

## Comparing sets

### PREREQUISITES

- sets (notation, operations, cardinality)

Two sets can stand in several distinct relations to each other:

1. subset
2. superset
3. identity
4. proper subset
5. proper superset
6. disjoint
7. incomparable

## 1 Subset and superset

Given two sets  $A$  and  $B$ ,  $A$  is a **subset** of  $B$  iff every element of  $A$  is also an element of  $B$ . In this case, one writes  $A \subseteq B$ . For example,  $\{a, b\} \subseteq \{a, b, c, d\}$ . Alternatively, one also says in this case that  $B$  is a **superset** of  $A$  (written  $B \supseteq A$ ).

### EXAMPLE 1.

A transitive verb is a verb that occurs with a subject and an object: *devour*, *contradict*, *wager*, *flummox*, and many more. Not all verbs are transitive, e.g. *sleep* or *give*. Suppose  $T$  is the set of all English transitive verbs, whereas  $V$  is the set of all English verbs. Since every transitive verb is a verb, but not the other way round, we have  $T \subseteq V$ .

By the definition of subset, every set  $S$  is a subset of itself. The reasoning is simple. If  $S \subseteq S$ , then every member of  $S$  must be a member of  $S$ , which is obviously true (how could it be otherwise?).

In addition, the empty set is a subset of every set, including itself. This is because the empty set contains no elements at all, so it trivially holds that every member of the empty set is a member of every set.

### EXERCISE 1.

Complete the table below.

A	B	$A \subseteq B?$	$A \supseteq B?$
$\{a, b\}$	$\{a, a, b, c\}$		

$\{a\}$	$\{b\}$
$\{\}$	$\{a\}$
$\{a, b\}$	$\{a, a, b, b\}$

**EXERCISE 2.**

Say whether the following statement is true or false and justify your answer: for any two sets  $A$  and  $B$ ,  $A \subseteq B$  iff  $A \cap B = A$ .

## 2 Identity

Two sets are *identical* iff each one is a subset of the other. In formal terms,  $A = B$  iff both  $A \subseteq B$  and  $B \subseteq A$  hold. The reason for this is again simple:

1. If two sets  $A$  and  $B$  are identical, then they must contain exactly the same elements. But then every member of  $A$  is a member of  $B$ , which implies  $A \subseteq B$ . And it's also the case that every member of  $B$  is a member of  $A$ , so that we have  $B \subseteq A$ , too.
2. In the other direction, if  $A \subseteq B$  and  $B \subseteq A$ , then every member of  $A$  is a member of  $B$ , and every member of  $B$  is a member of  $A$ . But that can only happen if the sets are identical.

## 3 Proper subset and superset

We call  $A$  a **proper subset** of  $B$  ( $A \subsetneq B$ ) iff  $A$  is a subset of  $B$  but  $A$  and  $B$  are not identical. In other words, every element of  $A$  is a member of  $B$ , but not every element of  $B$  is a member of  $A$ . We also say that  $B$  is a **proper superset** of  $A$  ( $B \supsetneq A$ ).

**EXAMPLE 2.**

Given our previous discussion, the set  $T$  of transitive verbs is proper subset of the set  $V$  of verbs because it is a subset but not every verb is a transitive verb. In other words,  $T \subseteq V$  yet  $T \neq V$ . Hence  $T \subsetneq V$ .

**EXERCISE 3.**

Fill in  $=$ ,  $\subsetneq$ , or  $\supsetneq$  as appropriate.

- $\{a, b\} \_ \{a\}$
- $\{a, a, b, c\} \_ \{b, b, a, c\}$
- $\{1, 2, 3\} \_ \{n + 5 \mid n \in \{-4, -3\}\}$
- $\emptyset \_ \{a\}$

- $\emptyset \_ \{\emptyset\}$

## 4 Disjoint and incomparable sets

If there are two sets  $A$  and  $B$  such that neither  $A \subseteq B$  nor  $B \subseteq A$ , then there can be only two scenarios. One option is that  $A$  and  $B$  are **disjoint**, which means that there is no  $x$  such that both  $x \in A$  and  $x \in B$  — the two sets have absolutely no overlap. In mathematical terms,  $A \cap B = \emptyset$ . Alternatively,  $A$  and  $B$  might be **incomparable**. In this case the two sets have a limited overlap such that there is at least one  $x$  with both  $x \in A$  and  $x \in B$ , but there are also  $a \in A$  and  $b \in B$  such that  $a \notin B$  and  $b \notin A$ .

### EXAMPLE 3.

The set of English prepositions (*on, to, at, ...*) and the set of English determiners (*a, the, this, ...*) have not a single word in common and thus are disjoint. The set of English verbs and the set of English nouns, on the other hand, are incomparable. Many words like *water, cut, fall, love, try, judge, beat, or cross* can be used as nouns or verbs, but many other words are used only as nouns (*tree, waterfall, idea, Ferrari*) or only as verbs (*write, convince, admonish*).

Remember that it is possible for both  $A \subseteq B$  and  $B \subseteq A$  to be true — in this case,  $A = B$ . But there can be no  $A$  and  $B$  such that  $A \subsetneq B$  and  $B \subsetneq A$ .

### EXERCISE 4.

For each line in the table, say whether the sets are disjoint, incomparable, identical, or stand in a proper subset/superset relation.

A	B
$\{2, 5, 8\}$	the set of all odd numbers
$\{a, b, c\}$	$\{a, b\} \cup (\{a, c\} - \{b, d\})$
$\emptyset$	$\{a, b\} \cap (\{a, c\} - \{b, d\})$
$\emptyset$	$\{a, b\} \cap (\{a, c\} \cap \{b, d\})$

## 5 Remarks on notation

### Similarity to $\leq$ and $\geq$

Students sometimes confuse the symbols  $\subseteq$  and  $\supseteq$ . To avoid that, just keep in mind that these symbols are modeled after  $\leq$  and  $\geq$  for numbers. Just like  $x \leq y$  means that  $x$  is at most as large as  $y$ ,  $x \subseteq y$  tells us that  $x$  contains at most all the elements of  $y$ , and nothing else.

### A note on $\subset$

You may occasionally come across the symbol  $\subset$  in other math texts. Some authors use  $\subset$  instead of  $\subseteq$ , while others use it for  $\subsetneq$ . As you might imagine, this can be very confusing for the reader, so it's best to avoid  $\subset$  and use  $\subseteq$  and  $\subsetneq$  instead.

### And then there's $\not\subseteq$

Sometimes we might just want to say that  $A$  is not a subset of  $B$ . We could paraphrase this, as in “it is not the case that  $A \subseteq B$ ”. But mathematicians like to use symbols for common phrases, so there's a dedicated symbol for this:  $\not\subseteq$ . Careful, do not confuse  $\not\subseteq$  with  $\subsetneq$ .

Here's an overview of all the relevant notation:

Formula	means...
$A \subseteq B$	$A$ is a subset of $B$ (holds even if $A = B$ )
$A \subsetneq B$	$A$ is a proper subset of $B$ ( $A \subseteq B$ and $A \neq B$ )
$A \not\subseteq B$	$A$ is not a subset of $B$ ( $A \ni a \notin B$ for some $a$ )

As you might have expected, there's corresponding counterparts for superset:  $\supseteq$ ,  $\supsetneq$ ,  $\not\supseteq$ . But there is no standardized symbol for sets being incomparable, although some authors like to use  $\sim$  for this purpose.

## 6 Recap

**DEFINITION 1.** Let  $A$  and  $B$  be arbitrary sets. Then  $A$  is a **subset** of  $B$  ( $A \subseteq B$ ) iff every member of  $A$  is a member of  $B$ . In this case,  $B$  is a **superset** of  $A$  ( $B \supseteq A$ ).

**DEFINITION 2.** For  $A$  and  $B$  arbitrary sets,  $A$  is a **proper subset** of  $B$  ( $A \subsetneq B$ ) iff  $A \subseteq B$  and there is a  $b \in B$  such that  $b \notin A$ . Similarly,  $B$  is a **proper superset** of  $A$  ( $B \supsetneq A$ ).

**DEFINITION 3.** Let  $A$  and  $B$  be arbitrary sets. Then  $A$  and  $B$  are:

- **identical** iff  $A \subseteq B$  and  $B \subseteq A$  both hold,
- **disjoint** iff  $A \cap B = \emptyset$ ,
- **incomparable** iff  $A \not\subseteq B$  and  $B \not\subseteq A$  and  $A \cap B \neq \emptyset$ .