

# COMP0017

# Computability and Complexity Theory

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## Lecture nine

# Previously on COMP0017

We discussed the concept of a **universal Turing machine** (UTM) and constructed one.

A key idea of the UTM is that a Turing machine may take other Turing machines as input data.

We used these insights to show that there exists an **undecidable** problem (the Halting problem) and an **unrecognisable** problem (the complement of the Halting problem).

# One more example

We give one more example of an undecidable problem.

The *empty tape halting problem* ( $ETH$ ) is defined by the following language.

$$ETH = \{ x \in \Sigma^* \mid x = \text{code}(\mathcal{M}) \text{ and } \mathcal{M} \text{ halts on } \varepsilon. \}$$

**Theorem**  $ETH$  is undecidable.



# $ETH$ is undecidable

**Proof** We reason by contradiction. Suppose  $ETH$  was decidable, say by a TM  $\mathcal{M}_{ETH}$ . We now show how we can use  $\mathcal{M}_{ETH}$  to construct a TM  $\mathcal{M}_H$  deciding  $HALT$ .

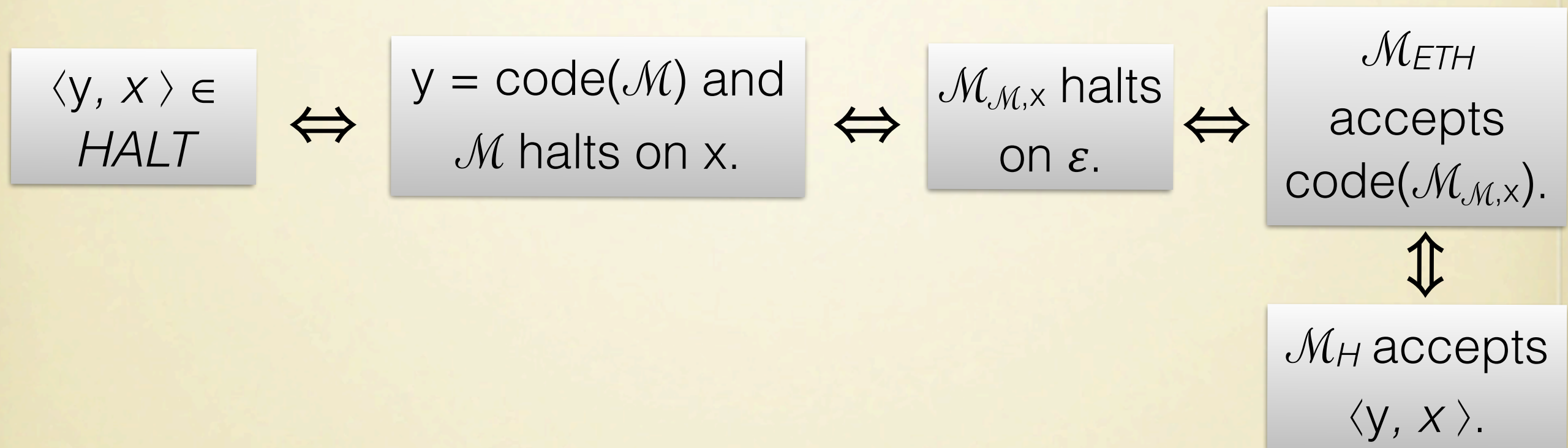
$\mathcal{M}_H$  is defined as follows.

On input  $\langle y, x \rangle$ :

- If  $y \neq \text{code}(\mathcal{M})$  for all  $\mathcal{M}$ , reject.
- If  $y = \text{code}(\mathcal{M})$ , construct  $\mathcal{M}_{\mathcal{M},x}$  as follows:
  1.  $\mathcal{M}_{\mathcal{M},x}$  enters a loop on any non-empty string.
  2. On input  $\varepsilon$ , write  $x$  on tape and simulate  $\mathcal{M}$  on  $x$ .
- Accept if  $\mathcal{M}_{ETH}$  accepts  $\text{code}(\mathcal{M}_{\mathcal{M},x})$ , otherwise reject.

# $ETH$ is undecidable

We conclude the proof by verifying that  $\mathcal{M}_H$  decides the Halting problem.



But we know that the Halting problem is undecidable, contradiction. So, the machine  $\mathcal{M}_{ETH}$  that we used to construct  $\mathcal{M}_H$ , cannot exist, meaning that  $ETH$  is undecidable.



# A pattern emerges

Last lecture we saw:

**Theorem**  $HALT^-$  is unrecognisable.

**Proof** If  $HALT^-$  was recognisable,  $HALT$  would be decidable.

This lecture we saw:

**Theorem**  $ETH$  is undecidable.

**Proof** If  $ETH$  was decidable,  $HALT$  would be decidable.

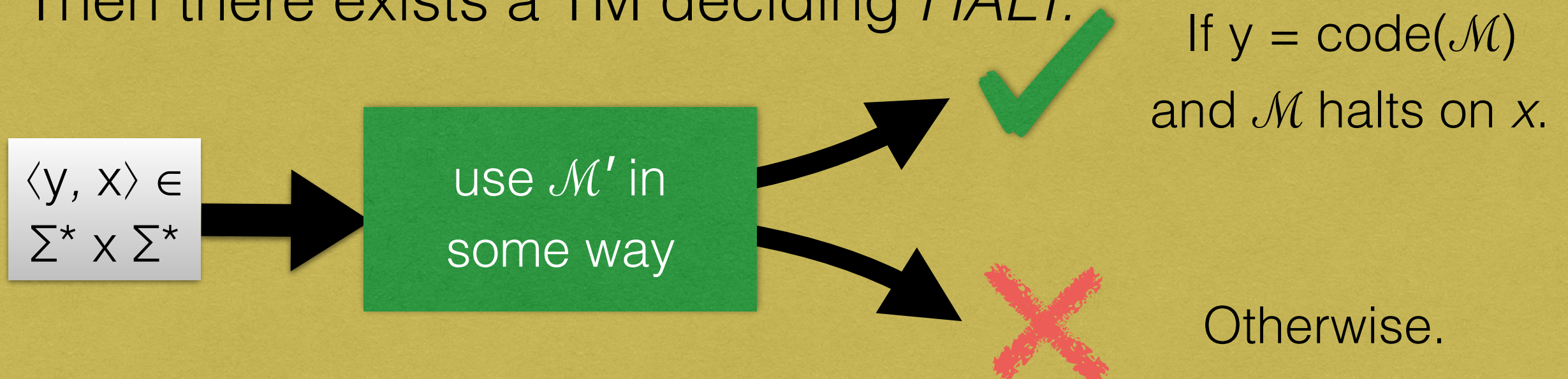
# A pattern emerges

In each case, we reduce the decidability/recognisability of a problem  $L$  to the decidability of another problem ( $HALT$ ).

$$HALT = \{ \langle y, x \rangle \in \Sigma^* \times \Sigma^* \mid y = \text{code}(\mathcal{M}) \text{ and } \mathcal{M} \text{ halts on } x. \}$$

Proof outline: suppose a TM  $\mathcal{M}'$  deciding  $L$  exists.

Then there exists a TM deciding  $HALT$ :





# In more abstract terms

In order to prove that a problem  $L$  is undecidable:

1. Start with a problem  $L'$  that you know is undecidable.
2. Show that if you could decide  $L$  then you could decide  $L'$ .
3. Conclude that you cannot decide  $L$ .

(The same argument works with “recognise” in place of decide.)

The key step is 2: showing that deciding  
 $L'$  **reduces** to deciding  $L$ .

In the next lecture we will turn this kind of reduction argument into a proof strategy, which shows undecidability of problems much more straightforwardly.