# BATTLE OF THE NODES: RAC PERFORMANCE MYTHS

### Introduction

This paper is to explore various misconceptions about Real Applications Cluster (RAC) and performance. Scripts are provided, inline, wherever possible for reproducing test cases.

This paper is NOT designed as a step by step approach, rather designed as a guideline. Every effort has been taken to reproduce the test results. It is possible for the test results to differ slightly due to version/platform differences.

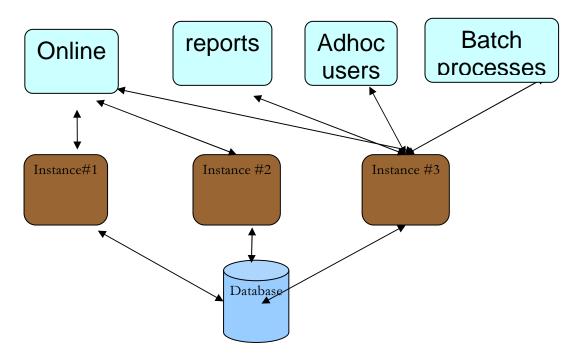
### Myth #1:

### High CPU usage in one node doesn't affect other node performance.

In a typical RAC architectural design patterns, Many nodes are dedicated to support critical functionality and one or two nodes are dedicated to support adhoc, reporting or other resource intensive processing. This idea stems from the fact that there is enough insulation for critical functionality by isolating resource intensive work to few nodes. Further, costly SQLs and not-sotuned SQLs are executed from reporting nodes in the hope that performance will not be affected in the nodes supporting critical business functions.

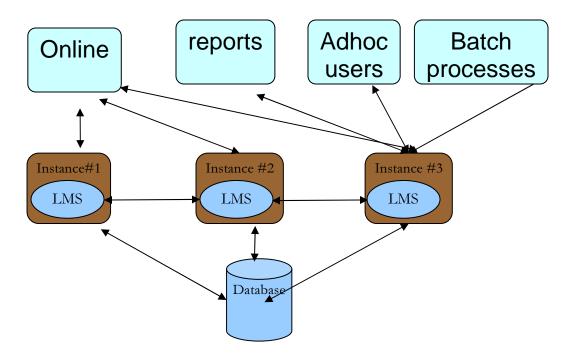
Further, size of reporting node is kept smaller with fewer resources. This results in over use of reporting nodes, typically, with a resource usage exceeding that node capacity.

### Typical RAC node setup



In recent versions, thanks to cache fusion, blocks are transferred from remote cache if suitable block is found in remote cache avoiding disk reads. Block transfer is performed by LMS processes. LMS processes are running in normal priority and if these LMS processes are suffering from CPU starvation due to excessive resource usage in a node, then performance of cache fusion traffic will be affected.

### LMS communication



Typically, Global cache CR waits such as 'gc cr grant 2 way' (10g), 'global cache cr request' latency increases due to global communication or cache fusion latencies. This global cache performance is blamed on interconnect network hardware or path. But, interconnect is probably performing fine and global cache latency is caused by LMS process latencies.

In real life, this myth is already in play and it is quite hard to change its dynamics. Of course, there are following ways to work around this issue:

More LMS processes? Typical response to this issue, if LMS processes have been identified
as a culprit, to increase number of LMS processes. This change has negative effect on
performance. If a RAC node is suffering from resource starvation, then adding more
processes typically results in more performance degradation.

Modern CPUs have cache affinity designed in them and so, processes tend to be executed in the same CPU sets to improve TLB efficiencies. By adding more LMS processes, TLB misses and cache thrashing increases. This can be evidenced by carefully monitoring

xcalls/migrates/TLB misses in mpstat and trapstat outputs. In summary, few busy LMS processes are better then many quasi-busy LMS processes.

Same number of LMS processes as # of interconnects or number of remote nodes is a good starting point. For example, in a four node cluster, three LMS processes per node is usually sufficient.

2. LMS process priority? In release 9i, increasing LMS process priority to RT or FX (with larger CPU quanta scheduling schemes) helps. Oracle Corporation has already identified this potential issue and in release 10g, this issue is taken care of, and LMS processes are running with RT priority. This alleviates many issues with global cache transfer.

Two parameters control this behaviour<sup>1</sup>:

- a. \_high\_priority\_processes: This controls processes that will have higher priority and v\$parameter specifies this as "High priority process name mask" with default value of LMS\*.
- b. \_os\_sched\_high\_priority: This controls whether OS scheduling high priority is enabled or not and defaults to 1.

By enabling higher priority for LMS processes, we will be able to reduce the effect of report node resource usage issues.

### Myth #2:

### All global cache performance is due to interconnect

Much of the global cache performance is blamed on interconnect or interconnect hardware. That is not necessarily the case. Let's consider few lines printed from statspack output below (node 1):

### Global Cache and Enqueue Services - Workload Characteristics

Avg global enqueue get time (ms):	8.9
Avg global cache cr block receive time (ms): Avg global cache current block receive time (ms):	63.3 2.1
Avg global cache cr block build time (ms): Avg global cache cr block send time (ms): Global cache log flushes for cr blocks served %: Avg global cache cr block flush time (ms):	0.3 0.1 4.5 51.5
Avg global cache current block pin time (ms): Avg global cache current block send time (ms): Global cache log flushes for current blocks served %: Avg global cache current block flush time (ms):	0.0 4.8 0.1 30.0

Average global cache CR block receive time is 63.3ms, which is very high. This could be an interconnect issue, but not necessarily. We need to look at all other metrics before concluding it. Usually, with decent hardware, interconnect is working fine. Unless, interconnect is flooded with GC traffic, interconnect performance is within acceptable range.

<sup>&</sup>lt;sup>1</sup> In some cases, I have noticed that running these processes in RT can have negative effect too. Especially, if the node size is very small and overloaded, then kernel and other critical processes doesn't get enough CPU. This can result in node evictions due to timeout failures.

In the scenario above GC for CR buffers are affected. It might be easy to conclude that, since this wait is for CR buffers, LMS should be able to send the buffers quickly and so, interconnect must be faulty. But, CR buffer receive time can suffer from Log writer performance issues in remote nodes too. Before LMS process can send a block (buffer) to requesting node in CR mode, LMS must wait for log flush to complete.

So, global cache latency can be written as

Interconnect message latency from & to LMS processes + LMS processing latency + LGWR processing latency (including log file write).

Review of workload characteristics in node 3 throws light in to this problem. Problem was that both interconnect and LMS were working fine. But, LGWR writes were suffering from extremely slow I/O response due to a faulty I/O path hardware issue. After resolving LGWR performance, Global cache performance was back to normal conditions.

### Global Cache and Enqueue Services - Workload Characteristics

```
0.3
                               Avg global enqueue get time (ms):
              Avg global cache cr block receive time (ms):
                                                                                          10.4
      Avg global cache current block receive time (ms):
                                                                                           3.2
                 Avg global cache cr block build time (ms):
                                                                                           0.1
                  Avg global cache cr block send time (ms):
                                                                                           0.0
       Global cache log flushes for cr blocks served %:
Avg global cache cr block flush time (ms):
                                                                                           5.0
                                                                                      4380.0
Avg global cache current block pin time (ms):
Avg global cache current block send time (ms):
Global cache log flushes for current blocks served %:
Avg global cache current block flush time (ms):
                                                                                           0.0
                                                                                           0.1
                                                                                           0.1
```

That leads us to our next point. In lieu of discussion in myth #1 for LMS processes, it is also prudent to consider following:

- 1. LGWR processes should also run with higher priority, in addition to LMS processes (only applicable to 9i and above).
- 2. Better write throughput for redo log files is quite essential. Higher interconnect traffic eventually lead higher or hyperactive LGWR. Consider using direct I/O and asynchronous I/O for redo log files. Asynchronous I/O is quite important if there are multiple log group members as LGWR has to wait for I/O to each member complete sequentially, before releasing commit markers.
- 3. In solaris platform, priocntl can be used to increase priority of LGWR and LMS processes.

```
priocntl -e -c class -m userlimit -p priority
priocntl -e -c RT -p 59 `pgrep -f ora_lgwr_${ORACLE_SID}`
priocntl -e -c FX -m 60 -p 60 `pgrep -f ora_lms[0-9]*_${ORACLE_SID}`
```

- Binding: Another option is bind LMS and LGWR processes to specific processors or processor sets. This should reduce TLB thrashing and improve efficiency of LMS/LGWR processes.
- 5. It is worthwhile to fence interrupts to one or two processor sets and use remaining processor sets for LMS/LGWR processes. Refer psradm for further reading in Solaris

environments. But, of course, processor binding is only applicable to servers with high # of CPUs and has repercussions on availability.

### Myth #3:

### Inter instance parallelism is excellent, since all nodes can be used.

Intra instance parallelism is controlled by parameters such as parallel\_min\_servers, parallel\_max\_servers and other parallel\* parameters. Inter-instance parallelism is controlled by few RAC specific parameters. Specifically, instance\_group and parallel\_instance\_group parameters determine how parallel slaves will be distributed across nodes.

Parameter instance\_group determines which instance groups that this instance is a member of. Parameter parallel\_instance\_group determines which instance\_groups will have parallel slaves will be allocated from.

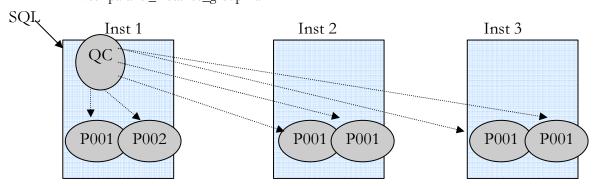
For example, let's consider following scenarios. There are three nodes in this RAC cluster inst1, inst2 and inst3.

#### Scenario #1:

In this first scenario, there is an instance\_group 'all' encompassing all three instances. Also parallel\_instance\_group is set to 'all'. So, parallel slaves for SQL initiated from inst1 can be allocated from all three instances.

To span slaves across all instances: inst1.instance\_groups='inst1','all' inst2.instance\_groups='inst2','all' inst3.instance\_groups='inst3','all'

inst1.parallel\_instance\_group='all'

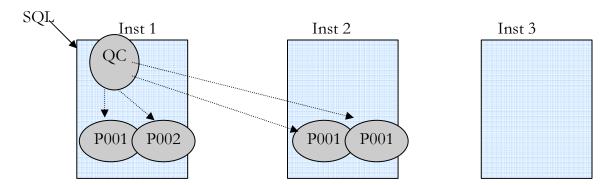


### Scenario #2:

In this scenario, both inst1 and inst2 are members of instance\_group inst12. But, inst3 is not a member of this instance\_group.

inst1.instance\_groups='inst12','all' inst2.instance\_groups='inst12','all' inst3.instance\_groups='inst3','all'

inst1.parallel\_instance\_group='inst12'



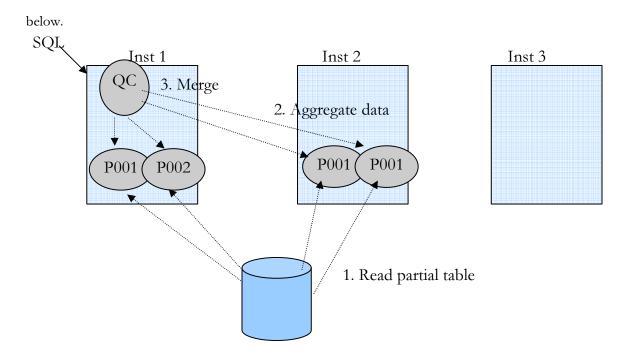
For example, in the above scenario, four slaves were allocated, two from each node. Also Query coordinator was allocated from instance that initiated this SQL.

```
Alter session set parallel_instance_group='inst12'; select /*+ full(tl) parallel (tl,4) */ avg(n1), max(n1), avg(n2), max(n2), max(v1) from t_large tl;
```

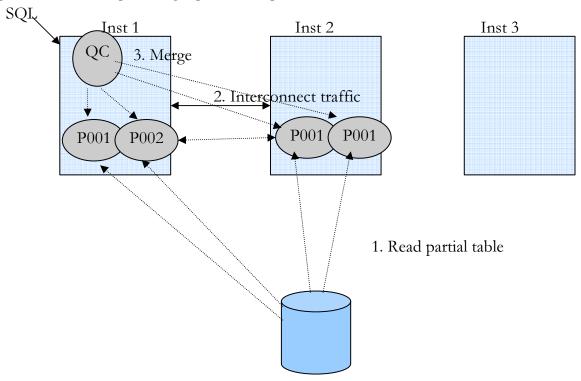
REM Four slaves were allocated for above SQL statement.

Username	QC/Slave	Slave Set	SID	QC SID	Requested	DOP	Actual	DOP	INST_ID
CBQT	QC		140	140					1
- p001	(Slave)	1	138	140		4		4	1
- p000	(Slave)	1	152	140		4		4	1
- p000	(Slave)	1	121	140		4		4	2
- p001	(Slave)	1	126	140		4		4	2

Problem is that in an ideal situation, communication between parallel slaves will be minimal and efficiency can be gained by aggregating at node level and merging result set atquery coordinator, as depicted in the picture



Actual processing is much different. There is much communication between parallel slaves and rows are transferred from one node to another node, in the form of PQ message buffers. This traffic also flows through interconnect traffic increasing, in some cases, complete paralyzing interconnect performance. Actual processing depicted in the picture below.



This excessive interconnect traffic causes instance wide performance issues, by flooding interconnect. It also reduces performance of parallel SQL itself. Here is the test case for a query.

```
select /*+ full(tl) parallel (tl,4) */
avg(n1), max(n1), avg(n2), max(n2), max(v1)
from t_large tl;
```

When parallel slaves were confined to one instance, performance was 91 seconds, compared to 189 seconds when all instances participated in parallel query execution.

	sion set count	parallel cpu	_instance_gr elapsed	oup ='ALL'; disk	query	current	rows
Parse Execute Fetch	3 3 6	0.00 0.00 69.90	0.02 1.27 189.92	0 0 0	0 9 0	0 0 0	0 0 3
total	12	69.91	191.22	0	9	0	3
	sion set count	parallel cpu	_instance_gr elapsed	oup ='INST1'; disk	query	current	rows
Parse Execute Fetch	1 1 2	0.00 0.00 7.48	0.05 30.63 60.69	0 0 0	0 3 0	0 0 0	0 0 1
total	 4	7.50	91.38	0	3	0	1

### Myth #4

## Logical isolation is good enough, so, run same batch process concurrently from both nodes.

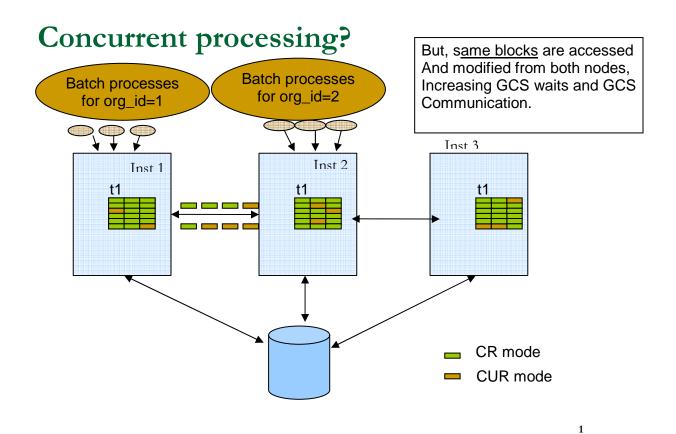
A batch process was designed to accept organization\_id as an argument and spawns multiple threads to do processing. Client wanted to run this process from these batch processes from 2 nodes, to effectively make use of CPUs. While this ideology is appreciated, but it arises from fallacy that logical isolation of the batch process is good enough. But, in real world, this isolation need to be at physical layer, not just at logical level.

This batch process was started from node 1 with organization\_id=1 and same batch process was started from node 2 with organization\_id=2. These batch processes, in turn, spawned 5+ threads to do processing in each node.

Problem is that, even though, these batch processes are operating on different organization\_ids, they are indeed operating on same physical table/indices/blocks.

So, these batch processes were concurrently accessing same set of blocks from both nodes. As we discussed already, transfer of CR blocks needs log flush and this increases LGWR activity. Further, only one instance can hold a buffer in CUR mode, which also needs log flush. Essentially, both CR and CUR mode global cache transfer increased drastically and LGWR activity also increased.

Typical global cache waits are 1-3ms for a decent hardware setup and a typical single block or multi-block reads are in the order of 2-4ms. But, typical access to SGA buffers in local node is in nano-seconds 10-100ns. In a non-RAC setup, these batch processes will access buffers in nano-seconds. With this RAC setup, waits increased to 1-3ms from 10-100ns, which is 1000 times worse.



Following statspack report lines shows this issue (5 minute statspack from one node).

Top 5 Timed Events

Event	Waits	Time (s)	% Total Ela Time
CPU time PL/SQL lock timer global cache cr request global cache null to x buffer busy global CR	80 123,725 13,551 12,383	3,901 2,316 670 446 215	46.17 27.42 7.93 5.28 2.54

Global cache wait events add up to 17%. Since PL/SQL lock timer is form another process, global cache wait event is actually at near 35%.

Trace file analysis also shows similar problem.

Elapsed times include waiting on following events:

Event waited on	Times	Max. Wait	Total Waited
	Waited		
log file sync	13	0.08	0.28
latch free	2185	0.17	32.76
global cache cr request	21719	0.31	71.18
db file sequential read	50	0.04	0.33
global cache s to x	791	0.06	5.31
row cache lock	113	0.02	0.41
global cache null to x	4881	0.30	97.41
Ďuffer busy global CR	3306	0.23	15.26
global cache open x	903	0.06	5.83
Ďuffer busy waits	1462	0.98	17.90
global cache busy	644	0.98	10.36
buffer deadlock ´	224	0.02	0.07
global cache null to s	89	0.15	0.77
buffer busy global cache	1066	0.31	27.62

engueue	302	0.17	2.61
KJC: Wait for msg sends to complete	102	0.00	0.00
global cache open s	62	0.01	0.13
cr request retry	4	0.00	0.00

In summary, we need to consider isolation at physical layer. If two batch processes are accessing same table blocks aggressively, then they need to run from same node. Proper partitioning will help provided that special attention given to global indices or if global indices completely avoided.

### Myth #5

## Set sequence to nocache value in RAC environments to avoid gaps in sequence:

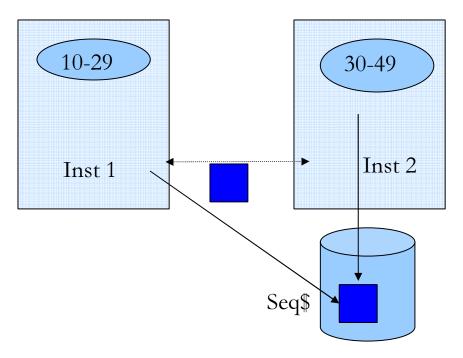
Sequence values are cached in instance memory and by default 20 values are cached. As instance cache is transient, loss of an instance can result in loss of cached sequence values. Permanent record of highest possible value from any instance is kept track in SEQ\$ table.

SQLs accessing this sequence within an instance will access instance SGA. As each instance caches its own sequence values it is highly likely that SQLs accessing this sequence from different instance will create gaps in sequence values.

Sequence of operation accessing this sequence with cache value set to 20 is:

- 1. Starting value of SEQ\$.highwater value is 9. <sup>2</sup>
- 2. First access to sequence from instance 1 will cache values from 10-29.
- 3. SEQ\$.highwater is updated to 29 for that sequence.
- 4. First access to the same sequence from instance 2 will cache values from 30-49.
- 5. SEQ\$.highwater is updated to 49 for that sequence.
- 6. From instance 1, subsequent access to that sequence will return values until 29.
- 7. After 29, accessing that sequence in instance1 will cache values from 50-69 and return 50.
- 8. SEQ\$.highwater updated to 69.

<sup>2</sup> DBA\_SEQUENCES.LAST\_NUMBER is an alias for SEQ\$.highwater column.

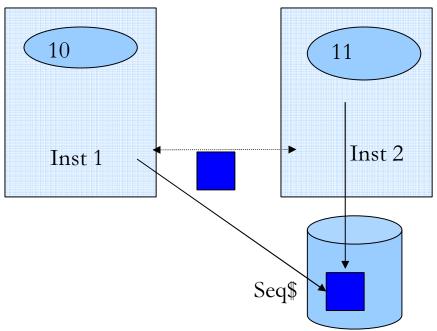


In summary,

- 1. 60 accesses to this sequence results in 3 changes to SEQ\$ table block.
- 2. These three changes may or may not result in physical write of that block. (due to SGA caching and lazy DBWR write schemes).
- 3. Gaps in sequence possible. Sequence values can be lost if instance crashes or shared pool flushed.
- 4. Still, changes to SEQ\$ table block, results in cache fusion transfer.

Lets' consider the scenario, if sequence cache value is set to nocache or 1.

- 1. Starting value for SEQ\$.highwater of this sequence in seq\$ table is 9.
- 2. First access to sequence from instance 1 will return value of 10.
- 3. SEQ\$.highwater is updated to 10 for that sequence.
- 4. First access to the same sequence from instance 2 will return value of 11.
- 5. SEQ\$.highwater is updated to 11 for that sequence.
- 6. From instance 1, subsequent access to that sequence will return value as 12.
- 7. SEQ\$.highwater updated to 12.



In summary,

- 1. Three accesses to this sequence results in three block changes.
- 2. No gaps in sequence possible.
- 3. But, SEQ\$ table blocks transferred back and forth.
- 4. This will increase the stress on updates to row cache and 'row cache lock' waits will be visible.
- 5. Increases global cache communication too.

### Test case:

A simple test case with loop based inserts is printed below.

```
This PL/SQL block of code inserts into t1 table using a sequence. For every 1000 rows are so,
changes committed. Also, event 10046 is used to turn on sqltrace in level 8.
set timing on
alter session set events '10046 trace name context forever, level 8';
declare
1_v1 varchar2(512);
 l_n1 number :=0;
begin
for loop_cnt in 1 .. 10000
 loop
   -- Random access
   -- Also making undo blocks to be pinged..
   insert into t1
   select t1_seq.nextval, lpad( loop_cnt, 500, 'x') from dual;
   if mod(loop\_cnt, 1000) = 0 then
    commit;
   end if;
 end loop;
end;
/
```

Tkprof output of above code executions in single node printed below: Since the cache of this sequence is 1, table seq\$ is updated 10000 times. But, there aren't any other performance issues that we notice.

INSERT 1	INTO T1	SELECT T1	_SEQ.NEXTVAL,	LPAD(:B1,	500, 'x')	FROM DUAL	
call	count	cpu	elapsed	disk	query	current	rows
Parse	1	0.00	0.00	0	0	0	0
Execute	10000	5.28	7.66	1	794	25670	10000
Fetch	0	0.00	0.00	0	0	0	0
total	10001	5.29	7.66	1	794	25670	10000

update seq\$ set increment\$=:2,minvalue=:3,maxvalue=:4,cycle#=:5,order\$=:6,
 cache=:7,highwater=:8,audit\$=:9,flags=:10 where obj#=:1

call	count	cpu	elapsed	disk	query	current	rows
Parse Execute Fetch	10000 10000 0	0.32 2.74 0.00	0.30 3.04 0.00	0 0 0	10000 0	0 20287 0	10000 0
total	20000	3.06	3.34	0	10000	20287	10000

Following the test case from both instances.

INSERT :			_SEQ.NEXTVAL,				nows
	count	cpu	elapsed	disk 	query	current	rows
Parse	10000	0.00	0.00	0	0	0	10000
Execute Fetch	10000 0	8.02 0.00	81.23 0.00	0	1584 0	27191 0	10000 0
total	10001	8.02	81.23	0	1584	27191	10000

Elapsed times include waiting on following	events:		
Event waited on	Times	Max. Wait	Total Waited
	Waited		
row cache lock	5413	2.93	62.86
gc current block 2-way	63	0.16	0.41
ac cr block 2-wav	46	0.00	0.06

Elapsed time of this SQL increased from 7.6 seconds to 81.3 seconds. Session was waiting for 'row cache lock' event for 63 seconds. This wait is due to SEQ\$ update which is a component of data dictionary.

These test results shows that sequences that are accessed heavily, in RAC, suffers from horrible performance issues if the cache value is set to 1 or nocache.

### Myth #5

### Small tables should not be indexed in RAC:

I think, this probably stems from the misunderstanding of RAC environments. Smaller tables tend to be cached in memory and with bigger SGA & cache fusion, much of the small table blocks will stay in cache. This somehow resulted in a myth that "small tables should not be indexed in RAC".

But, that isn't true. Small tables should be indexed too.

Following test case proves this. First, we crate a small table and insert 10K rows in to that table.

T\_SMALL2 is a comparatively smaller table with 256KB allocated size. In the test case below, code accesses t\_small2 table in a loop one hundred thousand times randomly. Trace is also enabled by setting event 10046 with level 8.

#### Test case:

```
alter session set events '10046 trace name context forever , level 8'; set serveroutput on size 100000 declare

v_n1 number;

v_v1 varchar2(512);

b_n1 number;

begin

for i in 1 .. 100000 loop

b_n1 := trunc(dbms_random.value (1,10000));

select n1, v1 into v_n1, v_v1 from t_small2 where n1 =b_n1;

end loop;

exception

when no_data_found then

dbms_output.put_line (b_n1);

end;
```

Executing above test case, in two instances of RAC cluster, shows that it took 66 seconds to complete this block.

```
SELECT N1, V1
FROM
T_SMALL2 WHERE N1 =:B1 call count cpu
                                                disk
                                elapsed
                                                            query
                                                                      current
                                                                                        rows
                       0.00
                                   0.00
                                                                0
                                                                                           n
Parse
Execute 100000
                                   3.08
                                                   0
                                                                             0
         100000
                                  63.71
                                                    0
                                                          3100000
                                                                                     100000
Fetch
                                                                                     100000
total
         200001
                      65.54
                                  66.79
                                                         3100001
```

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Adding an index to column n1 and repeating the test case shows that there is a marked improvement in performance. Script completed in 3.4 seconds compared to 66 seconds.

```
REM adding an index and repeating test
create index t_small2_n1 on t_small2(n1);
```

call	count	cpu	elapsed	disk	query	current	rows
Parse Execute Fetch	1 100000 100000	0.00 1.64 1.79	0.00 1.61 1.78	0 0 23	0 2 300209	0 0 0	0 0 100000
total	200001	3.43	3.40	23	300211	0	100000

In summary, even small tables must be indexed in RAC.

### Myth #6

### Bitmap index performance is worse compared to single instance

Bitmap indices are suitable for mostly read only tables and works optimally for low cardinality data. Bitmap indices are not suitable for columns with excessive DML changes. But, there is a notion that bitmap indices and RAC does not mix and that is a myth.

SQL performance does not decrease just because bitmap index and RAC are mixed. In the following test case, a bitmap index is created on t\_large2 table on n4 column.

```
create bitmap index t_large2_n4 on t_large2(n4);
```

```
alter session set events '10046 trace name context forever, level 8';
set serveroutput on size 100000
declare
 v n1 number;
 v_v1 varchar2(512);
 b n1 number;
begin
for i in 1 .. 100000 loop
 b_n1 := trunc(dbms_random.value (1,10000));
 select count(*) into v_n1 from t_large2 where n4 =b_n1;
end loop;
exception
 when no_data_found then
 dbms_output.put_line (b_n1);
end;
Test results for a single threaded execution in a RAC instance printed below:
```

SELECT COUNT(\*) FROM T\_LARGE2 WHERE N4 =: B1

Parse 1 0.00 0.00 0 0	call_	count	сри	elapsed	disk	query	current	rows
Execute 100000 2.87 2.93 2 2 0		1			0	0	0	0

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Fetch	100000	1.86	2.03	78	200746	0	100000			
total	200001	4.73	4.97	80	200748	0	100000			
Rows	Row Source Operation									
100000	SORT AGGREGATE (cr=200746 pr=78 pw=0 time=2854389 us) RITMAP CONVERSION COUNT (cr=200746 pr=78 pw=0 time=1766444 us)									

Now, lets' add what happens if we execute this from multiple nodes:

SELECT COUNT(\*)
FROM
T\_LARGE2 WHERE N4 =:B1

call	count	cpu	elapsed	disk	query	current	rows
Parse Execute Fetch	1 100000 100000	0.00 2.82 1.90	0.01 2.95 1.94	0 0 3	0 2 200753	0 0 0	0 0 100000
total	200001	4.73	4.90	3	200755	0	100000

Misses in library cache during parse: 1

Performance has remained the same for select statements. Of course, if table is modified heavily then bitmap index should not be used even in single instance mode.

### **Conclusion**

We disproved various myths centered RAC and performance.

### About the author

Riyaj Shamsudeen has 15+ years of experience in Oracle and 14+ years as an Oracle DBA/Oracle Applications DBA. He is the principal DBA behind ora!nternals (http://www.orainternals.com - performance/recovery/EBS11i consulting company). He specializes in RAC, performance tuning and database internals and frequently blogs about them in <a href="http://orainternals.wordpress.com">http://orainternals.wordpress.com</a>. He has authored many articles such as internals of locks, internals of hot backups, redo internals etc. He also teaches in community colleges in Dallas such as North lake college and El Centro College. He is a proud member of OakTable network.

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- 5. 'Oracle8i Internal Services for Waits, Latches, Locks, and Memory' by Steve Adams
- 6. Tom Kyte's website Asktom.oracle.com

### Appendix #1: Environment details

Linux/Solaris 10 Oracle version 10gR2 and 9iR2 RAC Locally managed tablespaces

No ASM

No ASSM