- **5.29** Let  $\mathcal{Y} = L^1(\mu)$  where  $\mu$  is the counting measure on  $\mathbb{N}$ , and let  $\mathcal{X} = \{f \in \mathcal{Y} \mid \sum_{1}^{\infty} n |f(n)| < \infty\}$ , equipped with the  $L^1$  norm.
  - a.  $\mathcal{X}$  is a proper dense subspace of  $\mathcal{Y}$ ; hence  $\mathcal{X}$  is not complete.
  - b. Define  $T: \mathcal{X} \to \mathcal{Y}$  by Tf(n) = nf(n). Then T is closed but not bounded.
  - c. Let  $S = T^{-1}$ . Then  $S: \mathcal{Y} \to \mathcal{X}$  is bounded and surjective but not open.
- **Solution** a. It is easy to see that  $\mathcal{X}$  is a subspace.  $0 \in \mathcal{X}$ . It is closed under addition by using the triangle inequality and splitting the sum, and it is clearly closed under scaling, so  $\mathcal{Y}$  is a subspace of  $\mathcal{X}$ .

Let  $f \in \mathcal{Y}$ , and let  $\varepsilon > 0$ .

Since f is integrable, there exists  $N \in \mathbb{N}$  so that

$$\sum_{n=N_1}^{\infty} |f(n)| < \frac{\varepsilon}{2}.$$

Then for  $1 \le n \le N-1$ , set g(n)=f(n), and for  $n \ge N$ , set g(n)=f(n) for  $n \le N-1$  and 0 for  $n \ge N$ . Then

$$||f - g|| = \sum_{n=1}^{\infty} |f(n) - g(n)| = \sum_{n=N}^{\infty} |f(n)| < \varepsilon.$$

Moreover, ng(n) is summable since it has finite support. Thus,  $\mathcal{X}$  is dense in  $\mathcal{Y}$ .

It is also not dense, since  $f(n) = 1/n^2$  is in  $L^1(\mu)$ , but nf(n) is not, since it diverges. Hence,  $\mathcal{X}$  is a proper dense subspace of  $\mathcal{Y}$ .

b. Let  $\{Tf_k(n)\}\subseteq T(\mathcal{X})$  be a sequence which converges to f(n) in  $\mathcal{Y}$ . By definition

$$\sum_{n=1}^{\infty} |nf_k(n) - f(n)| \xrightarrow{k \to \infty} 0.$$

This forces  $|nf_k(n) - f(n)| \xrightarrow{k \to \infty} 0$  for each  $n \ge 1$ . Thus,  $f_k(n)$  must converge to f(n)/n, which is in  $\mathcal{X}$  since f(n) is summable. Hence, f(n) = Tf(n)/n, so T is closed.

Now consider  $\chi_{\{m\}}$ . It's clearly an element of  $\mathcal{X}$  with norm 1, but

$$||T\chi_{\{m\}}|| = \sum_{n=1}^{\infty} \chi_{\{m\}} = m.$$

This works for any m, which shows that T is unbounded.

c. Notice that  $||T^{-1}f|| \le ||f||$ , since each term is smaller, for any  $f \in L^1(\mu)$ . In particular, it works for ||f|| = 1, which shows that  $||T^{-1}||$  is bounded by 1.

If  $f(n) \in \mathcal{X}$ , then by definition,  $nf(n) \in L^1(\mu)$  and  $T^{-1}nf(n) = f(n)$ , so  $T^{-1}$  is surjective.

 $T^{-1}$  being open is equivalent to T be continuous, which implies that it is bounded, which is impossible by part (b). Thus,  $T^{-1}$  is not open.

- **5.37** Let  $\mathcal{X}$  and  $\mathcal{Y}$  be Banach spaces. If  $T: \mathcal{X} \to \mathcal{Y}$  is a linear map such that  $f \circ T \in \mathcal{X}^*$  for every  $f \in \mathcal{Y}^*$ , then T is bounded.
- **Solution** By the closed graph theorem, it's enough to show that  $\Gamma(T)$  is closed.

Let  $\{(x_n, Tx_n)\}\subseteq \Gamma(T)$  be a sequence which converges to  $(x,y)\in \mathcal{X}\times\mathcal{Y}$ .

Suppose  $y \neq Tx$ . Since  $f \circ T \in \mathcal{X}^*$ , it is continuous, so  $(f \circ T)(x_n) \xrightarrow{n \to \infty} (f \circ T)(x)$  for any  $f \in \mathcal{Y}$ . Similarly, f is continuous, so  $f(Tx_n) \xrightarrow{n \to \infty} f(y)$ .

By Hahn-Banach,  $\mathcal{Y}^*$  separates points, so there exists  $f \in \mathcal{Y}$  so that  $f(y) \neq f(Tx)$ . But this contradicts the above, so y = Tx. Thus,  $\Gamma(T)$  is closed, so T is bounded.

- **5.38** Let  $\mathcal{X}$  and  $\mathcal{Y}$  be Banach spaces, and let  $\{T_n\}$  be a sequence in  $L(\mathcal{X}, \mathcal{Y})$  such that  $\lim T_n x$  exists for every  $x \in \mathcal{X}$ . Let  $Tx = \lim T_n x$ ; then  $T \in L(\mathcal{X}, \mathcal{Y})$ .
- **Solution** We'll first show linearity: Let  $x, y \in \mathcal{X}$  and  $\lambda \in K$ . Then because the limit of a sum of limits that exist is the sum of the limits,

$$T_n(x+y) = T_n(x) + T_n(y) \implies T(x+y) = T(x) + T(y).$$

Similarly,  $T_n(\lambda x) = \lambda T_n x \implies T(\lambda x) = \lambda T x$ , so T is linear. We now need to show that T is bounded.

Notice that  $\sup_n ||T_n x|| < \infty$  for all  $x \in \mathcal{X}$ , since the limit exists for each x. By the uniform boundedness principle,  $\sup_n ||T_n|| < \infty$ , so  $||T|| < \infty$ . Hence, T is bounded.

- **5.45** The space  $C^{\infty}(\mathbb{R})$  of all infinitely differentiable functions on  $\mathbb{R}$  has a Fréchet space topology with respect to which  $f_n \to f$  iff  $f_n^{(k)} \to f^{(k)}$  uniformly on compact sets for all  $k \geq 0$ .
- **Solution** For each  $j \ge 1$ , consider the compact set  $K_j := \overline{B(0,j)}$ , and for each  $k \ge 0$ , consider the seminorms

$$\rho_{(j,k)}(f) \coloneqq \sup_{x \in K_j} \left| f^{(k)}(x) \right|.$$

This is a seminorm since it is a norm on  $C(\mathbb{R})$ , and there are countably many since  $\mathbb{N}^2$  is countable.

With this topology,  $C^{\infty}(\mathbb{R})$  is complete, as seen on a previous homework assignment. Indeed, if  $f'_n$  converges uniformly to g, then  $f_n$  converges to a function f with f' = g, i.e., the limit function is in  $C^1(\mathbb{R})$ . By induction, this tells us that the limit function is in  $C^{\infty}(\mathbb{R})$ .

The space is also Hausdorff, since  $p_{(j,1)}$  will separate different functions for j sufficiently large.

$$"\Longrightarrow"$$

Suppose  $f_n \to f$  in the topology generated by these seminorms.

Let K be a compact set in  $\mathbb{R}$ . Then there exists  $j \geq 1$  so that  $K \subseteq K_j$ . By definition,

$$\sup_{x \in K} \left| f^{(k)}(x) - f_n^{(k)}(x) \right| \le \sup_{x \in K_j} \left| f^{(k)}(x) - f_n^{(k)}(x) \right| = \rho_{(j,k)}(f - f_n) \xrightarrow{n \to \infty} 0,$$

for any  $k \ge 0$ , so  $f_n^{(k)}$  converges to  $f^{(k)}$  locally uniformly.

Suppose  $f_n^{(k)} \to f^{(k)}$  locally uniformly. In particular, the sequences converges uniformly on each  $K_j$ , so

$$\rho_{(j,k)}(f - f_n) = \sup_{x \in K_j} \left| f^{(k)}(x) - f_n^{(k)}(x) \right| \xrightarrow{n \to \infty} 0$$

for every  $j \geq 1$ , so  $f_n \to f$  in the topology generated by the seminorms.

Thus,  $C^{\infty}(\mathbb{R})$  is a Fréchet space.

- **5.51** A vector subspace of a normed vector space  $\mathcal{X}$  is norm-closed iff it is weakly closed.
- **Solution** Let  $\mathcal{M}$  be a vector subspace of  $\mathcal{X}$ .

$$"\Longrightarrow"$$

For any  $x \in \mathcal{X} \setminus \mathcal{M}$ , because  $\mathcal{M}$  is norm-closed, Hahn-Banach gives us a linear functional  $f_x \in \mathcal{X}^*$  so that  $f_x|_{\mathcal{M}} = 0$  and  $f_x(x) \neq 0$ . Notice that ker  $f_x$  is weakly closed, since ker  $f_x = f_x^{-1}(\{0\})$ . Lastly,

$$\mathcal{M} = \bigcap_{x \in \mathcal{X} \setminus \mathcal{M}} \ker f_x.$$

" $\subseteq$ " is clear from construction of each  $f_x$ . Conversely, if x is in the right-hand side, then  $x \in \mathcal{M}$ , or else  $f_x(x) \neq 0$ . Thus,  $\mathcal{M}$  is a weakly closed subspace of  $\mathcal{X}$ .

Let  $\{x_n\}\subseteq \mathcal{M}$  converge to x in  $\mathcal{X}$  in norm, and let f be a linear functional on  $\mathcal{X}$ . Then

$$|f(x - x_n)| \le ||f|| ||x - x_n|| \xrightarrow{n \to \infty} 0$$

by assumption. This is holds for any f, so  $x_n \to x$  weakly, so  $x \in \mathcal{M}$ .

- **5.53** Suppose that  $\mathcal{X}$  is a Banach space and  $\{T_n\}$ ,  $\{S_n\}$  are sequences in  $L(\mathcal{X}, \mathcal{X})$  such that  $T_n \to T$  strongly and  $S_n \to S$  strongly.
  - a. If  $\{x_n\} \subseteq \mathcal{X}$  and  $||x_n x|| \to 0$ , then  $||T_n x_n Tx|| \to 0$ .
  - b.  $T_n S_n \to TS$  strongly.
- **Solution** a. By Exercise 47(a), we have  $M := \sup_n ||T_n|| < \infty$ . Thus,

$$||T_n x_n - Tx|| \le ||T_n x_n - T_n x|| + ||T_n x - Tx||$$

$$\le ||T_n|| ||x_n - x|| + ||T|| ||x_n - x||$$

$$\le 2M ||x_n - x|| \xrightarrow{n \to \infty} 0$$

as required.

b. Let  $x \in \mathcal{X}$ . By definition, we know that  $||S_n x - Sx|| \xrightarrow{n \to \infty} 0$ . Thus, if we set  $y_n = Sx_n$  and y = Sx, part (a) gives

$$||T_n S_n x - T S x|| = ||T_n y_n - T y|| \xrightarrow{n \to \infty} 0,$$

so  $T_nS_n \to TS$  strongly.