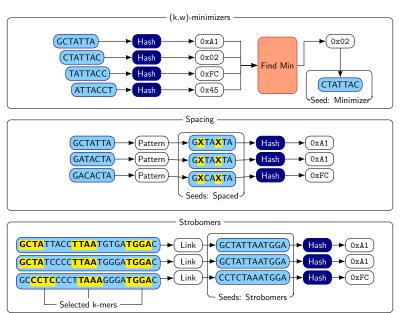
# Fast Python sequence aligner

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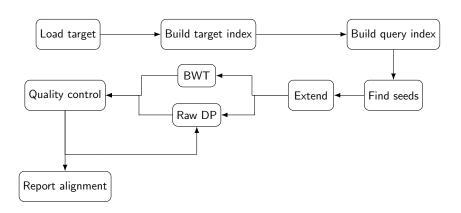
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### Seed and Extend approach



# Seed and Extend approach



### Aligner algorithm description

- 1. Build reference index structures
  - 1.1 Read reference in chunks of size 800000
  - 1.2 For each chunk generate (k=17, w=8)-minimizer index chunk
  - 1.3 Filter out every other 15-th k-mer (only if it occurs only once within the chunk)
  - 1.4 Merge chunk index into full reference index and repeat for other chunks
- 2. Load query and build (k=17, w=8)-minimizer index
- 3. Find common matches and generate cross-product
- Perform seed extension (described in detail later) and generate matching positions with scores
- 5. Filter 5 top scores  $\geq 0.1$ 
  - 5.1 For |scores| = 0 try building full LIS and use sliding window with highest scoring:  $min(|match_q|, |match_t|)^2 \sum diff(match_t)$
  - 5.2 For |scores| = 1 proceed normally
  - 5.3 For |scores| > 1 get region with lowest score generated by DP aligner
- 6. Perform final one region matching

### Aligner algorithm description

- 6. Perform final one region matching
  - 6.1 For every region virtually resize it by  $kmer\_len * 0.5 + 1 = 9$  each side
  - 6.2 For every region do quick BWT backtrack alignment on 10% of match (start and end) with max err. rate 10%=10
  - 6.3 If BWT finds match on end but padding exceeds 4% of match length, execute DP aligner (but only on first parameter configuration i.e (15, 11))
    - 6.3.1 DP aligner takes prefix/suffix of 40% of query size
    - 6.3.2 For  $(kmer_len, step) = ((15, 11), (8, 5))$  it tries to match the pair target/sequence
    - 6.3.3 Run recursive algorithm with threshold of errors  $\leq (|query| * 11.11\%)$
  - 6.4 Now if padding returned by BWT or/and DP aligners make region exceed size of |query| \* 105% then repeat BWT matching process with new estimate  $start = found\_end |query|$
  - 6.5 If we repeated procedure of running BWT/DP twice and came here again, then we assume there is no good match
- 7. Filter out matches if region exceed size of |query| \* 105%
- 8. Print matches coorindates

### Problem of extending seeds

- minimap2 approach with dynamic programming similar to normal alignment (plus exponential forward lookups)
- We have only one potential match so maybe assume that match ∈ LIS(matches)
- ► Can we formulate sliding window approach to generate the biggest sporring windows included in *LIS*(*matches*)?
- ► For which case assumtion *match* ∈ *LIS*(*matches*) won't work?
- Can we modify LIS to perhaps include other potential matches?

### Seed extension

#### Algorithm Standard LIS construction O(n log n)

```
Require: n > 0
 lis len \leftarrow 0
                                                                                             Delight of LIS
 parent \leftarrow \{\infty, \infty, \infty, ..., \infty\}_{n+1}
                                                                          ⊳Mapping to reconstruct LIS
 sub \leftarrow \{\infty, \infty, \infty, ..., \infty\}_{n+1}
                                                   Deliver Array with indices for matches that form LIS
 i \leftarrow 0
 while i < n do
                                                      \trianglerightIterate over all elements i = 0, 1, 2..., n-1
      start \leftarrow 1
     end ← lis_len
      while start < end do
                                                    ⊳Binary search over existing longest sequence
           middle \leftarrow \left| \frac{start + end}{2} \right|
          if matches_{a}[sub[middle]] < matches_{a}[i] then
                start \leftarrow middle + 1
           else
               start \leftarrow middle - 1
      parent[i] \leftarrow sub[start - 1]
                                                        >We pin current value to the found parent
      sub[start] \leftarrow i
      if start > lis len then
           lis_len = start
      i \leftarrow i + 1
```

### Seed extension

### **Algorithm** Reconstruct LIS by following parent array O(n)

#### Seed extension

#### Algorithm Segmented-LIS heuristic O(n log n)

```
Require: n \ge 0
 lis len ← 0
                                                                                                                     DLength of LIS
parent \leftarrow \{\infty, \infty, \infty, ..., \infty\}_{n+1}
                                                                                                     sub \leftarrow \{\infty, \infty, \infty, \dots, \infty\}_{n+1}
                                                                                DArray with indices for matches that form LIS
i \leftarrow 0
while i < n do
                                                                                \trianglerightIterate over all elements i = 0, 1, 2..., n - 1
     start \leftarrow 1
     end ← lis len
     while start < end do
                                                                                                                 ⊳Binarv search-like
         middle \leftarrow \frac{start+end}{2}
         if matches_{T}[sub[middle]] > matches_{T}[i] - max\_diff then
                                                                                                           ⊳Encountered old entry
             end \leftarrow start -1
                                                                                                                        ⊳Breaks loop
         else if matches_{\mathcal{O}}[sub[middle]] < matches_{\mathcal{O}}[i] then
              start \leftarrow middle + 1
          else
              start \leftarrow middle - 1
     parent[i] \leftarrow sub[start - 1]
                                                                                    >We pin current value to the found parent
     sub[start] \leftarrow i
     if start > lis_len then
         lis_len = start
     i \leftarrow i + 1
```

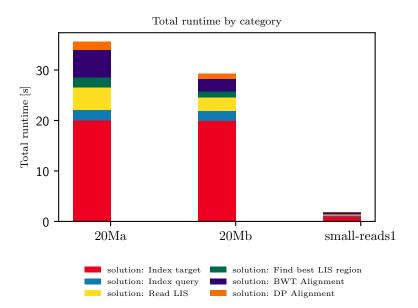
# Why does it seems to be working?

- ► The case when normal LIS does not work is first worse match with long tail of occurences beforehand
- ► Finishing binary-search early on the left side makes us reuse previous sequences, the more right selections we made
- ► So for each turn right our probability increases
- ► Hence for 3 good matches we will have a high chance of at least having its part in the final array
- ► Of course with increasing numer of candidates (especially with lower starting positions) it will work worse and worse

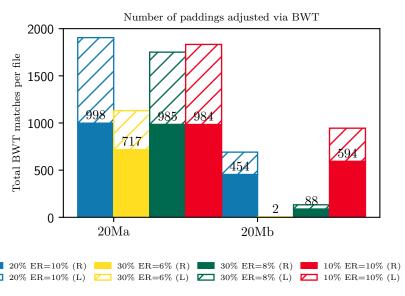
### What after we generated LIS heuristic?

- We can do the sliding window technique as query indices will be monotonic (locally) after we encounter target gap
- ► Hence we can formulate simple algorithm for each starting position *i* in our heuristical-LIS array
  - 1. For each i find last available target position j (using binary search)
  - 2. For window we define  $spaces(s) = \sum_{\substack{k \in \{1,2,...,f\},\\ match[k] \in window[i,j]}} \left(\frac{min(match[k]_s match[k-1]_s, kmer\_len)}{kmer\_len}\right)$
  - 3. Calculate window score using:  $score \leftarrow \frac{min(|match_q|,|match_t|) max(spaces_t,spaces_q)}{|query|}$
  - 4. If the score is local maximum i.e  $score_{i-1} < score_i \land (score_i > score_i + 1 \lor i + 1 == |LIS|)$  then add it to the max scores bucket  $max\_scores[\frac{i}{|querv|*10\%}] \leftarrow max\_scores[\frac{i}{|querv|*10\%}] \cup \{score_i\}$
- ▶ Filter 5 top scores  $\geq$  0.1 (see previous slides)

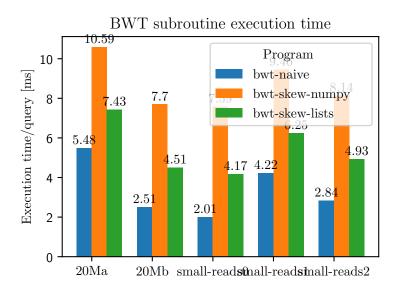
### **Execution times**



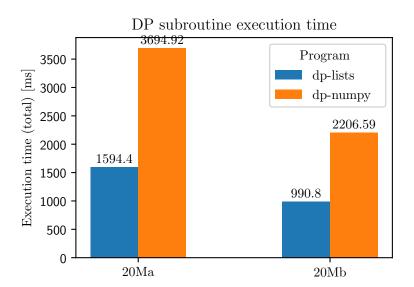
### Aligner routine effectiveness



### BWT routine implementation



### Raw DP routine implementation



# Match quality evaluation

Assuming we have match  $(r_1, r_2)$  and expect  $(e_1, e_2)$  We define the following:

- $d_1 := |e_1 r_1|$
- $b d_2 := |e_2 r_2|$
- $d_5 := d_1 + d_2$
- $ightharpoonup d_m := max(d_1, d_2)$
- $score((r_1, r_2)) = AA \text{ iff } d_s < 10$
- $score((r_1, r_2)) = AB \text{ iff } d_s < 20$
- $score((r_1, r_2)) = C \text{ iff } d_m < 20$
- ►  $score((r_1, r_2)) = D$  iff  $d_m \ge 20$

case name	ok	unmapped	AA	AB	С	D (bad)
reads20Mb	1000	0	936	64	0	0
reads20Ma	1000	0	975	25	0	0
reads2	100	0	90	10	0	0

# Thank you