

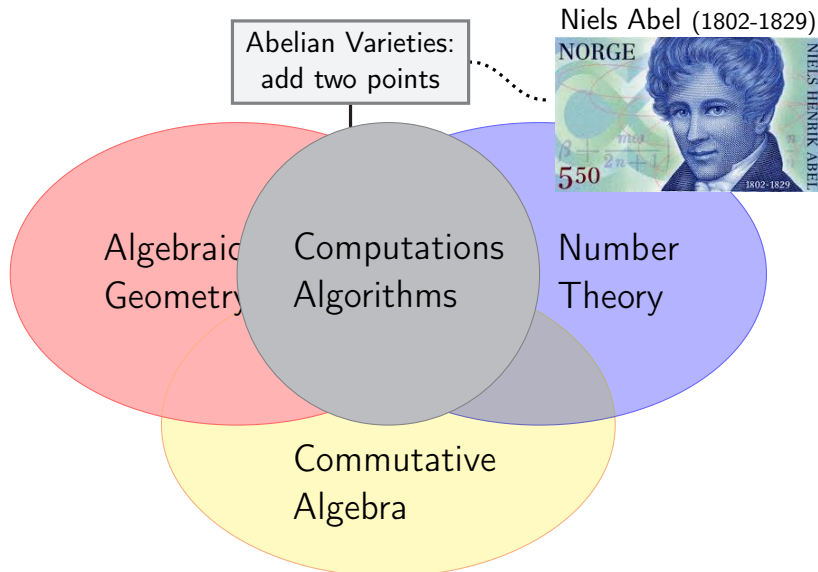
# Abelian varieties over finite fields

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# What do I do for a living?



# Abelian varieties: what are they ?

Abelian varieties are connected projective group varieties.

Abelian varieties of dim. 1  
are called **elliptic curves**.

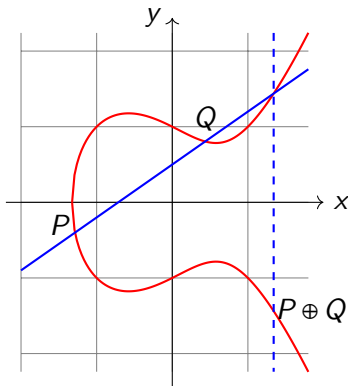
Eg: over  $\mathbb{R}$ ,  $y^2 = x^3 - x + 1$

We can add points:

$P, Q \rightsquigarrow P \oplus Q$

Equations are impractical in  
dim  $\geq 2$ .

We need a better way to  
represent them...



## Abelian varieties over $\mathbb{C}$ vs $\mathbb{F}_q$

- Let  $A/\mathbb{C}$  be an abelian variety of dimension  $g$ .
- Then  $A(\mathbb{C})$  is a **torus**:  $T := \mathbb{C}^g / \Lambda$ , where  $\Lambda \simeq_{\mathbb{Z}} \mathbb{Z}^{2g}$ .
- $T$  admits a non-degenerate Riemann form  $\longleftrightarrow$  polarization.
- In fact,  $A \mapsto A(\mathbb{C})$  induces an **equivalence** of categories:

$$\{\text{abelian varieties } / \mathbb{C}\} \longleftrightarrow \left\{ \mathbb{C}^g / \Lambda \text{ with } \Lambda \simeq \mathbb{Z}^{2g} \text{ admitting} \right. \\ \left. \text{a Riemann form} \right\}.$$

- In **char.  $p > 0$**  such an equivalence **cannot exist**: there are (supersingular) elliptic curves with quaternionic endomorphism algebras.
- Nevertheless, over finite fields, we obtain analogous results if we restrict ourselves to certain **subcategories** of AVs...
- ... which we are going to use to **classify the AVs up to isomorphism**.

# Isogeny classification over $\mathbb{F}_q$

- An **isogeny**  $A \rightarrow B$  is a surjective morphism with finite kernel.
- $A/\mathbb{F}_q$  comes with a **Frobenius** endomorphism, that induces an action

$$\text{Frob}_A : T_\ell A \rightarrow T_\ell A \text{ for any } \ell \neq p,$$

where  $T_\ell(A) = \varprojlim A[\ell^n] \simeq \mathbb{Z}_\ell^{2g}$ .

- $h_A(x) := \text{char}(\text{Frob}_A)$  is a  **$q$ -Weil** polynomial and **isogeny invariant**.
- By **Honda-Tate** theory, the association

$$\text{isogeny class of } A \longmapsto h_A(x)$$

is injective and allows us to **enumerate** all AVs up to isogeny.

- Also,  $h_A(x)$  is squarefree  $\iff \text{End}(A)$  is commutative.

# Deligne's equivalence

Recall:  $A/\mathbb{F}_q$  is **ordinary** if half of the  $p$ -adic roots of  $h_A$  are units.

## Theorem (Deligne '69)

Let  $q = p^r$ , with  $p$  a prime. There is an *equivalence* of categories:

$$\begin{array}{ccc} \{ \text{Ordinary abelian varieties over } \mathbb{F}_q \} & & A \\ \downarrow & & \downarrow \\ \left\{ \begin{array}{l} \text{pairs } (T, F), \text{ where } T \simeq_{\mathbb{Z}} \mathbb{Z}^{2g} \text{ and } T \xrightarrow{F} T \text{ s.t.} \\ - F \otimes \mathbb{Q} \text{ is semisimple} \\ - \text{the roots of } \text{char}_{F \otimes \mathbb{Q}}(x) \text{ have abs. value } \sqrt{q} \\ - \text{half of them are } p\text{-adic units} \\ - \exists V : T \rightarrow T \text{ such that } FV = VF = q \end{array} \right\} & & (T(A), F(A)) \end{array}$$

## Squarefree case

- Fix an **ordinary squarefree**  $q$ -Weil polynomial  $h$  :
- $\rightsquigarrow$  an isogeny class  $\mathcal{C}_h/\mathbb{F}_q$ .
- Put  $K := \mathbb{Q}[x]/(h) = \mathbb{Q}[F]$ , an étale algebra = product of number fields.
- Put  $V = q/F$ . Deligne's equivalence induces:

### Theorem

$$\begin{array}{ccc} \{abelian\ varieties\ over\ \mathbb{F}_q\ in\ \mathcal{C}_h\} / \simeq & & \\ \updownarrow & & \\ \{fractional\ ideals\ of\ \mathbb{Z}[F, V] \subset K\} / \simeq & =: & \text{ICM}(\mathbb{Z}[F, V]) \\ & & \text{ideal class monoid} \end{array}$$

- **Problem:**  $\mathbb{Z}[F, V]$  might not be maximal  $\rightsquigarrow$  **non-invertible** ideals.

# ICM : Ideal Class Monoid

Let  $R$  be an **order** in an étale  $\mathbb{Q}$ -algebra  $K$ .

- Recall: for **fractional  $R$ -ideals**  $I$  and  $J$

$$I \simeq_R J \iff \exists x \in K^\times \text{ s.t. } xI = J$$

- We have

$$\mathrm{ICM}(R) \supseteq \mathrm{Pic}(R) = \{\text{invertible fractional } R\text{-ideals}\} / \simeq_R$$

with equality  $\Updownarrow$  iff  $R = \mathcal{O}_K$

- ...and actually

$$\mathrm{ICM}(R) \supseteq \bigsqcup_{\substack{R \subseteq S \subseteq \mathcal{O}_K \\ \text{over-orders}}} \mathrm{Pic}(S) \quad \text{with equality iff } R \text{ is Bass}$$

- Hofmann-Sircana '19: computation of over-orders.



## simplify the problem

Study the isomorphism problem locally: (Dade, Taussky, Zassenhaus '62)

- **weak equivalence:**

$$I_{\mathfrak{p}} \simeq_{R_{\mathfrak{p}}} J_{\mathfrak{p}} \text{ for every } \mathfrak{p} \in \mathrm{mSpec}(R)$$

$$\Updownarrow$$

$$1 \in (I : J)(J : I) \quad \text{easy to check!}$$

- Let  $\mathcal{W}(R)$  be the set of weak eq. classes...  
...whose representatives can be found in

$$\left\{ \text{sub-}R\text{-modules of } \mathcal{O}_K / \mathfrak{f}_R \right\} \quad \text{finite! and most of the time not-too-big ...}$$

where  $\mathfrak{f}_R = (R : \mathcal{O}_K)$  is the conductor of  $R$ .

## Compute $\text{ICM}(R)$

Partition w.r.t. the multiplier ring:

$$\mathcal{W}(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} \mathcal{W}_S(R)$$

$$\text{ICM}(R) = \bigsqcup_{R \subseteq S \subseteq \mathcal{O}_K} \text{ICM}_S(R)$$

the “pedix”  $-_S$  means  
“only classes with multiplier ring  $S$ ”

### Theorem (M.)

For every over-order  $S$  of  $R$ ,  $\text{Pic}(S)$  acts *freely* on  $\text{ICM}_S(R)$  and

$$\mathcal{W}_S(R) = \text{ICM}_S(R) / \text{Pic}(S)$$

Repeat for every  $R \subseteq S \subseteq \mathcal{O}_K$ :

$$\rightsquigarrow \text{ICM}(R).$$

## To sum up:

- To sum up:
- Given a **ordinary squarefree**  $q$ -Weil polynomial  $h$  ...
- ...  $\rightsquigarrow$  algorithm to **compute the isomorphism classes** of AVs in the isogeny class  $\mathcal{C}_h$ .

### Remark

*Let  $\mathcal{C}_h$  be a **squarefree** isogeny classes over the **prime field**  $\mathbb{F}_p$ . Building on work by Centeleghe-Stix, we get a bijection between the isomorphism classes of AVs in  $\mathcal{C}_h$  and the ideal class monoid of  $\mathbb{Z}[\pi, p/\pi]$ , as above. But the functor is completely different! (eg. It is contravariant)*

# Dual varieties and Polarizations

Howe described **dual** varieties and **polarizations** on Deligne modules.

## Theorem

Let  $A \in \mathcal{C}_h$  with  $h$  ordinary and squarefree. If  $A \leftrightarrow I$ , then:

- $A^\vee \leftrightarrow \bar{I}^t := \{\bar{x} \in K : \text{Tr}(xI) \subseteq \mathbb{Z}\}.$
- a polarization  $\mu$  of  $A$  corresponds to a  $\lambda \in K^\times$  such that
  - $\lambda I \subseteq \bar{I}^t$  (isogeny);
  - $\lambda$  is **totally imaginary** ( $\bar{\lambda} = -\lambda$ );
  - $\lambda$  is  $\Phi$ -positive, where  $\Phi$  is a CM-type of  $K$  satisf. the **Shimura-Taniyama** formula.

Also:  $\deg \mu = [\bar{I}^t : \lambda I].$

- if  $(A, \mu) \leftrightarrow (I, \lambda)$  is a princ. polarized ab. var. and  $S = (I : I)$  then

$$\left\{ \begin{array}{l} \text{non-isomorphic princ.} \\ \text{polarizations of } A \end{array} \right\} \longleftrightarrow \frac{\{\text{totally positive } u \in S^\times\}}{\{v\bar{v} : v \in S^\times\}},$$

- and  $\text{Aut}(A, \mu) = \{\text{torsion units of } S\}.$

# Principal Polarizations

We have an **algorithm** to enumerate principal polarizations up to isomorphism:

- 1 Compute  $i_0$  such that  $i_0 I = \bar{I}^t$ .
- 2 Loop over the representatives  $u$  of the finite quotient

$$\frac{S^\times}{\{v\bar{v} : v \in S^\times\}}.$$

- 3 If  $\lambda := i_0 u$  is totally imaginary and  $\Phi$ -positive ...
- 4 ... then we have one principal polarization.
- 5 By the previous Theorem, we have all princ. polarizations up to isom.

Can modify to compute polarizations of any degree.

## Example

- Let  $h(x) = x^8 - 5x^7 + 13x^6 - 25x^5 + 44x^4 - 75x^3 + 117x^2 - 135x + 81$ ,  
LMFDB label: 4.3.af\_n\_az\_bs.
- $\rightsquigarrow$  isogeny class of a simple ordinary abelian varieties over  $\mathbb{F}_3$  of dimension 4.
- Let  $F$  be a root of  $h(x)$  and put  $R := \mathbb{Z}[F, 3/F] \subset \mathbb{Q}(F)$ .
- 8 over-orders of  $R$ : two of them are not Gorenstein.
- $\# \text{ICM}(R) = 18 \rightsquigarrow 18$  isom. classes of AV in the isogeny class.
- 5 are not invertible in their multiplier ring.
- More info at  
[https://abvar.lmfdb.xyz/Variety/Abelian/Fq/4/3/af\\_n\\_az\\_bs](https://abvar.lmfdb.xyz/Variety/Abelian/Fq/4/3/af_n_az_bs)

- The equivalence is not just useful to classify the AVs!
- It can be used to compute polarizations, isogenies, and group of  $\mathbb{F}_q$ -points.
- In the rest of the talk, we will prove

### Theorem (M.-Springer)

*Let  $k$  be  $\mathbb{F}_2$ ,  $\mathbb{F}_3$  or  $\mathbb{F}_5$ . Let  $G$  be a finite abelian group. Then there exists an ordinary  $A/k$  with  $A(k) = G$ .*

## Cyclic abelian varieties

Recall that we have an equivalence

$$\mathcal{C}_h \longleftrightarrow \{\text{fractional ideals of } \mathbb{Z}[F, q/F]\}.$$

### Corollary

If  $A$  corresponds to the fractional  $\mathbb{Z}[F, q/F]$ -ideal  $J$  then

$$A(\mathbb{F}_q) \simeq \frac{J}{(1-F)J}.$$

### Proposition (M.-Springer)

Every ordinary squarefree isogeny class contains a cyclic abelian variety.

Proof: Take  $A \longleftrightarrow J = \mathbb{Z}[F, q/F]$ .

$$A(\mathbb{F}_q) \simeq \frac{\mathbb{Z}[F, q/F]}{(1-F)} \simeq \frac{\mathbb{Z}[x, y]}{(h(x), xy - q, 1-x)} \simeq \frac{\mathbb{Z}}{h(1)\mathbb{Z}}. \quad \square$$



# Number of points

## Theorem (Howe-Kedlaya)

*Let  $m \in \mathbb{Z}_{\geq 0}$ . Then there is a squarefree ordinary  $A/\mathbb{F}_2$  such that  $\#A(\mathbb{F}_2) = m$ .*

## Theorem (van Bommel-Costa-Li-Poonen-Smith)

*Let  $m \in \mathbb{Z}_{\geq 0}$  and  $k$  be  $\mathbb{F}_3$  or  $\mathbb{F}_5$ . Then there is a squarefree ordinary  $A/k$  such that  $\#A(k) = m$ .*

They use extremely clever constructions that allows them to construct characteristic polynomials  $h_A$  such that  $h_A(1) = m$ .

## Group of points

### Theorem (M.-Springer)

*Let  $k$  be  $\mathbb{F}_2$ ,  $\mathbb{F}_3$  or  $\mathbb{F}_5$ . Let  $G$  be a finite abelian group. Then there exists an ordinary  $A/k$  with  $A(k) = G$ .*

Proof: Write

$$G \simeq \frac{\mathbb{Z}}{m_1\mathbb{Z}} \times \dots \times \frac{\mathbb{Z}}{m_s\mathbb{Z}}.$$

By H-K or vBCLPS, for each  $i$  there is an isogeny class with  $m_i$  points. By Proposition, within each of the isogeny classes, there is a cyclic  $A_i$ . Take  $A = \prod_i A_i$ . □

### Corollary

*If  $G$  is cyclic we can take  $A$  to be ordinary and squarefree.*

## Further results (building on vBCLPS)

- Over  $\mathbb{F}_4$ : for every abelian  $G \neq 0$  there exists an ordinary or almost ordinary  $A/\mathbb{F}_4$  such that  $A(\mathbb{F}_4) \simeq G$ .
- Over  $\mathbb{F}_7$ : for every cyclic  $G \neq 0$  with  $\#G \notin \{2, 8, 14, 16, 17, 73\}$  there exists a squarefree ordinary  $A/\mathbb{F}_7$  such that  $A(\mathbb{F}_7) \simeq G$ .
- vBCLPS: For an arbitrary  $q$ , every integer  $m \geq q^{3\sqrt{q}\log q}$  arises as  $m = \#A(\mathbb{F}_q)$  for some ordinary squarefree  $A/\mathbb{F}_q$ .

### Theorem (M.-Springer)

Let  $m_1, \dots, m_r$  be integers satisfying  $m_i \geq q^{3\sqrt{q}\log q}$ . Put

$$G = \frac{\mathbb{Z}}{m_1\mathbb{Z}} \times \dots \times \frac{\mathbb{Z}}{m_r\mathbb{Z}}.$$

Then there is an ordinary  $A/\mathbb{F}_q$  such that  $G = A(\mathbb{F}_q)$ .

Thank you!