

Manuscript Number: MRC-D-14-00101

Title: Lagrange equations of nonholonomic systems using Hamilton's principle embedded with a class of constraints

Article Type: Complete Paper

Keywords: nonholonomic systems; constraints; Hamilton's principle; equation of motion

Corresponding Author: Dr. Dingwen Wang,

Corresponding Author's Institution: National University of Defense Technology

First Author: Dingwen Wang

Order of Authors: Dingwen Wang; Puyun Gao

Abstract: This paper derives a new kind of Lagrange equations of motion for a class of nonholonomic dynamical systems from Hamilton's principle. The validity of Hamilton's principle in nonholonomic systems is discussed. After the constraints are embedded into the Lagrangian of the systems, Hamilton's principle is directly used just like what we have done for the holonomic systems. And the transpositional rule $\delta \int_{t_1}^{t_2} L dt = \int_{t_1}^{t_2} \delta L dt$ is assumed only for those generalized coordinates whose variations are independent. The equations of motion derived from this approach are new. An example is given to show how to apply the approach.

Dear Editors:

We would like to submit the enclosed manuscript entitled " Lagrange equations of nonholonomic systems using Hamilton's principle embedded with a class of constraints", which we wish to be considered for publication in "Mechanics Research Communications". No conflict of interest exists in the submission of this manuscript, and manuscript is approved by all authors for publication. I would like to declare on behalf of my co-authors that the work described was original research that has not been published previously, and not under consideration for publication elsewhere, in whole or in part. All the authors listed have approved the manuscript that is enclosed.

In this work, we derive a new kind of Lagrange equations of motion for a class of nonholonomic dynamical systems from Hamilton's principle. I hope this paper is suitable for "Mechanics Research Communications".

We deeply appreciate your consideration of our manuscript, and we look forward to receiving comments from the reviewers. If you have any queries, please don't hesitate to contact me at the address below.

Thank you and best regards.

Yours sincerely,

Dinwen Wang

Corresponding author:

Name: Dingwen Wang

E-mail: wangdingwen0618@gmail.com

Dear editor,

Thank you for your useful comments and suggestions on the language and the structure of our manuscript. We have modified the manuscript accordingly, and the detailed corrections are listed below point by point:

1. Please note that length of manuscript should not exceed 12 pages written in a font size no smaller than 11 pt Times New Roman and single spaced.

We have revised our article and make it shorter to satisfy the length requirement.

The manuscript has been resubmitted to your journal. We look forward to your positive response.
Dingwen Wang

We derives a new kind of Lagrange equations of motion for a class of nonholonomic dynamical systems from Hamilton's principle.

The validity of Hamilton's principle in nonholonomic systems is discussed.

After the constraints are embedded into the Lagrangian of the systems, Hamilton's principle is directly used just like what we have done for the holonomic systems.

Lagrange equations of nonholonomic systems using Hamilton's principle embedded with a class of constraints

Dingwen Wang^{a,*}, Puyun Gao^a

^a*College of Aerospace Science and Engineering, National University of Defense Technology, Changsha 410072, PR China*

Abstract

This paper derives a new kind of Lagrange equations of motion for a class of nonholonomic dynamical systems from Hamilton's principle. The validity of Hamilton's principle in nonholonomic systems is discussed. After the constraints are embedded into the Lagrangian of the systems, Hamilton's principle is directly used just like what we have done for the holonomic systems. And the transpositional rule $d\delta = \delta d$ is assumed only for those generalized coordinates whose variations are independent. The equations of motion derived from this approach are new. An example is given to show how to apply the approach.

Keywords: nonholonomic systems, constraints, Hamilton's principle, equation of motion

1. Introduction

Conventionally, there are two principles, which are Hamilton's principle and d'Alembert-Lagrange's principle, to treat the dynamics of physical systems with constraints. Both the principles lead to the same equations of motion when we confined the discussion to the holonomic systems. But when we refer to the nonholonomic systems, unfortunately, the two principles are not consistent (Cronström and Raita (2009); Flannery (2005)). The essential differences between holonomic and nonholonomic systems are widely investigated in the literature (Flannery (2005); Pars (1954); Jeffreys

*Corresponding author, Tel: +86 15116442661, Fax: +86 731 451 2301
Email addresses: wangdingwen0618@gmail.com (Dingwen Wang),
puyungao@hotmail.com (Puyun Gao)

(1954); Hertz (1896)). The equations of motion derived from two principles are different in form, and that their solutions differ from each other has also been proved by Cronström and Raita (2009). However, it is widely believed that d'Alembert-Lagrange's principle is more powerful and fundamental than Hamilton's principle for systems with nonholonomic constraints.(Flannery (2005, 2011)).

Flannery (2005) has ruled out the extension of Hamilton's principle for nonholonomic systems and suggests even d'Alembert-Lagrange's principle is not applicable when referring to general nonholonomic constraints.

In this paper, we discuss the validity of Hamilton's principle for nonholonomic systems. Hamilton's principle for nonholonomic systems is always involved with multiplication rule(Pars (1954); Jeffreys (1954); Hertz (1896); Flannery (2005)). The inconsistency between the variational action principle and the principle of d'Alembert-Lagrange ties closely with the introducing of Lagrange multipliers. We analyze the argument given by other authors(Flannery (2005); Cronström and Raita (2009)) and show there is no theoretical criterion to judge which principle is more fundamental than another. To bypass the controversy on the inconsistency due to the introducing of Lagrange multipliers, we embed the constraints into the Lagrangian and apply the Hamilton's principle directly. Finally, we give the equations of motion of a class of nonholonomic systems without Lagrange multipliers.

2. Holonomic Constraints

2.1. D'Alembert-Lagrange's principle

Let's consider the systems where some of the coordinates are dependent of some other coordinates, the dependence can be described by following equations

$$f_i(q, t) = 0, \quad (i = 1, \dots, k). \quad (1)$$

Then the system is confined to a time varying hyper surface in the configuration space.

First, we are to derive the equations of motion from d'Alembert-Lagrange's principle. Assuming the external applied forces can be derived from a generalized potential and introducing T as the kinetic energy and V as the potential energy, we define the Lagrangian $L(q, \dot{q}; t) = T - V$. Here the generalized coordinates are not necessary independent. D'Alembert-Lagrange's principle gives the equations of motion

$$\sum_{j=1}^n \left[\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}_j} \right) - \frac{\partial L}{\partial q_j} \right] \delta q_j = 0. \quad (2)$$

The generalized coordinates must be consistent with the constraint (1). Then the variations δq_j must satisfy the linear set of conditions,

$$\sum_{j=1}^n \left(\frac{\partial f_i}{\partial q_j} \right) \delta q_j = 0. \quad (i = 1, \dots, k) \quad (3)$$

Introducing k Lagrange's multipliers λ_i and subtracting the sum of all variations of the constraints (3) from the left hand side of (2), we get the equation

$$\sum_{j=1}^n \left[\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}_j} \right) - \frac{\partial L}{\partial q_j} - \sum_{i=1}^k \lambda_i \frac{\partial f_i}{\partial q_j} \right] \delta q_j = 0 \quad . \quad (4)$$

We know there are $n - k$ independent coordinates. Supposing the last $n - k$ coordinates are independent, we choose the functions λ_i such that

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}_j} \right) - \frac{\partial L}{\partial q_j} - \sum_{i=1}^k \lambda_i \frac{\partial f_i}{\partial q_j} = 0 \quad , (j = 1, \dots, k). \quad (5)$$

Equation (4) now reduces to

$$\sum_{j=k+1}^n \left[\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}_j} \right) - \frac{\partial L}{\partial q_j} - \sum_{i=1}^k \lambda_i \frac{\partial f_i}{\partial q_j} \right] \delta q_j = 0, \quad (6)$$

where the variations δq_j are all independent and arbitrary. Each term in the sum must therefore individually vanish, which gives

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}_j} \right) - \frac{\partial L}{\partial q_j} - \sum_{i=1}^k \lambda_i \frac{\partial f_i}{\partial q_j} = 0 \quad , (j = k + 1, \dots, n). \quad (7)$$

Together with the original constraints equations (1), we now have $n + k$ independent equations that describe the motion of the system completely. As an extra reward, the constraints force now can be expressed as

$$\sum_{i=1}^k \lambda_i \frac{\partial f_i}{\partial q_j}, \quad (j = k + 1, \dots, n). \quad (8)$$

Since the constraints f_i contain no \dot{q}_j , we can introduce the modified Lagrangian \tilde{L} over an extended set of coordinates $s = (q, \lambda)$, $\tilde{L} = L + \sum_{i=1}^k \lambda_i f_i$, then a generalized d'Alembert-Lagrange's principle shows

$$\sum_{j=1}^{n+k} \left[\frac{d}{dt} \left(\frac{\partial \tilde{L}}{\partial \dot{s}_j} \right) - \frac{\partial \tilde{L}}{\partial s_j} \right] \delta s_j = 0. \quad (9)$$

On regarding all s_j as independent, then

$$\frac{d}{dt} \left(\frac{\partial \tilde{L}}{\partial \dot{s}_j} \right) - \frac{\partial \tilde{L}}{\partial s_j} = 0 \quad (j = 1, \dots, n+k). \quad (10)$$

The first n equations of (10) reproduce exact the same equations of motion as (5) and (7), and the last k equations reproduce the constraints, (1).

2.2. Hamilton's principle

Hamilton's principle

$$\delta S = \delta \int L dt = \int \sum_{j=1}^n \left[\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}_j} \right) - \frac{\partial L}{\partial q_j} \right] \delta q_j dt = 0 \quad (11)$$

is a variational principle. Again, the generalized coordinates are not necessary independent here. Analogue to the operation on d'Alembert-Lagrange's principle, we introduce Lagrange multipliers $\lambda_i (i = 1, \dots, k)$. Then we have

$$\int \sum_{j=1}^n \left[\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}_j} \right) - \frac{\partial L}{\partial q_j} - \sum_{i=1}^k \lambda_i \frac{\partial f_i}{\partial q_j} \right] \delta q_j dt = 0 \quad (12)$$

Following the same argument in d'Alembert-Lagrange's principle, we get the same Euler-Lagrange equation as (5) and (7).

Of course, we can also extend Hamilton's principle. By introducing the modified Lagrangian

$$L^* = L + \sum_{i=1}^k \lambda_i f_i, \quad (13)$$

(11) is therefore replaced by so called Hamilton's generalized principle,

$$\delta S^* = \delta \int L^* dt = \int \sum_{j=1}^n \left[\frac{d}{dt} \left(\frac{\partial L^*}{\partial \dot{s}_j} \right) - \frac{\partial L^*}{\partial s_j} \right] \delta s_j dt = 0, \quad (14)$$

from which the Euler-Lagrange equations (10) can be reproduced for the independent variations of the extended set of coordinates $s = (q, \lambda)$.

3. Using Hamilton's principle in nonholonomic systems

3.1. Analysis on the linear nonholonomic constraints

We have shown d'Alembert-Lagrange's principle and Hamilton's principle are equivalent. Besides, it does not matter whether we impose the constraints before or after we take variations of the Lagrangian. But these are true only for holonomic systems or some proper nonholonomic systems.

Consider the dynamical systems with linear nonholonomic constraints

$$\sum_{j=1}^n a_{ij}(q; t) \dot{q}_j + b_i(t) = 0 \quad (i = 1, \dots, k), \quad (15)$$

conventionally, the variations δq_j must satisfy the following equations

$$\sum_{j=1}^n a_{ij}(q; t) \delta q_j = 0 \quad (i = 1, \dots, k), \quad (16)$$

which are the so called Chetaev conditions([Goldstein et al. \(2002\)](#)).

According to d'Alembert-Lagrange's principle with Lagrange multipliers, the equations of motion have the following form

$$\frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}_j} \right) - \frac{\partial L}{\partial q_j} = \sum_{i=1}^k \lambda_i a_{ij} \quad (j = 1, \dots, n). \quad (17)$$

Unfortunately, the equations derived here are different from those derived from generalized d'Alembert-Lagrange's principle and Hamilton's principle. It was conventionally claimed that generalized Hamilton's principle is not valid in nonholonomic systems. D'Alembert-Lagrange's principle is thought to be more powerful or fundamental than Hamilton's principle in the nonholonomic systems. Also, though linear velocity constraints remain within the scope of d'Alembert-Lagrange's principle, there isn't a valid theory for nonholonomic constraints with a general velocity dependence([Flannery \(2005\)](#)).

Here we analyze these claims. To get the equations of motion, the key point is that we must derive linear conditions between the coordinates variations from the constraints (1) or (15). If this is achieved, we can give the equations of motion from the variational principles with the help of Lagrange multipliers.

But these linear conditions in nonholonomic systems such as the Chetaev condition are more similar to axioms rather than the logic deductions from

the constraints and remain without any basic theoretical justification. Some authors don't support these conditions (Guo (1994)). It is widely believed that (16) is deduced from (15) using d'Alembert-Lagrange's principle of virtual work. Equations (15) are recast as

$$\sum_{j=1}^n a_{ij}(q; t) dq_j + b_i(t) dt = 0 \quad (i = 1, \dots, k) \quad (18)$$

Then taking a virtual displacement which means $dt = 0$ and $dq_j = \delta q_j$, we can get (16). But the problem is that the calculus of variations differs completely from the calculus of differentials. dq_j is a differential motion over a interval dt , and is defined by $dq_j = \dot{q}_j dt$. It vanished when the interval dt is set to zero. In fact, expressions of the constraints on the variations are

$$\sum_{j=1}^n a_{ij} \delta \dot{q}_j + \sum_{j=1, k=1}^n \frac{\partial a_{ij}}{\partial q_k} \dot{q}_j \delta q_k + \sum_{k=1}^n \frac{\partial b_i}{\partial q_k} \delta q_k = 0 \quad (i = 1, \dots, k), \quad (19)$$

There is no connection between variations and their derivatives. Hence, (16), which play a key role to derive the equations of motion (17), has no solid theoretical fundament. Therefore, the linear nonholonomic system is also outside the scope of d'Alembert-Lagrange's principle. Or at least, we can say there is no theoretical way to judge which principle is more applicable for nonholonomic systems.

Following the approach developed in Gao (2005), we bypass the dispute on the validity of these conditions and derive the equations of motion for some kind of nonholonomic constraints without multipliers.

Let's reconsider the holonomic systems. If we use the holonomic constraints to reduce the number of generalized coordinates to the minimum number $r = n - k$ (say, the first r variables), then we can get the equations of motion without multipliers. To achieve this, we must express the dependent variables in terms of the independent generalized coordinates from the constraints f_i . Then the Lagrangian contains only the independent generalized coordinates after substituting the dependent variables into it,

$$\Theta(q_1, \dots, q_r; \dot{q}_1, \dots, \dot{q}_r; t) = L(q_1, \dots, q_n; \dot{q}_1, \dots, \dot{q}_n; t).$$

The equations of motion can be derived from Hamilton's principle

$$\delta \int_{t_0}^{t_1} L(q_1, \dots, q_n; \dot{q}_1, \dots, \dot{q}_n; t) dt = \delta \int_{t_0}^{t_1} \Theta(q_1, \dots, q_r; \dot{q}_1, \dots, \dot{q}_r; t) dt = 0.$$

Assuming $\delta q(t_0) = \delta q(t_1) = 0$, we get the equations of motion

$$\frac{d}{dt} \left(\frac{\partial \Theta}{\partial \dot{q}_j} \right) - \frac{\partial \Theta}{\partial q_j} = 0 \quad (j = 1, \dots, r),$$

where all the q_j are independent. Here we must point out that the constraints are **embedded**, not adjoined, into the Lagrangian before we take variation on it.

3.2. Equations of motion for a kind of nonholonomic constraints

Now consider the following type of general nonholonomic constraints

$$q_i = \varphi_i(q_{k+1}, \dots, q_n; \dot{q}_{k+1}, \dots, \dot{q}_n) \quad (i = 1, \dots, k), \quad (20)$$

where q_{k+1}, \dots , and q_n are independent variables, and φ_i are sufficiently differentiable. The constraint functions may also be dependent on time explicitly, but for simplicity, we disregard this case.

Then we can get the derivatives of q_i ,

$$\dot{q}_i = \sum_{\mu=k+1}^n \frac{\partial \varphi_i}{\partial q_\mu} \dot{q}_\mu + \sum_{\mu=k+1}^n \frac{\partial \varphi_i}{\partial \dot{q}_\mu} \ddot{q}_\mu \quad (i = 1, \dots, k). \quad (21)$$

Suppose the Lagrangian of the system before the constraints embedded is

$$L = L(q_1, \dots, q_n; \dot{q}_1, \dots, \dot{q}_n; t). \quad (22)$$

Substituting (20) and (21) into (22), we get the Lagrangian of the system after imposing the constraints

$$\Theta(q_{k+1}, \dots, q_n; \dot{q}_{k+1}, \dots, \dot{q}_n; \ddot{q}_{k+1}, \dots, \ddot{q}_n; t) = L(q_1, \dots, q_n; \dot{q}_1, \dots, \dot{q}_n; t).$$

We note that there are only the independent variables in the Lagrangian function Θ now. And the Lagrangian is freed from constraints.

It is not very hard to verify the following relation

$$\begin{cases} \frac{\partial \Theta}{\partial q_j} = \frac{\partial L}{\partial q_j} + \sum_{i=1}^k \frac{\partial L}{\partial q_i} \frac{\partial \varphi_i}{\partial q_j} + \sum_{i=1}^k \frac{\partial L}{\partial \dot{q}_i} \frac{\partial \dot{q}_i}{\partial q_j} \\ \frac{\partial \Theta}{\partial \dot{q}_j} = \frac{\partial L}{\partial \dot{q}_j} + \sum_{i=1}^k \frac{\partial L}{\partial \dot{q}_i} \frac{\partial \dot{q}_i}{\partial \dot{q}_j} + \sum_{i=1}^k \frac{\partial L}{\partial q_i} \frac{\partial \varphi_i}{\partial \dot{q}_j} \\ \frac{\partial \Theta}{\partial \ddot{q}_j} = \sum_{i=1}^k \frac{\partial L}{\partial \ddot{q}_i} \frac{\partial \ddot{q}_i}{\partial \ddot{q}_j} = \sum_{i=1}^k \frac{\partial L}{\partial \dot{q}_i} \frac{\partial \varphi_i}{\partial \ddot{q}_j} \end{cases} \quad (j = k+1, \dots, n). \quad (23)$$

According to Hamilton principle, the real path of a system is the path that make the action an extremum,

$$\delta \int_{t_0}^{t_1} L dt = \delta \int_{t_0}^{t_1} \Theta(q_{k+1}, \dots, q_n; \dot{q}_{k+1}, \dots, \dot{q}_n; \ddot{q}_{k+1}, \dots, \ddot{q}_n, t) dt = 0, \quad (24)$$

where $q_\mu (\mu = k+1, \dots, n)$ are independent variables. The path that makes the action has an extremum under the constraints coincides with the path that makes the modified action an extremum without constraints imposed.

With the assumption $\delta q(t_0) = \delta q(t_1) = 0$ and $\delta \dot{q}(t_0) = \delta \dot{q}(t_1) = 0$ and following the rule of calculus of variation, we get

$$\int_{t_0}^{t_1} \sum_{\mu=k+1}^n \left[\frac{\partial \Theta}{\partial q_\mu} - \frac{d}{dt} \frac{\partial \Theta}{\partial \dot{q}_\mu} + \frac{d^2}{dt^2} \left(\frac{\partial \Theta}{\partial \ddot{q}_\mu} \right) \right] \delta q_\mu dt = 0. \quad (25)$$

Since the variations $\delta q_\mu (\mu = k+1, \dots, n)$ are independent and arbitrary, the equations of motion for all the independent variables are given by

$$\frac{\partial \Theta}{\partial q_\mu} - \frac{d}{dt} \frac{\partial \Theta}{\partial \dot{q}_\mu} + \frac{d^2}{dt^2} \left(\frac{\partial \Theta}{\partial \ddot{q}_\mu} \right) = 0 \quad (\mu = k+1, \dots, n). \quad (26)$$

The equations are different from those derived from d'Alembert-Lagrange principle with multipliers (Goldstein et al. (2002); Neimark and Fufaev (1972)). The constraints are relieved, we apply Hamilton's principle directly just as what we have done for holonomic system. Besides, we don't need the Chetaev conditions which are the basis to derive the equations of motion by other authors. The transpositional rule $d\delta = \delta d$ is assumed only for those generalized coordinates whose variations are independent. The only assumption is that the independent velocity variations must vanish at end point. Though these equations are fourth-order differential equations, they are simple and clear in form, and more important, no multiplier appears. To solve the equations, the initial conditions should be provided. Notably, the initial conditions must be consistent with the constraints.

3.3. Comparison to previous result

Using the relations (23), we recast the equations of motion (26) into

$$\begin{aligned} & \frac{\partial L}{\partial q_j} + \sum_{i=1}^k \left(\frac{\partial L}{\partial q_i} \frac{\partial \varphi_i}{\partial q_j} + \frac{\partial L}{\partial \dot{q}_i} \frac{\partial \dot{q}_i}{\partial q_j} \right) - \\ & \frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}_j} + \sum_{i=1}^k \frac{\partial L}{\partial \dot{q}_i} \frac{\partial \dot{q}_i}{\partial \dot{q}_j} + \sum_{i=1}^k \frac{\partial L}{\partial q_i} \frac{\partial \varphi_i}{\partial \dot{q}_j} \right) + \frac{d^2}{dt^2} \left(\sum_{i=1}^k \frac{\partial L}{\partial \ddot{q}_i} \frac{\partial \varphi_i}{\partial \ddot{q}_j} \right) = 0 \end{aligned} \quad (27)$$

$(j = k+1, \dots, n).$

Defining $\varepsilon_i(f) = \frac{d}{dt} \frac{\partial f}{\partial \dot{q}_i} - \frac{\partial f}{\partial q_i}$, we can simplify the above equation to

$$\varepsilon_j(L) - \sum_{i=1}^k \varepsilon_i(L) \varepsilon_j(\varphi_i) - \sum_{i=1}^k \frac{d^2}{dt^2} \left(\frac{\partial L}{\partial \dot{q}_i} \right) \frac{\partial \varphi_i}{\partial \dot{q}_j} + \sum_{i=1}^k \frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}_i} \right) \frac{\partial \varphi_i}{\partial q_j} = 0, \quad (28)$$

$j = k+1, \dots, n$.

Compared with the equations given in [Gao \(2005\)](#),

$$\varepsilon_j(L) - \sum_{i=1}^k \varepsilon_i(L) \varepsilon_j(\varphi_i) - \sum_{i=1}^k \frac{d^2}{dt^2} \left(\frac{\partial L}{\partial \dot{q}_i} \right) \frac{\partial \varphi_i}{\partial \dot{q}_j} + \sum_{i=1}^k \frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}_i} \right) \frac{\partial \varphi_i}{\partial q_j} = 0, \quad (29)$$

$j = k+1, \dots, n$. We found that the only difference between the two equations lies in the last term.

3.4. Discussion

According to [Flannery \(2005\)](#), d'Alembert-Lagrange's principle is more fundamental than Hamilton's principle. While Hamilton's principle is valid only for holonomic or semiholonomic systems, d'Alembert-Lagrange's principle is applied to holonomic systems and nonholonomic system with linear nonholonomic constraints. For general nonholonomic constraints, even D'Alembert's principle doesn't work.

The reasons given by the authors are that the displaced paths for nonholonomic systems are not geometrically possible and therefore do not satisfy the multiplication rule condition, so the variational Hamilton's principle can not be extended to the nonholonomic domain.

The equations of constraints appropriate to the displaced pathes are

$$f_i(q + \delta q; \dot{q} + \delta \dot{q}; t) = f_i(q; \dot{q}; t) + \delta f_i(q; \dot{q}; t). \quad (30)$$

The constraints for the displaced paths change by

$$\delta f_i = \sum_{j=1}^n \frac{\partial f_i}{\partial q_j} \delta q_j(t) + \sum_{j=1}^n \frac{\partial f_i}{\partial \dot{q}_j} \delta \dot{q}_j(t). \quad (31)$$

With the aid of $\delta \dot{q}_j = d[\delta q_j(t)]/dt$, this difference is

$$\delta f_i = \frac{d}{dt} \left[\sum_{j=1}^n \frac{\partial f_i}{\partial \dot{q}_j} \delta q_j(t) \right] - \sum_{j=1}^n F_{ij} \delta q_j(t), \quad (32)$$

where $F_{ij} = \frac{d}{dt} \left(\frac{\partial f_i}{\partial \dot{q}_j} \right) - \frac{\partial f_i}{\partial q_j}$, $(j = 1, \dots, n)$.

Flannery (2005) implicitly assumes the Chetaev conditions $\sum_{j=1}^n \frac{\partial f_i}{\partial \dot{q}_j} \delta q_j = 0, (i = 1, \dots, k)$. The condition for the displaced paths to be all geometrically possible is that $f_i(q + \delta q; \dot{q} + \delta \dot{q}; t) = 0$, that is $\delta f_i = 0$ and the constraints are invariant to displacement. If $F_{ij} = 0$, which means that the constraints are integrable in essence, then the displaced paths are geometrical possible. Therefore D'Alembert's principle and Hamilton's principle are valid for this proper nonholonomic system now. If $F_{ij} \neq 0$, then $\delta f_i \neq 0$ and the displaced paths are geometrical impossible which means Hamilton's principle is not applicable for this case.

However, we have analyzed these claims. Especially, we have shown the validity of Chetaev conditions is controversial and has no solid fundament, so all the conclusions based on Chetaev conditions and criticism on Hamilton's principle are questionable.

In fact, by contrast to the argument given by Flannery (2005), it is conventionally assumed that the displaced paths should satisfy the constraints in advance, which means $f_i(q + \delta q; \dot{q} + \delta \dot{q}; t) = 0$ is always true, therefore $\delta f_i = f_i(q + \delta q; \dot{q} + \delta \dot{q}; t) - f_i(q; \dot{q}; t)$ vanishes naturally. In view of this, Hamilton's principle is applicable for nonholonomic systems.

4. Example

Consider the example of sleigh on an inclined plane (Neimark and Fufaev (1972)). The equation of the nonholonomic constraints of the system is given by

$$\dot{y} = \dot{x} \tan \varphi \quad \text{or} \quad \varphi = \Phi(\dot{x}, \dot{y}) = \arctan \frac{\dot{y}}{\dot{x}}. \quad (33)$$

The Lagrange function of the system can be written in the following form

$$L = \frac{1}{2}m [\dot{x}^2 + \dot{y}^2 + J\dot{\varphi}^2 + 2a\dot{\varphi}(\dot{y} \cos \varphi - \dot{x} \sin \varphi)] + mgx \sin \alpha, \quad (34)$$

where m, a, J and α are constants. From (33), we get the derivative of φ

$$\dot{\varphi} = \frac{\dot{x}\ddot{y} - \dot{y}\ddot{x}}{\dot{x}^2 + \dot{y}^2}. \quad (35)$$

After imposing the constraints, the modified Lagrangian is

$$\begin{aligned} \Theta &= \frac{1}{2}m [\dot{x}^2 + \dot{y}^2 + J\dot{\varphi}^2] + mgx \sin \alpha \\ &= \frac{1}{2}m \left[\dot{x}^2 + \dot{y}^2 + J \left(\frac{\dot{x}\ddot{y} - \dot{y}\ddot{x}}{\dot{x}^2 + \dot{y}^2} \right)^2 \right] + mgx \sin \alpha. \end{aligned} \quad (36)$$

Now the Lagrangian Θ contains only the independent coordinates x and y . Then the equations of motion are

$$\begin{cases} \frac{\partial \Theta}{\partial x} - \frac{d}{dt} \frac{\partial \Theta}{\partial \dot{x}} + \frac{d^2}{dt^2} \left(\frac{\partial \Theta}{\partial \ddot{x}} \right) = 0 \\ \frac{\partial \Theta}{\partial y} - \frac{d}{dt} \frac{\partial \Theta}{\partial \dot{y}} + \frac{d^2}{dt^2} \left(\frac{\partial \Theta}{\partial \ddot{y}} \right) = 0 \end{cases} \quad (37)$$

It is not very hard to verify

$$\begin{cases} \frac{\partial \Theta}{\partial x} = mg \sin \alpha, & \frac{\partial \Theta}{\partial \ddot{x}} = \frac{mJ\dot{y}(\dot{y}\ddot{x} - \dot{x}\ddot{y})}{(\dot{x}^2 + \dot{y}^2)^2} \\ \frac{\partial \Theta}{\partial y} = 0, & \frac{\partial \Theta}{\partial \ddot{y}} = \frac{mJ\dot{x}(\dot{x}\ddot{y} - \dot{y}\ddot{x})}{(\dot{x}^2 + \dot{y}^2)^2} \end{cases} \quad (38)$$

The expressions for $\frac{\partial \Theta}{\partial \ddot{x}}$ and $\frac{\partial \Theta}{\partial \ddot{y}}$ are more complicated but still can be derived from (36). Then all the terms in (37) can be expressed as functions of x, y and their derivatives. Given the initial conditions which are consistent with the constraints (33), the differential equations (37) can be solved.

Of course, we can also derived the equations of motion from (28)

$$\begin{cases} \varepsilon_x(L) - \varepsilon_\varphi(L)\varepsilon_x(\Phi) - \frac{d^2}{dt^2} \left(\frac{\partial L}{\partial \ddot{\varphi}} \right) \frac{\partial \Phi}{\partial \ddot{x}} + \frac{d}{dt} \left(\frac{\partial L}{\partial \dot{\varphi}} \right) \frac{\partial \Phi}{\partial x} = 0 \\ \varepsilon_y(L) - \varepsilon_\varphi(L)\varepsilon_y(\Phi) - \frac{d^2}{dt^2} \left(\frac{\partial L}{\partial \ddot{\varphi}} \right) \frac{\partial \Phi}{\partial \ddot{y}} + \frac{d}{dt} \left(\frac{\partial L}{\partial \dot{\varphi}} \right) \frac{\partial \Phi}{\partial y} = 0 \end{cases} \quad (39)$$

By the equation (33), we have $\frac{\partial \Phi}{\partial x} = \frac{\partial \Phi}{\partial y} = 0$. Thus the above equations can be simplified as

$$\begin{cases} \varepsilon_x(L) - \varepsilon_\varphi(L) \frac{d}{dt} \left(\frac{\partial \Phi}{\partial \dot{x}} \right) - \frac{d^2}{dt^2} \left(\frac{\partial L}{\partial \ddot{\varphi}} \right) \frac{\partial \Phi}{\partial \ddot{x}} = 0 \\ \varepsilon_y(L) - \varepsilon_\varphi(L) \frac{d}{dt} \left(\frac{\partial \Phi}{\partial \dot{y}} \right) - \frac{d^2}{dt^2} \left(\frac{\partial L}{\partial \ddot{\varphi}} \right) \frac{\partial \Phi}{\partial \ddot{y}} = 0 \end{cases} \quad (40)$$

It is not hard to verify that

$$\begin{cases} \varepsilon_x(L) = m \left[\frac{d}{dt} (\dot{x} - a\dot{\varphi} \sin \varphi) - g \sin \alpha \right] \\ \varepsilon_y(L) = m \frac{d}{dt} (\dot{y} + a\dot{\varphi} \cos \varphi) \\ \varepsilon_\varphi(L) = m \left[\frac{d}{dt} (J\dot{\varphi} + a\dot{y} \cos \varphi - a\dot{x} \sin \varphi) - a\dot{\varphi} (\dot{y} \sin \varphi + \dot{x} \cos \varphi) \right] \end{cases} \quad (41)$$

and

$$\frac{\partial \Phi}{\partial \dot{x}} = -\frac{\dot{y}}{\dot{x}^2 + \dot{y}^2} = -\frac{\sin \varphi \cos \varphi}{\dot{x}}, \quad \frac{\partial \Phi}{\partial \dot{y}} = \frac{\cos^2 \varphi}{\dot{x}}. \quad (42)$$

Together with the constraint (33), when the proper initial conditions are provided, the motion can be determined.

5. Conclusions

In this article, we derive the equations of motion of the nonholonomic systems with the nonholonomic constraints (20) from Hamilton's principle. The idea is that the path that makes the action has an extremum under the constraints coincides with the path that makes the modified action an extremum without constraints imposed. We embed the nonholonomic constraints into Lagrangian and make the modified Lagrangian free from the constraints. The approach needs no assumptions on the relation between coordinates variations. The formulation is simple and easy to understand.

The equations of motion (26) or (28) without Lagrange multipliers are new. The equations here are slightly different from those in Gao (2005).

Cronström, C., Raita, T.. On nonholonomic systems and variational principles. *Journal of Mathematical Physics* 2009;50(042901).

Flannery, M.R.. The enigma of nonholonomic constraints. *American Journal of Physics* 2005;73(3):265–272.

Pars, L.A.. Variation principles in dynamics. *Quarterly Journal of Mechanics and Applied Mathematics* 1954;7:338–351.

Jeffreys, H.. What is hamilton's principle. *Quarterly Journal of Mechanics and Applied Mathematics* 1954;7:335–337.

Hertz, H.. *Principles of Mechanics*. New York: Macmillan; 1896.

Flannery, M.R.. The elusive d'alembert-lagrange dynamics of nonholonomic systems. *American Journal of Physics* 2011;79(9):932–944.

Goldstein, H., Poole, C., Safko, J.. *Classical Mechanics*. Addison-Wesley; 2002.

Guo, Z.H.. Rational solutions to a class of nonholonomic systems. *Science in China, Series A* 1994;37:433–449.

Gao, P.. To derive lagrange equations of nonholonomic systems without using the chetaev conditions. *Mechanics Research Communications* 2005;32:255–264.

Neimark, J.I., Fufaev, N.A.. *Dynamics of Nonholonomic systems*. American Mathematical Society; 1972.

LaTeX Source Files

[Click here to download LaTeX Source Files: noholonomic_system.tex](#)

LaTeX Source Files

[Click here to download LaTeX Source Files: nonholonomic.bib](#)

LaTeX Source Files

[Click here to download LaTeX Source Files: model3-num-names.bst](#)