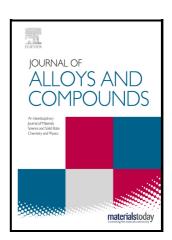
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# Designing a thermodynamically stable and intrinsically ductile refractory alloy

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#### Abstract

Developing ductile refractory alloys have remained a challenge. Decreasing the valence electron concentration of refractory alloys has been widely suggested for improving their ductility by lowering their stacking fault energy. However, Re has been used to ductilize W, which goes against the low valency suggestion. The thermodynamic stability of refractory alloys has never been considered while suggesting alloying elements to improve ductility. Here we use first-principles density functional theory simulations to unravel the role of enthalpy of formation in improving the intrinsic ductility of refractory alloys. The intrinsic ductility is assessed using the D-parameter, which is the ratio of surface energy and unstable stacking fault energy. We studied 25 equiatomic refractory binary alloys and found that positive enthalpy of formation improves ductility. The small positive enthalpy of formation could be compensated by sufficiently large entropy; hence the alloy is expected to be a single phase. Our present work explains the role of high-valency and low-valency alloying elements in improving the ductility of refractory alloys. These findings provide a path to design thermodynamically stable and intrinsically ductile high-temperature alloys.

Keywords: high-temperature alloys, enthalpy, metals and alloys, surfaces and interfaces, transition metal alloys and compounds, composition fluctuations

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### 1. Introduction

The continued cost pressures and ever stringent environmental norms have pushed aerospace and power generation industries to improve the overall efficiency of their jet-engines and gasturbines [1]. Higher operating temperatures would lead to better thermodynamic efficiency in these propulsion and energy conversion systems [2, 3]. The Ni-based superalloys have ruled this application domain for the past more than six decades [4]. The melting point of the base metal Ni ( $T_m=1455^{\circ}C$ ) limits the highest operating temperature of these Ni-based superalloys [1, 5]. Alloys based on refractory metals show great potential in this domain due to their high melting-points and their ability to maintain mechanical properties at temperatures higher than the current Ni-based superalloys [5]. Refractory alloys are based on Group IV, V, and VI metals [6, 7, 8]. These elements have very high melting-points ( $T_m >> 1800^{\circ}C$ ) and predominantly BCC crystal structure which limits their ductility at ambient temperatures.

The lack of deformability at lower temperatures makes refractory alloys difficult to manufacture, which creates a bottleneck in developing them for various applications. Mo has been ductilized by adding 25at.% of Ti/Zr/Hf, indicating that HCP alloying additions should ductilize Mo [9]. W has been ductilized by Re addition [10, 11], which has been explained through simulations as reduction in the overall ustable stacking fault energy ( $\gamma_{usfe}$ ) of the alloy [12]. There have been conflicting suggestions about alloying additions to make W deformable [12, 13]. Qian et al. [13] suggest Re addition to W leads to decreased generalized stacking fault energy ( $\gamma_{gsfe}$ ) and increased ductility, whereas Ta addition has the opposite effect. However, in Qian's work, the chemistry of the supercell was W<sub>47</sub>X (X=Ta or Re), which may not be an accurate representation of a concentrated alloy. Re addition in 12.5at.% or 25at.% to W has been shown to decrease the shear resistance of {110}<111> and {112}<111> slip system, which in turn improves the ductility of W [14]. Reducing the valence electron concentration (VEC) [15] and reducing the lattice distortion in Nb-based alloys has been shown to decrease the Peierls stress [16] which in turn makes alloy deformable. The addition of Ti has been shown to ductilize NbMoTaW and NbZrTa alloys

[17, 18]. Decreasing Ta has been shown to decrease the resolved shear stress of the TiZrHfTa, enhancing its ductility [19]. Sheikh et al. [20] suggest alloying with Ti, Zr, and Hf (HCP elements) should ductilize the refractory alloys as it reduces the VEC; this goes against the high valency Re addition to ductilize W. The suggestions given in literature on ductilizing refractory metals and alloys are summarized in Table 1. From the Table 1, we observed a

Table 1: Ductilizing strategies reported in literature for refractory metals and alloys. (M-Modeling, E-Experiments)

Metal/Alloy	Ductilizing strategy	Method	Reference
W	Increase Ta $(\downarrow VEC)$ , Add Re $(\uparrow VEC)$	M	[12]
W	Increase Re († VEC)	$_{\mathrm{E,M}}$	[21, 14]
W	Add Re (↑ VEC)	$\mathbf{E}$	[11]
Mo	Add Ti, Zr, Hf (↓ VEC)	M	[9]
Mo	Add low valency elements $(\downarrow VEC)$	$\mathbf{M}$	[22]
Nb	Add low valency elements $(\downarrow VEC)$	$\mathbf{M}$	[15, 16]
TiZrHfTa	Decrease Ta (↓ VEC)	$\mathbf{M}$	[19]
NbZrTaTi	Decrease Ta (↓ VEC), Increase Ti (↓ VEC)	$_{\rm E,M}$	[18]
NbMoTaW	Add Ti (↓ VEC)	$\mathbf{E}$	[17]

common strategy to ductilize refractory metals and alloys is by decreasing their VEC. However, Re addition to ductilize W remains an exception to the low VEC suggestion. We found that the focus in earlier studies has been on improving the ductility of these alloys without considering their thermodynamic stability. The strength and ductility of FCC alloys can be regulated by modifying the stacking fault energy ( $\gamma_{sfe}$ ), tuning short-range order, and lattice distortion, as reported in [23, 24, 25, 26, 27, 28, 29, 30, 31]. Large decrease in  $\gamma_{sfe}$ of FCC alloys (as compared to their composition averaged  $\gamma_{sfe}$ ) due to alloying has already been observed in Co-Cr-Fe-Mn-Ni alloy and its sub-systems [32, 33, 34, 35, 36, 37, 38, 39].

We conclude from various reports on ductilizing refractory alloys that HCP metals such as Ti, Zr, Hf which have low  $\gamma_{sfe}$ , are added to reduce the overall  $\gamma_{usfe}$  of the alloy; which suggests that the Rule-of-Mixtures (ROM) dictates the overall  $\gamma_{usfe}$  of the alloy. Most of the earlier studies selected alloying elements to get maximum decrease in the overall  $\gamma_{usfe}$  or increase in the intrinsic ductility of the alloy, without considering its thermodynamic stability. This calls for a comprehensive study on the role of various alloying elements

on the deformability and thermodynamic stability of refractory alloys. The stacking fault energy ( $\gamma_{sfe}$ ) of NiFe [39, 38] and CoCrFeNi [34, 32] calculated using first-principles density functional theory (DFT) simulations matches with their respective experimental value. This shows that the  $\gamma_{sfe}$  can be accurately predicted using DFT. Present work addresses these issues using DFT simulations as atomistic modeling based on DFT provides an accurate description of configurational and chemical space. Our present work gives a fundamental explanation of the role of both high valency as well as low valency elements in ductilizing W and other refractory metals and alloys.

### 2. Methods

Ti, Zr, Hf, V, Nb, Ta, Mo, W, Re, and their 30 equiatomic binary alloy systems (excluding alloys made up of only HCP elements) are studied using DFT simulations. Lattice parameter (a, c, Å), enthalpy of formation ( $\Delta E_f$ , eV/Atom), unstable stacking fault energy (USFE,  $\gamma_{usfe}$ , mJ/m<sup>2</sup>), and surface energy ( $\gamma_s$ , mJ/m<sup>2</sup>) are calculated for V, Nb, Ta, Mo, W, and 30 equiatomic binary alloys in BCC symmetry. For Ti, Zr, Hf, and Re,  $\gamma_{usfe}$  is calculated for  $\{0001\}$ < 11 $\bar{2}0$  > slip system,  $\gamma_s$  is calculated for  $\{0001\}$  plane, a, c, and  $\Delta E_f$  are calculated for HCP crystal structure.

### 2.1. Special Quasirandom Structures, Supercell

Special quasirandom structures (SQS) are used to capture chemical disorder in the alloys. SQS are generated using MCSQS code from Alloy Theoretic Automatic Toolkit (ATAT) [40, 41] with pair, triplet, and quadruplet correlations with cut-off distance equal to the BCC unit cell lattice parameter (second nearest neighbor distance). The  $\Delta E_f$  and lattice parameter are calculated with 128 atoms SQS generated using a BCC unit cell repeated four times each in <100> directions. The experimental values of lattice parameters are taken from Ref.[42]. The reported lattice parameters were within 1% deviation of their experimental values. The supercells were visualized using VESTA software [43].

#### 2.2. First-principles calculations

The DFT calculations were performed using Vienna Ab-initio Simulation Package (VASP) with plane-wave basis and projector augmented wave (PAW) pseudopotentials [44, 45, 46]. For all calculations, a plane wave kinetic energy cutoff of at least 1.3 times the maximum given in the pseudopotential file was used. The electronic exchange-correlation effects were calculated by Perdew-Burke-Erzernhoff generalized gradient approximation (PBE-GGA) [47, 48]. Methfessel-Paxton smearing method with 0.2 eV width was used [49]. Structural relaxation was terminated when the forces on atoms become less than 1 meV/Å. Tetrahedron method with Blöch correction was used for energy calculation [45]. The Brillouin zone sampling was performed using Monkhorst–Pack [50] scheme with automatically generated mesh with k-point spacing of less than  $2\pi \times 0.03$  Å<sup>-1</sup>.

### 3. Results and Discussions

To better understand the factors affecting the intrinsic ductility of concentrated BCC alloys, refractory equiatomic binary alloys made from Group-IV (Ti, Zr, Hf), Group-V(V, Nb, Ta), Group-VI(Mo, W), and Group-VII(Re) elements are studied. The  $\gamma_{usfe}$  calculation of solid solutions using DFT, is bound to have errors due to the change in local chemistry while the interface is being sheared and due to the stoichiometry of the shearing interface. The magnitude of this error could alter conclusions, hence an estimate of this error is important while making comparison across alloys. Here we have estimated the maximum error that gets introduced, and discuss ways to minimize it, making our conclusions reliable.

To address these shortcomings which we found in the literature, a DFT-based workflow is developed to calculate a,c,  $\Delta E_f$ ,  $\gamma_{usfe}$ , and surface energy ( $\gamma_s$ ) (Figure 1). The workflow is elaborated further in the subsequent sections. The results are shown in the form of heat maps in Figure 2. Additional information can be found in the supplementary file.

## 3.1. Enthalpy of formation $(\Delta E_f)$

The stability of an alloy (solid solution) is dictated by the Gibbs energy of its constituent phases as,

$$\Delta G = \Delta H - T\Delta S$$

$$= \Delta E_f + \Delta (PV) - T\{\Delta S_{config} + \Delta S_{vib} + \Delta S_{mag} + \Delta S_{elec}\}$$

where  $\Delta E_f$  is the enthalpy of formation at 0K,  $\Delta(PV)$  is the pressure-volume term,  $\Delta S_{config}$  is the configurational entropy,  $\Delta S_{vib}$  is the vibrational entropy,  $\Delta S_{mag}$  is the magnetic entropy,  $\Delta S_{elec}$  is the electronic entropy. The  $\Delta(PV)$  term can be ignored [51]. Therefore the equation becomes,

$$\Delta G = \Delta E_f - T \Delta S_{config} - T \{ \Delta S_{vib} + \Delta S_{mag} + \Delta S_{elec} \}$$

For an equiatomic binary alloy AB,  $\Delta E_f$  is calculated with the relation [52],

$$\Delta E_f^{AB} = E_{AB} - 0.5 * (E_A + E_B)$$

where  $E_{AB}$  is the energy per atom of alloy AB in BCC symmetry,  $E_A$  and  $E_B$ , are the energy of constituents A and B in their most stable phase. All values are in the units of eV/Atom. The 9 alloying elements form a total of 36 equiatomic binary alloys. Out of these 36 alloys, 6 systems containing both the constituents being in HCP crystal structure, are not considered in the present study. Figure 2a shows the enthalpy of formation ( $\Delta E_f$ , eV/Atom) values in the form of heat map. We have not considered VRe [53], TaRe [54], HfW [55], ZrW [56], VHf [57], VZr [58], having  $\Delta E_f$ , -0.2456, -0.1942, 0.1114, 0.1477, 0.1631, 0.1710 meV/Atom respectively, for subsequent analysis as they can either form intermetallic (VRe, TaRe) due to very negative  $\Delta E_f$  or they can segregate (HfW, ZrW, VHf, VZr) due to highly positive  $\Delta E_f$ . The  $\Delta E_f$  of alloys considered in present study ranges from -0.1232eV/Atom (TaMo) to 0.0994eV/Atom (WRe).

The  $\Delta E_f$  value of 0.0994meV/Atom appear very large for a solid solution to be stable. For an equiatomic binary alloy, the  $T\Delta S_{config}$  term has value of about 18 meV/Atom at 300K. Since WRe forms a stable solid solution [59], we expect that the other entropic contributions would be sufficiently large ( $\approx 80 \text{meV/Atom}$ ) to overcome the 0.0994eV/Atom  $\Delta E_f$  value. Although we take WRe as an extreme case of having the most positive  $\Delta E_f$  (among the presently considered alloys), depending upon the  $T\Delta S_{config}$  and  $T\Delta S_{vib}$  values, not all alloys may be stable.

# 3.2. Unstable stacking-fault energy ( $\gamma_{usfe}$ )

Within DFT, the  $\gamma_{usfe}$  is calculated using the relation given below,

$$\gamma_{usfe} = \frac{E_{faulted} - E_{pristine}}{(Area \ of \ Plane)}$$

where the  $E_{faulted}$  is the energy of supercell having a stacking fault and the  $E_{pristine}$  is the energy of pristine supercell. The  $\{110\}<111>$  slip system of BCC metals/alloys has the lowest energy barrier for activation. Therefore, we have chosen the same slip system in present study.

### 3.2.1. Slip system

The  $\gamma_{usfe}$  of an alloy strongly depends on the  $\gamma_{usfe}$  of its constituent pure metals. In order to understand how the  $\gamma_{usfe}$  of pure elements affect the  $\gamma_{usfe}$  of an alloy, comparison of similar slip systems should be made. Since some of the pure metal constituents of alloy have HCP crystal structure, the  $\{110\}<111>$  slip system of BCC should be compared with an equivalent slip system in HCP crystal structure. The  $\{0001\}$  slip plane in HCP crystal structure have similar close-packed arrangement of atoms as that in  $\{110\}$  slip plane of BCC crystal structure. The  $\{0001\}$  slip plane  $\gamma_{sfe}$  curves of Zr are shown in Figure 3a for  $<11\bar{2}0>$  and in Figure 3c for  $<10\bar{1}0>$  slip direction. Figure 3e shows the  $\gamma_{sfe}$  curve of Nb for  $\{110\}<111>$  slip system. From Figures 3b and 3f, it is observed that the atomic arrangements in BCC- $\{110\}$  and HCP- $\{0001\}$  slip planes is similar. Therefore, from Figure

3 it is clear that the  $\{110\}<111>$   $\gamma_{usfe}$  of BCC crystal structure should be compared with the  $\{0001\}<11\bar{2}0>$   $\gamma_{usfe}$  of HCP crystal structure.

### 3.2.2. Shearing interface stoichiometry

Special quasirandom structures (SQS) have been extensively used to predict the  $\gamma_{sfe}$  of alloys due to their simplicity in capturing the inherent chemical disorder present in the alloys [60]. In present work, the SQS supercell with in-plane dimensions equal to 4 and 3 times of the first nearest neighbor distance of the BCC crystal structure are generated (Figure S1), considering the pair, triplet, and quadruplet correlations with cut-off distance equal to the regular BCC unit cell lattice parameter (second nearest neighbor distance). The supercell had 10 planes of (110)-type giving 9 shearing interfaces as shown in Figure S1. A vacuum of 10Å is added to the supercell to prevent the interactions due to the periodic boundary condition. To calculate the  $\gamma_{usfe}$ , the atoms in top two planes and bottom two planes were fixed in all directions, whereas, the remaining atoms from the middle six planes were fixed only in slip direction. There are four [111]-type slip directions available for every shearing interface, giving 4 different  $\gamma_{usfe}$  values. Therefore, the same supercell can gives 36 different  $\gamma_{usfe}$  values.

Using the above methodology, we calculated the  $\gamma_{usfe}$  for (110)[111] slip system of WRe alloy (Figure 4). For any shearing interface the energy change in the pristine and slipped supercell is due to the change in the local bonding environment. Out of the 4 possible  $\gamma_{usfe}$ , we choose the one with the minimum difference in energy of pristine and sheared slab as shown in Figure S2 and S3. Therefore there are 9  $\gamma_{usfe}$  values shown in in Figure 4 instead of 36. As observed from Figure 4, the  $\gamma_{usfe}$  varies from 906 to 1199 mJ/m<sup>2</sup>. Similarly the energy difference between pristine and sheared supercell varies from 0.5 to 109 mJ/m<sup>2</sup> (lower inset in Figure 4). There are two shearing interfaces in Figure 4 having the same stoichiometry as that of the overall supercell chemistry (equiatomic WRe). These two shearing interfaces give 1110mJ/m<sup>2</sup> and 1086mJ/m<sup>2</sup>  $\gamma_{usfe}$  value. It indicates that the 2<sup>nd</sup> nearest neighbor also influences the  $\gamma_{usfe}$ . We have taken 1086mJ/m<sup>2</sup> as the  $\gamma_{usfe}$  value of WRe in present study as this shearing interface was having the lowest energy difference of

1.9mJ/m<sup>2</sup> between the pristine and sheared supercell.

Based on the above discussion, one should consider only the equiatomic shearing interface to calculate the  $\gamma_{usfe}$  of equiatomic alloys. Since the supercell has shearing interfaces that do not have equiatomic stoichiometry, it is assumed that all possible values of  $\gamma_{usfe}$  can exist. The strategy in the literature have been to report an average  $\gamma_{usfe}$  obtained from all shearing interfaces. Such approach does not assure a specific value of error that gets introduced. From WRe results (Figure 4), it clear that stoichiometry strongly affects the  $\gamma_{usfe}$ . That is because of nearest neighbor bond in the shearing interface. Since the intent is to calculate the  $\gamma_{usfe}$  for an equiatomic alloy, we choose the value corresponding to equiatomic shearing interface. Among the two interfaces that have same stoichiometry in Figure 4, there is a difference in  $\gamma_{usfe}$  value due to different set of second nearest neighbors. For the rest of the alloys, the obvious choice of  $\gamma_{usfe}$  will be from the shearing interface having the same stoichiometry as that of the supercell. We found that the maximum energy difference between the pristine and the sheared supercell is  $60 \text{mJ/m}^2$ . This error is alloy dependent, whereas the lowest error is  $0 \text{mJ/m}^2$  in NbTa. Such error estimation which could affect the interpretation have not been discussed in the literature.

# 3.2.3. On the origin of $\gamma_{usfe}$ of binary alloys

Figure 2c shows the  $\gamma_{usfe}$  calculated using DFT method, in the form of heat map for pure elements and the alloys under study. The HCP elements have the lowest  $\gamma_{usfe}$  except Re. Among the studied pure metals, the overall trend is of increasing  $\gamma_{usfe}$  as we go right in the periodic table from Group-IV to Group-VII. W (1773mJ/m³) has the highest  $\gamma_{usfe}$  whereas Zr (457mJ/m³) has the lowest  $\gamma_{usfe}$ . For alloys, the  $\gamma_{usfe}$  ranges from 454mJ/m² (TaZr) to 1681mJ/m² (MoW). Figure 2d shows the change in  $\gamma_{usfe}$  of the alloys from their composition averaged value ( $\Delta\gamma_{usfe}$ ) as calculated below,

$$\Delta \gamma_{usfe} = \frac{\gamma_{usfe}^{DFT} - \gamma_{usfe}^{ROM}}{\gamma_{usfe}^{ROM}} \times 100$$

where the  $\gamma_{usfe}^{DFT}$  is the DFT calculated value and  $\gamma_{usfe}^{ROM}$  is the composition averaged value. The  $\Delta\gamma_{usfe}$  ranges from -41% (MoRe) to +20% (MoV). As observed from Figures 2c and Figure 2d, the rule-of-mixtures (ROM) overestimates the  $\gamma_{usfe}$  for a number of alloys. For example, the DFT-calculated  $\gamma_{usfe}$  for WRe and MoRe is 1110mJ/m<sup>2</sup> and 889mJ/m<sup>2</sup> respectively. However the -35% (WRe) and -41% (MoRe)  $\Delta\gamma_{usfe}$  indicates that ROM overestimates the  $\gamma_{usfe}$  by a large margin and is not a reliable method to get the correct values. This also suggests that the  $\gamma_{usfe}$  of alloys is dictated by the nature of bonds between the constituent atoms.

The positive and negative  $\Delta \gamma_{usfe}$  can be due to the repulsive or attractive interaction between the constituent atoms. One of the parameter to assess the nature of interaction is enthalpy of formation  $(\Delta E_f)$ . The  $\Delta E_f$  of equiatomic alloy captures the attractive (negative  $\Delta E_f$ ) or repulsive (positive  $\Delta E_f$ ) interaction between atoms even if the crystal structure of metals that form the alloy are not the same. For example, W and Re have BCC and HCP crystal structure in their pure state, respectively. The  $\Delta E_f$  of W25-Re75 alloy in BCC crystal structure will have contribution from change in crystal structure of Re from HCP to BCC, apart from the interaction between atoms. In non-equiatomic alloys with different crystal structure of constituents, the  $\Delta E_f$  does not reflect attractive or repulsive interaction alone, but for alloy with constituent metals in the same crystal structure,  $\Delta E_f$ of any alloy chemistry will reflect the nature of interaction. Figure 5a shows the  $\Delta \gamma_{usfe}$  vs.  $\Delta E_f$ . A linear fit to the data in Figure 5a has a slope of -2.14 with Pearson's r (correlation parameter) of -0.85. This indicates a strong inverse correlation between  $\Delta E_f$  with  $\Delta \gamma_{usfe}$ . The  $\Delta E_f$  is maximum at equiatomic composition as the number of A-B bonds in an AB alloy would be the highest at equiatomic composition. Inverse correlation between  $\Delta \gamma_{usfe}$ and  $\Delta E_f$  suggests that maximum  $\Delta \gamma_{usfe}$  (positive or negative) would occur at equiatomic composition. Figure 5b shows the  $\gamma_{usfe}$  values for NbxV(1-x)  $\forall$  x  $\in$  [0,0.25,0.75,1]. The largest  $\Delta \gamma_{usfe}$  occurs at equiatomic concentration (Figure 5b). The present analysis shows many possible alloy combinations which can lead to reduced  $\gamma_{usfe}$  in refractory binary alloys from their average values. Some of them are highlighted in Figure 5a.

### 3.3. Surface energy $(\gamma_s)$

Similar to  $\gamma_{usfe}$  calculation, the  $\gamma_s$  is calculated for the interface having equiatomic stoichiometry/formula composition. The equiatomic shearing interface of the supercell is exposed to vacuum of 10Å. The  $\gamma_s$  is calculated using the relation given below,

$$\gamma_s = \frac{E_{with-vacuum} - E_{no-vacuum}}{2 \times (Area \ of \ Plane)}$$

where the  $E_{with-vacuum}$  is the energy per atom of the supercell with vacuum and  $E_{no-vacuum}$  is the energy per atom of the supercell without vacuum. Figure 2e shows the (110)  $\gamma_s$  calculated using DFT method, in the form of heat map for pure elements and the alloys under study. For Ti, Zr, Hf, and Re, the  $\gamma_s$  is reported for (0001) plane. The  $\gamma_s$  ranges from 3190mJ/m<sup>2</sup> (VW) to 1662mJ/m<sup>2</sup> (NbZr). Figure 2f shows the percentage change in  $\gamma_s$  values of the alloys from their composition averaged values ( $\Delta \gamma_s$ , calculated similar to  $\Delta \gamma_{usfe}$ ). The  $\Delta \gamma_s$  ranges from -20% (MoZr) to 20% (NbRe). Figure 6a shows the  $\Delta \gamma_s$  vs.  $\Delta E_f$ . A linear fit to the data in Figure 6b has a slope of -0.62 with Pearson's r (correlation parameter) of -0.49. This indicates that the  $\Delta E_f$  does not strongly influences the  $\gamma_s$ .

# 3.4. Ductility parameter (D)

The intrinsic ductility parameter (D) quantifies competition between the energy cost of creating a new crack surface and the energy cost of moving a dislocation in the stress field of the crack tip as explained in [61]. The D is defined as the ratio of  $\gamma_s$  to  $\gamma_{usfe}$  [62, 61].

$$D = \frac{\gamma_s}{\gamma_{usfe}}$$
 
$$D > 1 \Longrightarrow \gamma_s > \gamma_{usfe} \Longrightarrow \text{Intrinsically Ductile}$$
 
$$D < 1 \Longrightarrow \gamma_s < \gamma_{usfe} \Longrightarrow \text{Intrinsically Brittle}$$

Recent studies have relied on D to assess the intrinsic ductility of refractory alloys [12, 63, 64, 65]. Figure 2b shows the D calculated for pure metals and alloys in the form of heat map. The D ranges from 4.16 (TaTi) to 1.81 (MoW). As discussed in Sections 3.2 and 3.3, the  $\Delta E_f$ 

influences  $\gamma_{usfe}$  much more strongly than  $\gamma_s$ . Similarly, to understand the relation between  $\Delta E_f$  and percentage change in D ( $\Delta D$ , calculated similar to  $\Delta \gamma_{usfe}$ ) from its composition averaged value, we plot  $\Delta D$  vs.  $\Delta E_f$  in Figure 6b. A linear fit to the data in Figure 6b has a slope of 1.89 with Pearson's r (correlation parameter) of 0.66. The  $\gamma_s$  does not show any specific relationship with  $E_f$  as discussed in Section 3.3. However, the  $\gamma_{usfe}$  shows a strong relationship with  $E_f$  as discussed in Section 3.2. This indicates a positive influence of  $\Delta E_f$  on controlling the D of refractory binary alloys. There is a positive correlation between  $\Delta D$  and  $\Delta E_f$ , but it is not as strong as that of  $\gamma_{usfe}$ , since it has contribution from  $\gamma_s$  as well.

The WRe and MoRe has the largest positive  $\Delta D$  as observed from Figure 6b. Large positive  $\Delta D$  of Re containing binary alloys is because of large negative  $\Delta \gamma_{usfe}$  (Figure 5a) primarily due to repulsive nature of bonds between Re-W and Re-Mo atoms and positive  $\Delta \gamma_s$  (Figure 6a). A positive  $\Delta D$  is observed for majority of alloys containing Group-IV (Ti, Zr, Hf) elements. The positive  $\Delta D$  explains large duetility reported in Al/Nb/Mo-Ta-Ti-Zr-Hf-V alloy, its sub-systems [20, 66, 67, 68, 69, 70] and NbTaTiZrHf [71, 72]. This could be due to large negative  $\Delta \gamma_{usfe}$ , although  $\Delta \gamma_s$  is not favoring  $\Delta D$  to be positive. The duetility of alloys containing Ti, Zr, and Hf is attributed to the low VEC (<4.5) [20]. However, VEC criteria fails to explain the role of higher valency Re addition in improving duetility of W and Mo [14, 9, 10]. The present analysis shows that the enthalpy of formation of alloys gives a fairly reliable idea about deformability of refractory alloys apart from dictating their thermodynamic stability; which can be used as a criteria to design new alloy chemistries with desired duetility.

### 4. Summary and Conclusion

Here we used DFT simulations to calculate the  $\Delta E_f$ ,  $\gamma_{usfe}$ ,  $\gamma_s$ , and D of concentrated alloys. We found that the first nearest neighbor has the strongest influence on the  $\gamma_{usfe}$ , hence the shearing interface with formula composition has been chosen for calculating the  $\gamma_{usfe}$ . The calculated  $\gamma_{usfe}$  had maximum error of  $\pm 30 \text{mJ/m}^2$ . The  $\Delta E_f$  of the equiatomic binary alloys ranges from -0.25eV/Atom to 0.17eV/Atom. The negative  $\Delta E_f$  indicates attractive nature of the bonding between the constituents and vice-versa. Here we have

shown that the positive  $\Delta E_f$  shall lead to reduced  $\gamma_{usfe}$  (compared to the composition averaged value) due to the repulsive interaction between the alloy constituents.

Our results suggests that the maximum reduction in  $\gamma_{usfe}$  could be achieved for alloys having positive  $\Delta E_f$ . Therefore, while selecting the alloying elements one should ensure the positive enthalpy of formation is well compensated by the sufficiently large entropy. Our findings provide an explanation for the addition of low valency Ti, Zr, and Hf as well as high valency Re in improving the ductility of refractory metals and alloys. Our findings also explain the failure of empirical rules for increased ductility of refractory BCC alloys where VEC type criterion can be contradictory to experimental observations (e.g. Re addition in W). Taking the effect of enthalpy of formation on the deformability of concentrated alloys is likely to open new directions in the design of refractory alloys for high temperature applications.

### 5. Declaration of Competing Interest

Co-author is a current editor of the Journal of Alloys and Compounds - B. S. Murty

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# 7. Figures

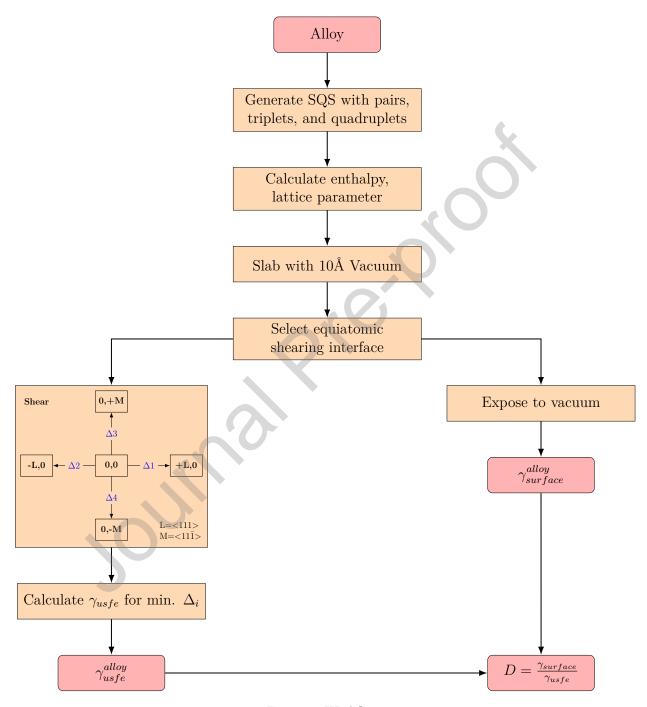


Figure 1: Workflow.

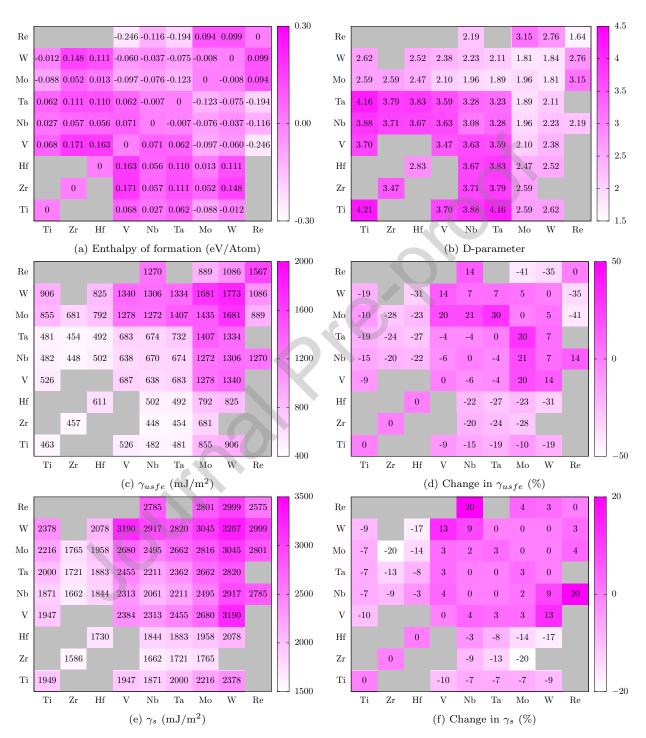


Figure 2: Heat maps. Alloys containing both HCP constituents are not considered.

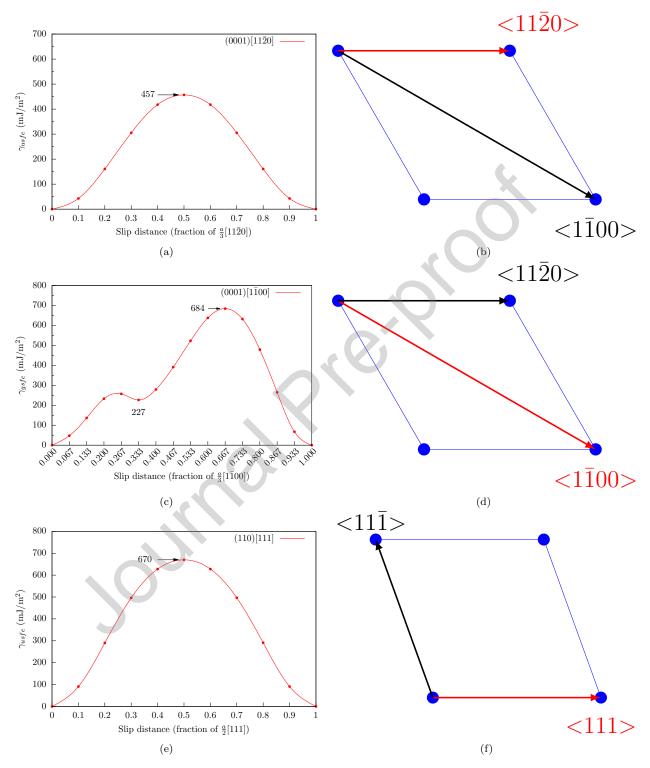


Figure 3: BCC and HCP slip system comparison. (a)Zr  $(0001)[11\bar{2}0]$  slip curve, (b)HCP  $(0001)[11\bar{2}0]$  slip system projection along [0001] direction, (c)Zr  $(0001)[1\bar{1}00]$  slip curve, (d)HCP  $(0001)[1\bar{1}00]$  slip system projection along [0001] direction, (e)Nb (110)[111] slip curve, (f)BCC (110)[111] slip system projection along [110] direction. (Out of plane atoms are not shown)

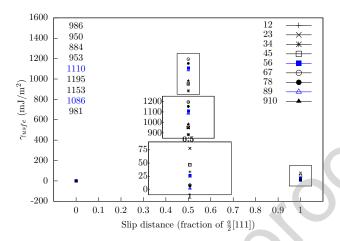


Figure 4: Comparision between  $\gamma_{usfe}$  values calculated for various shearing interfaces from same supercell of WRe. Legend on the right shows the shearing interface (12 indicates that the shearing interface was between first and second plane). Numbers on left shows the  $\gamma_{usfe}$  value. Upper inset shows the range of  $\gamma_{usfe}$ . Lower inset shows the energy difference between before and after one complete slip by  $\bar{b} = \frac{a}{2}[111]$ . Blue numbers show the  $\gamma_{usfe}$  of equiatomic shearing interfaces.

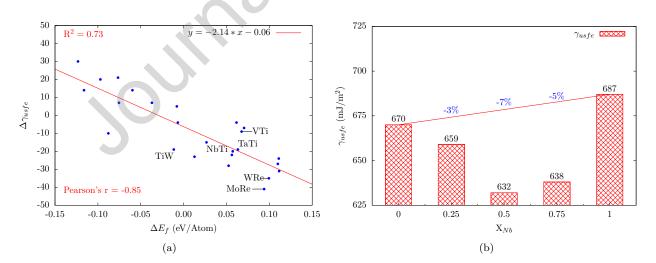


Figure 5: (a)Change in  $\gamma_{usfe}$  as compared to the composition averaged value vs  $\Delta E_f$ . (b)(110)[111]  $\gamma_{usfe}$  of Nb-V alloys. Numbers in blue show the change in  $\gamma_{usfe}$  from the composition averaged value.

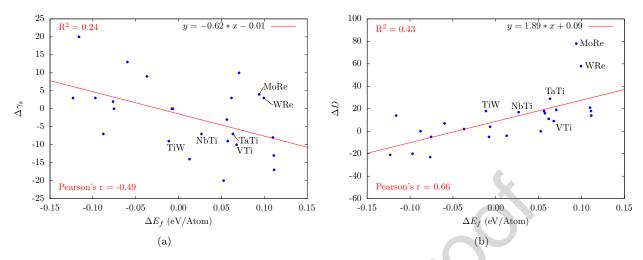


Figure 6: (a)Change in  $\gamma_s$  as compared to the composition averaged value vs  $\Delta E_f$ . Enthalpy of formation does not affect the  $\gamma_s$  of alloys. (b)Change in intrinsic ductility (D) as compared to the composition averaged value vs  $\Delta E_f$ . Positive  $\Delta E_f$  helps improving the deformability of refractory binary alloys.

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### **Credit Author Statement**

**Sufyan M. Shaikh:** Conceptualisation, Methodology, Software, Validation, Formal analysis, Investigation, Data Curation, Writing - Original Draft, Visualisation. **B.S. Murty:** Resources, Writing - Review & Editing, Supervision. **Satyesh K. Yadav:** Conceptualisation, Methodology, Writing - Review & Editing, Resources, Writing - Review & Editing, Project administration.

The authors declare that they have no known competing financial interests or personal relationships
that could have appeared to influence the work reported in this paper.

☑ The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Co-author is a current editor of the Journal of Alloys and Compounds - BS Murty

**Declaration of interests** 

### Highlights

- HCP elements lead to a large reduction in the unstable stacking fault energy of alloys
- Enthalpy of formation has an inverse correlation with the change in both unstable stacking fault energy and intrinsic ductility over their respective composition averaged values
- The composition averaging leads to an incorrect unstable stacking fault energy value in concentrated refractory alloys
- The work elucidates why valence electron concentration criteria fail to explain the role of Re in ductilizing W and Mo
- Error in the supercell approach to calculate unstable stacking fault energy are quantified and minimized