

- 1 a. Let  $(X, \rho)$  be a metric space. Prove that there is another metric  $\rho'$  on  $X$  which (i) generates the same topology as  $\rho$  but (ii) satisfies  $\rho'(x, y) \leq 1$  for all  $x, y \in X$ .
- b. Let  $(X_n, \rho_n)$  be a metric space for each  $n \in \mathbb{N}$ , assume that  $\rho_n(x_n, y_n) \leq 1$  for all  $x_n, y_n \in X_n$ , and let  $X := \prod_{n \in \mathbb{N}} X_n$ . Define the function

$$\rho(\mathbf{x}, \mathbf{y}) := \max\{\rho_n(x_n, y_n)/n \mid n \in \mathbb{N}\} \text{ for } \mathbf{x} = \{x_n\}_n, \mathbf{y} = \{y_n\}_n \in X.$$

Prove that (a)  $\rho$  is a metric on  $X$  and (b) the topology on  $X$  generated by  $\rho$  is the product of the topologies on the  $X_n$ 's generated by the  $\rho_n$ 's.

- c. Generalize part (b) to prove the following:

**Proposition.** *The product topology on a countably infinite product of metrizable spaces is metrizable.*

**Solution** a. Let  $\rho'(x, y) := \min\{\rho(x, y), 1\}$ . This is a metric:

Since  $\rho$  is a metric,  $\rho'$  is clearly symmetric, non-negative, and  $\rho(x, y) = 0 \iff \rho'(x, y) = 0$ , so we just need to show that it satisfies the triangle inequality.

$$\rho'(x, y) = \min\{\rho(x, y), 1\} \leq \min\{\rho(x, z) + \rho(z, y), 1\} \leq \min\{\rho(x, z), 1\} + \min\{\rho(z, y), 1\} = \rho'(x, z) + \rho'(z, y),$$

so  $\rho'$  is a metric. Moreover, it's bounded by 1, by definition.

Lastly, we need to show that it generates the same topology as  $\rho$ . Since open balls are a base for the metric topology, it suffices to show that an open  $\rho$ -ball can be written as a union of open  $\rho'$ -balls, and vice versa.

Let  $x$  be in an open  $\rho$ -ball of radius  $r$  centered at  $x_0$ .

If  $r - \rho(x, x_0) < 1$ , then  $\rho'$  agrees with  $\rho$ , so we can just take the  $\rho'$ -ball of radius  $r - \rho(x, x_0)$  centered at  $x$ , and that is contained in the  $\rho$ -ball.

On the other hand, if  $r - \rho(x, x_0) \geq 1$ , we can just take a  $\rho'$ -ball of radius 1.

In either case, we can write a  $\rho$ -ball as a union of  $\rho'$ -balls.

Now let  $x$  be in an open  $\rho'$ -ball of radius  $r$  centered at  $x_0$ . If  $r > 1$ , then any  $\rho$ -ball works is contained in it. Otherwise, we can take a  $\rho$ -ball of the same radius. In both cases, a  $\rho'$ -ball is a union of  $\rho$ -balls.

Hence, the two metrics generate the same topology on  $X$ .

- b. It's clear that the metric is symmetric and non-negative. We also have

$$\rho(\mathbf{x}, \mathbf{y}) = 0 \iff \frac{\rho_n(x_n, y_n)}{n} = 0 \forall n \iff x_n = y_n \forall n.$$

Thus, we just need to show the triangle inequality. But this follows from the fact that each  $\rho_n$  is a metric:

$$\begin{aligned} \rho(\mathbf{x}, \mathbf{y}) &= \max\left\{\frac{\rho_n(x_n, y_n)}{n} \mid n \in \mathbb{N}\right\} \\ &\leq \max\left\{\frac{\rho_n(x_n, z_n)}{n} + \frac{\rho_n(z_n, y_n)}{n} \mid n \in \mathbb{N}\right\} \\ &\leq \max\left\{\frac{\rho_n(x_n, z_n)}{n} \mid n \in \mathbb{N}\right\} + \max\left\{\frac{\rho_n(z_n, y_n)}{n} \mid n \in \mathbb{N}\right\} \\ &= \rho(\mathbf{x}, \mathbf{z}) + \rho(\mathbf{z}, \mathbf{y}). \end{aligned}$$

Now, we need to show that the topology on  $X$  generated by  $\rho$  is the product topology.

It suffices to show this for basic open sets in  $X$ . Consider  $U = \prod U_n$ , where  $U_n = X_n$  except for  $n = n_1, \dots, n_m$ , and let  $\mathbf{x} = \{x_n\} \in U$ .

Since  $X_{n_i}$  is a metric space, there exists an open ball with  $x_{n_i} \in B_{r_{n_i}}(x_{n_i}) \subseteq U_{n_i}$ .

Take  $r = \min_i \{r_{n_i}/n_i\}$ . We claim that  $B_r(\mathbf{x}) \subseteq U$ : Let  $\mathbf{y} \in B_r(\mathbf{x})$ , so that

$$\frac{\rho_n(x_n, y_n)}{n} < r$$

for every  $n$ . Since  $U_n = X_n$  except for  $n = n_1, \dots, n_m$ , we just need to check that  $y_{n_i} \in U_{n_i}$  for each  $i$ . We have

$$\rho_{n_i}(x_{n_i}, y_{n_i}) < rn_i < r_{n_i} \implies y_{n_i} \in B_{r_{n_i}}(x_{n_i}) \subseteq U_{n_i}$$

for every  $i$ , so the generated topology includes the product topology.

Now let  $B_r(\mathbf{x})$  be a basic open set generated by  $\rho$ , and let  $N \in \mathbb{N}$  be so that

$$\frac{1}{N} < r.$$

Pick a basic open set of  $X$  as follows: For  $1 \leq n \leq N$ , let  $U_n = B_{r_n}(x_n)$ , with  $r_n < nr$ , for  $n \geq N+1$ , let  $U_n = X_n$ . Then  $U := \prod U_n$  is a basic open set in  $X$ , and if  $\mathbf{y} \in U$ ,

$$\frac{\rho_n(x_n, y_n)}{n} < r \text{ if } 1 \leq n \leq N \quad \text{and} \quad \frac{\rho_n(x_n, y_n)}{n} \leq \frac{1}{N} < r \text{ if } n \geq N+1.$$

Thus,  $\rho(\mathbf{x}, \mathbf{y}) < r$ , so  $\mathbf{y} \in B_r(\mathbf{x})$ , so basic open sets in  $X$  generate the metric topology.

Since the two topologies generate each other, they must be the same.

- c. Suppose  $\{(X_n, \rho_n)\}$  are metric spaces and that  $X = \prod X_n$  is equipped with the product topology. By part (a), we can replace  $\rho_n$  with an equivalent metric  $\rho'_n$  which is bounded by 1, for every  $n \geq 1$ . Thus, by part (b), we can take the given metric  $\rho$ , and that generates the product topology on  $X$ , so  $X$  is metrizable.

**4.20** If  $A$  is a countable set and  $X_\alpha$  is a first (resp. second) countable space for each  $\alpha \in A$ , then  $\prod_{\alpha \in A} X_\alpha$  is first (resp. second) countable.

**Solution** Each  $X_\alpha$  is first countable:

Let  $x = \{x_\alpha\}_{\alpha \in A} \in \prod_{\alpha \in A} X_\alpha$ , which is non-empty by the axiom of choice, and let  $\mathcal{U}_\alpha$  be a countable base for  $x_\alpha$  for each  $\alpha \in A$ .

Since the set of finite sets of a countable set is countable, the set

$$\mathcal{U} := \left\{ \bigcap_{\alpha \in A'} \pi_\alpha^{-1}(U_\alpha) \mid A' \subseteq A \text{ finite, } U_\alpha \in \mathcal{E}_\alpha \right\}$$

is countable, and we claim that this is our neighborhood base for  $x$ .

Since finite intersections of sets of the form  $\pi_\alpha^{-1}(U_\alpha)$  form a base for the product topology, it suffices to show that there exists  $V \in \mathcal{U}$  so that  $V$  is contained in a basic open set  $U \ni x$ .

$U$  is of the form  $\prod_{\alpha \in A} U_\alpha$ , where each  $U_\alpha \subseteq X_\alpha$  is open and  $U_\alpha = X_\alpha$  for all but finitely many  $\alpha$ 's. Label these values of  $\alpha$  via  $\alpha_1, \dots, \alpha_n$ . Because each  $X_\alpha$  is first countable, for each  $\alpha_i$ , there exists  $V_{\alpha_i} \in \mathcal{U}_{\alpha_i}$  so that  $x_{\alpha_i} \in V_{\alpha_i} \subseteq U_{\alpha_i}$ . Then

$$\mathcal{U} \ni V := \bigcap_{i=1}^n \pi_{\alpha_i}^{-1}(V_{\alpha_i}) \subseteq \bigcap_{i=1}^n \pi_{\alpha_i}^{-1}(U_{\alpha_i}) = U,$$

so  $\prod_{\alpha \in A} X_\alpha$  is first countable.

Each  $X_\alpha$  is second countable:

In this case, for each  $\alpha \in A$ , there exists a countable collection of open sets  $\mathcal{U}_\alpha$ , which is a countable base for  $X_\alpha$ . Then

$$\mathcal{U} := \left\{ \bigcap_{\alpha \in A'} \pi_\alpha^{-1}(U_\alpha) \mid A' \subseteq A \text{ finite, } U_\alpha \in \mathcal{E}_\alpha \right\}$$

is countable, for the same reason above, and we claim that this is a countable base for  $\prod_{\alpha \in A} X_\alpha$ .

Let  $U$  be an open set in the product. For any  $x \in U$ , we can find  $V_x \in \mathcal{U}$  so that  $x \in V_x \subseteq U$ . Indeed, there is a basic open set  $W \ni x$  contained in  $U$ , and by the argument for first countable spaces, we can find  $V_x \subseteq W$ . Thus,

$$U = \bigcup_{x \in U} V_x,$$

and since  $\mathcal{U}$  is countable, it follows that this reduces to an at most countable union of elements of  $\mathcal{U}$ , so the product is second countable.

**4.22** Let  $X$  be a topological space,  $(Y, \rho)$  a complete metric space, and  $\{f_n\}$  a sequence in  $Y^X$  such that  $\sup_{x \in X} \rho(f_n(x), f_m(x)) \rightarrow 0$  as  $m, n \rightarrow \infty$ . There is a unique  $f \in Y^X$  such that  $\sup_{x \in X} \rho(f_n(x), f(x)) \rightarrow 0$  as  $n \rightarrow \infty$ . If each  $f_n$  is continuous, so is  $f$ .

**Solution** Notice that for each  $x \in X$ ,

$$\rho(f_n(x), f_m(x)) \leq \sup_{x \in X} \rho(f_n(x), f_m(x)) \xrightarrow{n, m \rightarrow \infty} 0,$$

so  $\{f_n(x)\}_{n \geq 1}$  is Cauchy. Since  $Y$  is complete, there exists  $f(x) \in Y$  such that  $f_n(x) \xrightarrow{n \rightarrow \infty} f(x)$ , for every  $x$ . This defines a function  $f: X \rightarrow Y$ , and  $f$  is unique, since convergent sequences in metric spaces have unique limits. By letting  $m \rightarrow \infty$  in the sup norm, it follows that  $f_n$  converges to  $f$ .

Suppose each  $f_n$  is continuous. We'll now show that  $f$  is continuous:

Let  $x \in X$  and  $\varepsilon > 0$ .

Because the sup norm converges to 0, there exists  $n_0 \in \mathbb{N}$  so that for  $n \geq n_0$ ,

$$\sup_{z \in X} \rho(f_n(z), f(z)) < \frac{\varepsilon}{3}.$$

Since  $f_{n_0}$  is continuous, there exists  $x \in U \subseteq X$  open such that  $y \in U \implies \rho(f_{n_0}(x), f_{n_0}(y)) < \varepsilon/3$ . Then for  $y \in U$ ,

$$\begin{aligned} \rho(f(x), f(y)) &\leq \rho(f(x), f_{n_0}(x)) + \rho(f_{n_0}(x), f_{n_0}(y)) + \rho(f_{n_0}(y), f(y)) \\ &\leq \sup_{x \in X} \rho(f(x), f_{n_0}(x)) + \rho(f_{n_0}(x), f_{n_0}(y)) + \sup_{y \in X} \rho(f_{n_0}(y), f(y)) \\ &< \frac{\varepsilon}{3} + \frac{\varepsilon}{3} + \frac{\varepsilon}{3} \\ &= \varepsilon. \end{aligned}$$

Thus,  $f(U) \subseteq B_\varepsilon(f(x))$ , so  $f$  is continuous.

**4.24** A Hausdorff space  $X$  is normal iff  $X$  satisfies the conclusion of Urysohn's lemma iff  $X$  satisfies the conclusion of the Tietze extension theorem.

**Solution** We'll label the statements via (a), (b), and (c), in the order that they're presented in the problem.

We know that  $(a) \implies (b) \implies (c)$ , so we can just show that  $(c) \implies (a)$ :

Let  $\emptyset \neq A, B \subseteq X$  be disjoint closed sets, and define  $f: A \cup B \rightarrow [0, 1]$  via  $f|_A = 0$  and  $f|_B = 1$ . This is continuous:

Notice that  $A = B^c \cap (A \cup B)$ , so  $A$  is open in  $A \cup B$ . Similarly,  $B$  is open in  $A \cup B$ . Now let  $I \subseteq [a, b]$  be an open set. If  $I$  contains 0 but not 1, then its preimage is  $A$ . Similarly, if  $I \cap \{0, 1\} = \{1\}$ , then its preimage is  $B$ . If  $I$  contains both, its preimage is  $A \cup B$ . If  $I$  contains neither, then its preimage is empty. In all the cases,  $f^{-1}(I)$  is open in  $X$ , so  $f$  is continuous.

By Tietze's extension theorem, there exists a continuous function  $F \in C(X, [0, 1])$  so that  $F|_{A \cup B} = f$ . We also see that  $F|_A = 0$  and  $F|_B = 1$ , so  $F$  separates  $A$  and  $B$ . Consider  $U = F^{-1}([0, 1/3])$  and  $V = F^{-1}([2/3, 1])$ .  $U \cap V = \emptyset$ , since no numbers satisfy  $2/3 < x < 1/3$ , and they are both open in  $X$  since  $F$  is continuous. Moreover,  $A \subseteq U$  and  $B \subseteq V$ , so  $U$  and  $V$  separate  $A$  and  $B$ . Hence,  $X$  is normal, and the implication holds, so  $(a) \iff (b) \iff (c)$ .

**4.38** Suppose that  $(X, \mathcal{T})$  is a compact Hausdorff space and  $\mathcal{T}'$  is another topology on  $X$ . If  $\mathcal{T}'$  is strictly stronger than  $\mathcal{T}$ , then  $(X, \mathcal{T}')$  is Hausdorff but not compact. If  $\mathcal{T}'$  is strictly weaker than  $\mathcal{T}$ , then  $(X, \mathcal{T}')$  is compact but not Hausdorff.

**Solution**  $\mathcal{T}' \supsetneq \mathcal{T}$ :

$(X, \mathcal{T}')$  is still Hausdorff since we can still use the open sets from  $\mathcal{T} \subseteq \mathcal{T}'$  to separate two distinct points in  $X$ .

Suppose  $(X, \mathcal{T}')$  is still compact, and let  $U \in \mathcal{T}'$ . Since  $(X, \mathcal{T}')$  is compact Hausdorff,  $U^c$  is compact. In particular, if  $\mathcal{U} \subseteq \mathcal{T}$  is any open cover of  $U^c$ ,  $\mathcal{U}$  admits a finite subcover, so  $U^c$  is compact in  $(X, \mathcal{T})$ , which is Hausdorff. Hence,  $U^c$  is closed in  $(X, \mathcal{T})$ , so  $U \in \mathcal{T}$ . But this implies that  $\mathcal{T}' \subseteq \mathcal{T}$ , a contradiction, so  $(X, \mathcal{T})'$  cannot be compact.

$\mathcal{T}' \subsetneq \mathcal{T}$ :

$(X, \mathcal{T}')$  is still compact because an open cover of  $X$  from sets in  $\mathcal{T}'$  is an open cover of  $X$  from sets in  $\mathcal{T}$ , which means that the open cover still admits a finite subcover.

Suppose  $(X, \mathcal{T}')$  is Hausdorff, and let  $U \in \mathcal{T}$ . Then  $U^c$  is closed and hence compact in  $(X, \mathcal{T})$ . In particular, it is compact in  $\mathcal{T}'$  since any open cover in  $\mathcal{T}'$  is an open cover in  $\mathcal{T}$ . Since  $(X, \mathcal{T}')$  is Hausdorff, this implies that  $U^c$  is closed in  $(X, \mathcal{T}')$ , which means that  $U \in \mathcal{T}' \implies \mathcal{T} \subseteq \mathcal{T}'$ , but this is impossible. Hence,  $(X, \mathcal{T}')$  cannot be Hausdorff.

**4.43** For  $x \in [0, 1)$ , let  $\sum_1^\infty a_n(x)2^{-n}$  ( $a_n(x) = 0$  or  $1$ ) be the base-2 decimal expansion of  $x$ . (If  $x$  is a dyadic rational, choose the expansion such that  $a_n(x) = 0$  for  $n$  large.) Then the sequence  $\{a_n\}$  in  $\{0, 1\}^{[0, 1)}$  has no pointwise convergent subsequence. (Hence  $\{0, 1\}^{[0, 1)}$ , with the product topology arising from the discrete topology on  $\{0, 1\}$ , is not sequentially compact.)

**Solution** Suppose otherwise, and that  $a_n(x)$  has a pointwise convergent subsequence  $a_{k_n}(x)$ .

Notice that  $k_n \neq n$ , since  $a_n(2/3)$  does not converge, as it alternates:

$$\frac{2}{3} = \frac{\frac{1}{2}}{1 - \frac{1}{4}} = \sum_{n=1}^{\infty} \frac{1}{2^{2n-1}}.$$

This shows that  $a_n(2/3) = 0$  if  $n$  is even and  $1$  if  $n$  is odd.

Then consider  $x \in [0, 1)$  with

$$x = \sum_{n=1}^{\infty} b_n 2^{-n},$$

where  $b_m = 1$  if  $m = k_n$  for  $n$  odd, and  $0$  otherwise. In other words  $b_{k_n}$  alternates between  $0$  and  $1$ , and it is  $0$  for all the other values of  $m$ . Then this series converges, since the sum is bounded by  $1$ , and its value is not  $1$  since not every  $b_m$  is  $1$ .

The expansion for this value of  $x$  is unique, since  $x$  is not a dyadic rational. But this implies that  $a_n(x) = b_n$  for each  $n$ , which means that  $a_{k_n}(x) = b_{k_n}$  for each  $n$ . But  $b_{k_n}$  is not convergent, since it alternates between  $0$  and  $1$ , a contradiction. Hence, no such  $k_n$  exists, so  $\{a_n\}$  does not converge pointwise.