Computing isomorphism classes and polarisations of abelian varieties over finite fields.

Stefano Marseglia

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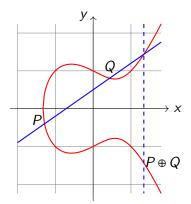
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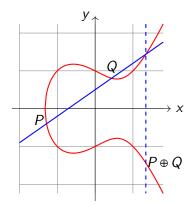
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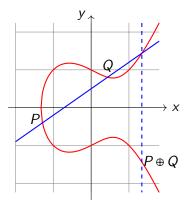
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Equations are impractical in $\dim \geq 2$.

We need a better way to represent them...



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- In char. p>0 such an equivalence cannot exist: there are (supersingular) elliptic curves with quaternionic endomorphism algebras.
- Nevertheless, over finite fields, we obtain analogous results if we restrict ourselves to certain subcategories of AVs.

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• Also, $h_A(x)$ is squarefree \iff End(A) is commutative.

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Theorem (Deligne '69)

Let $q = p^r$, with p a prime. There is an equivalence of categories:

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- Put $T(A) := H_1(\mathscr{A}_{\operatorname{can}} \otimes \mathbb{C}, \mathbb{Z})$ and F(A) :=the induced Frobenius.

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{abelian varieties over \mathbb{F}_q in \mathscr{C}_h}_{\simeq}

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{fractional ideals of \mathbb{Z}[F,V] \subset K}_{\simeq}
=: ICM(\mathbb{Z}[F,V])
ideal class monoid
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• Problem: $\mathbb{Z}[F, V]$ might not be maximal \rightsquigarrow non-invertible ideals.

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• Hofmann-Sircana : computation of over-orders.

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• Let $\mathcal{W}(R)$ be the set of weak eq. classes... ...whose representatives can be found in

$$\left\{ \text{sub-}R\text{-modules of } \mathscr{O}_{K/\mathfrak{f}_{R}} \right\} \quad \begin{array}{l} \text{finite! and most of the} \\ \text{time not-too-big } \dots \end{array}$$

where $f_R = (R : \mathcal{O}_K)$ is the conductor of R.

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Partition w.r.t. the multiplicator ring:

$$W(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} W_S(R)$$
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For every over-order S of R, Pic(S) acts freely on $ICM_S(R)$ and

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Repeat for every $R \subseteq S \subseteq \mathcal{O}_K$:

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- What about polarizations?

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- a polarization μ of A corresponds to a $\lambda \in K^{\times}$ such that
 - $\lambda I \subseteq \overline{I}^t$ (isogeny of $\deg \mu = [\overline{I}^t : \lambda I]$);
 - λ is totally imaginary $(\overline{\lambda} = -\lambda)$;
 - λ is Φ -positive $(\Im \varphi(\lambda) > 0$ for all $\varphi \in \Phi$),

where Φ is a CM-type of K satisf. the Shimura-Taniyama formula.

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- if $(A, \mu) \leftrightarrow (I, \lambda)$ is a princ. polarized ab. var. and S = (I:I) then $\begin{cases} \textit{non-isomorphic princ.} \\ \textit{polarizations of } A \end{cases} \longleftrightarrow \frac{\left\{ \textit{totally positive } u \in S^{\times} \right\}}{\left\{ v\overline{v} : v \in S^{\times} \right\}},$

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- and $Aut(A, \mu) = \{torsion \ units \ of \ S\}.$

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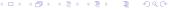
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- Let $h(x) = x^8 5x^7 + 13x^6 25x^5 + 44x^4 75x^3 + 117x^2 135x + 81$.
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- 10 isomorphism classes of princ. polarized AV.

Concretely:

$$\begin{split} I_1 = & 2645633792595191\mathbb{Z} \oplus (F + 836920075614551)\mathbb{Z} \oplus (F^2 + 1474295643839839)\mathbb{Z} \oplus \\ & \oplus (F^3 + 1372829830503387)\mathbb{Z} \oplus (F^4 + 1072904687510)\mathbb{Z} \oplus \\ & \oplus \frac{1}{3}(F^5 + F^4 + F^3 + 2F^2 + 2F + 6704806986143610)\mathbb{Z} \oplus \\ & \oplus \frac{1}{9}(F^6 + F^5 + F^4 + 8F^3 + 2F^2 + 2991665243621169)\mathbb{Z} \oplus \\ & \oplus \frac{1}{27}(F^7 + F^6 + F^5 + 17F^4 + 20F^3 + 9F^2 + 68015312518722201)\mathbb{Z} \end{split}$$

principal polarizations:

$$x_{1,1} = \frac{1}{27} \left(-121922F^7 + 588604F^6 - 1422437F^5 + \right.$$

$$+ 1464239F^4 + 1196576F^3 - 7570722F^2 + 15316479F - 12821193 \right)$$

$$x_{1,2} = \frac{1}{27} \left(3015467F^7 - 17689816F^6 + 35965592F^5 - \right.$$

$$- 64660346F^4 + 121230619F^3 - 191117052F^2 + 315021546F - 300025458 \right)$$

$$\operatorname{End}(I_1) = R$$

$$\# \operatorname{Aut}(I_{1,|X_1|}) = \# \operatorname{Aut}(I_{1,|X_1|}) = 2$$

Example

$$\begin{split} I_7 = & 2\mathbb{Z} \oplus (F+1)\mathbb{Z} \oplus (F^2+1)\mathbb{Z} \oplus (F^3+1)\mathbb{Z} \oplus (F^4+1)\mathbb{Z} \oplus \frac{1}{3}(F^5+F^4+F^3+2F^2+2F+3)\mathbb{Z} \oplus \\ & \oplus \frac{1}{36}(F^6+F^5+10F^4+26F^3+2F^2+27F+45)\mathbb{Z} \oplus \\ & \oplus \frac{1}{216}(F^7+4F^6+49F^5+200F^4+116F^3+105F^2+198F+351)\mathbb{Z} \end{split}$$

principal polarization:

$$x_{7,1} = \frac{1}{54} (20F^7 - 43F^6 + 155F^5 - 308F^4 + 580F^3 - 1116F^2 + 2205F - 1809)$$

$$\operatorname{End}(I_7) = \mathbb{Z} \oplus F \mathbb{Z} \oplus F^2 \mathbb{Z} \oplus F^3 \mathbb{Z} \oplus F^4 \mathbb{Z} \oplus \frac{1}{3} (F^5 + F^4 + F^3 + 2F^2 + 2F) \mathbb{Z} \oplus$$

$$\oplus \frac{1}{18} (F^6 + F^5 + 10F^4 + 8F^3 + 2F^2 + 9F + 9) \mathbb{Z} \oplus$$

$$\oplus \frac{1}{108} (F^7 + 4F^6 + 13F^5 + 56F^4 + 80F^3 + 33F^2 + 18F + 27) \mathbb{Z}$$
#Aut $(I_7, x_{7,1}) = 2$

 I_1 is invertible in R, but I_7 is not invertible in $\operatorname{End}(I_7)$.

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Outside of the ordinary...

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Theorem (Centeleghe-Stix '15)

There is an equivalence of categories:

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pairs (T,F), where T \simeq_{\mathbb{Z}} \mathbb{Z}^{2g} and T \xrightarrow{F} T s.t.
- F \otimes \mathbb{Q} \text{ is semisimple}
- the roots of <math>\operatorname{char}_{F \otimes \mathbb{Q}}(x) \text{ have abs. value } \sqrt{p}
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- Now, $T(A) := \text{Hom}(A, A_w)$, where A_w has minimal End among the varieties with Weil support w = w(A).
- F(A) is the induced Frobenius.

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- For polarizations, the results by Howe do not apply immediately to the Centeleghe-Strix case:
- in general we cannot lift canonically each abelian variety.

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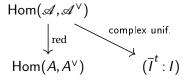
If (K,Φ) satisfies the RRC then in \mathscr{C}_h there exists an abelian variety A admitting a canonical lifting \mathscr{A} .

• If we understand the polarizations of A we can 'spread' them to the whole isogeny class.

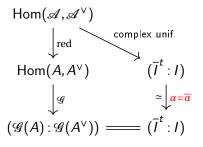
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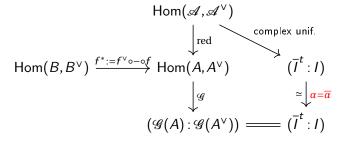
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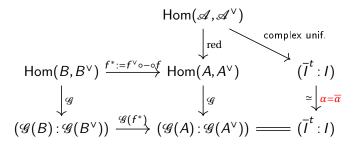


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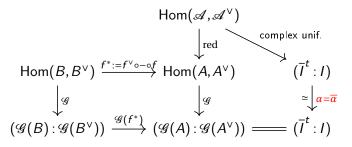
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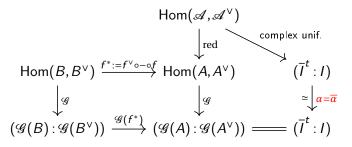


Note that $\mathscr{G}(f^*)$ is multiplication by the totally positive element $\overline{\mathscr{G}(f)}\mathscr{G}(f)$:

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Stefano Marseglia

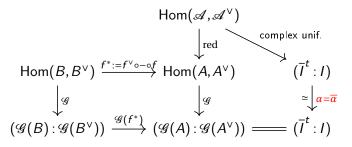
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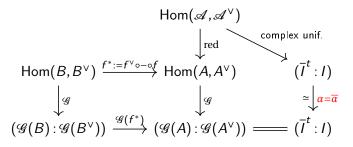
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Note that $\mathscr{G}(f^*)$ is multiplication by the totally positive element $\overline{\mathscr{G}(f)}\mathscr{G}(f)$: it sends totally imaginary elements to totally imaginary elements and Φ -positive elements to Φ -positive elements. The only 'issue' is the α . We study when we can 'pretend' $\alpha=1$.

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Assume A admits a canonical lifting. Put $S := \operatorname{End}(A)$ Let B be isogenous to A. Put $T = \operatorname{End}(B)$. The previous diagram tells us that the princ. polarisations of B (up-to-iso) are in bijections with

 $\mathscr{P}^\alpha_\Phi(B) := \{i_0 \cdot u : u \in T^\times / \left\{ v \overline{v} : v \in T^\times \right\} \text{ s.t. } \alpha^{-1} i_0 u \text{ is tot. img. and } \Phi\text{-pos.} \}$

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(which does not depend on α !)

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Corollary

If $S = \mathbb{Z}[F, V]$ (eg. $AV_h(p)$ is ordinary or almost-ordinary) then we can ignore α .

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Assume A admits a canonical lifting. Put $S := \operatorname{End}(A)$ Let B be isogenous to A. Put $T = \operatorname{End}(B)$. The previous diagram tells us that the princ. polarisations of B (up-to-iso) are in bijections with

 $\mathscr{P}^{\alpha}_{\Phi}(B) := \{i_0 \cdot u : u \in \mathcal{T}^{\times} / \{v\overline{v} : v \in \mathcal{T}^{\times}\} \text{ s.t. } \alpha^{-1}i_0u \text{ is tot. img. and } \Phi\text{-pos.} \}$ Theorem (1)

Denote by $S^*_{\mathbb{R}}$ (resp. $T^*_{\mathbb{R}}$) the group of totally real units of S (resp. T). If $S^*_{\mathbb{R}} \subseteq T^*_{\mathbb{R}}$, then the set $\mathscr{P}^{\alpha}_{\Phi}(B)$ is in bijection with the set

$$\mathscr{P}^1_{\Phi}(B) = \{i_0 \cdot u : u \in T^\times / \left\{ v \overline{v} : v \in T^\times \right\} \text{ s.t. } i_0 u \text{ is tot. img. and } \Phi\text{-pos.} \}.$$

(which does not depend on α !)

Corollary

If $S = \mathbb{Z}[F, V]$ (eg. $AV_h(p)$ is ordinary or almost-ordinary) then we can ignore α . We recover Deligne+Howe and Oswal-Shankar

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We run computations over all squarefree isogeny classes over small prime fields of dim 2,3 and 4.

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squarefree dimension 3			p = 2	p = 3	p = 5	p = 7
total			185	621	2863	7847
ordinary			82	390	2280	6700
almost ordinary			58	170	474	996
	no RRC		0	0	0	0
<i>p</i> -rank 1	yes RRC	Thm 1 yes	20	26	76	118
		Thm 1 no	4	16	12	8
	no RRC		0	3	2	1
<i>p</i> -rank 0	yes RRC	Thm 1 yes	20	15	17	23
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Among the 45 isogeny classes which we cannot 'handle' with Thm 1, we can compute the number of PPAV for 32 of them using other techniques. For the remaining 13 (all over \mathbb{F}_2 and \mathbb{F}_3) we only get partial info.

squa	p = 2	p = 3		
	1431	10453		
	656	6742		
almost ordinary			392	2506
	no RRC		0	0
<i>p</i> -rank 2	yes RRC	Thm 1 yes	149	500
		Thm 1 no	49	312
	no RRC		6	36
<i>p</i> -rank 1	yes RRC	Thm 1 yes	80	184
		Thm 1 no	14	40
	no RRC		3	6
<i>p</i> -rank 0	yes RRC	Thm 1 yes	73	88
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Thm 1 $(S_{\mathbb{R}}^* \subseteq T_{\mathbb{R}}^*)$ doesn't handle $72/\mathbb{F}_2$ and $391/\mathbb{F}_3$. Out of these, we can use other techniques for $20/\mathbb{F}_2$ and $214/\mathbb{F}_3$. For the remaining $52/\mathbb{F}_2$ and $171/\mathbb{F}_3$ we can only get information about certain endomorphism rings (723 out of 946 and 3481 out of 4636, respectively).

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Thank you!

Effective Results II

Theorem (2)

Assume that there are r isomorphism classes of abelian varieties in $AV_h(p)$ with endomorphism ring T, represented under $\mathscr G$ by the fractional ideals I_1, \ldots, I_r . For any CM-type Φ' , we put

 $\mathcal{P}^1_{\Phi'}(I_i) = \{i_0 \cdot u : u \in \mathcal{T} \text{ such that } i_0 u \text{ is totally imaginary and } \Phi' \text{-positive } \}.$

If there exists a non-negative integer N such that for every CM-type Φ' we have

$$|\mathcal{P}^1_{\Phi'}\big(I_1\big)|+\dots+|\mathcal{P}^1_{\Phi'}\big(I_r\big)|=N$$

then there are exactly N isomorphism classes of principally polarized abelian varieties with endomorphism ring T.

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Proof.

- Consider the association $\Phi' \mapsto b$ where $b \in L^*$ is tot. imaginary and Φ' -positive.
- We can go back: for every b tot. imaginary there exists a unique CM-type Φ_b s.t. b is Φ_b -positive.
- ullet Hence the totally real elements of L^* acts on the set of CM-types.
- If $\Phi = \Phi_b$ is the CM-type for which we have a canonical lift (as before) then $\mathscr{P}^{\alpha}_{\Phi_b}(I_i) \longleftrightarrow \mathscr{P}^1_{\Phi_{\alpha b}}(I_i)$.
- If the we get the 'same sum' (over the Ii's) for every CM-type we know that the result must be the correct one!

Note: even if the sum is not the same for all Φ' 's then we know that one of the outputs is the correct one!

4 D > 4 A > 4 B > 4 B > 9 Q P

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