# Modeling Master Planning Problems in Semiconductor Manufacturing with Quantum Annealing

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## 1 MIP Model

We considered the PhD Thesis of Thomas Ponsignon [1], who formulated the Master Planning in Semiconductor Manufacturing as a Mixed-Integer-Programming (MIP):

Objective (3.1):

$$f(x, u, I, B, s^{sr}) = \sum_{p=1}^{p_{max}} \sum_{t=1}^{t_{max}} (rev_{pt} s_{pt}^{sr} - hc_{pt} I_{pt} - udc_{pt} B_{pt} - \sum_{m=1}^{m_{max}} mc_{pmt} x_{pmt} - \sum_{m=1}^{m_{max}} lc_{pmt} u_{pmt})$$

Constraints:

(3.2)

$$I_{pt} + s_{pt}^{sr} + s_{pt}^{fo} = I_{pt-1} + \sum_{m=1}^{m_{max}} x_{pmt} + \sum_{m=1}^{m_{max}} x_{pmt}^{i}$$

$$\forall p \in [p_{max}], t \in [t_{max}]$$

(3.3)

$$s_{pt}^{fo} + B_{pt} = d_{pt}^{fo} + B_{pt-1}$$

$$\forall p \in [p_{max}], t \in [t_{max}]$$

(3.4)

$$s_{nt}^{sr} \leq d_{nt}^{sr}$$

$$\forall p \in [p_{max}], t \in [t_{max}]$$

(3.5)

$$C_{mbt}^{min} \leq \sum_{p=1}^{p_{max}} \sum_{k=0}^{min(k_{max},t_{max}-t)} cc_{bk}^{pm}(x_{p,m,t+k} + x_{p,m,t+k}^{i}) \leq C_{mbt}^{max}$$

$$\forall m \in [m_{max}], p \in [p_{max}], t \in [t_{max}]$$

$$(3.6)$$

$$x_{pmt} \leq \delta u_{pmt}$$

$$\forall p \in [p_{max}], m \in [m_{max}], t \in [t_{max}],$$

where  $\delta$  is large enough.

(3.7)

$$u_{pmt} \in \{0,1\}$$

$$\forall p \in [p_{max}], m \in [m_{max}], t \in [t_{max}]$$

(3.8) All variables are non-negative.

## 2 QUBO Model

Let's first tackle the objective function (3.1):

#### 2.1 Objective Function

Each term in the objective function has as left-side a constant value and as right-side a decision variable, e.g.  $rev_{pt}s_{pt}^{sr}$ , where  $rev_{pt}$  is a constant value, while  $s_{pt}^{sr}$  is a decision variable. Note that all decision variables are discrete, only the constant ones could be real-valued. For this reason, we will employ the log-trick [2] for the decision variables, representing any value in [K], for a fixed K, with  $log_2(K)$  qubits, e.g. we know from (3.4) that  $s_{pt}^{sr} \leq d_{pt}^{sr}$ , thus we use in this case  $K := d_{pt}^{sr}$ , removing also this constraint.

For the other decision variables, we must have a context, such that we can extract the maximum possible value. For each variable we will see whether it is possible the choose such a maximum value.

The log-trick means that we write the discrete value as its bounded binary representation, e.g. we write  $s_{pt}^{sr}$  as:

$$\begin{split} k &= \left\lfloor log_2(d_{pt}^{sr}) \right\rfloor \\ s_{pt}^{sr} &= \sum_{j=0}^{k-1} \sigma_{pt}^{sr}(j) 2^j + (d_{pt}^{sr} + 1 - 2^k) \sigma_{pt}^{sr}(k), \end{split}$$

where  $\sigma_{pt}^{sr}(j)$  are the k+1 qubits which will represent  $s_{pt}^{sr}.$ 

#### 2.2 Constraints

(3.2)

$$I_{pt} + s_{pt}^{sr} + s_{pt}^{fo} = I_{pt-1} + \sum_{m=1}^{m_{max}} x_{pmt} + \sum_{m=1}^{m_{max}} x_{pmt}^{i}$$

$$\forall p \in [p_{max}], t \in [t_{max}]$$

is an equality constraint, thus is can be rewrited as it is into a QUBO formulation, whereby behind each variable we have the encoding from the log-trick. Thus, we have:

$$(I_{pt} + s_{pt}^{sr} + s_{pt}^{fo} - I_{pt-1} - \sum_{m=1}^{m_{max}} x_{pmt} - \sum_{m=1}^{m_{max}} x_{pmt}^{i})^{2}$$

$$\forall p \in [p_{max}], t \in [t_{max}]$$

which remains quadratic.

(3.3)

$$s_{pt}^{fo} + B_{pt} = d_{pt}^{fo} + B_{pt-1}$$

$$\forall p \in [p_{max}], t \in [t_{max}]$$

This constraint can be handled in the same manner as the previous one. (3.4)

$$s_{pt}^{sr} \leq d_{pt}^{sr}$$

$$\forall p \in [p_{max}], t \in [t_{max}]$$

Remember that we already covered this one with the log-trick in subsection 2.1.

(3.5)

$$C_{mbt}^{min} \leq \sum_{p=1}^{p_{max}} \sum_{k=0}^{min(k_{max},t_{max}-t)} cc_{bk}^{pm}(x_{p,m,t+k} + x_{p,m,t+k}^i) \leq C_{mbt}^{max}$$

$$\forall m \in [m_{max}], p \in [p_{max}], t \in [t_{max}]$$

We can split it in 2 trivial constraints:

$$C_{mbt}^{min} \leq \sum_{p=1}^{p_{max}} \sum_{k=0}^{min(k_{max},t_{max}-t)} cc_{bk}^{pm}(x_{p,m,t+k} + x_{p,m,t+k}^i)$$

$$\textstyle \sum_{p=1}^{p_{max}} \sum_{k=0}^{\min(k_{max},t_{max}-t)} cc_{bk}^{pm}(x_{p,m,t+k}+x_{p,m,t+k}^i) \leq C_{mbt}^{max}$$

$$\forall m \in [m_{max}], p \in [p_{max}], t \in [t_{max}]$$

Such inequalities constraints are handled with slack variables, such that we obtain only equality constraints, thus:

$$\begin{split} &C_{mbt}^{min} + l_{mbt}^{min} = \sum_{p=1}^{p_{max}} \sum_{k=0}^{min(k_{max},t_{max}-t)} cc_{bk}^{pm}(x_{p,m,t+k} + x_{p,m,t+k}^{i}) \\ &\sum_{p=1}^{p_{max}} \sum_{k=0}^{min(k_{max},t_{max}-t)} cc_{bk}^{pm}(x_{p,m,t+k} + x_{p,m,t+k}^{i}) + r_{mbt}^{max} = C_{mbt}^{max} \\ &\forall m \in [m_{max}], p \in [p_{max}], t \in [t_{max}], \end{split}$$

where  $l_{mbt}^{min}$  and  $r_{mbt}^{min}$  are the aforementioned slack variables. Again, they also have the log-trick behind them, in order to represent non-negative integers. The bound for the log-trick can be, for example,  $C_{mbt}^{max} - C_{mbt}^{min}$ , for each m, b and t. For  $l_{mbt}$  we obtain this upper-bound from  $C_{mbt}^{min} + l_{mbt} \leq C_{mbt}^{max}$  and for  $r_{mbt}$ , in the same manner, by considering that the double summation is already greater or equal than  $C_{mbt}^{min}$ , so  $r_{mbt}$  cannot exceed  $C_{mbt}^{max} - C_{mbt}^{min}$ .

or equal than  $C_{mbt}^{min}$ , so  $r_{mbt}$  cannot exceed  $C_{mbt}^{max} - C_{mbt}^{min}$ .

As a quick optimization, we observe that  $cc_{bk}^{pm}$  and  $x_{p,m,t+k}^{i}$  are constants in the sum, so we can move the extra factor before the sum. The formulation remains quadratic as well.

(3.6) 
$$x_{pmt} \le \delta u_{pmt}$$
 
$$\forall p \in [p_{max}], m \in [m_{max}], t \in [t_{max}],$$

where  $\delta$  is large enough.

The author wrote an explanation for this constraint: "It fixes the binary variable to 1 whenever there is a positive production. On the other hand,  $u_{pmt}=0$  leads to  $x_{pmt}=0$ " [1].

To represent this inequality we also employ slack variables,  $\tau_{pmt}$ , s.t.  $x_{pmt} + \tau_{pmt} = \delta u_{pmt}$ . We get a simple upper-bound for  $\tau_{pmt}$ , namey exactly  $\delta$ , as  $u_{pmt}$  is a binary variable.

### References

- [1] Thomas Ponsignon. Modeling and Solving Master Planning Problems in Semiconductor Manufacturing. PhD thesis, 12 2012.
- [2] Andrew Lucas. Ising formulations of many np problems. Frontiers in Physics, 2, 2014.