

# Lean Six Sigma Green Belt Certification Course

DIGITAL  
OPERATIONS





## Design of Experiments



# Learning Objectives

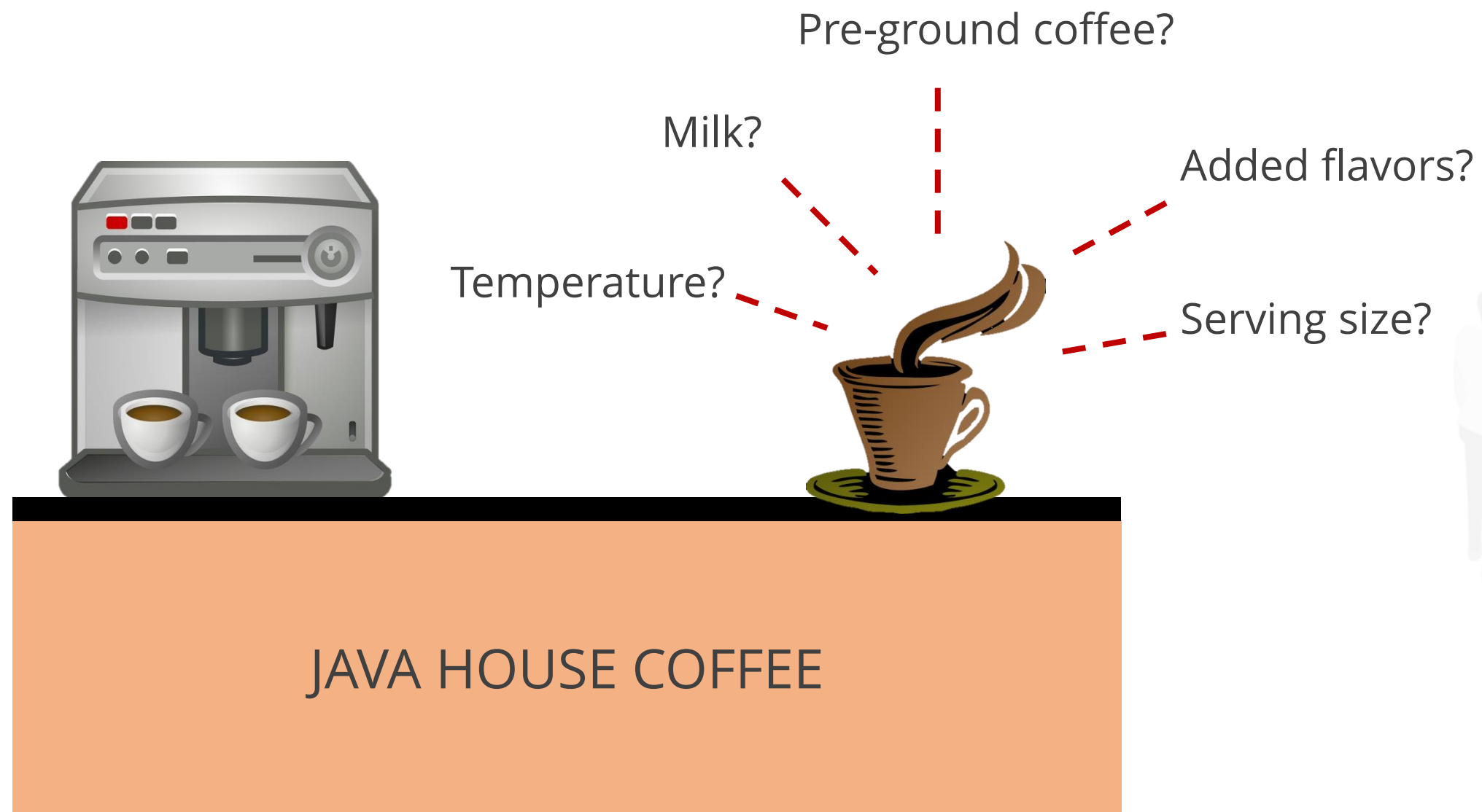
By the end of this lesson, you will be able to:

- 👁 Explain the concept of Design of Experiments (DOE)
- 👁 Compare DOE with regression analysis
- 👁 Identify the meaning of the basic terms used when conducting experiments
- 👁 Explain the effects of DOE error on repetition, replication, randomization, and blocking



# Introduction

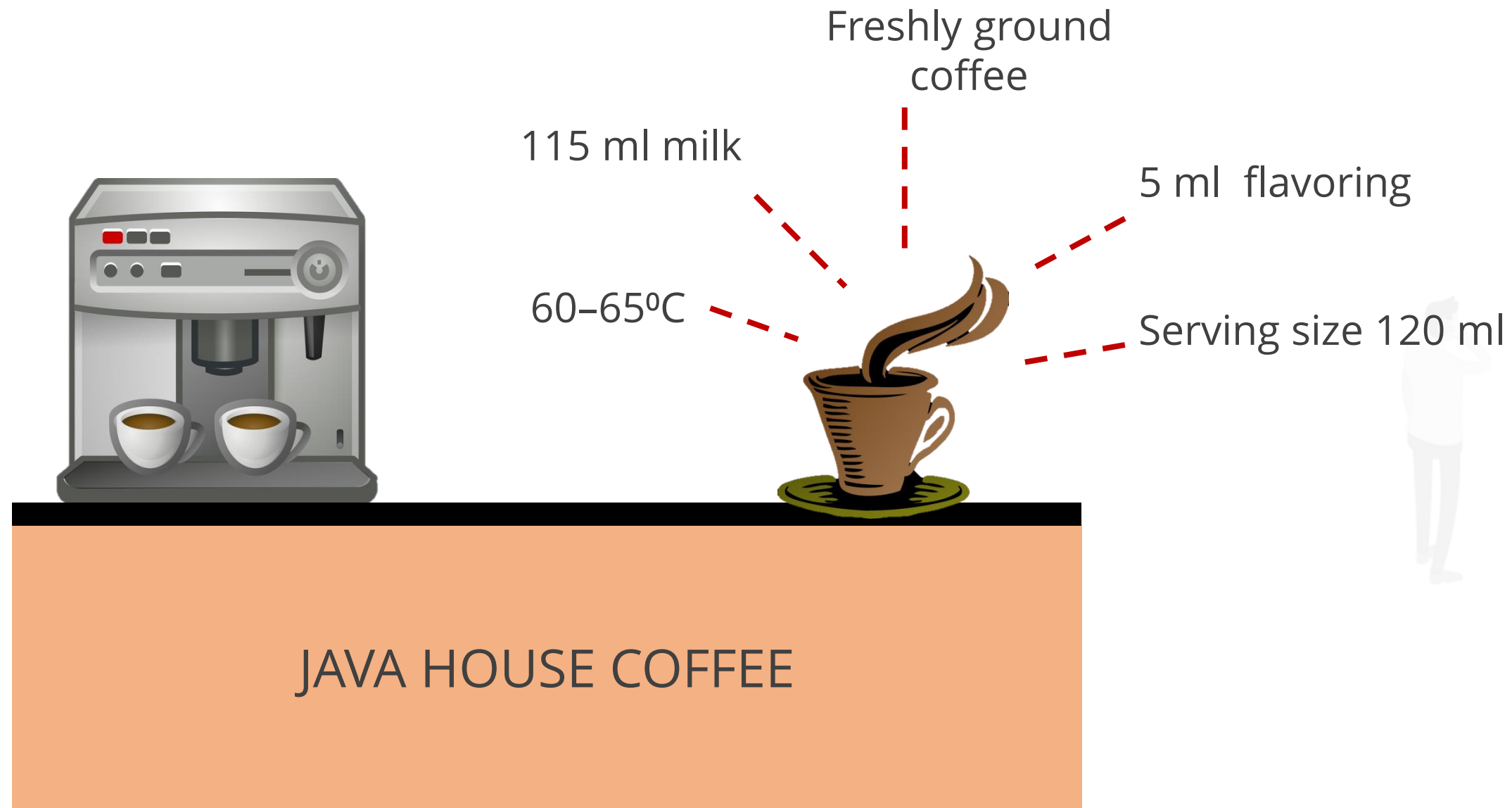
## The problem





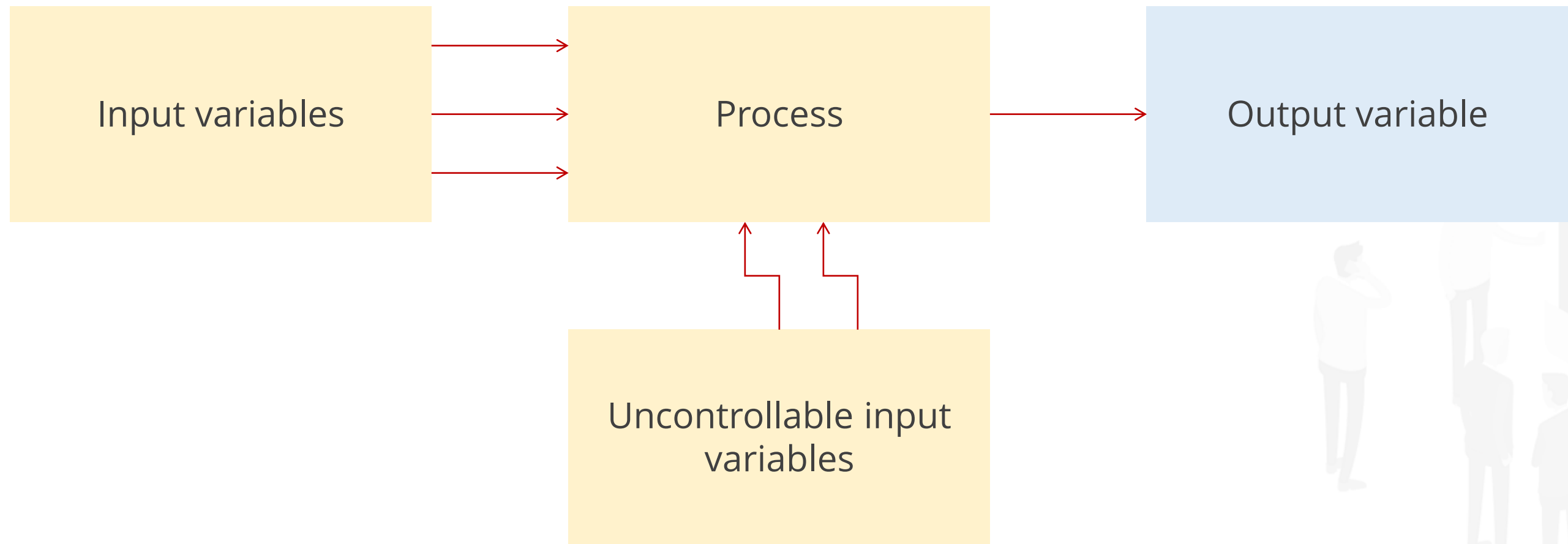
# Introduction

## The solution



## Design of Experiments (DOE)

# Design of Experiments (DOE)



# Design of Experiments (DOE)

DOE

Is a one-stop method for analyzing all influencing factors simultaneously

Used when known sources of variation have been eliminated but process is still not capable; if process is capable then DOE may not be required

Identifies which variables individually affect key measures

Determines variable combinations or interactions that impact capability

Provides mathematical model to predict and optimize the response or process output under recommended settings

Is preferred over One Factor at a Time (OFAT) experiments because it allows for accelerated learning and is less time-consuming



# Regression Analysis

Regression analysis generates a line on a scatter plot that quantifies the relationship between X and Y to understand variation impact.

High percentage of variability in  
Y ( $R^2 > 70\%$ )

Changes in X

- Predict future values of Y given X and X given Y
- Regress Y on one or more Xs simultaneously

# DOE vs. Regression Analysis

DOE	Regression
<ul style="list-style-type: none"><li>• Determines cause and effect</li></ul>	<ul style="list-style-type: none"><li>• Uncovers relationships</li></ul>
<ul style="list-style-type: none"><li>• Tries bold or creative solutions</li></ul>	<ul style="list-style-type: none"><li>• Uses existing data</li></ul>
<ul style="list-style-type: none"><li>• Clears results on the impact variable</li></ul>	<ul style="list-style-type: none"><li>• Results left open to interpretation</li></ul>
<ul style="list-style-type: none"><li>• Measures impact on variability</li></ul>	<ul style="list-style-type: none"><li>• Cannot measure variability impact</li></ul>
<ul style="list-style-type: none"><li>• Provides reliable information on interactions</li></ul>	<ul style="list-style-type: none"><li>• Provides risky information on interactions</li></ul>
<ul style="list-style-type: none"><li>• Requires leadership support and investment</li></ul>	<ul style="list-style-type: none"><li>• Does not require leadership support</li></ul>

# Basic Terms

Response

Factors

Levels

Interactions

Treatment

Trial

Experimental unit





# Basic Terms

Response

Factors

Levels

Interactions

Treatment

Trial

Experimental unit

- Dependent variables or outcomes of an experimental treatment that varies as changes are made to factors

## Examples:

Product strength, average hold time, sales, response time

# Basic Terms

Response

Factors

Levels

Interactions

Treatment

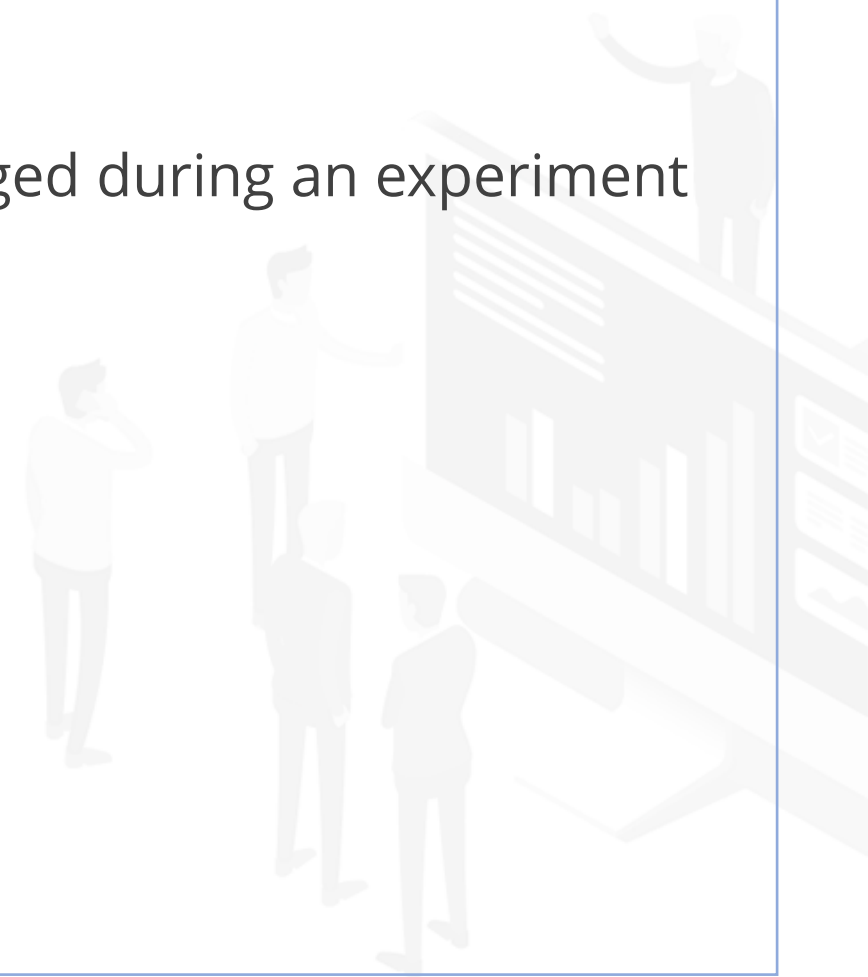
Trial

Experimental unit

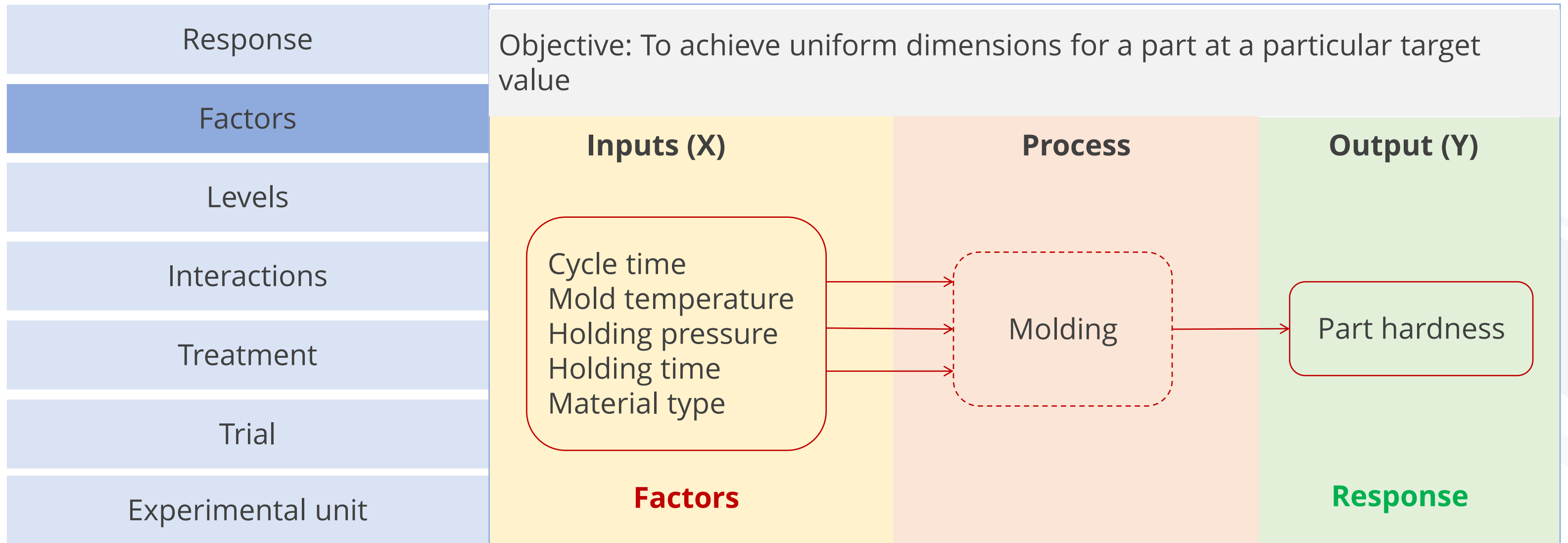
- Independent or input variables that are changed during an experiment to validate their impact on the output
- Can be qualitative and/or quantitative

## Examples:

Machine, temperature, procedural change, price



# Basic Terms





# Basic Terms

Response

Factors

Levels

Interactions

Treatment

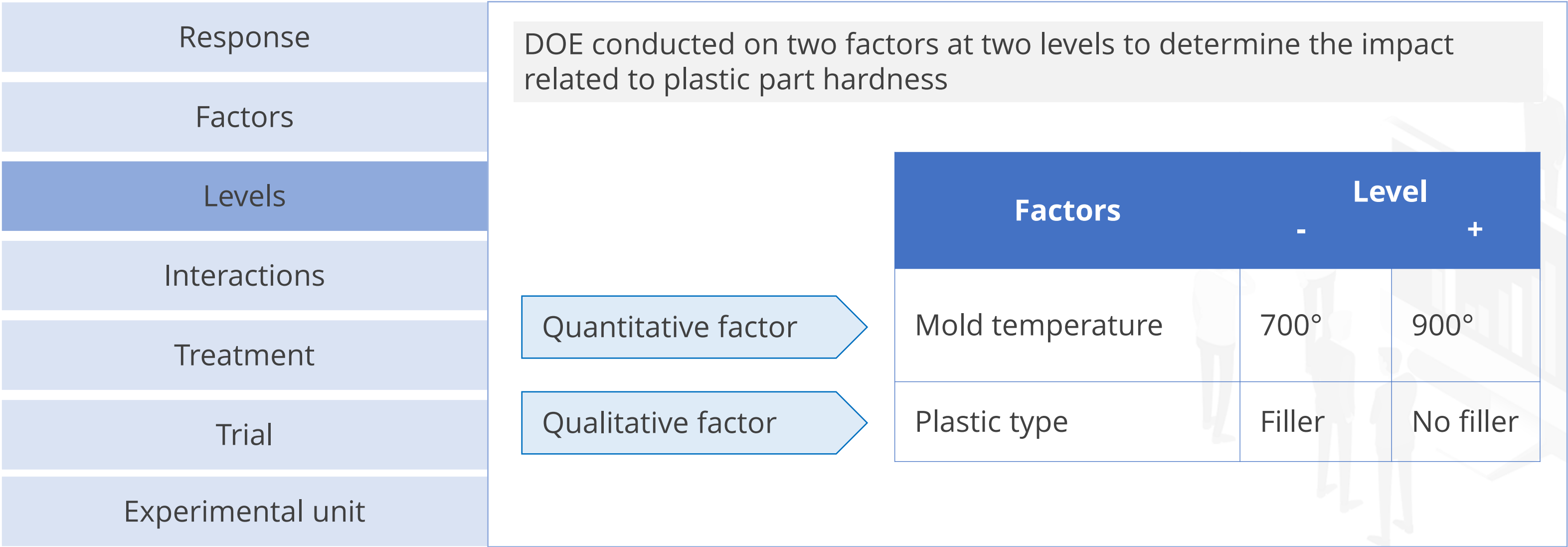
Trial

Experimental unit

- Settings or conditions of the factors that are tested during the experiment
- Two levels recommended per factor
  - Level 1 is normally coded as “-” and could represent status quo
  - Level 2 is normally coded as “+” and could represent the change tested

**Note:** The difference between level 1 and level 2 settings should be significant to detect impact.

# Basic Terms



# Basic Terms

Response

Factors

Levels

Interactions

Treatment

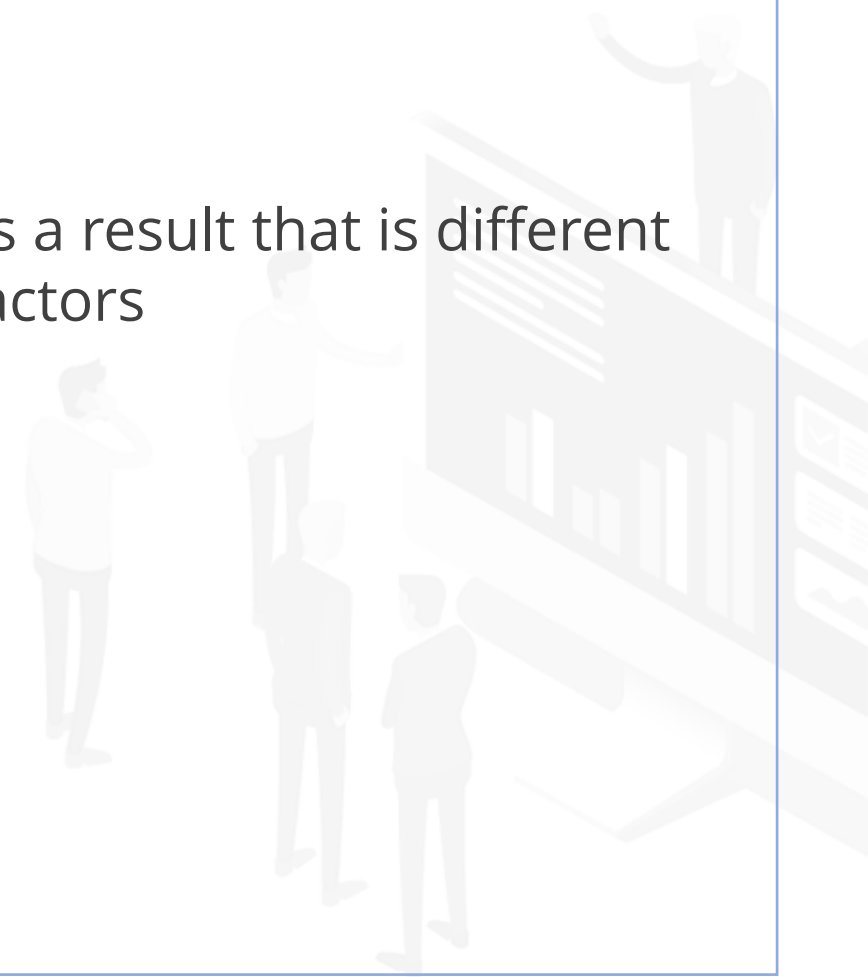
Trial

Experimental unit

- When the combination of two factors creates a result that is different from the result produced by the individual factors

## Examples:

Baking time and temperature





# Basic Terms

Response

Factors

Levels

Interactions

Treatment

Trial

Experimental unit

- A unique set of factors at specific levels whose effect on the response variable is of interest

**Examples:**

Molding temperature at high setting and baking time at low setting

# Basic Terms

Response

Factors

Levels

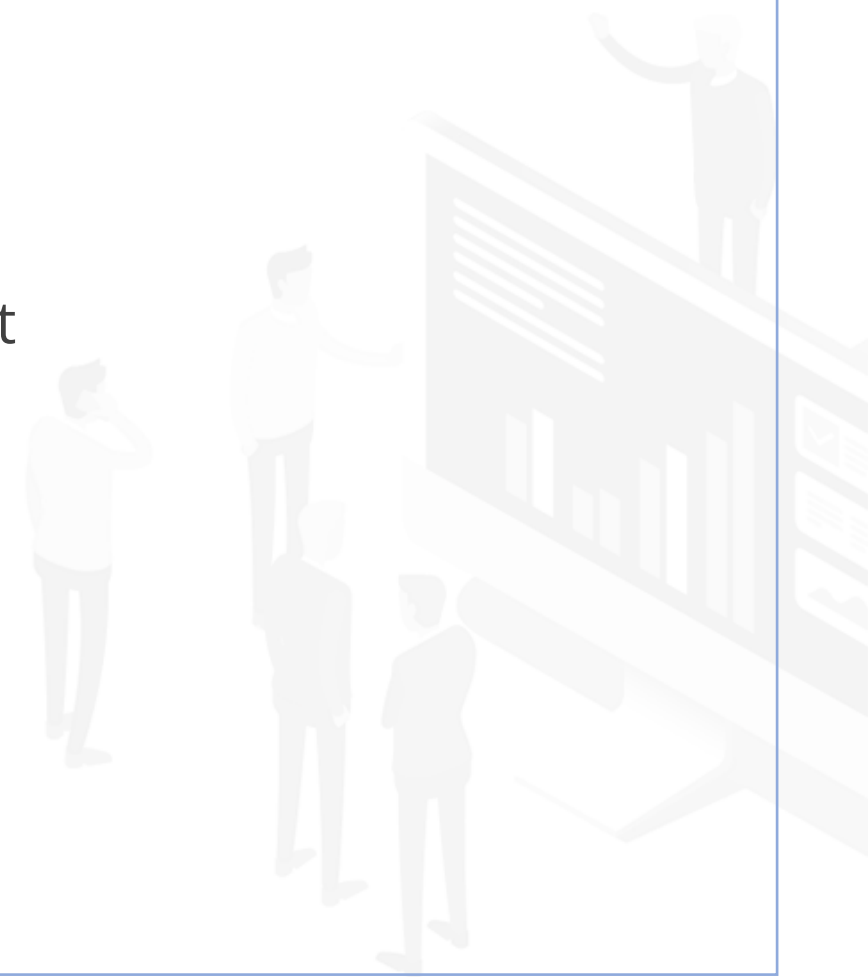
Interactions

Treatment

**Trial**

Experimental unit

- An experimental run for a specific treatment



# Basic Terms

Response

Factors

Levels

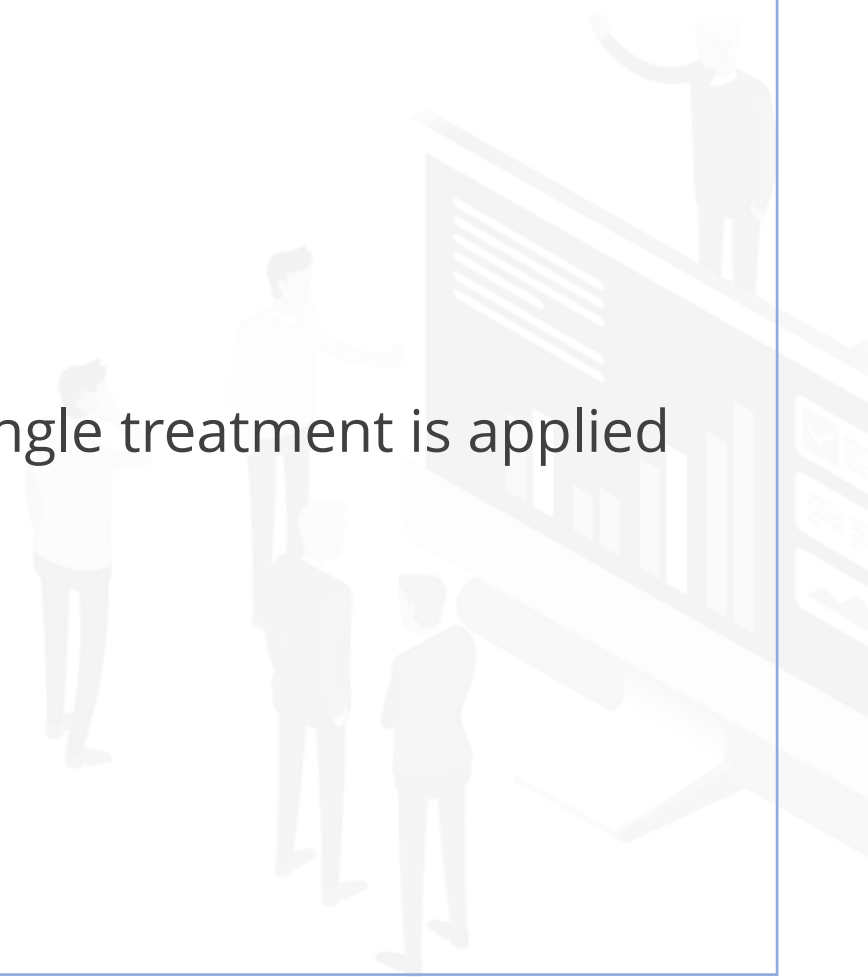
Interactions

Treatment

Trial

Experimental unit

- Quantity of material to which one trial of a single treatment is applied to create a response



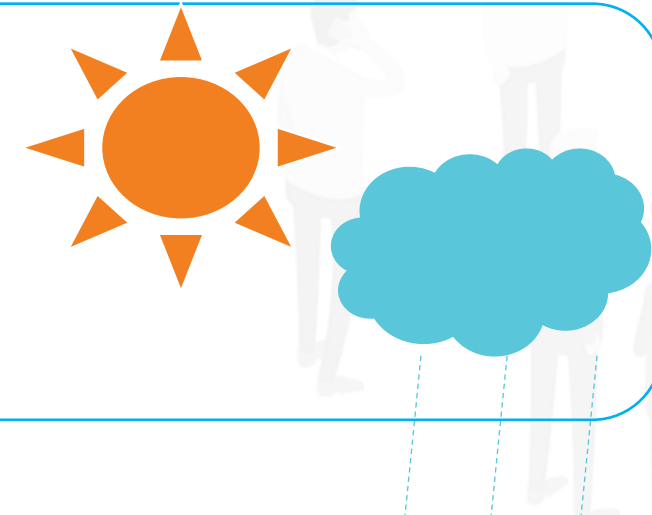


# DOE Error

Error is variation in experimental units that have been exposed to the same treatment.

## Examples:

Humidity | Season | Geographic location | Shift



# Repetition and Replication

## Repetition

During a treatment setup, several samples are run without changing the setting.

This shows short-term variability.

## Replication

The entire experiment is repeated with a change in the setting of experimental conditions between trials.

This shows long-term variability.

Repetition and replication provide an estimate of experimental error and help determine the statistical significance of the differences in readings.

# Error and Randomization and Blocking

- Running the trial without any order
- The use of randomization helps with:
  - Noise factors that are completely random and uncontrollable
  - Avoiding time-related changes, uncontrollable variables, and tool wear
  - Eliminating bias in expert opinions

## Randomization

- Setting the DOE so that controllable noise factors are incorporated into the experiment or held at a constant level throughout

## Blocking


# Full Factorial Experiment


Full factorial experimental design


- Contains all combinations of all levels of all factors
- Ensures no possible treatment combinations get omitted
- Is preferred over other designs



# Full Factorial Experiment



	Factor B: Oven Time ( $X_2$ )	Factor A: Draw Temperature ( $X_1$ )	
		700 °F	900 °F
	30 minutes	$Y_1$ $Y_2$	$Y_5$ $Y_6$
	60 minutes	$Y_3$ $Y_4$	$Y_7$ $Y_8$



Factor A = Temp: Low (-) 700 °F; High (+) 900 °F Factor B = Time: Low (-) 30 minutes; High (+) 60 minutes					Responses	
Trial	DOE Order	A	B	AB	1	2
1	3	-	-	+	Y1	Y2
2	1	+	-	-	Y3	Y4
3	4	-	+	-	Y5	Y6
4	2	+	+	+	Y7	Y8

The tables depict a two-way heat treatment experiment, where Y is the Part hardness.

# Full Factorial Experiment

Main Effect is the average response change going from one setting to the other.

Factor B: Oven Time ( $X_2$ )	Factor A: Draw Temperature ( $X_1$ )	
	700°	900°
30 minutes	$Y_1$ $Y_2$	$Y_5$ $Y_6$
60 minutes	$Y_3$ $Y_4$	$Y_7$ $Y_8$

An analysis of the response helps in understanding how:

A **change in temperature** at which the material is drawn creates a difference in the average part hardness



A **change in oven time** creates a difference in the average part hardness

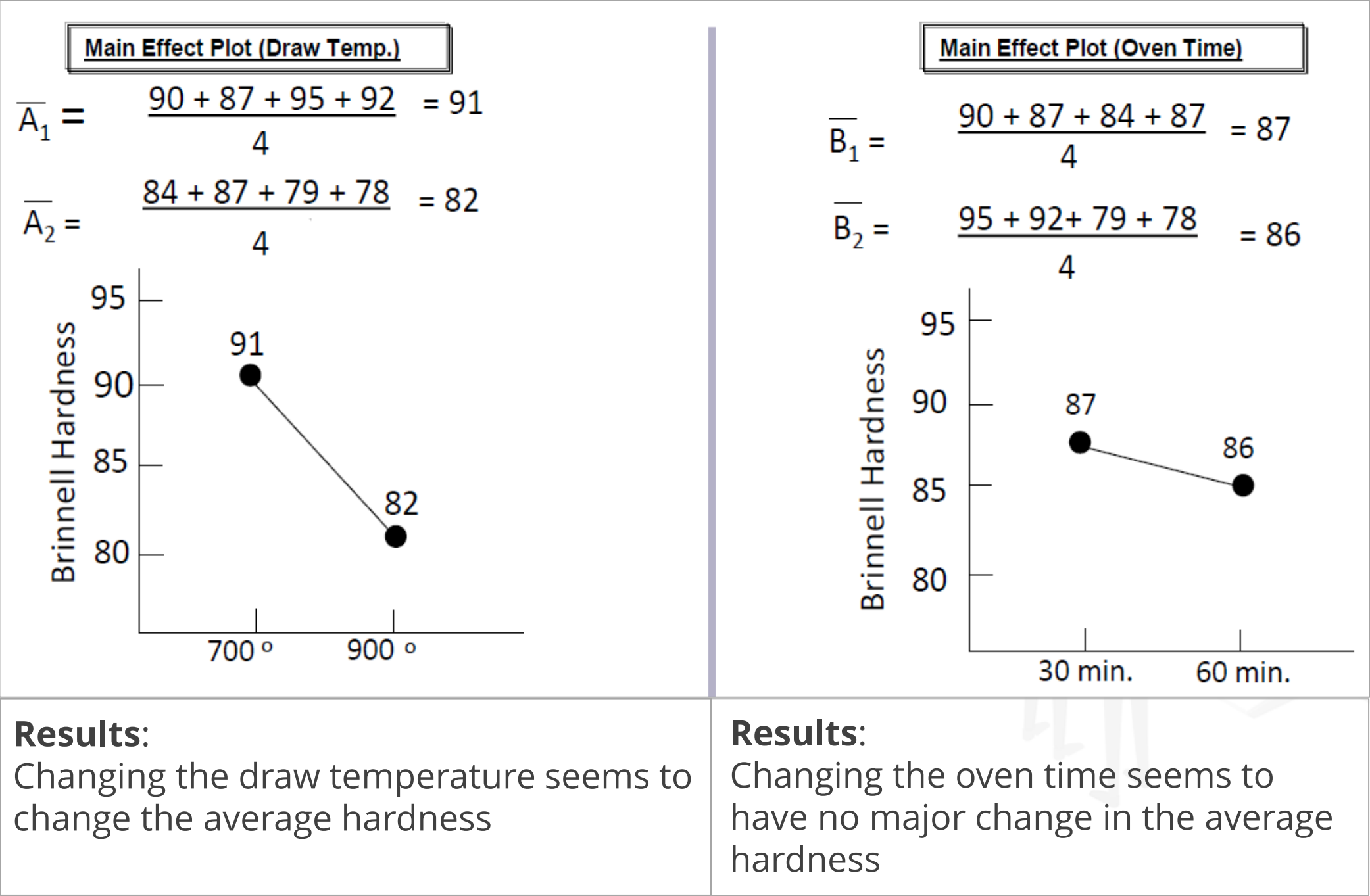


Interaction between temperature and time affects the average part hardness

# Full Factorial Experiment

Factor B: Oven Time	Factor A: Draw Temperature	
	A <sub>1</sub> = 700°	A <sub>2</sub> = 900°
B <sub>1</sub> = 30 minutes	90 87	84 87
B <sub>2</sub> = 60 minutes	95 92	79 78

The table depicts a two-way heat treatment experiment.



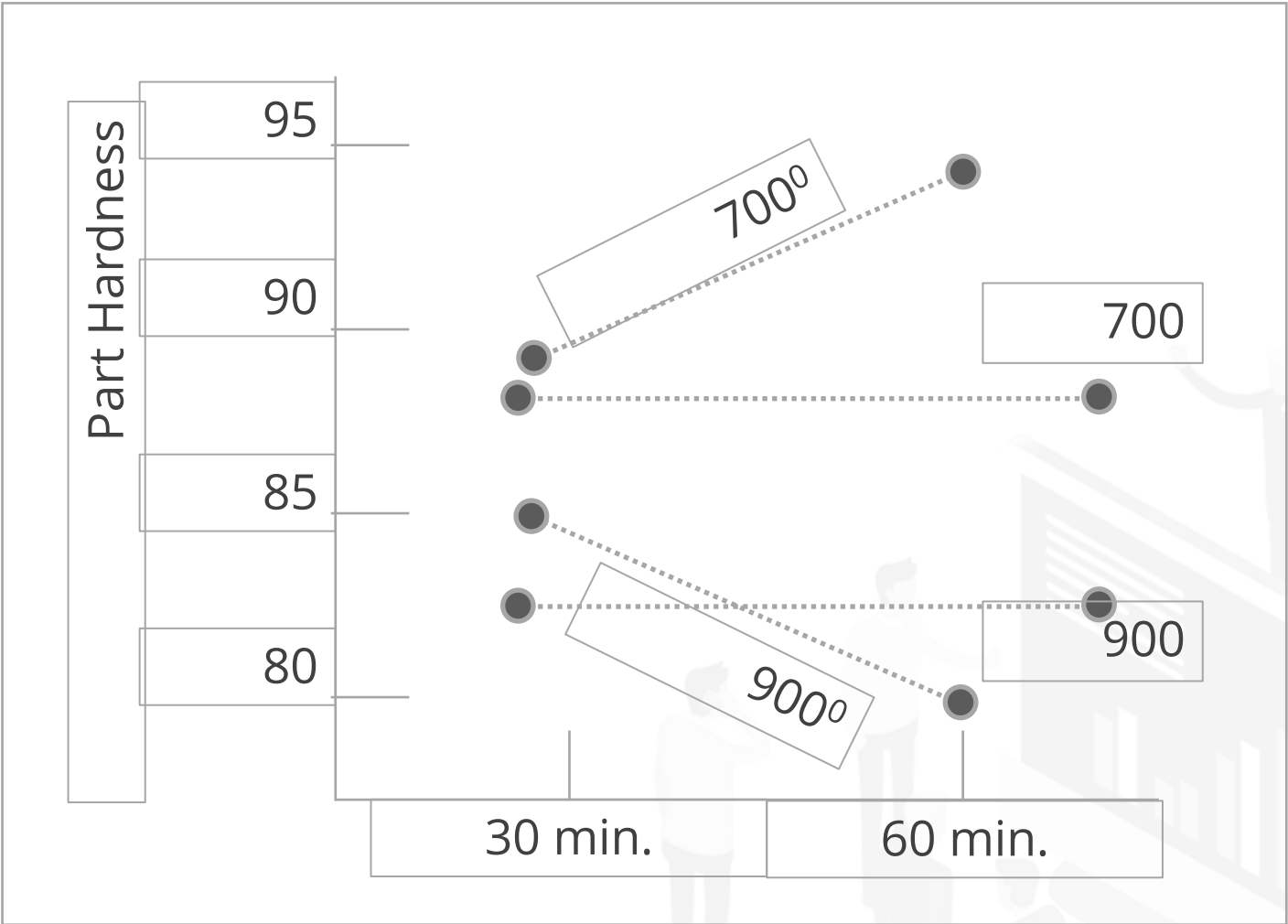
# Full Factorial Experiment

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	A <sub>1</sub>	A <sub>2</sub>
B <sub>1</sub>	88.5	85.5
B <sub>2</sub>	93.5	78.5

The mean of the factors

$$\overline{A,B} = \frac{90+87}{2} = 88.5$$



Results: The interaction plot shows that low temperature and high oven time should be selected to achieve the highest desired output of hardness. The parallel lines indicate the output if no interactions occur between the main effects.

# Runs

The number of experiments in a DOE setting is known as Runs.

The number of runs in a:

Full Factorial Runs =  $\text{Levels}^{\text{factors}}$

Half Fractional Factorial Runs =  $\text{Levels}^{(\text{factors}-1)}$



# Runs

For Half Fractional factorial experiments, the number of runs is levels to the power of factors minus 1.

Full factorial experiment without replication on 5 factors and 2 levels:	$2^5 = 32$
Full factorial experiment with 1 replication on 5 factors and 2 levels:	$32 + 32 = 64$
Half fractional factorial experiment without replication on 5 factors and 2 levels:	$2^{5-1} = 16$
Half fractional factorial experiment with 1 replication on 5 factors and 2 levels:	$16 + 16 = 32$

Fractional factorial experiments lose complete information on interactions.

## Fun Facts

DID YOU  
KNOW...?



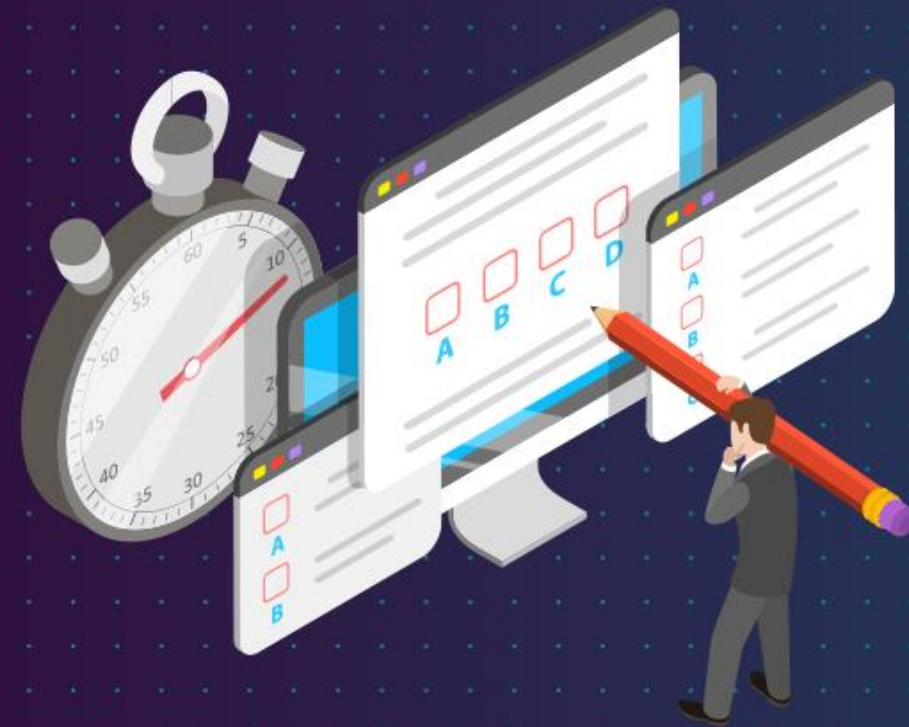
The use of DOE is growing in the service sector.



## Key Takeaways

- DOE is a structured method that tests input variables and their impact on the output variable.
- Error is variation in experimental units that have been exposed to the same treatment.
- Repetition and replication provide an estimate of experimental error and help determine the statistical significance of the differences in readings
- Randomization and Blocking can impact the effects of error.
- Full factorial experimental design contains all combinations of all levels of all factors.
- The number of experiments in a DOE setting is known as Runs.





## Knowledge Check



## Knowledge Check

1

A chef wants to change the recipe for a fondue to improve its taste. He is considering different cheeses and will run 8 experiments. In this scenario, what is the factor?

- A. Experiments
- B. Fondue
- C. Different cheeses
- D. Taste



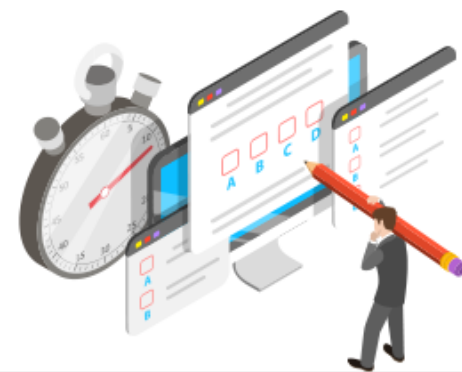


## Knowledge Check

1

A chef wants to change the recipe for a fondue to improve its taste. He is considering different cheeses and will run 8 experiments. In this scenario, what is the factor?

- A. Experiments
- B. Fondue
- C. Different cheeses
- D. Taste



The correct answer is **C**

The factor is the independent variable that is changed and in this case it will be the different cheeses.

**Knowledge  
Check**  
**2**

**A chef wants to change the recipe for a fondue to improve its taste. He is considering different cheeses and will run 8 experiments. In this scenario, what is the response?**

- A. Experiments
- B. Fondue
- C. Different cheeses
- D. Taste



**Knowledge  
Check**  
**2**

A chef wants to change the recipe for a fondue to improve its taste. He is considering different cheeses and will run 8 experiments. In this scenario, what is the response?

- A. Experiments
- B. Fondue
- C. Different cheeses
- D. Taste



The correct answer is **D**

The response is the dependent variable that is impacted by changing factors and in this case it will be the taste.

**Knowledge  
Check**  
**3**

**For a full factorial experiment with 3 factors at two levels that are replicated twice, how are trials expected?**

- A. 24
- B. 8
- C. 16
- D. 9



**Knowledge  
Check**  
**3**

For a full factorial experiment with 3 factors at two levels that are replicated twice, how are trials expected?

- A. 24
- B. 8
- C. 16
- D. 9



The correct answer is **A**

The equation is levels to the power of factors. 2 to the power of 3 is 8 and with two replications would be  $8 + 8 + 8 = 24$ .