

$$\text{or } C_{\infty} = B \frac{S}{N} \log_2 e \text{ bits/sec} \quad \dots (4.108)$$

SHANNON'S LIMIT :

We define an "*ideal system*" as one that transmits data at a bit rate R_b equal to the channel capacity C . We may then express the average transmitted power as

$$S = E_b C \quad \dots (4.109)$$

Where, E_b = transmitted energy per bit in joules.

Using $N = \eta B$ and $S = E_b C$ in equation (4.107), we get for an ideal system

$$C = B \log_2 \left(1 + \frac{E_b C}{\eta B} \right)$$

$$\text{or } \frac{C}{B} = \log_2 \left(1 + \frac{E_b C}{\eta B} \right) \quad \dots (4.110)$$

The quantity $\left(\frac{C}{B} \right)$ is called "*Bandwidth-efficiency*" and the quantity (E_b/η) is given by

$$\frac{E_b}{\eta} = \frac{2^{\frac{C}{B}} - 1}{(C/B)} \quad \dots (4.111)$$

When (R_b/B) is plotted as a function of (E_b/η) , we get the bandwidth-efficiency diagram which is shown in figure 4.3. The resulting curve represents the capacity boundary for



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$R = C$ corresponding to equation (4.111). Based on this diagram, the following observations are made:

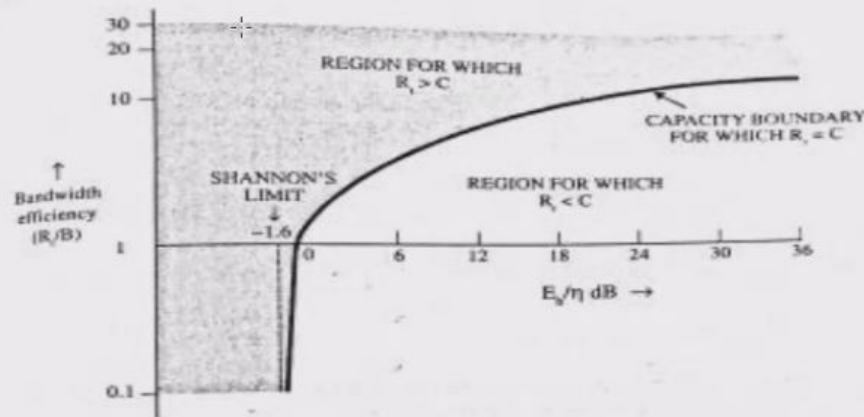


Fig. 4.3 : Illustrating Bandwidth-efficiency diagram

1. For infinite bandwidth, the value.

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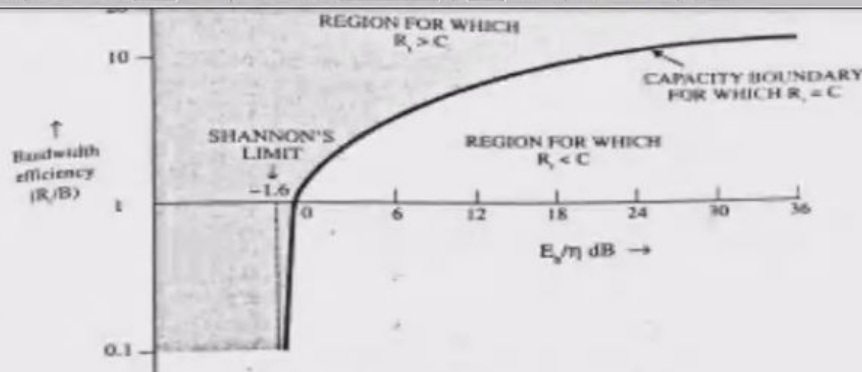


Fig. 4.3 : Illustrating Bandwidth-efficiency diagram

For infinite bandwidth, the signal energy-to-noise ratio E_b/η approaches the limiting value.

$$\left(\frac{E_b}{\eta}\right)_{\infty} = \lim_{B \rightarrow \infty} \left(\frac{E_b}{\eta}\right) = \lim_{B \rightarrow \infty} \left[\frac{2^{C/B} - 1}{(C/B)} \right]$$

Let $\frac{C}{B} = x$. As $B \rightarrow \infty$, $x \rightarrow 0$

$$\therefore \left(\frac{E_b}{\eta}\right)_{\infty} = \lim_{x \rightarrow 0} \left[\frac{2^x - 1}{x} \right] \quad \dots (4.112)$$

Using L'Hospital Rule, the above limit can be evaluated as below:

Let $y = 2^x$

Taking \ln on both sides

$$\ln y = x \ln 2$$

$$\text{Differentiating, } \frac{1}{y} dy = (\ln 2) dx \quad \dots (4.113)$$

$$\therefore \frac{dy}{dx} = y (\ln 2) = 2^x (\ln 2)$$

Differentiating both numerator and denominator of the RHS of equation (4.112) with respect to 'x' and get

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$$\begin{aligned} \left(\frac{E_b}{\eta} \right)_{\infty} &= \lim_{x \rightarrow 0} \left[\frac{\frac{d}{dx}(2^x - 1)}{\frac{d}{dx}(x)} \right] \\ &= \lim_{x \rightarrow 0} \left[\frac{2^x (\ln 2)}{1} \right] \text{ by using equation (4.113)} \\ &= 2^0 \ln 2 \end{aligned}$$

$$\therefore \left(\frac{E_b}{\eta} \right)_{\infty} = \ln 2 = 0.693$$

$$\text{or } \left(\frac{E_b}{\eta} \right)_{\infty} \text{ in dB} = 10 \log_{10}(0.693)$$

$$\therefore \left(\frac{E_b}{\eta} \right)_{\infty} \text{ in dB} \approx -1.6 \text{ dB}$$

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$$\therefore \left(\frac{E_b}{\eta} \right)_{\infty} = \ln 2 = 0.693$$

$$\text{or } \left(\frac{E_b}{\eta} \right)_{\infty} \text{ in dB} = 10 \log_{10}(0.693)$$

$$\therefore \left(\frac{E_b}{\eta} \right)_{\infty} \text{ in dB} \cong -1.6 \text{ dB} \quad \dots\dots (4.114)$$

This value of -1.6 dB is called the “**Shannon’s Limit**”. The corresponding value of channel capacity is given by equation (4.108) as

$$C_{\infty} = B \frac{S}{N} \log_2 e \text{ bits/sec}$$

2. The capacity boundary, defined by the curve for critical bit rate $R_i = C$, separates combinations of system parameters that have the potential for supporting error free transmission ($R_i < C$) from those for which error-free transmission is not possible ($R_i > C$). The latter region is shown using dots in figure 4.3.
3. The diagram of figure 4.3 highlights trade-offs between (E_b/η) and (R_i/B) . This is discussed in a difficult aspect in the 2nd implication of Shannon-Hartley law.

2nd Implication :

Bandwidth - (S/N) Trade Off :

An important implication of the Shannon-Hartley law is the trade-off between signal to noise power spectral density and bandwidth as given below :

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exchange of bandwidth



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2. The capacity boundary, defined by the curve for critical bit rate $R_i = C$, separates combinations of system parameters that have the potential for supporting error free transmission ($R_i < C$) from those for which error-free transmission is not possible ($R_i > C$). The latter region is shown using dots in figure 4.3.
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2nd Implication :

Bandwidth - (S/N) Trade Off :

An important implication of Shannon-Hartley law is the exchange of bandwidth with signal to noise power ratio and vice-versa as given below :

Suppose $\left(\frac{S_i}{N_i}\right) = 7$ and $B_i = 4$ KHz.

$$\begin{aligned}\therefore \text{Channel capacity } C_i &= B_i \log \left(1 + \frac{S_i}{N_i}\right) \\ &= 4 \times 10^3 \log (1 + 7) \\ &= 12 \times 10^3 \text{ bits/sec.}\end{aligned}$$

Keeping the channel capacity C_2 same as C_1 and if signal-to-noise ratio is increased then



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$$\begin{aligned} C_2 &= C_1 = 12 \times 10^3 = B_2 \log \left(1 + \frac{S_2}{N_2} \right) \\ &= B_2 \log (1 + 15) \\ \therefore B_2 &= 3 \text{ KHz} \end{aligned}$$

Since the noise power $N = \eta B$, as the bandwidth gets reduced from 4 to 3 KHz, the noise also decreases indicating an increase in signal power as shown below.

$$\text{We have } N_1 = \eta B_1 = (\eta) (4 \text{ KHz})$$

$$\text{and } N_2 = \eta B_2 = (\eta) (3 \text{ KHz})$$

$$\text{Consider } \frac{(S_2/N_2)}{(S_1/N_1)} = \frac{15}{7}$$

$$\therefore \frac{S_2}{S_1} = \frac{15N_2}{7N_1} = \frac{(15)(\eta)(3 \text{ KHz})}{(7)(\eta)(4 \text{ KHz})} = \frac{45}{28} \approx 1.6$$

Thus a 25% reduction in bandwidth from 4 KHz to 3 KHz requires a 60% approximate increase in signal power for maintaining the same channel capacity. Let us look into the exact significance by drawing the "trade-off curve".

From Shannon-Hartley law

$$\frac{B}{C} = \frac{1}{\log_2 \left(1 + \frac{S}{N} \right)} \quad \dots\dots (4.115)$$

The values of (B/C) for different values of (S/N) are listed in table 4.1 below :

$\frac{S}{N}$	0.5	1	2	5	10	15	20	30
$\frac{B}{C}$	1.7	1.0	0.7	0.4	0.3	0.25	0.22	0.18



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and $N_2 = \eta B_2 = (\eta) (3 \text{ KHz})$
 Consider $\frac{(S_2/N_2)}{(S_1/N_1)} = \frac{15}{7}$

$$\therefore \frac{S_2}{S_1} = \frac{15N_2}{7N_1} = \frac{(15)(\eta)(3 \text{ KHz})}{(7)(\eta)(4 \text{ KHz})} = \frac{45}{28} = 1.6$$

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The values of (B/C) for different values of (S/N) are listed in table 4.1 below :

$\frac{S}{N}$	0.5	1	2	5	10	15	20	30
$\frac{B}{C}$	1.71	1	0.63	0.37	0.289	0.25	0.23	0.2

Table 4.1 : Table of values of (B/C) for different values of (S/N)

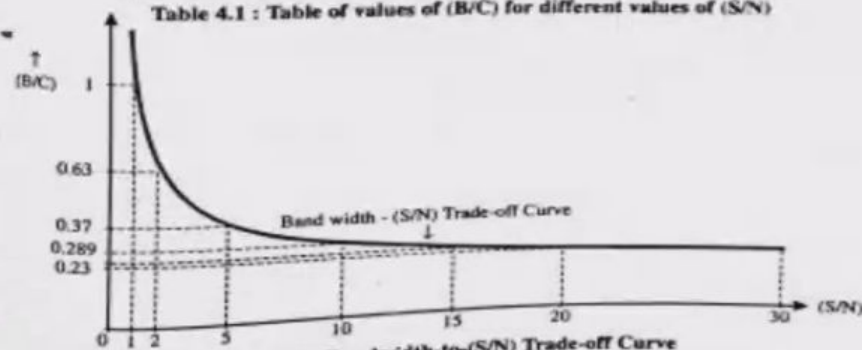


Fig. 4.4 : Bandwidth-to-(S/N) Trade-off Curve

Figure 4.4 above

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S/N). Using this trade-off

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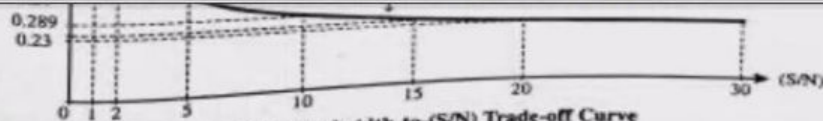


Fig. 4.4 : Bandwidth-to-(S/N) Trade-off Curve

Figure 4.4 above shows a plot of (B/C) as a function of (S/N) . Using this trade-off curve the same channel capacity may be obtained by increasing bandwidth if (S/N) is small. Furthermore, the curve also indicates that there exists a threshold point at around $(S/N) \approx 10$ up to which the exchange rate of bandwidth with (S/N) is advantageous. Beyond $(S/N) \approx 10$, the reduction in B with increasing (S/N) is very poor. FM, PM and PCM systems including DM and ADM systems require larger bandwidths with reasonably good (S/N) ratio.

Ex.1:

Alphanumeric data are entered into a computer from a remote terminal through a voice-grade telephone channel. The channel has a bandwidth of 3.4 KHz, and output signal-to-noise ratio of 20 dB. The terminal has a total of 128 symbols. Assume that the symbols are equiprobable and the successive transmissions are statistically independent.

- Calculate channel capacity.
- Find the average information content per character.
- Calculate the maximum symbol rate for which error-free transmission over the is possible.

