

Problem Set 4

Due Date February 15
Name **Chengming Li**
Student ID **109251991**
Collaborators **N/A**

Contents

1	Instructions	1
2	Honor Code (Make Sure to Virtually Sign)	2
3	Standard 10- Asymptotics I (Calculus I techniques)	3
3.1	Problem 2(a)	4
3.2	Problem 2(b)	5
4	Standard 11- Asymptotics II (Calculus II techniques):	6
4.1	Problem 3(a)	6
4.2	Problem 3(b)	7
5	Standard 12- Analyzing Code I: (Independent nested loops)	9
6	Standard 13- Analyzing Code II: (Dependent nested loops)	10

1 Instructions

- The solutions **must be typed**, using proper mathematical notation. We cannot accept hand-written solutions. Here's a short intro to \LaTeX .
- You should submit your work through the **class Canvas page** only. Please submit one PDF file, compiled using this \LaTeX template.
- You may not need a full page for your solutions; pagebreaks are there to help Gradescope automatically find where each problem is. Even if you do not attempt every problem, please submit this document with no fewer pages than the blank template (or Gradescope has issues with it).
- You are welcome and encouraged to collaborate with your classmates, as well as consult outside resources. You must **cite your sources in this document**. **Copying from any source is an Honor Code violation**. Furthermore, all submissions must be in your own words and reflect your understanding

of the material. If there is any confusion about this policy, it is your responsibility to clarify before the due date.

- Posting to **any** service including, but not limited to Chegg, Reddit, StackExchange, etc., for help on an assignment is a violation of the Honor Code.
- You **must** virtually sign the Honor Code (see Section 2). Failure to do so will result in your assignment not being graded.

2 Honor Code (Make Sure to Virtually Sign)

Problem 1. • My submission is in my own words and reflects my understanding of the material.

- Any collaborations and external sources have been clearly cited in this document.
- I have not posted to external services including, but not limited to Chegg, Reddit, StackExchange, etc.
- I have neither copied nor provided others solutions they can copy.

Agreed (signature here). I agree to the above, Chengming Li

□

3 Standard 10- Asymptotics I (Calculus I techniques)

Problem 2. For each part, you will be given a list of functions. Your goal is to order the functions from **slowest growing** to **fastest growing**. That is, if your answer is $f_1(n), \dots, f_k(n)$, then it should be the case that $f_i(n) \in O(f_{i+1}(n))$ for all i . If two adjacent functions have the same order of growth (that is, $f_i(n) \in \Theta(f_{i+1}(n))$), clearly specify this. **Show all work, including Calculus details.** Plugging into WolframAlpha is not sufficient.

You may find the following helpful.

- Recall that our asymptotic relations are transitive. So if $f(n) \in O(g(n))$ and $g(n) \in O(h(n))$, then $f(n) \in O(h(n))$. The same applies for Big-Theta, etc. Note that the goal is to order the growth rates, so transitivity is very helpful. We encourage you to make use of transitivity rather than comparing all possible pairs of functions, as using transitivity will make your life easier.
- You may also use the Limit Comparison Test. However, you **MUST** show all limit computations at the same level of detail as in Calculus I-II. Should you choose to use Calculus tools, whether you use them correctly will count towards your score.
- You may **NOT** use heuristic arguments, such as comparing degrees of polynomials or identifying the “high order term” in the function.
- If it is the case that $g(n) = c \cdot f(n)$ for some constant c , you may conclude that $f(n) = \Theta(g(n))$ without using Calculus tools. You must clearly identify the constant c (with any supporting work necessary to identify the constant- such as exponent or logarithm rules) and include a sentence to justify your reasoning.

You may also find it helpful to order the functions using an `itemize` block, with the work following the end of the `itemize` block.

- This function grows the slowest: $f_1(n)$
- These functions grow at the same asymptotic rate and faster than $f_1(n)$: $f_2(n), f_3(n), \dots$
- These functions grow at the same asymptotic rate, but faster than $f_2(n)$: $f_k(n)$.

Also below is an example of an `align` block to help you organize your work.

$$\begin{aligned}\lim_{n \rightarrow \infty} \frac{n^2}{2^n} &= \lim_{n \rightarrow \infty} \frac{2n}{\ln(2) \cdot 2^n} \\ &= \lim_{n \rightarrow \infty} \frac{2}{(\ln(2))^2 \cdot 2^n} \\ &= 0.\end{aligned}$$

3.1 Problem 2(a)

(a) $n^3 - 10$, $n^3 + 20n^2 + 1000$, $n^4 - 50n^3$, $10n^3\sqrt{n}$.

Answer. • $n^3 - 10 \in \Theta(n^3 + 20n^2 + 1000)$, because their limit approach to 1, which is a constant. Thus they have the same asymptotic rate.

$$\begin{aligned}\lim_{n \rightarrow \infty} \frac{n^3 - 10}{n^3 + 20n^2 + 1000} &= \lim_{n \rightarrow \infty} \frac{3n^2}{3n^2 + 40n} \\ &= \lim_{n \rightarrow \infty} \frac{6n}{6n + 40} \\ &= \lim_{n \rightarrow \infty} \frac{6}{6} \\ &= 1\end{aligned}$$

• $n^3 + 20n^2 + 1000 \in O(10n^3\sqrt{n})$

$$\begin{aligned}\lim_{n \rightarrow \infty} \frac{n^3 + 20n^2 + 1000}{10n^{3.5}} &= \lim_{n \rightarrow \infty} \frac{3n^2 + 40n}{35n^{2.5}} \\ &= \lim_{n \rightarrow \infty} \frac{6n + 40}{87.5n^{1.5}} \\ &= \lim_{n \rightarrow \infty} \frac{6}{131.25n^{0.5}} \\ &= 0\end{aligned}$$

• $10n^3\sqrt{n} \in O(n^4 - 50n^3)$

$$\begin{aligned}\lim_{n \rightarrow \infty} \frac{10n^3\sqrt{n}}{n^4 - 50n^3} &= \lim_{n \rightarrow \infty} \frac{35n^{2.5}}{4n^3 - 150n^2} \\ &= \lim_{n \rightarrow \infty} \frac{87.5n^{1.5}}{12n^2 - 300n} \\ &= \lim_{n \rightarrow \infty} \frac{131.25n^{0.5}}{24n - 300} \\ &= \lim_{n \rightarrow \infty} \frac{65.625n^{-0.5}}{24} \\ &= \lim_{n \rightarrow \infty} \frac{65.625}{24n^{0.5}} \\ &= 0\end{aligned}$$

- These functions grow at the same asymptotic rate: $n^3 - 10$ and $n^3 + 20n^2 + 1000$, but slower than $10n^3\sqrt{n}$
- $10n^3\sqrt{n}$ is slower than $n^4 - 50n^3$
- This function grows the fastest: $n^4 - 50n^3$

□

3.2 Problem 2(b)

(b) $10 \log_2 n^3$, $(\log_3 n)^3$, $100 \log_4 n$, $n^{1/1000}$.

Hint: Recall change of logarithmic base formula $\log_a x = \log_b x \cdot \log_a b$

Answer. • First, convert all log number to base 10

- $10 \log_2 n^3 = 30(\log_3 n \cdot \log_2 3)$
- $100 \log_4 n = 100(\log_3 n \cdot \log_4 3)$
- $10 \log_2 n^3 \in \Theta(100(\log_4 n))$

$$\begin{aligned} \lim_{n \rightarrow \infty} \frac{10 \log_2 n^3}{100(\log_4 n)} &= \lim_{n \rightarrow \infty} \frac{30 \log_2 3 \cdot \ln(3)n}{\ln(3)n \cdot 100(\log_4 3)} \\ &= \lim_{n \rightarrow \infty} \frac{30 \log_2 3}{100(\log_4 3)} \\ &= 0.6 \end{aligned}$$

- $100 \log_4 n \in O((\log_3 n)^3)$ because $10 \log_2 n^3$ and $100 \log_4 n$ have the same asymptotic rate. Thus, we can apply transitivity property to get a conclusion that $100 \log_4 n \in O((\log_3 n)^3)$
- $10 \log_2 n^3 \in O((\log_3 n)^3)$

$$\begin{aligned} \lim_{n \rightarrow \infty} \frac{10 \log_2 n^3}{(\log_3 n)^3} &= \lim_{n \rightarrow \infty} \frac{30 \log_2 3 \cdot \ln(3)n}{\ln(3)n \cdot 3(\log_3(n))^2} \\ &= \lim_{n \rightarrow \infty} \frac{30 \log_2 3}{3(\log_3(n))^2} \\ &= 0 \end{aligned}$$

- $(\log_3 n)^3 \in O(n^{1/1000})$

$$\begin{aligned} \lim_{n \rightarrow \infty} \frac{(\log_3 n)^3}{n^{1/1000}} &= \lim_{n \rightarrow \infty} \frac{3(\log_3 n)^2}{\ln 3 \cdot n} \cdot \frac{1000}{n^{1/1000-1}} \\ &= \frac{3(\log_3 n)^2 \cdot 1000}{\ln 3 \cdot n^{1/1000}} \\ &= \frac{6(\log_3 n) \cdot 1000^2}{\ln 3^2 \cdot n^{1/1000}} \\ &= \frac{6 \cdot 1000^3}{\ln 3^3 \cdot n^{1/1000}} \\ &= 0 \end{aligned}$$

- $10 \log_2 n^3$ and $100(\log_4 n)$ have the same asymptotic rate, but slower than $(\log_3 n)^3$
- $n^{1/1000}$ is faster than $\log_3 n^3$
- $n^{1/1000}$ is the fastest function

□

4 Standard 11- Asymptotics II (Calculus II techniques):

Problem 3. For each of the following questions, put the growth rates in order, from slowest-growing to fastest. That is, if your answer is $f_1(n), f_2(n), \dots, f_k(n)$, then $f_i(n) \in O(f_{i+1}(n))$ for all i . If two adjacent ones are asymptotically the same (that is, $f_i(n) = \Theta(f_{i+1}(n))$), you must specify this as well. Justify your answer (show your work). You may use transitivity: if $f(n) \in O(g(n))$ and $g(n) \in O(h(n))$, then $f(n) \in O(h(n))$, and similarly for Big-Theta, etc. The same instructions as for Problem 1 apply.

4.1 Problem 3(a)

(a) 1 , $n^{\log_5 n^2}$, $n^{\log_2 n}$, $n^{\log_n(n^3)}$, $n^{\log_n 10}$.

Answer. • First, Convert all functions that including log to base 10

- $n^{\log_5 n^2} = n^{2(\log_{10} n \cdot \log_5 10)}$
- $n^{\log_2 n} = n^{\log_{10} n \cdot \log_2 10}$
- $n^{\log_n(n^3)} = n^{3(\log_{10} n \cdot \log_n 10)} = n^3$
- $n^{\log_n 10} = n^{1 \log_n 10} = 10$
- $1 \in \Theta(10)$

$$\lim_{n \rightarrow \infty} \frac{1}{10} = 1/10$$

- $10 \in O(n^{\log_n(n^3)})$

$$\lim_{n \rightarrow \infty} \frac{10}{n^3} = 0$$

- $n^{\log_n(n^3)} \in O(n^{\log_5 n^2})$

$$\begin{aligned} \lim_{n \rightarrow \infty} \frac{n^{\log_n(n^3)}}{n^{\log_5 n^2}} &= \lim_{n \rightarrow \infty} \frac{n^3}{n^{2(\log_{10} n \cdot \log_5 10)}} \\ &= \lim_{n \rightarrow \infty} n^{3-2(\log_{10} n \cdot \log_5 10)} \\ &= \lim_{n \rightarrow \infty} n^{-(2(\log_{10} n \cdot \log_5 10)-3)} \\ &= \lim_{n \rightarrow \infty} \frac{1}{n^{(2(\log_{10} n \cdot \log_5 10)-3)}} \\ &= 0 \end{aligned}$$

- $n^{\log_5 n^2} \in O(n^{\log_2 n})$

$$\begin{aligned} \lim_{n \rightarrow \infty} \frac{n^{\log_5 n^2}}{n^{\log_2 n}} &= \lim_{n \rightarrow \infty} n^{2(\log_{10} n \cdot \log_5 10) - \log_{10} n \cdot \log_2 10} \\ &= \lim_{n \rightarrow \infty} n^{\log_{10} n \cdot (2 \log_5 10 - \log_2 10)} \\ &= \lim_{n \rightarrow \infty} n^{\log_{10} n \cdot (-0.46)} \\ &= \lim_{n \rightarrow \infty} \frac{1}{n^{\log_{10} n \cdot (0.46)}} \\ &= 0 \end{aligned}$$

- **1 and $n^{\log_n 10}$ have the same asymptotic rate.**
- **$n^{\log_n(n^3)}$ is faster than 10.**
- **$n^{\log_5 n^2}$ is faster than $n^{\log_n(n^3)}$.**
- **$n^{\log_2 n}$ is faster than $n^{\log_5 n^2}$.**

□

4.2 Problem 3(b)

- (b) $n!$, 3^n , $3^{n/2}$, n^n , 3^{n-2} , $\sqrt{n^{3n+1}}$. (*Hint:* Recall Stirling's approximation, which says that $n! \sim \left(\frac{n}{e}\right)^n \sqrt{2\pi n}$, i.e. $\lim_{n \rightarrow \infty} \frac{n!}{\left(\frac{n}{e}\right)^n \sqrt{2\pi n}} = 1$. We can also say $n! = \Theta\left(\left(\frac{n}{e}\right)^n \sqrt{2\pi n}\right)$).

Answer. • $3^{n/2} \in \mathbf{O}(3^{n-2})$

$$\begin{aligned} \lim_{n \rightarrow \infty} \frac{3^{n/2}}{3^{n-2}} &= \lim_{n \rightarrow \infty} 3^{n/2-n+2} \\ &= \lim_{n \rightarrow \infty} 3^{(n-2n+4)/(2)} \\ &= \lim_{n \rightarrow \infty} 3^{-(n-4)/(2)} \\ &= \lim_{n \rightarrow \infty} \frac{1}{3^{(n-4)/(2)}} \\ &= 0 \end{aligned}$$

- $3^{n-2} \in \Theta(3^n)$

$$\begin{aligned} \lim_{n \rightarrow \infty} \frac{3^{n-2}}{3^n} &= \lim_{n \rightarrow \infty} 3^{n-2-n} \\ &= \lim_{n \rightarrow \infty} 3^{-2} \\ &= 1/9 \end{aligned}$$

- $3^n \in \mathbf{O}(n!)$

$$\begin{aligned} \lim_{n \rightarrow \infty} \frac{3^n}{n!} &= \lim_{n \rightarrow \infty} \frac{n^{n+1} \cdot n!}{(n+1)! \cdot 3^n} \\ &= \lim_{n \rightarrow \infty} \frac{3}{n+1} \\ &= 0 \end{aligned}$$

- $n! \in \mathbf{O}(n^n)$

$$\begin{aligned} \lim_{n \rightarrow \infty} \frac{n!}{n^n} &= \lim_{n \rightarrow \infty} \frac{(n+1)! \cdot n^n}{(n+1)^{n+1} \cdot n!} \\ &= \lim_{n \rightarrow \infty} \frac{(n+1) \cdot n^n}{(n+1) \cdot (n+1)^n} \\ &= \lim_{n \rightarrow \infty} \frac{n^n}{(n+1)^n} \\ &= \lim_{n \rightarrow \infty} \left(\frac{n}{n+1}\right)^n \\ &= \lim_{n \rightarrow \infty} \frac{n}{n+1} \\ &= 0 \end{aligned}$$

- $n^n \in \mathbf{O}(\sqrt{n^{3n+1}})$

$$\begin{aligned} \lim_{n \rightarrow \infty} \frac{n^n}{\sqrt{n^{3n+1}}} &= \lim_{n \rightarrow \infty} \frac{n^n}{n^{3/2n+1/2}} \\ &= \lim_{n \rightarrow \infty} n^{n-3/2n-1/2} \\ &= \lim_{n \rightarrow \infty} n^{-1/2(n+1)} \\ &= \lim_{n \rightarrow \infty} \frac{1}{n^{1/2(n+1)}} \\ &= 0 \end{aligned}$$

- $3^{n/2}$ is the slowest function.
- 3^{n-2} is faster than $3^{n/2}$.
- 3^n is faster than 3^{n-2} .
- $n!$ is faster than 3^n .
- n^n is faster than $n!$.
- $\sqrt{n^{3n+1}}$ is faster than n^n .
- $\sqrt{n^{3n+1}}$ is the fastest function.

□

5 Standard 12- Analyzing Code I: (Independent nested loops)

Problem 4. Analyze the *worst-case* runtime of the following algorithms. Clearly derive the runtime complexity function $T(n)$ for this algorithm, and then find a tight asymptotic bound for $T(n)$ (that is, find a function $f(n)$ such that $T(n) \in \Theta(f(n))$). Avoid heuristic arguments from 2270/2824 such as multiplying the complexities of nested loops.

```

1: procedure foo_i(integer n):
2:   for i = 1, i <= n
3:     i = 3 * i
4:     print 'outer'
5:     for j = 1, j <= n
6:       j = 2 * j
7:       print 'inner'

```

Answer. • Start by analyzing inner loop j:

- Loop initialization takes 1 step.
- At each iteration, comparison takes 1 step, line 6 takes 2 steps (1 for add and 1 for assignment) and line 7(print) takes 1 step. Total steps in each iteration is 4 steps.
- Total numbers of iteration j is: $2^j > n \implies j = \lfloor \log_2 n \rfloor + 1$
- So the run time complexity of inner loop is:

$$4 \cdot (\lfloor \log_2 n \rfloor + 1) + 1$$

- Then, I turn to analyzing outer loop i:
- Loop initialization takes 1 step.
- At each iteration, comparison takes 1 step, line 3 takes 2 steps (1 for multiplication and 1 for assignment) and line 4(print) takes 1 step. And, $4 \cdot (\log_2 n + 1) + 1$ steps for inner loop. Total steps in each iteration is $4 + 4 \cdot (\log_2 n + 1) + 1$ steps.
- Total numbers of iteration i is: $3^i > n \implies i = \lfloor \log_3 n \rfloor + 1$
- So the run time complexity of inner loop is:

$$\begin{aligned}
 [(4 \cdot (\lfloor \log_2 n \rfloor + 1) + 1) + 4] \cdot (\lfloor \log_3 n \rfloor + 1) + 1 &= (4\lfloor \log_2 n \rfloor + 9)(\lfloor \log_3 n \rfloor + 1) + 1 \\
 &= 4\lfloor \log_2 n \rfloor \lfloor \log_3 n \rfloor + 9\lfloor \log_3 n \rfloor + 4\lfloor \log_2 n \rfloor + 10
 \end{aligned}$$

- Tight Asymptotic Bound:

$$\begin{aligned}
 \lim_{n \rightarrow \infty} \frac{4\lfloor \log_2 n \rfloor \lfloor \log_3 n \rfloor + 9\lfloor \log_3 n \rfloor + 4\lfloor \log_2 n \rfloor + 10}{4\lfloor \log_2 n \rfloor \lfloor \log_3 n \rfloor} &= \lim_{n \rightarrow \infty} \frac{\left(\frac{4n \ln \ln 2 \lfloor \log_2 n \rfloor + 4n \ln 3 \lfloor \log_3 n \rfloor + 9 \ln 2n + 4 \ln 3n}{n^2 \ln 2 \ln 3} \right)}{\left(\frac{4n \ln \ln 2 \lfloor \log_2 n \rfloor + 4n \ln 3 \lfloor \log_3 n \rfloor}{n^2 \ln 2 \ln 3} \right)} \\
 &= 1 + \lim_{n \rightarrow \infty} \frac{n(9 \ln 2 + 4 \ln 3)}{n(4 \ln \ln 2 \lfloor \log_2 n \rfloor + 4 \ln 3 \lfloor \log_3 n \rfloor)} \\
 &= 1 + 0
 \end{aligned}$$

- Thus, the tight bound is $T(n) \in \Theta(4\lfloor \log_2 n \rfloor \lfloor \log_3 n \rfloor)$

□

6 Standard 13- Analyzing Code II: (Dependent nested loops)

Problem 5. Analyze the *worst-case* runtime of the following algorithms. Clearly derive the runtime complexity function $T(n)$ for this algorithm, and then find a tight asymptotic bound for $T(n)$ (that is, find a function $f(n)$ such that $T(n) \in \Theta(f(n))$). Avoid heuristic arguments from 2270/2824 such as multiplying the complexities of nested loops.

```

1: procedure foo_d(integer n):
2:   for i = 1, i <= n
3:     i = i + 1
4:     print 'outer'
5:     for j = 1, j <= i
6:       j = j + 2
7:       print 'inner'

```

Answer. • Start by analyzing inner loop j:

- Loop initialization takes 1 step.
- At each iteration, comparison takes 1 step, line 6 takes 2 steps (1 for add and 1 for assignment) and line 7(print) takes 1 step. Total steps in each iteration is 4 steps.
- Total numbers of iteration j is: $1 + 2j > i \implies j = \lfloor \frac{i-1}{2} \rfloor + 1$
- So the run time complexity of inner loop is:

$$1 + 4(\lfloor \frac{i-1}{2} \rfloor + 1)$$

- Then, I turn to analyzing outer loop i:
- Loop initialization takes 1 step.
- At each iteration, comparison takes 1 step, line 3 takes 2 steps (1 for add and 1 for assignment) and line 4(print) takes 1 step. And, $4(\lfloor \frac{i-1}{2} \rfloor + 1) + 1$ steps for inner loop at each outer loop iteration. Total steps in each iteration is $[4(\lfloor \frac{i-1}{2} \rfloor + 1) + 1] + 4$ steps.
- Total numbers of iteration i is: n times
- So the run time complexity of inner loop is:

$$\begin{aligned}
 1 + \sum_{i=1}^n [4(\lfloor \frac{i-1}{2} \rfloor + 1) + 1] + 4 &= 1 + \sum_{i=1}^n 4(\lfloor \frac{i-1}{2} \rfloor) + \sum_{i=1}^n 9 \\
 \text{Approximation : } \lfloor \frac{i-1}{2} \rfloor &= \frac{i-1}{2} \\
 &= 1 + \sum_{i=1}^n 4(\frac{i-1}{2}) + \sum_{i=1}^n 9 \\
 &= \frac{n^2 - n}{4} + 9n + 1 \\
 &= 1/4n^2 - 35/4n + 1
 \end{aligned}$$

- Tight Asymptotic Bound:

$$\begin{aligned}
 \lim_{n \rightarrow \infty} \frac{1/4n^2 - 35/4n + 1}{n^2} &= \lim_{n \rightarrow \infty} \frac{1/8n + 35/4}{2n} \\
 &= \lim_{n \rightarrow \infty} \frac{1/8}{2} \\
 &= 1/16
 \end{aligned}$$

- Thus, the tight bound is $T(n) \in \Theta(n^2)$

□