

## Tutorial o8 – Randomized algorithms

**Exercise 1.**

ZPP

**Definition** (Randomized Polynomial Time). The class RP is defined as the set of languages  $L$  such that there exists a polynomial  $p$  and a polynomial-time TM  $M$  with two halting states  $q_{accept}$  and  $q_{reject}$ , such that on every input  $x \in \Sigma^*$  we have:

- $\Pr_{r \in \{0,1\}^{p(|x|)}} [M(x, r) \rightarrow q_{accept} | x \in L] \geq 1/2$
- $\Pr_{r \in \{0,1\}^{p(|x|)}} [M(x, r) \rightarrow q_{accept} | x \notin L] = 0$

In other words, if  $x \notin L$ ,  $M$  will never accept, but it may reject when  $x \in L$ .

**Definition** (Zero-error Probabilistic Polynomial time). The class ZPP is defined as the set of languages  $L$  such that there exists a polynomial  $p$  and a polynomial-time TM  $M$  with three halting states  $q_{accept}$ ,  $q_{reject}$  and  $q_{meh}$ , such that on every input  $x \in \Sigma^*$  we have:

- $\Pr_{r \in \{0,1\}^{p(|x|)}} [M(x, r) \rightarrow q_{reject} | x \in L] = 0$  and  $\Pr_{r \in \{0,1\}^{p(|x|)}} [M(x, r) \rightarrow q_{meh} | x \in L] \leq 1/2$
- $\Pr_{r \in \{0,1\}^{p(|x|)}} [M(x, r) \rightarrow q_{accept} | x \notin L] = 0$  and  $\Pr_{r \in \{0,1\}^{p(|x|)}} [M(x, r) \rightarrow q_{meh} | x \notin L] \leq 1/2$

In other words,  $M$  will never answer incorrectly, but can return « I don't know » (state  $q_{meh}$ ) with probability  $\leq \frac{1}{2}$ .

1. Recall how to reduce the error to recognize a language in BPP, deduce a similar procedure for RP.
2. Show that  $ZPP = RP \cap coRP$ .
3. Prove that  $L \in ZPP$  iff there exists a probabilistic Turing machine  $M$  that recognizes  $L$  with probability 1, and whose expected running time is polynomial.

**Exercise 2.**

Miller–Rabin test

In this exercise we want to show that testing if a number is prime belongs to RP. To do so, suppose that  $p$  is an odd number and denote  $p - 1 = 2^s d$ , where  $d$  is odd. We consider the following two sets:

$$W_1 = \{a \in \mathbb{Z}_p \setminus \{0\} : a^d = 1 \text{ or } -1 \in \{a^d, a^{2d}, \dots, a^{2^{s-1}d}\}\},$$

$$W_2 = \left\{a \in \mathbb{Z}_p \setminus \{0\} : a^{2^s d} \neq 1 \text{ or } (a^d \neq 1 \text{ and } -1 \notin \{a^d, a^{2d}, \dots, a^{2^{s-1}d}\})\right\}.$$

(All operations in this exercise are in  $\mathbb{Z}_p$ , unless stated otherwise.)

1. Prove that if  $a \in W_1$ , then  $a^{2^s d} = 1$ . What can you say about the sequence  $(a^d, a^{2d}, \dots, a^{2^{s-1}d}, a^{2^s d})$ ?
2. Prove that  $W_1 \cap W_2 = \emptyset$  and  $W_1 \cup W_2 = \mathbb{Z}_p \setminus \{0\}$ .
3. Prove that if  $p$  is prime, then  $W_2 = \emptyset$ .  
Hint: Use Fermat's little theorem and the fact that  $\mathbb{Z}_p$  is a field.

4. Suppose that  $p$  is a power of a prime number,  $p = q^k$  for some  $k \geq 2$ . Let  $a = 1 + q^{k-1}$ . Prove that  $a^p = 1$  and  $a^{p-1} \neq 1$ . In particular,  $a \in W_2$ .
5. Suppose that  $p$  is a power of a prime number as above. Show that  $|W_2| \geq |W_1|$ .  
*Hint: Consider the set  $aW_1 = \{ab : b \in W_1\}$ , where  $a = 1 + q^{k-1}$ .*
6. The Chinese Remainder Theorem states that if  $n_1, n_2 \in \mathbb{N}$  are relatively prime and  $p = n_1 n_2$ , then the map  $\mathbb{Z}_p \ni x \rightarrow (x \bmod n_1, x \bmod n_2) \in \mathbb{Z}_{n_1} \times \mathbb{Z}_{n_2}$  is a bijection. Prove this theorem.
7. Suppose that  $p = n_1 n_2 \in \mathbb{N}$ , where  $n_1, n_2 > 1$  are relatively prime odd numbers. Prove that there exists  $c \in \mathbb{Z}_p$  such that  $c \neq \pm 1$  but  $c^2 = 1$ .
8. Let  $p$  be as above. Prove that if the equation  $x^k = -1$  has a solution in  $\mathbb{Z}_p$ , then the equation  $x^k = c$  also has a solution.
9. Let  $p$  be as above. Show that  $|W_2| \geq |W_1|$ .  
*Hint: let  $0 \leq t \leq s$  be the highest number such that  $x^{2^t d} = -1$  has a solution. Let  $r$  be a solution of  $x^{2^t d} = c$ . Consider the set  $rW_1$ .*
10. Construct a probabilistic TM  $M$  whose expected running time is polynomial that, given  $n \geq 0$  written in binary, outputs a random number in the interval  $\{0, 1, \dots, n-1\}$  (with uniform distribution).
11. Prove that testing if a number is prime belongs to RP.

### Exercise 3.

*Polynomial Hierarchy*

You have seen during the course the quantifier definition of the polynomial hierarchy:  $L \in \Sigma_i^P$  if there exists a polytime TM  $M$  and a polynomial  $q$  such that:

$$x \in L \iff \exists u_1 \in \{0, 1\}^{q(|x|)} \forall u_2 \in \{0, 1\}^{q(|x|)} \dots Q_i u_i \in \{0, 1\}^{q(|x|)} M(x, u_1, u_2, \dots, u_i) = 1.$$

You have also seen an alternative definition using oracles:

$$\begin{cases} \Sigma_0^P &= P \\ \Sigma_{i+1}^P &= \text{NP}^{\Sigma_i^P} \end{cases}$$

With PH being defined as  $\bigcup_{i \geq 0} \Sigma_i^P$ .

1. Prove the equivalence between the quantifier-based definition and the oracle-based definition.
2. Give an oracle-based definition for  $\Pi_i^P$ .
3. Show that  $\Sigma_i^P$  is closed under polynomial-time many-one reduction.
4. Show that if there exist a PH-complete problem, then the polynomial hierarchy collapses.
5. Show that if  $\Sigma_i^P = \Sigma_{i+1}^P$ , then  $\text{PH} = \Sigma_i^P$ .
6. Propose a family of problems  $(S_i)$  such that  $S_i$  is  $\Sigma_i^P$ -complete.