## HW #4; date: Feb 2, 2017 MATH 110 Linear Algebra with Professor Stankova

## 1.6 Bases and Dimension

- 1. (a) False. The empty set is a basis for the zero vector space.
  - (b) True. It follows from Theorem 1.10.
  - (c) False. See Example 5.
  - (d) False. The sets  $\{1\}$  and  $\{2\}$  are different bases of  $\mathbb{R}^1$ .
  - (e) True. It is Corollary 1 to Theorem 1.10.
  - (f) False. The dimension is n + 1.
  - (g) False. The dimension is mn.
  - (h) True. It follows from Corollary 2 to Theorem 1.10.
  - (i) False. In  $\mathbb{R}^1$ , the subset  $\{1,2\}$  spans  $\mathbb{R}^1$ , but 2 can be expressed two different linear combinations  $2 \times 1 + 0 \times 2$  and  $0 \times 1 + 1 \times 2$ .
  - (k) True. It is Theorem 1.11.
  - (l) True. It follows from Corollary 2 to Theorem 1.10.
- 2. (b) Since

$$3(2, -4, 1) + 4(0, 3, -1) - (6, 0, -1) = 0,$$

the set is linearly dependent. Thus it is not a basis for  $\mathbb{R}^3$ .

(e) Since

$$4(1,3,-2) + 2(-3,1,3) - (-2,-10,-2) = 0,$$

the set is linearly dependent. Thus it is not a basis for  $\mathbb{R}^3$ .

3. (c) The reduced row echelon form of the matrix

$$\left(\begin{array}{ccc}
1 & -2 & -2 \\
-2 & 3 & -1 \\
1 & -1 & 6
\end{array}\right)$$

is the identity matrix, so the set is a basis for  $\mathbb{P}_2(\mathbb{R})$ .

(e) Since

$$7(1+2x-x^2) - 2(4-2x+x^2) - (-1+18x-9x^2) = 0,$$

the set is linearly dependent. Thus it is not a basis for  $\mathbb{R}^3$ .

4. No. The dimension of  $\mathbb{P}_3(\mathbb{R})$  is four, and three elements cannot span four dimensional vector space.

- 5. No. The dimension of  $\mathbb{R}^3$  is three, and four elements in three dimensional vector space cannot be linearly independent.
- 6. The sets

$$\{(1,0),(0,1)\}, \{(1,1),(0,1)\}, \{(1,0),(1,1)\}$$

are bases for  $F^2$ . Using Example 14 as a guide, the sets

$$\{E_{11}, E_{12}, E_{21}, E_{22}\}, \quad \{E_{11}, E_{12}, E_{21}, E_{11} + E_{22}\}, \quad \{E_{11}, E_{12}, E_{21}, E_{12} + E_{22}\}$$

are bases for  $M_{2\times 2}(F)$  where  $E_{ij}$  denotes the matrix whose only nonzero entry is 1 at (i, j).

7. The set  $\{u_1, u_2, u_3\}$  is a basis for  $\mathbb{R}^3$  since the reduced row echelon form of the matrix

$$\left(\begin{array}{cccc}
2 & -3 & 1 \\
1 & 37 & -17 \\
-3 & -5 & 8
\end{array}\right)$$

is the identity matrix.

8. The reduced row echelon form of the matrix

$$\begin{pmatrix}
2 & -6 & 3 & 2 & -1 & 0 & 1 & 2 \\
-3 & 9 & -2 & -8 & 1 & -3 & 0 & -1 \\
4 & -12 & 7 & 2 & 2 & -18 & -2 & 1 \\
-5 & 15 & -9 & -2 & 1 & 9 & 3 & -9 \\
2 & -6 & 1 & 6 & -3 & 12 & -2 & 7
\end{pmatrix}$$

is

The first, third, fifth, and seventh columns are pivot columns. Thus by an algorithm in Math 54, the set  $\{u_1, u_3, u_5, u_7\}$  is a basis for W.

9. We have

$$(a_1, a_2, a_3, a_4) = (a_1, a_2, a_3, a_4) = a_1u_1 + (a_2 - a_1)u_2 + (a_3 - a_2)u_3 + (a_4 - a_3)u_4.$$

11. Both of the reduced row echelon forms of the matrices

$$\left(\begin{array}{cc} 1 & 1 \\ a & 0 \end{array}\right), \quad \left(\begin{array}{cc} a & 0 \\ 0 & b \end{array}\right)$$

are the identity matrix. Thus the sets are bases for V.

12. The reduced row echelon form of the matrix

$$\left(\begin{array}{ccc}
1 & 1 & 1 \\
0 & 1 & 1 \\
0 & 0 & 1
\end{array}\right)$$

is the identity matrix. Thus the set is a basis for V.

- 13. The solution of the system is  $x_1 = x_2 = x_3$ . Thus the set  $\{(1,1,1)\}$  is a basis for this subspace of  $\mathbb{R}^3$ .
- 14. First consider  $W_1$ . Here  $a_2$  and  $a_5$  may be arbitrary, so (0, 1, 0, 0, 0) and (0, 0, 0, 0, 1) satisfy the equation trivially, and by inspection (1, 0, 1, 0, 0) and (1, 0, 0, 1, 0) also solve the equation. This set is linearly independent, and any other solution of  $W_1$  may be written in terms of this set, hence it is a basis, and thus  $W_1$  has dimension 4.

Now consider  $W_2$ . The two equations are independent, and may be solved uniquely and independently: we see that (0,1,1,1,0) and (1,0,0,0,-1) solve the equations, and any other solution of the equations is a linearly combination of these solutions. Moreover, they are linearly independent and thus form a basis. We conclude  $W_2$  has dimension 2.

- 22. If  $W_1 \cap W_2 \neq W_1$ , choose a basis S for  $W_1 \cap W_2$ , and extend it to a basis T for  $W_1$ . Since S does not span  $W_1$ ,  $S \neq T$ . Thus  $\dim (W_1 \cap W_2) \neq \dim W_1$ . On the other hand, if  $\dim (W_1 \cap W_2) \neq \dim W_1$ , then  $W_1 \cap W_2 \neq W_1$ . From these, we conclude that the necessary and sufficient condition for  $\dim (W_1 \cap W_2) = \dim W_1$  is  $W_1 \cap W_2 = W_1$ , which is equivalent to  $W_1 \subset W_2$ . In other words, v needs to be dependent on the vectors  $\{v_1, \ldots, v_k\}$ .
- 23. (a) Since  $W_1 \subset W_2$ , by the solution of the above problem, dim  $W_1 = \dim W_2$  if and only if  $W_1 = W_2$ . This is equivalent to  $v \in W_1$ .
  - (b) Let S be a basis for  $W_1$ . Since  $S \cup \{v\}$  spans  $W_2$ , dim  $W_2$  is less than or equal to the number of elements of  $S \cup \{v\}$ , which is dim  $W_1 + 1$ . Thus the relation is dim  $W_2 = \dim W_1 + 1$  since we have assumed dim  $W_2 \neq \dim W_1$ .
- 24. The polynomials  $f, f', f'', \ldots, f^{(n)}$  all have different degrees, so they are linearly independent. This means that they form a basis for  $\mathbb{P}_n(\mathbb{R})$  since its dimension is n+1. The statement follows from this.
- 26. Consider the linear transformation  $T: \mathbb{P}_n(\mathbb{R}) \to \mathbb{R}$  given by

$$T(f) = f(a)$$
.

Then it is surjective since T(c)=c for constants c, and its kernel is the subspace in the problem. By Theorem 2.3, the dimension of the subspace is  $\dim \mathbb{P}_n - \dim \mathbb{R} = n$ .

Alternatively, one sees (using the Taylor expansion of a polynomial at a) that  $1, x - a, (x - a)^2, \dots, (x - a)^n$  is a basis of  $P_n(\mathbb{R})$ . The condition that f(a) = 0 then states merely that the coefficient of 1 is zero, so that basis of this subspaces is  $\{x - a, (x - a)^2, \dots, (x - a)^n\}$ .

28. If  $\{v_1, \ldots, v_n\}$  is a basis for V when V is regarded as a vector space over  $\mathbb{C}$ , then  $\{v_1, \ldots, v_n, iv_1, \ldots, iv_n\}$  is a basis for V when V is regarded as a vector space over  $\mathbb{R}$ . Thus the dimension becomes 2n.

Explicitly, if  $v \in V$  has unique  $\mathbb{C}$ -linear combination of vectors in  $\{v_1, \dots, v_n\}$  as  $\sum_{i=1}^{n} (a_j + b_j i)v_j$  then, expanding out the summands, gives the unique

 $\mathbb{R}$ -linear combination of v in the  $\mathbb{R}$ -basis  $\{v_1, \ldots, v_n, iv_1, \ldots, iv_n\}$  as  $v = \sum_{i=1}^n (a_i v_i + b_j(iv_j))$ .

- 29. (b) By Exercise 29(a),  $\dim W_1 + \dim W_2 = \dim V$  if and only if  $\dim (W_1 \cap W_2) = 0$ . This is equivalent to  $W_1 \cap W_2 = \{0\}$ , which is equivalent to  $V = W_1 \oplus W_2$  since we have assumed  $V = W_1 + W_2$ .
- 30. We have dim  $W_1 = 3$  and dim  $W_2 = 2$ . Indeed, the number of independent linear conditions imposed on V to obtain  $W_1$  is 1 (that  $a_{1,1} = a_{1,2}$ ) and the number of conditions on  $W_2$  imposed is 2 (that  $a_{1,1} = 0$  and that  $a_{1,2} = -a_{2,1}$ ). Since imposing n independent linear conditions on a space reduces the dimension by n, the claim follows.

The intersection  $W_1 \cap W_2$  is

$$\left\{ \left(\begin{array}{cc} 0 & a \\ -a & 0 \end{array}\right) : a \in F \right\},$$

so its dimension is 1—an obvious basis being given by  $\left\{\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}\right\}$ . Thus  $\dim (W_1 + W_2) = 3 + 2 - 1 = 4$  by Exercise 29(a).

- 31. (a) It follows from dim  $(W_1 \cap W_2) \leq \dim W_2$ .
  - (b) By Exercise 29(a),

 $\dim(W_1 + W_2) = \dim W_1 + \dim W_2 - \dim(W_1 \cap W_2) \le \dim W_1 + \dim W_2 = m + n.$ 

Alternatively, it's clear that if  $\{v_1, \ldots, v_k\}$  spans  $W_1$  and  $\{u_1, \ldots, u_\ell\}$  spans  $W_2$  then  $\{v_1, \ldots, v_k, u_1, \ldots, u_\ell\}$  spans  $W_1 + W_2$ . Thus, taking theses spanning sets to be bases shows that there is a spanning set of  $W_1 + W_2$  of size  $\dim(W_1) + \dim(W_2)$  so that  $\dim(W_1 + W_2) \leq \dim(W_1) + \dim(W_2)$ .

- 34. (a) Let  $S = \{v_1, \ldots, v_m\}$  be a basis of  $W_1$ , and extend it to a basis  $T = \{v_1, \ldots, v_m, w_1, \ldots, w_n\}$  of V. Consider the subspace  $W_2 = \text{span } \{w_1, \ldots, w_n\}$ . Then  $W_1 + W_2 = V$  since T spans V, and  $W_1 \cap W_2 = \{0\}$  since T is linearly independent. Thus  $V = W_1 \oplus W_2$ .
  - (b) Consider the subspaces

$$W_2 = \text{span}\{(0,1)\}, \quad W_2' = \text{span}\{(1,1)\}.$$

Then the sets  $\{(1,0),(0,1)\}$  and  $\{(1,0),(1,1)\}$  are bases for  $\mathbb{R}^2$ , so  $W_1+W_2=V$ , and  $W_1+W_2'=V$ . Moreover,  $W_1\cap W_2=\{0\},\ W_1\cap W_2'=\{0\}$ . Thus  $V=W_1\oplus W_2=W_1\oplus W_2'$ .

In fact, one can see that if v is any vector not in in  $W_1$  then  $\operatorname{span}\{v\}$  will be a subspace of V whose direct sum with  $W_1$  is V. Indeed, the intersection  $\operatorname{span}\{v\} \cap W_1 = \{0\}$  since if  $\alpha v \in W_1$  and  $\alpha \neq 0$  then, by the vector space property for  $W_1$ , we'd have that  $\alpha^{-1}(\alpha v) = v \in W_1$  which is a contradiction. Thus, we see that  $W_1 \cap \operatorname{span}\{v\} = \{0\}$ . But, we then get from Exercise 29 (a) that  $\dim(W_1 + \operatorname{span}\{v\}) = 1 + 1 - 0 = 2$ . Since  $\dim \mathbb{R}^2 = 2$  this implies that  $W_1 + \operatorname{span}\{v\} = \mathbb{R}^2$  so that  $W_1 \oplus \operatorname{span}(\{v\}) = \mathbb{R}^2$  as desired.