

UNIVERSITY OF CAMBRIDGE  
MATHEMATICAL TRIPOS

## Part III – **Algebraic Topology**

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*These notes may not reflect the full format and content that are actually lectured.  
I usually modify the notes after the lectures and sometimes my own thinking or  
interpretation might be blended in. Any mistake or typo should surely be mine.  
Be cautious if you are using this for self-study or revision.*

## COURSE INFORMATION

Algebraic Topology permeates modern pure mathematics and theoretical physics. This course will focus on (co)homology, with an emphasis on applications to the topology of manifolds. We will cover singular and cellular (co)homology; degrees of maps and cup-products; cohomology with compact supports and Poincaré duality; and Thom isomorphism and the Euler class. The course will not specifically assume any knowledge of algebraic topology, but will go quite fast in order to reach more interesting material, so some previous exposure to chain complexes (e.g. simplicial homology) would certainly be helpful.

## PRE-REQUISITES

Basic topology: topological spaces, compactness and connectedness, at the level of Sutherland's book. Some knowledge of the fundamental group would be helpful though not a requirement. Hatcher's book and Bott and Tu's book are especially recommended for accompanying the course, but there are many other suitable texts.

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## 0 INTRODUCTION

Lecture 1 Algebraic Topology concerns the *connectivity* properties of topological spaces.

No-Revise

DEFINITION 0.1. A space  $X$  is *path-connected* if for  $p, q \in X$ ,  $\exists \gamma : [0, 1] \rightarrow X$  continuous with  $\gamma(0) = p, \gamma(1) = q$ .

[Need figure 1 here.]

EXAMPLE 0.2.  $\mathbb{R}$  is path-connected;  $\mathbb{R} \setminus \{0\}$  is not.

COROLLARY 0.3 (The intermediate value theorem). If  $f : \mathbb{R} \rightarrow \mathbb{R}$  is continuous and  $x < y$  satisfy  $f(x) < 0, f(y) > 0$  then  $f$  takes the value 0 on  $[x, y]$ .

Proof. Otherwise,  $f^{-1}(-\infty, 0) \cup f^{-1}(0, \infty)$  disconnects  $[x, y]$ , #. □

DEFINITION 0.4. Let  $X, Y$  be topological spaces. We say maps  $f_0, f_1 : Y \rightarrow X$  are *homotopic* if  $\exists F : Y \times [0, 1] \rightarrow X$  continuous such that

$$F|_{Y \times \{0\}} = f_0, \quad F|_{Y \times \{1\}} = f_1$$

We write  $f_0 \simeq f_1$  (or  $f_0 \underset{F}{\simeq} f_1$ ).

[Need figure 2 here.]

EXERCISE 0.5. (On example sheet 1)  $\simeq$  is an equivalence relation on the set of maps from  $Y$  to  $X$ .

NOTE.  $X$  is *path-connected* iff every two maps  $\{\text{point}\} \rightarrow X$  are homotopic.

DEFINITION 0.6.  $X$  is *simply-connected* if every two maps  $S^1 \rightarrow X$  are homotopic.

NOTE. We often denote

$$S^1 = \{z \in \mathbb{C} : |z| = 1\}, \quad S^n = \{x \in \mathbb{R}^{n+1} : \|x\| = 1\}$$

EXAMPLE 0.7.  $\mathbb{R}^2$  is simply connected;  $\mathbb{R}^2 \setminus \{0\}$  is not.

From complex analysis we know  $\gamma : S^1 \rightarrow \mathbb{R}^2 \setminus \{0\}$  has a *winding number* or *degree*  $\deg(\gamma) \in \mathbb{Z}$ , for which

1. If  $\gamma_n(t) = e^{2\pi i n t}$  then  $\deg(\gamma_n) = n$ ;
2.  $\deg(\gamma_1) = \deg(\gamma_2)$  if  $\gamma_1 \simeq \gamma_2$ .

[Need figure 3 here.]

For differentiable  $\gamma$ ,

$$\deg(\gamma) = \int_{\gamma} \frac{dz}{z}.$$

**COROLLARY 0.8** (Fundamental theorem of algebra). *Every non-constant complex polynomial has a root.*

*Proof.* Let  $f(z) = z^n + a_1 z^{n-1} + \dots + a_n$  be non-constant and WLOG monic. Suppose  $f(z) \neq 0, \forall z \in \mathbb{C}$ , let  $\gamma_R(t) := f(Re^{2\pi i t})$  so that  $\gamma_R : S^1 \rightarrow \mathbb{R}^2 \setminus \{0\}$ . We know that

$$\gamma_0 \text{ is constant} \Rightarrow \deg(\gamma_0) = 0 \Rightarrow \deg(\gamma_R) = 0, \quad \forall R$$

However, if we take  $R \gg \sum_i |a_i|$ , let  $f_s(z) = z^n + s(a_1 z^{n-1} + \dots + a_n)$  with  $0 \leq s \leq 1$ . On the circle  $|z| = R$ ,  $f_s(z) \neq 0, \forall s$ .

Therefore, if  $\gamma_{R,s}(t) := f_s(Re^{2\pi i t})$  then we have  $\gamma_{R,1} = \gamma_R$  and  $\gamma_{R,0} : t \mapsto R^n e^{2\pi i n t}$ .

Clearly, we have

$$\deg(\gamma_{R,1}) = 0 \neq n = \deg(\gamma_{R,0})$$

as non-constant property suggests  $n \neq 0$ . This is a  $\#$ . □

**DEFINITION 0.9.**  $X$  is  $k$ -connected if every two maps  $S^i \rightarrow X$  are homotopic whenever  $i \leq k$ .

**EXAMPLE 0.10.**  $\mathbb{R}^n$  is  $(n-1)$ -connected;  $\mathbb{R}^n \setminus \{0\}$  is not. Maps  $S^{n-1} \rightarrow \mathbb{R}^n \setminus \{0\}$  have a homotopy-invariant degree  $\in \mathbb{Z}$  and  $\deg(\text{inclusion}) = 1$ ,  $\deg(\text{constant}) = 0$ . (We'll prove it later.)

**COROLLARY 0.11** (Brouwer's theorem). *For closed unit ball  $\bar{B}^n = \{x \in \mathbb{R}^n : \|x\| \leq 1\}$ , any map  $f : \bar{B}^n \rightarrow \bar{B}^n$  has a fixed point.*

*Proof.* Suppose  $f$  has no fixed point. Let  $\gamma_R(v) := Rv - f(Rv)$  where  $0 \leq R \leq 1$  and  $v \in S^{n-1} = \partial \bar{B}^n$ . Our assumption suggests  $\gamma_R$  takes values in  $\mathbb{R}^n \setminus \{0\}$ .

According to homotopy invariance, as  $\gamma_0$  is constant, we have  $\deg(\gamma_0) = 0$  hence  $\deg(\gamma_1) = 0$ .

Let  $\gamma_{1,s}(v) := v - sf(v)$  for  $0 \leq s \leq 1$ . Then  $\gamma_{1,1} = \gamma_1$  and  $\text{image}(\gamma_{1,s}) \subseteq \mathbb{R}^n \setminus \{0\}$  as  $\|v\| = 1, \|sf(v)\| = |s|\|f(v)\| < 1$  if  $s < 1$ .

Therefore, we have  $\deg(\gamma_{1,0}) = \deg(\gamma_{1,1}) = 0$  by homotopy invariance. However, the inclusion  $\gamma_{1,0}$  should have degree 1, thus  $\#$ . □

**DEFINITION 0.12.**  $f : X \rightarrow Y$  is a *homotopy-equivalence* if  $\exists g : Y \rightarrow X$  such that  $f \circ g \simeq \text{id}_Y, g \circ f \simeq \text{id}_X$ . (We call  $g$  a “homotopy inverse” for  $f$ .)

**NOTE.** The homotopy equivalence can be shown as an equivalence relation on spaces.

EXAMPLE 0.13. If  $X, Y$  are homeomorphic they are trivially homotopy equivalent: simply by taking  $g = f^{-1}$ .

EXAMPLE 0.14.  $\mathbb{R}^n \setminus \{0\} \simeq S^{n-1}$ .

Let

$$f : \mathbb{R}^n \setminus \{0\} \rightarrow S^{n-1}, \quad v \mapsto \frac{v}{\|v\|}$$

$$g : S^{n-1} \hookrightarrow \mathbb{R}^n \setminus \{0\} \text{ by inclusion}$$

Then

$$f \circ g = \text{id}_{S^{n-1}}, \quad g \circ f \underset{F}{\simeq} \text{id}_{\mathbb{R}^n \setminus \{0\}}$$

via the homotopy

$$F(t, v) = tv + (1-t) \frac{v}{\|v\|}$$

[Need figure 4 here.]

EXAMPLE 0.15.  $\{0\} \xrightarrow{\sim} \mathbb{R}^n$  is a homotopy equivalence. (Check!)

DEFINITION 0.16. If a space  $X \simeq \{\text{point}\}$  we say  $X$  is *contractible*.

Talking about all these, we emphasise that

Algebraic topology is the study of topological spaces up to homotopy equivalence.

The main idea is that: homeomorphism is too delicate as a relation, but homotopy equivalence keeps track of “essential” topological information. More precisely, we assign

$$\begin{aligned} \{\text{Spaces}\} &\rightarrow \{\text{Groups}\} \\ \{\text{Maps of spaces}\} &\rightarrow \{\text{Homomorphisms of groups}\} \end{aligned}$$

so we get algebraic invariants. (They are defined for *all* spaces, but have more structure and use/interest for “nicer” spaces.)

The classical first attempt of algebraic topology would be *homotopy theory*. One can *concatenate* loops: [Need figure 5 here.] for

$$\gamma * \tau(t) = \begin{cases} \gamma(2t), & t \leq \frac{1}{2} \\ \tau(1-2t), & t \geq \frac{1}{2} \end{cases}$$

which leads to

$$\{\text{Maps } S^1 \xrightarrow{\gamma} X\} / \simeq \longrightarrow \pi_1(X, x_0)$$

where  $\gamma$  fixes  $\gamma(0) = x_0 \in X$  and the homotopies preserve  $x_0$ ; [Need figure 6 here.]  $\pi_1$  is called the *fundamental group* on which the group operation is the concatenation  $(\gamma, \tau) \mapsto \gamma * \tau$ .

Similarly, for higher dimensions [Need figure 7 here.]

giving

$$\pi_n(X, x_0) = \{\text{based maps}\} / \simeq$$

called the *n-th homotopy group* of  $X$ .

The issue is that these homotopy groups are very hard to compute. E.g.  $\pi_n(S^2, x_0)$  is not known  $\forall n$ .

There is even *no* simply connected manifold (a space  $X$  locally homeomorphic to  $\mathbb{R}^n$ ) of dimension  $> 0$  with  $\pi_n(X)$  known  $\forall n$ !

Therefore, we will do something else: *(co)homology*.

It is algebraically harder to set up, yet the computational gain is worth it. Please note that computing cohomology of “harder” spaces (e.g.  $\text{Diff}(X)$ ,  $\text{Emb}(X, Y)$ , ...) is still very hard.

Some general remarks:

- Algebraic topology is all about being able to *compute*. It is important to do lots of examples;
- Our “nice spaces” are *manifolds* and indeed *smooth manifolds* — some of these will overlap with the course *Differential Geometry* which will be useful.



## 1 HOMOLOGY AND COHOMOLOGY

## 1.1 Chain &amp; Cochain Complexes

Lecture 2  
No-Revise

We will define invariants of spaces in two stages:

1. Associate to  $X$  a (co)chain complex;
2. Take the (co)homology of that complex.

DEFINITION 1.1. A *chain complex*  $(C_*, \partial)$  is a sequence of abelian group and homomorphisms

$$\cdots \rightarrow C_{n+1} \xrightarrow{\partial_{n+1}} C_n \xrightarrow{\partial_n} C_{n-1} \xrightarrow{\partial_{n-1}} C_{n-2} \rightarrow \cdots$$

such that  $\partial_{n-1} \circ \partial_n = 0, \forall n$ . We write this as “ $\partial^2 = 0$ ”.

The *homology group*  $H(C_*, \partial)$  is the graded group

$$H_n(C_*) := \ker(\partial_n) / \text{im}(\partial_{n+1}).$$

NOTE. We may call  $\partial$  the “differential” or “boundary map”.

DEFINITION 1.2. A *cochain complex* is a sequence of abelian groups and homomorphisms  $(C^*, \partial)$

$$\cdots \rightarrow C^{n-1} \xrightarrow{\partial^{n-1}} C^n \xrightarrow{\partial^n} C^{n+1} \xrightarrow{\partial^{n+1}} C^{n+2} \rightarrow \cdots$$

such that  $\partial^n \circ \partial^{n-1} = 0, \forall n$  (“ $\partial^2 = 0$ ” again).

The *cohomology groups*  $H(C^*, \partial)$  are

$$H^n(C^*) := \ker(\partial^n) / \text{im}(\partial^{n-1}).$$

NOTE. Here we introduce some terminologies.

- Elements of  $\ker \partial : C_n \rightarrow C_{n-1}$  are called *cycles*;
- Elements of  $\text{im } \partial : C_{n+1} \rightarrow C_n$  are called *boundaries*;
- Elements of  $\ker \partial : C^n \rightarrow C^{n+1}$  are called *cocycles*.

EXERCISE 1.3. Try to define *coboundary*.

NOTE. For convenience (we are lazy!), we write all  $\partial_i$  and  $\partial^i$  as  $\partial$  (or occasionally  $\partial, \partial^*$ ).

DEFINITION 1.4. The elements of  $H_*(C_*)$  are *homology classes* and those of  $H^*(C^*)$  are *cohomology classes*.

DEFINITION 1.5. A *chain map* between chain complexes  $(C_*, \partial)$  and  $(D_*, \partial)$  is a sequence of homomorphisms  $f_n : C_n \rightarrow D_n$  such that  $\forall n$  the following commutes:

$$\begin{array}{ccccccc} \cdots & \longrightarrow & C_n & \xrightarrow{\partial} & C_{n-1} & \longrightarrow & \cdots \\ & & \downarrow f_n & & \downarrow f_{n-1} & & \\ \cdots & \longrightarrow & D_n & \xrightarrow{\partial} & D_{n-1} & \longrightarrow & \cdots \end{array}$$

i.e.  $f_{n-1} \circ \partial_n^{C_*} = \partial_n^{D_*} \circ f_n$ .

EXERCISE 1.6. Define a *cochain map* of cochain complexes.

LEMMA 1.7. A chain map  $f_* : C_* \rightarrow D_*$  induces homomorphisms

$$(f_n)_* : H_n(C_*) \rightarrow H_n(D_*)$$

for each  $n$ .

*Proof.* Let  $[a] \in H_n(C_*)$ , so  $a$  is represented by a cycle  $\alpha \in C_n$ . Use the commutativity of the diagram in the above definition, we have

$$\partial(f_n(\alpha)) = f_{n-1}(\underbrace{\partial\alpha}_0) = 0$$

so  $f_n(\alpha)$  is a cycle.

Define

$$f_*[a] := [f_n(\alpha)] \in H_n(D_*).$$

We made a choice of representing cycle  $\alpha$ . But if  $[a]$  is represented by  $\alpha$  and  $\alpha'$ , then

$$\alpha - \alpha' \in \text{im}(\partial_{n+1} : C_{n+1} \rightarrow C_n).$$

Say  $\alpha - \alpha' = \partial\tau$ , then

$$f_n(\alpha) - f_n(\alpha') = f_n(\alpha - \alpha') = f_n(\partial\tau) = \partial(f_{n+1}(\tau)).$$

Thus

$$[f_n(\alpha)] = [f_n(\alpha') + \partial f_{n+1}(\tau)] = [f_n(\alpha')]$$

as  $[\text{im}(\partial)] = 0$  in  $H_*$ .

So  $f_*$  is well-defined, and easy to see it's a homomorphism.  $\square$

EXERCISE 1.8. If  $C_*, D_*, E_*$  are chain complexes and  $f : C_* \rightarrow D_*$ ,  $g : D_* \rightarrow E_*$  are chain maps then  $\{g_n \circ f_n : C_n \rightarrow E_n\}_n$  defines a chain map and

$$(\dagger) \begin{cases} (g \circ f)_* = g_* \circ f_*, \\ (\text{id})_* = \text{id on } H(C_*) \text{ themselves.} \end{cases}$$

Discussing all these, our goal is associating to space  $X$  (co)chain complexes  $C_*(X), C^*(X)$  such that a map  $f : X \rightarrow Y$  yields (co)chain maps

$$C_*(X) \xrightarrow{f_*} C_*(Y)$$

and

$$C^*(Y) \xrightarrow{f^*} C^*(X).$$

NOTE.  $(\dagger)$  means that we can say we have a *functor*  $\mathbf{Top} \rightarrow \mathbf{Groups}$ ,  $X \mapsto H_*(X)$  where the categories are

$\mathbf{Top}$  = (spaces, continuous maps) and  $\mathbf{Groups}$  = (abelian groups, homomorphisms).

Our complexes  $C_*, C^*$  will have the benefit that they are “intrinsic” but will be huge and unwieldy. We will

1. Prove structure theorems to help compute these;
2. Find “smaller” complexes later for nice spaces (e.g. CW-complexes).

DEFINITION 1.9. The *standard simplex* is defined as

$$\Delta^n := \left\{ (t_0, \dots, t_n) \in \mathbb{R}^{n+1} : t_i \geq 0, \sum_i t_i = 1 \right\}.$$

The  $i$ -th *face* of  $\Delta^n$  is

$$\Delta_i^n := \{ \mathbf{t} \in \Delta^n : t_i = 0 \}.$$

NOTE. There exists canonical homeomorphism  $\Delta^{n-1} \xrightarrow{\delta_i} \Delta_i^n$  such that

$$(t_0, \dots, t_{n-1}) \mapsto (t_0, \dots, t_{i-1}, 0, t_{i+1}, t_{n-1}).$$

DEFINITION 1.10. If  $X$  is a space, a *singular  $n$ -simplex in  $X$*  is a map  $\sigma : \Delta^n \rightarrow X$ . The *singular chain complex*  $(C_*(X), \partial)$  has

$$C_n(X) := \left\{ \sum_{i=1}^N n_i \sigma_i : N < \infty, n_i \in \mathbb{Z}, \sigma_i : \Delta^n \rightarrow X \right\},$$

the free abelian groups on the singular  $n$ -simplices in  $X$ , and

$$\partial : C_n(X) \rightarrow C_{n-1}(X), \quad \sigma \mapsto \sum_{i=0}^n (-1)^i (\sigma \circ \delta_i)$$

which extends linearly.

NOTE. The  $n+1$  ordered points  $\{v_i\}_{0 \leq i \leq n} \subseteq \mathbb{R}^{n+1}$  determine an  $n$ -simplex if  $\{v_i - v_0 : 1 \leq i \leq n\}$  are linearly independent. Take their convex hull and set

$$\sigma : \Delta^n \rightarrow \mathbb{R}^{n+1}, \quad \mathbf{t} \mapsto \sum_{i=0}^n t_i v_i.$$

We orient the edges as  $v_i \rightarrow v_j$  if  $i < j$ . Write  $\underbrace{[v_0 \cdots v_n]}_{\sigma}$  for this  $n$ -simplex, then

$$\partial\sigma := \sum_{i=0}^n (-1)^i \sigma \Big|_{[v_0 \cdots \hat{v}_i \cdots v_n]}$$

where the hat means omission.

[Need figure 8 here.]

LEMMA 1.11.  $\partial^2 = 0$ .

*Proof.*

$$\partial(\partial\sigma) = \sum_{j < i} (-1)^i (-1)^j \sigma \Big|_{[v_0 \cdots \hat{v}_j \cdots \hat{v}_i \cdots v_n]} + \sum_{j > i} (-1)^i (-1)^{j-1} \sigma \Big|_{[v_0 \cdots \hat{v}_i \cdots \hat{v}_j \cdots v_n]}$$

Exchange the  $i$  and  $j$  in the second term, the two terms cancel.  $\square$

DEFINITION 1.12. The *singular homology* is defined as  $H_*(X) = H_*(x; \mathbb{Z}) := H(C_*(X), \partial)$ .

NOTE. This is *trivially* a homeomorphism invariant of  $X$  since we only used the notion of continuous map to  $X$  to define it.

EXAMPLE 1.13. [Need figure 9 here.]

We take four 1-simplices to form a closed path as shown.

The annulus to the left has

$$\partial(\sigma_1 + \sigma_2 + \sigma_3 + \sigma_4) = 0$$

and to the right, the connected space also has

$$\partial(\gamma_1 + \gamma_2 + \gamma_3 + \gamma_4) = 0.$$

But what we can confirm is that

$$\gamma_1 + \gamma_2 + \gamma_3 + \gamma_4 \in \text{im}(\partial)$$

e.g.  $\partial(\tau) = [v_0 v_1] - [v_0 v_2] + \underbrace{[v_1 v_2]}_{\gamma_3}$ . This means that the homology class defined by such

$\sum_i \gamma_i$  is 0. However, for  $\sigma$  it should be non-zero — the singular homology probes ‘holes’ in a space.

DEFINITION 1.14. The *singular cochain complex*  $C^*(X)$  has

$$C^n(X) := \text{Hom}(C_n(X), \mathbb{Z})$$

$$\partial^* : C^n(X) \rightarrow C^{n+1}(X), \quad (\partial^* \psi)(\sigma) := \psi(\partial\sigma), \quad \sigma \in C_{n+1}(X)$$

Then

$$\partial^*(\partial^* \psi)(\sigma) = \partial^*(\psi(\partial\sigma)) = \psi(\partial(\partial\sigma)) = 0.$$

| i.e.  $(\partial^*)^2 = 0$ : this is indeed a cochain complex.

NOTE.  $H^*(X; \mathbb{Z}) := H^*(C^*(X), \partial^*)$  is *singular cohomology* of  $X$ .

$H^*(X; \mathbb{Z}) \not\cong \text{Hom}(H_*(X), \mathbb{Z})$  in general.

We bothered to define such cochain complex and cohomology because later we will show cohomology is a ring (which has better algebraic properties) while homology is not.

NOTE (Rough idea). We have several *heuristic* ideas (don't take too seriously!):

- $\partial^2 = 0$  means “the boundary of the boundary vanishes”;
- $H_i(X)$  will probe “ $i$ -dimensional holes/regions” in  $X$ ;
- $H^i(X)$  will be a rule associating an integer to an  $i$ -dimensional region of  $X$ .

### Lecture 3

#### No-Revise

REMARK 1.15. Let  $f : X \rightarrow Y$  be continuous. If  $\sigma : \Delta^n \rightarrow X$  then  $f \circ \sigma : \Delta^n \rightarrow Y$ , meaning that  $f$  induces homomorphisms

$$f_n : C_n(X) \rightarrow C_n(Y)$$

and by  $f \circ (\sigma \circ \delta_i) = (f \circ \sigma) \circ \delta_i$ , we have

$$f \circ (\sigma|_{i\text{-th face}}) = (f \circ \sigma)|_{i\text{-th face}}$$

Thus  $f_* : C_*(X) \rightarrow C_*(Y)$  is a chain map

$$\begin{array}{ccc} C_n(X) & \xrightarrow{\partial} & C_{n-1}(X) \\ \downarrow f_n & & \downarrow f_{n-1} \\ C_n(Y) & \xrightarrow{\partial} & C_{n-1}(Y) \end{array}$$

also giving homomorphisms

$$f_* : H_*(X) \rightarrow H_*(Y).$$

EXERCISE 1.16. Show that

$$(f \circ g)_* = f_* \circ g_*, \quad (\text{id})_* = \text{id}.$$

NOTE.  $f : X \rightarrow Y$  also induces

$$f^* : C^*(Y) \rightarrow C^*(X), \quad (f^*\psi)(\sigma) := \psi(f \circ \sigma)$$

and

$$f^* : H^*(Y) \rightarrow H^*(X)$$

where  $\sigma : \Delta^n \rightarrow X$  and  $\psi : C_n(Y) \rightarrow \mathbb{Z}$ .

Note that in the language of category theory, we say “cohomology is a contravariant functor”.

## 1.2 Homology of the Circle

So far, what can we compute?

LEMMA 1.17. *Let  $X$  be a point. Then the singular homology is*

$$H_i(\{pt\}) = \begin{cases} \mathbb{Z} & i = 0 \\ 0 & \text{otherwise} \end{cases}$$

*Proof.* For each  $n \geq 0$ , there exists a unique  $n$ -simplex in  $X$ ,  $\sigma_n : \Delta^n \rightarrow \{pt\}$ , the constant map. So  $C_*(\{pt\})$  is

$$\begin{array}{ccccccc} \cdots & \longrightarrow & C_3 & \longrightarrow & C_2 & \longrightarrow & C_1 \longrightarrow C_0 \\ & & \parallel & & \parallel & & \parallel \\ \cdots & \xrightarrow{+1} & \mathbb{Z} & \xrightarrow{0} & \mathbb{Z} & \xrightarrow{+1} & \mathbb{Z} \xrightarrow{0} \mathbb{Z} \end{array}$$

We find

$$\partial\sigma_1 = \partial(\bullet \longrightarrow \bullet) = \bullet - \bullet = 0$$

and

$$\partial\sigma_2 = \sigma_2 \circ \delta_0 - \sigma_2 \circ \delta_1 + \sigma_2 \circ \delta_2 = \sigma_1$$

Thus by induction,

$$\partial\sigma_n = \begin{cases} \sigma_{n-1} & n \text{ even} \\ 0 & n \text{ odd} \end{cases}$$

Then if we see how these relate kernels and images at different  $n$ , our result is clear.  $\square$

EXERCISE 1.18. Check the cohomology

$$H^i(\{pt\}) \cong \begin{cases} \mathbb{Z} & i = 0 \\ 0 & \text{otherwise} \end{cases}$$

There is basically only one other computation we can do from the definitions.

LEMMA 1.19. *If  $X = \bigsqcup_{\alpha \in I} X_\alpha$  is a disjoint union of path-components, then*

$$H_i(X) \cong \bigoplus_{\alpha \in I} H_i(X_\alpha), \quad \forall i.$$

*Proof.* Any continuous map  $\sigma : \Delta^i \rightarrow X$  has image in one  $X_\alpha$  and then all the faces of  $\sigma$  lie in the *same*  $X_\alpha$ . So

$$C_*(X) = \bigoplus_{\alpha} C_*(X_\alpha)$$

compatibly with the differential.  $\square$

LEMMA 1.20. *If  $X$  is path-connected (and non-empty),  $H_0(X) \cong \mathbb{Z}$ .*

(An aside note: We sometimes write  $\pi_0(X)$  for the *set* of path-components of  $X$ .)

*Proof.* Define

$$\varepsilon : C_0(X) \rightarrow \mathbb{Z}, \quad \sum_{\text{finite}} n_i \sigma_i \mapsto \sum_i n_i$$

called the *augmentation*, where  $\sigma_i : \{\text{pt}\} \rightarrow X$  are 0-simplices in  $X$ . As  $X \neq \emptyset$ ,  $\varepsilon$  is surjective.

If  $\tau : \Delta^1 \rightarrow X$ ,

[Need figure 10-1 here.]

we have

$$\varepsilon(\partial\tau) = \varepsilon(v_1 - v_0) = 0$$

so

$$\text{im}(\partial : C_1 \rightarrow C_0) \subseteq \ker(\varepsilon)$$

i.e.  $\varepsilon$  defines  $H_0(X) \rightarrow \mathbb{Z}$ .

So far we didn't use path-connectivity. But suppose  $\sum_i n_i \sigma_i \in \ker(\varepsilon)$ . Fix a base point  $p \in X$  and for every  $i$  we pick

$$\tau_i : \Delta^1 \cong [0, 1] \rightarrow X, \quad \begin{cases} \tau_i(1) = \sigma_i(\Delta^0) \\ \tau_i(0) = p \end{cases}$$

[Need figure 10-2 here.]

and we have

$$\partial \left( \sum_i n_i \tau_i \right) = \sum_i n_i \sigma_i - \left( \sum_i n_i \right) p$$

as  $\sum_i n_i \sigma_i \in \ker(\varepsilon)$ , so

$$\ker(\varepsilon) \subseteq \text{im}(\partial)$$

and we identify

$$\varepsilon : C_0(X) / \text{im}(\partial) =: H_0(X) \xrightarrow{\cong} \mathbb{Z}.$$

□

**Informal Picture** Recall  $\sigma : \Delta^1 \cong [0, 1] \rightarrow X = \text{Annulus}$  has  $\partial\sigma = \sigma(1) - \sigma(0) = 0$ , so  $\sigma$  defines  $[\sigma] \in H_1(X)$ .

[Need figure 11-1 here.]

We would hope this is non-zero, as we can't "see" a way to fill in  $\sigma$  with 2-simplices. (Contrast with the case where  $\tau \in \text{im}(\partial)$ .)

[Need figure 11-2 here.]

However,  $C_i(X)$  is uncountably generated for all  $i$ , and is very hard to control.

The question is: how do we rule out *all* configurations of 2-simplices? Or, are there any other representations for  $[\sigma] \in H_1(X)$ ?

**Informal Conjecture** In the realm of “nice” spaces, there is *nothing else* you can compute from the definition!

(Co)homology is rendered useful by a collection of structural theorems: we will state these and see how to use them and then return to prove them later.

**THEOREM 1.21** (Homotopy invariance). *If  $f : X \rightarrow Y$  and  $g : X \rightarrow Y$  are homotopic, then*

$$f_* = g_* : H_*(X) \rightarrow H_*(Y) \quad \text{and} \quad f^* = g^* : H^*(Y) \rightarrow H^*(X).$$

**COROLLARY 1.22.** *If  $X \simeq Y$  (homotopy-equivalent), then*

$$H_*(X) \cong H_*(Y) \quad \text{and} \quad H^*(X) \cong H^*(Y).$$

*Proof.* There exists  $f : X \rightarrow Y$  and  $g : Y \rightarrow X$  such that  $g \circ f \simeq \text{id}_X$  and  $f \circ g \simeq \text{id}_Y$  thus  $(f_*)^{-1} = g_*$  are isomorphisms.  $\square$

Thus (co)homology is insensitive to “inessential” deformations of a space.

**COROLLARY 1.23.**

$$H_*(\mathbb{R}^n) \cong \begin{cases} \mathbb{Z} & * = 0 \\ 0 & \text{otherwise} \end{cases}$$

for every  $n$ . And similar for  $H^*$ .

But we still cannot compute very much...

**DEFINITION 1.24.** An *exact sequence* is a (co)chain complex with vanishing (co)homology:

$$\cdots \rightarrow C_{n+1} \xrightarrow{\partial_{n+1}} C_n \xrightarrow{\partial_n} C_{n-1} \rightarrow \cdots$$

such that  $\ker(\partial_n) = \text{im}(\partial_{n+1}), \forall n$ .

**NOTE.** There are some additional terminologies/facts:

- Given homomorphisms

$$A \xrightarrow{f} B \xrightarrow{g} C$$

say this is *exact at B* if  $\ker g = \text{im } f$ ;

- If

$$0 \rightarrow A \xrightarrow{f} B \rightarrow 0$$

is exact, we have  $A \cong B$ ;

- A *short exact sequence* is one of shape

$$0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0$$

which says  $f$  is injective and  $g$  is surjective.



**THEOREM 1.25** (Mayer-Vietoris). *If  $X = A \cup B$  with  $A, B$  open, there are “Mayer-Vietoris boundary homomorphisms”*

$$\partial_{MV} : H_{i+1}(X) \rightarrow H_i(A \cap B)$$

yielding a “long” exact sequence (LES):

$$\cdots \rightarrow H_{i+1}(X) \xrightarrow{\partial_{MV}} H_i(A \cap B) \xrightarrow{(i_{A*}, i_{B*})} H_i(A) \oplus H_i(B) \xrightarrow{j_{A*} - j_{B*}} H_i(X) \rightarrow \cdots$$

where  $i_{A*}, j_{A*}$ , etc. are induced from certain inclusion maps in the commutative diagram below

$$\begin{array}{ccc} A \cap B & \xhookrightarrow{i_A} & A \\ \downarrow i_B & & \downarrow j_A \\ B & \xhookrightarrow{j_B} & X \end{array}$$

and  $\partial_{MV}$  is defined algebraically, not associated to a map of spaces.

**REMARK 1.26.** Suppose  $\sigma \in C_{i+1}(X)$  is a cycle and  $\sigma = \alpha + \beta$ ,  $\alpha \in C_{i+1}(A)$ ,  $\beta \in C_{i+1}(B)$  for chains  $\alpha, \beta$  (i.e.  $\partial\alpha, \partial\beta$  need not vanish). Then  $\partial\alpha = -\partial\beta$  and

$$\partial_{MV}[\sigma] = [\partial\alpha]$$

as  $\partial\alpha = -\partial\beta$  gives  $\partial\alpha \in C_i(A \cap B)$ .

[Need figure 12 here.]

**ADDENDUM.** The MV sequence is natural. If  $X = A \cup B$  and  $Y = C \cup D$  and  $f : X \rightarrow Y$  has  $f(A) \subseteq C$  and  $f(B) \subseteq D$  (under maps of pairs), then there are homomorphisms of exact exact sequences

$$\begin{array}{ccccccc} \cdots & \longrightarrow & H_{i+1}(X) & \xrightarrow{\partial_{MV}^X} & H_i(A \cap B) & \longrightarrow & H_i(A) \oplus H_i(B) \longrightarrow H_i(X) \longrightarrow \cdots \\ & & \downarrow f_* & & \downarrow f_* & & \downarrow f_* \\ \cdots & \longrightarrow & H_{i+1}(Y) & \xrightarrow{\partial_{MV}^Y} & H_i(C \cap D) & \longrightarrow & H_i(C) \oplus H_i(D) \longrightarrow H_i(Y) \longrightarrow \cdots \end{array}$$

such that all squares commute.

**REMARK 1.27.** There is a MV sequence in cohomology, also natural:

$$\partial_{MV}^* : H^i(A \cap B) \rightarrow H^{i+1}(X)$$

such that

$$\cdots \rightarrow H^i(X) \xrightarrow{(j_A^*, j_B^*)} H^i(A) \oplus H^i(B) \xrightarrow{i_A^* - i_B^*} H^i(A \cap B) \xrightarrow{\partial_{MV}^*} H^{i+1}(X) \rightarrow \cdots$$

is exact.

Finally, we get something to compute: the homology of the circle.

PROPOSITION 1.28.

$$H_i(S^1) \cong \begin{cases} \mathbb{Z} & i = 0, 1 \\ 0 & \text{otherwise} \end{cases}$$

and

$$H^i(S^1) \cong \begin{cases} \mathbb{Z} & i = 0, 1 \\ 0 & \text{otherwise} \end{cases}$$

*Proof.* Choose  $A$  and  $B$  such that  $S^1 = X = A \cup B$  and  $A, B$  are open intervals,  $A \cap B$  is the union of 2 disjoint open intervals, shown as in the figure below

[Need figure 13 here.]

So, we have  $A \simeq \{\text{pt}\} \simeq B$  and  $A \cap B \simeq \underbrace{\{p\} \sqcup \{q\}}_{S^0, 0\text{-sphere}}$ .

Recall that

$$H_*(\mathbb{R}) \cong \begin{cases} \mathbb{Z} & * = 0 \\ 0 & \text{otherwise} \end{cases}$$

and  $\mathbb{R} \simeq \{\text{pt}\}$ . By homotopy invariance, we know  $H_*(A), H_*(B), H_*(A \cap B)$ .

If we take the MV sequence for  $i \geq 2$ , we have

$$\cancel{H_i(A)} \oplus \cancel{H_i(B)} \xrightarrow{0} H_i(S^1) \rightarrow \cancel{H_{i-1}(A \cap B)} \xrightarrow{0} \Rightarrow H_i(S^1) = 0.$$

For  $i = 1$ , we have

$$\begin{array}{ccccc} \cancel{H_1(A \cap B)} \xrightarrow{0} & \cancel{H_1(A)} \oplus \cancel{H_1(B)} \xrightarrow{0} & H_1(S^1) & \longrightarrow & \\ \searrow & \swarrow & \searrow & & \\ \hookrightarrow H_0(A \cap B) & \xrightarrow{(i_A, i_B)} & H_0(A) \oplus H_0(B) & \xrightarrow{(j_A - j_B)} & H_0(S^1) \\ \parallel \cong & & \parallel \cong & & \parallel \cong \\ \mathbb{Z} \oplus \mathbb{Z} & \xrightarrow{\alpha} & \mathbb{Z} \oplus \mathbb{Z} & \xrightarrow{\beta} & \mathbb{Z} \end{array}$$

Recall that for some path-connected space  $Z$ ,  $H_0(Z)$  is a free abelian group on  $\pi_0(Z)$  (the set of path-components), and indeed this is generated by

$$\sigma : \{\text{pt}\} \rightarrow Z$$

for any choice of point in each component.

So from

$$H_0(A \cap B) = \mathbb{Z} \langle p \rangle \oplus \mathbb{Z} \langle q \rangle \xrightarrow{(i_A, i_B)} \mathbb{Z} \oplus \mathbb{Z}$$

we identify the map

$$\alpha : (a, b) \mapsto (a + b, a + b)$$

and from

$$\mathbb{Z} \oplus \mathbb{Z} \xrightarrow{j_A - j_B} H_0(S^1)$$

we have

$$\beta : (u, v) \mapsto u - v.$$

The exactness of the sequence gives

$$H_1(S^1) \cong \ker(\alpha) \cong \mathbb{Z}$$

which is generated by

$$(1, -1) \equiv (p, -q) \in H_0(A) \oplus H_0(B).$$

□

**Lecture 4** The same method as for computing  $H_*(S^1)$  shows for  $n$ -spheres:

**No-Revise**

PROPOSITION 1.29.

$$H_j(S^n) \cong \begin{cases} \mathbb{Z} & j = 0, n \\ 0 & \text{otherwise} \end{cases}$$

and

$$H^j(S^n) \cong \begin{cases} \mathbb{Z} & j = 0, n \\ 0 & \text{otherwise} \end{cases}$$

*Proof.* This time, let's do the cohomology computation. Consider the following figure.

[Need figure 14 here.]

For the above choice of  $A, B$ , homotopy invariance and induction gives  $H^*(A), H^*(B)$  and  $H^*(A \cap B)$ .

MV now gives

$$\begin{array}{ccccccc} & & & & \cdots & \longrightarrow & H^{i-1}(S^{n-1}) \longrightarrow \\ & & & & & \searrow & \nearrow \\ & \hookrightarrow & H^i(S^n) & \longrightarrow & H^i(\mathbb{R}^n) \oplus H^i(\mathbb{R}^n) & \xrightarrow{0} & H^i(S^{n-1}) \longrightarrow \\ & & & & \nearrow & \searrow & \nearrow \\ & \hookrightarrow & H^{i+1}(S^n) & \longrightarrow & H^{i+1}(\mathbb{R}^n) \oplus H^{i+1}(\mathbb{R}^n) & \xrightarrow{0} & \cdots \end{array}$$

and we find for  $i > 0$ ,

$$0 \rightarrow H^i(S^{n-1}) \rightarrow H^{i+1}(S^n) \rightarrow 0$$

is exact, giving

$$H^i(S^{n-1}) \cong H^{i+1}(S^n),$$

which we can use in the induction.

Now for the base case  $i = 0$ ,

$$\begin{array}{ccccccc} H^0(S^n) & \longrightarrow & H^0(\mathbb{R}^n) \oplus H^0(\mathbb{R}^n) & \longrightarrow & H^0(S^{n-1}) & \longrightarrow & H^1(S^n) \longrightarrow 0 \\ & & \parallel \cong & & \parallel \cong & & \\ & & \mathbb{Z} \oplus \mathbb{Z} & \longrightarrow & \mathbb{Z} & & \end{array}$$

Note that here we use  $n > 1$  as  $S^0$  is not connected.

As we showed before that for *path-connected*  $X$

$$H_0(X) \cong \mathbb{Z} \quad \text{generated by} \quad \underbrace{\sigma_0 : \{\text{pt}\} \rightarrow X}_{\in C_0(X)}.$$

In example sheet 1, you should've proved

$$H^0(X) \cong \mathbb{Z} \quad \text{generated by} \quad \psi : C_0(X) \rightarrow \mathbb{Z}, \underbrace{\psi(\sigma) = 1, \forall \sigma : \{\text{pt}\} \rightarrow X}_{\in C^0(X)}.$$

so in

$$\begin{array}{ccccccc} H^0(S^n) & \longrightarrow & H^0(\mathbb{R}^n) \oplus H^0(\mathbb{R}^n) & \longrightarrow & H^0(S^{n-1}) & \longrightarrow & H^1(S^n) \longrightarrow 0 \\ \parallel \cong & & \parallel \cong & & \parallel \cong & & \\ \mathbb{Z} & & \mathbb{Z} \oplus \mathbb{Z} & \xrightarrow{\alpha} & \mathbb{Z} & & \end{array}$$

with  $\alpha(p, q) = p + q$  which is surjective, we have

$$H^1(S^n) = 0.$$

And now we've computed enough to complete the induction. □

**COROLLARY 1.30.**

$$\mathbb{R}^m \cong \mathbb{R}^n \quad \Leftrightarrow \quad m = n.$$

*Proof.* We have

$$\begin{array}{ccc} \mathbb{R}^m \cong \mathbb{R}^n & \Rightarrow & \mathbb{R}^m \setminus \{0\} \stackrel{\cong}{=} \mathbb{R}^n \setminus \{0\} \\ & & \downarrow \simeq \qquad \qquad \downarrow \simeq \\ & & S^{m-1} \stackrel{\simeq}{=} S^{n-1} \end{array}$$

which gives

$$H_*(S^{m-1}) \cong H_*(S^{n-1})$$

thus

$$m = n. \quad \square$$

This homeomorphism invariance of dimension was an early success of the subject.

Recall there are *space-filling curves*

$$\gamma : [0, 1] \rightarrow [0, 1]^2$$

continuous and surjective!

### 1.3 Degrees

LEMMA 1.31. A map  $f : S^n \rightarrow S^n$  has a degree

$$\deg(f) \in \mathbb{Z}$$

and if  $g \simeq f$ ,  $\deg(g) = \deg(f)$ .

*Proof.*  $f$  induces

$$\begin{array}{ccc} f_* : H_n(S^n) & \longrightarrow & H_n(S^n) \\ \parallel \cong & & \parallel \cong \\ \mathbb{Z} & \xrightarrow{\text{homomorphism}} & \mathbb{Z} \end{array}$$

where the homomorphism in general is multiplication by an integer, and this defines  $\deg(f)$ .

Also,  $g \simeq f$  suggests  $g_* = f_*$  hence  $\deg(g) = \deg(f)$ .  $\square$

NOTE. **Caveat!** Use the same isomorphism (as there is an automorphism of  $\mathbb{Z}$  by multiplying  $-1$ ) on both sides: make sure  $\deg(f)$  is defined but not just up to a change in sign.

EXERCISE 1.32. Check

$$\deg(f \circ g) = \deg(f) \deg(g).$$

EXAMPLE 1.33.  $\deg(\text{id}) = 1$ .

COROLLARY 1.34. If  $f$  is a homeomorphism,  $\deg(f) \in \{\pm 1\}$ .

EXAMPLE 1.35.  $\deg(\text{constant map}) = 0$ .

*Proof.* The constant map  $S^n \rightarrow S^n$  such that  $f(x) = p \in S^n, \forall x$  factorises as

$$S^n \rightarrow \{\text{pt}\} \rightarrow S^n$$

so that  $f_*$  factorises through the *zero* group

$$\begin{array}{ccccc} H_n(S^n) & \longrightarrow & H_n(\{\text{pt}\}) & \longrightarrow & H_n(S^n) \\ \parallel \cong & & \parallel \cong & & \parallel \cong \\ \mathbb{Z} & \longrightarrow & 0 & \longrightarrow & \mathbb{Z} \end{array}$$

$\frown$   
 $f_*$

thus the homomorphism associates to degree zero.  $\square$

NOTE. Combining with  $S^{n-1} \simeq \mathbb{R}^n \setminus \{0\}$ , this fills in details for results from lecture 1 on Brouwer's theorem.

LEMMA 1.36. A matrix  $A \in O(n+1)$  acts on  $H_n(S^n, \mathbb{Z})$  by multiplication by  $\det(A)$ .

*Proof.*  $O(n+1)$  has two path-connected components, so by homotopy invariance of degree, it suffices to show reflection in a hyperplane has degree  $-1$ .

The following figure shows the settings.

[Need figure 15 here.]

We denote the reflection in hyperplane  $H$  as

$$\text{refl}_H : S^n \rightarrow S^n$$

which induces reflection in  $H'$  for the boundary  $\partial L$  of invariant hemisphere  $L$

$$\text{refl}_{H'} : S^{n-1} \rightarrow S^{n-1}.$$

We computed  $H_*(S^n)$  by MV, and now we can use the decomposition which is  $\text{refl}_H$ -invariant. The naturality of MV gives

$$\begin{array}{ccccccc} 0 & \longrightarrow & H_n(S^n) & \xrightarrow{\cong} & H_{n-1}(S^{n-1}) & \longrightarrow & 0 \\ & & \downarrow \text{refl}_H & & \downarrow \text{refl}_{H'} & & \\ 0 & \longrightarrow & H_n(S^n) & \xrightarrow{\cong} & H_{n-1}(S^{n-1}) & \longrightarrow & 0 \end{array}$$

Inductively, it suffices to treat the case  $n = 1$ .

So, consider a circle shown below.

[Need figure 16 here.]

Our former MV computation of  $H_*(S^1)$  gave

$$\begin{array}{ccccccc} 0 & \longrightarrow & H_1(S^1) & \longrightarrow & H_0(\{p\} \sqcup \{q\}) & \xrightarrow{\alpha} & H_0(A) \oplus H_0(B) \\ & & & & \parallel \cong & & \parallel \cong \\ & & & & \mathbb{Z} \oplus \mathbb{Z} & \xrightarrow{(u,v) \mapsto (u+v, u+v)} & \mathbb{Z} \oplus \mathbb{Z} \end{array}$$

and

$$H_1(S^1) = \ker(\alpha) \cong \mathbb{Z} \langle (1, -1) \rangle$$

is generated by  $\langle p \rangle - \langle q \rangle$ , so as  $\text{refl}_H$  exchange  $p, q$ , it acts on  $H_1(S^1)$  by  $-1$ .  $\square$

COROLLARY 1.37.

1. The antipodal map  $a_n : S^n \rightarrow S^n, x \mapsto -x$  has degree  $(-1)^{n+1}$ ;
2. If  $f : S^n \rightarrow S^n$  has no fixed point,  $f \simeq a_n$ ;
3. If  $G$  acts freely on  $S^{2k}$ , then  $G \leq \mathbb{Z}/2$ .

*Proof.*

1.  $a_n : S^n \longrightarrow S^n$  is a composition of  $(n+1)$  reflections as

$$\begin{array}{ccc} \downarrow \subseteq & & \downarrow \subseteq \\ \mathbb{R}^{n+1} & \xrightarrow{v \mapsto -v} & \mathbb{R}^{n+1} \end{array}$$

$$(v_1, \dots, v_{n+1}) \mapsto (-v_1, \dots, -v_{n+1}).$$

2. We will show  $f(x) \neq g(x), \forall x$  suggests  $f \simeq a_n \circ g$ .

Consider

$$x \xrightarrow{\varphi_t} \frac{tf(x) - (1-t)g(x)}{\|tf(x) - (1-t)g(x)\|}$$

for  $0 \leq t \leq 1$ .

Note that

$$tf(x) - (1-t)g(x) \neq 0 \quad \text{or} \quad t = \frac{1}{2} \text{ and } f(x) = g(x)$$

so

$$f = \varphi_1 \simeq \varphi_0 = a_n \circ g.$$

3. See example sheet 1.

□

We borrow a concept from Differential Topology.

DEFINITION 1.38. A *vector field* on  $S^n$  is a map

$$v : S^n \rightarrow \mathbb{R}^{n+1}$$

such that

$$\forall x \in S^n, \quad \langle x, v(x) \rangle = 0$$

where  $\langle \cdot, \cdot \rangle$  is the Euclidean inner product on  $\mathbb{R}^{n+1}$ .

NOTE. This is a global section of the tangent bundle  $TS^n \rightarrow S^n$ .

PROPOSITION 1.39 (Hairy ball theorem).  $S^n$  has a nowhere-vanishing vector field if and only if  $n$  is odd.

*Proof.* If  $n = 2k - 1$ , set

$$v(x_1, y_1, \dots, x_k, y_k) := (-y_1, x_1, \dots, -y_k, x_k)$$

and we are done.

Suppose  $n$  is even and for contradiction that such  $v$  exists. Normalisation is a map

$$\frac{v}{\|v\|} : S^n \rightarrow S^n.$$

as the unit vectors are also elements of  $S^n$ .





1.  $A \cap B \simeq$  the boundary circle of central Möbius band;
2.  $A \simeq$  the core circle of central Möbius band.

to pick the generators as following

[Need figure 19 here.]

We have

$$H_1(A \cap B) = \mathbb{Z} \langle v + w \rangle \quad \text{and} \quad H_1(A) = \mathbb{Z} \langle \sigma_1 + \sigma_2 \rangle.$$

From the figure it is easy to see

$$v \mapsto \sigma_1 + \sigma_2 \quad \text{and} \quad w \mapsto \sigma_1 + \sigma_2.$$

and we're done.  $\square$

REMARK 1.41. We could define  $C_k(X; G) := \{\sum_{\text{finite}} a_i \sigma_i : a_i \in G, \sigma_i : \Delta^k \rightarrow X\}$  for any abelian group  $G$ , with the same differential  $\partial$ . These lead to the *singular homology with coefficients in  $G$*   $H_*(X; G)$ .

EXERCISE 1.42. Show that

$$H_j(S^1; \mathbb{Z}/2) \cong \begin{cases} \mathbb{Z}/2 & j \in \{0, 1\} \\ 0 & \text{otherwise} \end{cases}$$

and

$$H_i(\{\text{pt}\}; \mathbb{Z}/2) \cong \begin{cases} \mathbb{Z}/2 & i = 0 \\ 0 & \text{otherwise} \end{cases}$$

In the previous sequence, if we compute  $H_*(K; \mathbb{Z}/2)$ , we get

$$\begin{array}{ccccccc} 0 & \longrightarrow & H_2(K; \mathbb{Z}/2) & \longrightarrow & H_1(A \cap B; \mathbb{Z}/2) & \xrightarrow{\psi} & H_1(A; \mathbb{Z}/2) \oplus H_1(B; \mathbb{Z}/2) \\ & & & & \parallel \cong & & \parallel \cong \\ & & & & \mathbb{Z}/2 & \xrightarrow{1 \mapsto (2,2) \equiv (0,0)} & \mathbb{Z}/2 \oplus \mathbb{Z}/2 \end{array}$$

so that  $\psi$  vanishes for  $H_*(-; \mathbb{Z}/2)$ . Thus

$$H_i(K; \mathbb{Z}/2) \cong \begin{cases} \mathbb{Z}/2 & i = 0 \\ \mathbb{Z}/2 \oplus \mathbb{Z}/2 & i = 1 \\ \mathbb{Z}/2 & i = 2 \\ 0 & \text{otherwise} \end{cases}$$

It's also instructive to think about cohomology (in  $\mathbb{Z}$  coefficients, still) in this example:  $K = A \cup B$ ,  $A, B \simeq S^1$ ,  $A \cap B \simeq S^1$  as before. The cohomology MV sequence look like

$$\begin{array}{ccccccc} H^0(A \cap B) & \longrightarrow & H^1(K) & \xrightarrow{(j_A^*, j_B^*)} & H^1(A) \oplus H^1(B) & \xrightarrow{i_A^* - i_B^*} & H^1(A \cap B) \longrightarrow H^2(K) \longrightarrow 0 \\ & & \parallel \cong & & & & \parallel \cong \\ & & \mathbb{Z} \oplus \mathbb{Z} & \xrightarrow{\alpha} & \mathbb{Z} & & \mathbb{Z} \end{array}$$

where one can check

$$\alpha : (a, b) \mapsto 2(a - b).$$

So we have

$$H^2(K) \cong \mathbb{Z}/2.$$

(Contrast:  $H_2(K) = 0$  if we use  $\mathbb{Z}$  coefficients.)

NOTE. The important point here to raise is that: there were *many* ways we could have cut up  $K$ . For example, we can decompose  $K$  into a disc and its complement.

[Need figure 20 here.]

We set  $A = \text{disc}$  and  $B = K \setminus \text{disc}$ . Then it can be shown  $B \simeq S^1 \vee S^1$ , where  $S^1 \vee S^1$  is the wedge of two circles: that they join at one point.

$H_*(A)$  is shown before,  $H_*(B)$  is computable by using MV sequence. Then we can find  $H_*(K)$  using MV under such decomposition.

In some cases, some decomposition will give easier algebra than others.

## 1.5 Homotopy Invariance

Now we should pay some debts: proving homotopy invariance.

DEFINITION 1.43. Chain maps  $f_* : C_* \rightarrow D_*$  and  $g_* : C_* \rightarrow D_*$  are said to be *chain homotopic* if there exists  $P_n : C_n \rightarrow D_{n+1}$  such that

$$P_{n-1} \cdot \partial_n^{C_*} \pm \partial_{n+1}^{D_*} \cdot P_n = f_n - g_n.$$

This can be shown by a diagram:

$$\begin{array}{ccccccc} \cdots & \longrightarrow & C_{n+1} & \xrightarrow{\partial} & C_n & \xrightarrow{\partial} & C_{n-1} \longrightarrow \cdots \\ & & \downarrow f_{n+1}-g_{n+1} & \swarrow P_n & \downarrow f_n-g_n & \swarrow P_{n-1} & \downarrow f_{n-1}-g_{n-1} \\ \cdots & \longrightarrow & D_{n+1} & \xrightarrow{\partial} & D_n & \xrightarrow{\partial} & D_{n-1} \longrightarrow \cdots \end{array}$$

LEMMA 1.44. If  $f_* : C_* \rightarrow D_*$  and  $g_* : C_* \rightarrow D_*$  are chain homotopic, then

$$f_* = g_* : H_i(C_*, \partial) \rightarrow H_i(D_*, \partial), \quad \forall i$$

i.e. chain homotopic maps induce the same map on homology.

*Proof.* Since  $f_*$  and  $g_*$  are chain homotopic

$$\begin{array}{ccccc} C_{n+1} & \dashrightarrow & C_n & \xrightarrow{\partial} & C_{n-1} \\ \downarrow & \swarrow P_n & \downarrow f_n-g_n & \swarrow P_{n-1} & \downarrow \\ D_{n+1} & \xrightarrow{\partial} & D_n & \dashrightarrow & D_{n-1} \end{array}$$

so

$$P_{n-1}\partial \pm \partial P_n = f_n - g_n.$$

Let  $\alpha \in C_n$  be a cycle, i.e.  $\partial\alpha = 0$ . Then we have  $\partial f_n(\alpha) = f_{n-1}(\partial\alpha) = 0$  and

$$f_*[\alpha] := [f_n(\alpha)].$$

Consider

$$f_n(\alpha) - g_n(\alpha) = (f_n - g_n)(\alpha) = P_{n-1}(\partial\alpha) \pm \partial P_n(\alpha) = \partial P_n(\alpha) \in \text{im}(\partial),$$

so

$$[f_n(\alpha)] = [g_n(\alpha)] \in H(D_*).$$

□

**EXERCISE 1.45.** Show that chain homotopy is an equivalence relation on chain complexes and chain maps.

**THEOREM 1.46** (Homotopy invariance, v2). *If  $f \simeq g : X \rightarrow Y$  then  $f_* \simeq g_* : (C_*(X), \partial) \rightarrow (C_*(Y), \partial)$ .*

*Proof.*  $f \simeq g$  suggests there exists

$$F : X \times [0, 1] \rightarrow Y \quad \text{s.t.} \quad F|_{X \times \{0\}} = f, \quad F|_{X \times \{1\}} = g.$$

So, if

$$\left. \begin{array}{l} i_0 : X \hookrightarrow X \times [0, 1] \quad x \mapsto (x, 0) \\ i_1 : X \hookrightarrow X \times [0, 1] \quad x \mapsto (x, 1) \end{array} \right\} \quad f = F \circ i_0, \quad g = F \circ i_1.$$

then

$$f_* = g_* \quad \text{if} \quad (i_0)_* = (i_1)_*$$

and it suffices to prove

$$(i_0)_* \simeq (i_1)_* : C_*(X) \rightarrow C_*(X \times [0, 1]).$$

We want  $P : C_n(X) \rightarrow C_{n+1}(X \times [0, 1])$ . The idea is that  $P$  is a *prism operator*. It gives a “universal way” of cutting up  $\Delta^n \times [0, 1]$  into  $(n+1)$ -simplices

$$\begin{array}{ccc} C_n(X) & \rightarrow & C_{n+1}(X \times [0, 1]) \\ \sigma : \Delta^n \rightarrow X & \mapsto & \text{lin. comb. of } \sigma \times \text{id} : \Delta^n \times [0, 1] \rightarrow X \times [0, 1]. \end{array}$$

The equation  $\partial P \pm P\partial = (i_{1*} - i_{0*})$  says

$$\text{“boundary of prism} = \text{prism on boundary} + \text{top} - \text{bottom”}$$

[Need figure 21 here.]

The details of the proof are not very illuminating, so we will be quite terse... (See Hatcher’s book for details.)

Label base of prism by  $[v_0 \cdots v_n]$  and top by  $[w_0 \cdots w_n]$ .

**Claim 1.**  $\sigma_{n+1}^i = [v_0 \cdots v_i w_i \cdots w_n]$  is an  $(n+1)$ -simplex and

$$\Delta^n \times [0, 1] = \bigcup_{i=0}^n \sigma_{n+1}^i.$$

It should be intuitive from the pictures. We won't prove this, see Hatcher's book.

Define

$$P : \begin{cases} C_n(X) & \rightarrow & C_{n+1}(X \times [0, 1]) \\ \sigma & \mapsto & \sum_{i=0}^n (-1)^i (\sigma \times 1)|_{[v_0 \cdots v_i w_i \cdots w_n]} = \sum_{i=0}^n (-1)^i (\sigma \times 1) \circ \sigma_{n+1}^i. \end{cases}$$

**Claim 2.**  $\partial P + P\partial = (i_1)_* - (i_0)_*$ .

For this, one can check

$$\begin{aligned} \partial P\sigma &= \sum_{j \leq i} (-1)^i (-1)^j (\sigma \times 1)|_{[v_0 \cdots \hat{v}_j \cdots v_i w_i \cdots w_n]} \\ &\quad + \sum_{j \geq i} (-1)^i (-1)^{j+1} (\sigma \times 1)|_{[v_0 \cdots v_i w_i \cdots \hat{w}_j \cdots w_n]} \\ &= \underbrace{(\sigma \times 1)|_{[\hat{v}_0 w_0 \cdots w_n]}}_{\text{top of prism}} - \underbrace{(\sigma \times 1)|_{[v_0 \cdots v_n \hat{w}_n]}}_{\text{base of prism}} \\ &\quad + \underbrace{\sum_{j < i} \cdots + \sum_{j > i} \cdots}_{\text{Check: these are } -P(\partial\sigma)}. \end{aligned}$$

The “top – bottom” term is obtained because  $i = j$  terms cancel in pairs *except* for  $i = j = 0$  and  $i = j = n$ .

The details omitted are routine but not enlightening.  $\square$

REMARK 1.47. If  $C^*, D^*$  are cochain complexes,  $f^* \simeq g^* : C^* \rightarrow D^*$  are cochain homomorphisms if there exists  $P^i : C^i \rightarrow D^{i-1}$  such that  $\partial^* P^i \pm P^i \partial^* = f^i - g^i$ :

$$\begin{array}{ccccccc} \cdots & \longrightarrow & C^{i-1} & \xrightarrow{\partial^*} & C^i & \xrightarrow{\partial^*} & C^{i+1} \longrightarrow \cdots \\ & & \downarrow f^{i-1}-g^{i-1} & \swarrow P^i & \downarrow f^i-g^i & \swarrow P^{i+1} & \downarrow f^{i+1}-g^{i+1} \\ \cdots & \longrightarrow & D^{i-1} & \xrightarrow{\partial^*} & D^i & \xrightarrow{\partial^*} & D^{i+1} \longrightarrow \cdots \end{array}$$

And this should induce

$$f^* = g^* : H^*(C^*) \rightarrow H^*(D^*).$$

(Check this as an exercise!)

We can construct such  $P^*$  by considering the following.

$P : C_n(X) \rightarrow C_{n+1}(X \times [0, 1])$  has dual

$$P^* : \text{Hom}(C_{n+1}(X \times [0, 1]), \mathbb{Z}) \rightarrow \text{Hom}(C_n(X), \mathbb{Z})$$

which is exactly a map  $C^{n+1}(X \times [0, 1]) \rightarrow C^n(X)$  and

$$\partial P + P\partial = i_{1*} - i_{0*} \quad \Rightarrow \quad \partial^* P^* + P^* \partial^* = (i_1)^* - (i_0)^*.$$

meaning that  $H^*$  is also homotopy invariant.