Cohen-Macaulay type of endomorphism rings of abelian varieties over finite fields

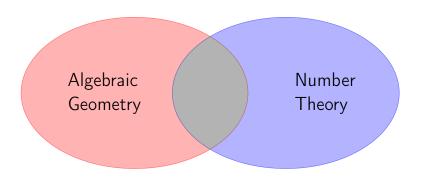
Stefano Marseglia

University of French Polynesia

Essen Oberseminar - 23 May 2024.

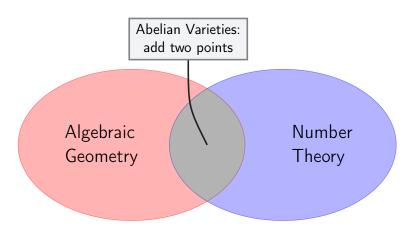
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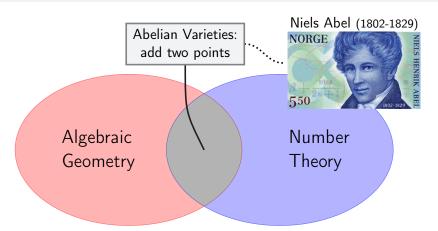
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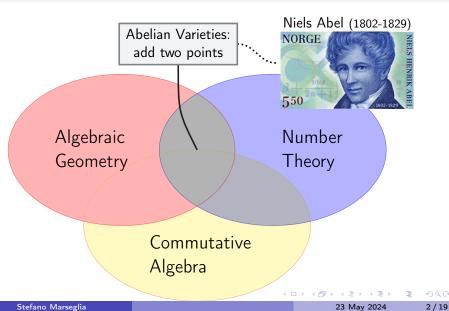


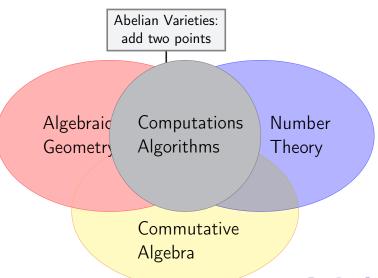
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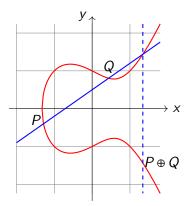


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Eg: over \mathbb{R} , $y^2 = x^3 - x + 1$



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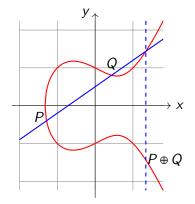
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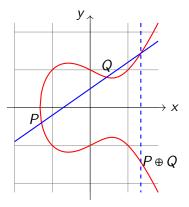
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Equations are impractical in $\dim \geq 2$.

We need a better way to represent them...



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- Nevertheless, as we will see later, over a finite field \mathbb{F}_q , we obtain analogous results if we restrict ourselves to certain **subcategories** of AVs.
- WARNING: all morphisms, endomorphisms, isogenies, etc. are defined over \mathbb{F}_q .

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• An **isogeny** $A \rightarrow B$ is a surjective morphism with finite kernel.

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- \bullet A/\mathbb{F}_q comes with a Frobenius endomorphism, that induces an action

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$$T_{\ell}A \rightarrow T_{\ell}A$$
 for any $\ell \neq p$,

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- the association

isogeny class of
$$A \mapsto h_A(x)$$

allows us to enumerate all AVs up to isogeny.

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- Plan: study A by studying some comm. algebra properties of End(A).

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- Ex: pick a prime $\ell \in \mathbb{Z}$. Then $\operatorname{type}_{\ell \mathcal{O}_K}(\mathbb{Z} + \ell \mathcal{O}_K) = \dim_{\mathbb{Q}} K 1$.

Theorem

Let \mathfrak{m} be a maximal ideal of R, and I a fr. R-ideal with (I:I) = R.

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Theorem

Let \mathfrak{m} be a maximal ideal of R, and I a fr. R-ideal with (I:I) = R.

• If type_m(R) = 1 (Gorenstein) then $I_m \simeq R_m$ as R_m -modules.

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- $\exists v \in V \text{ such that } \dim(m(U \otimes v)) \geq 2.$

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• Put $U = I/\mathfrak{m}I$, $V = I^t/\mathfrak{m}I^t$ and $W = R^t/\mathfrak{m}R^t$.



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 - **3** ∃x ∈ I such that $m((x+mI) \otimes V) = \frac{xI^t + mR^t}{mR^t}$ equals W. By Nakayama's lemma: $I_m^t \simeq R_m^t \iff R_m \simeq I_m,...$

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 - ② ...or, $\exists y \in I^t$ such that $U \otimes m(U \otimes (y + \mathfrak{m})I^t) = W$ implying $I_{\mathfrak{m}}^t \simeq R_{\mathfrak{m}} \iff I_{\mathfrak{m}} \simeq R_{\mathfrak{m}}^t$.

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Fix a squarefree characteristic poly h(x) of Frobenius π over \mathbb{F}_q . Put $K = \mathbb{Q}[x]/h = \mathbb{Q}[\pi]$. Let \mathscr{I}_h be the corresponding isogeny class.

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References: Deligne, Howe, Centeleghe-Stix, Bergström-Karemaker-M.

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AVs: Isomorphism classes

• We get a bijection

```
 \left\{ \text{ isom. classes of AVs in } \mathscr{I}_h \right. \right\} \longleftrightarrow \left\{ \text{isom. classes of fr. } \mathbb{Z}[\pi,q/\pi] \text{-ideals } \right\} \\ := \mathsf{ICM} \left( \mathbb{Z}[\pi,q/\pi] \right) \text{ ideal class monoid}
```

- If $\mathbb{Z}[\pi, q/\pi] = \mathcal{O}_K$ is the maximal order then $\mathsf{ICM}(\mathbb{Z}[\pi, q/\pi]) = \mathsf{Pic}(\mathcal{O}_K)$ is a product of class groups of number fields and we are good.
- Problem: $\mathbb{Z}[\pi, q/\pi]$ might not be a Dedekind ring \rightsquigarrow non-invertible ideals.

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Let R be an **order** in an étale \mathbb{Q} -algebra K.



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• Recall: for **fractional** R-ideals I and J

$$I \simeq_R J \Longleftrightarrow \exists x \in K^\times \text{ s.t. } xI = J.$$

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$$\updownarrow$$

$$1 \in (I:J)(J:I) \text{ easy to check!}$$

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Compute ICM(R)

Let W(R) be the set of weak eq. classes.

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Compute ICM(R)

Let $\mathcal{W}(R)$ be the set of weak eq. classes. Partition w.r.t. the multiplicator ring:

$$W(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} W_S(R)$$
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Theorem (M.)

For every over-order S of R, Pic(S) acts freely on $ICM_S(R)$ and

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Repeat for every $R \subseteq S \subseteq \mathcal{O}_K \leadsto \mathsf{ICM}(R)$.

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• To compute the overorders: see Hoffman-Sircana.

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where $\mathfrak{f}_R = (R : \mathcal{O}_K)$ is the conductor of R.

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- Can we use the type? Write $W_S(R) = \prod_{m \in S} (W_S(R))_m$.
- We have proven that: if the type of S at \mathfrak{m} is 1 then $(W_S(R))_{\mathfrak{m}} = \{[S_{\mathfrak{m}}]\}$, while if the type of S at \mathfrak{m} is 2 then $(W_S(R))_{\mathfrak{m}} = \{[S_{\mathfrak{m}}], [S_{\mathfrak{m}}^t]\}$

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Theorem (Springer-M.)

 \mathscr{I}_h and $K = \mathbb{Q}[\pi] = \mathbb{Q}[x]/h$ as before.



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$$R = \overline{R}$$
, $\mathfrak{m} = \overline{\mathfrak{m}}$, and $type_{\mathfrak{m}}(R) = 2$.

Then for every $A \in \mathcal{I}_h$ such that $\operatorname{End}(A) = R$ we have that $A \not\simeq A^{\vee}$. In particular, such an A cannot be principally polarized nor a Jacobian.

Proof: Say that $A \mapsto I$. Hence $A^{\vee} \mapsto \overline{I}^t$.

By the Classification: either $I_{\mathfrak{m}} \simeq R_{\mathfrak{m}}$ or $I_{\mathfrak{m}} \simeq R_{\mathfrak{m}}^t$.

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Similarly, in the second: $\overline{I}_{\mathfrak{m}}^t = \overline{I}_{\overline{\mathfrak{m}}}^t \simeq R_{\mathfrak{m}} \not\simeq R_{\mathfrak{m}}^t$.

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 \mathscr{I}_h and $K = \mathbb{Q}[\pi] = \mathbb{Q}[x]/h$ as before.

Let R be an order in K and \mathfrak{m} a maximal ideal of R. Assume:

$$R = \overline{R}$$
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Then for every $A \in \mathcal{I}_h$ such that End(A) = R we have that $A \not\simeq A^{\vee}$. In particular, such an A cannot be principally polarized nor a Jacobian.

Proof: Say that $A \mapsto I$. Hence $A^{\vee} \mapsto \overline{I}^{t}$.

By the Classification: either $I_m \simeq R_m$ or $I_m \simeq R_m^t$.

In the first case: $\overline{I}_{m}^{t} = \overline{I}_{\overline{m}}^{t} \simeq R_{m}^{t} \neq R_{m}$.

Similarly, in the second: $\overline{I}_m^t = \overline{I}_m^t \simeq R_m \not\simeq R_m^t$.

In both cases: $I \not\simeq \overline{I}^t \iff A \not\simeq A^{\vee}$.

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How often do the hypothesis of the previous theorem $(R = \overline{R}, \text{ exists } \mathfrak{m} = \overline{\mathfrak{m}})$ with type_{\mathfrak{m}}(R) = 2 do occur?

We computed the isomorphism classes of AVs/ \mathbb{F}_q (see LMFDB xyz) for 615.269 isogeny classes (for $1 \le g \le 5$ and various q).

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- 7.4% satisfy $R = \overline{R}$, are non-Gorenstein and $\exists \mathfrak{m} = \overline{\mathfrak{m}}$ s.t. with $\mathsf{type}_{\mathfrak{m}}(R) = 2$.

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Thank you!



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Thank you!

Main references:

- Cohen-Macaulay type of orders, generators and ideal classes https://arxiv.org/abs/2206.03758
- Abelian varieties over finite fields and their groups of rational points with Caleb Springer, to appear in Algebra&Number Theory https://arxiv.org/abs/2211.15280
- Magma package for étale Q-algebras https://github.com/stmar89/AlgEt (also in Magma 2-28.1)

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