CS 301

Lecture 22 – Mapping reductions

Stephen Checkoway

April 18, 2018



• Context-free languages (and thus regular)



- Context-free languages (and thus regular)
- Acceptance problems
 - \bullet A_{DFA}
 - A_{NFA}
 - \bullet A_{REX}
 - A_{CFG}



- Context-free languages (and thus regular)
- Acceptance problems
 - A_{DFA}
 - \bullet A_{NFA}
 - A_{REX}
 - A_{CFG}
- Emptiness problems
 - E_{DFA}
 - E_{CFG}



- Context-free languages (and thus regular)
- Acceptance problems
 - A_{DFA}
 - A_{NFA}
 - A_{REX}
 - A_{CFG}
- Emptiness problems
 - E_{DFA}
 - E_{CFG}
- Equivalence problems
 - EQ_{DFA}



• The diagonal language DIAG = $\{\langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin L(M)\}$



- The diagonal language DIAG = $\{\langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin L(M)\}$
- \bullet A_{TM}



- The diagonal language DIAG = $\{\langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin L(M)\}$
- *A*_{TM}
- Haltim



- The diagonal language DIAG = $\{\langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin L(M)\}$
- *A*_{TM}
- Haltim
- *E*_{TM}



- The diagonal language DIAG = $\{\langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin L(M)\}$
- *A*_{TM}
- Haltim
- E_{TM}
- ALL_{CFG}



- The diagonal language DIAG = $\{\langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin L(M)\}$
- *A*_{TM}
- Haltim
- E_{TM}
- \bullet ALL_{CFG}
- EQ_{CFG}



- The diagonal language DIAG = $\{\langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin L(M)\}$
- *A*_{TM}
- Haltim
- E_{TM}
- ALL_{CFG}
- EQ_{CFG}
- \bullet EQ_{TM}



- The diagonal language DIAG = $\{\langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin L(M)\}$
- *A*_{TM}
- Haltim
- \bullet E_{TM}
- ALL_{CFG}
- EQ_{CFG}
- EQ_{TM}
- Regular_{tm}



Turing recognizable (RE) and co-Turing-recognizable (coRE)

Recall, L is decidable iff L is RE and coRE

Language	RE	coRE
A_{DFA}	/	✓
E_{DFA}		
EQ_{DFA}		
A_{CFG}	/	/
E_{CFG}	/	/
EQ_{CFG}	×	✓
DIAG	?	?
A_{TM}	1	×
HALT _{TM}	?	?
E_{TM}	×	/
EQ_{TM}	?	?
REGULARTM	?	?



Recall that A reduces to B (written $A \leq B$) means "If B is decidable, then A is decidable"



Recall that A reduces to B (written $A \le B$) means "If B is decidable, then A is decidable"

We used reductions to

- prove that languages are decidable ("good-news reductions")
- 2 prove that languages are not decidable ("bad-news reductions")



Recall that A reduces to B (written $A \leq B$) means "If B is decidable, then A is decidable"

We used reductions to

- prove that languages are decidable ("good-news reductions")
- 2 prove that languages are not decidable ("bad-news reductions")

We were able to determine that some languages aren't RE by showing that they're coRE but not decidable

Similarly, we proved some languages aren't coRE by showing that they're RE but not decidable



Recall that A reduces to B (written $A \le B$) means "If B is decidable, then A is decidable"

We used reductions to

- prove that languages are decidable ("good-news reductions")
- 2 prove that languages are not decidable ("bad-news reductions")

We were able to determine that some languages aren't RE by showing that they're coRE but not decidable

Similarly, we proved some languages aren't coRE by showing that they're RE but not decidable

Reductions alone were not sufficient; we need a stronger notion of reduction



Computable functions

A function $f: \Sigma^* \to \Sigma^*$ is a computable function if there is some TM M such that when M is run on w, M halts with f(w) on the tape (and nothing else)

This is similar to a decider in that M cannot loop, but there's no notion of accepting or rejecting a string, M just computes a function



• Arithmetic: $\langle k,m,n\rangle \mapsto \langle k\cdot m-67n\rangle$ where $k,m,n\in\mathbb{Z}$ The corresponding TM performs the arithmetic and then copies the result to the beginning of the tape and clears the rest



- Arithmetic: $\langle k,m,n\rangle \mapsto \langle k\cdot m-67n\rangle$ where $k,m,n\in\mathbb{Z}$ The corresponding TM performs the arithmetic and then copies the result to the beginning of the tape and clears the rest
- Converting a grammar to CNF: $\langle G \rangle \mapsto \langle G' \rangle$ where L(G) = L(G') and G' is in CNF

The corresponding TM performs the conversion to CNF algorithm



- Arithmetic: $\langle k, m, n \rangle \mapsto \langle k \cdot m 67n \rangle$ where $k, m, n \in \mathbb{Z}$ The corresponding TM performs the arithmetic and then copies the result to the beginning of the tape and clears the rest
- Converting a grammar to CNF: $\langle G \rangle \mapsto \langle G' \rangle$ where L(G) = L(G') and G' is in CNF
 - The corresponding TM performs the conversion to CNF algorithm
- Constructing new TMs: $\langle M,w\rangle\mapsto \langle M'\rangle$ where M' is the TM that ignores its input and runs M on w



- Arithmetic: $\langle k,m,n\rangle \mapsto \langle k\cdot m-67n\rangle$ where $k,m,n\in\mathbb{Z}$ The corresponding TM performs the arithmetic and then copies the result to the beginning of the tape and clears the rest
- Converting a grammar to CNF: $\langle G \rangle \mapsto \langle G' \rangle$ where L(G) = L(G') and G' is in CNF
 - The corresponding TM performs the conversion to CNF algorithm
- Constructing new TMs: $\langle M,w\rangle\mapsto \langle M'\rangle$ where M' is the TM that ignores its input and runs M on w
- Constructing multiple TMs: $\langle M \rangle \mapsto \langle M, M' \rangle$ where M' is a TM such that $L(M') = \Sigma^*$



- Arithmetic: $\langle k,m,n\rangle \mapsto \langle k\cdot m-67n\rangle$ where $k,m,n\in\mathbb{Z}$ The corresponding TM performs the arithmetic and then copies the result to the beginning of the tape and clears the rest
- Converting a grammar to CNF: $\langle G \rangle \mapsto \langle G' \rangle$ where L(G) = L(G') and G' is in CNF

The corresponding TM performs the conversion to CNF algorithm

- Constructing new TMs: $\langle M,w\rangle\mapsto\langle M'\rangle$ where M' is the TM that ignores its input and runs M on w
- Constructing multiple TMs: $\langle M \rangle \mapsto \langle M, M' \rangle$ where M' is a TM such that $L(M') = \Sigma^*$

Anything that a TM can do without looping, including running deciders, is permissible



- Arithmetic: $\langle k,m,n\rangle \mapsto \langle k\cdot m-67n\rangle$ where $k,m,n\in\mathbb{Z}$ The corresponding TM performs the arithmetic and then copies the result to the beginning of the tape and clears the rest
- Converting a grammar to CNF: $\langle G \rangle \mapsto \langle G' \rangle$ where L(G) = L(G') and G' is in CNF

The corresponding TM performs the conversion to CNF algorithm

- Constructing new TMs: $\langle M,w\rangle\mapsto\langle M'\rangle$ where M' is the TM that ignores its input and runs M on w
- Constructing multiple TMs: $\langle M \rangle \mapsto \langle M, M' \rangle$ where M' is a TM such that $L(M') = \Sigma^*$

Anything that a TM can do without looping, including running deciders, is permissible

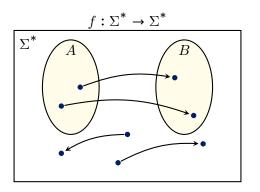
If the form of the input is wrong (e.g., if the TM is expecting $\langle M, w \rangle$ but gets something else), then it clears the tape and halts (i.e., outputs ε)



Mapping reducibility

Language A is mapping reducible to language B, written $A \leq_{\mathrm{m}} B$, if there exists a computable function $f: \Sigma^* \to \Sigma^*$ such that for each $w \in \Sigma^*$,

$$w \in A \iff f(w) \in B$$



f maps elements of \overline{A} to elements of \overline{B} f maps elements of \overline{A} to elements of \overline{B}



Mapping instances of problems to instances of other problems

Consider the problems

- lacktriangledown Is the string w recognized by the PDA P?
- **2** Is the string x generated by the CFG G?

We express both of these as languages, $A_{\rm PDA}$ and $A_{\rm CFG}$, respectively

An instance of the first problem is the (representation of the) pair $\langle P, w \rangle$ and an instance of the second problem is $\langle G, x \rangle$

A mapping reduction $A \leq_{\mathrm{m}} B$ takes an instance of problem A and maps it to an instance of problem B such that the solution to the latter gives the solution to the former

E.g.,
$$\langle P, w \rangle \mapsto \langle G, w \rangle$$
 where $L(G) = L(P)$ is a computable mapping and $\langle P, w \rangle \in A_{\mathsf{PDA}} \iff \langle G, w \rangle \in A_{\mathsf{CFG}}$ so $A_{\mathsf{PDA}} \leq_{\mathsf{m}} A_{\mathsf{CFG}}$



Is $A_{\mathsf{CFG}} \leq_{\mathrm{m}} A_{\mathsf{PDA}}$?



Is $A_{CFG} \leq_m A_{PDA}$?

Yes. The mapping $\langle G, w \rangle \mapsto \langle P, w \rangle$ where L(P) = L(G) is computable because the CFG to PDA conversion is a simple algorithm.

As before, $\langle G, w \rangle \in A_{\mathsf{CFG}} \iff \langle P, w \rangle \in A_{\mathsf{PDA}}$



Is $A_{\mathsf{DFA}} \leq_{\mathrm{m}} A_{\mathsf{CFG}}$?



Is $A_{\mathsf{DFA}} \leq_{\mathsf{m}} A_{\mathsf{CFG}}$?

Yes. We can convert a DFA to an equivalent CFG; i.e., $\langle M, w \rangle \mapsto \langle G, w \rangle$ where L(G) = L(M) is computable and clearly $\langle M, w \rangle \in A_{\mathsf{DFA}} \iff \langle G, w \rangle \in A_{\mathsf{CFG}}$



Is $A_{CFG} \leq_m A_{DFA}$?



Is $A_{CFG} \leq_m A_{DFA}$?

Perhaps counterintuitively, yes!

Remember, $A_{\rm CFG}$ is decidable so we can use the decider R for it when constructing our mapping

 $T = \text{"On input } \langle G, w \rangle$,

- 2 If R accepts, let M be the 1-state DFA such that $L(M) = \Sigma^*$
- 3 If R rejects, let M be the 1-state DFA such that $L(M) = \emptyset$
- **4** Output $\langle M, \varepsilon \rangle$ "

This won't loop because R is a decider.

If
$$\langle G, w \rangle \in A_{\mathsf{CFG}}$$
, then $L(M) = \Sigma^* \mathsf{so} \langle M, \varepsilon \rangle \in A_{\mathsf{DFA}}$

If
$$\langle G, w \rangle \notin A_{\mathsf{CFG}}$$
, then $L(M) = \emptyset$ so $\langle M, \varepsilon \rangle \notin A_{\mathsf{DFA}}$



Mapping reductions are a stronger form of reduction

What we've called a reduction up until now is also called a Turing reduction

Theorem

If $A \leq_m B$, then $A \leq B$. In other words, if $A \leq_m B$ and B is decidable, then A is decidable

How can we prove this?



Mapping reductions are a stronger form of reduction

What we've called a reduction up until now is also called a Turing reduction

Theorem

If $A \leq_m B$, then $A \leq B$. In other words, if $A \leq_m B$ and B is decidable, then A is decidable

How can we prove this?

Proof.

Let R be a decider for B and let $f: \Sigma^* \to \Sigma^*$ be the mapping reduction.

D = "On input w,

- **1** Compute f(w)
- **2** Run R on f(w) and if R accepts, then accept; otherwise reject"

f is computable and R is a decider so D is a decider.

If $w \in A$, then $f(w) \in B$ so R and thus D will accept

If $w \notin A$, then $f(w) \notin B$ so R and thus D will reject



Using mapping reductions to show languages are undecidable

Just like with Turing reductions, we have a simple corollary:

Theorem

If $A \leq_{\mathrm{m}} B$ and A is undecidable, then B is undecidable

We typically use this fact by giving a TM that computes the mapping reduction

T = "On input \langle an instance of problem $A \rangle$,

- $oldsymbol{0}$ Construct an instance of problem B
- **2** Output (the instance of problem B)"

Rather than accept or reject, the TM ${\cal T}$ corresponding to the mapping outputs the result



Show that $E_{\mathsf{TM}} \leq_{\mathrm{m}} EQ_{\mathsf{TM}}$ by giving a TM T that computes the mapping How do we do this?



Show that $E_{\mathsf{TM}} \leq_{\mathrm{m}} EQ_{\mathsf{TM}}$ by giving a TM T that computes the mapping How do we do this?

 $T = \text{"On input } \langle M \rangle$,



Show that $E_{\mathsf{TM}} \leq_{\mathrm{m}} EQ_{\mathsf{TM}}$ by giving a TM T that computes the mapping How do we do this?

$$T = \text{"On input } \langle M \rangle$$
,

1 Build TM M' such that $L(M') = \emptyset$



Show that $E_{\mathsf{TM}} \leq_{\mathrm{m}} EQ_{\mathsf{TM}}$ by giving a TM T that computes the mapping How do we do this?

 $T = \text{"On input } \langle M \rangle$,

- Build TM M' such that $L(M') = \emptyset$
- **2** Output $\langle M, M' \rangle$ "

Show that $E_{\mathsf{TM}} \leq_{\mathrm{m}} EQ_{\mathsf{TM}}$ by giving a TM T that computes the mapping How do we do this?

```
T = \text{"On input } \langle M \rangle,
```

- Build TM M' such that $L(M') = \emptyset$
- **2** Output $\langle M, M' \rangle$ "

Note that $\langle M \rangle$ is an instance of E_{TM} and $\langle M, M' \rangle$ is an instance of EQ_{TM}

Show that $E_{\mathsf{TM}} \leq_{\mathrm{m}} EQ_{\mathsf{TM}}$ by giving a TM T that computes the mapping How do we do this?

 $T = \text{"On input } \langle M \rangle$,

- Build TM M' such that $L(M') = \emptyset$
- **2** Output $\langle M, M' \rangle$ "

Note that $\langle M \rangle$ is an instance of E_{TM} and $\langle M, M' \rangle$ is an instance of EQ_{TM}

We need to show that T doesn't loop and that $\langle M \rangle \in E_{\mathsf{TM}}$ iff $\langle M, M' \rangle \in EQ_{\mathsf{TM}}$



Show that $E_{\mathsf{TM}} \leq_{\mathrm{m}} EQ_{\mathsf{TM}}$ by giving a TM T that computes the mapping How do we do this?

 $T = \text{"On input } \langle M \rangle$,

- **1** Build TM M' such that $L(M') = \emptyset$
- **2** Output $\langle M, M' \rangle$ "

Note that $\langle M \rangle$ is an instance of E_{TM} and $\langle M, M' \rangle$ is an instance of EQ_{TM}

We need to show that T doesn't loop and that $\langle M \rangle \in E_{\mathsf{TM}}$ iff $\langle M, M' \rangle \in EQ_{\mathsf{TM}}$

Neither steps 1 nor 2 loop, so T doesn't loop

Next, we have a chain of iff

$$\langle M \rangle \in E_{\mathsf{TM}} \iff L(M) = \emptyset \iff L(M) = L(M') \iff \langle M, M' \rangle \in EQ_{\mathsf{TM}}$$



Example: $A_{\mathsf{TM}} \leq_{\mathrm{m}} \mathsf{HALT}_{\mathsf{TM}}$

This one is more tricky: Given $\langle M, w \rangle$ (an instance of A_{TM}), we need to construct $\langle M', w \rangle$ such that M accepts w iff M' halts on w How can we do this?



Example: $A_{\mathsf{TM}} \leq_{\mathrm{m}} \mathsf{HALT}_{\mathsf{TM}}$

This one is more tricky: Given $\langle M, w \rangle$ (an instance of A_{TM}), we need to construct $\langle M', w \rangle$ such that M accepts w iff M' halts on w How can we do this?

```
T = \text{"On input } \langle M, w \rangle,
```

- **1** Construct a new TM M' = 'On input x,
 - \bigcirc Run M on x
 - $oldsymbol{2}$ If M accepts, then accept
- $2 \ \text{Output} \ \langle \boldsymbol{M'}, \boldsymbol{w} \rangle "$

Example: $A_{TM} \leq_m HALT_{TM}$

This one is more tricky: Given $\langle M, w \rangle$ (an instance of A_{TM}), we need to construct $\langle M', w \rangle$ such that M accepts w iff M' halts on w How can we do this?

```
T = \text{"On input } \langle M, w \rangle,
```

- **1** Construct a new TM M' = 'On input x.
 - \bigcirc Run M on x
 - \mathbf{Q} If M accepts, then accept
 - **3** If *M* rejects, then *loop*'
- \bigcirc Output $\langle M', w \rangle$ "

Constructing the TM M' can't loop so T can't loop

If $\langle M, w \rangle \in A_{\mathsf{TM}}$, then M accepts w so M' accepts and thus halts on w so $\langle M', w \rangle \in \text{Halt-m}$

If $\langle M, w \rangle \notin A_{TM}$, then either M rejects or loops on w and in either case, M' loops on Uw [why?] so $\langle M', w \rangle \notin HALT_{TM}$



Example: $EQ_{CFG} \leq_m EQ_{TM}$

How do we show this?



Example: $EQ_{CFG} \leq_{\mathrm{m}} EQ_{TM}$

How do we show this?

 $T = \text{"On input } \langle G_1, G_2 \rangle$,

- ① Construct TM M_1 s.t. $L(M_1) = L(G_1)$ (we can use the decider for A_{CFG} to do this)
- **2** Construct TM M_2 s.t. $L(M_2) = L(G_2)$
- **3** Output $\langle M_1, M_2 \rangle$ "

Now what?



Example: $EQ_{CFG} \leq_{\mathrm{m}} EQ_{TM}$

How do we show this?

T = "On input $\langle G_1, G_2 \rangle$,

- ① Construct TM M_1 s.t. $L(M_1) = L(G_1)$ (we can use the decider for A_{CFG} to do this)
- **2** Construct TM M_2 s.t. $L(M_2) = L(G_2)$
- **3** Output $\langle M_1, M_2 \rangle$ "

Now what?

T can't loop because it's just constructing two TMs

Since
$$L(G_i) = L(M_i)$$
, $\langle G_1, G_2 \rangle \in EQ_{\mathsf{CFG}} \iff L(G_1) = L(G_2) \iff L(M_1) = L(M_2) \iff \langle M_1, M_2 \rangle \in EQ_{\mathsf{TM}}$



Mapping reductions between RE languages

Theorem If $A \leq_m B$ and B is Turing-recognizable, then A is Turing-recognizable. How do we prove this?



Mapping reductions between RE languages

Theorem

If $A \leq_m B$ and B is Turing-recognizable, then A is Turing-recognizable.

How do we prove this? Same construction as for the decidable case.

Proof.

Let R be a TM such that L(R) = B and $f : \Sigma^* \to \Sigma^*$ be the computable mapping. Build TM M to recognize A:

M = ``On input w,

1 Run R on f(w). If R accepts, then accept; if R rejects, then reject"

Now we just need to show that L(M) = A

$$w \in A \iff f(w) \in B \iff R \text{ accepts } f(w) \iff M \text{ accepts } w.$$



Proving that a language is not RE

Theorem If $A \leq_m B$ and A is not Turing-recognizable, then B is not Turing-recognizable Why?



Proving that a language is not RE

Theorem

If $A \leq_{\mathrm{m}} B$ and A is not Turing-recognizable, then B is not Turing-recognizable Why?

Proof.

If B were RE, then by the previous theorem, A would be RE.



Mapping reduction between complements

Theorem

If $A \leq_m B$, then $\overline{A} \leq_m \overline{B}$ with the reduction given by the same mapping.

We just use the fact that if f is the computable mapping, then $w \in A \iff f(w) \in B$



Mapping reduction between complements

Theorem

If $A \leq_m B$, then $\overline{A} \leq_m \overline{B}$ with the reduction given by the same mapping.

We just use the fact that if f is the computable mapping, then $w \in A \iff f(w) \in B$ Proof.

Let f be the mapping reduction from A to B. Then

$$w \in \overline{A} \iff w \notin A \iff f(w) \notin B \iff f(w) \in \overline{B}.$$



coRE

Theorem

If $A \leq_{\mathrm{m}} B$ and B is co-Turing-recognizable, then A is co-Turing-recognizable.

Why?



coRE

Theorem

If $A \leq_{\mathrm{m}} B$ and B is co-Turing-recognizable, then A is co-Turing-recognizable.

Why?

Proof.

By the previous theorem, $\overline{A} \leq_{\mathrm{m}} \overline{B}$.

Since B is coRE, \overline{B} is RE and thus \overline{A} is RE. Therefore, A is coRE.



Not coRE

Theorem

If $A \leq_m B$ and A is not co-Turing-recognizable, then B is not co-Turing-recognizable.

Proof.

If B were $\ensuremath{\mathsf{coRE}}$, then A would be $\ensuremath{\mathsf{coRE}}$ by the previous theorem.



Recapitulate our results

A and B are languages and $A \leq_m B$.

Good-news reductions

- If B is decidable, then A is decidable
- If B is RE, then A is RE
- If B is coRE, then A is coRE

Bad-news reductions

- If A is not decidable, then B is not decidable
- If A is not RE, then B is not RE
- If A is not coRE, then B is not coRE

Show $A_{\mathsf{TM}} \leq_{\mathrm{m}} \overline{E_{\mathsf{TM}}}$



Show $A_{\mathsf{TM}} \leq_{\mathrm{m}} \overline{E_{\mathsf{TM}}}$

We need to give a TM that takes as input an instance of $A_{\rm TM}$ and outputs an instance of $\overline{E_{\rm TM}}$



Show
$$A_{\mathsf{TM}} \leq_{\mathsf{m}} \overline{E_{\mathsf{TM}}}$$

We need to give a TM that takes as input an instance of $A_{\rm TM}$ and outputs an instance of $\overline{E_{\rm TM}}$

 $T = \text{``On input } \langle M, w \rangle$,

- - **1** Ignore x and run M on w. If M accepts, then accept; if M rejects, then reject
- **2** Output $\langle M_w \rangle$ "

This is clearly computable (i.e., T doesn't loop)

Now we just need to show that $\langle M, w \rangle \in A_{\mathsf{TM}}$ iff $\langle M_w \rangle \in \overline{E_{\mathsf{TM}}}$



Show
$$A_{\mathsf{TM}} \leq_{\mathrm{m}} \overline{E_{\mathsf{TM}}}$$

We need to give a TM that takes as input an instance of $A_{\rm TM}$ and outputs an instance of $\overline{E_{\rm TM}}$

 $T = \text{``On input } \langle M, w \rangle$,

- - **1** Ignore x and run M on w. If M accepts, then accept; if M rejects, then reject
- **2** Output $\langle M_w \rangle$ "

This is clearly computable (i.e., T doesn't loop)

Now we just need to show that $\langle M, w \rangle \in A_{\mathsf{TM}}$ iff $\langle M_w \rangle \in \overline{E_{\mathsf{TM}}}$

If $\langle M, w \rangle \in A_{\mathsf{TM}}$, then M accepts w so $L(M_w) = \Sigma^*$ and thus $\langle M_w \rangle \in \overline{E_{\mathsf{TM}}}$

If $\langle M, w \rangle \notin A_{\mathsf{TM}}$, then M doesn't accept w so $L(M_w) = \emptyset$ and thus $\langle M_w \rangle \notin \overline{E_{\mathsf{TM}}}$



One missing detail

What happens if the input to our T does not have the form $\langle M, w \rangle$?



One missing detail

What happens if the input to our T does not have the form $\langle M, w \rangle$?

We said it outputs ε but that's actually a problem; why?



One missing detail

What happens if the input to our T does not have the form $\langle M, w \rangle$?

We said it outputs ε but that's actually a problem; why?

$$\varepsilon \in \overline{E_{\mathsf{TM}}}$$

We need to modify T:

T = "On input w,

- If w isn't of the form $\langle M, w \rangle$, then output $\langle M' \rangle$ where $L(M') = \emptyset$
- 2 Otherwise, construct M_w = 'On input x,
 - **1** Run M on w. If M accepts, then accept; if M rejects, then reject
- **3** Output $\langle M_w \rangle$ "

Now strings that don't have the appropriate form for $A_{\rm TM}$ are mapped to something that's not in $\overline{E_{\rm TM}}$



We showed that $A_{\rm TM} \leq E_{\rm TM}$ when we proved that $E_{\rm TM}$ is undecidable; show that $A_{\rm TM} \nleq_{\rm m} E_{\rm TM}$ How do we show this?



We showed that $A_{\rm TM} \leq E_{\rm TM}$ when we proved that $E_{\rm TM}$ is undecidable; show that $A_{\rm TM} \nleq_{\rm m} E_{\rm TM}$ How do we show this?

By contradiction. Assume that $A_{\mathsf{TM}} \leq_{\mathsf{m}} E_{\mathsf{TM}}$. We previously showed that E_{TM} is coRE so therefore A_{TM} is coRE. But this is a contradiction because we also proved that A_{TM} is *not* coRE



Languages that are neither RE nor coRE

So far, we've seen languages like $A_{\rm TM}$ that are RE but not coRE and languages like $E_{\rm TM}$ that are coRE but not RE

It's reasonable to ask if a language must be either RE or coRE. The answer is no



Languages that are neither RE nor coRE

So far, we've seen languages like $A_{\rm TM}$ that are RE but not coRE and languages like $E_{\rm TM}$ that are coRE but not RE

It's reasonable to ask if a language must be either RE or coRE. The answer is no

The language EQ_{TM} is neither RE nor coRE

To prove this, we want to find two languages A and B such that $A \leq_{\mathrm{m}} EQ_{\mathsf{TM}}$ and $B \leq_{\mathrm{m}} EQ_{\mathsf{TM}}$ where A is not RE and B is not coRE



EQ_{TM} is not RE

We already showed $E_{\sf TM} \leq_{\sf m} EQ_{\sf TM}$ and $E_{\sf TM}$ is not RE so $EQ_{\sf TM}$ is not RE



EQ_{TM} is not coRE

This one is a bit trickier. Let's mapping reduce A_{TM} to EQ_{TM} How do we do this?



EQ_{TM} is not coRE

This one is a bit trickier. Let's mapping reduce A_{TM} to EQ_{TM} How do we do this?

```
T = \text{"On input } \langle M, w \rangle,
```

- **1** Construct TM M_1 = 'On input x,
 - **1** If $x \neq w$, then reject
 - **2** Run M on w. If M accepts, then accept; if M rejects, then reject
- **2** Construct TM M_2 = 'On input x,
 - **1** If x = w, then accept; otherwise reject'
- **3** Output $\langle M_1, M_2 \rangle$ "

EQ_{TM} is not coRE

This one is a bit trickier. Let's mapping reduce A_{TM} to EQ_{TM} How do we do this?

$$T = \text{``On input } \langle M, w \rangle$$
,

- **1** Construct TM M_1 = 'On input x,
 - 1 If $x \neq w$, then reject
 - 2 Run M on w. If M accepts, then accept; if M rejects, then reject
- **2** Construct TM M_2 = 'On input x,
 - **1** If x = w, then accept; otherwise reject'
- **3** Output $\langle M_1, M_2 \rangle$ "

If $\langle M, w \rangle \in A_{\mathsf{TM}}$, then M accepts w so $L(M_1) = \{w\}$. If $\langle M, w \rangle \notin A_{\mathsf{TM}}$, then M does not accept w so $L(M_1) = \emptyset$

Regardless of M, the language of M_2 is $L(M_2) = \{w\}$.

Thus $\langle M, w \rangle \in A_{\mathsf{TM}}$ iff $\langle M_1, M_2 \rangle \in EQ_{\mathsf{TM}}$



Is there a RE language A such that $EQ_{\mathsf{TM}} \leq_{\mathrm{m}} A$? Why or why not?



Is there a RE language A such that $EQ_{TM} \leq_m A$? Why or why not?

No. EQ_{TM} is not RE, so any A such that $EQ_{\mathsf{TM}} \leq_{\mathrm{m}} A$ is also not RE



Is there a coRE language B such that $B \leq_{\mathrm{m}} EQ_{\mathsf{TM}}$? Why or why not?



Is there a coRE language B such that $B \leq_{\mathrm{m}} EQ_{\mathsf{TM}}$? Why or why not?

Yes. We showed $E_{\mathsf{TM}} \leq_{\mathrm{m}} EQ_{\mathsf{TM}}$ and E_{TM} is coRE



If C is a language and $EQ_{\mathsf{TM}} \leq_{\mathrm{m}} C$, what can we conclude about C?



If C is a language and $EQ_{\mathsf{TM}} \leq_{\mathrm{m}} C$, what can we conclude about C?

 ${\cal C}$ is neither RE nor coRE



True or false: If $D \le E$, then $D \le_{\mathrm{m}} E$.



True or false: If $D \leq E$, then $D \leq_{\mathrm{m}} E$.

False. $A_{\mathsf{TM}} \leq E_{\mathsf{TM}}$ but $A_{\mathsf{TM}} \nleq_{\mathsf{m}} E_{\mathsf{TM}}$



Tricky! If $F \leq_{\mathrm{m}} \Sigma^*$, what can we conclude about F?



Tricky! If $F \leq_{\mathrm{m}} \Sigma^*$, what can we conclude about F?

$$F = \Sigma^*$$
. Let f be the mapping. Then $w \in F \iff f(w) \in \Sigma^*$

For any language other than Σ^* , there's some string x not in the language but then $f(x) \notin \Sigma^*$; but every string is in Σ^*



Tricky! If $\Sigma^* \leq_{\mathrm{m}} G$, what can we conclude about G?



Tricky! If $\Sigma^* \leq_{\mathrm{m}} G$, what can we conclude about G?

We know $G \neq \emptyset$.

Since every string $w \in \Sigma^*$ needs to be mapped to an element of G, G cannot be empty



Updated table

Before t	today's	lecture
----------	---------	---------

Language	RE	coRE
A_{DFA}	/	/
E_{DFA}		
EQ_{DFA}	/	✓
A_{CFG}	/	/
E_{CFG}	/	✓
EQ_{CFG}	×	✓
DIAG	?	?
A_{TM}	/	×
HALT _{TM}	?	?
E_{TM}	×	/
EQ_{TM}	?	?
REGULARTM	?	?

Now

RE	coRE
/	/
/	/
/	
/	
/	
×	/
?	?
/	×
?	×
×	
×	×
?	?
	/ / / / / / * ? / ? * * * * * * * * * *



HALT_{TM} is RE

It's easy to show that HALT_{TM} is RE

- ① Construct a TM that recognizes $HALT_{TM}$ $H = "On input \langle M, w \rangle$,
 - **1** Run M on w. If M halts, then accept"



HALT_{TM} is RE

It's easy to show that $HALT_{TM}$ is RE

- Construct a TM that recognizes HALT_{TM} H = "On input $\langle M, w \rangle$,
 - lacksquare Run M on w. If M halts, then accept"
- **2** Mapping reduce HALT_{TM} to A_{TM} T = "On input $\langle M, w \rangle$,
 - **1** Construct TM M' = 'On input x,
 - **1** Run M on x. If M halts, then accept'
 - **2** Output $\langle M', w \rangle$ "



Turning a Turing reduction into a mapping reduction

If the Turing reduction $A \leq B$ looks like:

Let R decide B and construct TM M to decide A: M = "On input w,

- f 1 Construct some instance w' of B
- **2** Run R on w' and if R accepts, then accept; otherwise reject"

Turning a Turing reduction into a mapping reduction

If the Turing reduction $A \leq B$ looks like:

Let R decide B and construct TM M to decide A: M = "On input w,

- \bullet Construct some instance w' of B
- **2** Run R on w' and if R accepts, then accept; otherwise reject"

then we can turn that into a mapping reduction

T = "On input w,

- f 1 Construct some instance w' of B
- 2 Output w'''



Turning a Turing reduction into a mapping reduction

If the Turing reduction $A \leq B$ looks like:

Let R decide B and construct TM M to decide A: M = "On input w,

- \bullet Construct some instance w' of B
- **2** Run R on w' and if R accepts, then accept; otherwise reject"

then we can turn that into a mapping reduction

T = "On input w,

- f 1 Construct some instance w' of B
- $\mathbf{2}$ Output w'''

Note that R must be used exactly one time and M accepts iff R accepts



$Regularize{REGULAR_{TM}}$ is not coRE

We can turn our reduction $A_{\mathsf{TM}} \leq \mathrm{REGULAR}_{\mathsf{TM}}$ into a mapping reduction $A_{\mathsf{TM}} \leq_{\mathrm{m}} \mathrm{REGULAR}_{\mathsf{TM}}$



REGULAR_{TM} is not coRE

We can turn our reduction $A_{\mathsf{TM}} \leq \mathrm{REGULAR}_{\mathsf{TM}}$ into a mapping reduction $A_{\mathsf{TM}} \leq_{\mathsf{m}} \mathrm{REGULAR}_{\mathsf{TM}}$

 $T = \text{``On input } \langle M, w \rangle$,

- **1** Construct TM M' = 'On input x,
 - **1** If $x = 0^n 1^n$ for some n, then accept
 - 2 Otherwise, run M on w and if M accepts, then accept; if M rejects, then reject'
- **2** Output $\langle M' \rangle$ "



REGULAR_{TM} is not coRE

We can turn our reduction $A_{\mathsf{TM}} \leq \mathrm{REGULAR}_{\mathsf{TM}}$ into a mapping reduction $A_{\mathsf{TM}} \leq_{\mathrm{m}} \mathrm{REGULAR}_{\mathsf{TM}}$

 $T = \text{``On input } \langle M, w \rangle$,

- **1** Construct TM M' = 'On input x,
 - 1 If $x = 0^n 1^n$ for some n, then accept
 - 2 Otherwise, run M on w and if M accepts, then accept; if M rejects, then reject'
- **2** Output $\langle M' \rangle$ "

$$\langle M, w \rangle \in A_{\mathsf{TM}} \iff L(M') = \Sigma^* \iff L(M') \text{ is regular } \iff \langle M' \rangle \in \mathsf{REGULAR}_{\mathsf{TM}}$$

 A_{TM} is not coRE, so REGULAR_{TM} is not coRE



Regularim In Regularim Regularim In Regula

We could reduce from $E_{\rm TM},$ but it's simpler to reduce from $\overline{A_{\rm TM}}$ T = "On input s,

- ① If $s \neq \langle M, w \rangle$ for some TM M and input w, let M' be a TM such that $L(M') = \emptyset$
- **2** Otherwise, construct TM M' = 'On input x,
 - 1 If $x \neq 0^n 1^n$ for some n, then reject
 - **2** Run M on w and if M accepts, then accept; if M rejects, then reject'
- **3** Output $\langle M' \rangle$ "

Three cases



REGULAR_{TM} is not RE

We could reduce from $E_{\rm TM},$ but it's simpler to reduce from $\overline{A_{\rm TM}}$ T = "On input s,

- ① If $s \neq \langle M, w \rangle$ for some TM M and input w, let M' be a TM such that $L(M') = \emptyset$
- **2** Otherwise, construct TM M' = 'On input x,
 - 1 If $x \neq 0^n 1^n$ for some n, then reject
 - **2** Run M on w and if M accepts, then accept; if M rejects, then reject'
- **3** Output $\langle M' \rangle$ "

Three cases

1 If $s \in \overline{A_{\mathsf{TM}}}$ but $s \neq \langle M, w \rangle$, then $L(M) = \emptyset$ and $\langle M' \rangle \in \mathsf{REGULAR_{\mathsf{TM}}}$



REGULAR_{TM} is not RE

We could reduce from $E_{\rm TM},$ but it's simpler to reduce from $\overline{A_{\rm TM}}$ T = "On input s,

- ① If $s \neq \langle M, w \rangle$ for some TM M and input w, let M' be a TM such that $L(M') = \emptyset$
- **2** Otherwise, construct TM M' = 'On input x,
 - 1 If $x \neq 0^n 1^n$ for some n, then reject
 - **2** Run M on w and if M accepts, then accept; if M rejects, then reject'
- **3** Output $\langle M' \rangle$ "

Three cases

- 1 If $s \in \overline{A_{\mathsf{TM}}}$ but $s \neq \langle M, w \rangle$, then $L(M) = \emptyset$ and $\langle M' \rangle \in \mathsf{REGULAR_{\mathsf{TM}}}$
- ② If $s = \langle M, w \rangle \in \overline{A_{\mathsf{TM}}}$, then $w \notin L(M)$ so $L(M') = \emptyset$ and $\langle M' \rangle \in \mathsf{REGULAR_{\mathsf{TM}}}$



$Regularize{REGULAR_{TM}}$ is not RE

We could reduce from $E_{\rm TM},$ but it's simpler to reduce from $\overline{A_{\rm TM}}$ T = "On input s,

- ① If $s \neq \langle M, w \rangle$ for some TM M and input w, let M' be a TM such that $L(M') = \emptyset$
- 2 Otherwise, construct TM M' = 'On input x,
 - 1 If $x \neq 0^n 1^n$ for some n, then reject
 - **2** Run M on w and if M accepts, then accept; if M rejects, then reject'
- **3** Output $\langle M' \rangle$ "

Three cases

- 1 If $s \in \overline{A_{\mathsf{TM}}}$ but $s \neq \langle M, w \rangle$, then $L(M) = \emptyset$ and $\langle M' \rangle \in \mathsf{REGULAR_{\mathsf{TM}}}$
- ② If $s = \langle M, w \rangle \in \overline{A_{\mathsf{TM}}}$, then $w \notin L(M)$ so $L(M') = \emptyset$ and $\langle M' \rangle \in \mathsf{REGULAR_{\mathsf{TM}}}$
- 3 If $s \notin \overline{A_{\mathsf{TM}}}$, then $s = \langle M, w \rangle$ and $w \in L(M)$. In this case, $L(M') = \{0^n 1^n \mid n \ge 0\}$ so $\langle M' \rangle \notin \mathrm{REGULAR}_{\mathsf{TM}}$

Since $\overline{A_{\mathsf{TM}}}$ is not RE, REGULAR_{TM} is not RE



Updated table

Before today's lecture

Language	RE	coRE
A_{DFA}	/	/
E_{DFA}	/	
EQ_{DFA}	/	
A_{CFG}	/	
E_{CFG}	/	/
EQ_{CFG}	×	✓
DIAG	?	?
A_{TM}	/	×
HALT _{TM}	?	?
E_{TM}	×	/
EQ_{TM}	?	?
REGULARTM	?	?

Now

Language	RE	coRE
A_{DFA}	/	/
$E_{DFA} \ EQ_{DFA}$	/	
A_{CFG}	/	✓
E_{CFG}	/	
EQ_{CFG}	?	?
A_{TM}	V	×
HALT _{TM}	×	×
$E_{TM} \ EQ_{TM}$	×	×
REGULAR _{TM}	×	×

