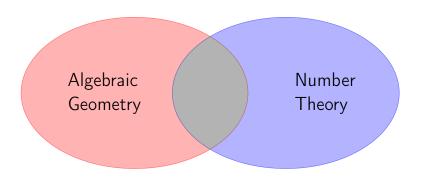
# Cohen-Macaulay type of endomorphism rings of abelian varieties over finite fields

Stefano Marseglia

University of French Polynesia

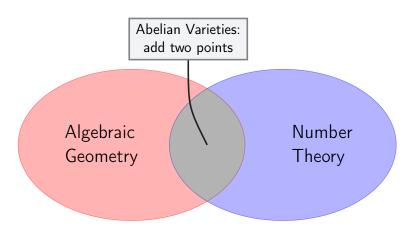
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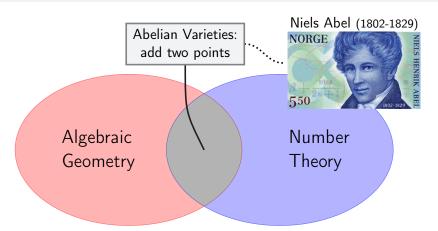
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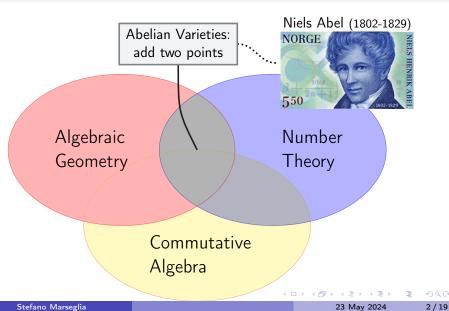


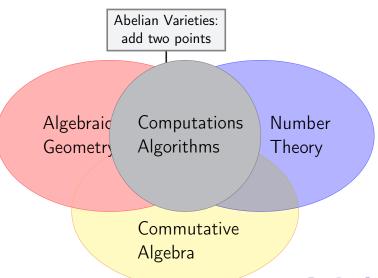
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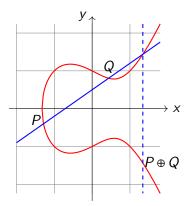


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Eg: over  $\mathbb{R}$ ,  $y^2 = x^3 - x + 1$ 



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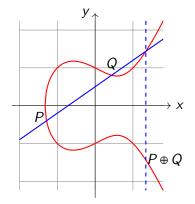
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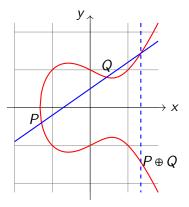
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Equations are impractical in  $\dim \geq 2$ .

We need a better way to represent them...



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- Nevertheless, as we will see later, over a finite field  $\mathbb{F}_q$ , we obtain analogous results if we restrict ourselves to certain **subcategories** of AVs.
- WARNING: all morphisms, endomorphisms, isogenies, etc. are defined over  $\mathbb{F}_q$ .

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• An **isogeny**  $A \rightarrow B$  is a surjective morphism with finite kernel.

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$$T_{\ell}A \rightarrow T_{\ell}A$$
 for any  $\ell \neq p$ ,

where 
$$T_{\ell}(A) = \varprojlim A[\ell^n] \simeq \mathbb{Z}_{\ell}^{2g}$$
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- the association

isogeny class of 
$$A \mapsto h_A(x)$$

allows us to enumerate all AVs up to isogeny.

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- Plan: study A by studying some comm. algebra properties of End(A).

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- Let R be an order in a étale  $\mathbb{Q}$ -algebra K.
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• If I is invertible, then (I:I) = R.

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• Def: The (Cohen-Macaulay) type of R at a maximal ideal  $\mathfrak{m}$  is

$$\mathsf{type}_{\mathfrak{m}}(R) := \dim_{R/\mathfrak{m}} \frac{R^t}{\mathfrak{m}R^t}.$$

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- Def: R is Gorenstein at m if type<sub>m</sub>(R) = 1.
- Remark: these definitions coincides with the 'usual' ones.
- Ex: monogenic  $\mathbb{Z}[\alpha]$  and maximal  $\mathcal{O}_K$  orders are Gorenstein. (also  $\mathbb{Z}[\pi, q/\pi]$  for AVs).

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• Def: The (Cohen-Macaulay) type of R at a maximal ideal  $\mathfrak{m}$  is

$$\mathsf{type}_{\mathfrak{m}}(R) := \dim_{R/\mathfrak{m}} \frac{R^t}{\mathfrak{m}R^t}.$$

- Def: R is Gorenstein at m if type<sub>m</sub>(R) = 1.
- Remark: these definitions coincides with the 'usual' ones.
- Ex: monogenic  $\mathbb{Z}[\alpha]$  and maximal  $\mathcal{O}_K$  orders are Gorenstein. (also  $\mathbb{Z}[\pi, q/\pi]$  for AVs).
- Ex: pick a prime  $\ell \in \mathbb{Z}$ . Then  $\operatorname{type}_{\ell \mathcal{O}_K}(\mathbb{Z} + \ell \mathcal{O}_K) = \dim_{\mathbb{Q}} K 1$ .

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• If type<sub>m</sub>(R) = 1 (Gorenstein) then  $I_m \simeq R_m$  as  $R_m$ -modules.

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• Put  $U = I/\mathfrak{m}I$ ,  $V = I^t/\mathfrak{m}I^t$  and  $W = R^t/\mathfrak{m}R^t$ .



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QED

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Fix a squarefree characteristic poly h(x) of Frobenius  $\pi$  over  $\mathbb{F}_q$ . Put  $K = \mathbb{Q}[x]/h = \mathbb{Q}[\pi]$ . Let  $\mathscr{I}_h$  be the corresponding isogeny class.

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References: Deligne, Howe, Centeleghe-Stix, Bergström-Karemaker-M.

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### AVs: Isomorphism classes

• We get a bijection

```
\{ \text{ isom. classes of AVs in } \mathscr{I}_h \} \longleftrightarrow \{ \text{isom. classes of fr. } \mathbb{Z}[\pi,q/\pi] \text{-ideals } \} 
:= \mathsf{ICM}(\mathbb{Z}[\pi,q/\pi]) \text{ ideal class monoid}
```

- To classify the AVs in  $\mathcal{I}_h$  we need to compute the ICM.
- If  $\mathbb{Z}[\pi, q/\pi] = \mathcal{O}_K$  is the maximal order then  $ICM(\mathbb{Z}[\pi, q/\pi]) = Pic(\mathcal{O}_K)$  is a product of class groups of number fields and we are good.
- Problem:  $\mathbb{Z}[\pi, q/\pi]$  might not be a Dedekind ring  $\rightsquigarrow$  non-invertible ideals.

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Let R be an **order** in an étale  $\mathbb{Q}$ -algebra K.



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• Recall: for fractional R-ideals I and J

$$I \simeq_R J \Longleftrightarrow \exists x \in K^\times \text{ s.t. } xI = J.$$

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$$ICM(R) \supseteq Pic(R) = \{ \text{invertible fractional } R \text{-ideals} \}_{\cong R}$$
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 Simplify the problem by localizing: weak equivalence (Dade, Taussky, Zassenhaus '62)

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$$1 \in (I:J)(J:I) \text{ easy to check!}$$

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# Compute ICM(R)

Let W(R) be the set of weak eq. classes.

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Let  $\mathcal{W}(R)$  be the set of weak eq. classes. Partition w.r.t. the multiplicator ring:

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For every over-order S of R, Pic(S) acts freely on  $ICM_S(R)$  and

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- We have proven that: if the type of S at  $\mathfrak{m}$  is 1 then  $(\mathcal{W}_S(R))_{\mathfrak{m}} = \{[S_{\mathfrak{m}}]\}$ , while if the type of S at  $\mathfrak{m}$  is 2 then  $(\mathcal{W}_S(R))_{\mathfrak{m}} = \{[S_{\mathfrak{m}}], [S_{\mathfrak{m}}^t]\}$

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Theorem (Springer-M.)

 $\mathscr{I}_h$  and  $K = \mathbb{Q}[\pi] = \mathbb{Q}[x]/h$  as before.



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If 
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 then  $M_{\mathfrak{m}} \simeq_R \frac{R_{\mathfrak{m}}}{(1-\pi)R_{\mathfrak{m}}}$ .

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#### Theorem (Springer-M.)

 $\mathscr{I}_h$  and  $K = \mathbb{Q}[\pi] = \mathbb{Q}[x]/h$  as before.

Let R be an order in K and  $\mathfrak{m}$  a maximal ideal of R (possibly but not necessarily above p). Assume:

$$type_{\mathfrak{m}}(R) \leq 2$$
 for every  $\mathfrak{m} \supseteq (1-\pi)R$ .

Then for every  $A \in \mathscr{I}_h$  such that  $\operatorname{End}(A) = R$  we have that  $A(\mathbb{F}_q) \simeq_{\mathbb{Z}} R/(1-\pi)R$ .

Proof: Say that 
$$A \mapsto I$$
. Then  $A(\mathbb{F}_q) = \ker(1 - \pi_A) = \frac{I}{(1 - \pi)I} =: M$ .

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 then  $M_{\mathfrak{m}} \simeq_{R} \frac{R_{\mathfrak{m}}^{t}}{(1-\pi)R_{\mathfrak{m}}^{t}} \simeq_{\mathbb{Z}} \frac{R_{\mathfrak{m}}}{(1-\pi)R_{\mathfrak{m}}}$ .

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Theorem (Springer-M.)  $\mathscr{I}_h \text{ and } K = \mathbb{Q}[\pi] = \mathbb{Q}[x]/h \text{ as before.}$ 



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Let R be an order in K and  $\mathfrak{m}$  a maximal ideal of R. Assume:

$$R = \overline{R}$$
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By the Classification: either  $I_m \simeq R_m$  or  $I_m \simeq R_m^t$ .

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In the first case:  $\overline{I}_{\mathfrak{m}}^t = \overline{I}_{\overline{\mathfrak{m}}}^t \simeq R_{\mathfrak{m}}^t \not\simeq R_{\mathfrak{m}}$ .

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In the first case:  $\overline{I}_{\mathfrak{m}}^{t} = \overline{I}_{\mathfrak{m}}^{t} \simeq R_{\mathfrak{m}}^{t} \neq R_{\mathfrak{m}}$ .

Similarly, in the second:  $\overline{I}_{\mathfrak{m}}^t = \overline{I}_{\overline{\mathfrak{m}}}^t \simeq R_{\mathfrak{m}} \not\simeq R_{\mathfrak{m}}^t$ .

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In the first case:  $\overline{I}_{\mathfrak{m}}^{t} = \overline{I}_{\mathfrak{m}}^{t} \simeq R_{\mathfrak{m}}^{t} \not\simeq R_{\mathfrak{m}}.$ 

Similarly, in the second:  $\overline{I}_{\mathfrak{m}}^t = \overline{I}_{\overline{\mathfrak{m}}}^t \simeq R_{\mathfrak{m}} \not\simeq R_{\mathfrak{m}}^t$ 

In both cases:  $I \not\simeq \overline{I}^t \iff A \not\simeq A^{\vee}$ .

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How often do the hypothesis of the previous theorem  $(R = \overline{R}, \text{ exists } \mathfrak{m} = \overline{\mathfrak{m}})$  with type<sub> $\mathfrak{m}$ </sub>(R) = 2 do occur?

We computed the isomorphism classes of AVs/ $\mathbb{F}_q$  (see LMFDB xyz) for 615.269 isogeny classes (for  $1 \le g \le 5$  and various q).

We encountered

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- 7.4% satisfy  $R = \overline{R}$ , are non-Gorenstein and  $\exists \mathfrak{m} = \overline{\mathfrak{m}}$  s.t. with  $\mathsf{type}_{\mathfrak{m}}(R) = 2$ .

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# Thank you!

#### Main references:

- Cohen-Macaulay type of orders, generators and ideal classes to appear in Journal of Algebra https://arxiv.org/abs/2206.03758
- Abelian varieties over finite fields and their groups of rational points with Caleb Springer, to appear in Algebra&Number Theory https://arxiv.org/abs/2211.15280
- Magma package for étale Q-algebras https://github.com/stmar89/AlgEt (also in Magma 2-28.1)

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