

# Gaussian Mixture Models (GMM) for Background Subtraction

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# Outline

- Introduction
- Gaussian Mixture Model
- Modeling Process
- Subtraction Process

# Introduction

- About Background Subtraction
  - **Assumption:** camera is stationary
  - **Objective:** segment the region of interests (foreground) from the background scenes

Original  
Image

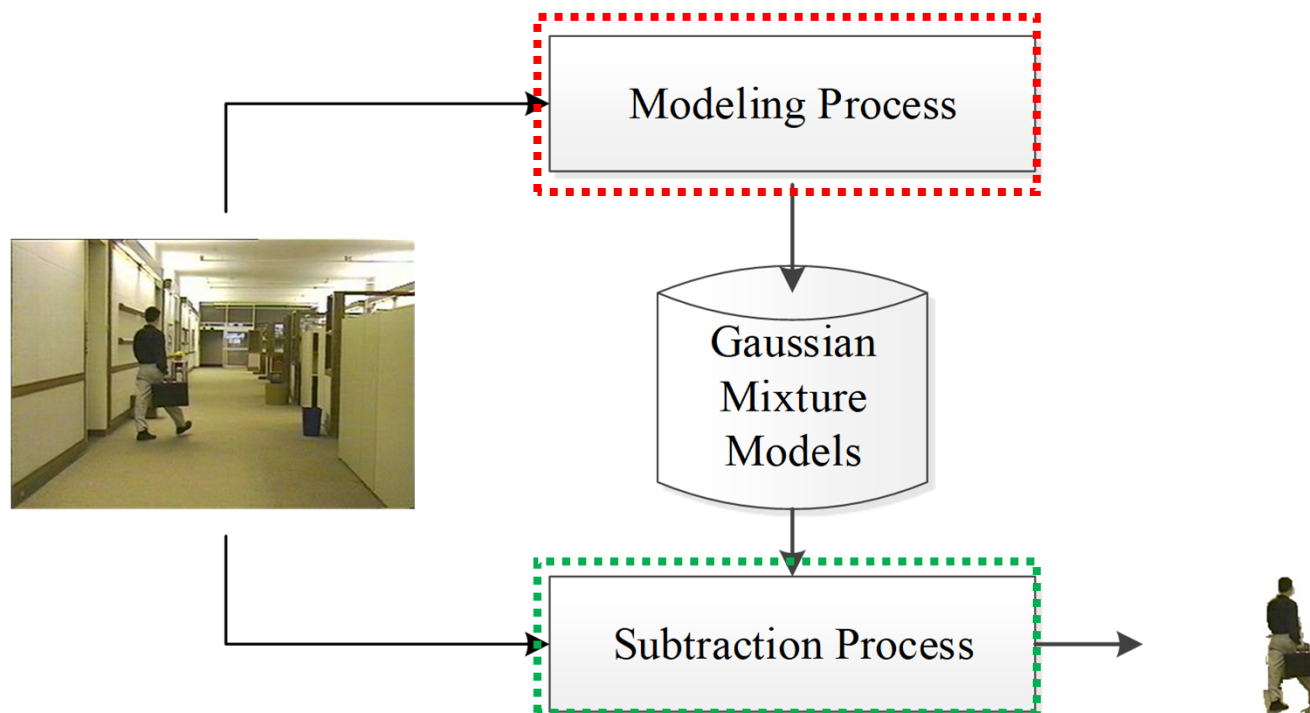


Foreground  
Mask



# Introduction

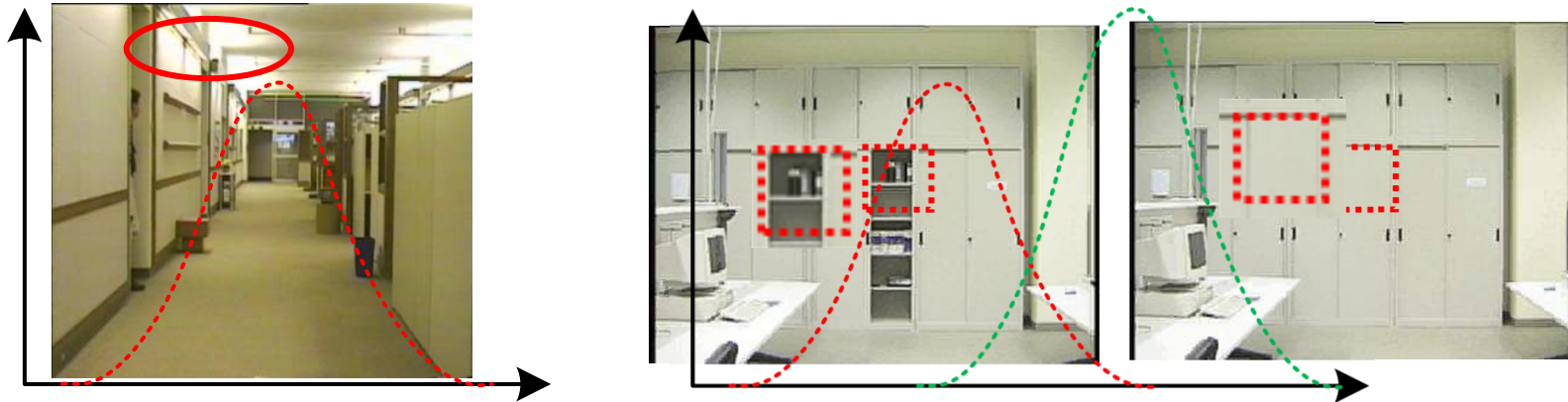
- Framework



**Modeling:** describe the background using Gaussian mixture model.  
**Subtraction:** subtract the background from the currently observed image.

# Introduction

- Idea
  - Lighting Variation → **Gaussian Distribution**
  - Appearance Change → **Multiple Gaussians**

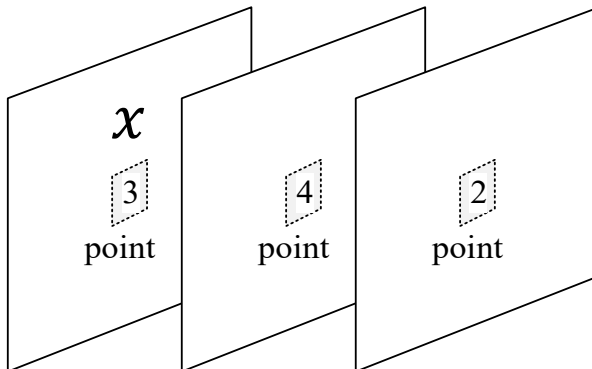
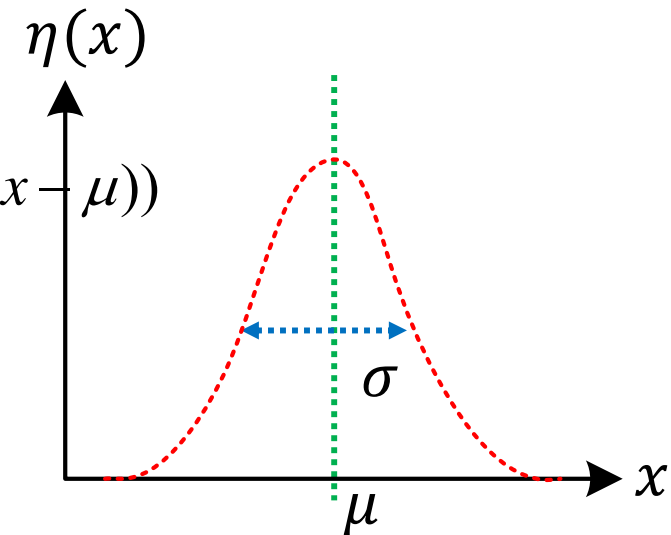


# Gaussian Mixture Model

- Gaussian Distribution  $\eta(\cdot)$

$$\eta(x; \mu, \Sigma) \equiv \frac{1}{\sqrt{2\pi} \sigma} \exp\left(-\frac{(x - \mu)^2}{2\sigma^2}\right)$$

- $\mu$ : mean value
- $\Sigma$ : covariance matrix



$$\mu = \frac{3.0 + 4.0 + 2.0}{3} = 3.0 \quad \sigma = \sqrt{0.67} = 0.81$$

$$\eta(x; \mu, \sigma) = \frac{1}{\sqrt{2\pi} \times 0.81} \exp\left(-\left(\frac{x - 3.0}{0.81}\right)^2\right)$$

# Gaussian Mixture Model

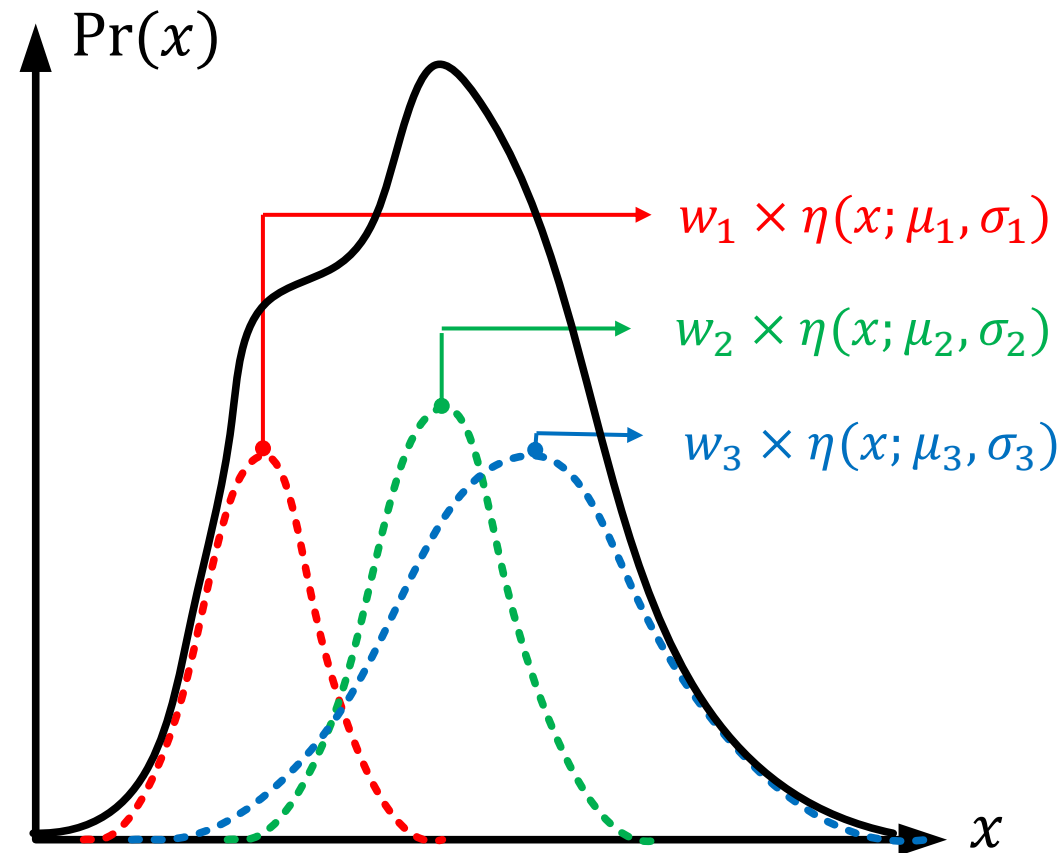
- Definition
  - GMM is a mixture of  $K$  Gaussians describing the distribution of a random variable  $x$ .

$$\Pr(x) = \sum_{k=1}^K w_k \times \eta(x; \mu_k, \sigma_k) \quad \sum_{k=1}^K w_k = 1$$

- $w_k$ : weight of the  $k$ th Gaussian
- $\eta(\cdot)$ :  $k$ th Gaussian distribution with **mean value**  $\mu_k$  and **standard deviation**  $\sigma_k$

# Gaussian Mixture Model

- Example ( $K = 3$ )



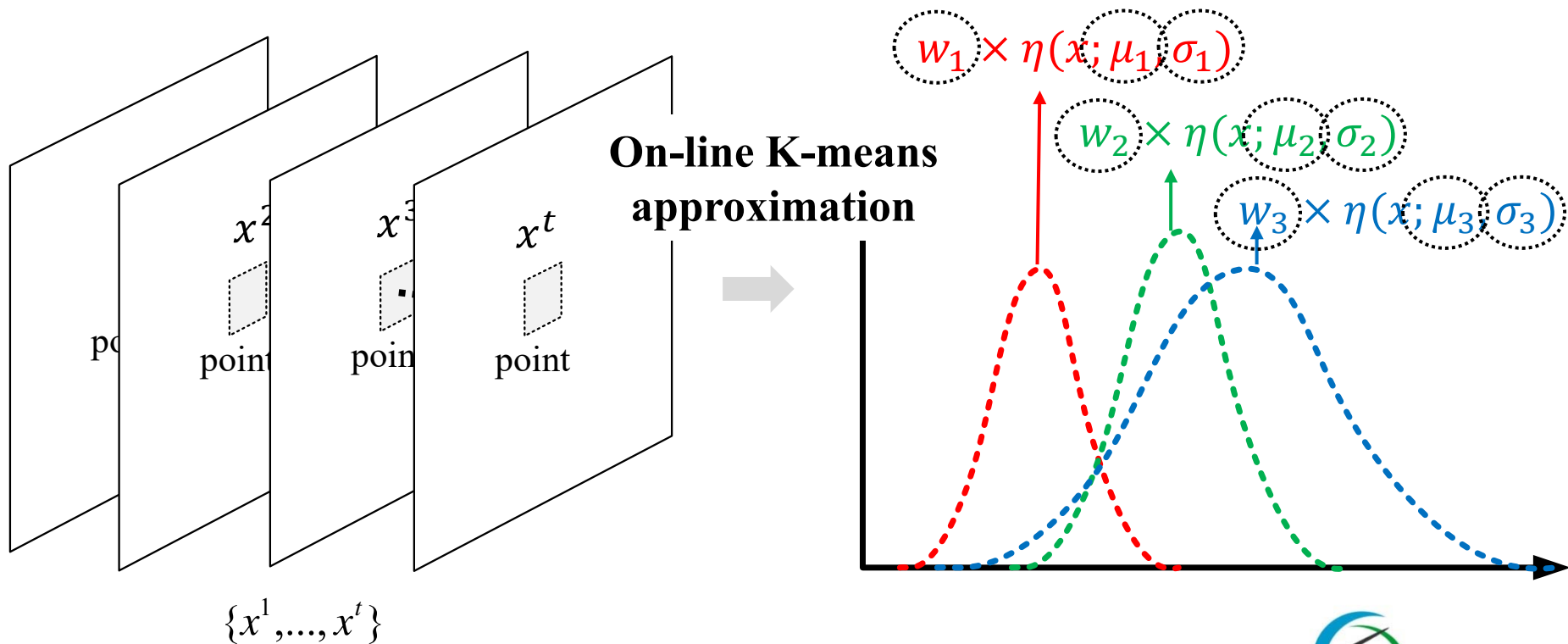


# Modeling Process

- Modeling Description
  - All image points are considered as be mutually independent
  - The intensity distribution of **every point** at any time  $t$  is modeled as a GMM.
  - For computation purpose, an **on-line K-means approximation** is used for modeling.

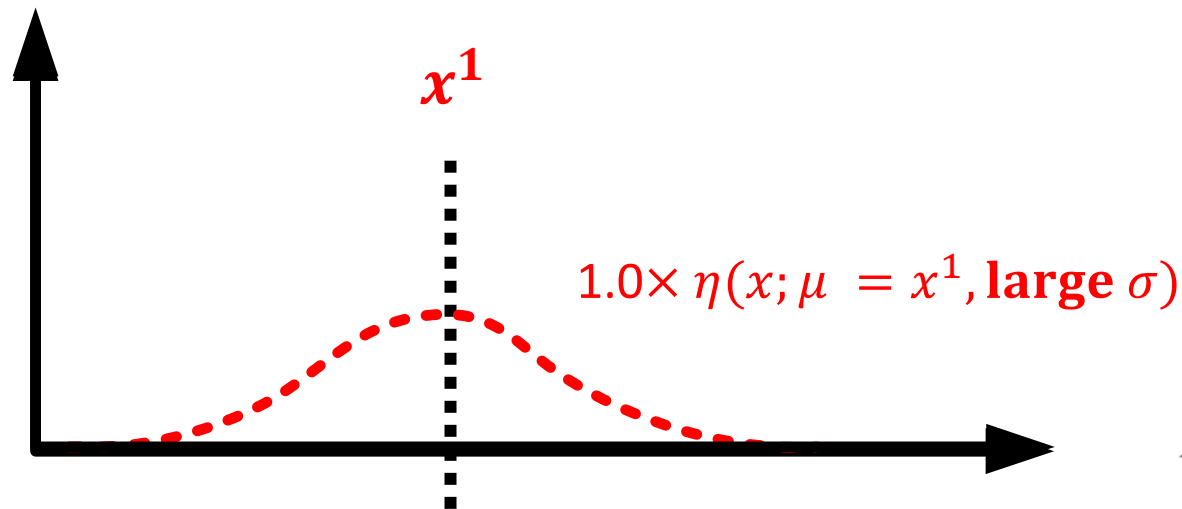
# Modeling Process

- Modeling Description



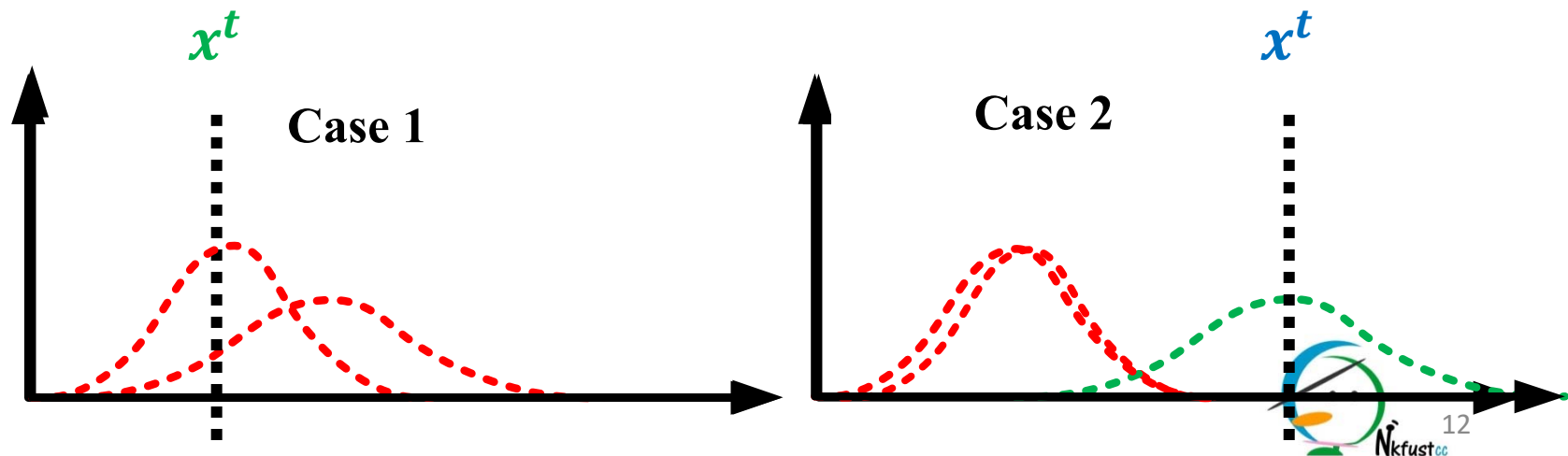
# Modeling Process

- On-line K-means approximation
  - **Initialization:** create a Gaussian  $\eta$  to model first observation  $x^1$ 
    - $w = 1.0$
    - $\mu = x^1$  and large  $\sigma$



# Modeling Process

- On-line K-means approximation
  - **Iterative Step:** check if any Gaussians will generate current observation  $x^t$  (match)
    - **Case 1 (at least one match):** update all matched ones
    - **Case 2 (no match):** create a Gaussian to model  $x^t$



# Modeling Process

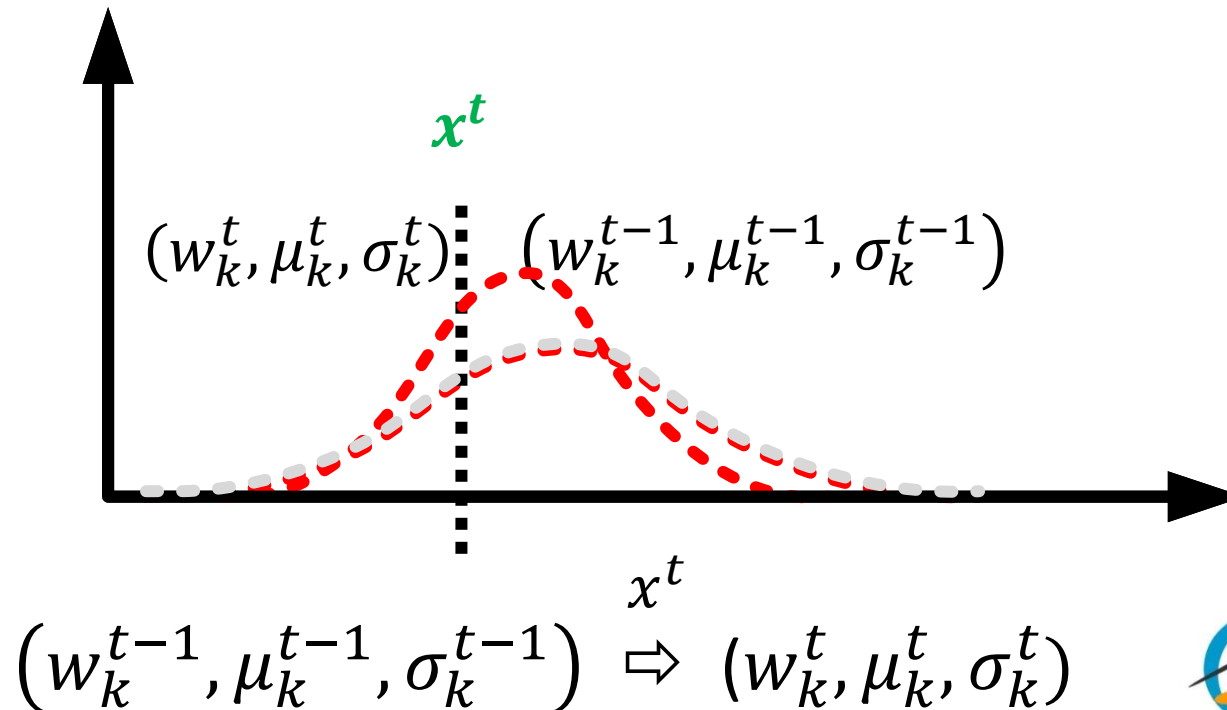
## Matching Definition $M_k(x^t)$

- A match of  $x^t$  to the  $k$ th Gaussian  $\eta(x; \mu_k^t, \sigma_k^t)$  is defined as within 2.5 standard deviations.

$$M_k(x^t) = \begin{cases} 1 & \text{if } \frac{(x^t - \mu_k^t)}{\sigma_k^t} \leq 2.5 \\ 0 & \text{otherwise} \end{cases}$$

# Modeling Process

- Case 1: Updating Model
  - update parameters of all matched Gaussians by  $x^t$



# Modeling Process

- Case 1: Updating Model

$$x^t = \bar{x}^t = 110$$

$$(w_k^{t-1}, u_k^{t-1}, \sigma_k^{t-1}) \Rightarrow (w_k^t, u_k^t, \sigma_k^t)$$

- Weight:  $w_k^t = (1 - \alpha)w_k^{t-1} + \alpha$  **Learning Rate (Constant)**

$$\alpha = 0.01 \Rightarrow w_k^t = (1 - 0.01) \times 0.3 + 0.01$$

$$\Rightarrow w_k^t = 0.307$$

# Modeling Process

- Case 1: Updating Model

$$x^t = 110$$

$$(0.3, 100.0, 16.0) \Rightarrow (0.307, \mu_k^t, \sigma_k^t)$$

- Mean Value:  $\mu_k^t = (1 - \rho_k)\mu_k^{t-1} + \rho_k x^t$

$$\rho_k = 0.001 \times \eta(x^t = 110; 100.0, 16.0)$$

$$\rho_k = \alpha \times \eta(x^t; \mu_k^{t-1}, \sigma_k^{t-1})$$

$$= 0.01 \times \frac{1}{\sqrt{2\pi} \times 16.0} \exp\left(-\left(\frac{110.0 - 100.0}{16.0}\right)^2\right)$$



# Modeling Process

- Case 1: Updating Model

$$x^t = 110$$
$$(0.3, 100.0, 16.0) \Rightarrow (0.307, 100.0017, \sigma_k^t)$$

- Mean Value:  $\mu_k^t = (1 - \rho_k)\mu_k^{t-1} + \rho_k x^t$

$$\rho_k = 0.00017$$

$$\begin{aligned}\mu_k^t &= (1 - 0.00017) \times 100.0 + 0.00017 \times 110 \\ &= 100.0017\end{aligned}$$

# Modeling Process

- Case 1: Updating Model

$$x^t = 110$$

$$(0.3, 100.0, 16.0) \Rightarrow (0.307, 100.0017, 15.986)$$

- Standard Deviation:

$$(\sigma_k^t)^2 = (1 - \rho_k)(\sigma_k^{t-1})^2 + \rho_k(x^t - \mu_k^t)^2$$

$$(\sigma_k^t)^2 = 15.986^2 - 0.00017 \times (16.0)^2$$

$$+ 0.00017 \times (110 - 100.0017)^2$$

# Modeling Process

- Case 2: Creating Model
  - create a new Gaussian if no Gaussians match  $x^t$ 
    - low weight
    - $\mu = x^t$  and large  $\sigma$
  - remove the Gaussian with least weight if there are already  $K$  Gaussians.
  - add the created Gaussian as one of  $K$  Gaussians.

# Subtraction Process

- Background Selection
  - sort  $K$  Gaussians in a descending order with respect to  $w/\sigma$
  - select first  $B$  Gaussians as background models

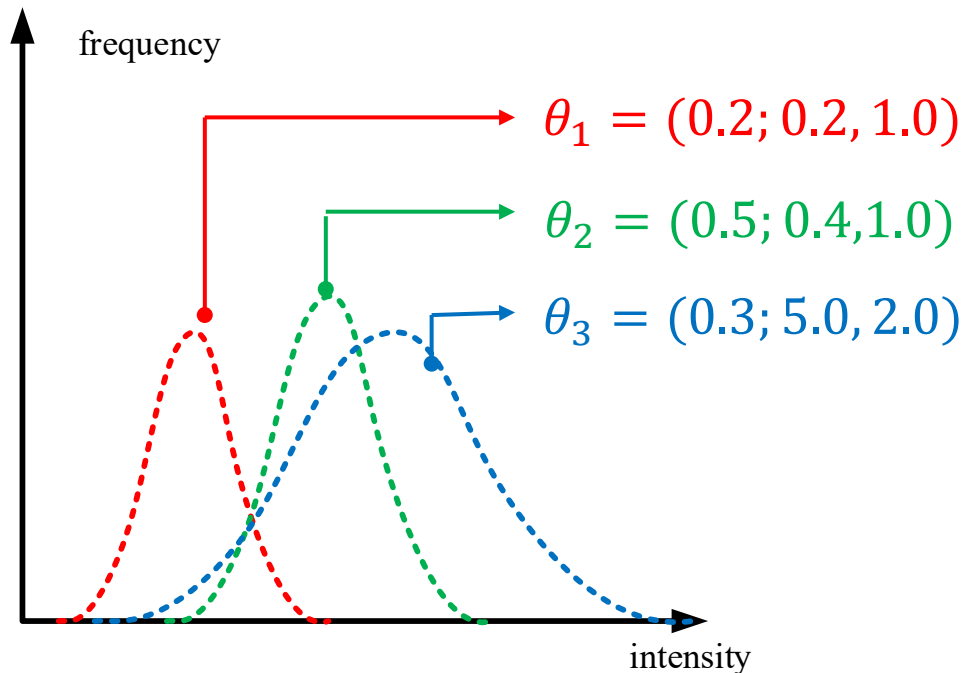
$$B = \arg \min_b \left( \sum_{k=1}^b w_k > T_B \right)$$

- $T_B$ : selection threshold

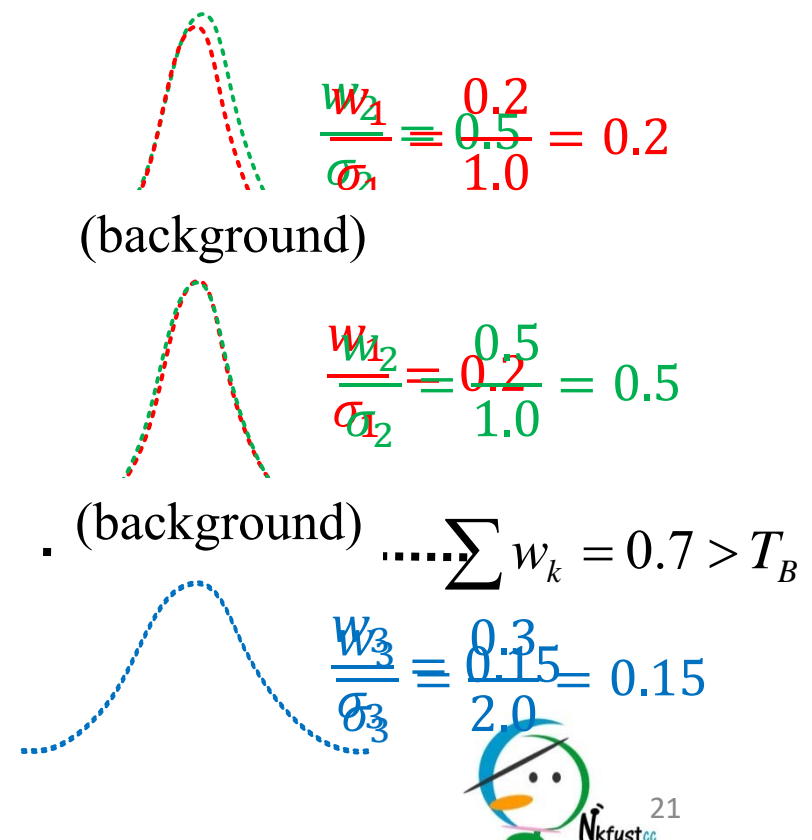
# Subtraction Process

- Background Selection ( $T_B = 0.6$ )

$$B = \arg \min_b \left( \sum_{k=1}^b w_k > T_B \right)$$



sorting  
 $B=2$



# Subtraction Process

- Point Labelling ( $L(x)$ )
  - $L(x) = B$ : at least one of background Gaussians is matched.
  - $L(x) = F$ : none of backgrounds are matched

