

# Problem Complexity

## Algorithm Complexity

- Good algorithms:
  - logarithmic*:  $O(\log n)$
  - linear*:  $O(n)$
  - Subquadratic*:  $O(n \log n)$
  - quadratic*:  $O(n^2)$
  - Cubic*:  $O(n^3)$

### Polynomial Algorithms

- Bad algorithms:
  - exponential*:  $O(a^n)$ ,  $a > 1$
  - Factorial*:  $O(n!)$

### Exponential Algorithms

## Algorithm Complexity (cont.)

n	log n	n	n <sup>2</sup>	2 <sup>n</sup>
2	1	2	4	4
4	2	4	16	16
8	3	8	64	256
16	4	16	256	65,536
32	5	32	1,024	4,294,967,296

P, NP and NP-Complete Problems

## Problems with Polynomial Complexity

- Most of the problems studied so far have **Polynomial upper bounds** with input size,  $n$ .
  - $O(1)$               **Constant**
  - $O(\log n)$         **Sub-linear**
  - $O(n)$               **Linear**
  - $O(n \log n)$       **Nearly linear**
  - $O(n^2)$            **Quadratic**
- And have **polynomial lower bound**

## Complexity class P

**P** is a class of problems which can be solved by a **polynomial time** algorithm.

## Tractable vs. Intractable

P-class Problems are tractable

Problems are intractable if the upper and lower bounds have an exponential factor.

- $O(n!)$
- $O(n^n)$
- $O(2^n)$

## Problems that Cross the Line

- What if a problem has:
  - An exponential upper bound
  - A polynomial lower bound
- We have only found exponential algorithms, so it appears to be intractable.
- But... we can't prove that an exponential solution is needed, we can't prove that a polynomial algorithm cannot be developed, so we can't say the problem is intractable...

## Complexity class NP

**N**on-deterministic **P**olynomial

**NP** is a class of problems with the following property:

- If someone tells us a solution to the problem,
- we can verify it in polynomial time.

## Complexity class NP

The name **NP** comes from a 2-step process to solve them:

- There exists a **non-deterministic method** that generates a possible solution to the problem in polynomial time.
- There exists a **deterministic method** that verifies the solution and determines in polynomial time if it is a true solution.

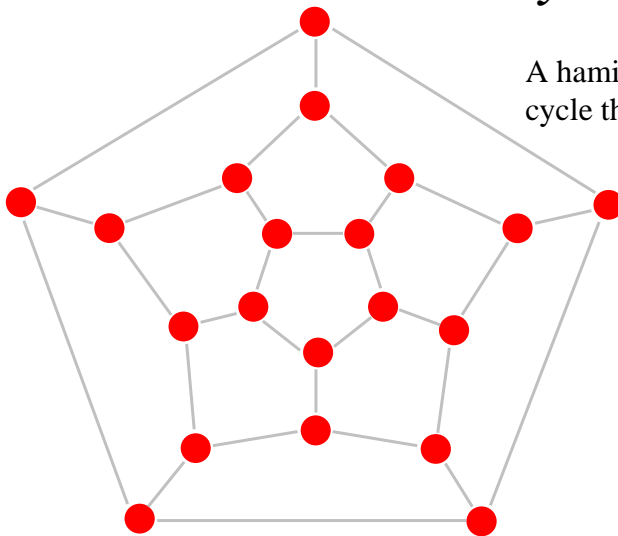
## Determinism vs. Nondeterminism

- **Nondeterministic** algorithms produce an answer by a series of “guesses”
- **Deterministic** algorithms make decisions based on information.

## Complexity class NP

### *Hamiltonian-cycle problem*

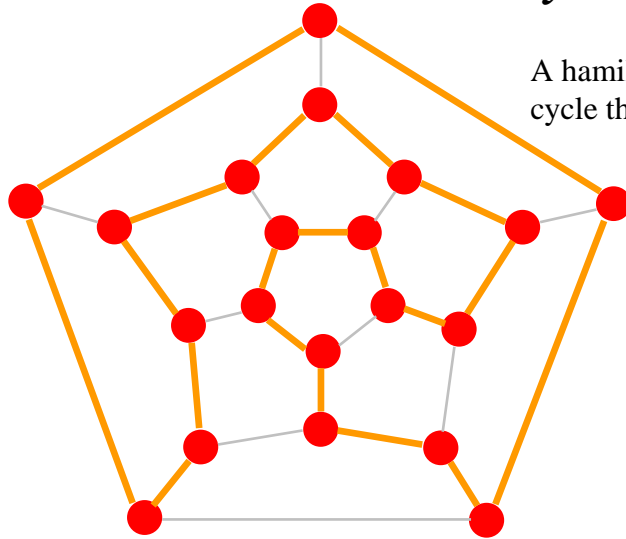
A hamiltonian cycle is a simple cycle that contains each vertex.



## Complexity class NP

### *Hamiltonian-cycle problem*

A hamiltonian cycle is a simple cycle that contains each vertex.



## Complexity class NP

### *Hamiltonian cycle problem*

Not all graph have hamiltonian cycle.

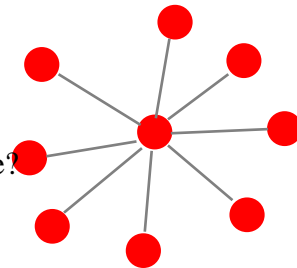
**Hamiltonian cycle problem:**

Does a given graph have a hamiltonian cycle?

No polynomial-time algorithm is known to solve HAM-CYCLE.

If someone shows us a hamiltonian cycle in a graph, then we can verify it in polynomial time.

$\Rightarrow \text{HAM-CYCLE} \in \text{NP}$



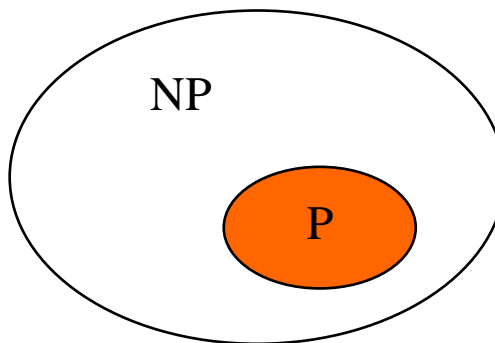
## **P And NP Summary**

- **P** = set of problems that can be solved in polynomial time
- **NP** = set of problems for which a solution can be verified in polynomial time

## **Complexity classes P and NP**

It can be seen easily that  $P \subseteq NP$ .

Conjecture:  $P \neq NP$ .

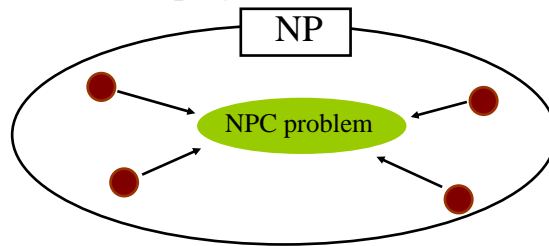




## Definition of NP-completeness

A problem is **NP-complete** if

1. it is in the class NP and
2. every problem in NP is polynomial time reducible to it.



## Polynomial time reducibility

We call the input to a particular problem an instance of that problem.

We say that a problem  $L$  is **polynomial time reducible** to a problem  $M$  if any instance of  $L$  can be rephrased to an instance of  $M$  in polynomial time.

$$L \xrightarrow{\text{poly}} M$$

•Intuitively: If  $L$  reduces in polynomial time to  $M$ ,  $L$  is “no harder to solve” than  $M$ .

## NP-Complete

“NP-Complete” comes from:

- Nondeterministic Polynomial
- Complete - “Solve one, Solve them all”

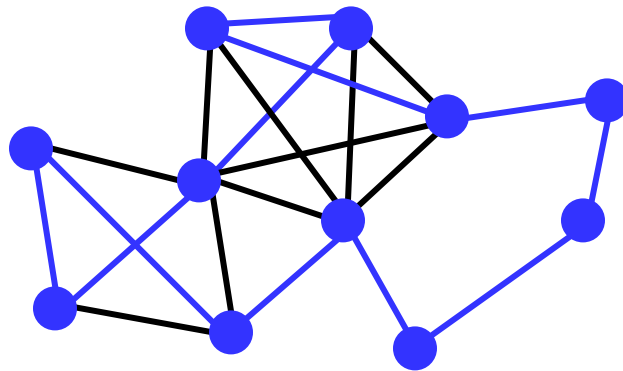
## NP-Complete Problems

- The upper bound suggests the problem is intractable
- The lower bound suggests the problem is tractable
- The lower bound is linear:  $O(N)$
- They are all reducible to each other
  - If we find a reasonable algorithm (or prove intractability) for one, then we can do it for all of them!

## Example NP-Complete Problems

- Path-Finding (Traveling salesman)
- Map coloring
- Scheduling and Matching (bin packing)
- 2-D arrangement problems
- Planning problems (pert planning)
- Clique

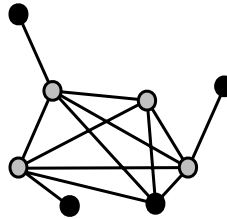
## Traveling Salesman



For each two cities, an integer cost is given to travel from one of the two cities to the other one.  
The salesman wants to make a minimum cost “circuit” visiting each city exactly once.

## Vertex Cover

- A vertex cover of graph  $G=(V,E)$  is a subset  $W$  of  $V$ , such that, for every edge  $(a,b)$  in  $E$ ,  $a$  is in  $W$  or  $b$  is in  $W$ .
- VERTEX-COVER: Given an graph  $G$  and an integer  $K$ , does  $G$  have a vertex cover of size at most  $K$ ?

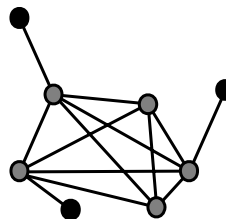


- VERTEX-COVER is in NP: Non-deterministically choose a subset  $W$  of size  $K$  and check that every edge is covered by  $W$ .

## Clique

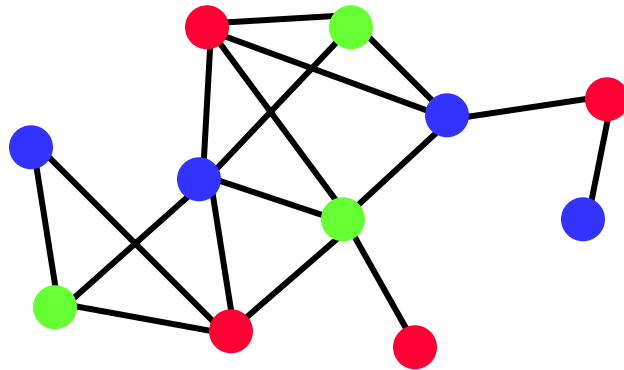
- A **clique** of a graph  $G=(V,E)$  is a subgraph  $C$  that is fully-connected (every pair in  $C$  has an edge).
- CLIQUE: Given a graph  $G$  and an integer  $K$ , is there a clique in  $G$  of size at least  $K$ ?

This graph has  
a clique of size 5



- CLIQUE is in NP: non-deterministically choose a subset  $C$  of size  $K$  and check that every pair in  $C$  has an edge in  $G$ .

## Map Coloring



## Class Scheduling Problem

- With  $N$  teachers with certain hour restrictions  $M$  classes to be scheduled, can we:
  - Schedule all the classes
  - Make sure that no two teachers teach the same class at the same time
  - No teacher is scheduled to teach two classes at once

# SAT

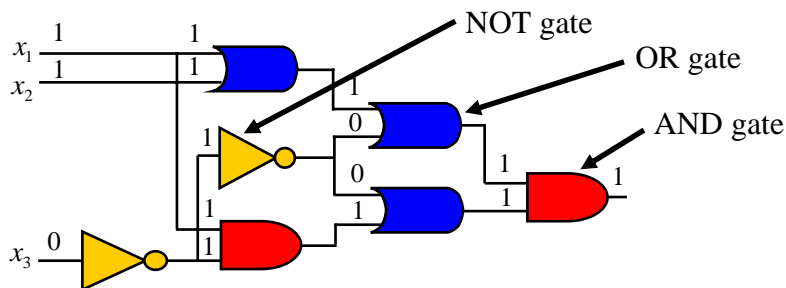
- A Boolean formula is a formula where the variables and operations are Boolean (0/1)
- SAT: Given a Boolean formula  $S$ , is  $S$  satisfiable, that is, can we assign 0's and 1's to the variables so that  $S$  is 1 ("true")?
- CNF-SAT is in NP:
  - Non-deterministically choose an assignment of 0's and 1's to the variables and then evaluate each clause. If they are all 1 ("true"), then the formula is satisfiable.

Conjunctive Normal Form

$\neg(a+b+\neg d+e)(\neg a+\neg c)(\neg b+c+d+e)(a+\neg c+\neg e)$

–OR: +, AND: (times), NOT:  $\neg$

## The circuit-satisfiability problem



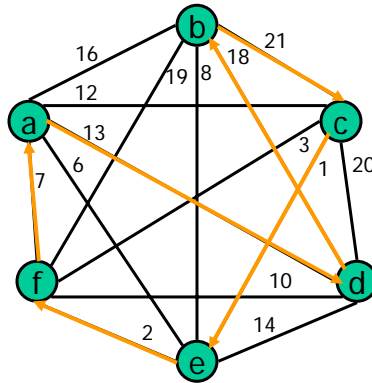
**CIRCUIT-SAT:** Given a boolean combinational circuit composed of AND, OR and NOT gates, is it satisfiable?  
In other words, is there an input that causes the output to be "true".

# Approximation Algorithms

## Approximation Solution of the TSP Problem

- OPT-TSP: Given a complete, weighted graph, find a cycle of minimum cost that visits each vertex.
  - OPT-TSP is NP-Complete
- Approximation: Greedy Algorithm
  - Choose edges in increasing order
  - Add to the path if
    - The edge does not form a cycle with the existing edges (unless all the nodes are in the cycle)
    - The edge is not a 3<sup>rd</sup> edge connected to some node.

## Approximation Solution of the TSP Problem



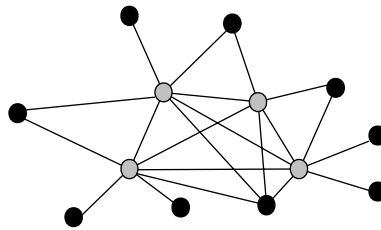
## Approximation Ratios

- Optimization Problems
  - We have some problem instance  $x$  that has many feasible “solutions”.
  - We are trying to minimize (or maximize) some cost function  $c(S)$  for a “solution”  $S$  to  $x$ . For example,
    - Finding a smallest vertex cover of a graph
    - Finding a smallest traveling salesperson tour in a graph
- An approximation produces a solution  $T$ 
  - $T$  is a **k-approximation** to the optimal solution  $OPT$  if
    - $c(T)/c(OPT) \leq k$  for a minimization problem
    - $c(OPT)/c(T) \leq k$  for a maximization problem



## Vertex Cover

- A **vertex cover** of graph  $G=(V,E)$  is a subset  $W$  of  $V$ , such that, for every  $(a,b)$  in  $E$ ,  $a$  is in  $W$  or  $b$  is in  $W$ .
- OPT-VERTEX-COVER: Given an graph  $G$ , find a vertex cover of  $G$  with smallest size.
- OPT-VERTEX-COVER is NP-hard.



## A 2-Approximation for Vertex Cover

```
Algorithm VertexCoverApprox( $G$ )
  Input graph  $G$ 
  Output a small cover  $C$  for  $G$ 
   $C \leftarrow$  empty set
   $H \leftarrow G$ 
  while  $H$  has edges
     $e \leftarrow H.removeEdge(H.anEdge())$ 
     $v \leftarrow H.origin(e)$ 
     $w \leftarrow H.destination(e)$ 
     $C.add(v)$ 
     $C.add(w)$ 
    for each  $f$  incident to  $v$  or  $w$ 
       $H.removeEdge(f)$ 
  return  $C$ 
```

## A 2-Approximation for Vertex Cover

- Every chosen edge  $e$  has both ends in  $C$
- But  $e$  must be covered by an optimal cover; hence, one end of  $e$  must be in  $OPT$
- Thus, there is at most twice as many vertices in  $C$  as in  $OPT$ .
- That is,  $C$  is a 2-approx. of  $OPT$
- Running time:  $O(m)$