

On Fluid ETG model

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November 20, 2019

For illustrative purposes, we consider electrostatic ETG with adiabatic ions

$$\delta n_i = -\frac{en_0}{T_i}\delta\phi, \quad (1)$$

and assume isothermal electrons with $\delta T_e = 0$. We take 3D description, that is $k_{\parallel} \neq 0$, therefore this model can not handle zonal problems, which have $k_{\parallel} = 0$.

The continuity and momentum equations of electrons are

$$\frac{\partial n_e}{\partial t} + \nabla \cdot (n_e \vec{v}_e) = 0, \quad (2)$$

$$m_e \frac{d\vec{v}_e}{dt} = -e(-\nabla\phi + \frac{\vec{v}_e \times \vec{B}}{c}) - \frac{\nabla(P_e + \delta P_e)}{n_e}, \quad (3)$$

i.e.,

$$m_e \frac{d\vec{v}_e}{dt} = (1 + \tau)e\nabla\phi - e\frac{\vec{v}_e \times \vec{B}}{c} - \frac{\nabla P_e}{n_e}, \quad (4)$$

where we have used Eq.(1) and $\tau = T_e/T_i$.

From the momentum equation we know, the electron parallel velocity can be calculated by

$$m_e \hat{b} \cdot \frac{d\vec{v}_e}{dt} = (1 + \tau)e\nabla_{\parallel}\delta\phi, \quad (5)$$

we then have, to the lowest order,

$$\vec{v}_{\parallel} = (1 + \tau)\frac{e}{m_e}\partial_t^{-1}\nabla_{\parallel}\delta\phi, \quad (6)$$

i.e.,

$$v_{\parallel} \sim (1 + \tau)\frac{k_{\parallel}e\delta\phi}{m_e\omega}. \quad (7)$$

On the other hand, the perpendicular component of momentum equation gives,

$$\hat{b} \times \frac{d\vec{v}_e}{dt} = (1 + \tau)\frac{e}{m_e}\hat{b} \times \nabla\phi - \omega_{ce}\vec{v}_{e,\perp} - \frac{\hat{b} \times \nabla P_e}{m_en_e}, \quad (8)$$

which could be solved perturbatively.

To the lowest order, the perpendicular drift is

$$\vec{v}_{e,\perp,0} = (1 + \tau) \frac{e}{m_e \omega_{ce}} \hat{b} \times \nabla \phi - \frac{\hat{b} \times \nabla P_e}{m_e \omega_{ce} n_e} \equiv (1 + \tau) \vec{v}_E + \vec{v}_D, \quad (9)$$

so the total lowest order drift motion can be written as

$$\vec{v}_{e,0} = \vec{v}_{\parallel} + (1 + \tau) \vec{v}_E + \vec{v}_D. \quad (10)$$

To the next order, the polarization drift is given by

$$\vec{v}_{e,1} = -\frac{1}{\omega_{ce}} \hat{b} \times \frac{d\vec{v}_{e,0}}{dt} = -\frac{1}{\omega_{ce}} (\partial_t + \vec{v}_{e,0} \cdot \nabla) (\hat{b} \times \vec{v}_{e,0}). \quad (11)$$

Since

$$\hat{b} \times \vec{v}_{e,0} = -\frac{e(1 + \tau)}{m_e \omega_{ce}} \nabla_{\perp} \delta \phi + \frac{\nabla_{\perp} P_e}{m_e \omega_{ce} n_e}, \quad (12)$$

therefore, Eq.(11) can be approximated, in the second order, by

$$\begin{aligned} \vec{v}_{e,1} &\simeq -\frac{1 + \tau}{\omega_{ce}} [\partial_t + ((1 + \tau) \vec{v}_E + \vec{v}_D) \cdot \nabla] (\hat{b} \times \vec{v}_E) \\ &= \left\{ \partial_t + \frac{1}{m_e \omega_{ce}} [(1 + \tau) e \hat{b} \times \nabla_{\perp} \phi - \frac{\hat{b} \times \nabla P_e}{n_e}] \cdot \nabla_{\perp} \right\} \frac{e(1 + \tau)}{m_e \omega_{ce}^2} \nabla_{\perp} \delta \phi \\ &= \frac{e(1 + \tau)}{m_e \omega_{ce}^2} \partial_t \nabla_{\perp} \delta \phi - \left[\frac{\hat{b} \times \nabla P_e}{n_e} \cdot \nabla_{\perp} \right] \frac{e(1 + \tau)}{m_e^2 \omega_{ce}^3} \nabla_{\perp} \delta \phi \\ &\quad + \frac{e^2 (1 + \tau)^2}{m_e^2 \omega_{ce}^3} [\hat{b} \times \nabla_{\perp} \phi \cdot \nabla_{\perp}] \nabla_{\perp} \delta \phi. \end{aligned} \quad (13)$$

Note that incompressibility $\nabla \cdot \vec{v}_{e,\perp,0}$ in slab geometry, Eq.(2) yields

$$\partial_t \delta n_e + n_e \nabla \cdot (\vec{v}_{\parallel} + \vec{v}_{e,1}) + \nabla \delta n_e \cdot \vec{v}_D + (1 + \tau) \nabla n_e \cdot \vec{v}_E = 0, \quad (14)$$

where we have defined the small parameter $\epsilon \ll 1$ by

$$\frac{k_{\parallel}}{k_{\perp}} \sim \frac{\rho_e}{r_n} \equiv \mathcal{O}(\epsilon), \quad (15)$$

and noted the orderings

$$\frac{\omega}{\omega_{ce}} \sim \frac{k_{\perp} \rho_e}{2\tau} \frac{\rho_e}{r_n} \equiv \mathcal{O}(\epsilon^{3/2}), \quad (16)$$

$$\frac{\delta n_e}{n_e} \sim \epsilon, \quad k_{\perp}^2 \rho_e^2 \sim \epsilon, \quad \tau \sim 1, \quad \frac{v_D}{v_E} \sim 1, \quad k_{\parallel}^2 r_n^2 \sim \epsilon, \quad (17)$$

and then found

$$\frac{n_e \nabla \cdot \vec{v}_{\parallel}}{\nabla n_e \cdot \vec{v}_E} \sim \frac{4\tau^2 k_{\parallel}^2 r_n^2}{k_{\perp}^2 \rho_e^2} \sim \mathcal{O}(1), \quad \frac{n_e \nabla \cdot \vec{v}_{e,1}}{\nabla n_e \cdot \vec{v}_E} \sim k_{\perp} \rho_e \frac{r_n}{\rho_e} \frac{\omega}{\omega_{ce}} \sim \epsilon, \quad (18)$$

$$\frac{\nabla \delta n_e \cdot \vec{v}_D}{\nabla n_e \cdot \vec{v}_E} \sim k_{\perp} \rho_e \frac{r_n}{\rho_e} \frac{\delta n_e}{n_e} \sim \sqrt{\epsilon}, \quad \frac{\nabla_{\parallel} \delta n_e \cdot \vec{v}_{\parallel}}{\nabla n_e \cdot \vec{v}_E} \sim \frac{\delta n_e}{n_e} k_{\parallel} r_n \frac{k_{\parallel}}{k_{\perp}} \frac{\omega_{ce}}{\omega} \sim \epsilon^{3/2}, \quad \frac{\nabla n_e \cdot \vec{v}_{e,1}}{\nabla n_e \cdot \vec{v}_E} \sim \epsilon^{3/2}. \quad (19)$$

Substitute $\delta n_e = \delta n_i$ into Eq.(14), we get

$$\begin{aligned} & -n_e \partial_t \frac{e\delta\phi}{T_i} + n_e(1+\tau) \frac{e}{m_e} \partial_t^{-1} \nabla_{\parallel}^2 \delta\phi \\ & + \frac{en_e(1+\tau)}{m_e \omega_{ce}^2} \partial_t \nabla_{\perp}^2 \delta\phi - \frac{e(1+\tau)}{m_e^2 \omega_{ce}^3} [\hat{b} \times \nabla P_e \cdot \nabla_{\perp}] \nabla_{\perp}^2 \delta\phi \\ & + \frac{e^2 n_e (1+\tau)^2}{m_e^2 \omega_{ce}^3} [\hat{b} \times \nabla_{\perp} \delta\phi \cdot \nabla_{\perp}] \nabla_{\perp}^2 \delta\phi \\ & + \nabla_{\perp} \frac{e\delta\phi}{T_i} \cdot \frac{\hat{b} \times \nabla P_e}{m_e \omega_{ce}} + (1+\tau) \frac{e}{m_e \omega_{ce}} \nabla n_e \cdot \hat{b} \times \nabla \phi \\ & = 0, \end{aligned} \quad (20)$$

which is valid to the ϵ order .

With the electron dynamic normalization

$$\frac{e\delta\phi}{T_i} = \Phi, \quad \frac{\partial_x n_e}{n_e} = -\frac{1}{r_n}, \quad \frac{\partial_x T_e}{T_e} = -\frac{1}{r_t}, \quad , \frac{r_n}{r_t} = \eta_e, \quad \rho_e = \sqrt{\frac{\tau m_e}{m_i}} \rho_i, \quad \frac{\vec{x}}{\rho_e} \rightarrow \vec{x}, \quad \frac{\rho_e}{r_n} \omega_{ce} t \rightarrow t, \quad (21)$$

we have

$$\begin{aligned} & -(1 - \frac{1+\tau}{2\tau} \nabla_{\perp}^2) \partial_t \Phi + \frac{1+\tau}{2\tau} \frac{r_n^2}{\rho_e^2} \partial_t^{-1} \nabla_{\parallel}^2 \Phi + \frac{(1+\tau)(1+\eta_e)}{4\tau} \partial_y \nabla_{\perp}^2 \Phi \\ & + \frac{1+\eta_e}{2\tau} \partial_y \Phi + \frac{(1+\tau)^2}{\tau^2} \frac{r_n}{4\rho_e} [\hat{b} \times \nabla_{\perp} \Phi \cdot \nabla_{\perp}] \nabla_{\perp}^2 \Phi \\ & = 0. \end{aligned} \quad (22)$$

Alternatively, if one adopts the ion dynamic normalization

$$\frac{e\delta\phi}{T_i} = \Phi, \quad \frac{\partial_x n_e}{n_e} = -\frac{1}{r_n}, \quad \rho_e = \sqrt{\frac{\tau m_e}{m_i}} \rho_i, \quad \frac{\vec{x}}{\rho_i} \rightarrow \vec{x}, \quad \frac{\rho_i}{r_n} \omega_{ci} t \rightarrow t, \quad (23)$$

Eq.(22) becomes,

$$\begin{aligned} & -(1 - \frac{1+\tau}{2} \frac{m_e}{m_i} \nabla_{\perp}^2) \partial_t \Phi + \frac{1+\tau}{2} \frac{r_n^2}{\rho_i^2} \frac{m_i}{m_e} \partial_t^{-1} \nabla_{\parallel}^2 \Phi + \frac{\tau(1+\tau)(1+\eta_e)m_e}{4m_i} \partial_y \nabla_{\perp}^2 \Phi \\ & + \frac{1+\eta_e}{2} \partial_y \Phi + \frac{m_e(1+\tau)^2}{m_i} \frac{r_n}{4\rho_i} [\hat{b} \times \nabla_{\perp} \Phi \cdot \nabla_{\perp}] \nabla_{\perp}^2 \Phi \\ & = 0. \end{aligned} \quad (24)$$