

# Lecture 6: The Cook-Levin Theorem

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- That SAT is NP-hard.

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- Proving NP-hardness by reduction from SAT.

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## Theorem

*SAT is NP-complete.*

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- That SAT is NP-hard.
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## Theorem

*SAT is NP-complete.*

Last lecture, we saw The Cook-Levin theorem part I: SAT is in NP.

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

## Proof (Cont.)

Let  $M$  be a NDTM for  $L$ , let  $w_0 w_1 \dots w_{n-1}$  be the input, and suppose that  $M$  is guaranteed to terminate within  $N = n^{O(1)}$  steps.

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

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---

**Step**

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

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Step	State
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# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

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Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$
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# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

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Let  $M$  be a NDTM for  $L$ , let  $w_0 w_1 \dots w_{n-1}$  be the input, and suppose that  $M$  is guaranteed to terminate within  $N = n^{O(1)}$  steps. We first start with visualizing one possible computation:

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
------	-------	------	-------	-------	-------	-----	-----------	-------	-----	-------

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Let  $M$  be a NDTM for  $L$ , let  $w_0 w_1 \dots w_{n-1}$  be the input, and suppose that  $M$  is guaranteed to terminate within  $N = n^{O(1)}$  steps. We first start with visualizing one possible computation:

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0										

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

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Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$									

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

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Let  $M$  be a NDTM for  $L$ , let  $w_0 w_1 \dots w_{n-1}$  be the input, and suppose that  $M$  is guaranteed to terminate within  $N = n^{O(1)}$  steps. We first start with visualizing one possible computation:

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0								

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Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$			

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

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Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$



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Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$

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Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$

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0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

## Proof (Cont.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

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## Proof (Cont.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

We define binary variable sets:

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

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Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

We define binary variable sets:

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$ :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .

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## Proof (Cont.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$w_n$		$w_N$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
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Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

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# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

## Proof (Cont.)

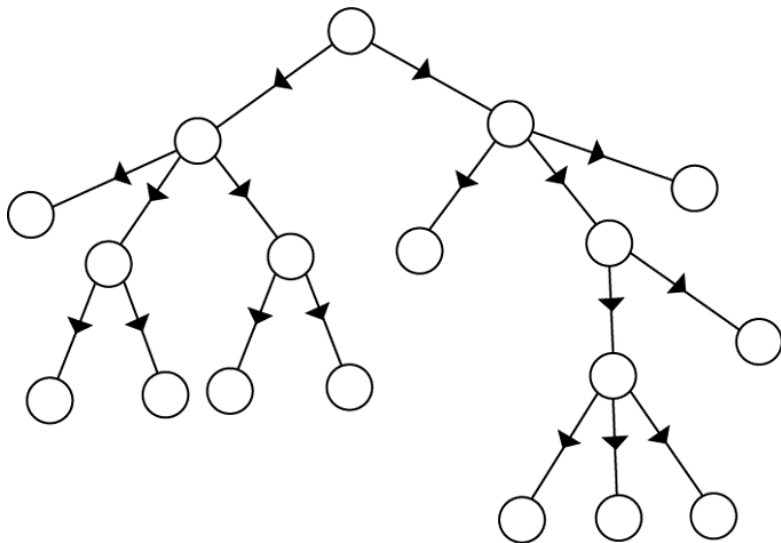
Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$w_n$		$w_N$
0	$q_0$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_1$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_2$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_N$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

We define binary variable sets:

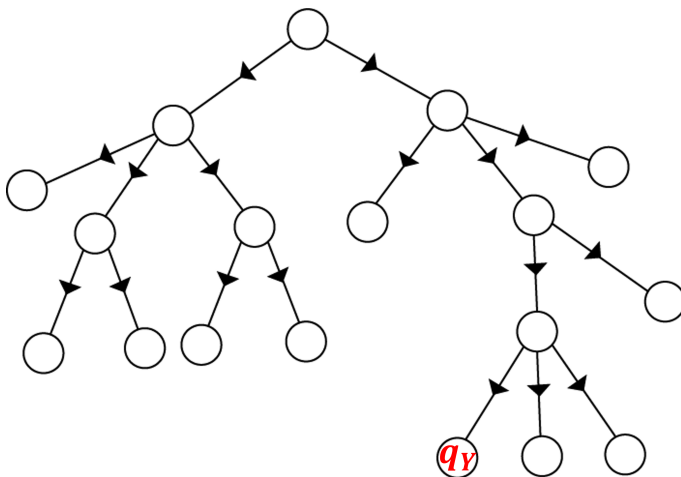
- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$ :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$ :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$ :  $z_{t,h}$  is true if  $h_t = h$ .

Goal: constructing a CNF formula  $\phi$  that is satisfiable  $\iff M$  accepts  $w$ .

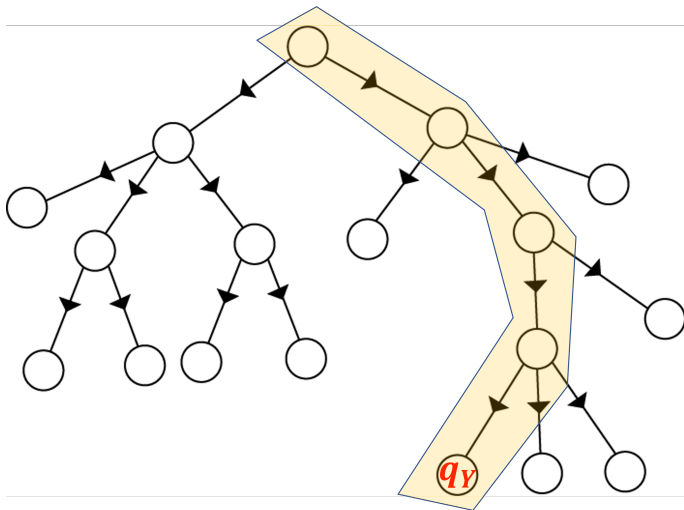
# An NDTM configuration tree



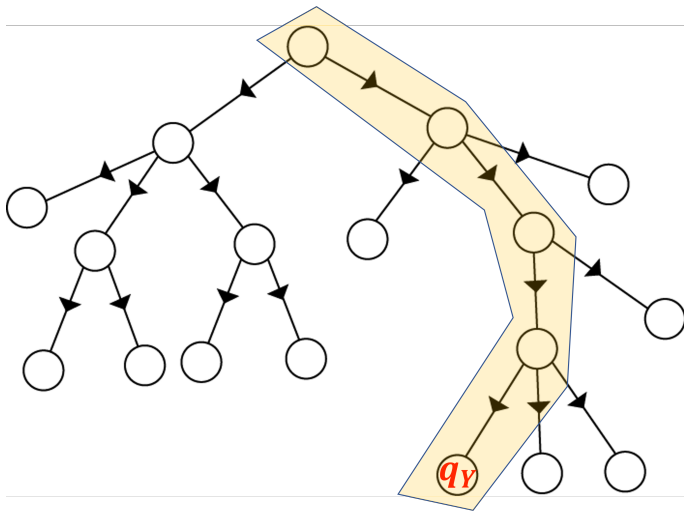
# An NDTM configuration tree



# An NDTM configuration tree



# An NDTM configuration tree



A formula's satisfying assignment corresponds to an accepting path.

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

## Proof (Cont.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

We define binary variable sets:

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
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Goal: constructing a CNF formula  $\phi$  that is satisfiable  $\iff M$  accepts  $w$ .

Strategy:  $\phi = \phi_1 \wedge \phi_2 \wedge \phi_3 \wedge \phi_4$ , where:

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

## Proof (Cont.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

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Goal: constructing a CNF formula  $\phi$  that is satisfiable  $\iff M$  accepts  $w$ .

Strategy:  $\phi = \phi_1 \wedge \phi_2 \wedge \phi_3 \wedge \phi_4$ , where:

- $\phi_1$  is true if the first row to correspond to the initial state.

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

## Proof (Cont.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

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- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

Goal: constructing a CNF formula  $\phi$  that is satisfiable  $\iff M$  accepts  $w$ .

Strategy:  $\phi = \phi_1 \wedge \phi_2 \wedge \phi_3 \wedge \phi_4$ , where:

- $\phi_1$  is true if the first row to correspond to the initial state.
- $\phi_2$  is true if we reach  $q_Y$ .



# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

## Proof (Cont.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$w_n$		$w_N$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

We define binary variable sets:

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

Goal: constructing a CNF formula  $\phi$  that is satisfiable  $\iff M$  accepts  $w$ .

Strategy:  $\phi = \phi_1 \wedge \phi_2 \wedge \phi_3 \wedge \phi_4$ , where:

- $\phi_1$  is true if the first row to correspond to the initial state.
- $\phi_2$  is true if we reach  $q_Y$ .
- $\phi_3$  is true if each cell has exactly one value.

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

## Proof (Cont.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

We define binary variable sets:

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

Goal: constructing a CNF formula  $\phi$  that is satisfiable  $\iff M$  accepts  $w$ .

Strategy:  $\phi = \phi_1 \wedge \phi_2 \wedge \phi_3 \wedge \phi_4$ , where:

- $\phi_1$  is true if the first row to correspond to the initial state.
- $\phi_2$  is true if we reach  $q_Y$ .
- $\phi_3$  is true if each cell has exactly one value.
- $\phi_4$  is true if each line but the last can yield the next one.

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_1$  is true if the first row corresponds to the initial state.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$\phi_1 =$

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_1$  is true if the first row correspond to the initial state.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\phi_1 = y_{0,q_0} \wedge$$

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_1$  is true if the first row corresponds to the initial state.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\phi_1 = y_{0,q_0} \wedge z_{0,0} \wedge$$

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_1$  is true if the first row correspond to the initial state.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\phi_1 = y_{0,q_0} \wedge z_{0,0} \wedge \left( \bigwedge_{0 \leq i \leq n-1} x_{0,i,w_i} \right) \wedge$$

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_1$  is true if the first row correspond to the initial state.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\sqcup$		$\sqcup$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\phi_1 = y_{0,q_0} \wedge z_{0,0} \wedge \left( \bigwedge_{0 \leq i \leq n-1} x_{0,i,w_i} \right) \wedge \left( \bigwedge_{n \leq i \leq N} x_{0,i,\sqcup} \right) .$$

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_1$  is true if the first row correspond to the initial state.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\sqcup$		$\sqcup$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\phi_1 = y_{0,q_0} \wedge z_{0,0} \wedge \left( \bigwedge_{0 \leq i \leq n-1} x_{0,i,w_i} \right) \wedge \left( \bigwedge_{n \leq i \leq N} x_{0,i,\sqcup} \right) .$$

How big is  $\phi_1$ ?



# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_1$  is true if the first row corresponds to the initial state.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\sqcup$		$\sqcup$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\phi_1 = y_{0,q_0} \wedge z_{0,0} \wedge \left( \bigwedge_{0 \leq i \leq n-1} x_{0,i,w_i} \right) \wedge \left( \bigwedge_{n \leq i \leq N} x_{0,i,\sqcup} \right) .$$

How big is  $\phi_1$ ?  $O(N) = n^{O(1)}$ .

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_2$  is true if we reach  $q_Y$ .)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$								$\ddots$		
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\phi_2 =$$

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_2$  is true if we reach  $q_Y$ .)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\phi_2 = \left( \bigvee_{0 \leq t \leq N} y_{t,q_Y} \right) .$$

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_2$  is true if we reach  $q_Y$ .)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\phi_2 = \left( \bigvee_{0 \leq t \leq N} y_{t,q_Y} \right) .$$

How big is  $\phi_2$ ?

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_2$  is true if we reach  $q_Y$ .)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\phi_2 = \left( \bigvee_{0 \leq t \leq N} y_{t,q_Y} \right) .$$

How big is  $\phi_2$ ?

$$O(N) = n^{O(1)}.$$

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_3$  is true if each cell has exactly one value.)

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
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- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\phi_3 = \bigwedge_{0 \leq t, i \leq N}$$

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- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\phi_3 = \bigwedge_{0 \leq t, i \leq N} \left( \left( \bigvee_{\gamma \in \Gamma} x_{t,i,\gamma} \right) \wedge \right.$$



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- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\phi_3 = \bigwedge_{0 \leq t, i \leq N} \left( \left( \bigvee_{\gamma \in \Gamma} x_{t,i,\gamma} \right) \wedge \left( \bigwedge_{\gamma, \gamma' \in \Gamma, \gamma \neq \gamma'} (\overline{x_{t,i,\gamma}} \vee \overline{x_{t,i,\gamma'}}) \right) \right)$$

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- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\begin{aligned} \phi_3 = & \bigwedge_{0 \leq t \leq N} \left( \left( \bigvee_{\gamma \in \Gamma} x_{t,i,\gamma} \right) \wedge \left( \bigwedge_{\gamma, \gamma' \in \Gamma, \gamma \neq \gamma'} (\overline{x_{t,i,\gamma}} \vee \overline{x_{t,i,\gamma'}}) \right) \right) \\ & \bigwedge_{0 \leq t \leq N} \left( \left( \bigvee_{s \in Q} y_{t,s} \right) \wedge \left( \bigwedge_{s, s' \in Q, s \neq s'} (\overline{y_{t,s}} \vee \overline{y_{t,s'}}) \right) \right) \\ & \bigwedge_{0 \leq t \leq N} \left( \left( \bigvee_{0 \leq h \leq N} z_{t,h} \right) \wedge \left( \bigwedge_{0 \leq h, h' \leq N, h \neq h'} (\overline{z_{t,h}} \vee \overline{z_{t,h'}}) \right) \right) . \end{aligned}$$

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- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\begin{aligned} \phi_3 = & \bigwedge_{0 \leq t \leq N} \left( \left( \bigvee_{\gamma \in \Gamma} x_{t,i,\gamma} \right) \wedge \left( \bigwedge_{\gamma, \gamma' \in \Gamma, \gamma \neq \gamma'} (\overline{x_{t,i,\gamma}} \vee \overline{x_{t,i,\gamma'}}) \right) \right) \\ & \bigwedge_{0 \leq t \leq N} \left( \left( \bigvee_{s \in Q} y_{t,s} \right) \wedge \left( \bigwedge_{s, s' \in Q, s \neq s'} (\overline{y_{t,s}} \vee \overline{y_{t,s'}}) \right) \right) \\ & \bigwedge_{0 \leq t \leq N} \left( \left( \bigvee_{0 \leq h \leq N} z_{t,h} \right) \wedge \left( \bigwedge_{0 \leq h, h' \leq N, h \neq h'} (\overline{z_{t,h}} \vee \overline{z_{t,h'}}) \right) \right) . \end{aligned}$$

How big is  $\phi_3$ ?

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_3$  is true if each cell has exactly one value.)

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\begin{aligned} \phi_3 = & \bigwedge_{0 \leq t \leq N} \left( \left( \bigvee_{\gamma \in \Gamma} x_{t,i,\gamma} \right) \wedge \left( \bigwedge_{\gamma, \gamma' \in \Gamma, \gamma \neq \gamma'} (\overline{x_{t,i,\gamma}} \vee \overline{x_{t,i,\gamma'}}) \right) \right) \\ & \bigwedge_{0 \leq t \leq N} \left( \left( \bigvee_{s \in Q} y_{t,s} \right) \wedge \left( \bigwedge_{s, s' \in Q, s \neq s'} (\overline{y_{t,s}} \vee \overline{y_{t,s'}}) \right) \right) \\ & \bigwedge_{0 \leq t \leq N} \left( \left( \bigvee_{0 \leq h \leq N} z_{t,h} \right) \wedge \left( \bigwedge_{0 \leq h, h' \leq N, h \neq h'} (\overline{z_{t,h}} \vee \overline{z_{t,h'}}) \right) \right) . \end{aligned}$$

How big is  $\phi_3$ ?  $O(N^3) = n^{O(1)}$ .

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_4$  is true if each line but the last can yield the next one.)

Step	State	Head	$\Upsilon_0$	$\Upsilon_1$	$\Upsilon_2$	...	$\Upsilon_{n-1}$	$\Upsilon_n$	...	$\Upsilon_N$
0	$q_0$	U	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\square$		$\square$
0	$q_0$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_0$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_0$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
:										
N	$q_n$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_4$  is true if each line but the last can yield the next one.)

Step	State	Head	$\Upsilon_0$	$\Upsilon_1$	$\Upsilon_2$	...	$\Upsilon_{n-1}$	$\Upsilon_n$	...	$\Upsilon_N$
0	$q_0$	U	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\square$		$\square$
0	$q_0$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_0$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_0$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
:										
N	$q_n$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$ :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$ :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$ :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_4$  is true if each line but the last can yield the next one.)

Step	State	Head	$\Upsilon_0$	$\Upsilon_1$	$\Upsilon_2$	...	$\Upsilon_{n-1}$	$\Upsilon_n$	...	$\Upsilon_N$
0	$q_0$	U	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\square$		$\square$
0	$q_0$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_0$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_0$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
:										
N	$q_n$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$ :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$ :  $y_{t,s}$  is true if  $q_{it} = s$ .
- For all  $t, h \in \{0, \dots, N\}$ :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{\text{th}}$  transition follows this rule.

Let us look at time  $t \in \{0, \dots, N-1\}$ , and break down  $\phi_4 = \phi_5 \wedge \phi_6 \wedge \phi_7 \wedge \phi_8 \wedge \phi_9$  into

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_4$  is true if each line but the last can yield the next one.)

Step	State	Head	$\Upsilon_0$	$\Upsilon_1$	$\Upsilon_2$	...	$\Upsilon_{n-1}$	$\Upsilon_n$	...	$\Upsilon_N$
0	$q_0$	U	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\square$		$\square$
0	$q_0$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_0$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_0$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
:										
N	$q_n$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$ :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$ :  $y_{t,s}$  is true if  $q_{it} = s$ .
- For all  $t, h \in \{0, \dots, N\}$ :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{\text{th}}$  transition follows this rule.

Let us look at time  $t \in \{0, \dots, N-1\}$ , and break down  $\phi_4 = \phi_5 \wedge \phi_6 \wedge \phi_7 \wedge \phi_8 \wedge \phi_9$  into

- $\phi_5$  each transition is valid from its starting configuration.



# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_4$  is true if each line but the last can yield the next one.)

Step	State	Head	$\Upsilon_0$	$\Upsilon_1$	$\Upsilon_2$	...	$\Upsilon_{n-1}$	$\Upsilon_n$	...	$\Upsilon_N$
0	$q_0$	U	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\square$		$\square$
0	$q_0$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_0$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_0$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
:									...	
N	$q_n$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{it} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

Let us look at time  $t \in \{0, \dots, N-1\}$ , and break down  $\phi_4 = \phi_5 \wedge \phi_6 \wedge \phi_7 \wedge \phi_8 \wedge \phi_9$  into

- $\phi_5$  each transition is valid from its starting configuration.
- $\phi_6$  ensures that all the tape is maintained, possibly expect location  $h_t$ .

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_4$  is true if each line but the last can yield the next one.)

Step	State	Head	$\Upsilon_0$	$\Upsilon_1$	$\Upsilon_2$	...	$\Upsilon_{n-1}$	$\Upsilon_n$	...	$\Upsilon_N$
0	$q_0$	0	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_0$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_0$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
:										
$N$	$q_n$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$ :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$ :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$ :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

Let us look at time  $t \in \{0, \dots, N-1\}$ , and break down  $\phi_4 = \phi_5 \wedge \phi_6 \wedge \phi_7 \wedge \phi_8 \wedge \phi_9$  into

- $\phi_5$  each transition is valid from its starting configuration.
- $\phi_6$  ensures that all the tape is maintained, possibly expect location  $h_t$ .
- $\phi_7$  establishes that location  $h_t$  is updated correctly.

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_4$  is true if each line but the last can yield the next one.)

Step	State	Head	$\gamma_0$	$\gamma_1$	$\gamma_2$	...	$\gamma_{n-1}$	$\gamma_n$	...	$\gamma_N$
0	$q_0$	U	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\square$		$\square$
0	$q_0$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_0$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_0$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
:										
N	$q_n$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$ :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$ :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$ :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

Let us look at time  $t \in \{0, \dots, N-1\}$ , and break down  $\phi_4 = \phi_5 \wedge \phi_6 \wedge \phi_7 \wedge \phi_8 \wedge \phi_9$  into

- $\phi_5$  each transition is valid from its starting configuration.
- $\phi_6$  ensures that all the tape is maintained, possibly expect location  $h_t$ .
- $\phi_7$  establishes that location  $h_t$  is updated correctly.
- $\phi_8$  verifies that the head is moving in the right direction.

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_4$  is true if each line but the last can yield the next one.)

Step	State	Head	$\Upsilon_0$	$\Upsilon_1$	$\Upsilon_2$	...	$\Upsilon_{n-1}$	$\Upsilon_n$	...	$\Upsilon_N$
0	$q_0$	0	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_0$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_0$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
:										
$N$	$q_n$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$ :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$ :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$ :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

Let us look at time  $t \in \{0, \dots, N-1\}$ , and break down  $\phi_4 = \phi_5 \wedge \phi_6 \wedge \phi_7 \wedge \phi_8 \wedge \phi_9$  into

- $\phi_5$  each transition is valid from its starting configuration.
- $\phi_6$  ensures that all the tape is maintained, possibly expect location  $h_t$ .
- $\phi_7$  establishes that location  $h_t$  is updated correctly.
- $\phi_8$  verifies that the head is moving in the right direction.
- $\phi_9$  checks that the state changes properly.

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_5$  each transition is valid from its starting configuration.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_0$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_5$  each transition is valid from its starting configuration.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\square$		$\square$
0	$q_0$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_1$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_2$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_N$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{it} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

$$\phi_5 = \left( \bigwedge_{\substack{0 \leq t, i \leq N-1 \\ \gamma, \gamma' \in \Gamma \\ s, s' \in Q \\ (s', \gamma', d) \in \delta(s, \gamma)}} \right)$$

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_5$  each transition is valid from its starting configuration.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\square$		$\square$
0	$q_0$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_1$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_2$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_N$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{it} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

$$\phi_5 = \left( \bigwedge_{\substack{0 \leq t, i \leq N-1 \\ \gamma, \gamma' \in \Gamma \\ s, s' \in Q \\ (s', \gamma', d) \in \delta(s, \gamma)}} \overline{r_{t,i,s,s',\gamma,\gamma',d}} \vee \right.$$

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_5$  each transition is valid from its starting configuration.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\square$		$\square$
0	$q_0$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_1$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_2$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_N$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{it} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

$$\phi_5 = \left( \bigwedge_{\substack{0 \leq t, i \leq N-1 \\ \gamma, \gamma' \in \Gamma \\ s, s' \in Q \\ (s', \gamma', d) \in \delta(s, \gamma)}} (\overline{r_{t,i,s,s',\gamma,\gamma',d}} \vee (x_{t,i,\gamma} \wedge y_{t,s} \wedge z_{t,i})) \right)$$



# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_5$  each transition is valid from its starting configuration.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_0$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

$$\phi_5 = \left( \bigwedge_{\substack{0 \leq t, i \leq N-1 \\ \gamma, \gamma' \in \Gamma \\ s, s' \in Q \\ (s', \gamma', d) \in \delta(s, \gamma)}} (\overline{r_{t,i,s,s',\gamma,\gamma',d}} \vee (x_{t,i,\gamma} \wedge y_{t,s} \wedge z_{t,i})) \right) \wedge \left( \bigwedge_{0 \leq t \leq N-1} \text{Exactly one } r_{t,i,s,s',\gamma,\gamma',d} \text{ is true.} \right)$$

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_5$  each transition is valid from its starting configuration.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\square$		$\square$
0	$q_0$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_1$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_2$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_N$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{it} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

$$\phi_5 = \left( \bigwedge_{\substack{0 \leq t, i \leq N-1 \\ \gamma, \gamma' \in \Gamma \\ s, s' \in Q \\ (s', \gamma', d) \in \delta(s, \gamma)}} (\overline{r_{t,i,s,s',\gamma,\gamma',d}} \vee (x_{t,i,\gamma} \wedge y_{t,s} \wedge z_{t,i})) \right) \wedge \left( \bigwedge_{0 \leq t \leq N-1} \text{Exactly one } r_{t,i,s,s',\gamma,\gamma',d} \text{ is true.} \right)$$

This is not a CNF!

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_5$  each transition is valid from its starting configuration.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_0$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_1$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_2$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$										
$N$	$q_N$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{it} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

$$\phi_5 = \left( \bigwedge_{\substack{0 \leq t, i \leq N-1 \\ \gamma, \gamma' \in \Gamma \\ s, s' \in Q \\ (s', \gamma', d) \in \delta(s, \gamma)}} (\overline{r_{t,i,s,s',\gamma,\gamma',d}} \vee (x_{t,i,\gamma} \wedge y_{t,s} \wedge z_{t,i})) \right) \wedge \left( \bigwedge_{0 \leq t \leq N-1} \text{Exactly one } r_{t,i,s,s',\gamma,\gamma',d} \text{ is true.} \right)$$

This is not a CNF! But we can use the fact that  $a \vee (b \wedge c \wedge d) = (a \vee b) \wedge (a \vee c) \wedge (a \vee d)$ .

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_5$  each transition is valid from its starting configuration.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_0$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_1$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_2$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
...										
$N$	$q_N$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{it} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

$$\phi_5 = \left( \bigwedge_{\substack{0 \leq t, i \leq N-1 \\ \gamma, \gamma' \in \Gamma \\ s, s' \in Q \\ (s', \gamma', d) \in \delta(s, \gamma)}} (\overline{r_{t,i,s,s',\gamma,\gamma',d}} \vee (x_{t,i,\gamma} \wedge y_{t,s} \wedge z_{t,i})) \right) \wedge \left( \bigwedge_{0 \leq t \leq N-1} \text{Exactly one } r_{t,i,s,s',\gamma,\gamma',d} \text{ is true.} \right)$$

This is not a CNF! But we can use the fact that  $a \vee (b \wedge c \wedge d) = (a \vee b) \wedge (a \vee c) \wedge (a \vee d)$ .

How big is  $\phi_5$ ?

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_5$  each transition is valid from its starting configuration.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_0$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_1$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_2$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
...										
$N$	$q_N$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$ :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$ :  $y_{t,s}$  is true if  $q_{it} = s$ .
- For all  $t, h \in \{0, \dots, N\}$ :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

$$\phi_5 = \left( \bigwedge_{\substack{0 \leq t, i \leq N-1 \\ \gamma, \gamma' \in \Gamma \\ s, s' \in Q \\ (s', \gamma', d) \in \delta(s, \gamma)}} (\overline{r_{t,i,s,s',\gamma,\gamma',d}} \vee (x_{t,i,\gamma} \wedge y_{t,s} \wedge z_{t,i})) \right) \wedge \left( \bigwedge_{0 \leq t \leq N-1} \text{Exactly one } r_{t,i,s,s',\gamma,\gamma',d} \text{ is true.} \right)$$

This is not a CNF! But we can use the fact that  $a \vee (b \wedge c \wedge d) = (a \vee b) \wedge (a \vee c) \wedge (a \vee d)$ .

How big is  $\phi_5$ ?  $O(N^3) = n^{O(1)}$ .

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_6$  ensures that all the tape is maintained, possibly expect location  $h_t$ .)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$								$\ddots$		
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_6$  ensures that all the tape is maintained, possibly expect location  $h_t$ .)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\phi_6 = \bigwedge_{\substack{0 \leq t, i \leq N-1 \\ \gamma \in \Gamma}} (\overline{z_{t,i}} \vee \overline{x_{t,i,\gamma}} \vee x_{t+1,i,\gamma})$$

How big is  $\phi_6$ ?

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_6$  ensures that all the tape is maintained, possibly expect location  $h_t$ .)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$								$\ddots$		
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

$$\phi_6 = \bigwedge_{\substack{0 \leq t, i \leq N-1 \\ \gamma \in \Gamma}} (\overline{z_{t,i}} \vee \overline{x_{t,i,\gamma}} \vee x_{t+1,i,\gamma})$$

How big is  $\phi_6$ ?  $O(N^2) = n^{O(1)}$ .



# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_7$  establishes that location  $h_t$  is updated correctly.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_7$  establishes that location  $h_t$  is updated correctly.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_7$  establishes that location  $h_t$  is updated correctly.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$ :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$ :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$ :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

$$\phi_7 = \bigwedge_{\substack{0 \leq t, i \leq N-1 \\ \gamma, \gamma' \in \Gamma \\ s, s' \in Q \\ (s', \gamma', d) \in \delta(s, \gamma)}} (\overline{r_{t,i,s,s',\gamma,\gamma',d}} \vee x_{t+1,i,\gamma'})$$

How big is  $\phi_7$ ?

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_7$  establishes that location  $h_t$  is updated correctly.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$ :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$ :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$ :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

$$\phi_7 = \bigwedge_{\substack{0 \leq t, i \leq N-1 \\ \gamma, \gamma' \in \Gamma \\ s, s' \in Q \\ (s', \gamma', d) \in \delta(s, \gamma)}} (\overline{r_{t,i,s,s',\gamma,\gamma',d}} \vee x_{t+1,i,\gamma'})$$

How big is  $\phi_7$ ?

$$O(N^2) = n^{O(1)}.$$

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_8$  verifies that the head is moving in the right direction.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_8$  verifies that the head is moving in the right direction.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

$$\phi_8 = \bigwedge_{\substack{0 \leq t, i \leq N-1 \\ \gamma, \gamma' \in \Gamma \\ s, s' \in Q \\ (s', \gamma', d) \in \delta(s, \gamma)}} (\overline{r_{t,i,s,s',\gamma,\gamma',d}} \vee z_{t+1,i+d})$$

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_8$  verifies that the head is moving in the right direction.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$ :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$ :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$ :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.

$$\phi_8 = \bigwedge_{\substack{0 \leq t, i \leq N-1 \\ \gamma, \gamma' \in \Gamma \\ s, s' \in Q \\ (s', \gamma', d) \in \delta(s, \gamma)}} (\overline{r_{t,i,s,s',\gamma,\gamma',d}} \vee z_{t+1,i+d})$$

How big is  $\phi_8$ ?

$$O(N^2) = n^{O(1)}.$$

# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

Proof ( $\phi_g$  checks that the state changes properly.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_{n-1}$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$  :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
- For all  $t \in \{0, \dots, N\}, s \in Q$  :  $y_{t,s}$  is true if  $q_{i_t} = s$ .
- For all  $t, h \in \{0, \dots, N\}$  :  $z_{t,h}$  is true if  $h_t = h$ .
- For all  $t, h \in \{0, \dots, N-1\}$  and  $s, s', \gamma, \gamma', d$  such that  $(s', \gamma', d) \in \delta(s, \gamma)$   
 $r_{t,h,s,s',\gamma,\gamma',d}$  is true if the  $t^{th}$  transition follows this rule.



# The Cook-Levin theorem part II: $L \in NP \implies L \leq_p SAT$

## Proof ( $\phi_g$ checks that the state changes properly.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
$N$	$q_{i_N}$	$h_N$	$w_{N,0}$	$w_{N,1}$	$w_{N,2}$		$w_{N,n-1}$	$w_{N,n}$		$w_{N,N}$

- For all  $t, i \in \{0, \dots, N\}, \gamma \in \Gamma$ :  $x_{t,i,\gamma}$  is true if  $w_{t,i} = \gamma$ .
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Proof ( $\phi_9$  checks that the state changes properly.)

Step	State	Head	$T_0$	$T_1$	$T_2$	...	$T_{n-1}$	$T_n$	...	$T_N$
0	$q_0$	0	$w_0$	$w_1$	$w_2$		$w_n$	$\square$		$\square$
0	$q_{i_0}$	$h_0$	$w_{0,0}$	$w_{0,1}$	$w_{0,2}$		$w_{0,n-1}$	$w_{0,n}$		$w_{0,N}$
1	$q_{i_1}$	$h_1$	$w_{1,0}$	$w_{1,1}$	$w_{1,2}$		$w_{1,n-1}$	$w_{1,n}$		$w_{1,N}$
2	$q_{i_2}$	$h_2$	$w_{2,0}$	$w_{2,1}$	$w_{2,2}$		$w_{2,n-1}$	$w_{2,n}$		$w_{2,N}$
$\vdots$									$\ddots$	
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How big is  $\phi_9$ ?

$$O(N^2) = n^{O(1)}.$$

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But did we make any mistake along the way?

Hint: What if we reach  $q_Y$  sooner than time  $N$ ?

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## Theorem

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Answer on Mentimeter: <https://www.menti.com/8abbpwt5py>, enter

1427 4147 on [www.menti.com](https://www.menti.com), or scan:



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- All decidable problems are either in  $P$  or NP-complete.      No, e.g., some problems are not in  $NP$ .
- All decidable problems are either in  $P$  or NP-hard.      Probably not. Some problems (e.g., graph isomorphism) are conjectured to be in  $NP \setminus P$  but not NP-hard.

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Next lecture: Many NP-hard problems.