

Giant gravitons and the supersymmetric states of $\mathcal{N} = 4$ Yang-Mills

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based on [hep-th/0606087](#) with Indranil Biswas, Davide Gaiotto and Shiraz Minwalla

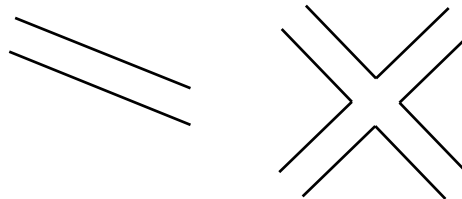
Outline

- Gauge theories and gravity
- Black holes and supersymmetric states
- Giant gravitons
- Quantisation
- Conclusions and future directions

Gauge theories and gravity

If we take the 't Hooft limit of a $U(N)$ gauge theory ($N \rightarrow \infty$, $\lambda = g^2 N$ fixed) the $\frac{1}{N}$ expansion looks like perturbative string theory.

If we draw propagators and vertices for matrix fields as:



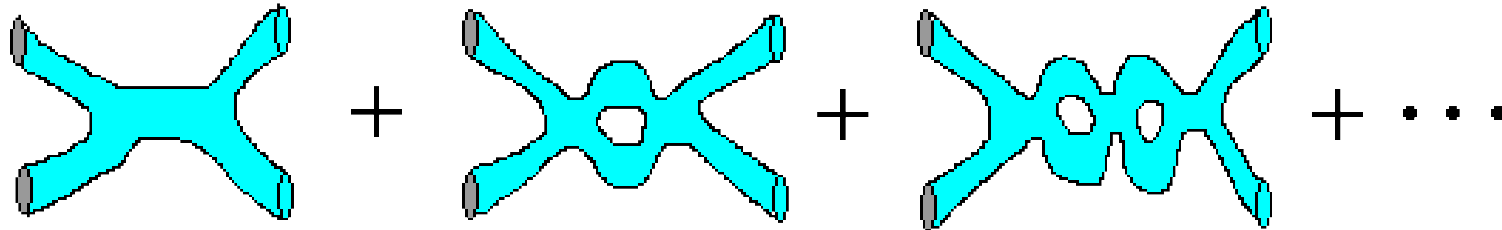
Feynman diagrams look like two dimensional surfaces.

$$F = N^2 \left(\text{disk diagram} \right) + 1 \left(\text{torus diagram} \right) + \frac{1}{N^2} \left(\text{genus-2 surface diagram} \right) + \dots = \sum_{g=0}^{\infty} \frac{1}{N^{2g-2}} \sum_{l=0}^{\infty} c_{g,l} \lambda^l$$

Gauge theories and gravity

The power of $\frac{1}{N}$ is $(2 \times \# \text{holes} - 2)$.

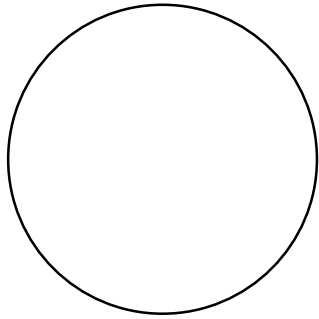
Just like g_s in string theory:



The AdS/CFT correspondence

The best known case is a correspondence between:

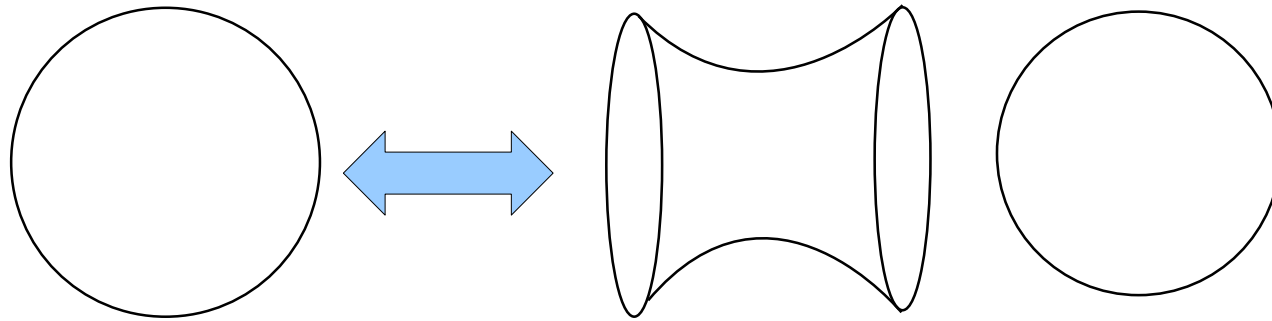
- $U(N)$, $\mathcal{N} = 4$ super Yang-Mills on $S^3 \times \text{Time}$;



The AdS/CFT correspondence

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- $U(N)$, $\mathcal{N} = 4$ super Yang-Mills on $S^3 \times \text{Time}$;
- Type IIB string theory on $AdS_5 \times S^5$ with N units of five form flux;



Conformal invariance

$\mathcal{N} = 4$ super Yang-Mills theories happen to be scale invariant quantum mechanically as well as classically.

Scale invariant theories are usually invariant under conformal transformations: transformations that leave angles unchanged.

This group is $SO(4,2)$.

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It is convenient to study conformal theories on S^3 rather than \mathbb{R}^3 as there is a 1-1 correspondence between: **States on S^3** and **Local operators in \mathbb{R}^4** .

Anti-de-Sitter space

Anti-de-Sitter space can be constructed as a hyperboloid in $\mathbb{R}^{4,2}$:

$$-(x^{-1})^2 - (x^0)^2 + (x^1)^2 + (x^2)^2 + (x^3)^2 + (x^4)^2 = -R^2$$

It has the metric:

$$ds^2 = R^2(-\cosh^2\rho dt^2 + d\rho^2 + \sinh^2\rho d\Omega^2)$$

This has the symmetry group $SO(4,2)$

The boundary is conformally $S^3 \times \text{Time}$.

Super and R symmetries

The $\mathcal{N} = 4$ theory has 16 supersymmetries, Q_{α}^i and $\overline{Q}_{i\dot{\alpha}}$, and their complex conjugates – the superconformal symmetries $S_{i\alpha}$ and $\overline{S}_{\dot{\alpha}}^i$.

Correspondingly, IIB in $AdS_5 \times S^5$ has 32 killing spinors.

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Correspondingly, IIB in $AdS_5 \times S^5$ has 32 killing spinors.

The $\mathcal{N} = 4$ theory also has an $SU(4)=SO(6)$ R-symmetry that mixes the supersymmetries.

This is also the rotation group of S^5 .

Counting states

We would like to classify the states of the theory using these symmetries.

i.e. count the number of states for each value of the Noether charges.

This can be summarised in a partition function:

$$Z = \text{Tr} e^{\mu_i Q_i}$$

Parameter matching

The parameters are related by:

$$g_s = \frac{\lambda}{N}$$
$$\frac{R^4}{(\alpha')^2} = 4\pi\lambda$$

This means:

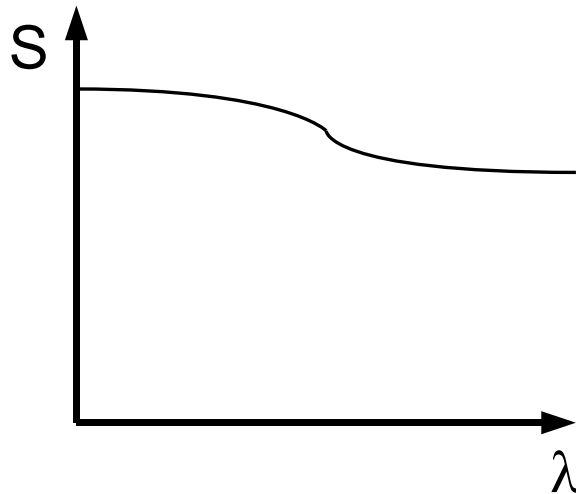
Low curvature \Rightarrow Strong coupling
High curvature \Rightarrow Weak coupling

AdS-Schwarzschild black hole

The “deconfinement” phase transition in the gauge theory is dual to the formation of a black hole in the bulk.

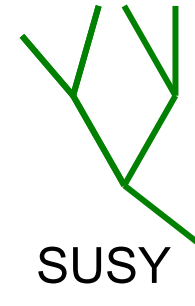
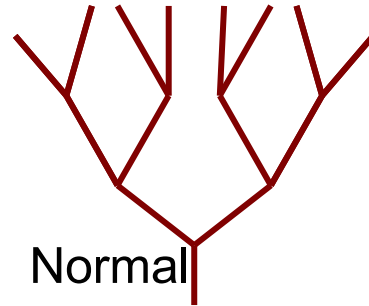
Qualitative matching, but not quantitative – the entropy is off by $\frac{3}{4}$.

The spectrum of the theory varies with λ .



Supersymmetric spectrum

Supersymmetric states lie in short representations

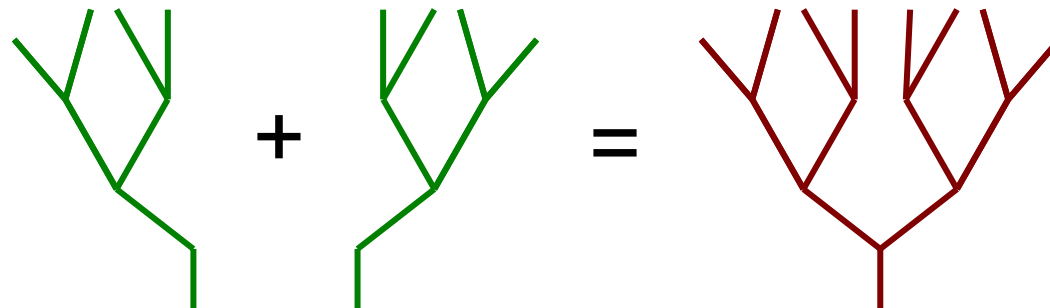


Supersymmetric spectrum

Supersymmetric states lie in short representations



SUSY \rightarrow non-SUSY requires short reps joining to form a long rep.



AdS₅ black holes

A general black hole has six parameters $(\Delta, J, \bar{J}, R_1, R_2, R_3)$.

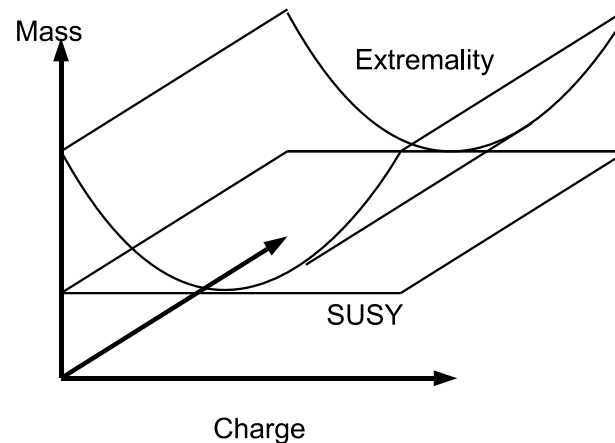
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AdS₅ black holes

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For each value of $(J, \bar{J}, R_1, R_2, R_3)$, there is an extremal black hole.

If these five charges satisfy an additional relation, this black hole preserves 1/16 of the supersymmetries.



1/16 BPS states

At zero coupling:

- Qualitative but not quantitative matching
- No sign of relation between charges

1/16 BPS states

At zero coupling:

- Qualitative but not quantitative matching
- No sign of relation between charges

At weak coupling:

- Haven't found the spectrum
- Computing an index doesn't work

1/8 BPS states

These are invariant under both components of Q_α^1 and their complex conjugates $S_{1\alpha}$.

They are in 1-1 correspondence with cohomology classes of Q_α^1 , i.e.

$$Q |\psi\rangle = 0$$

$$|\psi\rangle \sim |\psi\rangle + Q |\phi\rangle$$

Each cohomology class contains one state that is also annihilated by S .

1/8 BPS states

The supercharge acts (in $\mathcal{N} = 1$ language) as:

$$\begin{aligned} Q_\alpha \bar{\phi}^m &= 0, & Q_\alpha \phi_m &= \psi_{m\alpha}, \\ Q_\alpha \psi_{m\beta} &= g \epsilon_{\alpha\beta} \epsilon_{mkl} [\bar{\phi}^k, \bar{\phi}^l], & Q_\alpha \lambda_\beta &= f_{\alpha\beta} + g \epsilon_{\alpha\beta} [\phi_m, \bar{\phi}^m], \\ Q_\alpha \bar{\psi}_{\dot{\beta}}^m &= D_{\alpha\dot{\beta}} \bar{\phi}^m, & Q_\alpha \bar{\lambda}_{\dot{\beta}} &= 0, \\ Q_\alpha A_{\beta\dot{\gamma}} &= \epsilon_{\alpha\beta} \bar{\lambda}_{\dot{\gamma}}, & \implies Q_\alpha D_{\beta\dot{\gamma}} &= g \epsilon_{\alpha\beta} [\bar{\lambda}_{\dot{\gamma}}, \quad]. \end{aligned}$$

The Q -closed letters are $\bar{\phi}^m$ and $\bar{\lambda}_{\dot{\beta}}$. Their commutators are Q -exact. They are simultaneously diagonalisable in cohomology.

They can be counted in terms of eigenvalues.

1/8 BPS states

The states built out of the scalars can be thought of as N bosons moving in a three dimensional harmonic oscillator.

$(\bar{\phi}_a^1)^{n_1} (\bar{\phi}_a^2)^{n_2} (\bar{\phi}_a^3)^{n_3}$ maps onto boson number a in the state $|n_1, n_2, n_3\rangle$.

Bosons because permutations are part of the gauge invariance.

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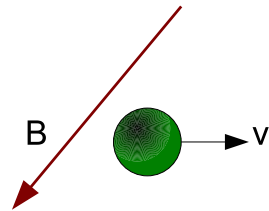
SO(6) charges are the total excitation #'s of each oscillator.

Energies $\ll N$ described by multi-gravitons.

Energies $\sim N$ described by giant gravitons.

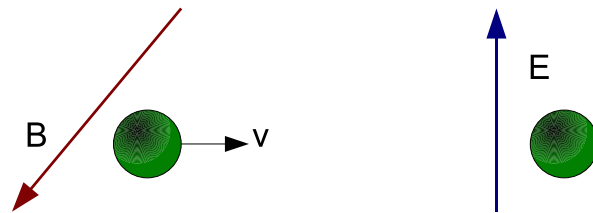
Giant gravitons

Dubious analogy: neutral particle moving in a magnetic field.



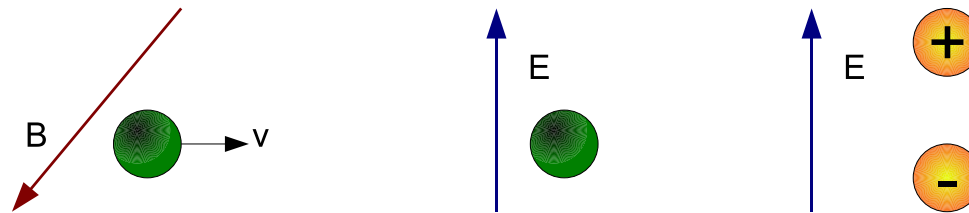
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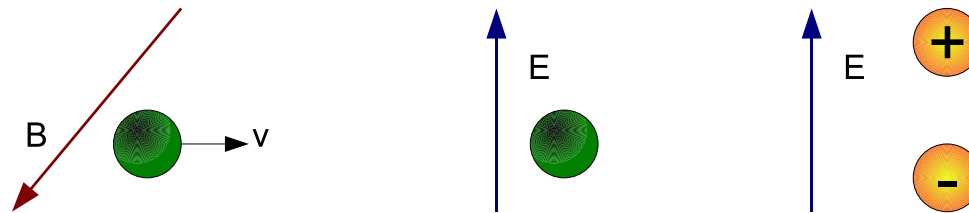
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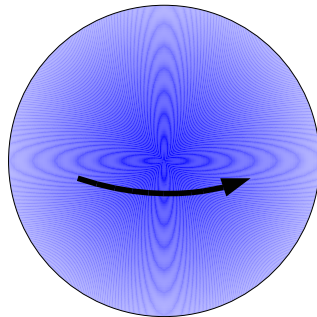


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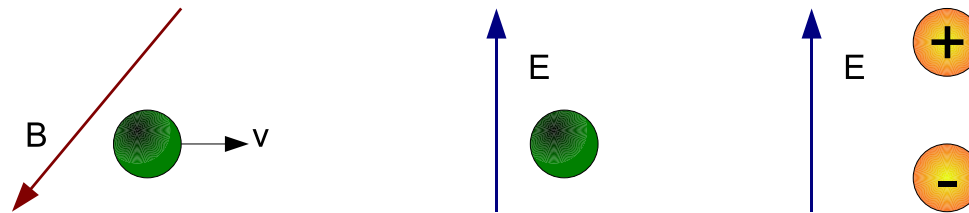


Graviton moving fast around S^5

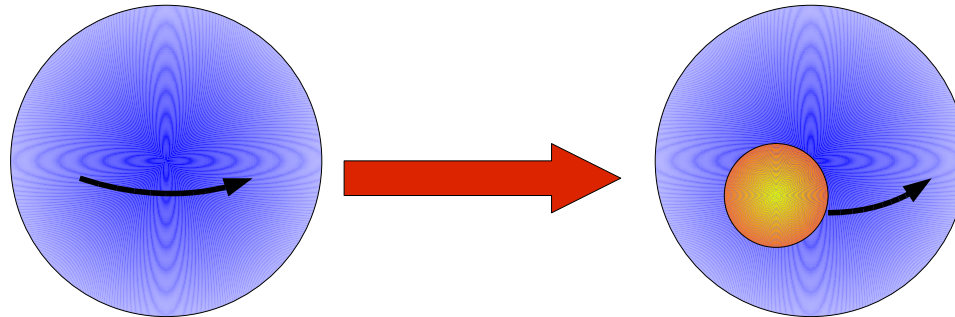


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Graviton moving fast around S^5



Likes to puff out into a D3 brane.

Quantising these produces finite N , 1/2 BPS spectrum.

1/8 BPS giant gravitons

Mikhailov's construction:

- Embed S^5 in \mathbb{C}^3

$$|x|^2 + |y|^2 + |z|^2 = 1$$

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It can be shown that this preserves 1/8 of the supersymmetries.

Doesn't include worldvolume gauge fields and fermions.

Quantisation

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We need a phase space and a Poisson bracket:

$$\{x^i, x^j\} = \omega^{ij}, \quad \{f, g\} = \omega^{ij}(\partial_i f)(\partial_j g),$$

or, equivalently, a symplectic form: $\omega_{ij} = [\omega^{ij}]^{-1}$.

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or, equivalently, a symplectic form: $\omega_{ij} = [\omega^{ij}]^{-1}$.

This must be closed and non-degenerate:

$$d\omega = 0, \quad \det \omega \neq 0.$$

Then we can use the standard procedure of Geometric Quantisation.

Crnkovic-Witten-Zuckerman formalism

We can identify the phase space with the space of solutions to the equations of motion.

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We then find ω by plugging the solutions into:

$$\omega = \int dx \, \delta p_i \wedge \delta \phi^i$$

where ϕ^i are the dynamical fields and p_i are their conjugate momenta:

$$p_i = \frac{\partial L}{\partial \dot{\phi}^i}$$

Symplectic form

Using the Born-Infeld + Wess-Zumino action:

$$S = S_{\text{BI}} + S_{\text{WZ}}$$

$$= \frac{1}{(2\pi)^3 (\alpha')^2 g_s} \int d^4\sigma \sqrt{-\tilde{g}} + \int dt d^3\sigma A_{\mu_0\mu_1\mu_2\mu_3} \dot{x}^{\mu_0} \frac{\partial x^{\mu_1}}{\partial \sigma^1} \frac{\partial x^{\mu_2}}{\partial \sigma^2} \frac{\partial x^{\mu_3}}{\partial \sigma^3},$$

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we can find the symplectic form:

$$\begin{aligned} \omega_{\text{full}} = \omega_{\text{BI}} + \omega_{\text{WZ}} = & \frac{N}{2\pi^2} \int_{\Sigma} d^3\sigma \delta \left(\sqrt{-g} g^{0\alpha} \frac{\partial x^\mu}{\partial \sigma^\alpha} G_{\mu\nu} \right) \wedge \delta x^\nu \\ & + \frac{2N}{\pi^2} \int_{\Sigma} d^3\sigma \frac{\delta x^\lambda \wedge \delta x^\mu}{2} \left(\frac{\partial x^\nu}{\partial \sigma^1} \frac{\partial x^\rho}{\partial \sigma^2} \frac{\partial x^\sigma}{\partial \sigma^3} \right) \epsilon_{\lambda\mu\nu\rho\sigma}. \end{aligned}$$

Mikhailov's phase space

Solutions parameterised by one holomorphic function.

This is an infinite dimensional space. We regulate it by restricting to polynomials made from a finite number of monomials:

$$f(z_1, z_2, z_3) = \sum_{\vec{n} \in C} c_{\vec{n}} (z^1)^{n_1} (z^2)^{n_2} (z^3)^{n_3} .$$

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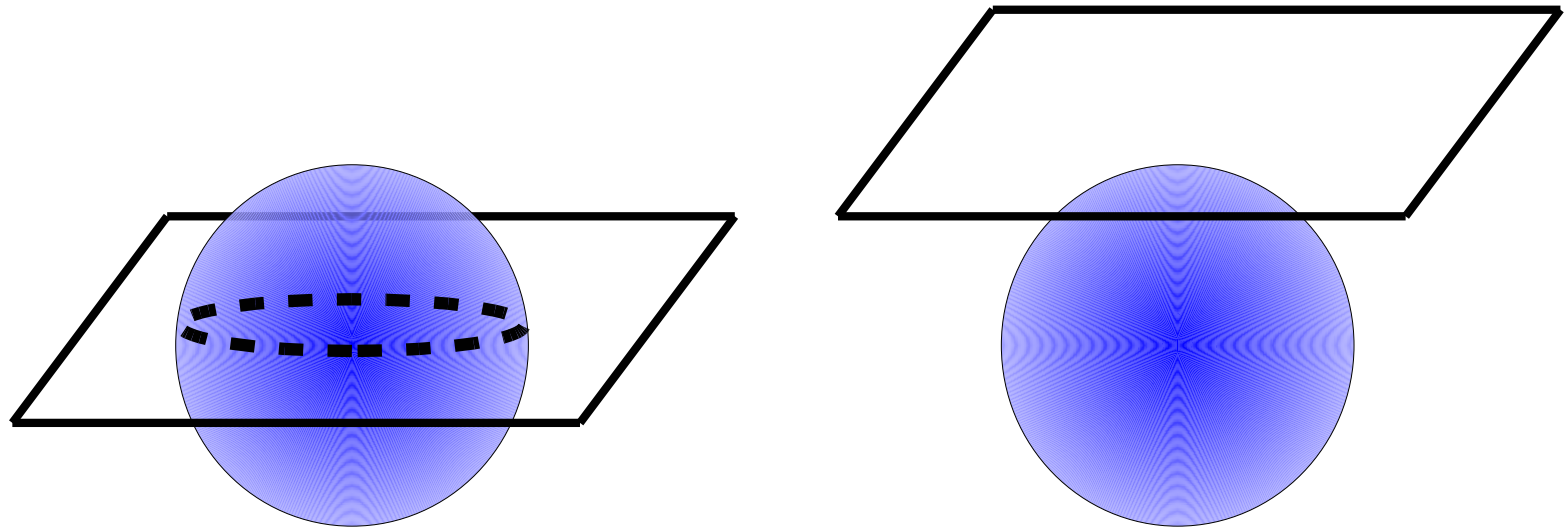
$$f(z_1, z_2, z_3) = \sum_{\vec{n} \in C} c_{\vec{n}} (z^1)^{n_1} (z^2)^{n_2} (z^3)^{n_3} .$$

$c_{\vec{n}}$ and $\lambda c_{\vec{n}}$ describe the same surface.

It looks like \mathbb{CP}^{n_C-1} .

But unfortunately ...

... not all surfaces touch the sphere:



Eats holes out of phase space.

Geometric quantisation of \mathbb{CP}^n

\mathbb{CP}^n has a canonical two-form (Fubini-Study):

$$\omega_{\text{FS}} = \frac{1}{4\pi i} \frac{1}{|z|^2} \left[d\bar{z}^i - \frac{\bar{z}^i z^j}{|z|^2} d\bar{z}^j \right] \wedge \left[dz^i - \frac{z^i \bar{z}^j}{|z|^2} dz^j \right] .$$

Suppose that our symplectic form is in the cohomology class $(2\pi N)[\omega_{\text{FS}}]$.

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Suppose that our symplectic form is in the cohomology class $(2\pi N)[\omega_{\text{FS}}]$.

It is a standard result that the Hilbert space is the space of **degree N homogeneous polynomials** in the z^i .

3D harmonic oscillator

We can map this to the 3D harmonic oscillator as follows:

$c_{\vec{n}} \rightarrow a_{\vec{n}}^\dagger$: the creation operator for a particle in the state $|n_1, n_2, n_3\rangle$.

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These states transform the same way under $U(3)$ as our 3D harmonic oscillator.

Problems

- Some surfaces do not touch the sphere, e.g.

$$c_i z^i - 1 = 0 \quad \text{for} \quad |c|^2 < 1.$$

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- Factorised functions where one factor doesn't touch the sphere.
- Finding the cohomology class of ω .

Example: Linear functions

Let's look at the space of functions $f(z^i) = c_i z^i - 1$.

The symplectic form is:

$$\omega = 2N \left[\left(\frac{1}{|c|^2} - \frac{1}{|c|^4} \right) \frac{d\bar{c}^i \wedge dc_i}{2i} - \left(\frac{1}{|c|^2} - \frac{2}{|c|^4} \right) \frac{\bar{c}^i c_j}{|c|^2} \frac{d\bar{c}^j \wedge dc_i}{2i} \right].$$

It is zero inside $|c|^2 < 1$ and has four null directions on the boundary.

While it is not zero at the boundary, its restriction to the boundary is.

Contracting the hole

Coordinate change:

$$w_i = c_i \sqrt{\frac{|c|^2 - 1}{|c|^2}}$$

Shrinks sphere $|c|^2 = 1$ to the point $|w|^2 = 0$.

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Shrinks sphere $|c|^2 = 1$ to the point $|w|^2 = 0$. We get:

$$\omega = \frac{2N}{1 + |w|^2} \left(\frac{d\bar{w}^i \wedge dw_i}{2i} - \frac{w_i \bar{w}^j}{1 + |w|^2} \frac{d\bar{w}^i \wedge dw_j}{2i} \right)$$

This is precisely $(2\pi N)\omega_{\text{FS}}$ on \mathbb{CP}^3 !

General case

Define the distance function: $\rho(c, \bar{c})$ - the minimum distance from the origin of \mathbb{C}^3 to the surface.

The boundary of the hole is $\rho = 1$

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Under $c_{\vec{n}} \rightarrow \lambda^{-(n_1+n_2+n_3)} c_{\vec{n}}$, we have $\rho \rightarrow \lambda\rho$.

Each ray $c_{\vec{n}}(\lambda) = \lambda^{-(n_1+n_2+n_3)} c_{\vec{n}}^{(0)}$ intersects the boundary $\rho = 1$ once.

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This means that the hole has the topology of a ball – can be contracted, e.g. with $\lambda = (1 - \rho^2)^{-1/2}$.

Factorised submanifolds

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These submanifolds also have holes. These holes, and their intersections with each other, are also balls.

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These submanifolds also have holes. These holes, and their intersections with each other, are also balls.

This means we can contract all of the holes without changing the topology.

The phase space is \mathbb{CP}^{n_C-1} after all!

As ρ is $U(3)$ invariant, this doesn't change the charges of the coordinates.

Geometric description of ω

Wess-Zumino contribution:

$$\omega_{\text{WZ}} = \frac{2N}{\pi^2} \int_{\Sigma} d^3\sigma \frac{\delta x^\lambda \wedge \delta x^\mu}{2} \left(\frac{\partial x^\nu}{\partial \sigma^1} \frac{\partial x^\rho}{\partial \sigma^2} \frac{\partial x^\sigma}{\partial \sigma^3} \right) \epsilon_{\lambda\mu\nu\rho\sigma} .$$

For two deformations of the surface, this is $\frac{2N}{\pi^2}$ times the volume swept out.

Geometric description of ω

Born-Infeld contribution:

For Mikhailov's solutions, we can write:

$$\omega_{\text{BI}} = d\theta_{\text{BI}}$$

$$\theta_{\text{BI}} = \frac{N}{\pi^2} \int_S d^4\sigma \epsilon_{\mu_1 \dots \mu_6} \left[\frac{\partial x^{\mu_1}}{\partial \sigma^1} \dots \frac{\partial x^{\mu_4}}{\partial \sigma^4} \right] e_{\perp}^{\mu_5} \delta x^{\mu_6} \delta(|z^i|^2 - 1) ,$$

i.e. compute $\frac{N}{2\pi^2}$ times the volume, inside a ball of radius r , swept out by a deformation of S and the unit radial vector e_{\perp} . Differentiate it with respect to r and set $r = 1$.

Singularities of ω

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This is enough for geometric quantisation

Cohomology class of ω

Every closed two form in \mathbb{CP}^{n_C-1} can be written as:

$$M\omega_{\text{FS}} + d\beta.$$

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We want to determine M .

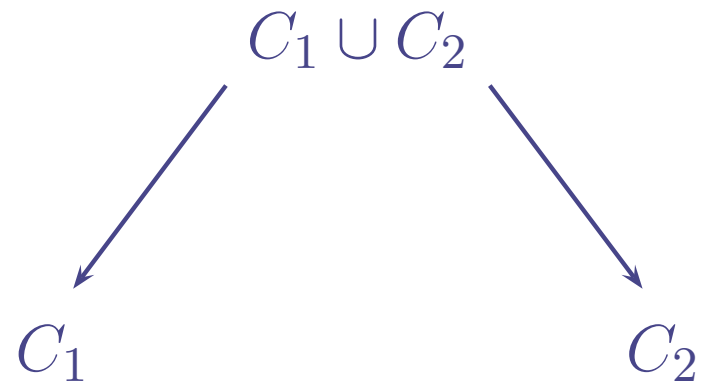
Consider two sets of monomials $\tilde{C} \subset C$. Restricting the the phase space from C to \tilde{C} maps:

$$\begin{aligned}\mathbb{CP}^{n_C-1} &\rightarrow \mathbb{CP}^{n_{\tilde{C}}-1}, \\ \omega_{\text{FS}} &\rightarrow \omega_{\text{FS}}.\end{aligned}$$

This means $M_C = M_{\tilde{C}}$

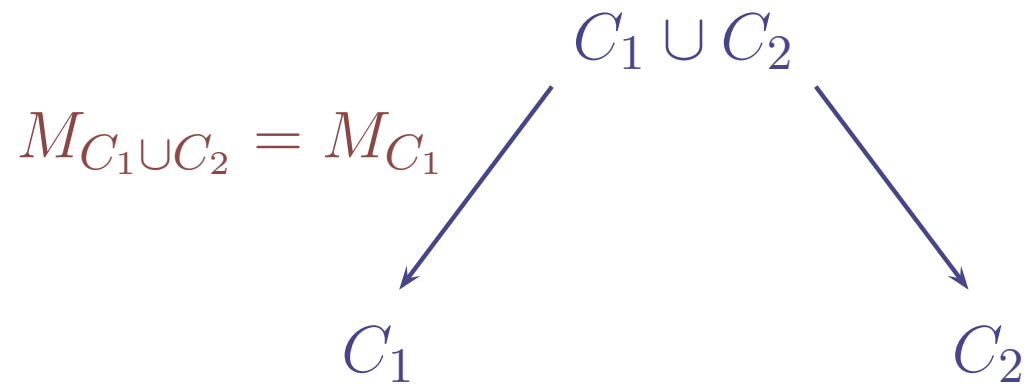
Cohomology class of ω

Looking at a tree like:



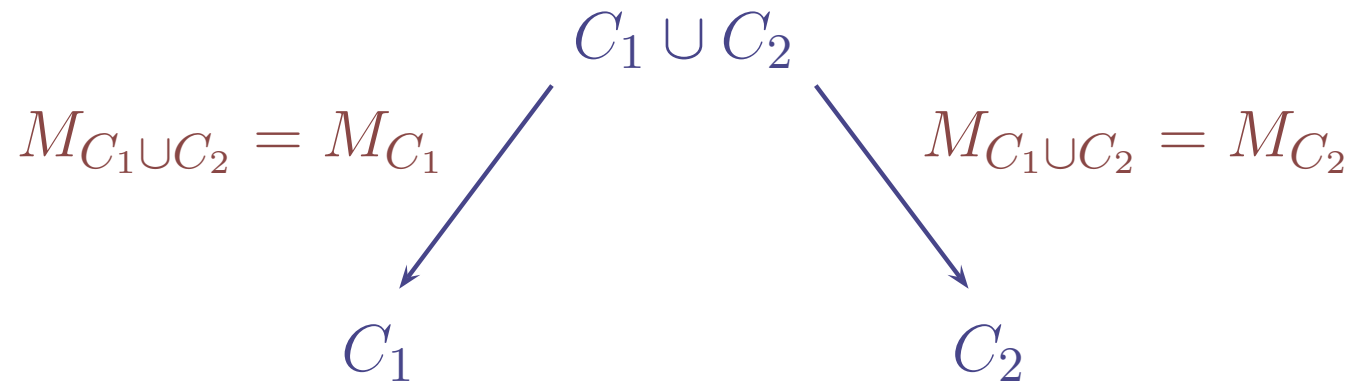
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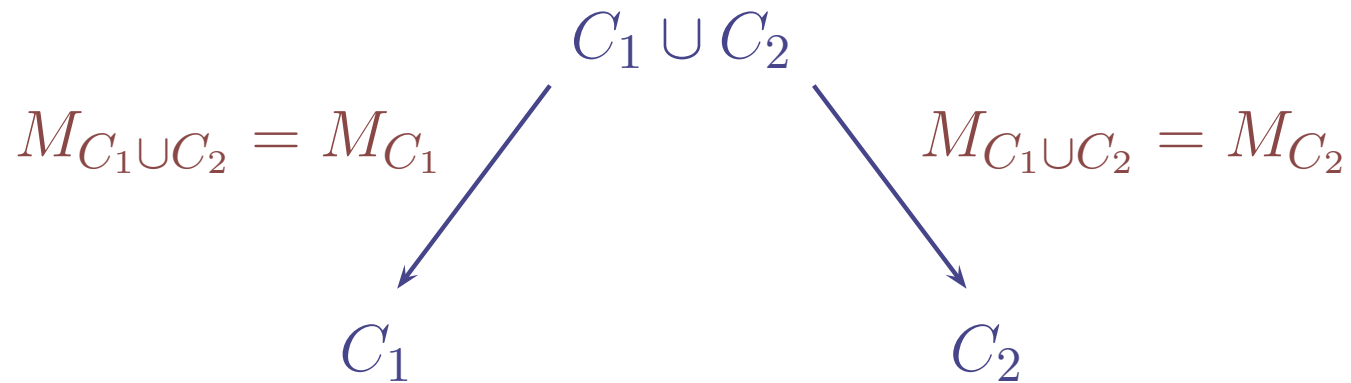
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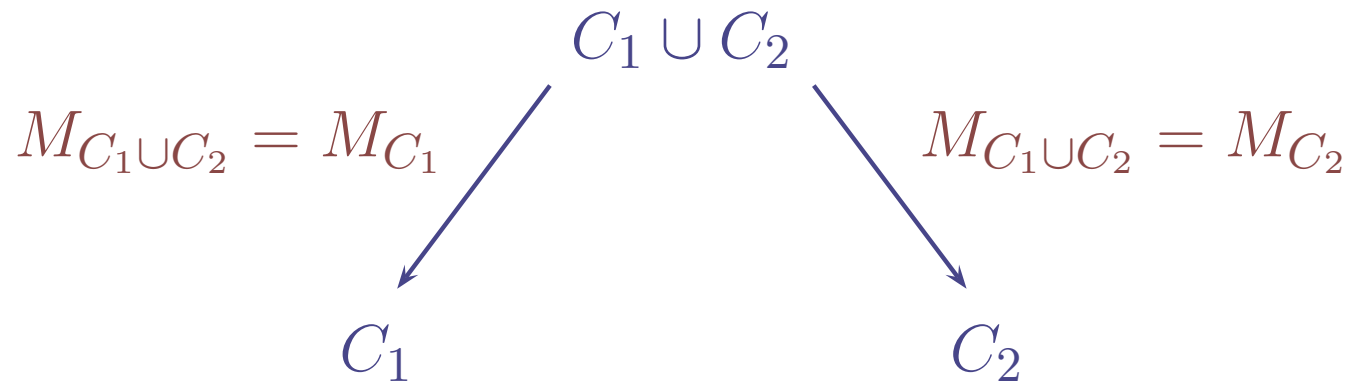
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We see that $M_{C_1} = M_{C_2}$.

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We have already found M for linear functions, so $M = 2\pi N$ for all C .

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This gives the partition function

$$\sum_N \zeta^N Z_N(\mu_1, \mu_2, \mu_3) = \prod_{\vec{n}} \frac{1}{1 - \zeta e^{-\mu_i n_i}}$$

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Classical 1/16 BPS giants are known, but much harder to quantise.

Dual giants

These are spherically symmetric in AdS_5 , point-like in S^5 and move as: $(x, y, z) = (x_0 e^{it}, y_0 e^{it}, z_0 e^{it})$.

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This has the standard symplectic form and Noether charges $\frac{1}{2}N(x^2, y^2, z^2)$.

This *is* the 3D harmonic oscillator.

1/16 BPS giants

As well as embedding S^5 in \mathbb{C}^3 , we can embed AdS_5 in $\mathbb{C}^{2,1}$ as: $|w|^2 - |u|^2 - |v|^2 = 1$.

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