A textbook for LIN 361/539 "Mathematical Methods in Linguistics" at Stony Brook University

Parts of strings

PREREQUISITES

• strings (basic notation)

It is often important to refer to specific substructures of a string. The most important notion is that of **substring**, with the special cases of **prefix** and **suffix**. But for some applications, **subsequences** are also relevant.

1 Substrings

A **substring** is a continuous part of a string.

EXAMPLE 1.

The string abcd has 11 substrings:

- ε
- a
- b
- ٠ ر
- d
- ab
- *bc*
- *cd*
- *abc*
- bcd
- abcd

Some authors like to write $u \sqsubseteq v$ to indicate that u is a substring of v. Note that

- 1. the empty string is a substring of every string, and
- 2. every string is a substring of itself.

A substring u of v is a **proper** substring iff $u \neq v$.

EXAMPLE 2.

All the strings listed above are proper substrings of *abcd*, except *abcd* itself.

If u is substring that spans from the very beginning of v, we call it a **prefix**. And if u is a substring that spans to the end of v, we call it a **suffix**. Make sure not to confuse these with the linguistic notions of prefix and suffix, which work very differently.

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Example 3.

Among the strings listed above, all of the following are prefixes of *abcd*:

- ε (if you find this confusing, check the formal definition below)
- a
- *ab*
- *abc*
- abcd

And the all of the following are suffixes of *abcd*:

- ε (if you find this confusing, check the formal definition below)
- d
- cd
- *bcd*
- abcd

Exercise 1.

For every string u, there are two substrings that are both prefixes and suffixes of u. What are they? For which string are these two substrings not distinct?

Substrings, prefixes, and suffixes are formally defined via concatenation.

DEFINITION 1. Given Σ -strings u and v, u is a **substring** of v ($u \sqsubseteq v$) iff there are $x, y \in \Sigma^*$ such that $v = x \cdot u \cdot y$. We furthermore call u

- a proper substring iff $u \neq v$,
- a prefix iff $y = \varepsilon$,
- a suffix iff $x = \varepsilon$.

Exercise 2.

For each one of the string pairs below, indicate whether the first string is a substring of the second string, a proper substring, or neither:

- a & aaaa
- a & b
- ε & b
- ε&ε
- aa & abbbca
- bc & abbbca
- cb & abbbca

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2 Subsequence

Whereas substrings must be continuous, **subsequences** are allowed to also be discontinuous. However, a subsequence need not be discontinuous.

Example 4.

The string *abcd* has 15 subsequences:

- ε
- a
- b
- c
- d
- ab
- ac
- ad
- *bc*
- *bd*
- · Du
- cdabc
- abd
- bcd
- *abcd*

Note that *ca* is not a subsequence of *abcd*, but it is a subsequence of *abcda*.

Exercise 3.

How many subsequences does the string aaaa have?

Just like substrings, a subsequence u of v is **proper** iff $u \neq v$.

The formal definition of subsequences is quite a bit more verbose than that of substrings. This is because the option of discontinuity requires the use of additional string variables that can be interleaved with the subsequence in order to obtain the original string.

DEFINITION 2. Let v be a Σ -string and $u := u_1 u_2 \cdots u_n$ a member of Σ^n . Then u is a **subsequence** of v iff there are strings $x_0, x_1, \dots, x_{n+1} \in \Sigma^*$ such that

$$v = x_0 \cdot u_1 \cdot x_1 \cdot u_2 \cdot x_2 \dots \cdot u_n \cdot x_{n+1}$$

A subsequence u of v is **proper** iff $u \neq v$.

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Exercise 4.

For each one of the string pairs below, indicate whether the first string is a subsequence of the second string, a proper subsequence, or neither:

- a & aaaa
- a & b
- ε & b
- ε&ε
- aa & abbbca
- bc & abbbca
- cb & abbbca

Exercise 5.

Say whether the following is True or False: Every substring of some string *s* is also a subsequence of *s*, but not the other way round. Justify your answer.

3 Recap

- A **substring** is a continuous part of a string. Initial substrings are called **prefixes**, and final ones are called **suffixes**.
- A **subsequence** is a discontinuous part of a string.
- The empty string is a substring, a prefix, a suffix, and a subsequence of every string.
- Every string *s* is both a substring and a subsequence of itself. The substrings and subsequences of *s* that are distinct from *s* are **proper**.