

Computing isomorphism classes of abelian varieties over finite fields

The 4th mini symposium of the RNTA

Marseglia Stefano

Stockholms University

18 April 2018

- Goal: compute **isomorphism classes** of (polarized) abelian varieties over a **finite field**.

- Goal: compute **isomorphism classes** of (polarized) abelian varieties over a **finite field**.
- in dimension $g > 1$ is not easy to produce equations.

- Goal: compute **isomorphism classes** of (polarized) abelian varieties over a **finite field**.
- in dimension $g > 1$ is not easy to produce equations.
- for $g > 3$ it is not enough to consider Jacobians.

- Goal: compute **isomorphism classes** of (polarized) abelian varieties over a **finite field**.
- in dimension $g > 1$ is not easy to produce equations.
- for $g > 3$ it is not enough to consider Jacobians.
- over \mathbb{C} :

$$\{\text{abelian varieties } / \mathbb{C}\} \longleftrightarrow \left\{ \mathbb{C}^g / L \text{ with } L \simeq \mathbb{Z}^{2g} \right\}.$$

+ Riemann form

- Goal: compute **isomorphism classes** of (polarized) abelian varieties over a **finite field**.
- in dimension $g > 1$ is not easy to produce equations.
- for $g > 3$ it is not enough to consider Jacobians.
- over \mathbb{C} :

$$\{\text{abelian varieties} / \mathbb{C}\} \longleftrightarrow \left\{ \begin{array}{l} \mathbb{C}^g / L \text{ with } L \simeq \mathbb{Z}^{2g} \\ + \text{ Riemann form} \end{array} \right\}.$$

- in positive characteristic we don't have such equivalence.

Theorem (Deligne '69)

Let $q = p^r$, with p a prime. There is an equivalence of categories:

$$\{\textbf{Ordinary abelian varieties over } \mathbb{F}_q\} \quad A$$

Theorem (Deligne '69)

Let $q = p^r$, with p a prime. There is an equivalence of categories:

$$\begin{array}{ccc}
 \{\textbf{Ordinary abelian varieties over } \mathbb{F}_q\} & & A \\
 \updownarrow & & \downarrow \\
 \left\{ \begin{array}{l} \text{pairs } (T, F), \text{ where } T \simeq_{\mathbb{Z}} \mathbb{Z}^{2g} \text{ and } T \xrightarrow{F} T \text{ s.t.} \\
 - F \otimes \mathbb{Q} \text{ is semisimple} \\
 - \text{the roots of } \text{char}_{F \otimes \mathbb{Q}}(x) \text{ have abs. value } \sqrt{q} \\
 - \text{half of them are } p\text{-adic units} \\
 - \exists V : T \rightarrow T \text{ such that } FV = VF = q \end{array} \right\} & & (T(A), F(A))
 \end{array}$$

Theorem (Deligne '69)

Let $q = p^r$, with p a prime. There is an equivalence of categories:

$$\begin{array}{ccc}
 \{\textbf{Ordinary abelian varieties over } \mathbb{F}_q\} & & A \\
 \updownarrow & & \downarrow \\
 \left\{ \begin{array}{l} \text{pairs } (T, F), \text{ where } T \simeq_{\mathbb{Z}} \mathbb{Z}^{2g} \text{ and } T \xrightarrow{F} T \text{ s.t.} \\
 - F \otimes \mathbb{Q} \text{ is semisimple} \\
 - \text{the roots of } \text{char}_{F \otimes \mathbb{Q}}(x) \text{ have abs. value } \sqrt{q} \\
 - \textbf{half of them are } p\text{-adic units} \\
 - \exists V : T \rightarrow T \text{ such that } FV = VF = q \end{array} \right\} & & (T(A), F(A))
 \end{array}$$

Remark

- If $\dim(A) = g$ then $\text{Rank}(T(A)) = 2g$;
- $\text{Frob}(A) \rightsquigarrow F(A)$.

Deligne's equivalence: square-free case

Fix a **ordinary square-free** characteristic q -Weil polynomial h .

\rightsquigarrow an isogeny class \mathcal{C}_h (by Honda-Tate).

Deligne's equivalence: square-free case

Fix a **ordinary square-free** characteristic q -Weil polynomial h .

\rightsquigarrow an isogeny class \mathcal{C}_h (by Honda-Tate).

Put

$$K := \mathbb{Q}[x]/(h) \text{ and } F := x \bmod h.$$

Deligne's equivalence: square-free case

Fix a **ordinary square-free** characteristic q -Weil polynomial h .

\rightsquigarrow an isogeny class \mathcal{C}_h (by Honda-Tate).

Put

$$K := \mathbb{Q}[x]/(h) \text{ and } F := x \bmod h.$$

Deligne's equivalence induces:

Theorem

$$\begin{array}{ccc} \{ \text{Ordinary abelian varieties over } \mathbb{F}_q \text{ in } \mathcal{C}_h \} / \simeq & & \\ \updownarrow & & \\ \{ \text{fractional ideals of } \mathbb{Z}[F, q/F] \subset K \} / \simeq & =: & \text{ICM}(\mathbb{Z}[F, q/F]) \\ & & \text{ideal class monoid} \end{array}$$

Let R be an order in a finite étale \mathbb{Q} -algebra K (with ring of integers \mathcal{O}_K).

Let R be an order in a finite étale \mathbb{Q} -algebra K (with ring of integers \mathcal{O}_K).

Recall: for fractional R -ideals I and J

$$I \simeq_R J \iff \exists x \in K^\times \text{ s.t. } xI = J$$

Let R be an order in a finite étale \mathbb{Q} -algebra K (with ring of integers \mathcal{O}_K).

Recall: for fractional R -ideals I and J

$$I \simeq_R J \iff \exists x \in K^\times \text{ s.t. } xI = J$$

Define the **ideal class monoid** of R as

$$\mathrm{ICM}(R) := \{\text{fractional } R\text{-ideals}\} / \simeq_R$$

Let R be an order in a finite étale \mathbb{Q} -algebra K (with ring of integers \mathcal{O}_K).

Recall: for fractional R -ideals I and J

$$I \simeq_R J \iff \exists x \in K^\times \text{ s.t. } xI = J$$

Define the **ideal class monoid** of R as

$$\mathrm{ICM}(R) := \{\text{fractional } R\text{-ideals}\} / \simeq_R$$



$$\mathrm{ICM}(R) \supseteq \mathrm{Pic}(R)$$

Let R be an order in a finite étale \mathbb{Q} -algebra K (with ring of integers \mathcal{O}_K).

Recall: for fractional R -ideals I and J

$$I \simeq_R J \iff \exists x \in K^\times \text{ s.t. } xI = J$$

Define the **ideal class monoid** of R as

$$\mathrm{ICM}(R) := \{\text{fractional } R\text{-ideals}\} / \simeq_R$$

- $\mathrm{ICM}(R) \supseteq \mathrm{Pic}(R)$

- ...actually

$$\mathrm{ICM}(R) \supseteq \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} \mathrm{Pic}(S).$$

Study the isomorphism problem locally: (Dade, Taussky, Zassenhaus '62)

Study the isomorphism problem locally: (Dade, Taussky, Zassenhaus '62)

weak equivalence:

$$I_{\mathfrak{p}} \simeq_{R_{\mathfrak{p}}} J_{\mathfrak{p}} \text{ for every } \mathfrak{p} \in \text{mSpec}(R)$$

Study the isomorphism problem locally: (Dade, Taussky, Zassenhaus '62)

weak equivalence:

$$I_{\mathfrak{p}} \simeq_{R_{\mathfrak{p}}} J_{\mathfrak{p}} \text{ for every } \mathfrak{p} \in \text{mSpec}(R)$$



$$1 \in (I : J)(J : I) \quad \text{easy to check!}$$

Study the isomorphism problem locally: (Dade, Taussky, Zassenhaus '62)

weak equivalence:

$$I_{\mathfrak{p}} \simeq_{R_{\mathfrak{p}}} J_{\mathfrak{p}} \text{ for every } \mathfrak{p} \in \text{mSpec}(R)$$



$$1 \in (I : J)(J : I) \quad \text{easy to check!}$$

Let $\mathcal{W}(R)$ be the set of weak eq. classes...

Study the isomorphism problem locally: (Dade, Taussky, Zassenhaus '62)

weak equivalence:

$$I_{\mathfrak{p}} \simeq_{R_{\mathfrak{p}}} J_{\mathfrak{p}} \text{ for every } \mathfrak{p} \in \text{mSpec}(R)$$



$$1 \in (I : J)(J : I) \quad \text{easy to check!}$$

Let $\mathcal{W}(R)$ be the set of weak eq. classes...

...whose representatives can be found in

$$\left\{ \text{sub-}R\text{-modules of } \mathcal{O}_K / \mathfrak{f}_R \right\} \quad \text{finite! and most of the time not-too-big ...}$$

Compute $\text{ICM}(R)$

Partition w.r.t. the multiplier ring:

$$\mathcal{W}(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} \overline{\mathcal{W}}(S)$$

$$\text{ICM}(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} \overline{\text{ICM}}(S)$$

Partition w.r.t. the multiplier ring:

$$\mathcal{W}(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} \overline{\mathcal{W}}(S)$$

$$\text{ICM}(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} \overline{\text{ICM}}(S)$$

the “bar” means “only classes with multiplier ring S ”

Partition w.r.t. the multiplier ring:

$$\mathcal{W}(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} \overline{\mathcal{W}}(S)$$

$$\text{ICM}(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} \overline{\text{ICM}}(S)$$

the “bar” means “only classes with multiplier ring S ”

Theorem

For every over-order S of R , $\text{Pic}(S)$ acts freely on $\overline{\text{ICM}}(S)$ and

$$\overline{\mathcal{W}}(S) = \overline{\text{ICM}}(S) / \text{Pic}(S)$$

Partition w.r.t. the multiplier ring:

$$\mathcal{W}(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} \overline{\mathcal{W}}(S)$$

$$\text{ICM}(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} \overline{\text{ICM}}(S)$$

the “bar” means “only classes with multiplier ring S ”

Theorem

For every over-order S of R , $\text{Pic}(S)$ acts freely on $\overline{\text{ICM}}(S)$ and

$$\overline{\mathcal{W}}(S) = \overline{\text{ICM}}(S) / \text{Pic}(S)$$

Repeat for every $R \subseteq S \subseteq \mathcal{O}_K$:

$$\rightsquigarrow \text{ICM}(R).$$

back to AV's: Dual variety/Polarization

- Howe ('95) defined a notion of **dual** module and of **polarization** in the category of Deligne modules.

back to AV's: Dual variety/Polarization

- Howe ('95) defined a notion of **dual** module and of **polarization** in the category of Deligne modules.
- Concretely, if $A \leftrightarrow I$, then $A^\vee \leftrightarrow \bar{I}^t$, and

back to AV's: Dual variety/Polarization

- Howe ('95) defined a notion of **dual** module and of **polarization** in the category of Deligne modules.
- Concretely, if $A \leftrightarrow I$, then $A^\vee \leftrightarrow \bar{I}^t$, and
- a polarization μ of A corresponds to a $\lambda \in K^\times$ such that
 - $\lambda I \subseteq \bar{I}^t$ (isogeny);
 - λ is totally imaginary ($\bar{\lambda} = -\lambda$);
 - λ is Φ -positive, where Φ is a specific CM-type of K .

Also: $\deg \mu = [\bar{I}^t : I]$.

back to AV's: Dual variety/Polarization

- Howe ('95) defined a notion of **dual** module and of **polarization** in the category of Deligne modules.
- Concretely, if $A \leftrightarrow I$, then $A^\vee \leftrightarrow \bar{I}^t$, and
- a polarization μ of A corresponds to a $\lambda \in K^\times$ such that
 - $\lambda I \subseteq \bar{I}^t$ (isogeny);
 - λ is totally imaginary ($\bar{\lambda} = -\lambda$);
 - λ is Φ -positive, where Φ is a specific CM-type of K .

Also: $\deg \mu = [\bar{I}^t : I]$.

- if $A \leftrightarrow I$ and $S = (I : I)$ then

$$\left\{ \begin{array}{l} \text{non-isomorphic} \\ \text{polarizations of } A \end{array} \right\} \longleftrightarrow \frac{\{\text{totally positive } u \in S^\times\}}{\{v\bar{v} : v \in S^\times\}}$$

and $\text{Aut}(A, \mu) = \{\text{torsion units of } S\}$

- Let
$$h(x) = x^8 - 5x^7 + 13x^6 - 25x^5 + 44x^4 - 75x^3 + 117x^2 - 135x + 81;$$
- \rightsquigarrow isogeny class of an simple ordinary abelian varieties over \mathbb{F}_3 of dimension 4;
- Let F be a root of $h(x)$ and put $R := \mathbb{Z}[F, 3/F] \subset \mathbb{Q}(F)$;
- 8 over-orders of R : two of them are not Gorenstein;
- $\# \text{ICM}(R) = 18 \rightsquigarrow 18$ isom. classes of AV in the isogeny class;
- 5 are not invertible in their multiplier ring;
- 8 classes admit principal polarizations;
- 10 isomorphism classes of princ. polarized AV.

Concretely:

$$\begin{aligned}
 I_1 = & 2645633792595191\mathbb{Z} \oplus (F + 836920075614551)\mathbb{Z} \oplus (F^2 + 1474295643839839)\mathbb{Z} \oplus \\
 & \oplus (F^3 + 1372829830503387)\mathbb{Z} \oplus (F^4 + 1072904687510)\mathbb{Z} \oplus \\
 & \oplus \frac{1}{3}(F^5 + F^4 + F^3 + 2F^2 + 2F + 6704806986143610)\mathbb{Z} \oplus \\
 & \oplus \frac{1}{9}(F^6 + F^5 + F^4 + 8F^3 + 2F^2 + 2991665243621169)\mathbb{Z} \oplus \\
 & \oplus \frac{1}{27}(F^7 + F^6 + F^5 + 17F^4 + 20F^3 + 9F^2 + 68015312518722201)\mathbb{Z}
 \end{aligned}$$

principal polarizations:

$$\begin{aligned}
 x_{1,1} = & \frac{1}{27}(-121922F^7 + 588604F^6 - 1422437F^5 + \\
 & + 1464239F^4 + 1196576F^3 - 7570722F^2 + 15316479F - 12821193) \\
 x_{1,2} = & \frac{1}{27}(3015467F^7 - 17689816F^6 + 35965592F^5 - \\
 & - 64660346F^4 + 121230619F^3 - 191117052F^2 + 315021546F - 300025458)
 \end{aligned}$$

$$\text{End}(I_1) = R$$

$$\# \text{Aut}(I_1, x_{1,1}) = \# \text{Aut}(I_1, x_{1,2}) = 2$$

$$\begin{aligned}
 I_7 = & 2\mathbb{Z} \oplus (F+1)\mathbb{Z} \oplus (F^2+1)\mathbb{Z} \oplus (F^3+1)\mathbb{Z} \oplus (F^4+1)\mathbb{Z} \oplus \frac{1}{3}(F^5+F^4+F^3+2F^2+2F+3)\mathbb{Z} \oplus \\
 & \oplus \frac{1}{36}(F^6+F^5+10F^4+26F^3+2F^2+27F+45)\mathbb{Z} \oplus \\
 & \oplus \frac{1}{216}(F^7+4F^6+49F^5+200F^4+116F^3+105F^2+198F+351)\mathbb{Z}
 \end{aligned}$$

principal polarization:

$$x_{7,1} = \frac{1}{54}(20F^7 - 43F^6 + 155F^5 - 308F^4 + 580F^3 - 1116F^2 + 2205F - 1809)$$

$$\begin{aligned}
 \text{End}(I_7) = & \mathbb{Z} \oplus F\mathbb{Z} \oplus F^2\mathbb{Z} \oplus F^3\mathbb{Z} \oplus F^4\mathbb{Z} \oplus \frac{1}{3}(F^5+F^4+F^3+2F^2+2F)\mathbb{Z} \oplus \\
 & \oplus \frac{1}{18}(F^6+F^5+10F^4+8F^3+2F^2+9F+9)\mathbb{Z} \oplus \\
 & \oplus \frac{1}{108}(F^7+4F^6+13F^5+56F^4+80F^3+33F^2+18F+27)\mathbb{Z}
 \end{aligned}$$

$$\# \text{Aut}(I_7, x_{7,1}) = 2$$

I_1 is invertible in R , but I_7 is not invertible in $\text{End}(I_7)$.

- Using Centeleghe-Stix '15 we can compute the isomorphism classes in \mathcal{C}_h over \mathbb{F}_p where h is square-free and $h(\sqrt{p}) \neq 0$

- Using Centeleghe-Stix '15 we can compute the isomorphism classes in \mathcal{C}_h over \mathbb{F}_p where h is square-free and $h(\sqrt{p}) \neq 0$ much larger subcategory ... but no polarizations in this case.

- Using Centeleghe-Stix '15 we can compute the isomorphism classes in \mathcal{C}_h over \mathbb{F}_p where h is square-free and $h(\sqrt{p}) \neq 0$ much larger subcategory ... but no polarizations in this case.
- we can also deal with the case \mathcal{C}_{h^d} (with h square-free) when $\mathbb{Z}[F, q/F]$ is Bass.

- Using Centeleghe-Stix '15 we can compute the isomorphism classes in \mathcal{C}_h over \mathbb{F}_p where h is square-free and $h(\sqrt{p}) \neq 0$ much larger subcategory ... but no polarizations in this case.
- we can also deal with the case \mathcal{C}_{h^d} (with h square-free) when $\mathbb{Z}[F, q/F]$ is Bass.
- base field extensions (ordinary case).

- Using Centeleghe-Stix '15 we can compute the isomorphism classes in \mathcal{C}_h over \mathbb{F}_p where h is square-free and $h(\sqrt{p}) \neq 0$ much larger subcategory ... but no polarizations in this case.
- we can also deal with the case \mathcal{C}_{h^d} (with h square-free) when $\mathbb{Z}[F, q/F]$ is Bass.
- base field extensions (ordinary case).
- period matrices (ordinary case) of the canonical lift.

Thank you!