

2:

IT

18/10/2021

Course: Divide & Conquer, Sorting

## Week 1: Why Study Algo?

- DMP for all branches for comp sci
- play a key role in modern tech innovation

- Analogy:
- classical shortest path algo
  - Effectiveness of public key cryptography relies on this number-theoretic property
  - Computer graphics need computational primitives supplied by geometric algo
  - Database indices rely on balanced search tree data structures
  - Computational biology uses dynamic programming algo to measure genome similarity
    - .... jaccard

## → Search engine

- novel "lens" on problems outside of CS & tech
  - quantum mechanics, economic markets, evolution
- good for brain, challenging
- fun

Week 1.2:

## Integer Multiplication

Input: two random numbers

Output: product  $n \cdot y$

$$\begin{array}{r} 1232 \\ \times 2331 \\ \hline 3678 \end{array}$$

↓  
↑ previous

$$\begin{array}{r}
 & 1234 \\
 & + 223112 \\
 \hline
 & 11034 \oplus \\
 & \cancel{11034} \oplus \\
 & 11356 \oplus \oplus \\
 & \cancel{11356} \oplus \oplus \\
 \hline
 & 4006652
 \end{array}$$

$\leq n$  basic operations, carryover etc

$2n^2$  operations

Upshift #1 operation overall  $\leq$  constant  $\cdot n^2$   
(Chien)

Algo Designer's Mantra

"Perhaps the most important principle  
for the good algorithm designer is  
to strive to be content".

— Aho, Hopcroft, Ullman, The Design  
& Analysis of Computer Algorithms, 1974

"CAN WE DO BETTER?"

WEEK a Morotsuba Multiplication.

$$n = 5 \times 78$$

$$Y = 12 \times 41$$

Step 1: Compute  $a \cdot c = 672$

Step 2: Compute  $b \cdot d = 2652$

Step 3: Compute  $(a+b)(c+d) = 134 \times 6$   
 $= 6164$

Step 4: Compute  $③ - ② - ①: 2840$

Step 5:

$$\begin{array}{r} 6720000 \\ 2652 \\ 284000 \\ \hline 7006652 \end{array}$$

## A recursive Alg:

for Basic steps of recursive programs.

From DBM:

1) Initialize the algorithm. They need a seed value to start with.  
Either pass a parameter to the function or by providing a gateway function that is non-recursive but that sets up the seed values for the recursive calculation.

2.) check whether the current value(s) being processed match the base case. If so, return the value.

- 3) Refine Redefine the answer in terms of a smaller or simpler sub-problem or sub-problems
- 4) Run the algo on the sub-problems
- 5) Combine the results in the formulation of the answer.
- 6) Return the results.

12 Overviewing Algo (continued)

- Given a number  $x$  with  $n$  digits, it can be expressed in terms of two, or over two digit numbers

$\checkmark \rightarrow$  The first half of the digits shifted appropriately.

$\checkmark$  Multiplied by  $10$  raised to the power  $n/2 - 1$  ( $10^{n/2-1}$ )

Example:  $a = 56, b = 78, c = 12, d = 34$

$$\begin{aligned}
 \text{Then: } xy &= (10^{\frac{n}{2}}a + b) \cdot (10^{\frac{n}{2}}c + d) \\
 &= (5600 + 78) \cdot (100 + 34) \\
 &= (5678)(134)
 \end{aligned}$$

$$x \cdot y = (10^{n/2}a + b) \cdot (10^{n/2}c + d)$$

↗ n zeros  
 ↗ total forward  
 ↗ straight approach

$$\begin{aligned}
 &= 10^{n/2}a \cdot c + 10^{n/2}(ad + bc) + b \cdot d \\
 &\quad + 10^{n/2}a \cdot d + 10^{n/2}c \cdot b + bd
 \end{aligned}$$

$$10^{n/2}a \cdot c + 10^{n/2}(ad + bc) + bd$$

Idea: Recursively compute  $ac, ad, bc, bd$ , then  
compute  $(*)$  in the straight forward way

- Pad  $a, c$  with  $n$  zeros at the end.
- Pad  $(ad + bc)$  and pad with  $n^2$  zeros
- sum up  $bd$ .

We need a base case

Karatsuba multiplication:

Recall:  $x \cdot y = 10^{n/2}ac + 10^{n/2}(ad + bc) + bd$

Step 1: Recursively Compute  $ac$

Step 2: Recursively Compute  $bd$

Step 3: Recursively Compute

trick:  $\textcircled{3} - \textcircled{1} - \textcircled{2} = ? = ad + bc$

Upshot: only need 3 recursive multiplications  
(and some additions)

## Week 1.4: Course Topics:

- 1) Vocab for Design & analysis of Alg
- 2) Divide & Conquer algo design paradigm
- 3) Randomization in alg design
- 4) Primitives about graphs
- 5) Use & implementation  $\Rightarrow$  Data Structures

### 1) Vocab for Design & Analysis of Alg

- > E.g.: "Big-Oh" notation
- "Sweet spot" for high level reasoning about alg algo

Big-O  $\rightarrow$  way to mathematize the Sweet Spot.

### 2) Divide & Conquer Alg:

- > will apply to: Integer mult, sorting, matrixmult, closest pair

- > General analysis methods ("Master Method / Theorem")

### 3.) Randomization in algo designs

→ will apply to Quick Sort, Primality testing, graph partitioning, hashing.

### 4.) Primitives for reasoning about graphs:

→ Connectivity information, shortest path, Structure of information & Social networks.

### 5.) Use & Implementation of Datastructures

→ Heaps, balanced binary search trees, hashing & some variants (e.g. Bloom filters)

## Topics in Seameal course:

### 1) Greedy Algo design paradigm

- Applications to minimum Spanning trees
- Scheduling & information theoretic Coding.

### 2) Dynamic Prog Algo design paradigm

→ Design & Analysis with examples

applications being in genome sequence alignment, the shortest path protocols in communication networks.

- NP - Complete prob  $\Leftrightarrow$  What do about them
- P - next equal to NP "guaranteed"
- Fast heuristics with provable guarantees
- Fast exact algo for several cases
- Exact algo ~~that~~<sup>beat</sup> bubble sort  
Search

## Week 15: Merge Sort - Motivation & Examples

Why Study Merge-Sort:

- Good intro to divide & conquer:
  - Improves over Selection, Insertion, Bubble Sorts
  - ↳ Then sorting algorithm
  - Lack in SCs with  $N^2 \rightarrow$  Quadratic size function of input (constant number <sup>size</sup> sorted)
- Calibrate your preparation
- Motivates guiding Principles for algorithm analysis (worst-case & asymptotic analysis)
- A analysis generalize to "Master Method".
- ↳ Analysis generalizes to "Master Method"
- ↳ Analysis of Merge Sort using what's called "Recursion-tree" method
- ↳ Way of typing up the total number of operations that are executed by an algorithm.

and will allow to analyse lots of  
Diff recursive algo , lots of diff Divide  
& Conquer algo , including integer multi algo

## The Sorting Problem:

Input: Array of n-numbers, unsorted

5	4	1	8	7	2	6	3
---	---	---	---	---	---	---	---

Output: Same numbers, sorted in increasing  
order

1	2	3	4	5	6	7	8
---	---	---	---	---	---	---	---

Merge sort Alg

→ A recursive Alg, that means  
a program which calls itself and  
it calls itself on smaller sub  
problems of the same form.

→ Ultimately → sort the given input  
array.

→ Call itself on smaller arrays  
↳ A Canonical divide & conq algo applied  
where we take simply the input array, we  
split it in half, we solve the left half  
recursively, we solve the right half recursively  
and then combine the results.

Exercise:

Assume Distinct

How the merge sort  
alg implementation and  
analysis would be  
diff if at all, if there  
were ties?

[5|4|1|1|8|7|2|6|3]

[5|4|1|8] left half  
First recursive call  
{4 elements}

[7|2|6|3] Right half  
Second recursive call  
{4 elements}

: ← recursive calls →  
Best output

[1|2|4|5|3]

[2|3|6|7]

← merges →  
[1|2|3|4|5|6|7|8]

→ walk pointers down each of the two sort of sub-arrays, copying over, populating the output array in sorted order

Merge Week 16 Merge-Sort - pseudocode

- recursively sort 1st half of input array

→    11    11    2<sup>nd</sup> 11    11

→ Merge two sorted sublists into one  
[ignore base cases]

## Pseudo code

→ How to combine merge?

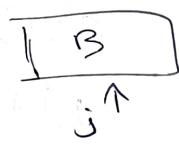
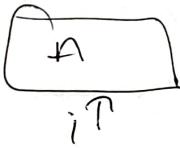
Populate the output array in a sorted order, by traversing through the two sorted sub-arrays in parallel.

Pseudo code for merge:

$C = \text{output array}$   
 $\text{length} = n$   
1st

$A = \text{1st sorted array}$   $n/2$   
 $B = \text{2nd .. } \{n/2\}$

Counter



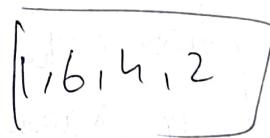
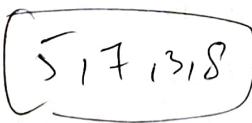
$i, j \rightarrow \text{initialized to one}$

$i = 1$

$j = 1$

Prac:

5, 7, 13, 8, 11, 6, 4, 2



~~5 6 4 2~~

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Merge Sort Running time?

Key idea: Running time of Merge sort of array of n numbers.

Running time  $\approx$  # of lines of code  
uncommented

Pseudocode for Merge:

```
for k=1 to n: ✓  
    if A(i) < B(j) ✓  
        C(k) = A(i) -  
        i += -  
    else [B(j) < A(i)]  
        C(k) = B(j) -  
        j += -  
  
    Four operations  
    per iteration
```

C = output (length=n)  
A = 1<sup>st</sup> sorted array  
{n/2}  
B = 2<sup>nd</sup> sorted array {n/2}  
i=1 } 2-operations  
j=1 }

Upshot: - running time of the merge sub-routine given an array of  $n$  numbers, is at most  $C(n)$

$$\leq 4m + 2$$

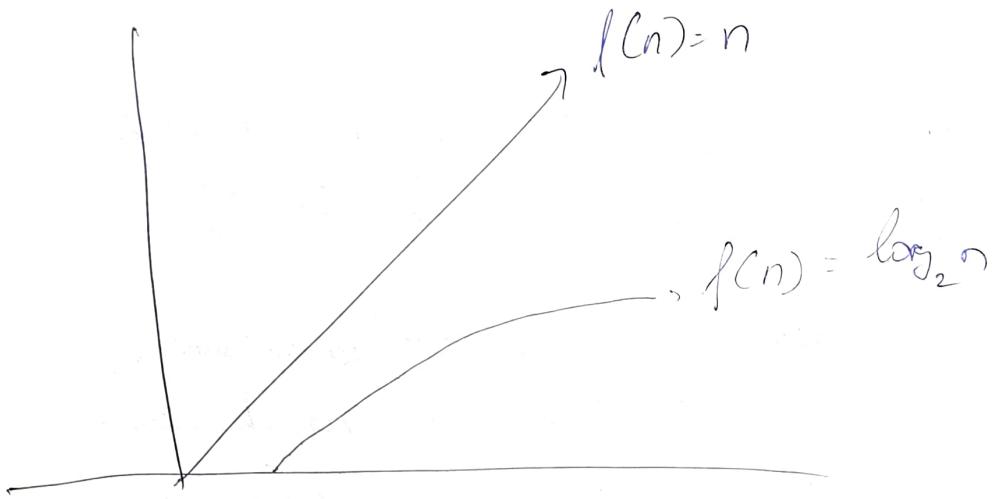
$\leq 6m$  (since  $m \geq 1$ ) lets call  $6m$  for fine

Running time of Merge Sort:

Claim: Merge Sort executes

$$\leq 6n \log_2 n + 6n \text{ operations}$$

to Sort  $n$  numbers:



$\log_2 n \rightarrow$  curve becomes very flat

# times you divide by 2 until you get down to 1.

$\log_2 n$  slower than Identity function  $n$

but  $n \cdot \log_2 n \rightarrow$  much faster, especially as  $n$  grows large

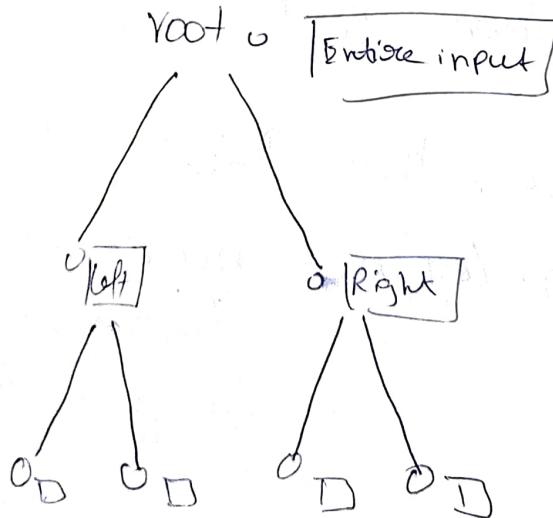
# Merge Sort analysis - Week 1.7

Claim: For every input array of  $n$  numbers  
Merge Sort produces a sorted output  
array and uses at most  $6n \log_2 n + 6n$   
Operations

Proof of Claim (assuming  $n = \text{power of } 2$ ):  
("will use "recursion tree")

The idea of recursion tree method is  
to write out ~~all of~~ the work done  
by the recursive merge sort algo in a  
tree structure with a children of a  
given node corresponding to the recursive  
calls made by that node.

Level 0  
(outer call  
to mergesrt)

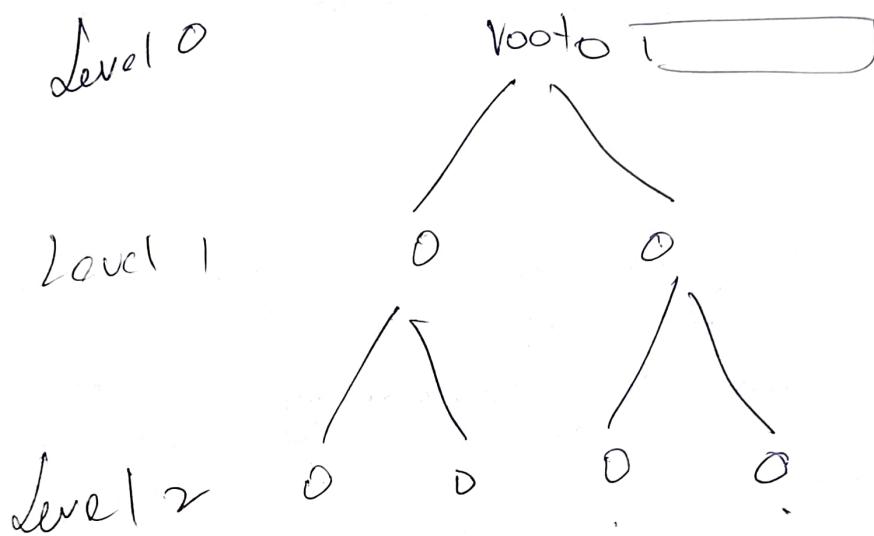


Level 2  
base case  
(0 or 1 input  
arrays)

Number of levels of the recursion tree  
is logarithmic of the size of the input arrays.

Basically the input size is being decreased  
by a factor of 2 with each level of recursion.

Total levels  $\rightarrow C \log_2 n + D$  to be exact



Level  $\log_2 n$        $\dots \quad \dots \quad \dots \quad \dots$   
(Leaves) base cases-single-element arrays

At each level,  $j = 0, 1, 2, \dots, \log_2 n$ , there are  
 $2^j$  subproblems, each of size  $\frac{n}{2^j}$  resp

## Merge needs

↳ On an input of size  $n$  units going to need at most 6 $n$  lines of code.

Total # of operations at level  $j$ :

Each  $\{ = O(1), 2, \dots, \log_2 n\}$

$\leq 2^j \times H^j$  # level- $j$  subproblem

$$2^j \times \left(6 \times \left(\frac{n}{2^j}\right)\right)$$

subproblem size

at level  $j$

6 times that many number of lines of code.

→ work per level  $j$  subproblem

$$2^j \times 6 \times \frac{n}{2^j} = 6n \quad (\text{independ of level } j)$$

6n operations at level 0, root,

6n operations at level 1, level 2, ... soon

Number of Subproblems is doubling with each level of the recursion tree, and secondly, the level of workdone per subproblem is halving with each level of the recursion tree.

Total work:

$$\underbrace{f(6n) \times (\log_2 n + 1)}_{\substack{\# \text{ of levels} \\ \downarrow \\ \text{work/level}}} = 6n \log_2 n + 6n$$

## Week 1.8 — Building Principles for analysis of algorithms

Guiding Principle #1

"worst-case analysis": Our running time bound holds for every input of length  $n$ .

- Particularly applicable for "general-purpose" routine

As opposed to:

- "average-case" analysis } requires domain knowledge
- benchmarks.

Avg-case analysis

$\rightarrow$  Avg running time of an algorithm under assumption about the relative frequencies of different

## Building Principles #2

"Won't pay much attention to constant factors, lower-order terms"

### Justifications

- ① Proxy easier
- ② Constants depend on architecture / Compiler / programming language
- ③ lose very little parallelism

The Constant factors are important for crucial loops. Then, if that's the case, optimize the factors like crazy.

## Building Principle #3 :

"Asymptotic Analysis"

Focuses on cost of large input sizes

The performance of an algorithm as the size N of the input grows large, it tends to infinity.

Better than algorithm with quadratic dependencies.

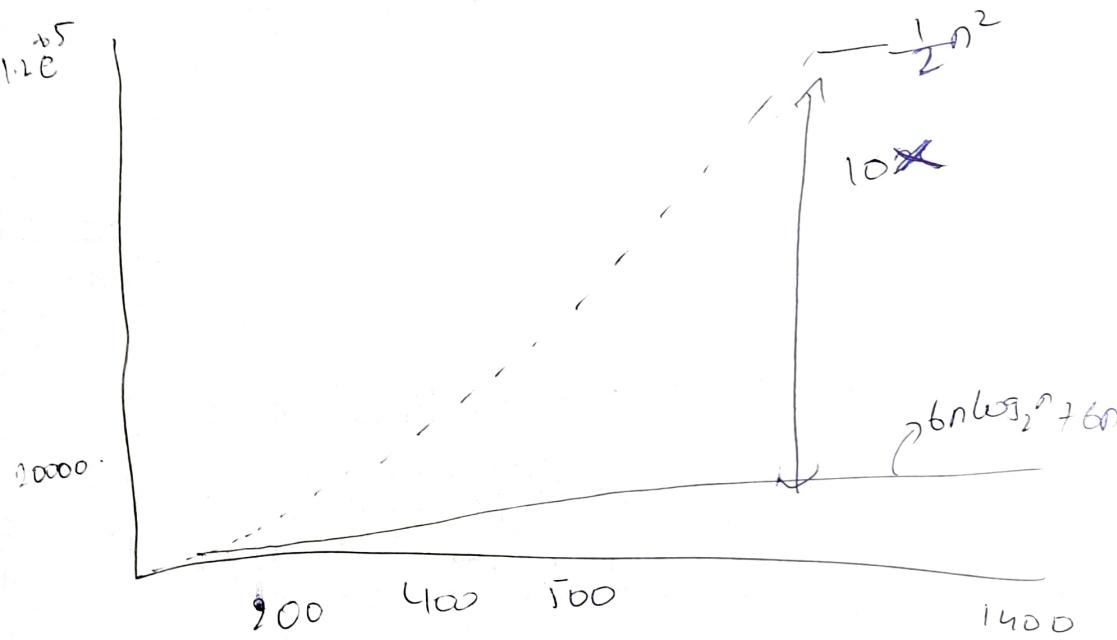
Mathematically, the running time of merge sort,  $6n \log_2 n + 6n$  is BETTER than any function which has quadratic dependence on  $n$ .  $\rightarrow O(n^2)$

Even like,  $\frac{1}{2}n^2$   $\approx$  insertion sort

This mathematical statement is only true if  $N$  is sufficiently large.

Justification:

Only big problems are interesting



For small problem sizes, use the algorithm with smaller const factors like insertion sort.

For larger problems, use algorithm with better rate of growth, mainly merge sort.

Fast algorithm ~ worst-case running time grows slowly with input size  
sweet spot

We need fast algo with good asymptotic run time which grows slowly with the input size.

Holy grail: linear running time

An algo whose number of instructions grows proportionally to the input size.

## Week 1.9 - Asymptotic Analysis

### The Gist:

#### Motivation:

Importance: Vocabulary for the design and analysis of algorithms (e.g. "big-oh" notation)  
- "sweet spot" for high-level reasoning about algorithms

- Coarse enough to suppress architecture/language/compiler-dependent details.
- Sharp enough to make useful comparisons between different algorithms, especially on larger inputs

For eg: Asymptotic analysis will allow us to differentiate between better and worse approach to sorting, integer multiplications

High-level idea: Suppresses constant factors & lower-order terms.

- ( $\hookrightarrow$ ) irrelevant for large inputs
- ( $\hookrightarrow$ ) too system dependent

Exampb: Evaluate  $6n \log n$  with just  $n \log n$   
 $6n \rightarrow$  lower-order terms, grows more slowly than  $n \log n$

$\hookrightarrow$  leading constant factor C

( $\hookrightarrow$ ) we suppress these

Left with  $n \log n$

Terminology: running time is  $O(n \log n)$

[ "big-oh" of  $n \log n$ ]  
means, where  $n =$  input size  
(e.g. length of input array)  
after we dropped the lower order terms, suppressed the leading constant factor, we are left with function  $f(n)$ .

Example : one loop  
Problem: does array A contain the integer t?  
Given A = array of length n and t  
(an integer)

$$O(n)$$

For two separate loops  
 $\hookrightarrow O(n)$

For two nested loop

$O(n^2) \rightarrow$  quadratic time algorithm

$$(n)(n-1)$$

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Week: 1.10  $\rightarrow$  Big-Oh : Notation

Big-Oh notation concerns functions defined on the positive integers.

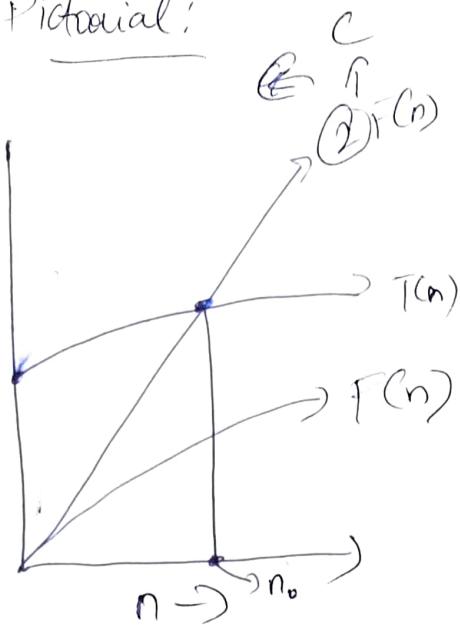
Let  $T(n)$  - function on  $n=1, 2, 3, \dots$

Usually, the worst-case running time of an algorithm

when is  $T(n) = O f(n)$ ?

If eventually for all sufficiently large  $n$ ,  $T(n)$  is bounded above by a constant multiple of  $f(n)$

Pictorial:



Picture:  $T(n) = O(f(n))$

~~When we go for  
right,  $\rightarrow$~~

Formal Def.

$$T(n) = O(f(n))$$

If & only if there exist constants  $c, n_0 > 0$  such that

$$T(n) \leq c \cdot f(n)$$

for all  $n$  that exceed or equal  $n_0$ .  $\rightarrow$  For all  $n \geq n_0$

$n_0 \rightarrow$  crossing point.

Warning:  $c, n_0$  independent of  $n$

O Basic Examples:

Claim: If  $T(n) = a_k n^k + \dots + a_1 n + a_0$ , Then  $T(n) = O(n^k)$

Proof: Choose  $n_0 = 1$  &  $C = |a_k| + |a_{k-1}| + \dots + |a_1| + |a_0|$

Need to show that for  $n \geq 1$ ,  $T(n) \leq C \cdot n^k$

$$\begin{aligned} T(n) &\leq |a_k| n^k + \dots + |a_1| n + |a_0| \\ &\leq |a_k| n^k + \dots + |a_1| n^k + |a_0| n^k \\ &\leq C \cdot n^k \end{aligned}$$

## Example #2

Claim: For every  $k \geq 1$ ,  $n^{1/k} \not\in O(n^{k-1})$

Proof: by contradiction. Suppose  $n^k \leq O(n^{k-1})$

Then, <sup>(two)</sup> 2 constants, a winning strategy,

$c, n_0 > 0$  such that

$$n^k \leq c \cdot n^{k-1} \quad \forall n \geq n_0$$

Cancelling  $n^{k-1}$  from both sides:-

$$n \leq c \quad \forall n \geq n_0$$

$$\cancel{2n} \leq 6n$$

$$n^{1/2} \quad n^{5/3}$$

$$f(n) = O(g(n))$$

$$f(n) \cdot \log_2(f(n)) = O(g(n) \cdot \log(g(n)))$$

$$\leq \sqrt{n} \leq 2^{\sqrt{\log(n)}} \leq n^{1.5} \quad n^{5/3} \leq 10n$$

$$\text{a.) } 256, \text{ b.) } 512, \text{ c.) } 1024, \text{ d.) } 1036, \text{ e.) } 81$$

& f, a, b/c, c

$\overset{a}{\textcircled{3}} \overset{b}{\textcircled{3}}, \overset{c}{\textcircled{3}} \overset{d}{\textcircled{3}}$

$ac, bd,$   
 $(a15)(c10)$

$ac$   
 $\overset{a}{\textcircled{3}} \overset{b}{\textcircled{3}}, \overset{a}{\textcircled{3}} \overset{a}{\textcircled{3}}$   
 $(a10), (a3)$

$1089$

$bd$   
 $(33, 33), 1089$

$(a+b)(c+d)$

$\overset{a}{\textcircled{3}} \overset{a}{\textcircled{3}}, \overset{a}{\textcircled{3}} \overset{a}{\textcircled{3}}$   
 $ac$   
 $bd$   
 $(a+b)(c+d)$

$ac$   
 $(6, 6)$   
 $36$

$bd$   
 $(a+b)(c+d)$   
 $(6, 6)$   
 $36$

$(1, 1) (2, 2) (3, 3)$

$1 \quad 4 \quad 9$