## Abelian varieties over finite fields isogenous to a power

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#### Introduction

#### Today's plan:

- Introduction.
- AV A isogenous to  $B^r$ , for B ordinary square-free defined over  $\mathbb{F}_q$ .
- Isomorphism classes.
- Polarizations.
- Computations of polarizations and period matrices (r = 1).

Also, all morphisms are defined over the field of definition!

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- AV are projective and commutative.
- In dimension 1: elliptic curves.
- If  $chark \neq 2,3$  we can always produce a model:

$$Y^{2}Z = X^{3} + AXZ^{2} + BZ^{3}$$
 with  $4A^{3} + 27B^{2} \neq 0$ 

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- ullet over  $\mathbb{C}$ :

$$\{\text{abelian varieties }/\mathbb{C}\}\longleftrightarrow \left\{ \begin{matrix} \mathbb{C}^g/L \text{ with } L\simeq \mathbb{Z}^{2g}\\ \text{(eq. classes of)} \\ \text{Riemann form} \end{matrix} \right\}$$

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• in positive characteristic we don't have such an equivalence (at least for the whole category).

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#### Recall

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$$A \sim_{\mathbb{F}_q} B_1^{e_1} \times \ldots \times B_s^{e_s}$$
 Poincaré decomposition

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## Theorem (Honda-Tate)

There is a bijection between the set of simple abelian varieties over  $\mathbb{F}_q$  up to isogeny and the set of q-Weil numbers up to conjugacy.

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- $\bigcirc$  the mid-coefficient of  $h_A$  is coprime with p



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### Proposition

For B ordinary over  $\mathbb{F}_q$ :

 $h_B$  is irreducible  $\iff$  B is simple

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# Deligne's equivalence

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Theorem (Deligne '69)
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Let  $q = p^d$ , with p a prime. There is an equivalence of categories:

 $\mathsf{AV}^{ord}(q) := \{ \mathsf{Ordinary} \ abelian \ varieties \ over \ \mathbb{F}_q \}$ 

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$$\updownarrow$$

$$\mathcal{M}^{ord}(q) := \left\{ \begin{aligned} & pairs \ (T,F), \ where \ T \simeq_{\mathbb{Z}} \mathbb{Z}^{2g} \ and \ T \xrightarrow{F} T \\ & s.t. \\ & -F \otimes \mathbb{Q} \ is \ semisimple \\ & -the \ roots \ of \ \mathsf{char}_{F \otimes \mathbb{Q}}(x) \ have \ abs. \ value \ \sqrt{q} \\ & - \ \mathsf{half} \ \ \mathsf{of \ them \ are} \ p\ - \ \mathsf{adic \ units} \\ & -\exists V: T \to T \ such \ that \ FV = VF = q \end{aligned} \right\}$$

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# Deligne's equivalence - the functor

- fix an embedding of  $\varepsilon: W = W(\overline{\mathbb{F}}_p) \hookrightarrow \mathbb{C}$
- take  $A \in \mathsf{AV}^{\mathsf{ord}}(q)$
- let A' be the canonical lift of A to W
- ullet put  $A_{\mathbb C}:=A'\otimes_{arepsilon}{\mathbb C}$
- finally, let  $T(A) := H_1(A_{\mathbb{C}}, \mathbb{Z})$
- the construction is functorial: Frobenius  $\pi(A) \rightsquigarrow F(A)$ .

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Observe if dim(A) = g then Rank(T(A)) = 2g;

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Observe: if  $A \in AV(g^r)$  then

$$A \sim (B_1 \times \ldots \times B_s)^r$$

with

$$g = h_{B_1 \times ... \times B_s}$$

Consider the CM étale Q-algebra

$$K = \mathbb{Q}[F] = \mathbb{Q}[x]_{g}$$
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Define

$$\mathcal{B}(g^r) := \{\text{fin. gen. torsion-free } R\text{-modules } M \text{ s.t. } M \otimes_R K \simeq K^r \}$$

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## Theorem (M.)

There are equivalences of categories

$$\mathsf{AV}(g^r) \overset{Deligne}{\longleftrightarrow} \mathcal{M}(g^r) \longleftrightarrow \mathcal{B}(g^r)$$

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# The category $\mathcal{B}(g^r)$

Recall that an R-module M is torsion-free if the canonical morphism

$$M \to M \otimes_R K$$

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We can think of modules  $M \in \mathcal{B}(g^r)$  as **embedded** in  $K^r$ .

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The category  $\mathcal{B}(g^r)$  becomes more explicit and computable under certain assumptions on the order R.

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#### Recall

- a fractional R-ideal I is a sub-R-module of K which is also a lattice
- a fractional R-ideal is invertible in R if I(R:I) = R.

#### Define

$$ICM(R) = \{fractional \ R-ideals\}_{\cong R}$$
 ideal class monoid

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 Picard group

An order R is called Bass if one of the following equivalent conditions holds:

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Assume that R is a Bass order. Then for every  $M \in \mathcal{B}(g^r)$  there are fractional R-ideals  $I_1, \ldots, I_r$  such that

 $M \simeq_R I_1 \oplus \ldots \oplus I_r.$ 

everything is a direct sum of fractional ideals

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. everything is a direct sum of fractional ideals

Moreover, given  $M = \bigoplus_{k=1}^{r} I_k$  and  $M' = \bigoplus_{k=1}^{r} J_k$  we have that

$$M \simeq_R M' \iff \begin{cases} (I_k : I_k) = (J_k : J_k) \text{ for every } k, \text{ and } \\ \prod_{k=1}^r I_k \simeq_R \prod_{k=1}^r J_k \end{cases}$$

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 generalization of Steinitz theory

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#### Corollary

Assume that R is Bass. Then for every  $M \in \mathcal{B}(g^r)$  there are over orders  $S_1 \subseteq \ldots \subseteq S_r$  of R and a fractional ideal I invertible in  $S_r$  such that

$$M \simeq S_1 \oplus \ldots \oplus S_{r-1} \oplus I$$

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$$\mathsf{End}_{R}(M) = \begin{pmatrix} S_{1} & S_{2} & \dots & S_{r-1} & I \\ (S_{1}:S_{2}) & S_{2} & \dots & S_{r-1} & I \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ (S_{1}:S_{r-1}) & (S_{2}:S_{r-1}) & \dots & S_{r-1} & I \\ (S_{1}:I) & (S_{2}:I) & \dots & (S_{r-1}:I) & (I:I) \end{pmatrix}$$

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and

$$\operatorname{\mathsf{Aut}}_R(M) = \left\{ A \in \operatorname{\mathsf{End}}_R(M) \cap \operatorname{\mathsf{GL}}_r(K) : A^{-1} \in \operatorname{\mathsf{End}}_R(M) \right\}.$$

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$$\bullet \quad \mathsf{AV}(g^r) \not/_{\simeq} \longleftrightarrow \left\{ (S_1 \subseteq S_2 \subseteq \ldots \subseteq S_r, [I]_{\simeq}) : I \text{ a frac. } R\text{-ideal} \\ \text{with } (I:I) = S_r \right\}$$

• for every  $A \in AV(g^r)$ , say  $A \sim B^r$  with  $h_B = g$ , there are  $C_1, \ldots, C_r \sim B$  such that  $A \simeq C_1 \times \ldots \times C_r$  everything is a product

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• for every  $A \in AV(g^r)$ , say  $A \sim B^r$  with  $h_B = g$ , there are  $C_1, \ldots, C_r \sim B$  such that  $A \simeq C_1 \times \ldots \times C_r$  everything is a product

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 if  $A\longleftrightarrow igoplus_k I_k \ and \ B\longleftrightarrow igoplus_k J_k$ 

then  $\mu \in \text{Hom}(A, B) \longleftrightarrow \Lambda \in \text{Mat}_{r \times r}(K) \text{ s.t. } \Lambda_{h,k} \in (J_h : I_k)$ 

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Moreover,  $\mu$  is an isogeny if and only if  $det(\Lambda) \in K^{\times}$ 

Let 
$$g = x^6 - 3x^5 + 6x^4 - 10x^3 + 18x^2 - 27x + 27$$
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Note  $\mathsf{AV}(g)$  is an isogeny class of simple ordinary abelian varieties over  $\mathbb{F}_3$ .



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We now list the representatives of the isomorphism classes in  $AV(g^3)$ :

$$M_1 = R \oplus R \oplus R$$
  $M_2 = R \oplus R \oplus I$   $M_3 = R \oplus R \oplus I^2$   
 $M_4 = R \oplus R \oplus \mathcal{O}_K$   $M_5 = R \oplus \mathcal{O}_K \oplus \mathcal{O}_K$   $M_6 = \mathcal{O}_K \oplus \mathcal{O}_K \oplus \mathcal{O}_K$ 

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$$\operatorname{End}(M_1)=\operatorname{Mat}_3(R) \text{ and } \operatorname{End}(M_2)=\begin{pmatrix} R & R & I \\ R & R & I \\ (R:I)\cdot (R:I)\cdot R \end{pmatrix}$$

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#### Proposition

If  $\mu: A \to B$  in  $AV(g^r)$  corresponds to  $\Lambda: M \to N$  in  $\mathcal{B}(g^r)$ , then  $\mu^{\vee}: B^{\vee} \to A^{\vee}$  in  $AV(g^r)$  corresponds to  $\Lambda^{\vee}: N^{\vee} \to M^{\vee}$  in  $\mathcal{B}(g^r)$ , where

$$\Lambda^{\vee} := \overline{\Lambda}^{T}$$

"Proof": Howe (1995) described dual modules in  $\mathcal{M}^{\text{ord}}(q)$ . We translated this notion to  $\mathcal{B}(g^r)$ .

Fix

$$\Phi := \{ \varphi : K \to \mathbb{C} : \nu_p(\varphi(F)) > 0 \}, \text{ tricky to compute!}$$

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Let  $\mu: A \to A^{\vee}$  in  $AV(g^r)$  be an isogeny, corresponding to  $\Lambda: M \to M^{\vee}$ . Then  $\mu$  is a polarization if and only if

- $\Lambda = -\overline{\Lambda}^T$ , and
- for every a in  $K^r$ , the element  $c = a^T \overline{\Lambda} \overline{a}$  is  $\Phi$ -non-positive, that is  $\operatorname{Im}(\varphi(c)) \leq 0$  for every  $\varphi$  in  $\Phi$ .

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<sup>&</sup>quot;Proof": Howe (1995) put polarizations in Deligne's category  $\mathcal{M}^{\text{ord}}(q)$ . We translated this notion to  $\mathcal{B}(g^r)$ .

Let  $(M, \Lambda)$  and  $(M', \Lambda')$  correspond to polarized variety in  $AV(g^r)$ .

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#### **Theorem**

There is a degree-preserving action of Aut(M) on Pol(M) given by

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Unfortunately

 $\operatorname{Pol}(M)$  Aut(M) is hard to understand if  $r \geq 2$ 

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Also: 
$$\deg \mu = [\overline{I}^t : \lambda I]$$
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Also:  $\deg \mu = [\overline{I}^t : \lambda I]$ .

• if  $(A, \mu) \leftrightarrow (I, \lambda)$  and S = (I : I) then

$$\left\{ \begin{array}{l} \text{non-isomorphic} \\ \text{polarizations of } A \end{array} \right\} \longleftrightarrow \frac{ \left\{ \text{totally positive } u \in S^{\times} \right\} }{ \left\{ v\overline{v} : v \in S^{\times} \right\} }$$

and  $Aut(A, \mu) = \{torsion units of S\}$ 

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# Example

- Let  $h(x) = x^8 5x^7 + 13x^6 25x^5 + 44x^4 75x^3 + 117x^2 135x + 81;$
- $\rightsquigarrow$  isogeny class of an simple ordinary abelian varieties over  $\mathbb{F}_3$  of dimension 4:
- Let F be a root of h(x) and put  $R := \mathbb{Z}[F, 3/F] \subset \mathbb{Q}(F)$ ;
- 8 over-orders of R: two of them are not Gorenstein;
- $\# ICM(R) = 18 \rightsquigarrow 18$  isom. classes of AV in the isogeny class;
- 5 are not invertible in their multiplicator ring;
- 8 classes admit principal polarizations;
- 10 isomorphism classes of princ. polarized AV.

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## Example

#### Concretely:

$$\begin{split} I_1 = & 2645633792595191\mathbb{Z} \oplus (F + 836920075614551)\mathbb{Z} \oplus (F^2 + 1474295643839839)\mathbb{Z} \oplus \\ & \oplus (F^3 + 1372829830503387)\mathbb{Z} \oplus (F^4 + 1072904687510)\mathbb{Z} \oplus \\ & \oplus \frac{1}{3}(F^5 + F^4 + F^3 + 2F^2 + 2F + 6704806986143610)\mathbb{Z} \oplus \\ & \oplus \frac{1}{9}(F^6 + F^5 + F^4 + 8F^3 + 2F^2 + 2991665243621169)\mathbb{Z} \oplus \\ & \oplus \frac{1}{27}(F^7 + F^6 + F^5 + 17F^4 + 20F^3 + 9F^2 + 68015312518722201)\mathbb{Z} \end{split}$$

principal polarizations:

$$\begin{split} x_{1,1} &= \frac{1}{27} \big( -121922F^7 + 588604F^6 - 1422437F^5 + \\ &\quad + 1464239F^4 + 1196576F^3 - 7570722F^2 + 15316479F - 12821193 \big) \\ x_{1,2} &= \frac{1}{27} \big( 3015467F^7 - 17689816F^6 + 35965592F^5 - \\ &\quad - 64660346F^4 + 121230619F^3 - 191117052F^2 + 315021546F - 300025458 \big) \\ \text{End}(f_1) &= R \end{split}$$

# Aut $(I_1, x_{1,1}) = \#$  Aut $(I_1, x_{1,2}) = 2$ Marseglia Stefano

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## Example

$$I_{7} = 2\mathbb{Z} \oplus (F+1)\mathbb{Z} \oplus (F^{2}+1)\mathbb{Z} \oplus (F^{3}+1)\mathbb{Z} \oplus (F^{4}+1)\mathbb{Z} \oplus \frac{1}{3}(F^{5}+F^{4}+F^{3}+2F^{2}+2F+3)\mathbb{Z} \oplus$$

$$\oplus \frac{1}{36}(F^{6}+F^{5}+10F^{4}+26F^{3}+2F^{2}+27F+45)\mathbb{Z} \oplus$$

$$\oplus \frac{1}{216}(F^{7}+4F^{6}+49F^{5}+200F^{4}+116F^{3}+105F^{2}+198F+351)\mathbb{Z}$$

principal polarization:

$$\begin{split} \chi_{7,1} &= \frac{1}{54} (20F^7 - 43F^6 + 155F^5 - 308F^4 + 580F^3 - 1116F^2 + 2205F - 1809) \\ \text{End}(I_7) &= \mathbb{Z} \oplus F \mathbb{Z} \oplus F^2 \mathbb{Z} \oplus F^3 \mathbb{Z} \oplus F^4 \mathbb{Z} \oplus \frac{1}{3} (F^5 + F^4 + F^3 + 2F^2 + 2F) \mathbb{Z} \oplus \\ &\oplus \frac{1}{18} (F^6 + F^5 + 10F^4 + 8F^3 + 2F^2 + 9F + 9) \mathbb{Z} \oplus \\ &\oplus \frac{1}{108} (F^7 + 4F^6 + 13F^5 + 56F^4 + 80F^3 + 33F^2 + 18F + 27) \mathbb{Z} \\ \# \operatorname{Aut}(I_7, \chi_{7,1}) &= 2 \end{split}$$

 $I_1$  is invertible in R, but  $I_7$  is not invertible in  $\operatorname{End}(I_7)$ 

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Let  $(A', \mu')$  be the (complex) canonical lift of  $(A, \mu)$ .

We have an isomorphism of complex tori

$$A'(\mathbb{C}) \simeq \frac{\mathbb{C}^g}{\Phi(I)}, \qquad \Phi(I) = \langle (\varphi_1(\alpha_i), \dots, \varphi_g(\alpha_i) : i = 1, \dots, 2g \rangle.$$

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The Riemann form associated to  $\lambda$  is given by

$$b: I \times I \to \mathbb{Z} \quad (s,t) \mapsto \mathsf{Tr}(\overline{t\lambda}s).$$

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Pick a symplectic  $\mathbb{Z}$ -basis of I with respect to the form b, that is,

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The Riemann form associated to  $\lambda$  is given by

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$$I = \gamma_1 \mathbb{Z} \oplus \ldots \oplus \gamma_g \mathbb{Z} \oplus \beta_1 \mathbb{Z} \oplus \ldots \oplus \beta_g \mathbb{Z},$$

with

$$b(\gamma_i,\beta_i)=1 \text{ for all } i, \text{ and}$$
 
$$b(\gamma_h,\gamma_k)=b(\beta_h,\beta_k)=b(\gamma_h,\beta_k)=0 \text{ for all } h\neq k.$$

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This is big period matrix of  $(A', \lambda')$ .

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Let 
$$g = (x^4 - 4x^3 + 8x^2 - 12x + 9)(x^4 - 2x^3 + 2x^2 - 6x + 9)$$
.

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Let  $g = (x^4 - 4x^3 + 8x^2 - 12x + 9)(x^4 - 2x^3 + 2x^2 - 6x + 9)$ . We compute the principally polarized abelian varieties and we find that 4 isomorphism classes admit a unique principal polarization.

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Let  $g = (x^4 - 4x^3 + 8x^2 - 12x + 9)(x^4 - 2x^3 + 2x^2 - 6x + 9)$ . We compute the principally polarized abelian varieties and we find that 4 isomorphism classes admit a unique principal polarization. Here is one of them with the period matrix of the canonical lift.

 $I = \frac{1}{54} \left( 432 - 549\alpha + 441\alpha^2 - 331\alpha^3 + 186\alpha^4 - 81\alpha^5 + 33\alpha^6 - 7\alpha^7 \right) \mathbb{Z} \oplus$ 

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$$\begin{split} I &= \frac{1}{54} \left( 432 - 549\alpha + 441\alpha^2 - 331\alpha^3 + 186\alpha^4 - 81\alpha^5 + 33\alpha^6 - 7\alpha^7 \right) \mathbb{Z} \oplus \\ &\oplus \frac{1}{6} \left( 63 - 78\alpha + 65\alpha^2 - 49\alpha^3 + 27\alpha^4 - 12\alpha^5 + 5\alpha^6 - 1\alpha^7 \right) \mathbb{Z} \oplus \\ &\oplus \frac{1}{6} \left( 81 - 99\alpha + 84\alpha^2 - 61\alpha^3 + 33\alpha^4 - 15\alpha^5 + 6\alpha^6 - 1\alpha^7 \right) \mathbb{Z} \oplus \\ &\oplus \frac{1}{18} \left( -63 + 96\alpha - 86\alpha^2 + 68\alpha^3 - 39\alpha^4 + 18\alpha^5 - 8\alpha^6 + 2\alpha^7 \right) \mathbb{Z} \oplus \left( -1 \right) \mathbb{Z} \oplus \\ &\oplus \left( -\alpha \right) \mathbb{Z} \oplus \left( -\alpha^2 \right) \mathbb{Z} \oplus \frac{1}{9} \left( 81 - 96\alpha + 81\alpha^2 - 64\alpha^3 + 33\alpha^4 - 15\alpha^5 + 6\alpha^6 - \alpha^7 \right) \mathbb{Z} \right) \\ \lambda &= \frac{537}{80} - \frac{1343}{120}\alpha + \frac{1343}{144}\alpha^2 - \frac{419}{60}\alpha^3 + \frac{337}{80}\alpha^4 - \frac{15}{8}\alpha^5 + \frac{559}{720}\alpha^6 - \frac{1}{5}\alpha^7 \end{split}$$

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Let  $g=(x^4-4x^3+8x^2-12x+9)(x^4-2x^3+2x^2-6x+9)$ . We compute the principally polarized abelian varieties and we find that 4 isomorphism classes admit a unique principal polarization. Here is one of them with the period matrix of the canonical lift.

$$I = \frac{1}{54} \left( 432 - 549\alpha + 441\alpha^2 - 331\alpha^3 + 186\alpha^4 - 81\alpha^5 + 33\alpha^6 - 7\alpha^7 \right) \mathbb{Z} \oplus$$

$$\oplus \frac{1}{6} \left( 63 - 78\alpha + 65\alpha^2 - 49\alpha^3 + 27\alpha^4 - 12\alpha^5 + 5\alpha^6 - 1\alpha^7 \right) \mathbb{Z} \oplus$$

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$$\lambda = \frac{537}{80} - \frac{1343}{120}\alpha + \frac{1343}{144}\alpha^2 - \frac{419}{60}\alpha^3 + \frac{337}{80}\alpha^4 - \frac{15}{8}\alpha^5 + \frac{559}{720}\alpha^6 - \frac{1}{5}\alpha^7$$

$$\Omega = \begin{pmatrix} 2.8 - i & -2.8 + 0.6i & 0 & 0 & 1 & 1.7 - 0.3i & 0 & 0 \\ -2.8 + i & 2.8 - 3.4i & 0 & 0 & 1 & 0.3 + 1.7i & 0 & 0 \\ 0 & 0 & -1 & -0.4 - 0.2i & 0 & 0 & -1.6 - 0.6i & -0.2 - 0.2i \\ 0 & 0 & -1 & -2.6 + 6.9i & 0 & 0 & \frac{1}{4} + \frac{1.6i}{4} + \frac{1.6i}{4$$

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#### Final remarks

- Computations of isomorphism classes can be done in the same way replacing "ordinary over  $\mathbb{F}_q$ " with "over  $\mathbb{F}_p$ , away from real primes", by using Centeleghe-Stix (2015)...
- ...but polarizations (and period matrices) are still work in progress.
- The Magma code is available on my webpage.

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Thank you!

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