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# Expectations of the IO

Harrisburg University of Science and Technology - Institutional/Signatory  
Official: Human Subject Research

## Quiz Results

You correctly answered **4 of 5** quiz questions.

### Question 1

<b>Question</b>	Shortly after an FDA inspection, the institution should develop a plan of correction for any deficiencies that were cited. A letter with the action plan should be:
<b>Your Answer</b>	Respectful in tone and factual in nature and sent to the FDA district office
<b>Result</b>	<b>Correct</b>
<b>Comment</b>	The letter should contain the institution's plan of correction for any deficiencies that were cited, be respectful in tone and factual in nature, and sent to the FDA field investigator's district office within a week of the inspection.

## Question 2

<b>Question</b>	The institution must update its FWA within 90 days after changes occur in the legal name of the institution or which of the following?
<b>Your Answer</b>	The Human Protections Administrator (HPA) or the IO changes
<b>Result</b>	<b>Correct</b>
<b>Comment</b>	The institution must update its FWA within 90 days after changes occur in the legal name of the institution, the HPA changes, or the IO changes. Each of these is part of the FWA.

## Question 3

<b>Question</b>	The major role of the IO is as
<b>Your Answer</b>	An executive
<b>Result</b>	<b>Correct</b>
<b>Comment</b>	The most important role of the IO is as an executive – the person who bears the ultimate responsibility for the institution’s HRPP. The IO uses executive/management skills such as leadership, communication, and evaluation, to ensure that the HRPP operates effectively and meets all regulatory, legal, and institutional requirements. While some IOs may be researchers, that is not a job requirement. Hearing appeals is an optional duty that would be defined by institutional policy and is only a small part of the IO job. Although not prohibited, it would be an unusual case where the IO would also be the director of the IRB.

## Question 4

<b>Question</b>	For research that is governed by the FDA regulations, the institution must notify the appropriate FDA Center when:
<b>Your Answer</b>	An unanticipated problem involving risk to subjects or others is encountered
<b>Result</b>	<b>Correct</b>
<b>Comment</b>	For research that is governed by the FDA regulations, the appropriate FDA Center must be notified when the IRB suspends study approval or an investigator is found to be in serious or continuing non-compliance or an “unanticipated problem involving risk to subjects or others” is encountered. Reporting research results and financial conflicts of interest are a responsibility of sponsors, not the performance sites.

## Question 5

<b>Question</b>	An effective IO should build an organizational culture and monitoring system that:
<b>Your Answer</b>	Is operated by the legal/risk management office
<b>Result</b>	<b>Incorrect</b>
<b>Correct Answer</b>	Is fair and concerned with correcting problems and preventing recurrence
<b>Comment</b>	Researchers and employees are most likely to make self-reports when they believe they have an institutional/moral obligation to report problems and they see the institution as fair and concerned with correcting problems and preventing recurrence and not in punishing those who violate the rules. Whistleblowers may not speak up unless they believe they will be protected from retribution for having reported a problem; reasonable confidentiality precautions should be taken but anonymity cannot

be guaranteed. The intent of an institutional monitoring program is to discover opportunities for improvement; it is not intended to be punitive. As with any HRPP function, the organizational structure and responsibility depends upon the needs of the institution.

You scored 80% on the quiz.

Congratulations! You have completed this Course.

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