

# SAT-based Problems

- SATISFIABILITY (SAT, CNF-SAT)
  - Input
    - \* Set of variables  $X = \{x_i\}$
    - \* Set of clauses  $C = \{c_j\}$  where each clause is a conjunction of literals
  - Yes/No Question
    - \* Is there a truth assignment to the variables that satisfies all the clauses?
  - Example Input
    - \*  $X = \{x_1, x_2, x_3, x_4\}$
    - \*  $C = \{(x_1 \vee x_2 \vee x_3 \vee x_4), (x_1 \vee \neg x_2), (\neg x_1 \vee \neg x_3 \vee x_4)\}$
- KSAT (K-CNF SAT): SAT with the additional restriction that each clause has at most K literals
  - The above example would satisfy 4SAT but not 3SAT because of the first clause.
- 3-OCC-3SAT: Each variable appears at most 3 times, each literal at most twice
  - Example Input
    - \*  $X = \{x_1, x_2, x_3, x_4\}$
    - \*  $C = \{(x_1 \vee x_2 \vee x_3), (x_1 \vee \neg x_2), (\neg x_1 \vee \neg x_3 \vee x_4)\}$
    - \* Note that  $x_1$  appears two times and  $\neg x_1$  appears once so we satisfy the constraints for  $x_1$ . The same holds for all other literals.
- MAX-2SAT
  - Input
    - \* Set of variables  $X = \{x_i\}$
    - \* Set of clauses  $C = \{c_j\}$  where each clause is a conjunction of at most two literals
    - \* Integer  $K$
  - Yes/No Question
    - \* Can  $K$  clauses in  $C$  be satisfied by some truth assignment to the variables?
  - Example Input Instance
    - \*  $X = \{x_1, x_2, x_3\}$
    - \*  $C = \{(x_1 \vee x_2), (x_1 \vee \neg x_2), (\neg x_1 \vee \neg x_3)\}$
    - \*  $K = 2$
- Circuit SAT
  - Input: Boolean circuit with variable input gates
  - Y/N Question: Is there an assignment of truth values to input gates that leads to the circuit evaluating to true?

# Graph Theory Problems

- HAMILTONIAN PATH
  - Input: Graph  $G = (V, E)$
  - Yes/No Question: Does there exist a Hamiltonian Path (a path that visits each node exactly once) in  $G$ ?
- HAMILTONIAN CYCLE
  - Input: Graph  $G = (V, E)$
  - Yes/No Question: Does there exist a Hamiltonian Cycle (a cycle that visits each node exactly once) in  $G$ ?
- INDEPENDENT SET
  - Input: Graph  $G = (V, E)$
  - Yes/No Question: Does there exist an independent set (a set of nodes  $C \subseteq V$  such that no two nodes in  $C$  are connected by an edge in  $E$ ) in  $G$  of size  $K$ ?
- CLIQUE
  - Input: Graph  $G = (V, E)$
  - Yes/No Question: Does there exist a clique (a set of nodes  $C \subseteq V$  such that every pair of nodes in  $C$  are connected by an edge in  $E$ ) in  $G$  of size  $K$ ?
- VERTEX COVER
  - Input: Graph  $G = (V, E)$
  - Yes/No Question: Does there exist a vertex cover (a set of nodes  $C$  such that for every edge  $(u, v) \in E$ , at least one of  $u$  and  $v$  is in  $C$ ) in  $G$  of size at most  $K$ ?
- Traveling Salesperson Problem (TSP)
  - Input
    - \* List of  $n$  cities
    - \* List of all integer distances  $d(i, j)$  between cities  $i$  and  $j$
    - \* Integer bound  $B$
  - Yes/No Question
    - \* Is there a tour of the  $n$  cities (visit each city once returning to origin city) with total distance traveled at most  $B$ ?
- Common Variations of TSP
  - Triangle Inequality TSP: The main difference is that for any three cities  $i, j$ , and  $k$ , it must be the case that  $d(i, j) \leq d(i, k) + d(k, j)$ .
  - Bottleneck TSP: The goal is to minimize the MAXIMUM intercity distance traversed rather than the total distance traveled.

# Some Other Problems

- Integer Programming
  - Input
    - \* A set  $v$  of integer variables
    - \* A set of linear inequalities over these variables
    - \* A linear objective function  $f(v)$  to maximize (or minimize)
    - \* An integer  $B$
  - Yes/No Question
    - \* Is there an assignment of integers to  $v$  such that all inequalities are true and  $f(v) \geq B$ ?
  - Example Input Instance
    - \*  $V = \{v_1, v_2\}$
    - \*  $v_1 \geq 1, v_2 \geq 0, v_1 + v_2 \leq 3$
    - \*  $f(v) = 2v_2$
    - \*  $B = 3$
- Subset Sum
  - Input
    - \* A set of positive integers  $S$
    - \* An integer  $B$
  - Yes/No Question
    - \* Does there exist an  $S' \subseteq S$  whose elements sum to  $B$ ?
  - Example Input Instance
    - \*  $S = \{1, 3, 10, 25, 37\}$
    - \*  $t = 40$
- Partition Problem (Set Partition Problem)
  - Input
    - \* A set of positive integers  $S$
  - Yes/No Question
    - \* Does there exist an  $S' \subset S$  such that the sum of elements in  $S'$  is the same as the sum of elements in  $S - S'$ ?
  - Example Input Instance
    - \*  $S = \{1, 3, 10, 25, 37\}$

## Example Reduction: $\text{HP} \leq_p \text{SAT}$

- Input to the reduction (input to HAM PATH)
  - Graph  $G = (V, E)$
- Output of the reduction (input to SAT)
  - Boolean expression  $\phi$  in CNF with variables  $X$  and clauses  $C$
- Description of  $\phi$  in terms of  $G$ 
  - If  $|V| = \{1, 2, \dots, n\}$ , then  $X = \{x_{iv} \mid 1 \leq i, v \leq n\}$ 
    - \* Meaning of  $x_{iv}$ : node  $v$  is the  $i^{\text{th}}$  node of the Hamiltonian path
  - The set of clauses  $C$  will enforce this meaning:
    - \* For nodes  $1 \leq v \leq n$ , node  $v$  must appear in the path  
 $(x_{1v} \vee x_{2v} \vee \dots \vee x_{nv})$   
 $n$  clauses of length  $n$
    - \* For nodes  $1 \leq v \leq n$ , node  $v$  must appear only once in the path  
 $(\neg x_{iv} \vee \neg x_{jv})$  for  $1 \leq i < j \leq n$   
 $O(n^3)$  clauses of length 2
    - \* For  $1 \leq i \leq n$ , some node  $v$  must be the  $i^{\text{th}}$  node  
 $(x_{i1} \vee x_{i2} \vee \dots \vee x_{in})$   
 $n$  clauses of length  $n$
    - \* For  $1 \leq i \leq n$ , two nodes  $v$  and  $w$  cannot both be the  $i^{\text{th}}$   
 $(\neg x_{iv} \vee \neg x_{iw})$  for  $1 \leq v < w \leq n$   
 $O(n^3)$  clauses of length 2
    - \* For each non-edge  $(v, w) \notin E$ ,  $w$  cannot follow  $v$  in the Hamiltonian Path  
 $(\neg x_{iv} \vee \neg x_{i+1,w})$  for  $1 \leq i \leq n-1$   
 $O(n^3)$  clauses of length 2
- Example inputs
  - Graph  $G_1 = (V_1, E_1)$ 
    - \*  $V_1 = \{v_1, v_2, v_3\}$  and  $E_1 = \{(v_1, v_2), (v_2, v_3)\}$
  - Graph  $G_2 = (V_2, E_2)$ 
    - \*  $V_2 = \{v_1, v_2, v_3\}$  and  $E_2 = \{(v_1, v_2)\}$