Isomorphism classes of abelian varieties over finite fields

Marseglia Stefano

Utrecht University

DIAMANT symposium - 28 November 2019

Marseglia Stefano

 An abelian variety over a field k is a projective connected group variety over k.

- An abelian variety over a field k is a projective connected group variety over k.
- e.g. AVs of dim 1 are elliptic curves:

when
$$\operatorname{char}(k) \neq 2, 3 \rightsquigarrow Y^2 = X^3 + AX + B$$

- An abelian variety over a field k is a projective connected group variety over k.
- e.g. AVs of dim 1 are elliptic curves:

when
$$\operatorname{char}(k) \neq 2, 3 \rightsquigarrow Y^2 = X^3 + AX + B$$

• Goal: compute isomorphism classes of abelian varieties over a finite field (+ extra structure, like polarizations, period matrices, etc.)

Marseglia Stefano

- An abelian variety over a field k is a projective connected group variety over k.
- e.g. AVs of dim 1 are elliptic curves:

when
$$\operatorname{char}(k) \neq 2, 3 \rightsquigarrow Y^2 = X^3 + AX + B$$

- Goal: compute isomorphism classes of abelian varieties over a finite field (+ extra structure, like polarizations, period matrices, etc.)
- in dimension g > 1 is not easy to produce equations.

Marseglia Stefano

- An abelian variety over a field k is a projective connected group variety over k.
- e.g. AVs of dim 1 are elliptic curves:

when
$$\operatorname{char}(k) \neq 2, 3 \rightsquigarrow Y^2 = X^3 + AX + B$$

- Goal: compute isomorphism classes of abelian varieties over a finite field (+ extra structure, like polarizations, period matrices, etc.)
- in dimension g > 1 is not easy to produce equations.
- over ℂ:

{abelian varieties
$$/\mathbb{C}$$
} \longleftrightarrow $\left\{ \begin{array}{l} \mathbb{C}^g/L \text{ with } L \simeq \mathbb{Z}^{2g} \text{ with } \\ \text{eq.cl. of Riemann form} \end{array} \right\}$

- An abelian variety over a field k is a projective connected group variety over k.
- e.g. AVs of dim 1 are elliptic curves:

when
$$\operatorname{char}(k) \neq 2, 3 \rightsquigarrow Y^2 = X^3 + AX + B$$

- Goal: compute isomorphism classes of abelian varieties over a finite field (+ extra structure, like polarizations, period matrices, etc.)
- in dimension g > 1 is not easy to produce equations.
- over C:

{abelian varieties
$$/\mathbb{C}$$
} \longleftrightarrow $\left\{ \begin{array}{l} \mathbb{C}^g/L \text{ with } L \simeq \mathbb{Z}^{2g} \text{ with } \\ \text{eq.cl. of Riemann form} \end{array} \right\}$

• in positive characteristic we don't have such equivalence.

Marseglia Stefano 28 November 2019 2 / 14

• A and B are isogenous if $\dim A = \dim B$ and there exists a surjective homomorphism $\varphi : A \to B$.

- A and B are isogenous if $\dim A = \dim B$ and there exists a surjective homomorphism $\varphi : A \to B$.
- Being isogenous is an equivalence relation.

- A and B are isogenous if $\dim A = \dim B$ and there exists a surjective homomorphism $\varphi : A \to B$.
- Being isogenous is an equivalence relation.
- ullet A/\mathbb{F}_{p^r} comes with a Frobenius endomorphism, that induces an action

Frob_A:
$$T_{\ell}A \rightarrow T_{\ell}A$$
 for any $\ell \neq p$.

 $char(Frob_A)$ is a p^r -Weil polynomial.

- A and B are isogenous if dim $A = \dim B$ and there exists a surjective homomorphism $\varphi : A \to B$.
- Being isogenous is an equivalence relation.
- A/\mathbb{F}_{p^r} comes with a Frobenius endomorphism, that induces an action

Frob_A:
$$T_{\ell}A \rightarrow T_{\ell}A$$
 for any $\ell \neq p$.

 $char(Frob_A)$ is a p^r -Weil polynomial.

• By Honda-Tate theory, the association

isogeny class of
$$A \mapsto \operatorname{char}(\operatorname{Frob}_A)$$

is injective and allows us to enumerate all AVs up to isogeny.

Marseglia Stefano

Deligne's equivalence

```
Theorem (Deligne '69)
```

Let $q = p^r$, with p a prime. There is an equivalence of categories:

 $\{ \text{ Ordinary } \textit{abelian varieties over } \mathbb{F}_q \}$

Α

Deligne's equivalence

Theorem (Deligne '69)

Let $q = p^r$, with p a prime. There is an equivalence of categories:

$$\left\{ \begin{array}{ll} \textbf{Ordinary } \textit{abelian } \textit{varieties } \textit{over } \mathbb{F}_q \right\} & A \\ & \downarrow \\ & \downarrow \\ \textit{pairs } (T,F), \textit{ where } T \simeq_{\mathbb{Z}} \mathbb{Z}^{2g} \textit{ and } T \xrightarrow{F} T \textit{ s.t.} \\ -F \otimes \mathbb{Q} \textit{ is semisimple} \\ -\textit{ the roots } \textit{of } \textit{char}_{F \otimes \mathbb{Q}}(x) \textit{ have } \textit{abs. } \textit{value } \sqrt{q} \\ -\textit{half } \textit{of } \textit{them } \textit{are } \textit{p-adic } \textit{units} \\ -\exists \textit{V}: T \rightarrow T \textit{ such } \textit{that } \textit{FV} = \textit{VF} = \textit{q} \\ \end{array} \right\}$$

Deligne's equivalence

Theorem (Deligne '69)

Let $q = p^r$, with p a prime. There is an equivalence of categories:

$$\left\{ \begin{array}{ll} \textbf{Ordinary } \textit{abelian } \textit{varieties over } \mathbb{F}_q \right\} & A \\ & \downarrow & \downarrow \\ \\ \textit{pairs } (T,F), \textit{ where } T \simeq_{\mathbb{Z}} \mathbb{Z}^{2g} \textit{ and } T \xrightarrow{F} T \textit{ s.t.} \\ -F \otimes \mathbb{Q} \textit{ is semisimple} \\ -\textit{the roots of } \textit{char}_{F \otimes \mathbb{Q}}(x) \textit{ have abs. } \textit{value } \sqrt{q} \\ -\textit{half of them are } p\text{-adic units} \\ -\exists V: T \rightarrow T \textit{ such that } FV = VF = q \\ \end{array} \right\}$$

Remark

- If dim(A) = g then Rank(T(A)) = 2g;
- Frob(A) \rightsquigarrow F(A).

Marseglia Stefano 28 November 2019

• Fix an **ordinary squarefree** q-Weil polynomial h:

- Fix an **ordinary squarefree** q-Weil polynomial h:
- \rightsquigarrow an isogeny class \mathscr{C}_h .

- Fix an **ordinary squarefree** q-Weil polynomial h:
- \rightsquigarrow an isogeny class \mathscr{C}_h .
- Put $K := \mathbb{Q}[x]/(h) = \mathbb{Q}[F]$.

- Fix an ordinary squarefree q-Weil polynomial h :
- \rightsquigarrow an isogeny class \mathscr{C}_h .
- Put $K := \mathbb{Q}[x]/(h) = \mathbb{Q}[F]$.
- Deligne's equivalence induces:

Theorem (M.)

Marseglia Stefano

- Fix an ordinary squarefree q-Weil polynomial h :
- \rightsquigarrow an isogeny class \mathscr{C}_h .
- Put $K := \mathbb{Q}[x]/(h) = \mathbb{Q}[F]$.
- Deligne's equivalence induces:

↓□▶ ↓□▶ ↓□▶ ↓□▶ ↓□ ♥ ♀○

- Fix an ordinary squarefree q-Weil polynomial h :
- \rightsquigarrow an isogeny class \mathscr{C}_h .
- Put $K := \mathbb{Q}[x]/(h) = \mathbb{Q}[F]$.
- Deligne's equivalence induces:

• Problem: $\mathbb{Z}[F, q/F]$ might not be maximal \rightsquigarrow non-invertible ideals.

Marseglia Stefano 28 November 2019 5/14

Let R be an order in a finite étale \mathbb{Q} -algebra K.

Let R be an order in a finite étale \mathbb{Q} -algebra K.

• Recall: for fractional R-ideals I and J

$$I \simeq_R J \Longleftrightarrow \exists x \in K^\times \text{ s.t. } xI = J$$

Let R be an order in a finite étale \mathbb{Q} -algebra K.

• Recall: for fractional R-ideals I and J

$$I \simeq_R J \iff \exists x \in K^\times \text{ s.t. } xI = J$$

We have

$$ICM(R) \supseteq Pic(R) = { invertible fractional R-ideals } /_{\simeq_R}$$
 with equality \$\(\frac{1}{2}\) iff $R = \mathcal{O}_K$

Let R be an order in a finite étale \mathbb{Q} -algebra K.

• Recall: for fractional R-ideals I and J

$$I \simeq_R J \Longleftrightarrow \exists x \in K^\times \text{ s.t. } xI = J$$

We have

$$ICM(R) \supseteq Pic(R) = \{invertible \ fractional \ R-ideals\}_{\cong R}$$

with equality $\ frac{1}{2} \ iff \ R = \mathcal{O}_K$

...and actually

$$ICM(R) \supseteq \bigsqcup_{\substack{R \subseteq S \subseteq \mathcal{O}_K \text{over-orders}}} Pic(S)$$
 with equality iff R is Bass

Marseglia Stefano 28 November 2019 6 / 14

Study the isomorphism problem locally: (Dade, Taussky, Zassenhaus '62)

Marseglia Stefano

7 / 14

Study the isomorphism problem locally: (Dade, Taussky, Zassenhaus '62)

• weak equivalence:

$$I_{\mathfrak{p}} \simeq_{R_{\mathfrak{p}}} J_{\mathfrak{p}}$$
 for every $\mathfrak{p} \in \mathsf{mSpec}(R)$

Study the isomorphism problem locally: (Dade, Taussky, Zassenhaus '62)

• weak equivalence:

$$I_{\mathfrak{p}} \simeq_{R_{\mathfrak{p}}} J_{\mathfrak{p}}$$
 for every $\mathfrak{p} \in \mathsf{mSpec}(R)$
$$\updownarrow$$

$$1 \in (I:J)(J:I) \quad \mathsf{easy to check!}$$

Study the isomorphism problem locally: (Dade, Taussky, Zassenhaus '62)

• weak equivalence:

$$I_{\mathfrak{p}} \simeq_{R_{\mathfrak{p}}} J_{\mathfrak{p}}$$
 for every $\mathfrak{p} \in \mathsf{mSpec}(R)$
$$\updownarrow$$

$$1 \in (I:J)(J:I) \quad \mathsf{easy to check!}$$

• Let $\mathcal{W}(R)$ be the set of weak eq. classes...

Study the isomorphism problem locally: (Dade, Taussky, Zassenhaus '62)

• weak equivalence:

$$I_{\mathfrak{p}} \simeq_{R_{\mathfrak{p}}} J_{\mathfrak{p}}$$
 for every $\mathfrak{p} \in \mathsf{mSpec}(R)$
$$\updownarrow$$

$$1 \in (I:J)(J:I) \quad \mathsf{easy to check!}$$

Let W(R) be the set of weak eq. classes...
 ...whose representatives can be found in

$$\left\{ \text{sub-}R\text{-modules of } \mathcal{O}_{K/f_R} \right\}$$
 finite! and most of the time not-too-big ...

→ロト → □ ト → 亘 ト → 亘 ・ り へ ○

Marseglia Stefano

Partition w.r.t. the multiplicator ring:

$$W(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} W_S(R)$$
$$ICM(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} ICM_S(R)$$

Partition w.r.t. the multiplicator ring:

$$W(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} W_S(R)$$

$$ICM(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} ICM_S(R)$$

the "pedix" -S means "only classes with multiplicator ring S"

Partition w.r.t. the multiplicator ring:

$$W(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} W_S(R)$$
$$ICM(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} ICM_S(R)$$

the "pedix" -S means "only classes with multiplicator ring S"

Theorem (M.)

For every over-order S of R, Pic(S) acts freely on $ICM_S(R)$ and

$$W_S(R) = ICM_S(R)/Pic(S)$$

Marseglia Stefano

Partition w.r.t. the multiplicator ring:

$$W(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} W_S(R)$$
$$ICM(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} ICM_S(R)$$

the "pedix" -S means "only classes with multiplicator ring S"

Theorem (M.)

For every over-order S of R, Pic(S) acts freely on $ICM_S(R)$ and

$$W_S(R) = ICM_S(R)/Pic(S)$$

Repeat for every $R \subseteq S \subseteq \mathcal{O}_K$:

$$\rightsquigarrow ICM(R)$$
.

4 D > 4 A > 4 B > 4 B > 9 Q P

back to AV's: Dual variety/Polarization

Howe described dual varieties and polarizations on Deligne modules.

Theorem (M.)

If $A \leftrightarrow I$, then:

9 / 14

back to AV's: Dual variety/Polarization

Howe described dual varieties and polarizations on Deligne modules.

Theorem (M.)

If $A \leftrightarrow I$, then:

$$\bullet \ A^{\vee} \leftrightarrow \overline{I}^t.$$

9 / 14

back to AV's: Dual variety/Polarization

Howe described dual varieties and polarizations on Deligne modules.

Theorem (M.)

If $A \leftrightarrow I$, then:

- $A^{\vee} \leftrightarrow \overline{I}^t$.
- a polarization μ of A corresponds to a $\lambda \in K^{\times}$ such that
 - $\lambda I \subseteq \overline{I}^t$ (isogeny);
 - λ is totally imaginary $(\overline{\lambda} = -\lambda)$;
 - λ is Φ -positive, where Φ is a specific CM-type of K.

Also:
$$\deg \mu = [\overline{I}^t : \lambda I].$$

9 / 14

back to AV's: Dual variety/Polarization

Howe described dual varieties and polarizations on Deligne modules.

Theorem (M.)

If $A \leftrightarrow I$, then:

- $A^{\vee} \leftrightarrow \overline{I}^t$.
- a polarization μ of A corresponds to a $\lambda \in K^{\times}$ such that
 - $\lambda I \subseteq \overline{I}^t$ (isogeny);
 - λ is totally imaginary $(\overline{\lambda} = -\lambda)$;
 - λ is Φ -positive, where Φ is a specific CM-type of K.

Also:
$$\deg \mu = [\overline{I}^t : \lambda I].$$

• if $(A, \mu) \leftrightarrow (I, \lambda)$ and S = (I : I) then

$$\left\{ \begin{array}{l} \textit{non-isomorphic} \\ \textit{polarizations of A} \\ \textit{of degree} = \deg \lambda \end{array} \right\} \longleftrightarrow \frac{\left\{ \textit{totally positive } u \in S^{\times} \right\}}{\left\{ v\overline{v} : v \in S^{\times} \right\}}.$$

9 / 14

Marseglia Stefano 28 November 2019

back to AV's: Dual variety/Polarization

Howe described dual varieties and polarizations on Deligne modules.

Theorem (M.)

If $A \leftrightarrow I$, then:

- $A^{\vee} \leftrightarrow \overline{I}^t$.
- ullet a polarization μ of A corresponds to a $\lambda \in K^{\times}$ such that
 - $\lambda I \subseteq \overline{I}^t$ (isogeny);
 - λ is totally imaginary $(\overline{\lambda} = -\lambda)$;
 - λ is Φ -positive, where Φ is a specific CM-type of K.

Also:
$$\deg \mu = [\overline{I}^t : \lambda I].$$

• if $(A, \mu) \leftrightarrow (I, \lambda)$ and S = (I : I) then

$$\left\{ \begin{array}{l} \textit{non-isomorphic} \\ \textit{polarizations of A} \\ \textit{of degree} = \deg \lambda \end{array} \right\} \longleftrightarrow \frac{\left\{ \textit{totally positive } u \in S^{\times} \right\}}{\left\{ v\overline{v} : v \in S^{\times} \right\}}.$$

• Aut $(A, \mu) = \{torsion \ units \ of \ S\}.$

Marseglia Stefano

Example

- Let $h(x) = x^8 5x^7 + 13x^6 25x^5 + 44x^4 75x^3 + 117x^2 135x + 81$.
- \rightsquigarrow isogeny class of an simple ordinary abelian varieties over \mathbb{F}_3 of dimension 4.
- Let F be a root of h(x) and put $R := \mathbb{Z}[F, 3/F] \subset \mathbb{Q}(F)$.
- 8 over-orders of R: two of them are not Gorenstein.
- $\#ICM(R) = 18 \leftrightarrow 18$ isom. classes of AV in the isogeny class.
- 5 are not invertible in their multiplicator ring.
- 8 classes admit principal polarizations.
- 10 isomorphism classes of princ. polarized AV.

Example

Concretely:

$$\begin{split} I_1 = & 2645633792595191\mathbb{Z} \oplus (F + 836920075614551)\mathbb{Z} \oplus (F^2 + 1474295643839839)\mathbb{Z} \oplus \\ & \oplus (F^3 + 1372829830503387)\mathbb{Z} \oplus (F^4 + 1072904687510)\mathbb{Z} \oplus \\ & \oplus \frac{1}{3}(F^5 + F^4 + F^3 + 2F^2 + 2F + 6704806986143610)\mathbb{Z} \oplus \\ & \oplus \frac{1}{9}(F^6 + F^5 + F^4 + 8F^3 + 2F^2 + 2991665243621169)\mathbb{Z} \oplus \\ & \oplus \frac{1}{27}(F^7 + F^6 + F^5 + 17F^4 + 20F^3 + 9F^2 + 68015312518722201)\mathbb{Z} \end{split}$$

principal polarizations:

$$x_{1,1} = \frac{1}{27} \left(-121922F^7 + 588604F^6 - 1422437F^5 + \right.$$

$$+ 1464239F^4 + 1196576F^3 - 7570722F^2 + 15316479F - 12821193 \right)$$

$$x_{1,2} = \frac{1}{27} \left(3015467F^7 - 17689816F^6 + 35965592F^5 - \right.$$

$$- 64660346F^4 + 121230619F^3 - 191117052F^2 + 315021546F - 300025458 \right)$$

$$\operatorname{End}(I_1) = R$$

$$\# \operatorname{Aut}(I_{1,1}x_{1,1}) = \# \operatorname{Aut}(I_{1,1}x_{1,2}) = 2$$

Marseglia Stefano 28 November 2019 11 / 14

Example

$$\begin{split} I_7 = & 2\mathbb{Z} \oplus (F+1)\mathbb{Z} \oplus (F^2+1)\mathbb{Z} \oplus (F^3+1)\mathbb{Z} \oplus (F^4+1)\mathbb{Z} \oplus \frac{1}{3}(F^5+F^4+F^3+2F^2+2F+3)\mathbb{Z} \oplus \\ & \oplus \frac{1}{36}(F^6+F^5+10F^4+26F^3+2F^2+27F+45)\mathbb{Z} \oplus \\ & \oplus \frac{1}{216}(F^7+4F^6+49F^5+200F^4+116F^3+105F^2+198F+351)\mathbb{Z} \end{split}$$

principal polarization:

$$x_{7,1} = \frac{1}{54} (20F^7 - 43F^6 + 155F^5 - 308F^4 + 580F^3 - 1116F^2 + 2205F - 1809)$$

$$\operatorname{End}(I_7) = \mathbb{Z} \oplus F \mathbb{Z} \oplus F^2 \mathbb{Z} \oplus F^3 \mathbb{Z} \oplus F^4 \mathbb{Z} \oplus \frac{1}{3} (F^5 + F^4 + F^3 + 2F^2 + 2F) \mathbb{Z} \oplus$$

$$\oplus \frac{1}{18} (F^6 + F^5 + 10F^4 + 8F^3 + 2F^2 + 9F + 9) \mathbb{Z} \oplus$$

$$\oplus \frac{1}{108} (F^7 + 4F^6 + 13F^5 + 56F^4 + 80F^3 + 33F^2 + 18F + 27) \mathbb{Z}$$
#Aut $(I_7, x_{7,1}) = 2$

 I_1 is invertible in R, but I_7 is not invertible in $\operatorname{End}(I_7)$

Marseglia Stefano 28 November 2019 12 / 14

• Using Centeleghe-Stix '15 we can compute the isomorphism classes in \mathscr{C}_h over \mathbb{F}_p where h is square-free and without real roots.

• Using Centeleghe-Stix '15 we can compute the isomorphism classes in \mathscr{C}_h over \mathbb{F}_p where h is square-free and without real roots. much larger subcategory!!!

- Using Centeleghe-Stix '15 we can compute the isomorphism classes in \mathscr{C}_h over \mathbb{F}_p where h is square-free and without real roots. much larger subcategory!!!
- isogeny classes \mathscr{C}_{hd} (with h square-free) when $\mathbb{Z}[F,q/F]$ is Bass.

- Using Centeleghe-Stix '15 we can compute the isomorphism classes in \mathscr{C}_h over \mathbb{F}_p where h is square-free and without real roots. much larger subcategory!!!
- isogeny classes \mathscr{C}_{hd} (with h square-free) when $\mathbb{Z}[F,q/F]$ is Bass.
- base field extensions and twists (ordinary case) (soon on arXiv).

- Using Centeleghe-Stix '15 we can compute the isomorphism classes in \mathcal{C}_h over \mathbb{F}_p where h is square-free and without real roots. much larger subcategory!!!
- isogeny classes \mathcal{C}_{h^d} (with h square-free) when $\mathbb{Z}[F,q/F]$ is Bass.
- base field extensions and twists (ordinary case) (soon on arXiv).
- period matrices (ordinary case) of the canonical lift.

- Using Centeleghe-Stix '15 we can compute the isomorphism classes in \mathcal{C}_h over \mathbb{F}_p where h is square-free and without real roots. much larger subcategory!!!
- isogeny classes \mathscr{C}_{h^d} (with h square-free) when $\mathbb{Z}[F,q/F]$ is Bass.
- base field extensions and twists (ordinary case) (soon on arXiv).
- period matrices (ordinary case) of the canonical lift.
- results of computations will appear on the LMFDB.

Thank you!