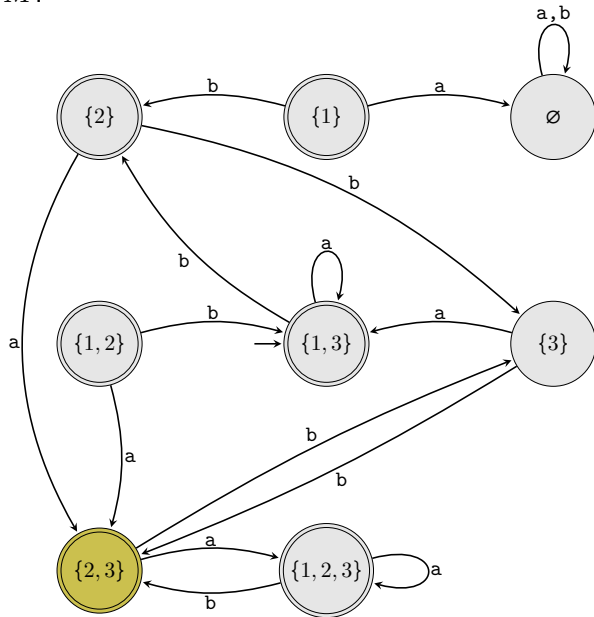
Converting our example to a DFA

N :



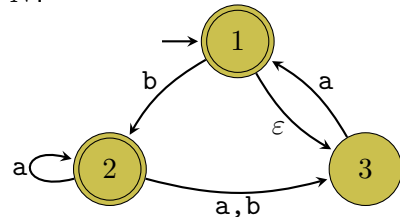
aba**a**babbb

M :



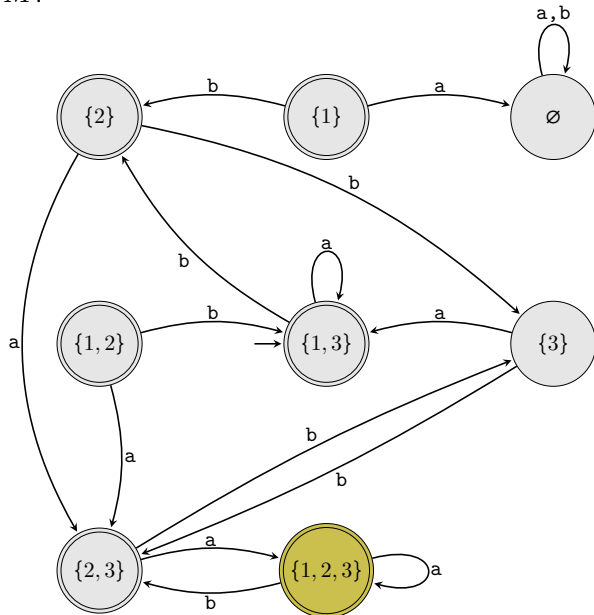
Converting our example to a DFA

N :



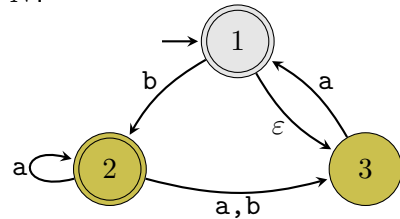
abaababb

M :



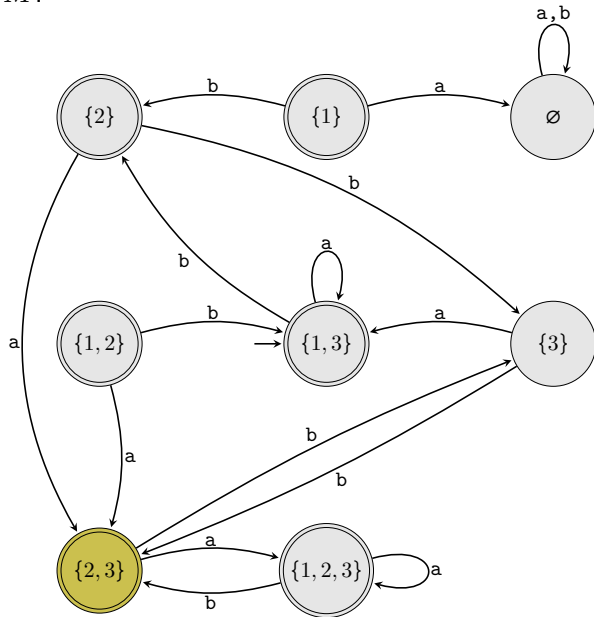
Converting our example to a DFA

N :



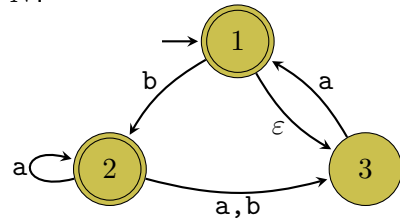
abaababb

M :



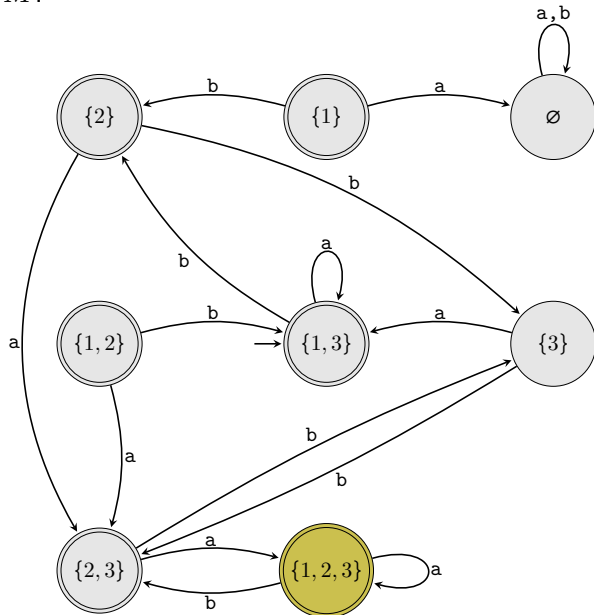
Converting our example to a DFA

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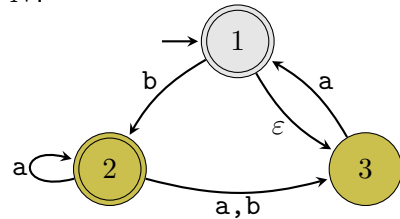
abaaba**b**b

M :



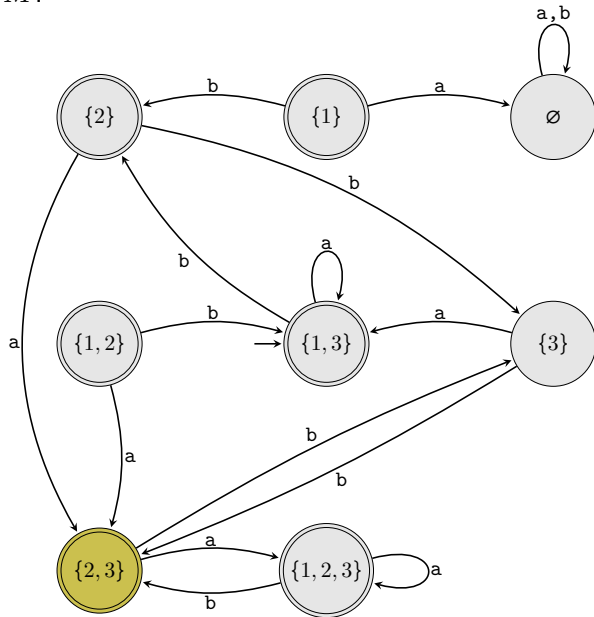
Converting our example to a DFA

N :



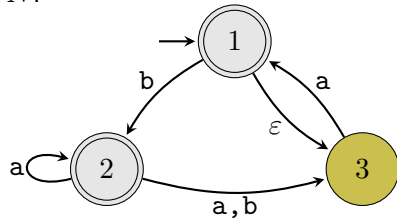
abaabab**b**

M :



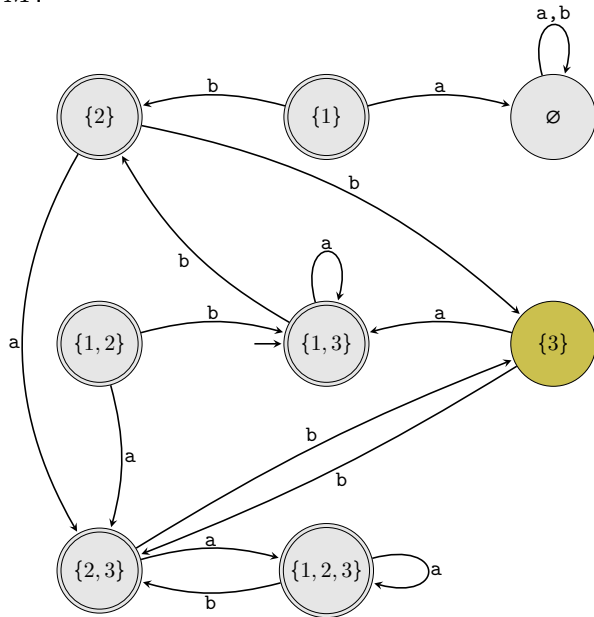
Converting our example to a DFA

N :



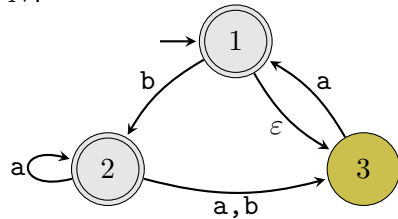
abaababb

M :



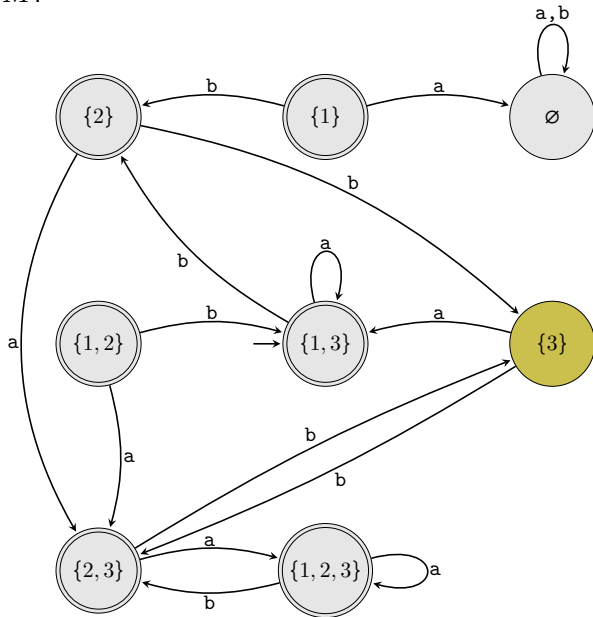
Converting our example to a DFA

N :



abaababb **✗ Rejected**

M :



Regular languages

Theorem

A language A is regular if and only if it is recognized by some NFA N .

Proof.

\implies

If A is regular, then it is recognized by a DFA M . DFAs are NFAs where each state has exactly one next state for each alphabet symbol so M is an NFA.

\impliedby

If NFA N recognizes A , then using the NFA to DFA construction, we can build an DFA M such that $L(M) = A$. Therefore, A is regular. □

Regular languages closed under operations

Let f be an operation on languages

[Recall that means f takes some languages as input and produces a new language as output]

We say **regular languages are closed under f** to mean

Unary If A is regular, then $f(A)$ is regular

Binary If A and B are regular, then $f(A, B)$ is regular

n -ary If A_1, A_2, \dots, A_n are regular, then $f(A_1, A_2, \dots, A_n)$ is regular

Regular languages are closed under regular operations

Regular operations

Union $A \cup B = \{w \mid w \in A \text{ or } w \in B\}$

Concatenation $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$

Kleene star $A^* = \{w_1 w_2 \cdots w_k \mid k \geq 0 \text{ and } w_i \in A \text{ for all } i\}$

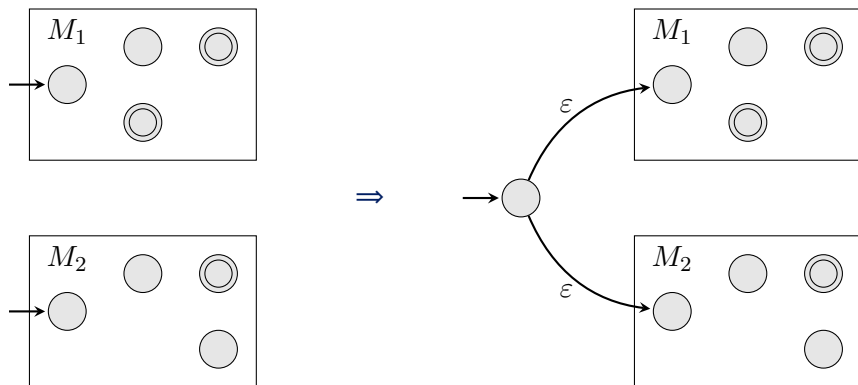
Theorem

Regular languages are closed under union, concatenation, and Kleene star.

In other words, if A and B are regular languages, then $A \cup B$, $A \circ B$, and A^* are regular.

Union

Let A and B be regular languages recognized by DFAs M_1 and M_2



Regular languages are closed under union

Proof.

Let A and B be regular languages
recognized by DFAs

$$M_1 = (Q_1, \Sigma, \delta, q_1, F_1)$$

$$M_2 = (Q_2, \Sigma, \delta, q_2, F_2).$$

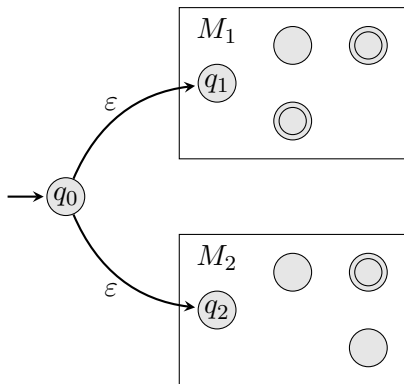
Build NFA $N = (Q, \Sigma, \delta, q_0, F)$ where

$$Q = Q_1 \cup Q_2 \cup \{q_0\}$$

$$F = F_1 \cup F_2$$

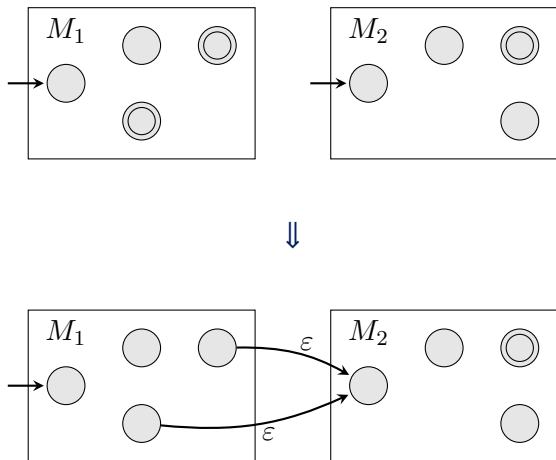
$$\delta(q, \varepsilon) = \begin{cases} \{q_1, q_2\} & \text{if } q = q_0 \\ \emptyset & \text{otherwise} \end{cases}$$

$$\delta(q, t) = \begin{cases} \emptyset & \text{if } q = q_0 \\ \{\delta_1(q, t)\} & \text{for } q \in Q_1 \\ \{\delta_2(q, t)\} & \text{for } q \in Q_2 \end{cases} \quad \square$$



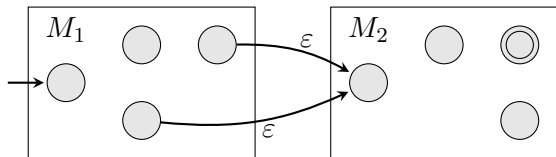
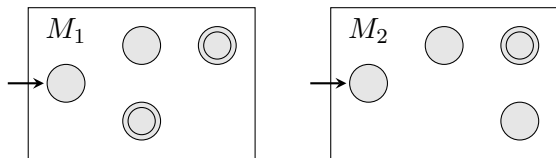
Concatenation

Let A and B be regular languages recognized by DFAs M_1 and M_2



Concatenation

Let A and B be regular languages recognized by DFAs M_1 and M_2



Let

$$M_1 = (Q_1, \Sigma, \delta, q_1, F_1)$$

$$M_2 = (Q_2, \Sigma, \delta, q_2, F_2).$$

Build NFA $N = (Q, \Sigma, \delta, q_1, F_2)$ where

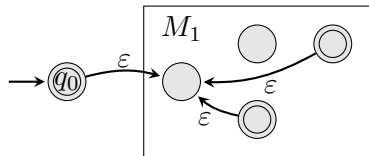
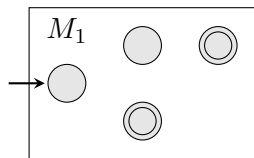
$$Q = Q_1 \cup Q_2$$

$$\delta(q, \varepsilon) = \begin{cases} \{q_2\} & \text{if } q \in F_1 \\ \emptyset & \text{otherwise} \end{cases}$$

$$\delta(q, t) = \begin{cases} \{\delta_1(q, t)\} & \text{for } q \in Q_1 \\ \{\delta_2(q, t)\} & \text{for } q \in Q_2. \end{cases}$$

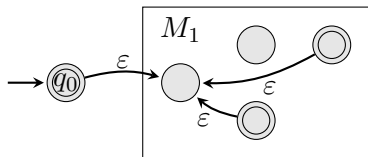
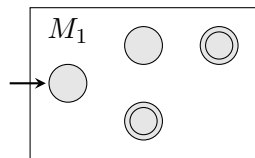
Kleene Star

Let A be a regular language recognized by DFA M_1



Kleene Star

Let A be a regular language recognized by DFA M_1



Let $M_1 = (Q_1, \Sigma, \delta, q_1, F_1)$.

Build NFA $N = (Q, \Sigma, \delta, q_0, F)$ where

$$Q = Q_1 \cup \{q_0\}$$

$$F = F_1 \cup \{q_0\}$$

$$\delta(q, \epsilon) = \begin{cases} \{q_1\} & \text{if } q \in F \\ \emptyset & \text{otherwise} \end{cases}$$

$$\delta(q, t) = \begin{cases} \emptyset & \text{if } q = q_0 \\ \{\delta_1(q, t)\} & \text{for } q \in Q_1 \end{cases}$$

Let's build some NFAs!

- $A = \{w \mid w \text{ starts with a and ends with b}\}$
- $B = \emptyset$
- $C = \{\varepsilon\}$
- $D = \{w \mid w \text{ has an even number of a's or exactly 2 b's}\}$
- $E = \{aa, aba, bab, bbb\}$