

# Lean Six Sigma Green Belt Certification Course

DIGITAL  
OPERATIONS





## Root Cause Analysis



# Learning Objectives

By the end of this lesson, you will be able to:

- 👁 Explain the concept of Root Cause Analysis (RCA)
- 👁 Create a Cause and Effect Diagram
- 👁 Interpret a Cause and Effect Matrix
- 👁 Apply the 5 Whys technique for a problem and find a possible solution



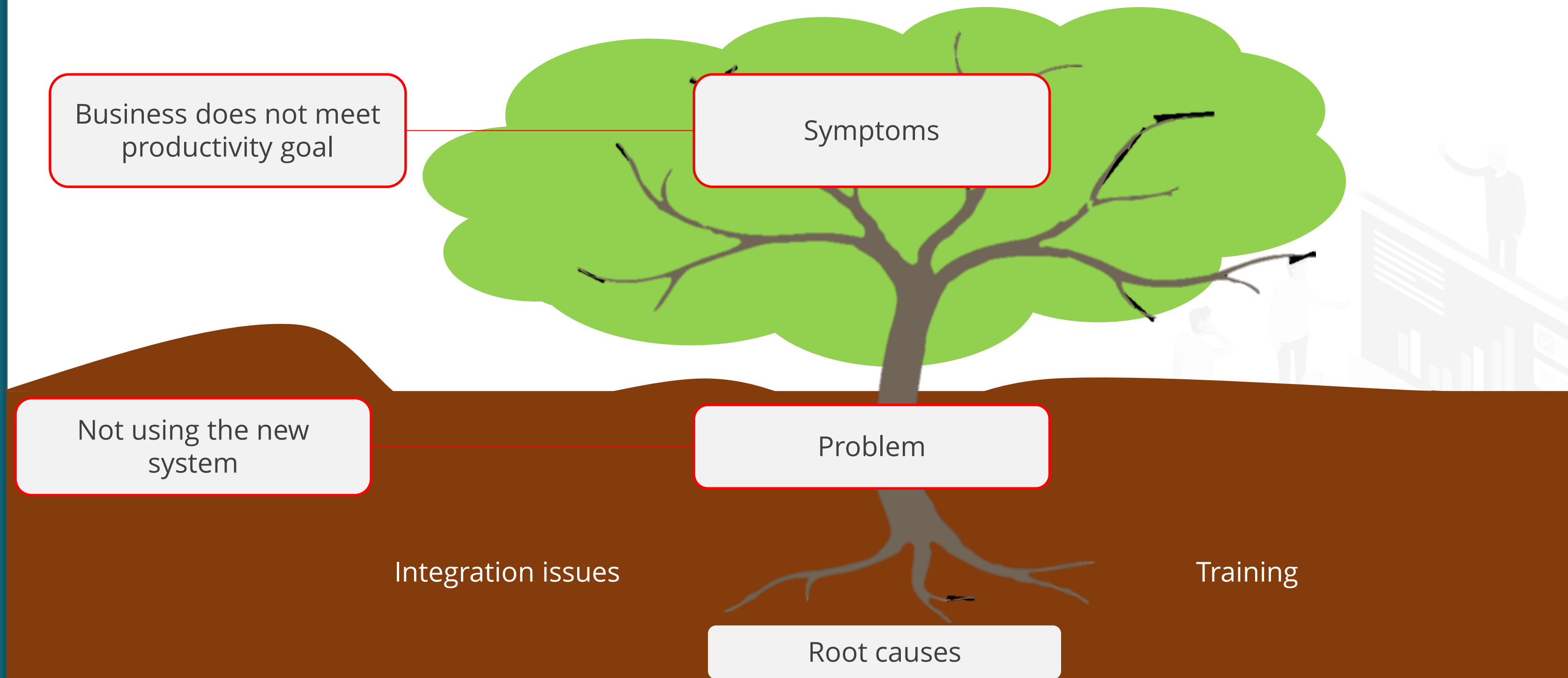
# Introduction

Business does not meet productivity goal



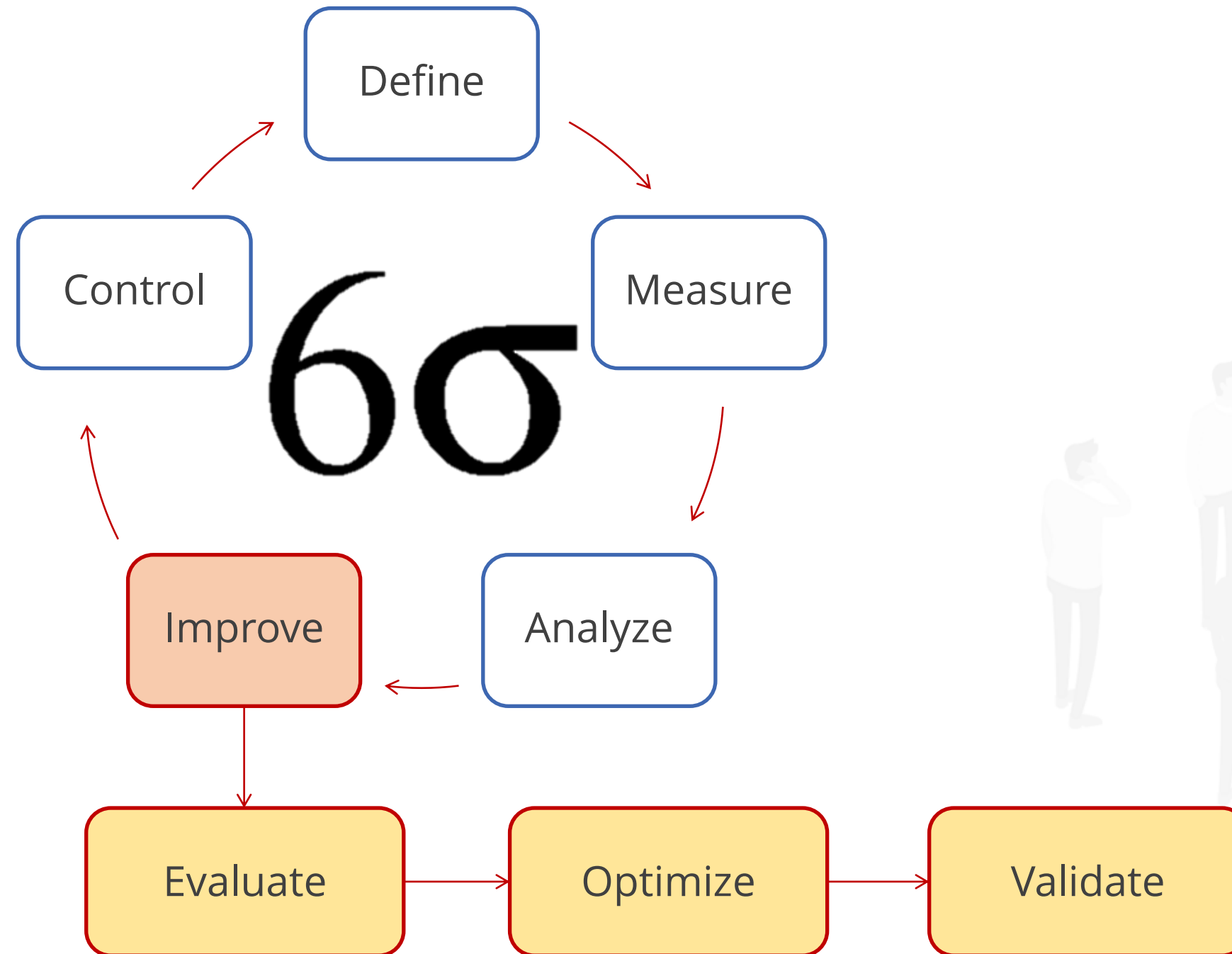


# Introduction

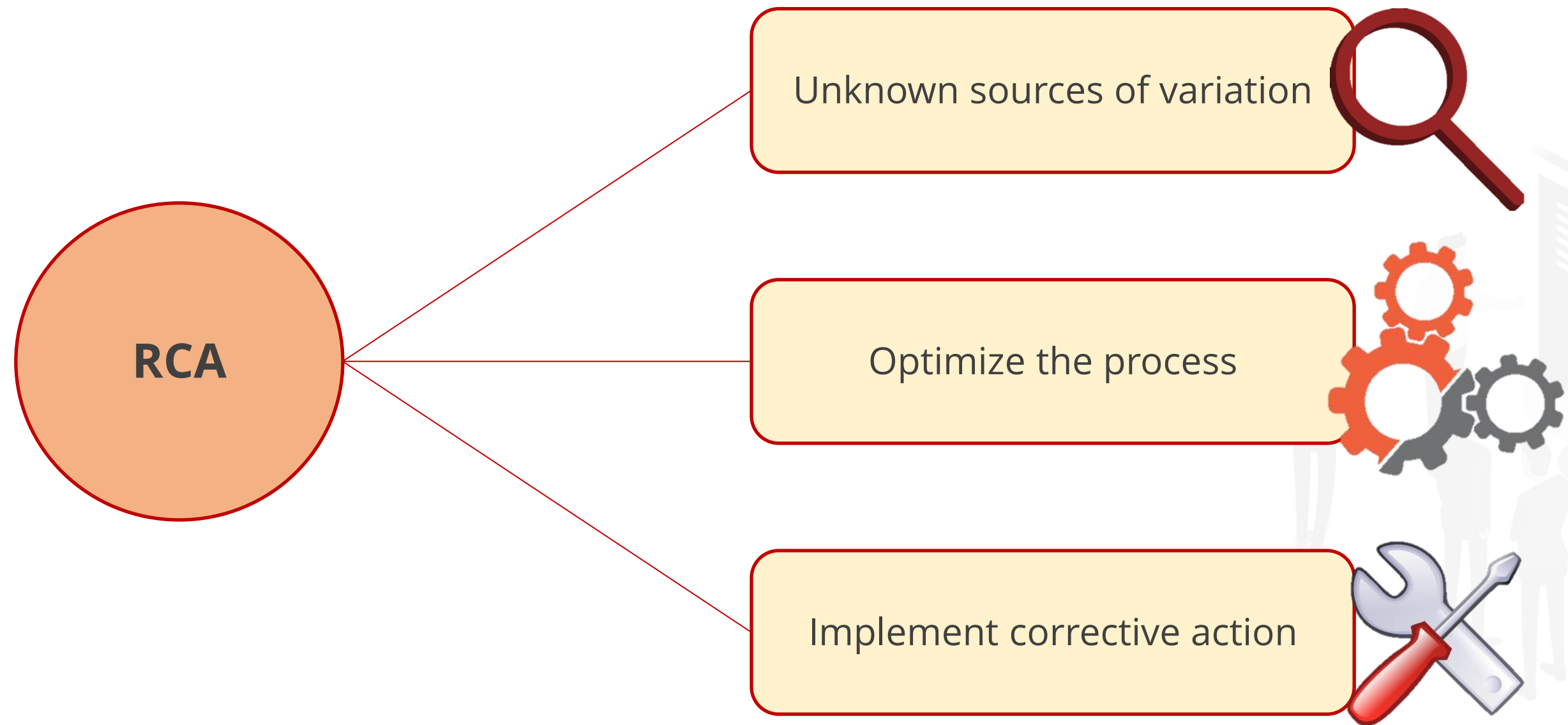


## Root Cause Analysis

# Root Cause Analysis (RCA)



# Root Cause Analysis (RCA)

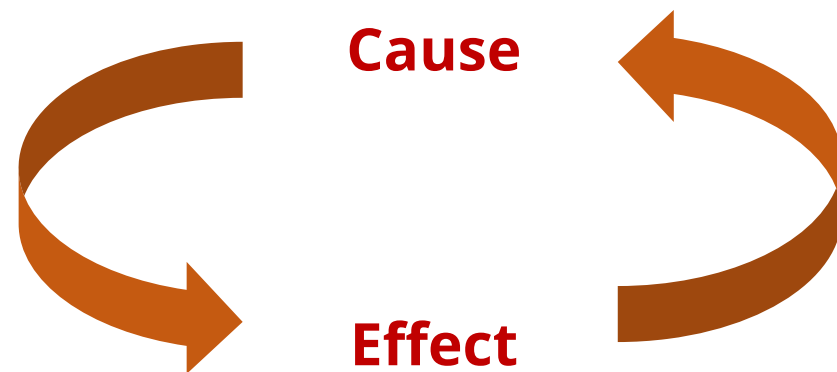




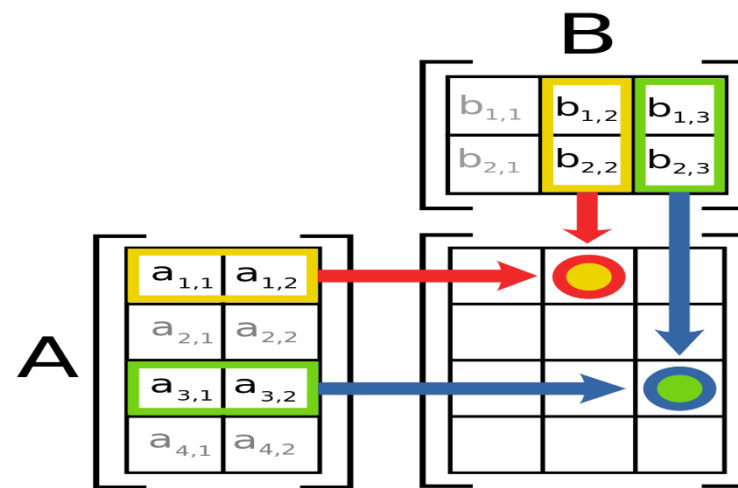
# Root Cause Analysis (RCA)

## RCA Tools

### Cause and Effect Diagram



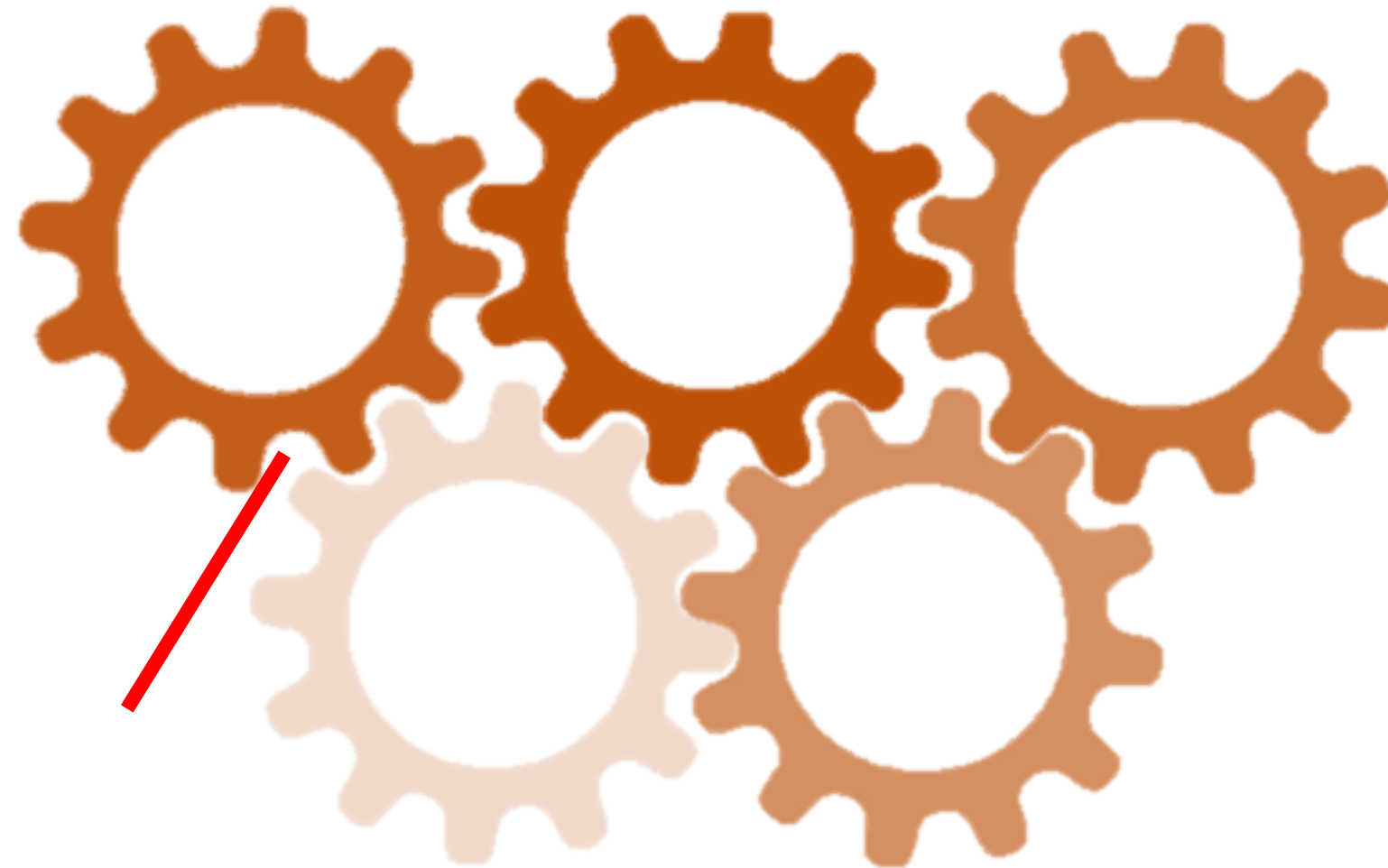
### Cause and Effect Matrix



### 5 Whys Technique



# Root Cause Analysis (RCA)

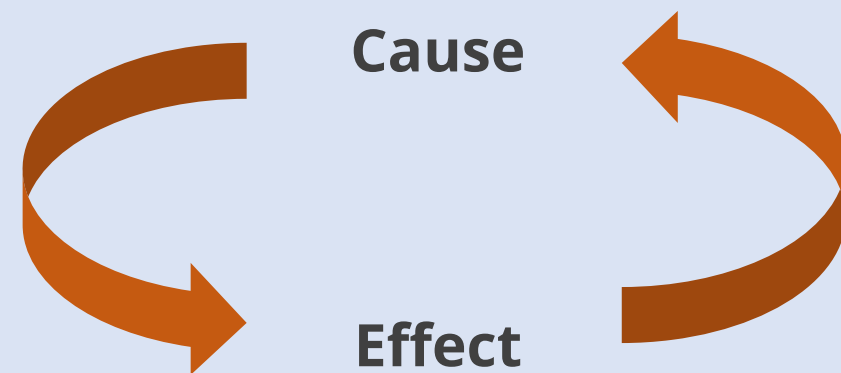


A root cause is a factor that caused the defect or issue. Removing that factor will prevent the re-occurrence of the issue.

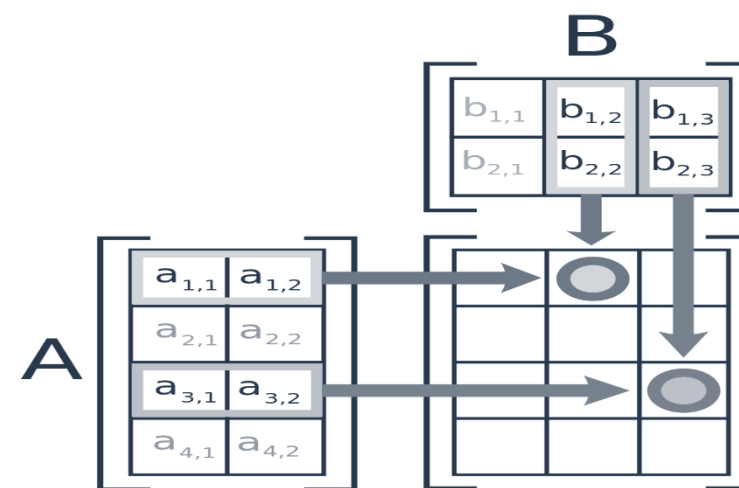
# Cause and Effect Diagram

## RCA Tools

### Cause and Effect Diagram



### Cause and Effect Matrix



### 5 Whys Technique

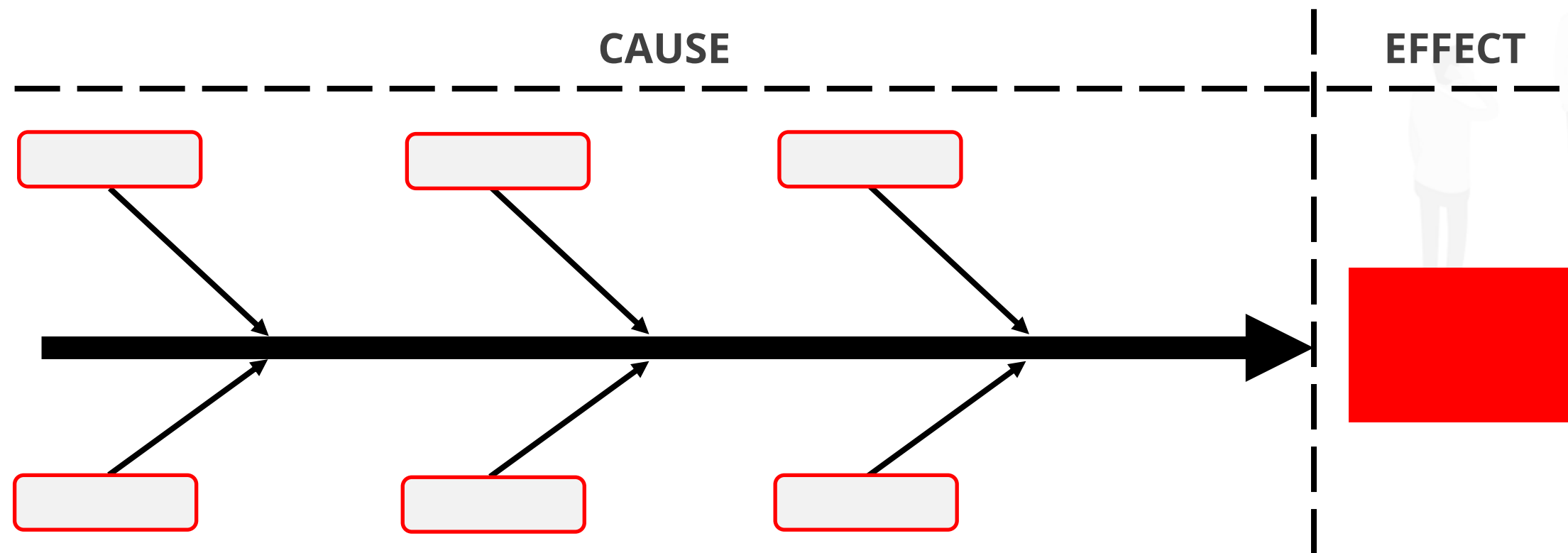
WHY?



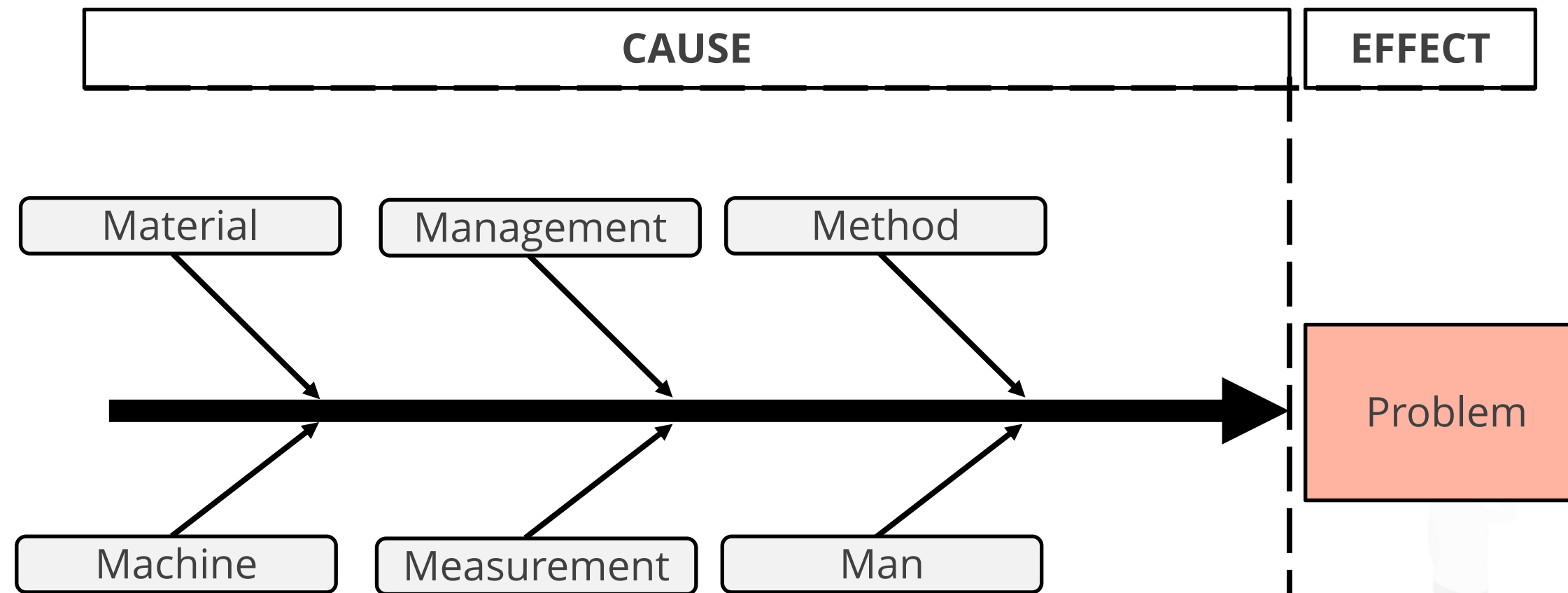
# Cause and Effect Diagram

The Cause and Effect diagram is used to find the root cause and the potential solutions to a problem.

It is also known as the Fishbone diagram or Ishikawa diagram.



# Cause and Effect Diagram



Determine the main classification or headings to group the causes

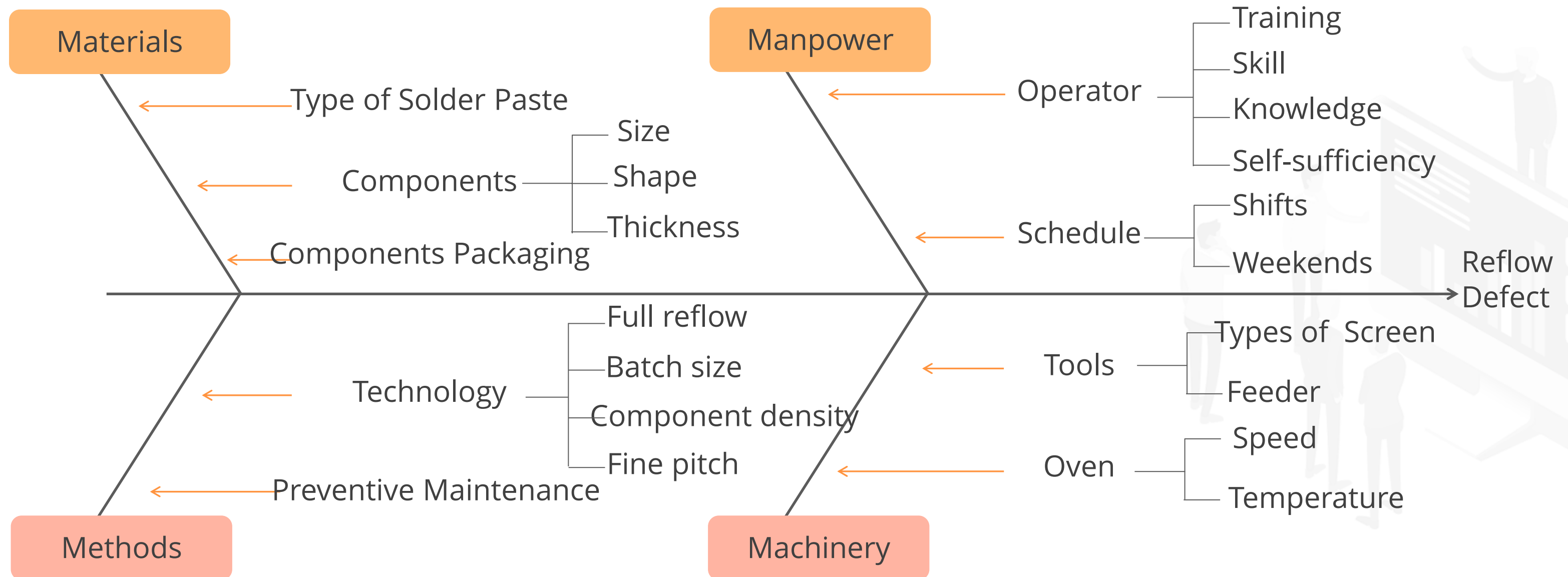
Draw a Cause and Effect diagram with the problem at the point of the central axis line

Brainstorm possible causes of the problem

Write the causes on the diagram under the classifications chosen

# Cause and Effect Diagram

Cause and Effect diagram for solder defects on a reflow soldering line

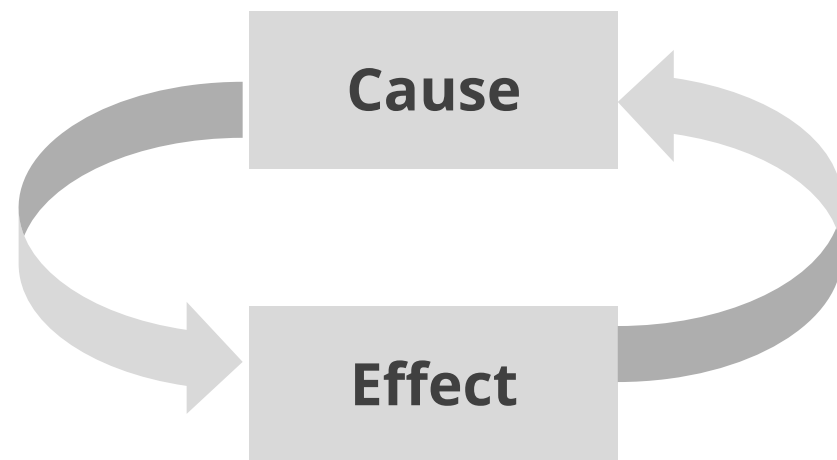




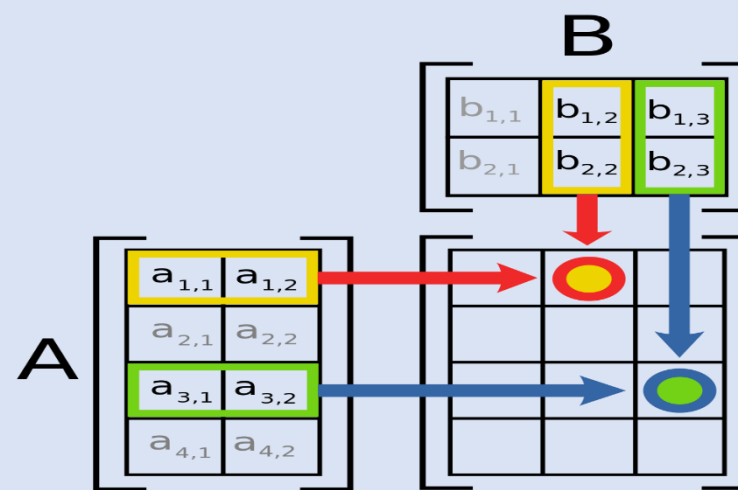
# Cause and Effect Matrix

## RCA Tools

### Cause and Effect Diagram



### Cause and Effect Matrix



### 5 Whys Technique



# Cause and Effect Matrix

		Process Output Variables						
		A	B	C	D	E		
Prioritization Number		4	1	7	11	5	Results	%
Process Input Variables	1	3		4	7		117	33
	2		8	5	3	4	96	27
	3	6			2		46	13
	4		7			5	32	9
	5			3	4		65	18
		Totals					356	100

# Cause and Effect Matrix

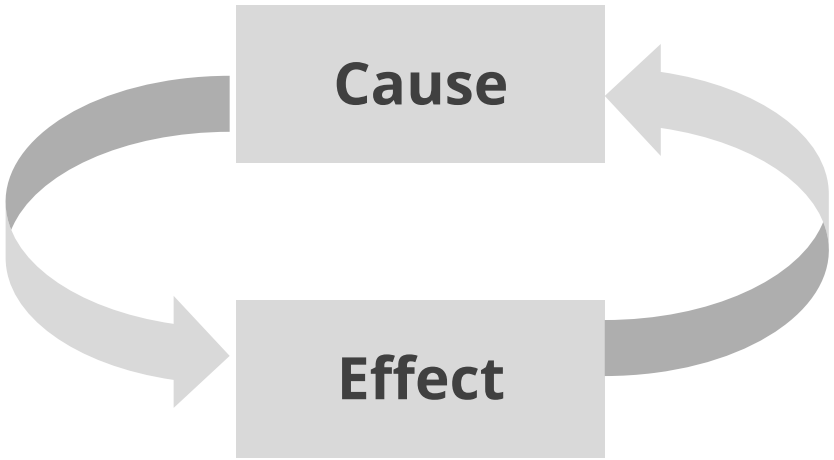
Rating of Importance to Customer																	
		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	
Process Inputs																	
																	0
1																	0
2																	0
3																	0
4																	0
5																	0
6																	0
7																	0
8																	0
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18																	0
19																	0
20																	0
																	0
																	0
Total		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	



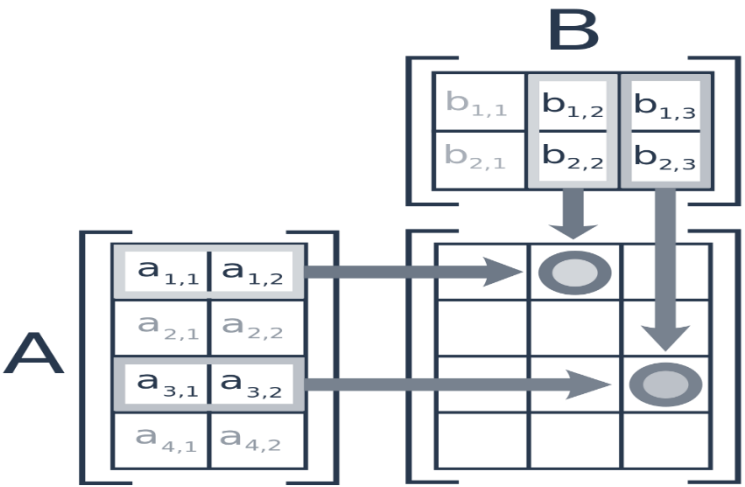
# The 5 Whys Technique

## RCA Tools

### Cause and Effect Diagram



### Cause and Effect Matrix



### 5 Whys Technique



# The 5 Whys Technique

Identify the problem and the problem statement



Arrange for a team brainstorming session



Explain the purpose



Analyze the problem and brainstorm backwards



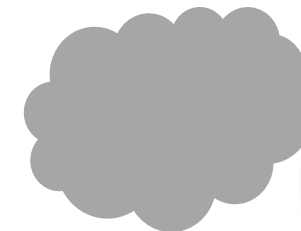
Ask "Why?" for the answers obtained



If a problem occurs, it is usually due to the process and not because of a person or team.



# The 5 Whys Technique



Erroneous product deliveries





# The 5 Whys Technique

What is happening?

PROBLEM: Delivery of parcels to incorrect addresses

Why is it happening?

→ 1. Incomplete addresses given on the parcel

Why?

2. Complete addresses were not collected from the customers

Why?

3. The addresses were given to the operators over phone

Why?

4. The operators were unable to gauge if the addresses were incomplete

Why?

5. An official format for capturing delivery addresses from customers was unavailable

# The 5 Whys Technique

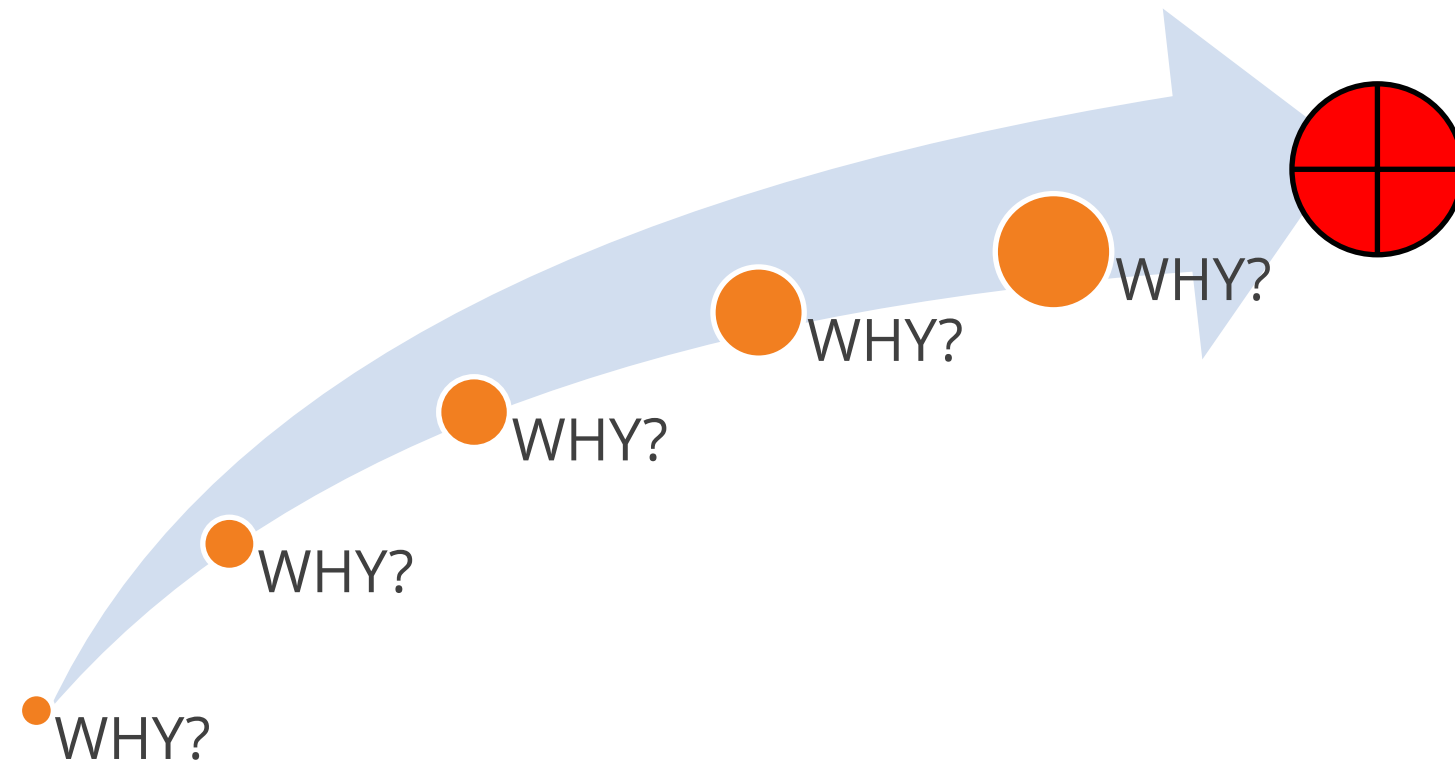
Corrective Action



A template for capturing customer delivery information was created.

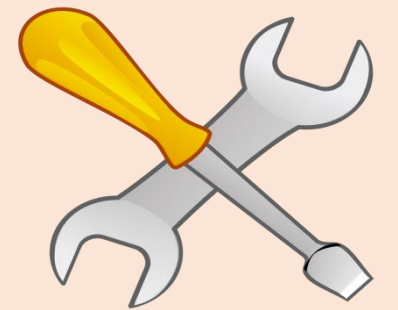


# Points to Remember



Focus on **process** issues  
and not people

Stay within your team's  
**control to change**

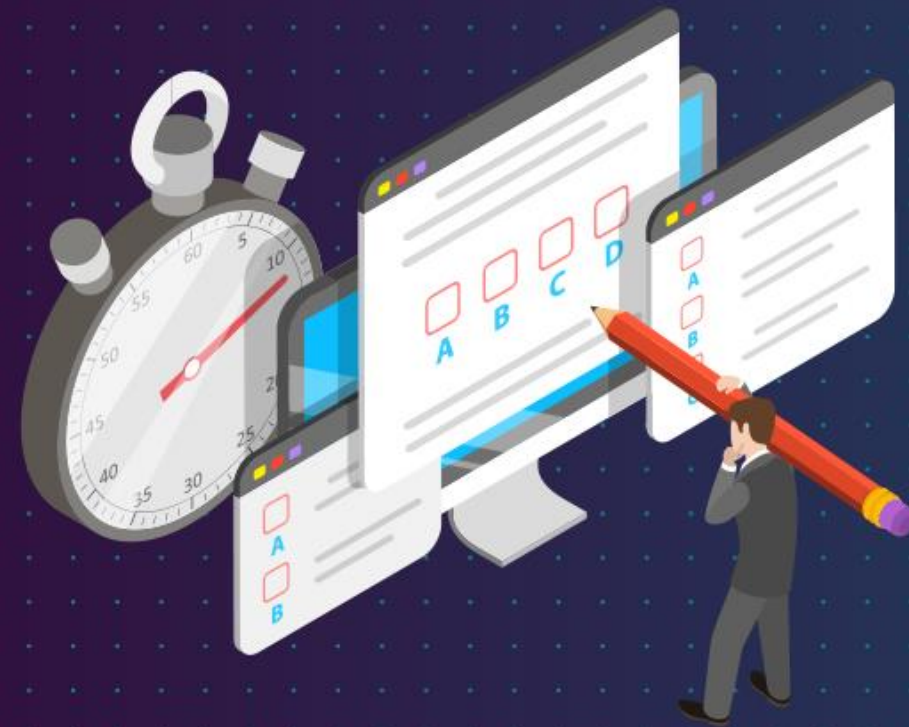


## Key Takeaways

- A root cause is a factor that caused the defect or issue and removing that factor will prevent the re-occurrence of the issue.
- The Cause and Effect diagram is used to find the root cause and the potential solutions to a problem.
- The Cause and Effect matrix consists of key process input and output variables to determine which input variables have the greatest effect on the output variables.
- The 5 Whys technique involves asking iterative questions to find the root cause of the problem.







## Knowledge Check

## Knowledge Check

1

Which of the following is NOT one of the classical cause and effect diagram categories?

- A. Materials
- B. Maintenance
- C. Methods
- D. Machine

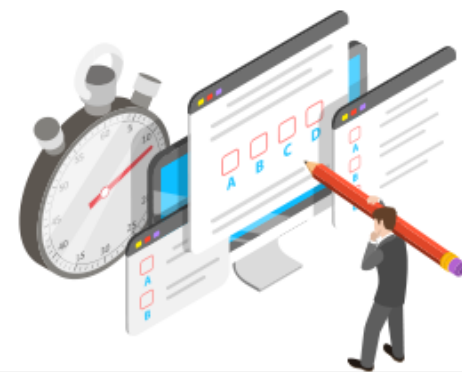


## Knowledge Check

1

Which of the following is NOT one of the classical cause and effect diagram categories?

- A. Materials
- B. Maintenance
- C. Methods
- D. Machine



The correct answer is **B**

Maintenance is considered as an additional category in a classic fishbone diagram. The classical categories are Man, Material, Method, and Machine.

## Knowledge Check

2

We have an input factor with impact ratings of 3 and 9 on output variables A and B, respectively. The output variable A has a prioritization value 5 and output B has a prioritization value of 10. What is the score for our input factor?

- A. 42
- B. 37
- C. 105
- D. 90

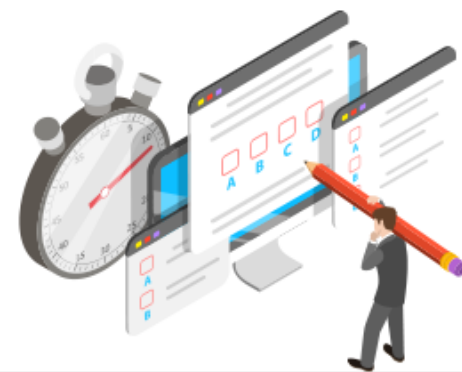


## Knowledge Check

2

We have an input factor with impact ratings of 3 and 9 on output variables A and B, respectively. The output variable A has a prioritization value 5 and output B has a prioritization value of 10. What is the score for our input factor?

- A. 42
- B. 37
- C. 105
- D. 90



The correct answer is **C**

The score is  $3 \times 5 + 9 \times 10 = 105$