

Proving Temporal Properties by Abstract Interpretation

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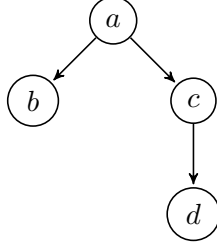


Figure 1: A basic state transition system

1 Introduction

Motivation etc.

2 State Transition Systems

To be able to analyze the behavior of a program, it is necessary to express said behavior through a mathematical model. We model the operational semantics of programs using transition systems. This is based on the definitions presented in [1].

Definition 2.1. A transition system is a tuple $\langle \Sigma, \tau \rangle$ where Σ is the set of all states in the system and $\tau \in \Sigma \times \Sigma$ is the relation that defines if one can transition from one state to the other (called *transition relation*).

Transition systems allow us to model the semantics of a program independently of the programming language in which it was written. By expressing the possible transition between states in terms of a relation, it is also possible to capture nondeterminism. Figure 1 shows a simple transition system represented as a directed graph. States are represented as nodes and state transitions as directed edges.

We introduce the following auxiliary functions over states of a transition systems which will become useful in Section 5 where we define the semantics of CTL operators in terms of transition systems.

Definition 2.2. Let $\langle \Sigma, \tau \rangle$ be a transition system. The function $\text{pre}: \mathcal{P}(\Sigma) \rightarrow \mathcal{P}(\Sigma)$ maps a set of states $X \in \mathcal{P}(\Sigma)$ to the set of their predecessors with respect to the program transition relation τ :

$$\text{pre}(X) \stackrel{\text{def}}{=} \{s \in \Sigma \mid \exists s' \in X: \langle s, s' \rangle \in \tau\} \quad (1)$$

Definition 2.3. Let $\langle \Sigma, \tau \rangle$ be a transition system. The function $\widetilde{\text{pre}}: \mathcal{P}(\Sigma) \rightarrow \mathcal{P}(\Sigma)$ maps a set of states $X \in \mathcal{P}(\Sigma)$ to the set of their predecessors with respect to the program transition relation τ with the limitation that only

those predecessor states are selected which exclusively transition to states in X :

$$\widetilde{\text{pre}}(X) \stackrel{\text{def}}{=} \{s \in \Sigma \mid \forall s' \in X: \langle s, s' \rangle \in \tau \Rightarrow s' \in X\} \quad (2)$$

To get an intuition for the difference between $\widetilde{\text{pre}}$ and pre , consider the state transition system depicted in Figure 1. There it holds that $\text{pre}(\{b, d\}) = \{a, c\}$ because a is the predecessor of b and c the predecessor of d . However note that $\widetilde{\text{pre}}(\{b, d\}) = \{c\}$ since only c has transitions that exclusively end up in either b or d . Consequently it holds that $\widetilde{\text{pre}}(\{b, c\}) = \{a\}$ because a transitions exclusively to either b or c .

The next section introduces Computation Tree Logic, a logic for stating properties about transition systems.

3 Computation Tree Logic (CTL)

Computation Tree Logic (CTL) is a logic which allows stating properties about execution traces of state transition systems. In the context of this thesis, CTL is used to express temporal properties about the runtime behavior of programs. This section gives a brief introduction into the syntax and semantic of CTL. Further information about CTL can be found in [2].

We assume the existence of some atomic proposition logic over the set of states. Atomic propositions $a \in \mathbf{AP}$ state properties of states $\sigma \in \Sigma$. The satisfaction relation $\models \subseteq \Sigma \times \mathbf{AP}$ determines if a state satisfies an atomic proposition.

3.1 Syntax

The syntax of a CTL formula is given by the following grammar.

$$\begin{aligned} \Phi ::= & \\ & a \mid \\ & \neg\Phi \mid \Phi \wedge \Phi \mid \Phi \vee \Phi \mid \\ & \forall \bigcirc \Phi \mid \exists \bigcirc \Phi \mid \\ & \forall \Diamond \Phi \mid \exists \Diamond \Phi \mid \\ & \forall \Box \Phi \mid \exists \Box \Phi \mid \\ & \forall (\Phi \, U \, \Phi) \mid \exists (\Phi \, U \, \Phi) \end{aligned}$$

The term a is an atomic propositions. Formulae with quantifiers \exists or \forall are called path-dependent, formulae without are called path-independent.

3.2 Semantic

We now define the satisfaction relation \models between states $\sigma \in \Sigma$ and CTL formulae. The satisfaction relation for atomic propositions $a \in \mathbf{AP}$ is assumed to be given by the underlying logic for atomic propositions.

$$\begin{aligned}
\sigma \models a &\iff \sigma \models a \\
\sigma \models \neg\Phi &\iff \text{not } \sigma \models \Phi \\
\sigma \models \Phi_1 \wedge \Phi_2 &\iff (\sigma \models \Phi_1) \text{ and } (\sigma \models \Phi_2) \\
\sigma \models \Phi_1 \vee \Phi_2 &\iff (\sigma \models \Phi_1) \text{ or } (\sigma \models \Phi_2) \\
\sigma \models \forall \bigcirc \Phi &\iff \forall \pi \in \text{Paths}(\sigma): (\pi[1] \models \Phi) \\
\sigma \models \exists \bigcirc \Phi &\iff \exists \pi \in \text{Paths}(\sigma): (\pi[1] \models \Phi) \\
\sigma \models \forall(\Phi_1 \text{ } U \text{ } \Phi_2) &\iff \forall \pi \in \text{Paths}(\sigma): \\
&\quad (\exists j \geq 0: \pi[j] \models \Phi_2 \wedge (\forall 0 \leq k < j: \pi[k] \models \Phi_1)) \\
\sigma \models \exists(\Phi_1 \text{ } U \text{ } \Phi_2) &\iff \exists \pi \in \text{Paths}(\sigma): \\
&\quad (\exists j \geq 0: \pi[j] \models \Phi_2 \wedge (\forall 0 \leq k < j: \pi[k] \models \Phi_1)) \\
\sigma \models \forall \Box \Phi &\iff \forall \pi \in \text{Paths}(\sigma): (\forall j \geq 0: \pi[j] \models \Phi) \\
\sigma \models \exists \Box \Phi &\iff \exists \pi \in \text{Paths}(\sigma): (\forall j \geq 0: \pi[j] \models \Phi)
\end{aligned}$$

The states $\sigma \in \Sigma$ are part of a state transition system $\langle \Sigma, \tau \rangle$ and $\text{Paths}(\sigma_0)$ is the set of all paths $\pi = \sigma_0 \sigma_1 \sigma_2 \dots$ starting from σ_0 with $\pi[j] = \sigma_j$. The CTL formulae $\forall \Diamond \Phi$ and $\exists \Diamond \Phi$ are not explicitly defined. They are equivalent to $\forall(\text{true } U \text{ } \Phi)$ and $\exists(\text{true } U \text{ } \Phi)$.

Properties $\forall(\Phi_1 \text{ } U \text{ } \Phi_2)$ and $\exists(\Phi_1 \text{ } U \text{ } \Phi_2)$ are called **until** properties. These properties require that a state satisfying Φ_2 is reached eventually among all (some, respectively) paths, and until then Φ_1 holds.

Properties $\forall \Box \Phi$ and $\exists \Box \Phi$ are called **global** properties. They state that all states among all (some, respectively) reachable paths satisfy the property Φ .

Properties $\forall \bigcirc \Phi$ and $\exists \bigcirc \Phi$ are called **next** properties. They state that all (some, respectively) immediate next states satisfy the property Φ .

The following useful equivalence relations exists which can be used to relate existential to universal CTL formulae.

$$\begin{aligned}
\exists \bigcirc \Phi &\equiv \neg \forall \bigcirc (\neg \Phi) \\
\exists \Diamond \Phi &\equiv \neg \forall \Box (\neg \Phi) \\
\exists \Box \Phi &\equiv \neg \forall \Diamond (\neg \Phi)
\end{aligned}$$

The next section introduces the concept of ranking functions, a proof method for liveness properties. This proof method will then be extended to CTL in Section 5.

4 Ranking Functions

The traditional method for proving termination are *ranking functions* [3] [4]. A ranking function is a partial function from program states to a well-ordered set like natural numbers or ordinals. To prove termination, the value of the ranking function must decrease during program execution. Cousot and Cousot prove the existence of a *most precise ranking function* and that it can be derived by abstract interpretation [5]. We will call this *most precise ranking function*, as defined by Cousot and Cousot, the *termination semantics* from now on.

Definition 4.1. The *termination semantics* is a ranking function $\tau^t \in \Sigma \rightarrow \mathbb{O}$. A program starting from some initial state $\sigma \in \Sigma$ terminates if and only if $\sigma \in \text{dom}(\tau^t)$.

The *termination semantics* assigns an upper bound on the number of steps until termination to each state. Therefore, a program terminates if its initial state is in the domain of the *termination semantics*.

Based on the work of Cousot and Cousot [5], Urban and Miné [1] extended the *termination semantics* to other liveness properties. More precisely to guarantee and recurrence properties. A guarantee property states that some state satisfying a given property is guaranteed to be reached eventually. Termination is therefore just a guarantee property stating that some final state will be eventually reached. As with termination, the *guarantee semantics* is a ranking function that assigns each state an upper bound on the number of steps until a state satisfying said property is reached. Guarantee properties can be expressed using the CTL formula $\forall \Diamond(a)$

Definition 4.2. The *guarantee semantics* is a ranking function $\tau_{[S]}^g \in \Sigma \rightarrow \mathbb{O}$ where $S \subseteq \Sigma$ is a set of states satisfying a desired property. A program starting from some state $\sigma \in \Sigma$ will reach a state $s \in S$ if and only if $\sigma \in \text{dom}(\tau_{[S]}^g)$.

In addition to guarantee properties, Urban and Miné [1] also introduced the *recurrence semantics*. A recurrence property guarantees that a program starting from some state $\sigma \in \Sigma$ will reach some state satisfying a given property infinitely often. The value assigned to a state by the *recurrence semantics* is an upper bound on the number of executions steps until the next state satisfying the property is reached. Recurrence properties can be expressed using the CTL formula $\forall \square \forall \Diamond(a)$.

Definition 4.3. The *recurrence semantics* is a ranking function $\tau_{[S]}^r \in \Sigma \rightarrow \mathbb{N}$ where $S \subseteq \Sigma$ is a set of states satisfying a desired property. A program starting from some state $\sigma \in \Sigma$ will reach a state $s \in S$ infinitely often if and only if $\sigma \in \text{dom}(\tau_{[S]}^r)$.

Figure 2 shows an example for the semantics discussed in this section. We illustrate ranking functions by labeling the states with the corresponding value assigned to them by the function. The first example (a) shows the *termination semantics* for a state transition system that always terminates. Therefore the initial state has the value 2 assigned to it stating that this program terminates in at most two steps.

The second example (b) shows the *guarantee semantics* for the guarantee property that states that a gray state will be reached eventually ($\forall \Diamond(\text{gray})$). This holds for example (b), therefore the initial state has the value 2 assigned to it. The program reaches a gray state in at most two steps.

The last example (c) shows the *recurrence semantics* for the recurrence property that states that a gray state will be reached infinitely often ($\forall \square \forall \Diamond(\text{gray})$). As one can see in the transition system, this is not true when starting from the initial state. Therefore the *recurrence semantics* is undefined for the initial state. However the property holds when starting from state *c* or *d*. Accordingly these two states have the values 1 and 0 assigned to them.

We refer to [6] for a detailed discussion of the various semantics presented in this section. The next section extends on the concepts of this section and presents a proof method for CTL properties.

5 Concrete Semantics for CTL

In Section 4 we introduced the concept of ranking functions and explained how they express the semantics of termination and also liveness properties in general. We recall that ranking functions are a proof method for liveness properties. The ranking function assigns well-ordered values to program states. Liveness properties state that some goal state will be reached eventually. The ranking function assigns 0 to those goal states and increased the

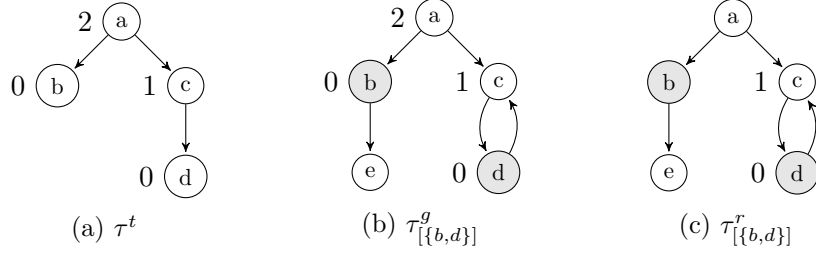


Figure 2: Example *termination semantics* (a), *guarantee semantics* (b) and *recurrence semantics* (c)

values for states leading up to said goal state through backtracking. One can determine if a state satisfies a liveness property by checking if the corresponding ranking function assigns a value to said state. The assigned value is an upper bound on the number of steps until a goal state is reached. In this section we extend this proof method presented in [6] to CTL.

CTL can define both liveness and safety properties. For liveness properties, ranking functions can be used as proof method. However, ranking functions are not suitable in the case of safety properties since there is no goal state to be reached eventually. Because a CTL formula can arbitrarily combine liveness and safety properties we will use a *counting function* $\tau_\Phi \in \Sigma \rightarrow \mathbb{O}$ as proof method. The function τ_Φ is similar to a ranking function, it assigns well-ordered values to states. A state satisfies a CTL property Φ if it is in the domain of τ_Φ . However, as opposed to ranking functions, the value of the *counting function* τ_Φ is not guaranteed to decrease. It will only decrease if Φ is a liveness property. In that case, the value of the function is an upper bound on the number of steps until some goal states is reached. Otherwise, in the case of safety properties, the value may be constant and is irrelevant. We call τ_Φ the *CTL semantics* from now on.

Theorem 5.1. The *CTL semantics* for a given CTL formula Φ is a counting function $\tau_\Phi \in \Sigma \rightarrow \mathbb{N}$. It encodes the semantics of Φ for a given state transition system $\langle \Sigma, \tau \rangle$ such that $\sigma \models \Phi \iff \sigma \in \text{dom}(\tau_\Phi)$

We will define the *CTL semantics* inductively for each CTL operator such that arbitrary combinations of CTL properties can be expressed. Furthermore we split the definition into path-independent and path-dependent CTL operators.

5.1 Path Independent Operators

We start by defining the *CTL semantics* for atomic propositions and logic operators. These CTL properties are path independent and can be defined individually for each state $\sigma \in \Sigma$. The definitions are formed according to

Theorem 5.1 and the satisfiability relation for CTL properties defined in Section 3.

Definition 5.1. Equations for path independent CTL operators

$$\tau_a \stackrel{\text{def}}{=} \lambda\sigma. \begin{cases} 0 & \text{if } \sigma \models a \\ \text{undefined} & \text{otherwise} \end{cases} \quad (3)$$

$$\tau_{\neg\Phi} \stackrel{\text{def}}{=} \lambda\sigma. \begin{cases} 0 & \text{if } \sigma \notin \text{dom}(\tau_\Phi) \\ \text{undefined} & \text{otherwise} \end{cases} \quad (4)$$

$$\tau_{\Phi_1 \wedge \Phi_2} \stackrel{\text{def}}{=} \lambda\sigma. \begin{cases} \sup\{\tau_{\Phi_1}(s), \tau_{\Phi_2}(\sigma)\} & \text{if } \sigma \in \text{dom}(\tau_{\Phi_1}) \cap \text{dom}(\tau_{\Phi_2}) \\ \text{undefined} & \text{otherwise} \end{cases} \quad (5)$$

$$\tau_{\Phi_1 \vee \Phi_2} \stackrel{\text{def}}{=} \lambda\sigma. \begin{cases} \sup\{\tau_{\Phi_1}(\sigma), \tau_{\Phi_2}(\sigma)\} & \text{if } \sigma \in \text{dom}(\tau_{\Phi_1}) \cap \text{dom}(\tau_{\Phi_2}) \\ \tau_{\Phi_1}(\sigma) & \text{if } \sigma \in \text{dom}(\tau_{\Phi_1}) \setminus \text{dom}(\tau_{\Phi_2}) \\ \tau_{\Phi_2}(\sigma) & \text{if } \sigma \in \text{dom}(\tau_{\Phi_2}) \setminus \text{dom}(\tau_{\Phi_1}) \\ \text{undefined} & \text{otherwise} \end{cases} \quad (6)$$

Equation 3 assigns 0 to all states that satisfy the atomic proposition a . In case of liveness properties, such as $\forall\Diamond a$, the value 0 marks that the goal of the property has been reached. For safety properties, the value 0 simply states that the states satisfies the property.

The logic \neg operator in equation 4 follows the same approach as for atomic propositions. It interprets $\neg\Phi$ as an atomic proposition and uses the fact that $\sigma \notin \text{dom}(\tau_\Phi) \implies \sigma \models \neg\Phi$ which follows from Theorem 5.1.

The logical \wedge and \vee connectives in equations 5 and 6 reuse the values of the underlying functions τ_{Φ_1} and τ_{Φ_2} according to the semantics of these operators. If a state is assigned a value by both functions, then the supremum of the two values is used. That way, if the two underlying properties Φ_1 and Φ_2 are liveness-properties, we preserve the notion of increasing the values of the function when backtracking from the goal states of underlying properties.

Lemma 5.2. The *CTL semantics* for path-independent CTL operators are sound and complete. Let $\sigma \in \Sigma$ and Φ , Φ_1 and Φ_2 be arbitrary CTL

properties.

$$\sigma \models a \iff \sigma \in \text{dom}(\tau_a) \quad (7)$$

$$\sigma \models \neg\Phi \iff \sigma \in \text{dom}(\tau_{\neg\Phi}) \quad (8)$$

$$\sigma \models \Phi_1 \wedge \Phi_2 \iff \sigma \in \text{dom}(\tau_{\Phi_1 \wedge \Phi_2}) \quad (9)$$

$$\sigma \models \Phi_1 \vee \Phi_2 \iff \sigma \in \text{dom}(\tau_{\Phi_1 \vee \Phi_2}) \quad (10)$$

5.2 Path Dependent Operators

CTL semantics for path-dependent operators **until** and **global** are defined in terms of fixed-points. These fixed-points are defined over the partially ordered set of functions $\langle \Sigma \rightarrow \mathbb{N}, \sqsubseteq \rangle$. Fixed-point iterates are related to each other using the *computational order* \sqsubseteq . This partial order relates functions in terms of expressiveness, i.e., for how many states can a function prove that the CTL property holds.

Definition 5.2. Let $f, g \in \Sigma \rightarrow \mathbb{O}$. The *computational order* \sqsubseteq is defined as follows.

$$f \sqsubseteq g \iff \text{dom}(f) \subseteq \text{dom}(g) \wedge \forall x \in \text{dom}(f) : f(x) \leq g(x)$$

The *CTL semantics* are not computable in general. In Section 8 we will present a sound decidable abstraction of the *CTL semantics*. Soundness is related to the *approximation order* \preceq .

Definition 5.3. Let $f, g \in \Sigma \rightarrow \mathbb{O}$. The *approximation order* \preceq is defined as follows.

$$f \preceq g \iff \text{dom}(f) \supseteq \text{dom}(g) \wedge \forall x \in \text{dom}(g) : f(x) \leq g(x)$$

The *approximation order* ranks counting functions in terms of precision. A function f is more precise than a function g if it is defined over more states than g and if the value is smaller for all states in the domain of g . Intuitively, a more precise counting function is able to prove for more states that a CTL Φ holds or does not hold.

Until

Recall that for the CTL property $\forall(\Phi_1 U \Phi_2)$ to hold for some state $\sigma \in \Sigma$, all paths starting from said state must form a chain of states satisfying Φ_1 ending in a state satisfying Φ_2 . In case of $\exists(\Phi_1 U \Phi_2)$ at least on such

path must exists. The *CTL semantics* for universal and existential until properties are defined as least fixed-points of the abstract transformers

$$\begin{aligned}\phi_{\forall(\Phi_1 U \Phi_2)} &\in (\Sigma \rightarrow \mathbb{N}) \rightarrow (\Sigma \rightarrow \mathbb{N}) \\ \phi_{\exists(\Phi_1 U \Phi_2)} &\in (\Sigma \rightarrow \mathbb{N}) \rightarrow (\Sigma \rightarrow \mathbb{N})\end{aligned}$$

starting from the totally undefined counting function \emptyset .

Definition 5.4. *CTL semantics* for existential and universal until properties

$$\tau_{\forall(\Phi_1 U \Phi_2)} \stackrel{\text{def}}{=} \text{lfp}_{\emptyset}^{\sqsubseteq} \phi_{\forall(\Phi_1 U \Phi_2)} \quad (11)$$

$$\phi_{\forall(\Phi_1 U \Phi_2)} f \stackrel{\text{def}}{=} \lambda \sigma. \begin{cases} 0 & \text{if } \sigma \in \text{dom}(\tau_{\Phi_2}) \\ \sup\{f(\sigma') + 1 \mid \langle \sigma, \sigma' \rangle \in \tau\} & \text{if } \sigma \notin \text{dom}(\tau_{\Phi_2}) \wedge \\ & \sigma \in \text{dom}(\tau_{\Phi_1}) \wedge \\ & \sigma \in \widetilde{\text{pre}}(\text{dom}(f)) \\ \text{undefined} & \text{otherwise} \end{cases} \quad (12)$$

$$\tau_{\exists(\Phi_1 U \Phi_2)} \stackrel{\text{def}}{=} \text{lfp}_{\emptyset}^{\sqsubseteq} \phi_{\exists(\Phi_1 U \Phi_2)} \quad (13)$$

$$\phi_{\exists(\Phi_1 U \Phi_2)} f \stackrel{\text{def}}{=} \lambda \sigma. \begin{cases} 0 & \text{if } \sigma \in \text{dom}(\tau_{\Phi_2}) \\ \sup\{f(\sigma') + 1 \mid \langle \sigma, \sigma' \rangle \in \tau\} & \text{if } \sigma \notin \text{dom}(\tau_{\Phi_2}) \wedge \\ & \sigma \in \text{dom}(\tau_{\Phi_1}) \wedge \\ & \sigma \in \text{pre}(\text{dom}(f)) \\ \text{undefined} & \text{otherwise} \end{cases} \quad (14)$$

This definition is a generalization of the *guarantee semantics* presented in [1]. The fixed-point iteration starts by assigning the value 0 to all states that satisfy Φ_2 . In subsequent iterations we consider all states that satisfy Φ_1 and from which one can only transition to states that already satisfy $\forall(\Phi_1 U \Phi_2)$. These states are then assigned the largest ranking value of all reachable states plus one. By performing iterations this way, we backtrack paths in the state transition systems that end in a state satisfying Φ_2 and which are preceded by an unbroken chain of states satisfying Φ_1 . Every state on such a path is guaranteed to satisfy $\forall(\Phi_1 U \Phi_2)$. Furthermore, by starting from 0 at states that satisfy Φ_2 and incrementing the value of the function while backtracking, we construct a counting function such that the value assigned to each state is an upper bound on the number of steps until a state satisfying Φ_2 is reached.

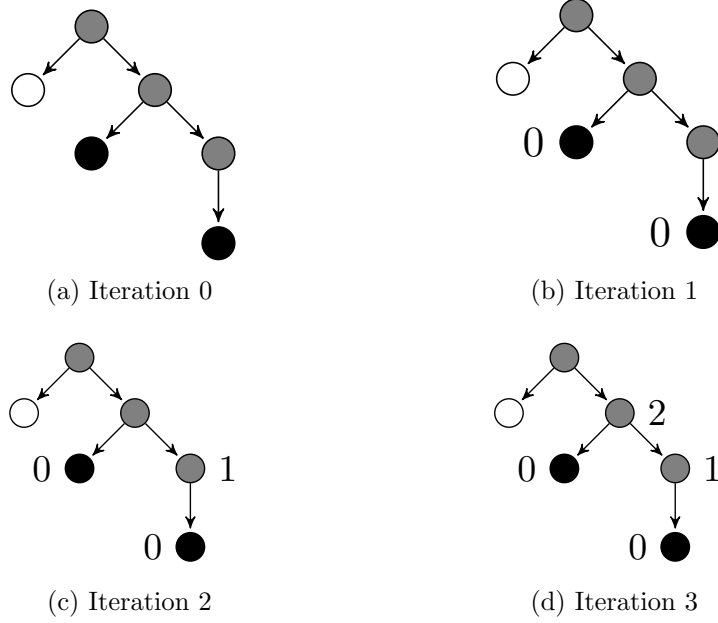


Figure 3: Iterative computation of $\tau_{\forall(gray \cup black)}$.

The \widetilde{pre} relation guarantees that during the backtracking, only those states that exclusively transition to states satisfying $\forall(\Phi_1 U \Phi_2)$, are considered. This condition can be relaxed for existential ‘until’ properties by using the pre relation instead. That way, states that have at least one reachable state satisfying $\exists(\Phi_1 U \Phi_2)$ are also considered during the backtracking (see Definitions 2.2 and 2.3).

Lemma 5.3. The *CTL semantics* for until properties are sound and complete. Let $\sigma \in \Sigma$ and Φ_1, Φ_2 be arbitrary CTL properties.

$$\sigma \models \forall(\Phi_1 U \Phi_2) \iff \sigma \in \text{dom}(\tau_{\forall(\Phi_1 U \Phi_2)}) \quad (15)$$

$$\sigma \models \exists(\Phi_1 U \Phi_2) \iff \sigma \in \text{dom}(\tau_{\exists(\Phi_1 U \Phi_2)}) \quad (16)$$

Figures 3 and 4 give an example on how the iterative computation for **until** properties works for universal and existential quantifiers. Note how Figure 4 has one additional iteration because of the existential quantifier. The initial state is added to the function in the last iteration because there exists one edge that leads to a state satisfying the property. For the universal property, the iteration stops after three iterations because not all successor states of the initial state satisfy the property.

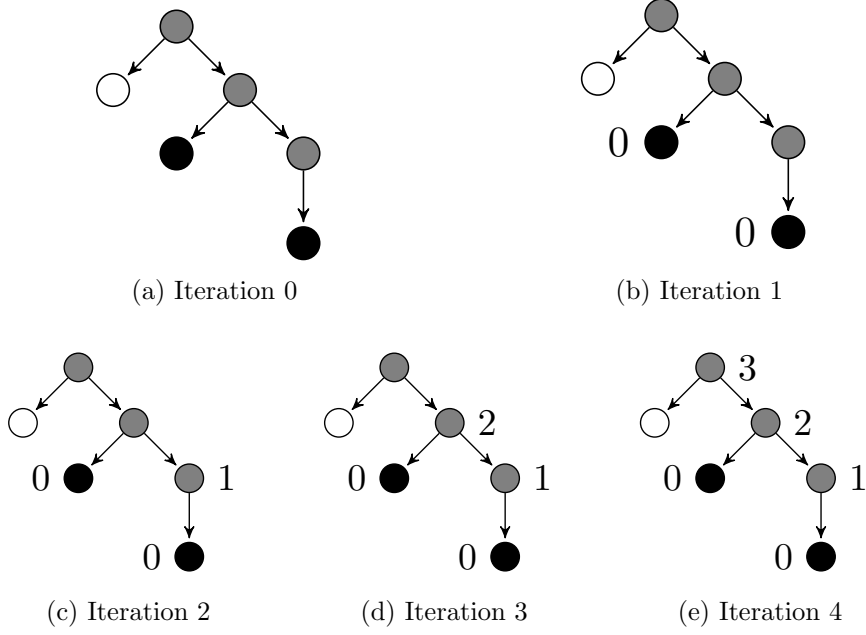


Figure 4: Iterative computation of $\tau_{\exists(\text{gray} \cup \text{black})}$.

Global

Recall that the CTL **global** operator states that some property must hold globally, i.e., indefinitely for *all* paths starting from some state in the case of the universal quantifier ($\forall \Box \Phi$) or for *some* paths in case of the existential quantifier ($\exists \Box \Phi$). The CTL semantics for **global** properties are defined as greatest fixed-point of the abstract transformers

$$\phi_{\forall(\Phi_1 \cup \Phi_2)} \in (\Sigma \rightarrow \mathbb{N}) \rightarrow (\Sigma \rightarrow \mathbb{N})$$

$$\phi_{\exists(\Phi_1 \cup \Phi_2)} \in (\Sigma \rightarrow \mathbb{N}) \rightarrow (\Sigma \rightarrow \mathbb{N})$$

starting from the CTL semantics τ_Φ of the inner CTL property.

Definition 5.5. Equations for CTL global operator

$$\tau_{\forall \Box \Phi} \stackrel{\text{def}}{=} \text{gfp}_{\tau_\Phi}^{\sqsubseteq} \phi_{\forall \Box \Phi} \quad (17)$$

$$\phi_{\forall \Box \Phi} f \stackrel{\text{def}}{=} \lambda \sigma. \begin{cases} f(x) & \text{if } \sigma \in \widetilde{\text{pre}}(\text{dom}(f)) \\ \text{undefined} & \text{otherwise} \end{cases} \quad (18)$$

$$\tau_{\exists \Box \Phi} \stackrel{\text{def}}{=} \text{gfp}_{\tau_\Phi}^{\sqsubseteq} \phi_{\exists \Box \Phi} \quad (19)$$

$$\phi_{\exists \Box \Phi} f \stackrel{\text{def}}{=} \lambda \sigma. \begin{cases} f(x) & \text{if } \sigma \in \text{pre}(\text{dom}(f)) \\ \text{undefined} & \text{otherwise} \end{cases} \quad (20)$$

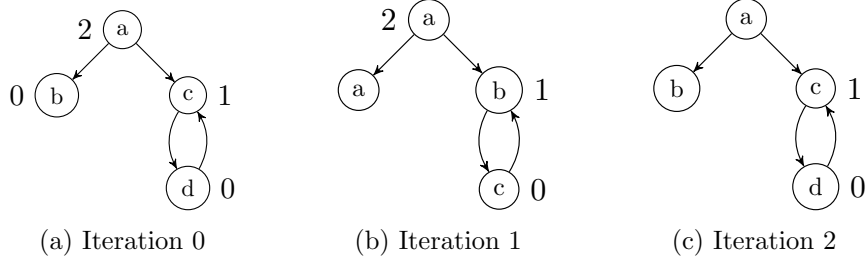


Figure 5: Iterative computation of $\tau_{\forall\Box\Phi}$.

This definition is based on the *recurrence semantics* presented in [1]. As with the until operator, we distinguish between universal and existential properties by using either \widetilde{pre} or pre . The fixed-point iteration starts with the counting function τ_Φ of the inner property Φ . At each iteration, every state that is still part of the domain of the function is inspected. The inspected state is kept in the domain of the function if *all* its successor states (or *some* for the existential case) are also part of the domain of the function, otherwise it is removed. That way, only states which are part of an infinite path consisting exclusively of states satisfying Φ are kept in the domain of the function.

Lemma 5.4. The *CTL semantics* for global properties are sound and complete. Let $\sigma \in \Sigma$ and Φ be an arbitrary CTL property.

$$\sigma \models \forall\Box\Phi \iff \sigma \in \text{dom}(\tau_{\forall\Box\Phi}) \quad (21)$$

$$\sigma \models \exists\Box\Phi \iff \sigma \in \text{dom}(\tau_{\exists\Box\Phi}) \quad (22)$$

Figures 5 and 6 show this for $\tau_{\exists\Box\Phi}$ and $\tau_{\forall\Box\Phi}$. Both iterations start with some initial counting function τ_Φ . In the first iteration state b is removed because it has no outgoing edges. For the existential case, the iteration stops here because all remaining states a , c and d have at least one edge to a node that is part of the function. In the universal case we get an additional iteration that removes state a because not all of its successor nodes (namely b) are part of the function. Note that only infinite paths are considered to hold globally.

Next

The *next* operator is path dependent but does not require fixed-point iterations. A state satisfies $\forall\bigcirc\Phi$ if all its immediate successors satisfy the property Φ , correspondingly $\exists\bigcirc\Phi$ is satisfied if at least one immediate successor satisfies the property Φ . This corresponds to the definition of the \widetilde{pre} and pre relations. Zero is assigned to each state that satisfies the property to construct a valid counting function according to Theorem 5.1.

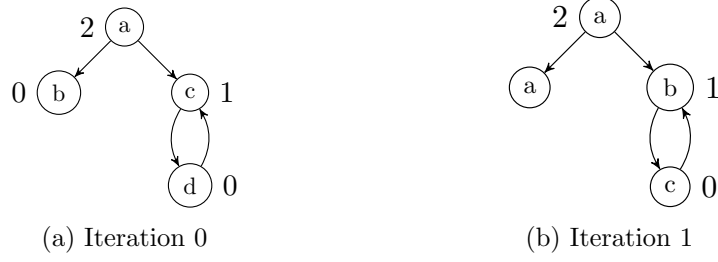


Figure 6: Iterative computation of $\tau_{\exists\Box\Phi}$.

Definition 5.6. Equations for CTL next operator

$$\tau_{\forall\bigcirc\Phi} \stackrel{\text{def}}{=} \lambda\sigma. \begin{cases} 0 & \text{if } \sigma \in \widetilde{\text{pre}}(\text{dom}(\tau_{\Phi})) \\ \text{undefined} & \text{otherwise} \end{cases} \quad (23)$$

$$\tau_{\exists\bigcirc\Phi} \stackrel{\text{def}}{=} \lambda\sigma. \begin{cases} 0 & \text{if } \sigma \in \text{pre}(\text{dom}(\tau_{\Phi})) \\ \text{undefined} & \text{otherwise} \end{cases} \quad (24)$$

Lemma 5.5. The *CTL semantics* for next properties are sound and complete. Let $\sigma \in \Sigma$ and Φ be an arbitrary CTL property.

$$\sigma \models \forall \bigcirc \Phi \iff \sigma \in \text{dom}(\tau_{\forall\bigcirc\Phi}) \quad (25)$$

$$\sigma \models \exists \bigcirc \Phi \iff \sigma \in \text{dom}(\tau_{\exists\bigcirc\Phi}) \quad (26)$$

6 Imperative Language

In this section we briefly introduce a minimal imperative programming language. It will be used in Section 8 to define the abstract CTL semantics. The language has no procedures, pointers or recursion and is non-deterministic. Variables are integer valued and statically allocated. We chose a simple language to keep the definitions of the abstract CTL semantics simple and concise. However, the implementation of our static analyzer will support a more feature-rich programming language (see Section 9).

First we define the syntax for arithmetic and boolean expressions. The syntax definitions are based on Chapter 3 of [6].

Definition 6.1. Syntax for arithmetic and boolean expressions.

Arithmetic expressions are defined over a set of variables \mathcal{X} .

$$\begin{aligned}
aexp ::= & \quad X && X \in \mathcal{X} \\
& \mid [i_1, i_2] && i_1 \in \mathbb{Z} \cup \{-\infty\}, i_2 \in \mathbb{Z} \cup \{\infty\}, i_1 \leq i_2 \\
& \mid -aexp \\
& \mid aexp \diamond aexp && \diamond \in \{+, -, *, /\} \\
\\
bexp ::= & \quad ? && \text{non-deterministic choice} \\
& \mid \neg bexp \\
& \mid bexp \wedge bexp \\
& \mid bexp \vee bexp \\
& \mid aexp \diamond aexp && \diamond \in \{<, \leq, >, \geq\}
\end{aligned}$$

The semantics for expressions are defined as expected. Please refer to [6] for a formal definition. Note that the symbol $?$ stands for non-deterministic choice.

Programs are defined in terms of control-flow-graphs. The control-flow-graph models all possible program executions as paths in the graph.

Definition 6.2. Program representation as control-flow-graph. A control-flow-graph is a tuple (\mathcal{L}, E) . \mathcal{L} is the set of program labels, also called nodes. $E \subseteq (\mathcal{L} \times stmt \times \mathcal{L})$ is the set of edges in the control-flow-graph, $stmt$ is the set of all program statements.

$$\begin{aligned}
stmt ::= & \quad \text{skip} \\
& \mid bexp \\
& \mid X := aexp && X \in \mathcal{X}
\end{aligned}$$

Every control point of a program is assigned a label $l \in \mathcal{L}$. The nodes in the control-flow-graph correspond to those labels. An edge $(u, s, v) \in E$ states that one can transition from node u to v by executing statement s . The **skip** statement transitions from one node to another without doing anything, the boolean expression $bexp$ limits the set of states that are allowed to transition to the next node and the assignment $X := aexp$ assigns the value of the arithmetic expression $aexp$ to the variable X . A program consists of a control-flow-graph and two special labels l_{entry} and l_{exit} that denote the program entry and exit point.

We introduce the following auxiliary functions on nodes of a control-flow-graph to refer to the incoming and outgoing edges of a node.

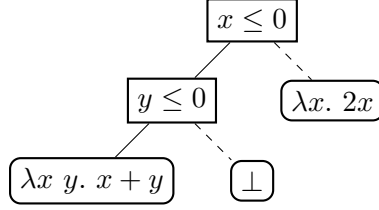


Figure 7: Example for decision tree

Definition 6.3. Given a control-flow-graph (\mathcal{L}, E) and some node $l \in \mathcal{L}$

$$in(l) \stackrel{\text{def}}{=} \{(u, s, v) \in E \mid v = l\}$$

$$out(l) \stackrel{\text{def}}{=} \{(u, s, v) \in E \mid u = l\}$$

7 Decision Tree Abstract Domain

This section briefly recaps the decision tree abstract domain [6]. Decision trees encode piecewise-defined linear functions which are used as an abstraction of the counting functions introduced in Section 4. First we give a description of the decision tree abstract domain. Then we introduce ordering relations between the elements of the domain and relevant operations on the elements of the domain. An in-depth description of the topics covered in this section can be found in [6].

7.1 Domain

The elements of the abstract domain are binary decision trees. The nodes of the trees are linear constraints and the leafs are linear functions of the program variables. Decision trees partition the state space, given by a set of variables \mathcal{X} , into partitions. Each partition is defined through the conjunction of linear constraints on the path from root to leaf in the decision tree. The linear function at the leaf determines the value of the function for the corresponding partition of the state space.

Figure 7 gives an example for such a decision tree. It consists of two nodes with linear constraints $x \leq 0$ and $y \leq 0$. The left most leaf is the function $\lambda x y. x + y$. It is defined for all states satisfying $x \leq 0 \wedge y \leq 0$ according to the constraints from root to leaf. The right most leaf is the function $\lambda x. 2x$, it is defined for all states satisfying $\neg(x \leq 0)$ (following the right child of a node negates the linear constraint). The leaf in the middle is a bottom node, signifying that the function for the corresponding partition is undefined. In

summary the decision tree in Figure 7 is equivalent to the following partial function:

$$f(x, y) = \begin{cases} x + y & \text{if } x \leq 0 \wedge y \leq 0 \\ 2x & \text{if } x > 0 \\ \text{undefined} & \text{otherwise} \end{cases}$$

We will now formalize the decision tree abstract domain.

Constraints

The constraints at the inner nodes of the decision tree are elements of the *linear constraints auxiliary abstract domain* \mathcal{C} .

$$\mathcal{C} \stackrel{\text{def}}{=} \left\{ c_1 X_1 + \dots + c_n X_n + c_{n+1} \geq 0 \mid \begin{array}{l} \mathcal{X} = \{X_1, \dots, X_n\} \\ c_1, \dots, c_n, c_{n+1} \in \mathbb{Z} \\ \gcd(|c_1|, \dots, |c_n|, |c_{n+1}|) = 1 \end{array} \right\}$$

Elements of \mathcal{C} can be instances of the *interval abstract domain* [7], the *octagon abstract domain* [8] or the *polyhedra abstract domain* [9].

Functions

Leafs of the decision trees are elements of the *functions auxiliary abstract domain* \mathcal{F} . Elements of \mathcal{F} are either natural valued linear functions or one of the two special elements $\top_{\mathcal{F}}$ or $\perp_{\mathcal{F}}$. The element $\perp_{\mathcal{F}}$ indicates that the function is undefined on the given partition. The element $\top_{\mathcal{F}}$ indicates that the value of the function is unknown for the given partition.

$$\mathcal{F} \stackrel{\text{def}}{=} \{\mathbb{Z}^{|\mathcal{X}|} \rightarrow \mathbb{N}\} \cup \{\top_{\mathcal{F}}, \perp_{\mathcal{F}}\}$$

We will write constant functions that return a value $n \in \mathbb{N}$ by just stating the constant value, e.g., $0 \in \mathcal{F}$ denotes the constant function that returns 0 for every state.

In the following sections we will distinguish between so called *defined* and *undefined* leafs. A leaf $f \in \mathcal{F}$ is called *defined* if f is neither $\top_{\mathcal{F}}$ nor $\perp_{\mathcal{F}}$ and *undefined* otherwise. Defined leafs assign an actual value to its partition, therefore the function that the decision tree represents is defined for that partition. Otherwise the function is undefined.

Decision Trees

We now define the decision tree abstract domain \mathcal{T} . An element $t \in \mathcal{T}$ is either a *leaf node* **LEAF**: f consisting of a function $f \in \mathcal{F}$ (denoted $t.f$), or

a *decision node* $\text{NODE}\{c\} : l; r$ consists of a linear constraint $c \in \mathcal{C}$ (denoted $t.c$) and a left and a right sub tree $l, r \in \mathcal{T}$ (denoted $t.l$ and $t.r$).

$$\mathcal{T} \stackrel{\text{def}}{=} \{\text{LEAF}: f \mid f \in \mathcal{F}\} \cup \{\text{NODE}\{c\} : l; r \mid c \in \mathcal{C}, l, r \in \mathcal{T}\}$$

For algorithmic purposes we also define \mathcal{T}_{NIL} . This adds an additional leaf element NIL to \mathcal{T} to represent the absence of information about a partition. NIL leafs usually appear if a partition in a decision tree can be excluded because it is infeasible w.r.t. the program execution.

$$\mathcal{T}_{\text{NIL}} \stackrel{\text{def}}{=} \{\text{NIL}\} \cup \{\text{LEAF}: f \mid f \in \mathcal{F}\} \cup \{\text{NODE}\{c\} : l; r \mid c \in \mathcal{C}, l, r \in \mathcal{T}_{\text{NIL}}\}$$

Sound Abstractions

Decision trees are an abstraction of counting functions. A sound abstraction is defined in terms of the *approximation order* \preceq (see Definition 5.3).

Definition 7.1. Let $\gamma \in \mathcal{T} \rightarrow (\Sigma \rightarrow \mathbb{O})$ be the concretization function from decision trees to counting functions. A decision tree $t \in \mathcal{T}$ is a sound abstraction of a counting function $f \in \Sigma \rightarrow \mathbb{N}$ if $f \preceq \gamma(t)$.

This definitions allows us to soundly define computable abstractions of counting functions. Every decision tree computed in such a way captures the behavior of the concrete counting function for all defined partitions of the tree.

Orders

We now define the *computational order* $\sqsubseteq_{\mathcal{T}}$ and *approximation order* $\preceq_{\mathcal{T}}$ over elements of \mathcal{T} . These orders are an approximation of the corresponding orders presented in Section 5 (see Definitions 5.2 and 5.3).

Both orders are defined by leaf-wise comparison of two decision trees. To that end we define the *computational order* $\sqsubseteq_{\mathcal{F}}$ and *approximation order* $\preceq_{\mathcal{F}}$ for elements of \mathcal{F} . Two trees are related to each other if all leafs are pairwise related w.r.t. $\sqsubseteq_{\mathcal{F}}$ ($\preceq_{\mathcal{F}}$, respectively). Please refer to [6] for a detailed explanation.

The two orders $\sqsubseteq_{\mathcal{F}}$ and $\preceq_{\mathcal{F}}$ are identical for function values $f \in \mathbb{Z}^{|\mathcal{X}|} \rightarrow \mathbb{N}$. Pairings with the special elements $\top_{\mathcal{F}}$ and $\perp_{\mathcal{F}}$ are related to each other according to the Hasse diagrams in Figure 8.

Definition 7.2. The *computation order* $\sqsubseteq_{\mathcal{F}}$ and *approximation order* $\preceq_{\mathcal{F}}$ for elements $f_1, f_2 \in \mathcal{F} \setminus \{\top_{\mathcal{F}}, \perp_{\mathcal{F}}\}$ are defined as follows.

$$f_1 \sqsubseteq_{\mathcal{F}} f_2 \iff f_1 \preceq_{\mathcal{F}} f_2 \iff \forall x \in \mathbb{Z}^{|\mathcal{X}|}: f_1(x) \leq f_2(x)$$

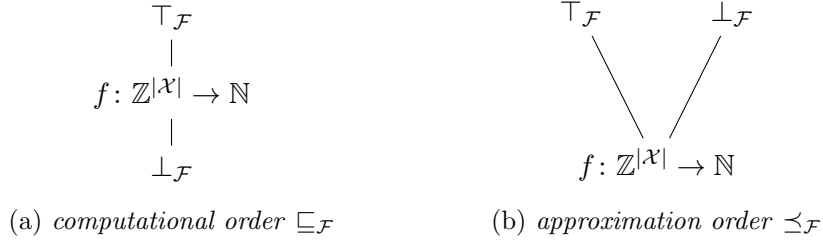


Figure 8: Hasse diagrams for $\sqsubseteq_{\mathcal{F}}$ and $\preceq_{\mathcal{F}}$

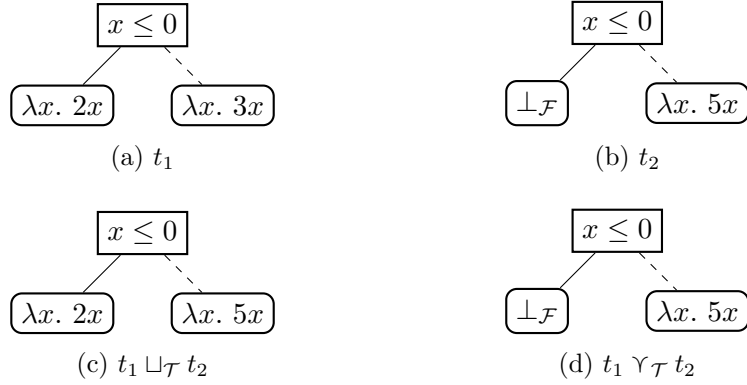


Figure 9: Decision Tree Join Example

7.2 Join

Two trees can be joined to form the union of all partitions represented by the two trees. When joining two trees, they are first reshaped such that both trees consist of the same partitions. They only differ in the values of the leafs. Then the two trees can be joined leaf-wise. There are two join variations. The *computational join* $\sqcup_{\mathcal{T}}: (\mathcal{T}_{NIL} \times \mathcal{T}_{NIL}) \rightarrow \mathcal{T}_{NIL}$ and the *approximation join* $\vee_{\mathcal{T}}: (\mathcal{T}_{NIL} \times \mathcal{T}_{NIL}) \rightarrow \mathcal{T}_{NIL}$. Two leafs l_1 and l_2 are joined by taking the least upper bound of the two functions $l_1.f$ and $l_2.f$ w.r.t. $\sqsubseteq_{\mathcal{F}}$ ($\preceq_{\mathcal{F}}$, respectively). Figure 9 demonstrates the difference between the two join types. When joining two trees where one leaf is defined and one is undefined (see left leaf in t_1 and t_2), the *computational join* will preserve the defined leaf and the *approximation join* will make the leaf undefined. If one of the two leafs is NIL then they are joined by taking the one that is not NIL. When both are NIL then the result of joining the leafs is NIL.

7.3 Meet

The meet operator intersects the partitions of two decision trees. As with the join, both trees are first brought into the same shape such that they can be combined leaf-wise. There are two meet variations. The *computational meet*

$\sqcap_{\mathcal{T}}: (\mathcal{T}_{\text{NIL}} \times \mathcal{T}_{\text{NIL}}) \rightarrow \mathcal{T}_{\text{NIL}}$ and the *approximation meet* $\wedge_{\mathcal{T}}: (\mathcal{T}_{\text{NIL}} \times \mathcal{T}_{\text{NIL}}) \rightarrow \mathcal{T}_{\text{NIL}}$. Both combine defined leafs using the least upper bound w.r.t. $\preceq_{\mathcal{F}}$. If at least one of the two leafs is NIL, then the result is $\perp_{\mathcal{F}}$ in case of the *computational meet* and NIL in case of the *approximation meet*.

7.4 Widening and Dual Widening

The *widening* operator $\nabla_{\mathcal{T}}: (\mathcal{T}_{\text{NIL}} \times \mathcal{T}_{\text{NIL}}) \rightarrow \mathcal{T}_{\text{NIL}}$ is used to enforce convergence when computing increasing sequences of values in the decision tree abstract domain. Once the sequence is stable for the *computational order* $\sqsubseteq_{\mathcal{T}}$, the limit of this sequence is guaranteed to be a sound approximation of the corresponding concrete counting function w.r.t. the *approximation order* $\preceq_{\mathcal{F}}$. The dual of this concept for decreasing sequences is called *dual widening* $\bar{\nabla}_{\mathcal{T}}: (\mathcal{T}_{\text{NIL}} \times \mathcal{T}_{\text{NIL}}) \rightarrow \mathcal{T}_{\text{NIL}}$. Intuitively, the widening operator tries to extrapolate the function values of decision trees to linear functions. This extrapolation is initially a guess. If the guess proves to be wrong, it is corrected by setting the corresponding partition to $\top_{\mathcal{T}}$ in future iterations. A thorough discussion about widening for elements of the decision tree abstract domain can be found in Section 5.2.4 of [6].

7.5 Filter

The filter operator $\text{FILTER}_o[\![bexp]\!]: \mathcal{T}_{\text{NIL}} \rightarrow \mathcal{T}_{\text{NIL}}$ prunes all partitions of a decision tree that do not satisfy the given boolean expression *bexp*. Leafs are pruned by replacing them with NIL. Partitions are pruned using over-approximation. That means that the resulting tree can still be defined for states that do not satisfy the boolean expression. It is however guaranteed that all states that satisfy the boolean expression remain defined in the tree. In addition to the over-approximating version there is also the under-approximating version $\text{FILTER}_u[\![bexp]\!]: \mathcal{T}_{\text{NIL}} \rightarrow \mathcal{T}_{\text{NIL}}$. Here the resulting decision tree is guaranteed to not contain any partitions that do not satisfy the boolean expressions. However states that do satisfy it might be removed if the underlying numerical domain is not expressive enough.

7.6 Backward Assign

The operator $\text{ASSIGN}_o[\![X := aexp]\!]: \mathcal{T}_{\text{NIL}} \rightarrow \mathcal{T}_{\text{NIL}}$ handles the backward assignment of the arithmetic expression *aexp* to variable *X*. The linear constraints of the decision tree nodes and the functions at the leafs are adjusted accordingly. ASSIGN_o uses over-approximation on the underlying numerical domains. As with the filter operator, there also exists an under-approximating version $\text{ASSIGN}_u[\![X := aexp]\!]: \mathcal{T}_{\text{NIL}} \rightarrow \mathcal{T}_{\text{NIL}}$.

8 Abstract Semantics for CTL

The counting function τ_Φ is in general not computable. In this section we present a sound and computable approximation of the *CTL semantics* τ_Φ defined in Section 5. We approximate τ_Φ by using the decision tree abstract domain (Section 7) to approximate counting functions in terms of piecewise defined ranking functions.

Theorem 8.1. The abstract CTL semantics $\tau_\Phi^\# \in \mathcal{L} \rightarrow \mathcal{T}$ is a sound approximation of the CTL semantics τ_Φ with regards to the *approximation order* $\preceq_{\mathcal{T}}$ (see Definition 7.1).

Recall that the CTL semantics $\tau_\Phi \in \Sigma \rightarrow \mathbb{N}$ is a partial function that assigns numerical values to program states $\sigma \in \Sigma$. In the abstract version, program states are grouped by program labels $l \in \mathcal{L}$ and partitioned by decision trees $t \in \mathcal{T}$. A program satisfies a given CTL property Φ if the decision tree of the initial program label $\tau_\Phi^\#(t_{init})$ is defined over all partitions of program states, i.e., all leaves of the decision tree are defined.

The following sections present how to compute $\tau_\Phi^\#$ for each CTL operator. We start with the basic operators \wedge, \vee, \neg and atomic propositions. These can be computed directly for each program label. Then we present how to compute the universal $\forall(\cdot U \cdot)$, $\forall \bigcirc$ and $\forall \square$ operators through fixed-point iteration. Followed by a discussion on how to adapt the universal operators to their existential version. Note that the abstract CTL semantics are computed recursively. The recursion stops at atomic propositions.

8.1 Path Independent Operators

Atomic propositions are path independent, therefore $\tau_a^\#$ assigns the same decision tree to each program label $l \in \mathcal{L}$. This decision tree assigns the constant function 0 to all partitions that satisfy the atomic proposition a . We compute this tree by using the $\text{RESET}[[a]]$ operator on the totally undefined decision tree $\perp_{\mathcal{T}}$. The $\text{RESET}[[a]]: \mathcal{T} \rightarrow \mathcal{T}$ operator takes as input a decision tree and returns a copy of said tree where every partition that satisfies a is replaced with the constant function 0. We refer the reader to [6] for a detailed description of the **RESET** operator. Note however, that the implementation of **RESET** in [6] has a small error that can lead to unsoundness. This is discussed in the excursion below titled ‘**RESET** and over-approximation’.

Definition 8.1. Abstract CTL semantics for atomic propositions

$$\tau_a^\# \stackrel{\text{def}}{=} \lambda l. \text{RESET}[[a]] \perp_{\mathcal{T}} \quad (27)$$

Lemma 8.2. The abstract CTL semantics $\tau_a^\#$ is a sound approximation of the CTL semantics τ_a with regards to the *approximation order* $\preceq_{\mathcal{T}}$ (see Definition 7.1).

RESET and over-approximation

The $\text{RESET}[a]$ operator was originally introduced in [6] in the context of abstract guarantee semantics and would over-approximate the set of partitions that satisfy the atomic proposition a . During the work on this thesis, we discovered that this original definition is actually unsound and leads to incorrect analysis results.

The problem is best described using an example. Consider the abstract CTL semantics $\tau_{x^2 < y^3 + 1}^\sharp$. The non-linear constraint $x^2 < y^3 + 1$ can usually not be represented by any of the commonly used numerical domains. An over-approximating implementation of $\text{RESET}[x^2 < y^3 + 1]$ will therefore reset some pairs (x, y) for which $x^2 < y^3 + 1$ does not hold which is unsound as to the definition of the CTL semantics $\tau_{x^2 < y^3 + 1}^\sharp$. Note that this problem propagates to more complex temporal properties that depend on atomic propositions.

We resolve this problem by using under-approximating on the underlying numerical domains to soundly determine which partitions satisfy the atomic proposition a .

Now we define the abstract CTL semantics for the logical operators \wedge , \vee and \neg .

Definition 8.2. Abstract CTL semantics for logic operators

$$\tau_{\Phi_1 \wedge \Phi_2}^\sharp \stackrel{\text{def}}{=} \lambda l. (\tau_{\neg \Phi_1}^\sharp l) \sqcup_{\mathcal{T}} (\tau_{\neg \Phi_2}^\sharp l) \quad (28)$$

$$\tau_{\Phi_1 \vee \Phi_2}^\sharp \stackrel{\text{def}}{=} \lambda l. (\tau_{\neg \Phi_1}^\sharp l) \sqcap_{\mathcal{T}} (\tau_{\neg \Phi_2}^\sharp l) \quad (29)$$

$$\tau_{\neg \Phi}^\sharp \stackrel{\text{def}}{=} \lambda l. \text{COMPLEMENT } (\tau_{\Phi}^\sharp l) \quad (30)$$

The abstract CTL semantics for \wedge and \vee (Equations 28 and 29) combine the decision trees of the nested properties piecewise for each program label.

The *computational join* $\sqcup_{\mathcal{T}}$ is used to combine the two trees (see Section 7.2) in case of the logical \vee . This operator forms the union of the two decision trees. Note that we use the computational version of the join operator to include partitions that are defined in at least one of the two trees. If a partition is defined in both trees, the least upper bound of the two functions assigned to that partition is used w.r.t. to the partial order $\sqsubseteq_{\mathcal{F}}$ given in Definition 7.2.

The corresponding definition for the logical \wedge operator forms the intersection of the two trees by using the *computational meet* $\sqcap_{\mathcal{T}}$ (see Section 7.3). By using the computational version of the meet, we ensure that no NIL leafs are introduced when forming the intersection. As with the logical \vee , the

Algorithm 1 Tree Complement

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function COMPLEMENT( $t$ )  $\triangleright t \in \mathcal{T}_{NIL}$ 
  if ( $isNode(t) \wedge t.f = \top$ )  $\vee isNil(t)$  then
    return  $t$   $\triangleright$  ignore  $\top$  and  $NIL$ 
  else if  $isLeaf(t) \wedge t.f = \perp$  then
    return  $LEAF : 0$   $\triangleright$  undefined becomes defined
  else if  $isLeaf(t)$  then
    return  $LEAF : \perp$   $\triangleright$  defined becomes undefined
  else
     $l \leftarrow COMPLEMENT(t.l)$ 
     $r \leftarrow COMPLEMENT(t.r)$ 
    return  $NODE\{t.c\} : l; r$ 
  end if
end function

```

least upper bound w.r.t. to $\sqsubseteq_{\mathcal{F}}$ is used if a partition is defined in both trees.

For the logical \neg operator we introduce the $COMPLEMENT: \mathcal{T}_{NIL} \rightarrow \mathcal{T}_{NIL}$ operator. This operator replaces all defined leafs with a \perp -leaf and all \perp -leafs with the constant function 0. By doing so, all states that originally satisfied the property do not satisfy it any more and vice versa. However one has to be careful when changing a partition from undefined to defined. Decision trees are an approximation of the concrete CTL semantics. Therefore not all states that are undefined in the abstract decision tree are actually undefined in the concrete ranking function. Partitions that are undefined because of this uncertainty are marked with a \top -leaf. To ensure soundness, these leafs have to be ignored when forming the complement of a decision tree. The $COMPLEMENT$ operator is implemented in Algorithm 1.

Lemma 8.3. The abstract CTL semantics $\tau_{\Phi_1 \wedge \Phi_2}^\sharp$, $\tau_{\Phi_1 \vee \Phi_2}^\sharp$ and $\tau_{\neg \Phi}^\sharp$ are a sound approximation of the CTL semantics $\tau_{\Phi_1 \wedge \Phi_2}$, $\tau_{\Phi_1 \vee \Phi_2}$ and $\tau_{\neg \Phi}$ with regards to the *approximation order* $\preceq_{\mathcal{T}}$ (see Definition 7.1).

8.2 Path Dependent Operators

In this section we describe how the abstract CTL semantics for the path-dependent operators ($\forall \bigcirc \Phi$, $\exists \bigcirc \Phi$, $\forall(\Phi_1 U \Phi_2)$, $\exists(\Phi_1 U \Phi_2)$, $\forall \square \Phi$, $\exists \square \Phi$) are defined.

First, we define the two functions $\llbracket stmt \rrbracket_o \in \mathcal{T}_{NIL} \rightarrow \mathcal{T}_{NIL}$ and $\llbracket stmt \rrbracket_u \in \mathcal{T}_{NIL} \rightarrow \mathcal{T}_{NIL}$. The first one uses over-approximation on the underlying numerical domains, the second one under-approximation.

Both functions implement the effect of backward propagating an edge in the control-flow-graph, i.e., the effect of executing a statement. Assume that we have computed a decision tree for the target node of some edge. This decision tree represents the value of the counting function for this node. By applying $\llbracket \cdot \rrbracket$ to this tree, we compute the decision tree that holds before executing the statement, i.e. the value of the function at the source node of this edge.

Definition 8.3. Abstract semantics for basic statements

$$\begin{aligned}\llbracket \text{skip} \rrbracket_o &\stackrel{\text{def}}{=} \lambda t. \text{STEP}(t) \\ \llbracket bexp \rrbracket_o &\stackrel{\text{def}}{=} \lambda t. \text{ASSIGN}_o(t) \\ \llbracket X := aexp \rrbracket_o &\stackrel{\text{def}}{=} \lambda t. \text{FILTER}_o(t)\end{aligned}$$

$$\begin{aligned}\llbracket \text{skip} \rrbracket_u &\stackrel{\text{def}}{=} \lambda t. \text{STEP}(t) \\ \llbracket bexp \rrbracket_u &\stackrel{\text{def}}{=} \lambda t. \text{ASSIGN}_u(t) \\ \llbracket X := aexp \rrbracket_u &\stackrel{\text{def}}{=} \lambda t. \text{FILTER}_u(t)\end{aligned}$$

The **skip** statement is handled by the **STEP** operator. This operator increases the value of all defined partitions in the decision tree by one. Recall that a defined partition in a decision tree represents a set of states that satisfies some CTL property. The associated value is an upper bound on the number of steps until some condition is reached. By executing **skip** this number is incremented by one. For assignments and boolean conditions we use the corresponding *assign* and *filter* operators that were introduced in Sections 7.6 and 7.5. The definitions for the remaining path dependent operators all depend on these two functions.

Until

The abstract CTL semantics for universal and existential until properties are defined as the least fixed-point of the abstract transformers

$$\begin{aligned}\phi_{\forall(\Phi_1 U \Phi_2)}^\# &\in (\mathcal{L} \rightarrow \mathcal{T}_{NIL}) \rightarrow (\mathcal{L} \rightarrow \mathcal{T}_{NIL}) \\ \phi_{\exists(\Phi_1 U \Phi_2)}^\# &\in (\mathcal{L} \rightarrow \mathcal{T}_{NIL}) \rightarrow (\mathcal{L} \rightarrow \mathcal{T}_{NIL})\end{aligned}$$

starting from the function τ_\perp that assigns the decision tree $\perp_{\mathcal{T}}$ to every node in the control-flow-graph. $\forall l \in \mathcal{L}: \tau_\perp(l) = \perp_{\mathcal{T}}$.

Definition 8.4. Abstract semantics for until operator.

$$\tau_{\forall(\Phi_1 U \Phi_2)}^\# \stackrel{\text{def}}{=} \text{lfp}_{\perp_{\mathcal{T}}}^{\sqsubseteq_{\mathcal{T}}} \phi_{\forall(\Phi_1 U \Phi_2)}^\#$$

$$t_{\gamma}(m, l) \stackrel{\text{def}}{=} \bigvee_{(l, stmt, l') \in \text{out}(l)} \llbracket stmt \rrbracket_o(m(l'))$$

$$\phi_{\forall(\Phi_1 U \Phi_2)}^\#(m)l \stackrel{\text{def}}{=} \text{UNTIL}[\tau_{\Phi_1}^\#(l), \tau_{\Phi_2}^\#(l)](t_{\gamma}(m, l))$$

$$\tau_{\exists(\Phi_1 U \Phi_2)}^\# \stackrel{\text{def}}{=} \text{lfp}_{\perp_{\mathcal{T}}}^{\sqsubseteq_{\mathcal{T}}} \phi_{\exists(\Phi_1 U \Phi_2)}^\#$$

$$t_{\sqcup}(m, l) \stackrel{\text{def}}{=} \bigsqcup_{(l, stmt, l') \in \text{out}(l)} \llbracket stmt \rrbracket_u(m(l'))$$

$$\phi_{\exists(\Phi_1 U \Phi_2)}^\#(m)l \stackrel{\text{def}}{=} \text{UNTIL}[\tau_{\Phi_1}^\#(l), \tau_{\Phi_2}^\#(l)](t_{\sqcup}(m, l))$$

We will first discuss the universal version and then explain what changes for the existential case. The value $m \in \mathcal{L} \rightarrow \mathcal{T}_{NIL}$ is the current iteration value of the fixed-point iteration, $\phi_{\forall(\Phi_1 U \Phi_2)}^\#(m)$ describes the value of the next iteration. Recall that $\text{out}(l)$ denotes all outgoing edges of node l leading to its immediate successor nodes. Every edge is labeled with a statement. The abstract transformer $\phi_{\forall(\Phi_1 U \Phi_2)}^\#$ computes decision trees point-wise for each node l in the control-flow-graph, based on the decision trees of its successor nodes.

First, the decision tree of each successor node l' is passed to the $\llbracket stmt \rrbracket_o$ function. This approximates the effect of transitioning from l to l' . The resulting decision tree approximates the value of the ranking function before executing the statement.

If a node has multiple successor nodes then the resulting decision trees are combined using the *approximation join* γ . The *approximation join* discards all partitions (i.e., makes them undefined) of decision trees that are not defined for all successor nodes. By doing so, we approximate the semantic of the universal path quantifier \forall . Note that if a node has no successors then $t_{\gamma}(m, l) = \perp_{\mathcal{T}}$ since the least upper bound (join) of the empty set is $\perp_{\mathcal{T}}$.

We use the over-approximating version of the $\llbracket \cdot \rrbracket_o$ function. This might temporarily lead to unsound decision trees due to over-approximation. Decision trees produced by $\llbracket \cdot \rrbracket_o$ can contain defined partitions for states that are unfeasible among that path in the control-flow-graph. For the universal case however, this is not a problem since the *approximation join* only keeps those partitions which are feasible among all paths. Partitions that are unfeasible among some paths are discarded.

Finally the result of joining the decision trees of the immediate predecessors are applied to the $\text{UNTIL}[\tau_{\Phi_1}^\sharp, \tau_{\Phi_2}^\sharp]$ operator. The purpose of this operator is to implement the semantics of the until CTL operator. All partitions that satisfy Φ_1 are set to zero and all partitions that neither satisfy Φ_1 nor Φ_2 are discarded (see Algorithm 3). That way we end up with a decision tree that is only defined for the partitions that satisfy $\forall(\Phi_1 U \Phi_2)$.

The abstract transformer for the existential case follows the same structure as in the universal case. However instead of using the *approximation join* it uses the *computational join* $\sqcup_{\mathcal{T}}$ to approximate the semantics of the \exists path quantifier. The *computational join* preserves all partitions that are defined for at least one decision tree. Note however, that all decision trees passed to the *computation join* must be sound since we can no longer rely on the join operator to discard unfeasible partitions. Therefore we apply the under-approximating $\llbracket \text{stmt} \rrbracket_u$ function when processing statements to guarantee soundness.

Lemma 8.4. The abstract CTL semantics $\tau_{\forall(\Phi_1 U \Phi_2)}^\sharp$ and $\tau_{\exists(\Phi_1 U \Phi_2)}^\sharp$ are a sound approximation of the CTL semantics $\tau_{\forall(\Phi_1 U \Phi_2)}$ and $\tau_{\exists(\Phi_1 U \Phi_2)}$ with regards to the *approximation order* $\preceq_{\mathcal{T}}$ (see Definition 7.1).

Convergence of the least fixed-point iteration is guaranteed after a finite amount of iterations by using the widening operator $\nabla_{\mathcal{T}}$ (see Section 7.4). The decision tree computed for every node $l \in \mathcal{L}$ is guaranteed to converge by applying the following widening scheme (Φ_U is a placeholder for $\forall(\Phi_1 U \Phi_2)$ and $\exists(\Phi_1 U \Phi_2)$):

$$y_0 \stackrel{\text{def}}{=} \perp_{\mathcal{T}}$$

$$y_{n+1} \stackrel{\text{def}}{=} \begin{cases} y_n & \text{if } \phi_{\Phi_U}^\sharp(y_n) \sqsubseteq_{\mathcal{T}} y_n \wedge \phi_{\Phi_U}^\sharp(y_n) \preceq_{\mathcal{T}} y_n \\ y_n \nabla_{\mathcal{T}} \phi_{\Phi_U}^\sharp(y_n) & \text{otherwise} \end{cases}$$

Algorithm 2 Tree Until Filter

```
function FILTER_UNTIL( $t, t_{\text{valid}}$ )
  if  $isNil(t) \vee isNil(t_{\text{valid}})$  then
     $\triangleright$  ignore NIL nodes
    return  $t$ 
  else if  $isLeaf(t) \wedge isLeaf(t_{\text{valid}}) \wedge isDefined(t)$  then
     $\triangleright t$  is defined in  $t_{\text{valid}}$ 
    return  $t$ 
  else if  $isLeaf(t) \wedge isLeaf(t_{\text{valid}}) \wedge \neg isDefined(t)$  then
     $\triangleright t$  is not defined in  $t_{\text{valid}}$ , make undefined
    return  $LEAF : \perp$ 
  else
     $l \leftarrow \text{FILTER\_UNTIL}(t.l, t_{\text{valid}}.l)$ 
     $r \leftarrow \text{FILTER\_UNTIL}(t.r, t_{\text{valid}}.r)$ 
    return  $NODE\{t.c\} : l; r$ 
  end if
end function
```

Global

The abstract CTL semantics for universal and existential **global** properties are defined as the greatest fixed-point of the abstract transformers

$$\begin{aligned}\phi_{\forall \square \Phi}^\sharp &\in (\mathcal{L} \rightarrow \mathcal{T}_{NIL}) \rightarrow (\mathcal{L} \rightarrow \mathcal{T}_{NIL}) \\ \phi_{\exists \square \Phi}^\sharp &\in (\mathcal{L} \rightarrow \mathcal{T}_{NIL}) \rightarrow (\mathcal{L} \rightarrow \mathcal{T}_{NIL})\end{aligned}$$

starting from the abstract CTL semantics τ_Φ^\sharp of the inner CTL property Φ .

Algorithm 3 Tree Until

```

function RESET_UNTIL( $t, t_{\text{reset}}$ )
  if  $isNil(t) \vee isNil(t_{\text{reset}})$  then
     $\triangleright$  ignore NIL nodes
    return  $t$ 
  else if  $isLeaf(t) \wedge isLeaf(t_{\text{reset}}) \wedge isDefined(t)$  then
     $\triangleright t$  is defined in  $t_{\text{valid}}$ , reset leaf
    return LEAF : 0
  else if  $isLeaf(t) \wedge isLeaf(t_{\text{valid}}) \wedge \neg isDefined(t)$  then
     $\triangleright t$  is undefined in  $t_{\text{valid}}$ , keep as is
    return  $t$ 
  else
     $l \leftarrow \text{RESET\_UNTIL}(t.l, t_{\text{reset}}.l)$ 
     $r \leftarrow \text{RESET\_UNTIL}(t.r, t_{\text{reset}}.r)$ 
    return NODE{ $t.c$ } :  $l; r$ 
  end if
end function
function UNTIL( $\llbracket t_{\Phi_1}, t_{\Phi_2} \rrbracket(t)$ )  $\triangleright t, t_{\Phi_1}, t_{\Phi_2} \in \mathcal{T}_{NIL}$ 
   $(t_1, t_2) \leftarrow \text{TREE\_UNIFICATION}(t, t_{\Phi_1} \sqcup t_{\Phi_2})$ 
   $t_{\text{filtered}} \leftarrow \text{FILTER\_UNTIL}(t_1, t_2)$ 
   $(t_1, t_2) \leftarrow \text{TREE\_UNIFICATION}(t_{\text{filtered}}, t_{\Phi_2})$ 
  return  $\text{RESET\_UNTIL}(t_1, t_2)$ 
end function

```

Definition 8.5. Abstract semantics for global operator.

$$\begin{aligned}
 \tau_{\forall \square \Phi}^\# &\stackrel{\text{def}}{=} \text{gfp}_{\tau_\Phi^\#}^{\sqsubseteq \mathcal{T}} \phi_{\forall \square \Phi}^\# \\
 t_\gamma(m, l) &\stackrel{\text{def}}{=} \bigvee_{(l, stmt, l') \in \text{out}(l)} \llbracket stmt \rrbracket_o(m(l')) \\
 \phi_{\forall \square \Phi}^\#(m)l &\stackrel{\text{def}}{=} \text{MASK}[\llbracket t_\gamma(l, m) \rrbracket](m(l)) \\
 \tau_{\exists \square \Phi}^\# &\stackrel{\text{def}}{=} \text{gfp}_{\tau_\Phi^\#}^{\sqsubseteq \mathcal{T}} \phi_{\exists \square \Phi}^\# \\
 t_\sqcup(m, l) &\stackrel{\text{def}}{=} \bigsqcup_{(l, stmt, l') \in \text{out}(l)} \llbracket stmt \rrbracket_u(m(l')) \\
 \phi_{\exists \square \Phi}^\#(m)l &\stackrel{\text{def}}{=} \text{MASK}[\llbracket t_\sqcup(l, m) \rrbracket](m(l))
 \end{aligned}$$

The value $m \in \mathcal{L} \rightarrow \mathcal{T}_{NIL}$ is the current iteration value of the fixed-point iteration. The definition for $\phi_{\forall\Box\Phi}^\sharp(m)$ ($\phi_{\exists\Box\Phi}^\sharp(m)$, respectively) describes the value of the next iteration. We use the same approach as the `until` operator to join decision trees of successor nodes (see $t_\gamma(m, l)$ and $t_\sqcup(m, l)$).

In the final step however, the decision tree $m(l)$ of each node l is *masked* with the result of $t_\gamma(l, m)$ ($t_\sqcup(l, m)$ respectively). Masking is implemented by the `MASK` operator. This operator sets all partitions of $m(l)$ to $\perp_{\mathcal{T}}$, that are not defined in $t_\gamma(l, m)$ ($t_\sqcup(l, m)$, respectively). That way all states that do not satisfy Φ indefinitely among all (some, respectively) paths are iteratively removed from the decision tree until a fixed-point is reached. The `MASK` operator is defined in Algorithm 4.

Lemma 8.5. The abstract CTL semantics $\tau_{\forall\Box\Phi}^\sharp$ and $\tau_{\exists\Box\Phi}^\sharp$ are a sound approximation of the CTL semantics $\tau_{\forall\Box\Phi}$ and $\tau_{\exists\Box\Phi}$ with regards to the *approximation order* $\preceq_{\mathcal{T}}$ (see Definition 7.1).

Convergence of the greatest fixed-point iteration is guaranteed after a finite amount of iterations by using the dual widening operator $\bar{\nabla}_{\mathcal{T}}$ (see Section 7.4). The decision tree computed for every node $l \in \mathcal{L}$ is guaranteed to converge by applying the following widening scheme (Φ_G is a placeholder for $\forall\Box\Phi$ and $\exists\Box\Phi$):

$$y_0 \stackrel{\text{def}}{=} \tau_{\Phi_U}^\sharp(l)$$

$$y_{n+1} \stackrel{\text{def}}{=} \begin{cases} y_n & \text{if } y_n \sqsubseteq_{\mathcal{T}} \phi_{\Phi_G}^\sharp(y_n) \wedge y_n \preceq_{\mathcal{T}} \phi_{\Phi_G}^\sharp(y_n) \\ y_n \bar{\nabla}_{\mathcal{T}} \phi_{\Phi_G}^\sharp(y_n) & \text{otherwise} \end{cases}$$

Next

The abstract CTL semantics for the `next` operator are given in Definition 8.6:

Algorithm 4 Tree Mask

```

function MASK( $\llbracket t_{\text{MASK}} \rrbracket$ )( $t$ )
  function MASK_AUX( $t, t_{\text{mask}}$ )
    if  $\text{isNil}(t) \vee \text{isNil}(t_{\text{reset}})$  then
       $\triangleright$  ignore NIL nodes
      return  $t$ 
    else if  $\text{isLeaf}(t) \wedge \text{isDefined}(t) \wedge \text{isLeaf}(t_{\text{mask}})$  then
      if  $\text{isDefined}(t) \wedge \neg \text{isDefined}(t_{\text{mask}})$  then
         $\triangleright$   $t$  is defined and  $t_{\text{mask}}$  is undefined, discard leaf
        return LEAF :  $\perp$ 
      else
        return  $t$ 
      end if
    else
       $l \leftarrow \text{MASK}(t.l, t_{\text{mask}}.l)$ 
       $r \leftarrow \text{MASK}(t.r, t_{\text{mask}}.r)$ 
      return NODE{ $t.c$ } :  $l; r$ 
    end if
  end function
  ( $t_1, t_2$ )  $\leftarrow$  TREE_UNIFICATION( $t, t_{\text{MASK}}$ )
  return MASK_AUX( $t_1, t_2$ )
end function

```

Definition 8.6. Abstract semantics for next operator.

$$t_{\gamma}(l) \stackrel{\text{def}}{=} \bigvee_{(l, \text{stmt}, l') \in \text{out}(l)} \llbracket \text{stmt} \rrbracket_o(\tau_{\Phi}^{\#}(l))$$

$$\tau_{\forall \bigcirc \Phi}^{\#} \stackrel{\text{def}}{=} \lambda l. \text{ZERO}(t_{\gamma}(l))$$

$$t_{\sqcup}(l) \stackrel{\text{def}}{=} \bigsqcup_{(l, \text{stmt}, l') \in \text{out}(l)} \llbracket \text{stmt} \rrbracket_u(\tau_{\Phi}^{\#}(l))$$

$$\tau_{\exists \bigcirc \Phi}^{\#} \stackrel{\text{def}}{=} \lambda l. \text{ZERO}(t_{\sqcup}(l))$$

As opposed to the **until** and **global** operator, the decision trees for each label only depends on the immediate successor nodes. Therefore no fixed-point iteration is necessary. Each node is computed in one step based on the

immediate successor nodes. Outgoing edges are joined as describe for the until and global operators. The resulting value is then passed to the ZERO operator which sets all defined partitions to zero (see Algorithm 5).

Lemma 8.6. The abstract CTL semantics $\tau_{\forall\bigcirc\Phi}^\#$ and $\tau_{\exists\bigcirc\Phi}^\#$ are a sound approximation of the CTL semantics $\tau_{\forall\bigcirc\Phi}$ and $\tau_{\exists\bigcirc\Phi}$ with regards to the approximation order $\preceq_{\mathcal{T}}$ (see Definition 7.1).

Algorithm 5 Tree Zero

```

function ZERO( $t$ )
  if  $isLeaf(t) \wedge isDefined(t)$  then
    return  $LEAF : 0$ 
  else if  $isNode(t)$  then
     $l \leftarrow ZERO(t.l)$ 
     $r \leftarrow ZERO(t.r)$ 
    return  $NODE\{t.c\} : l; r$ 
  else
    return  $t$ 
  end if
end function

```

Next we present how the abstract CTL semantics were implemented.

9 Implementation

This section describes how the CTL analysis was implemented as part of the static analyzer FuncTion¹. First, we briefly discuss the initial feature set of FuncTion, followed by a description of the various extensions that were added to the analyzer. Then we present a number of examples that showcase the capabilities of FuncTion.

9.1 Previous Work

FuncTion is an abstract interpretation-based static analyzer that was written by Caterina Urban in the context of her PhD thesis [6]. When starting this thesis, FuncTion had support for analyzing termination, guarantee properties and recurrence properties (see Section 4). To that end, it featured an implementation of the decision tree abstract domain (see Section 7) with the corresponding *join*, *meet*, *widen* (but not *dual-widen*), *filter*, *backward assign* and *reset* operators. Note that the *filter* and *reset* operators were implemented using over-approximation. The *backward assign* operator over-approximates the assignment of each partition, the resulting decision tree

¹<https://github.com/caterinaurban/function>

however is an under-approximation in case of termination, recurrence and guarantee analysis due to the use of the *approximation join*. The Apron² library was used as basis for the numerical domains. FuncTion can analyze programs using the *interval*, *octagon* and *polyhedra* abstract domain. Finally, FuncTion was equipped with a front-end for parsing programs written in a C-like language. The termination analysis could only handle tail-recursive function calls. The analysis of guarantee and recurrence properties could not handle any function call.

9.2 CTL Analysis

The CTL analysis was implemented based on the existing components of FuncTion. We reused the implementation of the decision tree abstract domain and added implementations for the *complement*, *until* and *mask* operators presented in Section 8. We also wrote an implementation of the *dual-widen* operator which was defined in [6] but not implemented in FuncTion.

The analysis of existential properties is performed using the *computational join* (see Section 8), therefore the original *backward assign* operator no longer produces sound results due the fact that it over-approximates the assignment of individual partitions. The same holds for the over-approximating *filter* operator. Therefore, we required under-approximating implementations of both *backward assign* and *filter*. Such an implementation for the polyhedra numerical abstract domain was proposed by Antoine Miné [10] and implemented in the Banal Static Analyzer³. We were able to reuse his implementation in FuncTion which allowed us to provide under-approximating version of *filter* and *backward assign* with minimal effort. In addition to that, the under-approximating version of *filter* also allowed us to fix the unsoundness problem of the *reset* operator which we discussed in Section 8. This resolves the problem for the new CTL analysis and also the existing guarantee and recurrence analysis. Note that every analysis that requires an under-approximating operator can only be performed with the polyhedra numerical abstract domain at the moment⁴. This includes the existing recurrence and guarantee analysis due to the use of the *reset* operator.

The initial implementation of the CTL analysis in FuncTion reuses the existing front-end and performs the analysis through a backward analysis on the abstract-syntax-tree. This had the drawback that the analyzer did not support control-flow statements such as `goto`, `continue` or `break`. Furthermore

²<http://apron.cri.ensmp.fr/library>

³<https://www-apr.lip6.fr/~mine/banal/>

⁴Banal supports the *interval* and *octagon* domain in theory but the implementation is currently broken due to a bug.

there was no support for function calls. These problems were later resolved by moving the analysis to a control-flow-graph based implementation. This will be discussed in the next subsection.

9.3 Improved Front-end

As mentioned the analysis based on abstract-syntax-trees (ASTs) had several drawbacks. Performing the analysis on the AST made it difficult to support `goto` statements and function calls. Therefore we changed the analysis to a control-flow-graph based analysis. To that end we reused an existing front-end⁵ which features an abstract-syntax-tree to control-flow-graph conversion.

The new implementation of the backward analysis is based on a standard worklist algorithm [11]. Nodes added to the worklist are processed in FIFO order. To improve performance, nodes are never added twice.

Widening is only performed at loop heads. To that end, we implemented a simple loop-detection algorithm. First we check if the control-flow-graph is reducible. Then we detect loop heads by finding edges in the control-flow-graph where the head dominates its tail. The dominator tree is computed with a simple $O(mn)$ algorithm. The performance of this algorithm proved to be sufficient for all analyzed test cases. Better algorithms exist [12] should the need for analyzing very large control-flow-graphs arise. More information about loop-detection can be found in the corresponding literature [13].

Non-recursive function calls are handled by inlining. Recursive calls are resolved by adding edges to the control-flow-graph from every call site to the entry node of the function and from the exit node of the function back to the call sites. This approach leads to infeasible paths in the control-flow-graph. However the result of the analysis is still sound. We did not pursue more involved intra-procedural analysis approaches since this was not the focus of our work.

Existential CTL properties can be analyzed using the abstract semantics presented in Section 8. In addition to that, it is also possible to convert existential properties to equivalent universal properties (see Section 3). This can yield more precise results in some cases (see Example 6 in the next subsection).

⁵<https://www.lip6.fr/~mine/enseignement/l3/2015-2016/project.html>

```

while ( $x > y$ ) do
   $x := x - 1$ 
od

```

Figure 10: Program `test_until`

9.4 Examples

We now demonstrate the capabilities and limitations of FuncTion with a number of example programs.

Example 1

Consider the program `test_until` in Figure 10. One can show that the program satisfies the property $x \geq y \Rightarrow \forall(x \geq y \cup x = y)$. FuncTion is able to prove this with the following counting function:

$$f(x, y) = \begin{cases} 2(x - y) & \text{if } x \geq y \\ \text{undefined} & \text{otherwise} \end{cases}$$

This states that the program satisfies the CTL properties if $x \geq y$ holds initially. Furthermore, the goal of the *until* property ($x = y$) is reached in at most $2(x - y)$ program execution steps.

Example 2

Consider program `test_global` in Figure 11. One can show that the property $\forall \Diamond \forall \Box(y > 0)$ is satisfied if $x < 10$ holds initially. In that case, the condition of the inner loop is reached eventually and we end up in a loop that infinitely increments y . FuncTion can prove this by deriving the following counting function:

$$f(x) = \begin{cases} -3x + 32 & \text{if } x < 10 \\ \text{undefined} & \text{otherwise} \end{cases}$$

Given the precondition $x < 10$, the program will reach a state that satisfies $\forall \Box(y > 10)$ in at most $-3x + 32$ steps.

Example 3

The program `test_existential_1` in Figure 12 initially sets x to zero and assigns a random number to i . This value is then added to x as long as $x \geq 0$. One can easily see that there exists a choice for i such that x will eventually reach an arbitrary positive value. For instance, one can show that `test_existential_1`

```

y := 0
while (true) do
  x := x + 1
  while (x = 10) do y := y + 1
od

```

Figure 11: Program `test_global`

```

x := 0
i := ?
while (x ≥ 0) do
  x := x + i
od

```

Figure 12: Program `test_existential_1`

satisfies the property $\exists\Diamond(x > 2048)$. FuncTion infers the following counting function:

$$f(x) = \begin{cases} 0 & \text{if } x > 2048 \\ 4 & \text{otherwise} \end{cases}$$

Obviously the property is satisfied if the initial state already satisfies $x > 2048$. Otherwise there exists an execution trace such that the property is satisfied in at most 4 steps. Unfortunately, FuncTion is not able to infer a more precise counting function that depends on i due to imprecision of the widening operator and due to the fact that all information about i is lost after performing the non deterministic assignment to i . However the analysis is able to infer that for a large enough choice of i , the goal $x > 2048$ is satisfied after one loop iteration.

Example 4

The program `test_existential_2` in Figure 13 increments x an arbitrary amount of time. If x reaches 200 then r is set to 1. This program satisfies the existential property $x < 200 \Rightarrow \exists\Diamond(r = 1)$. FuncTion proves this with the following counting function:

$$f(x) = \begin{cases} -3x + 601 & \text{if } x < 200 \\ \text{undefined} & \text{otherwise} \end{cases}$$

If $x < 200$ then the program will set r to 1 in at most $-3x + 601$ steps.

```

r := 0
while (?) do
  x := x + 1
  if (x = 200) then r := 1
od

```

Figure 13: Program `test.existential.2`

Example 5

The program `acqrel` in Figure 14 was taken from a set of example programs⁶ written by Eric Koskinen for his PhD thesis [14]. We assume that initially $a = r = 0$. The condition of the first loop is a non-deterministic choice, it is executed an arbitrary amount of times. Whenever the loop is entered a is set to 1. Eventually r is set to 1 after an arbitrary amount of steps, depending on the choice for n . One can see that this program satisfies the property $\forall \Box((a = 1) \Rightarrow \forall \Diamond(r = 1))$. Unfortunately, `FuncTion` is not able to prove this. The reason for that stems from imprecision when dealing with non-determinism. Inside the loop, the analysis detects that $r = 1$ holds and starts counting while backtracking through the inner loop. At the head of the inner loop, `FuncTion` has inferred that the goal $r = 1$ will be reached in at most $2n$ steps. Unfortunately, that information is lost when performing the backward assignment $n := ?$ as `FuncTion` discards all information about n if it is assigned a non-deterministic value. This behavior stems from the existing implementation of the decision tree abstract domain.

We can prove the property by slightly modifying `acqrel`. Consider program `acqrel-mod` in Figure 15. The choice for the number of inner loop executions is moved to the start of the program. Since the information about n is no longer relevant at the head of the outer loop, no information is lost when performing the non-deterministic assignment. `FuncTion` is able to prove the property for `acqrel-mod`. Note that the property is a safety property. Hence there is no counting information available for the program entry point. The value of the derived counting function is 0. However, `FuncTion` derives the following useful counting function for the body of the outer loop.

$$f(x) = \begin{cases} 2n_{init} + 5 & \text{if } n_{init} > 0 \\ 5 & \text{otherwise} \end{cases}$$

This states that once the program enters the outer loop, the goal of the liveness property $\forall \Diamond(r = 1)$ is reached in at most $2n_{init} + 5$ steps if n_{init} is positive, in 5 steps otherwise.

⁶<https://github.com/ultimate-pa/ultimate/tree/dev/trunk/examples/LTL/koskinen>

```

a := 0
r := 0
while (?) do
  a := 1
  a := 0
  n := ?
  while (n > 0) do n := n - 1
  r := 1
  r := 0
od
while (true) do skip

```

Figure 14: Program acqrel

```

a := 0
r := 0
ninit := ?
while (?) do
  a := 1
  a := 0
  n := ninit
  while (n > 0) do n := n - 1
  r := 1
  r := 0
od
while (true) do skip

```

Figure 15: Program acqrel-mod

```

r := 0
while (x > 0) do
  x := x - 1
  if (?) then r := 1
od

```

Figure 16: Program `test.existential_3`

Example 6

The duality between existential and universal CTL properties (see Section 3) can improve the precision of the analysis in some cases. Consider program `test.existential_3` in Figure 16. This program satisfies the existential property $x > 0 \Rightarrow \exists \Diamond(r = 1)$. Unfortunately, `FuncTion` is not able to prove this directly. While `FuncTion` is able to show $x = 2 \Rightarrow \exists \Diamond(r = 1)$, it fails for the stronger property due to imprecision. However `FuncTion` successfully proves the equivalent universal property $x > 0 \Rightarrow \neg \forall \Box(r \neq 1)$. `FuncTion` can be configured to automatically convert all existential properties to their universal counterpart when possible.

Next we present an evaluation of the `FuncTion` CTL analysis capabilities.

10 Evaluation

This section presents the result of our evaluation of the analysis capabilities of `FuncTion` for CTL properties. We evaluated the capabilities of `FuncTion` based on series of test cases. To compare how `FuncTion` fares against other static analyzers, we ran the same test cases (where possible) on these other analyzers. To following two static analyzers where chosen for a comparative analysis:

T2 Temporal Prover

The T2 Temporal Prover [15] is a static analyzer with support (among other things) for CTL properties. The analysis of CTL properties is based on the work of Cook et. al. [16]. T2 uses a control-flow-graph based program representation. Programs need to be convert to the T2 format by hand in most cases which makes analyzing larger C programs rather tedious. There exists an LLVM [17] backend `llvm2KITTeL`⁷ which converts LLVM bitcode to T2 format. However, `llvm2KITTeL` removes dead assignments and renames variables due to the static-single-assignment format of LLVM. This makes `llvm2KITTeL` unsuitable for this evaluation, since all CTL properties in our test cases depend on variable writes and the original variable names defined

⁷<https://github.com/s-falke/llvm2kittel>

in the C source code.

Ultimate LTL Automizer

Ultimate LTL Automizer [18] is part of the Ultimate program analysis framework⁸. It can analyze LTL properties of C programs. LTL and CTL share a common fragment but are not comparable in general [19]. Therefore not all of our test cases can be run by Ultimate.

Table 1 lists all test cases and the corresponding CTL properties that were analyzed for the evaluation. The first set of test cases is a series of toy examples that demonstrate the basic capabilities of FuncTion (1.x). The second set of test cases (2.x) were taken from Eric Kosinen's PhD thesis [14] and are publicly available on GitHub⁹. Note that we only took a subset of these test cases since not all of them were compatible with FuncTion due to arrays, pointers, structs etc. The third set of test cases (3.x) are sample programs from Ultimate LTL Automizer¹⁰. The final set of test cases (4.x) are a selection of non-terminating programs from SV-Comp¹¹. The corresponding CTL properties were chosen by us.

Table 2 shows the results of running all test cases with FuncTion, T2 and Ultimate.

The first set of test cases were converted to the T2 format by hand. All test cases with non-existential properties were also executed on Ultimate since they are compatible with LTL. T2 struggles with some of the examples of the first set. It even crashed for test cases 1.4 and 1.9¹². Ultimate can handle all non-existential CTL properties of the first test set.

In the second test set, FuncTion fails to verify test cases 2.1, 2.3 and 2.6. The reason for that stems from imprecision due to non-determinism (see Example 5 in Section 9.4). For the first two, we could mitigate the problem by adding slight adjustments. Test cases 2.2 and 2.4 are the result. Ultimate was able to verify all examples of the second test set. T2 verified the first four, the last two were too large to convert to the T2 format.

All test cases of the third test set save 3.9 could be successfully verified by FuncTion. As in the second test set, we had to slightly modify 3.9 to pass

⁸<https://ultimate.informatik.uni-freiburg.de>

⁹<https://github.com/ultimate-pa/ultimate/tree/dev/trunk/examples/LTL/koskinen>

¹⁰<https://github.com/ultimate-pa/ultimate/tree/dev/trunk/examples/LTL/coolant>

¹¹<https://sv-comp.sosy-lab.org/2017>

¹²This was reported to the T2 team

No	Program	Property
1.1	and_test.c	$\forall \square \forall \diamond (n = 1) \wedge \forall \diamond (n = 0)$
1.2	and_test.c	$\forall \square \forall \diamond (n = 1)$
1.3	and_test.c	$\forall \diamond (n = 0)$
1.4	global_test_simple.	$\forall \square \forall \diamond (x \leq -10)$
1.5	multi_branch_choice.c	$\forall \diamond (x = 4 \vee x = -4)$
1.6	multi_branch_choice.c	$\exists \diamond (x = -4)$
1.7	or_test.	$\forall \diamond \forall \square (x < -100) \vee \forall \diamond (x = 20)$
1.8	potential_termination.c	$\exists \diamond (\text{exit} : \text{true})$
1.9	until_test.c	$\forall (x \geq y \ U \ x = y)$
1.10	existential_test_1	$\exists \diamond (r = 1)$
1.11	existential_test_3	$\exists \diamond (r = 1)$
1.12	existential_test_4	$\exists \diamond (r = 1)$
2.1	acqrel.c	$\forall \square ((a = 1) \Rightarrow \forall \diamond (r = 1))$
2.2	acqrel_mod.c	$\forall \square ((a = 1) \Rightarrow \forall \diamond (r = 1))$
2.3	fig8-2007.c	$(\text{set} = 1) \Rightarrow \forall \diamond (\text{unset} = 1)$
2.4	fig8-2007_mod.c	$(\text{set} = 1) \Rightarrow \forall \diamond (\text{unset} = 1)$
2.5	win4.c	$\forall \diamond \forall \square (WItemsNum \geq 1)$
2.6	toylin.c	$(c \leq 5 \wedge c > 0) \vee \forall \diamond (\text{resp} > 5)$
3.1	coolant_basis_1_safe_sfty.c	$\forall \square ((\text{chainBroken} = 1) \Rightarrow \forall \square (\text{chainBroken} = 1))$
3.2	coolant_basis_1_unsafe_sfty.c	$\neg \forall \square ((\text{chainBroken} = 1) \Rightarrow \forall \square (\text{chainBroken} = 1))$
3.3	coolant_basis_2_safe_lifeness.c	$\forall \square \forall \diamond (\text{otime} < \text{time})$
3.4	coolant_basis_2_unsafe_lifeness.c	$\neg \forall \square \forall \diamond (\text{otime} < \text{time})$
3.5	coolant_basis_3_safe_sfty.c	$\forall \square ((\text{init} = 3) \Rightarrow \forall \square \forall \diamond (\text{time} > \text{otime}))$
3.6	coolant_basis_3_unsafe_sfty.c	$\neg \forall \square ((\text{init} = 3) \Rightarrow \forall \square \forall \diamond (\text{time} > \text{otime}))$
3.7	coolant_basis_4_safe_sfty.c	$\forall \square (\text{init} \neq 3 \vee \text{tem} \leq \text{limit} \vee \forall \diamond \forall \square (\text{chainBroken} = 1))$
3.8	coolant_basis_4_unsafe_sfty.c	$\neg \forall \square (\text{init} \neq 3 \vee \text{tem} \leq \text{limit} \vee \forall \diamond \forall \square (\text{chainBroken} = 1))$
3.9	coolant_basis_5_safe_sfty.c	$\forall (\text{init} = 0 \ U \ (\forall (\text{init} = 1 \ U \ \forall \square (\text{init} = 3)) \vee \forall \square (\text{init} = 1)))$
3.10	coolant_basis_5_safe_cheat.c	$\forall (\text{init} = 0 \ U \ (\forall (\text{init} = 1 \ U \ \forall \square (\text{init} = 3)) \vee \forall \square (\text{init} = 1)))$
3.11	coolant_basis_5_unsafe_sfty.c	$\neg \forall (\text{init} = 0 \ U \ (\forall (\text{init} = 1 \ U \ \forall \square (\text{init} = 3)) \vee \forall \square (\text{init} = 1)))$
3.12	coolant_basis_6_safe_sfty.c	$\forall \square (\text{limit} \leq -273 \vee \text{limit} \geq 10 \vee \text{tempIn} \geq 0 \vee \forall \diamond (\text{warndLED} = 1))$
3.13	coolant_basis_6_unsafe_sfty.c	$\neg \forall \square (\text{limit} \leq -273 \vee \text{limit} \geq 10 \vee \text{tempIn} \geq 0 \vee \forall \diamond (\text{warndLED} = 1))$
4.1	Bangalore_false-no-overflow.c	$\exists \diamond (x < 0)$
4.2	Ex02...	$i < 5 \Rightarrow \forall \diamond (\text{exit} : \text{true})$
4.3	Ex07...	$\forall \diamond \forall \square (i = 0)$
4.4	java.Sequence...	$\forall \diamond (\forall \diamond (j \geq 21) \wedge i = 100)$
4.4	Madrid...	$\forall \diamond (x = 7 \wedge \forall \diamond \forall \square (x = 2))$
4.4	NO_02...	$\forall \diamond \forall \square (j = 0)$

Table 1: Table of test cases. Lists the number, program name and CTL property to be analyzed.

(see 3.10). All test cases of the third set are rather large and contain function calls. Therefore we could not afford to translate them to T2 due to time limitations.

In the fourth test set we again compare FuncTion against T2. This yields a similar result as the first test set. The CTL analysis capabilities of T2 seem to be rather error prone at this point in time with makes it hard to draw a fair comparison. Furthermore, the T2 specific input format makes it difficult to run larger examples with T2.

FuncTion comes close to the capabilities of Ultimate LTL Automizer when analyzing compatible LTL properties. Ultimate was able to verify all LTL compatible test cases. FuncTion, as opposed to Ultimate, struggles in some cases when dealing with non-determinism. It is easy to pinpoint these problems and introduce adjustments that would lead to a successful analysis. This gives important insights for future improvements to FuncTion. The benefit of FuncTion over Ultimate is that it can analyze existential properties and that it provides more information when analyzing liveness properties due to the derived counting functions.

In conclusion, we believe that our CTL analysis framework is able to keep up with other available static analyzers.

No	FuncTion	T2	Ultimate LTL
1.1	success	fail	success
1.2	success	fail	success
1.3	success	success	success
1.4	success	error	success
1.5	success	success	success
1.6	success	success	-
1.7	success	error	success
1.8	success	success	-
1.9	success	fail	success
1.10	success	success	-
1.11	success	success	-
1.12	success	success	-
2.1	fail	success	success
2.2	success	success	success
2.3	fail	success	success
2.4	success	success	success
2.5	success	-	success
2.6	fail	-	success
3.1	success	-	success
3.2	success	-	success
3.3	success	-	success
3.4	success	-	success
3.5	success	-	success
3.6	success	-	success
3.7	success	-	success
3.8	success	-	success
3.9	fail	-	success
3.10	success	-	success
3.11	success	-	success
3.12	success	-	success
3.13	success	-	success
4.1	success	success	-
4.2	success	out of memory	-
4.3	success	fail	-
4.4	success	error	-
4.5	success	error	-
4.6	success	success	-

Table 2: Table with results of test cases for FuncTion, T2 and Ultimate LTL Automizer.

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