Summarising the "Simpler Parametrized Algorithm for OCT"

by Daniel, Saket and Somnath

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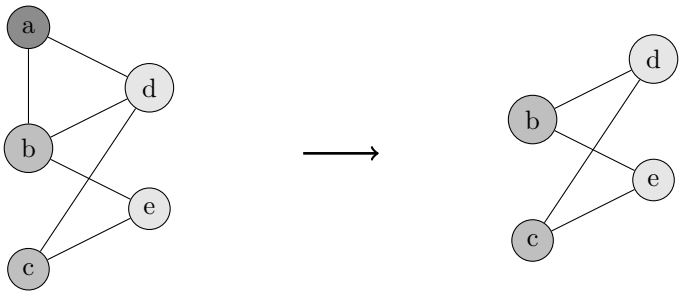
1 Problem Introduction

The odd cycle transversal problem on graph $G = (V, E)$ with integer k, denoted here as $OCT(G, k)$ is a predicate. True iff there exists an $S \subseteq V$ where $|S| \leq k$ st. $G' = (V \setminus S, E)$ contains no cycles of odd length. We say that S is an odd cycle transversal (OCT) for G.

Recall that a graph that doesn't contain odd-length cycles is bipartite, a very useful equivalence allowing us to use 2-colouring to drive intuition of the problem.



$S = \{a\}$, notice $G' = (V \setminus S, E)$ is bipartite, S is an OCT for this G.[1]

2 Preliminaries

We will arbitrarily order V provided for G; $v_1 v_2 ... v_n$, then let $V_i = \{v_1, v_2, ..., v_i\}$ and denote $G[V_i]$ to be the subgraph induced over V_i.

For convenience we also like to have $G \setminus S = G' = (V \setminus S, E)$.

[1] Notice that we could also have $S = \{b\}$ or even $S = \{d\}$, OCT is not strictly unique!

3 History

This problem stems directly from the *looser* vertex deletion problem; finding the OCT $\boldsymbol{S}$ for G such that for all other OCT's S of G we have $|\boldsymbol{S}| \leq |S|$. S is colloquially known as a hitting set, peculiar name surfaces in conjunction to the other types of hitting sets;

- Feedback Vertex Set (FVS): a vertex set that hits all cycles.
- Even Cycle Transversal (ECT): a vertex set that hits all cycles of even length.

Finding the minimal OCT of a graph G is a well-known NP-Hard problem.

3.1 Reed, Smith and Vetta

The first significant results regarding an algorithm that demonstrated $OCT(G, k)$ is FPT was obtained in 2004 by Reed, Smith and Vetta[1]. Their introduction of the iterative compression technique laid the groundwork for its use in various other parameterized algorithmic applications. The best resource I've found for describing this original algorithm has been published by the NPTEL collective[2] but as Daniel, Saket and Somnath describe; this algorithm is known to be quite difficult to grasp, hence leading the motivation for this "simpler" approach.

4 The Paper

In simplest summary this paper defines a compressed version of this problem, call it $C(G', S', k)$ such that G' is a subgraph of G, and S' is an "almost" OCT set of G', "almost" because $|S'| \leq k + \mathbf{1}$. This paper then shows why this problem is significantly simpler to solve, by defining an algorithm $C(G', S', k) \to S$ that returns a valid OCT for G' *actively using* the information given from S'.[2]

[2]This summary on it's own is actually sufficient for both this paper's approach and the original Reed, Smith and Vetta's approach. Highlights how focal this iterative compression technique is.

4.1 Algorithm Structure

We summarise this paper into three significant parts;

1. The iterative construction of bigger and bigger (wrt. size of subgraph G') compressed versions of the OCT problem, eventually coinciding with the subgraph $G' = G$.
2. The bounded iteration of possible solution spaces for the compressed problem highlighting the $f(k)$ part of the FPT algorithm.
3. An algorithm that linearly determines if the solution space provided above contains an OCT for the compressed problem.

Naturally in terms of algorithmic structure you then have this "outer loop", "middle loop" and "inner loop". We only provide commentary for the first two here, and unfortunately leave the reader to continue studying the "inner loop".

4.1.1 Outer Loop: Construction of compressed OCT problems

We have the following two very simple observations.[3]

- V_k is an OCT of $G[V_k]$.
- If S is an OCT of $G[V_i]$, then $S \cup \{v_{i+1}\}$ is an OCT of $G[V_{i+1}]$.

The first observation is used as a way to kick start that outer loop with compressed problem $C(G[V_{k+1}], V_{k+1}, k)$. We assume for any i that $C(G[V_i], S', k) \to S$ (next two sections). Given the previous iteration yields a valid OCT S for $G[V_i]$, we can iteratively construct a larger compressed problem via;

$$C(G[V_i] \cup \{v_{i+1}\}, S \cup \{v_{i+1}\}, k)$$

This happens *less than* $|V|$ times (precisely at most $|V| - k$).

4.1.2 Middle Loop: Iterating all solution spaces via the "close" OCT

Zooming into the $C(G, S', k) \to S$ mapping now[4]. The authors draw us to the realisation that *if* indeed there exists an OCT S with size $\leq k$ for G, then there must exist a partitioning of S' itself into L, R and T such that L and R are subsets themselves of the bigger partitioning that would happen on this given G if for some other set T' (which we will later find) we have a valid resulting bipartite graph $G \setminus T \cap T'$ (where of course $T \cap T' = S$ and $T \cap T' \leq k$).

Hence we iterate through *at most* 3^k partitions of S' (since $|S'| \leq k + 1$).

[3] Paper mentions another observation here; assuming G has a valid OCT S, then $S \cap V_i$ is an OCT of $G[V_i]$ for $i \leq n$, though I found it irrelevant.

[4] We originally introduced this with $G[V_i]$, but changing this to G here to simplify for readability.

4.1.3 Inner Loop: Attempt to construct an OCT

Obviously skipping all partitions of S' where $G[L]$ or $G[R]$ contains any edges as these need to represent the subsets of partitions of a bipartite graph. We now leave it to you to learn about how we use S' to construct a T', and use auxiliary vertices s, t to run a max-flow algorithm $O(k \cdot |E|)$ on to check whether the found $T' \cap T$ is a valid OCT for G.

4.2 Algorithm Complexity

Simply stitching the three parts together.

$$O(|V| \cdot 3^k \cdot k \cdot |E|) = O(f(k) \cdot n^{O(1)})$$

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Simpler Parameterized Algorithm for OCT

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Abstract

We give a simple and intuitive fixed parameter tractable algorithm for the Odd Cycle Transversal problem, running in time $O(3^k \cdot k \cdot |E| \cdot |V|)$. Our algorithm is best viewed as a reinterpretation of the classical Iterative Compression algorithm for Odd Cycle Transversal by Reed, Smith and Vetta [8].

1 Introduction

Iterative Compression is a tool that has recently been used successfully to solve a number of problems in Parameterized Complexity. This technique was first introduced by Reed, Smith and Vetta in order to solve the Odd Cycle Transversal problem. In this problem we are given a graph G together with an integer k. The objective is to find a set S of at most k vertices whose deletion makes the graph bipartite [8], and a set S such that $G \setminus S$ is bipartite is called an odd cycle transversal of G. The method of Iterative Compression was used in obtaining faster fixed parameter tractable (FPT) algorithms for Feedback Vertex Set, Edge Bipartization, Chordal Deletion and Cluster Vertex Deletion on undirected graphs [2, 3, 6, 4]. The technique was also used by Chen et al. [1] to show that the Directed Feedback Vertex Set problem is FPT, resolving a long standing open problem in Parameterized Complexity.

While the algorithm of Reed, Smith and Vetta for Odd Cycle Transversal was a breakthrough for parameterized algorithms, the algorithm and correctness proof is quite hard to understand. In an attempt to remedy this, Hüffner [5] provided an alternative algorithm for the problem. In this paper we give yet another algorithm for OCT. We believe that our algorithm is simpler and more intuitive than the previous versions.

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2 The Method of Iterative Compression

The method of Iterative Compression was introduced by Reed et al. [8] in order to solve the Odd Cycle Transversal (OCT) problem. The idea is to reduce the problem in question to a modified version, where we are also given as input a solution that is almost good enough, but not quite. For the case of Odd Cycle Transversal, we are given an odd cycle transversal S' of G of size $k+1$. We call this problem the *compression* version of Odd Cycle Transversal. The crux of the Iterative Compression method is that often the compression version of a problem is easier to solve than the original one.

Suppose we could solve the compression version of the problem in $O(f(k)n^c)$ time. We show how to solve the original problem in $O(f(k)n^{c+1})$ time. Order the vertices of $V(G)$ into $v_1v_2\ldots v_n$ and define $V_i = \{v_1 \ldots v_i\}$ for every i. Notice that if G has an odd cycle transversal S of size k then $S \cap V_i$ is an odd cycle transversal of $G[V_i]$ for every $i \leq n$. Furthermore, if S is an odd cycle transversal of $G[V_i]$ then $S \cup \{v_{i+1}\}$ is an odd cycle transversal of $G[V_{i+1}]$. Finally, V_k is an odd cycle transversal of size k of $G[V_k]$. These three facts together with the $f(k)n^c$ algorithm for the compression version of OCT give a $f(k)n^{c+1}$ time algorithm for OCT as follows. Call the algorithm for the compression version with input $(G[V_{k+1}], V_{k+1}, k)$. The algorithm will either report that $(G[V_{k+1}, k])$ has no odd cycle transversal of size k or return such an odd cycle transversal, call it S_{k+1}. In the first case G has no k-sized odd cycle transversal. In the second, call the algorithm for the compression version with input $(G[V_{k+2}], S_{k+1} \cup \{v_{k+2}\}, k)$. Again we either receive a "no" answer or a k-sized odd cycle transversal S_{k+2} of $G[V_{k+2}]$ and again, if the answer is negative then G has no k-sized odd cycle transversal. Otherwise we call the compression algorithm with input $(G, S_{k+2} \cup \{v_{k+3}\}, k)$ and keep going on in a similar manner. If we receive a negative answer at some step we answer that G has no k-sized odd cycle transversal. If we do not receive a negative answer at any step, then after $n-k$ calls to the compression algorithm we have a k-sized odd cycle transversal of $G[V_n] = G$. Thus we have resolved the input instance in time $O(f(k)n^{c+1})$. We refer to [7] for a more thorough introduction to Iterative Compression.

3 An algorithm for Odd Cycle Transversal

We now show how to solve the compression version of Odd Cycle Transversal in time $O(3^k \cdot k \cdot |E|)$. From the discussion in Section 2 it will follow that OCT can be solved in time $O(3^k \cdot k \cdot |E| \cdot |V|)$. For two vertex subsets V_1 and V_2 of $V(G)$ a walk from V_1 to V_2 is a walk with one endpoint in V_1 and the other in V_2, or a single vertex in $V_1 \cap V_2$. The following is a simple fact about bipartite graphs.

Fact 3.1 *Let $G = (V_1 \uplus V_2, E)$ be a bipartite graph with vertex bipartition $V_1 \uplus V_2$. Then*

1. *For $i \in \{1,2\}$, no walk from V_i to V_i has odd length.*

2. *No walk from V_1 to V_2 has even length.*

A *walk* in a graph is an alternating sequence of vertices and edges $v_0, e_1, v_1, e_2, \ldots, v_n$, such that $e_i = (v_{i-1}, v_i)$ is an edge for $i \in \{1, \ldots, n\}$. The length l of a walk is the number of edges used in the sequence.

Recall that we are given a graph G and an odd cycle transversal S' of G of size $k+1$ and we have to decide whether G has an odd cycle transversal of size at most k. If such an odd cycle transversal S exists then there exists a partition of S' into $L \uplus R \uplus T$, where $T = S' \cap S$ and L and R are subsets of the left and right bipartitions of the resulting graph. The algorithm iterates over all 3^k partitions of S into $L \uplus R \uplus T$. For each partition we run an algorithm that takes as input a partition of S' into $L \uplus R \uplus T$, runs in $O(k \cdot |E|)$ time and decides whether there exists a set of vertices T' of size at most $k - |T|$ in $G \setminus S'$ such that $G \setminus (T \cup T')$ is bipartite with bipartitions V_L and V_R such that $L \subseteq V_L$ and $R \subseteq V_R$. In the remainder of this section we give such an algorithm. This algorithm together with the outer loop over all partitions of S' yields the $O(3^k \cdot k \cdot |E|)$ time algorithm for the compression step.

Before proceeding we do a simple "sanity check". If there is an edge in $G[L]$ or $G[R]$ it is clear that X can not exist since then either V_L or V_R can not be an independent set. Hence if there is an edge in $G[L]$ or $G[R]$ we can immediately skip to the next partition of S'. Now, since $G \setminus S'$ is bipartite, let $A \uplus B$ be a bipartition of $G \setminus S'$. Let A_l and B_l be the neighbors of L in A and B respectively. Similarly let A_r and B_r be the neighbours of R in A and B respectively.

Lemma 3.2 *Let (G, S', k) be an instance of the compression version of* Odd Cycle Transversal *and let $S' = L \uplus R \uplus T$. If $X \subseteq (V(G) \setminus S')$ is a set of vertices such that $G \setminus (T \cup X)$ is bipartite with bipartitions V_L and V_R such that $L \subseteq V_L$ and $R \subseteq V_R$, then in $G \setminus (S' \cup X)$, there are no paths between A_l and B_l; B_l and B_r; B_r and A_r; and, A_r and A_l.*

Proof. Any path from A_l to B_l in $G \setminus (S' \cup X)$ has odd length and can be extended to a walk from L to L of odd length in $G' \setminus (T \cup X)$, contradicting Fact 3.1. A symmetric argument shows that there are no paths between B_r and A_r in $G \setminus (S' \cup X)$. Any path from B_l to B_r in $G \setminus (S' \cup X)$ must be of even length and can be extended to a walk in $G \setminus (T \cup X)$ from L to R of even length, again contradicting Fact 3.1. A symmetric argument yields that there are no paths between A_r and A_l. ∎

Lemma 3.3 *Let (G, S', k) be an instance of the compression version of* Odd Cycle Transversal *and let $S = L \uplus R \uplus T$ such that $G[L]$ and $G[R]$ are independent sets. Let X be a set of vertices in $V(G) \setminus S'$ such that in $G \setminus (S' \cup X)$, there are no paths between A_l and B_l; B_l and B_r; B_r and A_r; and, A_r and A_l. Then $G \setminus (T \cup X)$ is bipartite with bipartitions V_L and V_R such that $L \subseteq V_L$ and $R \subseteq V_R$.*

Proof. Notice that every path from a vertex in L to another vertex in L with inner vertices only in $V(G) \setminus (S' \cup X)$ must have even length. Similarly every path from a vertex in R to another vertex in R with inner vertices only in $V(G) \setminus (S' \cup X)$ must have even length and every path from a vertex in L to a vertex in R with inner vertices only in $V(G) \setminus (S' \cup X)$ must have odd length. Since $G[L]$ and $G[R]$ are independent sets it follows that if $G \setminus (T \cup X)$ is bipartite then it has bipartitions V_L and V_R such that $L \subseteq V_L$ and $R \subseteq V_R$. We now prove that $G \setminus (T \cup X)$ is bipartite.

Consider a cycle in $G \setminus (T \cup X)$. If C does not contain any vertices of $(L \cup R)$ then $|E(C)|$ is even since $G \setminus S'$ is bipartite. Let $v_1, v_2, \ldots v_t$ be the vertices of $(L \cup R) \cap C$ in their order of appearance along C. Let $v_0 = v_t$, then we have that $|E(C)| = \sum_{i=0}^{t-1} d_c(v_i, v_{i+1})$. But then $E(C)$ must be even since the number of indices i such that $v_i \in L$ and $v_{i+1} \in R$ is equal to the number of indices j such that $v_j \in R$ and $v_{j+1} \in L$. This concludes the proof. ∎

To check whether $G \setminus T$ has an odd cycle transversal X such that $G \setminus (T \cup X)$ is bipartite with bipartitions V_L and V_R such that $L \subseteq V_L$ and $R \subseteq V_R$ we proceed as follows. Construct an auxiliary graph $\widetilde{G}$ from $G \setminus S'$ by introducing two special vertices s, t and connecting s to each vertex in $A_l \cup B_r$ and t to each vertex in $A_r \cup B_l$. Lemmas 3.2 and 3.3 show that it is sufficient to check whether there is an st-separator in $\widetilde{G}$ of size at most $k - |T|$. This can be done using max flow in time $O(k \cdot |E|)$. These discussions together with Lemmata 3.2 and 3.3 bring us to the following theorem.

Theorem 3.4 *There is an algorithm that given a graph $G = (V, E)$ and integer k decides whether G has an* OCT *of size at most k in time $O(3^k \cdot k \cdot |E| \cdot |V|)$.*

4 Concluding Remarks

In this paper we gave an alternate algorithm for Odd Cycle Transversal based on the Iterative Compression technique. Traditionally, algorithms that use Iterative Compression partition the given $k+1$-sized solution into two parts. Our algorithm is the first to partition this set in three parts. This is a key element in deriving our algorithm. We believe that partitioning the given $k+1$-sized solution into more than two parts will be useful in designing Iterative Compression based algorithms.

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