

Normalization

Normalization used to reduce or eliminate redundancy.

Functional Dependencies: Redundancy in DB Table occurs if two or more independent relations stored in one relation.

$\xrightarrow{S} \text{Sid} \rightarrow \text{Sname}$ $\xrightarrow{D} \text{DOB}$ $\xrightarrow{C} \text{Cid} \rightarrow \text{Cname}$ Instr $\xrightarrow{R} \text{Sid Cid} \rightarrow \text{Fee}$

Independent Relation

{Sid Cid}
PK

S I D

V XXX

Sid	Sname	DOB	cid	Cname	Instr	Fee
S1	B	2005	C1	DB	Korth	5000
S2	B	2005	C2	DB	Korth	6000
S3	A	2000	C1	DB	Korth	5000
S3	A	2000	C2	Algo	coreman	4000
S4	A	2000	C3	Algo	Ullman	6000
XXX	—	—	C4	OS	Galwin	—

→ Problem because of Redundancy :- (DB Anomalies).

① Insertion Anomaly :-

In order to insert some data also required to insert other independent data.

② Deletion Anomaly :-

Because of deletion of some data also deletes other independent data.

③ Updating Anomaly :-

If some redundant copy updated & other redundant copy failed to update causes inconsistency.

Normalization of DB Table :-

Decompose relation into two or more sub relations to reduce / eliminate redundancy & DB anomalies.

$R_1: Sid \rightarrow Sname\ Dob$	$R_2: Sid \xrightarrow{FK} Cid \rightarrow fee$	$R_3: Cid \rightarrow Cname\ Inst$
Sid Sname Dob	Sid Cid fee	Cid Cname Inst
S1 B 2005	S1 C1 -	C1 DB K
S2 B 2005	S2 C1 -	C2 Algo C
S3 A 2000	S3 C1 -	C3 Algo U
	S3 C2 -	C4 OS G1
	S3 C3 -	

Normalized DB design : 0% redundancy
No DB anomalies.

Functional Dependency [FD] ($x \rightarrow y$)

FD's are constraints that are derived from the meaning and interrelationships of data attributes.

If a set of attributes x functionally determines a set of attributes y if the values of x determines a unique value of y .

$x \rightarrow y$ holds if whenever two tuples have same value for x , they must have the same value for y .

for any two tuples t_1, t_2 in rel R
 If $t_1.x = t_2.x$ then $t_1.y = t_2.y$

R	x	y	z
	x_1	y_5	z_1
	x_1	y_5	z_2
	x_1	y_5	z_3
	x_2	y_1	z_4
	x_3	y_1	z_5
	x_4	y_2	z_6

$x \rightarrow y$ exists R

$z \rightarrow x$
 $z \rightarrow y$

If K is a key of R, then K functionally determines all attributes in R.

R	A B C D
a ₁	$A \rightarrow BCD$
a ₂	guaranteed.
a ₃	exists in R
a ₄	

A : Key

R	Sid Sname Cid
s ₁	A C ₁
s ₁	A C ₂
s ₂	B C ₂
s ₃	B C ₃
s ₄	C C ₁

If x, y, z Attribute sets over Rel R :

① Trivial FD ② Non Trivial FD ③ Semi Non Trivial FD

If y is sub set of x then $x \rightarrow y$ is trivial FD.

$$[x \supseteq y]$$

$$\{ Sid \rightarrow Sid \}$$

$$\{ Sname \rightarrow Sname \}$$

$$Cid \rightarrow Cid$$

$$Sid Sname \rightarrow Sname$$

$$Sid Sname \rightarrow Sid Sname$$

If Attribute set x determine other attribute y set
 $[x \cap y = \emptyset]$

$$[x \cap y = \emptyset]$$

$$Sid \rightarrow Sname$$

$$Sid Cid \rightarrow Sname$$

Combination of Trivial FD and Non Trivial FD

$$Sid \rightarrow Sid Sname$$

$$\{ Sid \rightarrow Sid \}$$

$$Sid \rightarrow Sname$$

$$Sid Cid \rightarrow Cid Sname$$

$$\{ Sid Cid \}$$

$$Sid Cid \rightarrow Sname$$

NOTE - Every possible trivial FD over attributes of R is always members of Rel R.

$R(AB)$	$A \rightarrow A$	$A \rightarrow B$	$A \rightarrow AB$
	$B \rightarrow B$	$B \rightarrow A$	$B \rightarrow AB$
	$AB \rightarrow A$		
	$AB \rightarrow B$		
	$AB \rightarrow AB$		
	$\brace{Trivial\ FD's}$	$\brace{Non\ Trivial\ FD's}$	$\brace{Semi\ non\ trivial}$

X, Y, Z are some attribute sets over R .

Armstrong's inference rules over FD's

① Reflexivity \rightarrow [Trivial FD]

If y is subset of x then $x \rightarrow y$

$sid \rightarrow sid$

$sidcid \rightarrow cid$

completeness
of FD inferences
rules

② Augmentation \rightarrow

If $x \rightarrow y$ then $xz \rightarrow yz$

③ Transitivity \rightarrow

If $x \rightarrow y$ and $y \rightarrow z$ then $x \rightarrow z$

④ Decomposition

If $x \rightarrow yz$ then $x \rightarrow y, x \rightarrow z$

can be derived
by using ① ② ③

⑤ Union

If $x \rightarrow y, x' \rightarrow z$ then $x \rightarrow yz$

⑥ Pseudo transitivity:

If $x \rightarrow y, wy \rightarrow z$ then $wx \rightarrow z$

- B. find all possible non trivial FD's which are satisfied for given instance.

① R	A	B	C	$x \rightarrow y$
	2	3	4	$t_1: x_1 \rightarrow y_1$
	2	3	5	$t_2: x_1 \rightarrow y_1$
	2	6	5	
	4	7	2	
	4	7	3	
				$\left. \begin{array}{l} \text{Possible non trivial FD's} \\ xA \rightarrow B \quad \checkmark B \rightarrow A \quad \checkmark C \rightarrow A \\ xA \rightarrow C \quad \times B \rightarrow C \quad \times C \rightarrow B \\ xA \rightarrow BC \quad \times B \rightarrow AC \quad \times C \rightarrow AB \\ \times AB \rightarrow C \\ \checkmark BC \rightarrow A \\ \times AC \rightarrow B \end{array} \right\}$
				$\left. \begin{array}{l} \{B \rightarrow A, C \rightarrow A, BC \rightarrow A\} \\ \text{Ans} \end{array} \right\}$

② R	A	B	C	NO non Trivial FD's.
	2	3	4	
	2	3	5	
	2	4	4	
	2	4	5	
	3	3	4	

Attribute closure (x^+)

$x^+ = \text{Set of all attributes that are functionally determined by } x.$

$\{AB \rightarrow CD, AF \rightarrow D, DE \rightarrow F, C \rightarrow G, F \rightarrow E, G \rightarrow A\} // Given$
 FD

$$(AB)^+ = \{A, B, C, D, G\} \quad AB \rightarrow ABCDG$$

$$(BG)^+ = \{B, G, A, C, D\} \quad BG \rightarrow ABCDG$$

$$(ABF)^+ = \{A, B, F, C, D, G, E\} \quad ABF \rightarrow ABCDEFG$$

$$(BFG)^+ = \{B, F, G, E, A, C, D\} \quad BFG \rightarrow ABCDEF$$

Closure set of F of FD's :- $[F^+]$

$F^+ = \{ \text{All FD's that can be inferred from } F \}$

$$F = \{A \rightarrow B, B \rightarrow C\} \quad F^+ = \{A \rightarrow A, \underset{|}{A \rightarrow B}, \underset{|}{B \rightarrow C}\}$$

(Given Set) etc. etc.

$$F = F^+$$

$$AB \rightarrow AB \quad | \quad | \\ \text{etc.} \quad \text{etc.}$$

Super Key :-

X is Super Key of Relation R

IFF x^+ must determine all attributes of R

R	A B C D
a ₁	
a ₂	
a ₃	
a ₄	
$\{A : SK\}$	
$A \rightarrow ABCD$	

$R(ABCDE)$

$\{AB \rightarrow C, C \rightarrow D, B \rightarrow E\}.$

$(AB)^+ = \{ABCDEF\} SK \checkmark$

$(ABC)^+ = \{ABCDEF\} SK \checkmark$

$(ABCDE)^+ = \{ABCDEF\} SK \checkmark$

$(BD)^+ = \{BDE\} \times \times SK$

Cand Key - $\{AB\}$ (minimal Super Key.)

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Candidate Key [x] :-

x is candidate key of Relation R

iff ① x must be super key of R

$x^+ = \{all\ attributes\ of\ R\}$

and ②

No Proper Sub set of x is super key of R

+ $y \subset x$ such that $y^+ = \text{not all attributes of } R.$

Not SK.

*** find candidate keys of the given Relations.

① R(ABC)

With no non-trivial FD's

CK of R: ABC

$(ABC)^+ = \{ABC\}$

② R(ABCD)

$\{AB \rightarrow C\}$

CK of R: ABD

$(ABD)^+ = \{ABCD\}$

③ R(ABCDEF) $\{A \rightarrow C, E \rightarrow B, F \rightarrow E\}$

CK of R: ADF

$(ADF)^+ = \{ABCDEF\}$

④ R(ABCDEF) $\{B \rightarrow F, E \rightarrow A, D \rightarrow B\}$

CK of R: BCDE

$(BCDE)^+ = \{ABCDEF\}$

$x \rightarrow y$
 ↓
 Prime.

{ Any non trivial FD
 $x \rightarrow y$ with y is Prime Attribute
 exists in R }

\equiv { there there exist more than one CK for }
 relation R

⑤ $R(ABCDEF)$ $\{AB \rightarrow C, C \rightarrow DE, E \rightarrow F, F \rightarrow A\}$ $\overset{\text{Prime Attr}}{=}$

$(AB)^+ = \{ABCDEF\}$ } $F \rightarrow A$
 ~~$(BE)^+ = \{ABCDEF\}$~~
 $(BF)^+ = \{ABCDEF\}$ $(FB/BF) \rightarrow$ other CK
 $\{AB, BF\}$

$E \rightarrow F_{\text{prime}}$

$(BE)^+ = \{ABCDEF\}$ $E^+ = FEA$

$C \rightarrow E_{\text{prime}}$
 $(BC)^+ = \{ABCDEF\}$

$e^+ = CDEFA$

$\cancel{B \rightarrow C}$

$\{AB, BF, BE, BC\}$: cand keys

8 R(ABCDEFG)

$\{AB \rightarrow CD, AF \rightarrow D, DE \rightarrow F, C \rightarrow G, F \rightarrow E, G \rightarrow A\}$

Ans - 6 candidate keys

Finding CK's of Rel R with n attribute is NPC Problem
(Exponential TC Problem)

$$TC = O(2^n)$$

Membership test of FD :-

$x \rightarrow y$ Functional dependency is member of FD set (F)
if and only if x^+ must determine y in FD set (F).

a) Consider given FD set

$\{AB \rightarrow CD, AF \rightarrow D, DE \rightarrow F, C \rightarrow G, F \rightarrow E, G \rightarrow A\}$

which FD is not member of given FD set?

a) $BEG \rightarrow CF \quad (BEG)^+ = \{BEG, ACDF\}$

b) $BG \rightarrow D \quad (BG)^+ = \{BG, AD\}$

c) $AB \rightarrow E \quad (AB)^+ = \{AB, CDG\}$

$F^+ \equiv G^+$

$F = \{ \dots \}$

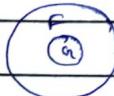
$G = \{ \dots \}$

$F \subseteq G$

~~if FD Sets F and G are equivalent :-~~

$F \neq G$ FD sets are equal if and only if

(i) every FD of G set must
member of F set



$$F \subseteq G$$

and (ii) G covers F :-

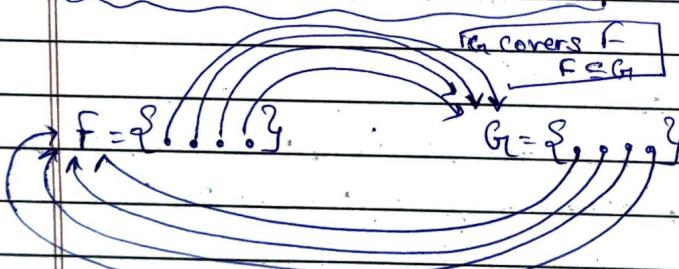
Every FD of F set must
member of G set



$$F \subseteq G$$

$F \neq G$ FD sets equal iff

$$F^+ = G^+$$



$$\boxed{f \text{ covers } G}$$

$$\boxed{F \supseteq G}$$

F cover G

True

True

False

False

G covers F

True

False

True

False

$$\rightarrow F = G$$

$$\rightarrow F \supseteq G$$

$$\Rightarrow F \subset G$$

$\Rightarrow F \neq G$ not comparable.

Q1 consider given FD sets

$$F = \{ A \rightarrow B, B \rightarrow C, C \rightarrow A \}$$

$$G = \{ A \rightarrow BC, B \rightarrow AC, AB \rightarrow C, BC \rightarrow A \}$$

Which is true for given FD set?

- (a) $F \subset G$ (b) $F \supset G$ (c) $F = G$ (d) None.

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	F covers G	G covers F
i) $A^+ = ABC$	True	False
ii) $B^+ = BCA$		
iii) $AB^+ = ABC$		$C \rightarrow A$ of F set is not member of G set.
iv) $BC^+ = BCA$		
v) From FD F		

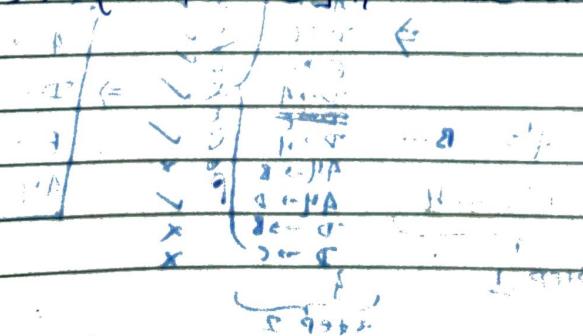
Canonical cover or minimal cover { Minimal Possible FD's which are equal to given FD set }

Non reducible equal FD set for given FD set.

$$F = \{ A \rightarrow B, B \rightarrow C, A \rightarrow C, A \rightarrow A, AC \rightarrow B \} // Given FD set.$$

(equal \rightarrow $A \rightarrow C$, $A \rightarrow A$, $AC \rightarrow B$) \rightarrow $A \rightarrow B$? $AC \rightarrow BC$? $AC \rightarrow B$

From $\{ A \rightarrow B, B \rightarrow C \}$ // minimal cover.



Procedure to find minimal cover :-

Assume given FD set F.

$x \rightarrow y$
determinant determines

- Step 1) Remove extraneous attributes from each determinant of given FD set.

$$\{xyz \rightarrow w, x \rightarrow y\} = \{x \rightarrow y, xyz \rightarrow w\}$$

↑
extraneous

Extraneous Attribute :-

$$\text{If } xyz \rightarrow w, x^+ = \{ \dots y \dots \} \text{ in FD set (F)}$$

Then y extraneous from $xyz \rightarrow w$

$$\{xyz \rightarrow w, x \rightarrow y\} = \{xz \rightarrow w, x \rightarrow y\}$$

- Step 2) Remove redundant FD's from result of Step 1.

Redundant FD :- $x \rightarrow y$ FD from FD set (F)

is redundant iff $x \rightarrow y$ must be member of

$$\{F - (x \rightarrow y)\}$$
 FD set.

$$F = \{ \dots \cancel{x \rightarrow y} \dots \} \quad x^+ = \dots y \dots$$

$$\text{ex. if } \{A \rightarrow B, B \rightarrow C, A \rightarrow C\}$$

redundant.

- Q. Find Minimal cover of given FD set. $\{A \rightarrow BC, D \rightarrow E, A \rightarrow D\}$

(i) $\{ \checkmark A \rightarrow BC, \dots \}$ leave the single determinant

$$CD \rightarrow E = D^+ = \{C, D\} \quad \{A \rightarrow B\} \quad \{A \rightarrow C\} \quad \{A \rightarrow D\} \quad \{D \rightarrow E\} \quad \{E \rightarrow C\} \quad \{D \rightarrow A\} \quad \{D \rightarrow E\} \quad \{D \rightarrow H\} \quad \{H \rightarrow B\} \quad \{A \rightarrow D\} \quad \{D \rightarrow B\} \quad \{D \rightarrow C\}$$

$$\checkmark E \rightarrow C \Rightarrow A \rightarrow BC$$

$$\checkmark D \rightarrow AEH$$

$$ABH \rightarrow BD = A^+ = \{B, D\}$$

$$DH \rightarrow BC = D^+ = \{H\}$$

$A \rightarrow BC$
$D \rightarrow AEH$
$E = C$
$A \rightarrow D$
$D \rightarrow B$
$D \rightarrow C$

3

Step 1

3
Step 2

Minimal cover of FD set F may not Unique.

$$\begin{aligned} Q. \{ A \rightarrow B, AB \rightarrow C, A \rightarrow BC, B \rightarrow A \} &\equiv \{ A \rightarrow C, A \rightarrow BC, B \rightarrow A \} \equiv \{ A \rightarrow BC, B \rightarrow A \} \\ &= \{ B \rightarrow C, A \rightarrow B, B \rightarrow A \} \equiv \{ B \rightarrow AC, A \rightarrow B \} \end{aligned}$$

→ minimal cover of FD set F may not unique.

but all minimal covers are logically equal if & also equal to given FD set.

$$F_{M_1} = F_{M_2} = F$$

~~AB~~

Properties of decomposition:-

1) Loss less Join decomposition

2) Dependency Preserving decomposition.

Loss less join decomposition :-

Relational schema R with instance r

1) Decomposed into sub relations, $R_1 R_2 R_3 \dots R_n$

2) more like

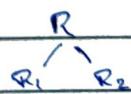
\bowtie : Natural Join

3) In General $(R_1 \bowtie R_2 \bowtie \dots \bowtie R_n) \supseteq R$

if

* If $R_1 \bowtie R_2 \bowtie \dots \bowtie R_n = R$ Then,

Loss less Join decomposition



$$(R_1 \bowtie R_2) = R \text{ : LLJ}$$

$$(R_1 \bowtie R_2) \supseteq R \text{ : Not LLJ}$$

* If $R_1 \bowtie R_2 \bowtie \dots \bowtie R_n \supseteq R$

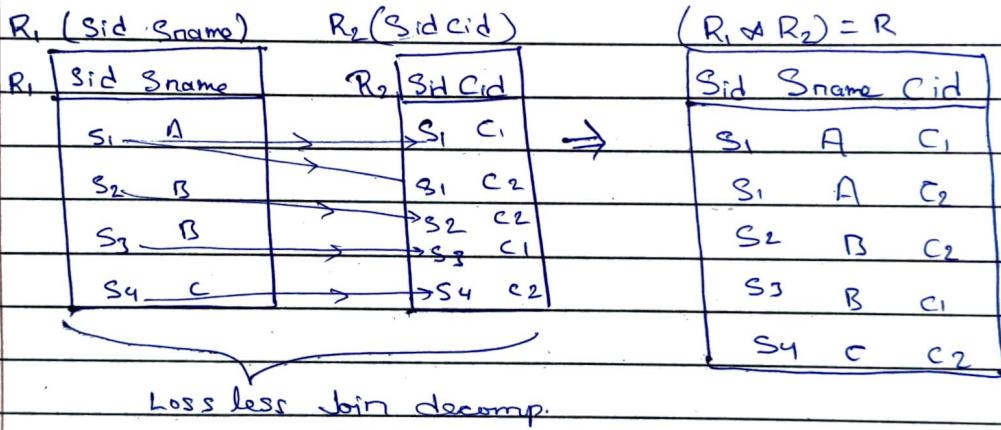
Then lossy join decomposition

$$X(R_1 \bowtie R_2 \subset R) X$$

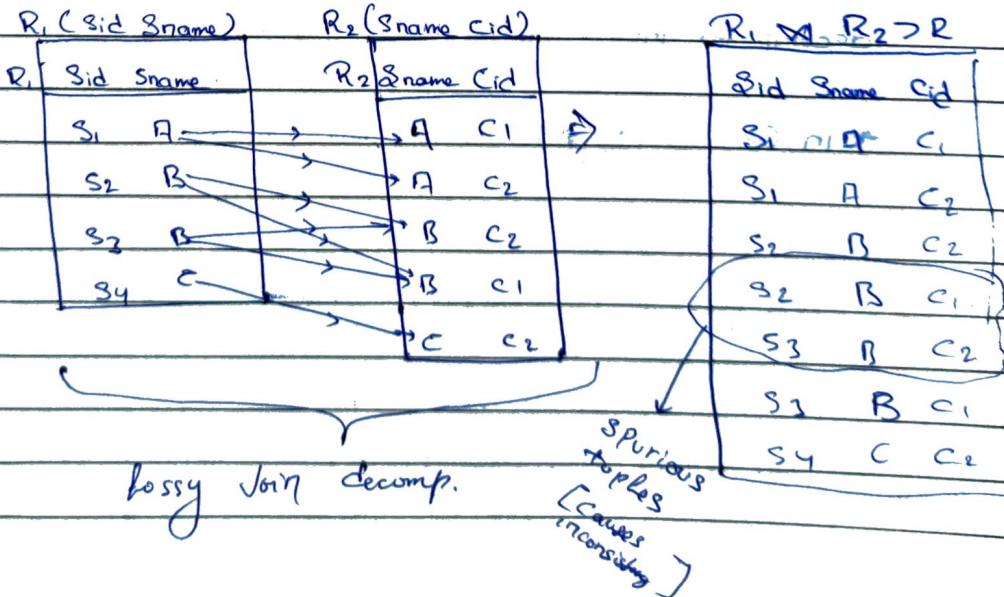
Not possible

R	Sid	Sname	Cid	$\{ \text{Sid} \rightarrow \text{Sname} \}$
S1	A	C1		Card Key : Sid Cid.
S1	A	C2		
S2	B	C2		
S3	B	C1		
S4	C	C2		

1) Decompose R into (LLD)



2) Decompose R into



Loss less decomposition.

→ Relational Schema R with FD set decomposed into R_1, R_2

Decomposition is loss less join

iff ① $R_1 \cup R_2 = R$ // every attribute of R relation must be in sub relation.
and

② $(R_1 \cap R_2) \rightarrow R_1$ } $(R_1 \cap R_2)$ must be superkey of
or } R_1 or R_2
 $(R_1 \cap R_2) \rightarrow R_2$

8) $R(ABCDEF) \quad \{AB \rightarrow C, C \rightarrow D, B \rightarrow E\}$

Test given decomposition is LL or not?

① $\{ABC, CDE\}$

$$R_1 \cup R_2 = R$$

AT C not in sub rel

∴ lossy join.

② $\{ABC, DEF\}$

$$R_1 \cup R_2 = R$$

$$R_1 \cap R_2 = \emptyset$$

∴ lossy join.

III) $\{ABC, CDE\}$

$$R_1 \cup R_2 = R$$

$$(R_1 \cap R_2)^+ = C^+ = \{C, D\}$$

↓

not sk of $R_1(ABC), R_2(CDE)$

IV) $\{ABCD, BE\}$

$$R_1 \cup R_2 = R$$

$$(R_1 \cap R_2)^+ = B^+ = \{BE\}$$

lossy join

∴ lossy join decom

V) $\{ABC, ABDEF\}$

$$R_1 \cup R_2 = R$$

$$(R_1 \cap R_2)^+ = \{ABCDEF\} = AB^+$$

l.

∴ loss less decom.

Q4

$R(ABCDEF)$ $\{AB \rightarrow C, BC \rightarrow A, B \rightarrow D, D \rightarrow E, E \rightarrow FG\}$

(i) $\{ABC, ADE, EFG\}$

$$\rightarrow R_1 \cup R_2 \cup R_3 = R \checkmark$$

$$\rightarrow R_1(ABC) \quad R_2(ADE) \quad R_3(EFG)$$

$$M \quad E^+ = EFG$$

$$R_1(ABC) \quad R_2(ADE) \quad R_3(EFG)$$

$$A^+ = A$$

Lossy Join decompos.

#

Dependency Preserving decomposition :-

Relational Schema R with FD set F decomposed into sub relations $R_1, R_2, R_3, \dots, R_n$ with FD sets $F_1, F_2, F_3, \dots, F_n$ respectively,

① in General

$$\{F_1 \cup F_2 \cup F_3 \dots \cup F_n\}^+ \subseteq F^+$$

$$\textcircled{2} \quad \text{if } \{F_1 \cup F_2 \cup F_3 \dots \cup F_n\}^+ = F^+$$

Then dependency Preserving decomposition.

$$\textcircled{3} \quad \text{if } \{F_1 \cup F_2 \cup F_3 \dots \cup F_n\}^+ \subset F^+$$

Then Not dependency Preserving decomposition

$$R \dots F = \dots$$

$$R_1' \nearrow R_2 \\ F_1 = \{ \} \quad F_2 = \{ \}$$

$$\{F_1 \cup F_2\} = F \quad \text{DP decom}$$

$$\{F_1, F_2\} \subset F$$

Not DP decom.

$$\{F_1, F_2\} \supset F$$

Not Possible

$R(\dots) F = \{x \rightarrow \dots\}$

↓ Find FD set of sub relation

$R_1(xyz) F_1 = \{x \rightarrow y, xz \rightarrow y, yz \rightarrow x\}$

$$\left. \begin{array}{l} x^+ = \dots y \dots \\ y^+ = y \end{array} \right\}$$

$$z^+ = z$$

$$xy^+ = xy$$

$$xz^+ = \dots y \dots$$

$$yz^+ = \dots x \dots$$

Q Test given decompositions dependency Preserving or not?

① $R(ABCDE) \{A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow BE\}$

decomposed into $R_1(AB) R_2(BC) R_3(CD) R_4(DE)$

~~DP test~~

$R_1(AB)$	$R_2(BC)$	$R_3(CD)$	$R_4(DE)$	Test all FD's of F are member of subrel?
$\left\{ \begin{array}{l} A \rightarrow B \\ C \rightarrow B \end{array} \right.$	$B \rightarrow C$	$C \rightarrow D$	$D \rightarrow E$	$A \rightarrow B$ $B \rightarrow C$ $C \rightarrow D$ $D \rightarrow BE$
		$D \rightarrow C$		
(F_1)	(F_2)	(F_3)	(F_4)	$\therefore \{F_1 \cup F_2 \cup F_3 \cup F_4\} = F$
$A^+ = ABCDE$	$B^+ = BCDE$	$C^+ = BCDE$	$D^+ = BCDE$	<u>DP decomposition.</u>
$B^+ = BCDE$	$C^+ = CDDE$	$D^+ = BCDE$	$E^+ = E$	

$(LL \& DP)$ are independent to each other