

# DAA

## Internal 1 Notes

Credit : Krishna Keerthi Mam  
(exp : 12 years +)

# Design And Analysis of Algorithms

DM5101

## UNIT-I

### Algorithm:-

- It is a step by step procedure to solve particular problem or task.
- Algorithm is a finite set of instructions which are used to solve a particular problem or a task
- Algorithm can be defined as an ordered sequence of well defined and effective operations that when executed will always produce a result and eventually terminate in a finite amount of time.
- All algorithms must satisfy the following properties

#### (1) Input:-

Each algorithm must take <sup>one</sup> zero or more quantities are externally supplied (as input)

#### (2) Output:-

At least one quantity is produced

#### (3) Definiteness:-

Each instruction is clear } unambiguous  
Eg add const to } compute } so are not definite

#### (4) Finiteness:-

If we trace out the instructions of an algorithm, then for all cases, the algorithm terminates after a finite number of steps (operations)

5. Effectiveness:-

Every instruction must be effective i.e., every operation should be done roughly by pen & paper, i.e. tracing of each step should be possible.

- Algorithms that are definite & effective are also called computational procedures.

Eg operating system of a digital computer.

This procedure is designed to control the execution of jobs. If no jobs are available, it doesn't terminate but continues in waiting state until a new job entered.

Algorithm SpecificationPseudocode Conventions :-

- Comments //
- Blocks are indicated with matching braces { & }
- Statements are delimited by ;
- Assignment of values to variable is done using the assignment operator

$\langle \text{variable} \rangle := \langle \text{expression} \rangle;$   
Boolean values true & false  
logical operators and, or & not  
relational operators  $<, \leq, =, \neq, \geq, >$

Elements of multidimensional arrays [ & ].

Array  $A[i, j]$ . Array indices start at zero.

## - while loop

while < condition > do  
{  
    < statement 1>  
    :  
    < statement n>  
}

for variable := value1 to value2 step step do  
{  
    < stat1>  
    :  
    < statn>  
}

value1, value2, step are arithmetic Expr.

## - repeat-until stat is

repeat  
    < stat1>  
    :  
    < statn>  
until < condition >

The statements are executed as long as condition is  
false.

- Break → results in the exit of the innermost loop
- Return → results in the exit of the function itself

- If < condition > then < stat >

    { If < condition > then < stat1> else < stat2> }

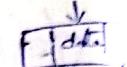
- Case statements

- Case

    {  
        : < condition 1 >: < statement 1 >  
        :  
        : < condition n >: < statement n >  
        :  
        : else: < stat n+1 >  
    }

$\Rightarrow$  Algorithm SelectionSort (a,n)      20, 70, 15, 11  
 {  
   for i := 1 to n do       $i = 1, 2, 3, \dots, n-1$   
   {  
     j := i;  
     for k := i+1 to n do       $k = 2, 3, \dots, n$   
       if (a[k] < a[j]) then j := k;  
       t := a[i]; a[i] := a[j]; a[j] := t;  
     }  
 }

$\Rightarrow$  Routine to insert an element into a Binary Search Tree.

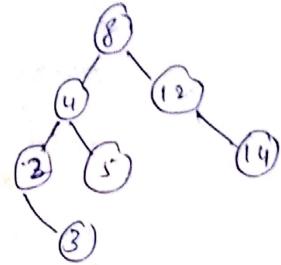
insert (struct btree node \*T, int x)        
 {  
   if (T == NULL)  
   {  
     T = malloc (sizeof (structure btree node));  
     T->data = x;  
     T->left = T->right = NULL;  
   }  
   else  
     if (x < T->data)  
       T->left = insert (T->left, x);  
     else  
       if (x > T->data)  
         T->right = insert (T->right, x);  
       else  
         printf ("Insertion is not possible");  
   }  
   return T;  
 }

Routine to deletion an element into B.S.T

```
delete( struct btree *T, int x)
{
    if(T == NULL)
    {
        printf ("Deletion is not possible");
    }
    else
    {
        if (x < T->data)
            T->left = delete(T->left, x);
        else
        {
            if(x > T->data)
                T->right = delete(T->right, x);
            else
            {
                if(T->left and T->right != NULL)
                {
                    temp = findmin(T->right);
                    T->data = temp->data;
                    T->right = delete(T->data, T->right);
                }
                else
                {
                    temp = T;
                    if(T->left == NULL)
                        child = T->right;
                    if(T->right == NULL)
                        child = T->left;
                    free(temp);
                    return child;
                }
            }
        }
    }
}
```

/\* Routine for finding min. element \*/

```
findmin(struct btree *T)
{
    if(T != NULL)
        while(T->left != NULL)
            T = T->left;
    return T;
}
```



## Towers of Hanoi

//global variable, tower[1:3] are the three towers

array stack<int> tower[4];

void moveAndShow(int, int, int, int);

void towersOfHanoi(int n)

{

for(int d=n; d>0; d--)

tower[1].push(d); //add disk d to tower 1.

//move n disks from tower 1 to 3 using 2 as h.

moveAndShow(n, 1, 2, 3);

}

void moveAndShow(int n, int x, int y, int z)

{ //move top n disks from tower x to tower y showing

if(n>0)

{

moveAndShow(n-1, x, z, y)

int d = tower[x].top(); //move adisk from top of

tower[x].pop(); //tower x top of

tower[y].push(d) //tower y

showState(); //show state of 3 tower

moveAndShow(n-1, z, y, x);

}

## Recursive

TowersOfHanoi(n, x, y, z)

{ //move top n disks from tower x to tower y.

if(n>1) then

{ TowersOfHanoi(n-1, x, z, y),

writeln("move top disk from tower ", x, " to top of tower ", y);

TowersOfHanoi(n-1, z, y, x);

}

}

}

- Space complexity of an algorithm is the amount of memory it needs to run to completion.
- Time complexity of an algorithm is the amount of computer time it needs to run to completion.
- Algorithm analysis refers to the task of determining time & space requirements of an algorithm. It's also called performance analysis which leads us to select an efficient algorithm.
- If an algorithm to be analyse the first task is which operations are implied & determine what their relative costs. Second task is to determine the sufficient no. of data sets which causes an algorithm to exhibit all possible patterns of behaviour.

### Space Complexity :-

- The space needed by algorithms is seen by the sum of following components.
  - (i) A fixed part that is independent of the characteristics of the inputs & outputs. This part includes the instruction space (i.e space for code), space for simple variables and fixed-size components variables & space for constants, etc.
  - (ii) A variable part that consists of the space needed by component-wise variables whose size is dependent on the particular problem instance being solved.

The space requirement  $S(P)$  of any algorithm  $P$  may  
therefore be written as  $S(P) = c \cdot S_p$ ,  
where  $c$  is a constant.

### Time Complexity

The time  $T(P)$  taken by a program  $P$  is the sum of the compile time and the run time.

The compile time does not depend on the instance characteristics.

Runtime is denoted by  $t_P$

$$t_P(n) = C_a \text{ADD}(n) + C_s \text{SUB}(n) + C_m \text{MUL}(n) + C_d \text{DIV}(n),$$

$n \rightarrow$  instance characteristics

$C_a, C_s, C_m, C_d$  indicates time required to perform addition, subtraction, mult, div.

### Asymptotic Notations

- It is a step by step process for solving a task in finite amount of time.

Let  $f \{ g$  are non-negative functions

#### (1) Big oh' notation ( $O$ ):-

The function  $f(n) = O(g(n))$  (read as  $f$  of  $n$  is big oh of  $g(n)$ ) iff there exist positive constants  $c$  and  $n_0$  such that  $f(n) \leq c \cdot g(n)$  for all  $n, n \geq n_0$ .

Notation provides  $g(n)$  is upper bound on value of the function  $f(n)$  if  $n > n_0$ .

$$\text{S} \quad (1) \quad 3n+2 = O(n) \text{ as } 3n+2 < 4n \quad \forall n \geq 2$$

when  $n=2$        $5 \leq 4$   
 $n=3$        $6+2 \leq 8$   
 $n=4$        $11 \leq 16$

$$f(n) \leq cg(n)$$

$$c=4 \quad g(n)=n$$

$$f(n) = 3n+2$$

$$(2) \quad 10n^2 + 4n + 2 \leq 11n^2 \quad \forall n \geq 5$$

$$272 \leq 275$$

$$\text{time complexity} = O(n^2)$$

$$10n^2 + 4n + 2 = O(n^2)$$

$$f(n) = O(n^2)$$

- $O(1)$  → computing time that is constant
- $O(n)$  → linear
- $O(n^3)$  → cubic
- $O(2^n)$  → exponential
- If algorithm takes time  $O(\log n)$ , it is faster for sufficiently large  $n$ , than if it had taken  $O(n)$
- $O(n \log n)$  is better than  $O(n^2)$  but not as good as  $O(n)$

## (2) By Omega ( $\Omega$ )

The function  $f(n) = \Omega(g(n))$  iff there exist positive constants  $c, n_0$  such that  $f(n) \geq c \cdot g(n)$ .

$\forall n, n \geq n_0$

Eg  $3^{n+2} \geq 3^n$  for  $n \geq 1$

$$10^{n^2} + 4n + 2 \geq n^2 - 1$$
$$10 + 4n + 2 \geq 1$$
$$10n^2 + 4n + 2 = \Omega(n^2)$$
$$3^{n+2} = \Omega(3^n)$$

$$6 \cdot 2^n + n^2 \geq 2^n \text{ for } n \geq 1$$

$$12 + 1 \geq 2$$

$$6 \cdot 2^n + n^2 = \Omega(2^n)$$

The function  $g(n)$  is only a lower bound on  $f(n)$ .

## (3) Theta (Big-Theta notation $\Theta$ )

The function  $f(n) = \Theta(g(n))$  iff there exist positive constants  $c_1, c_2$  and  $n_0$  such that

$$c_1 g(n) \leq f(n) \leq c_2 g(n) \quad \forall n, n \geq n_0$$

Eg  $3^{n+2} \geq 3^n \quad \forall n \geq 2$  &  $3^{n+2} \leq 4^n \quad \forall n \geq 2$

$$f(n) = 3^{n+2}$$

$$c_1 = 3 \quad c_2 = 4 \quad n_0 = 2$$

$$g(n) = n$$

$$3^n \leq 3^{n+2} \leq 4^n$$

$$n=2 \quad 8 \leq 8 \leq 8$$

$$\therefore 3^{n+2} = \Theta(n)$$

The function  $f(n) = O(g(n))$  iff  $g(n)$  is both an upper & lower bound on  $f(n)$

(4) Little "oh" :- (o)

The function  $f(n) = o(g(n))$  iff  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$ .

(5) Little Omega notation ( $\omega$ ):-

The function  $f(n) = \omega(g(n))$  iff  $\lim_{n \rightarrow \infty} \frac{g(n)}{f(n)} = 0$ .

Eg:- Magic Square:-

A magic square is an  $n \times n$  matrix of the integers  $1 \text{ to } n^2$

such that the sum of every row, column & diagonal is same.

Sq. each  $n=5$ , sum = 65,

	0	1	2	3	4
0	15	8	1	24	17
1	16	14	7	5	23
2	22	20	13	6	4
3	3	21	19	12	10
4	9	2	25	18	11

- Start with 1 in the middle of the top row, then go up & left, assigning numbers in increasing order to empty squares

Algorithm Add (a<sub>1</sub>b, c<sub>1</sub>m, n)

{

for i:=1 to m do

{ count := count + 1; // for for i

for j:=1 to n do

{ count := count + 1; // for for j

count := count + 1;

c[i,j] := a[i,j] + b[i,j];

count := count + 1; // for assignment

{ count := count + 1; // last time of for j  
(closing of loop also operation)

} count := count + 1; // last time of for i

}

$$m + mn + mn + m + 1$$

$$\boxed{2mn + 2m + 1}$$

Algorithm sum(a, n)

{

s := 0.0;

count := count + 1;

for i := 1 to n do

{

    count := count + 1; // for for loop

    s := s + a[i];

    count := count + 1; // for assignment

}

    count := count + 1; // last time of for

return s; count := count + 1; // for return

}

$$1 + n + n + 1 + 1 = \boxed{2n + 3}$$

Algorithm Rsum( $a^m$ )

[oufastupdates.com](http://oufastupdates.com)

{ if ( $n \leq 0$ ) then

{ return 0.0; }

else return RSum(a, n-1) + a[n];

## Count Method

Algorithm  $\text{Psum}(a, n)$

```

if (n <= 0) then
    {
        count := count + 1; // for if           Counts as 1
        return 0;
    }
    count := count + 1; // for return       Counts as 1
    1 + 1 = 2
}

```

3  
else

```

    {
        count := count + 1;
        sum := sum + arr[i];
        cout << "Count = " << count << endl;
        cout << "Sum = " << sum << endl;
    }
}

```

1

for else condition also  
it has to check if condition  
once do '}'  
{ taking is '}'

$$t_{\text{Rsum}}(n) = \begin{cases} 2 & \text{if } n=0 \\ 2+t_{\text{Rsum}}(n-1) & \text{if } n>0 \end{cases}$$

These recursive formulas are referred as recurrence relation.  
The way of solving any such recurrence relation is to make repeated substitutions for each occurrence of the function  $t_{\text{Rsum}}$ , until all such occurrences disappear.

$$\begin{aligned} t_{\text{Rsum}}(n) &= 2 + t_{\text{Rsum}}(n-1) \\ &= 2 + [2 + t_{\text{Rsum}}(n-2)] \\ &= 2+2+[2+t_{\text{Rsum}}(n-3)] \\ &\vdots \\ &= n(2) + t_{\text{Rsum}}(0) \\ &= n(2) + 2 \\ &= \boxed{2n+2} \end{aligned}$$

When the performance is measured for both the iterative & recursive algorithms for sum of n-numbers, iterative algorithm is better than recursive algorithm, since recursive algorithm uses an extra data structure stack.

The time complexities of both the algorithms are almost same.

// compute the  $n^{\text{th}}$  Fibonacci number  
Algorithm Fibonacci( $n$ )

```

} if (n <= 1) then count := count + 1; // for if
      write (n); count := count + 1; // for write

```

else

```

} fn m2:=0; } count := count+1;
fn m1:=1; count := count+1;

```

```
for i:=2 to n do  
    count := count+1; // for i loop
```

```

    {
        fn := fnm1 + fnm2;
        count := count + 1;
    }

```

$f_{nm2} := f_{nm1};$   
 $\text{Count} := \text{Count} + 1;$

$f_{nm2} := f_{nm}$ ,  
 $Count := Count + 1$ ,  
 $f_{nm1} := f_n$ .

$$f_{n+1} = f_n$$

count := count + 1;

count := count + 1,  
count + i; // last

count := count + 1;

```
    count := count + i; // last
```

```
        count := count + i; // last time of for  
    }  
    if (l > r) {
```

write ( $f^n$ )

Count := Count + 1;

3

when  $n \geq 1$ ,  $T_{\text{fibo}}(n) = \boxed{2}$

when  $n \geq 2$

~~$f_{fib}(n)$~~

$$T_{\text{fibo}(n)} = 1 + 1 + 1 + n - 1 + n - 1 + n - 1 + n - 1 + 1 + 1 \\ \vdots [4n + 1]$$

Statement	s/e executed	frequency	Total steps
	$n \leq 1$	$n \geq 1$	$n \geq 1$
Algorithm Fibonacci( $n$ )	0	-	0
{	0	-	0
if ( $n \leq 1$ ) then	1	1	1
write ( $n$ );	1	0	0
else	0	1	1
{	1	0	1
fnm2 := 0;	0	1	0
fnm1 := 1;	1	0	n
for $i := 2$ to $n$ do	0	$\frac{n}{(n-1+1)}$ iterations	0
{	0	$n-1$	$n-1$
fn := fnm1 + fnm2;	0	$n-1$	0
fnm2 := fnm1;	0	$n-1$	$n-1$
fnm1 := fn;	1	0	0
}	0	1	1
}	1	-	0
write (fn);	0	-	0
}	0	-	0
			<u>2</u> <u><math>4n+1</math></u>

## Tabular Method

Statement	s/e	Frequency	Total steps
Algorithm sum(a,n)	-o	o	o
{	-o	o	o
$s := 0 \cdot 0$	1	1	1
for $i := 1$ to $n$ do	1	$\frac{\text{Total}}{n+1}$	$n+1$
$s := s + i$	1	$\frac{\text{Total}}{n+1}$	$n$
return $s;$	1	1	1
}			<u><math>2n+3</math></u>

Statement	s/e	Frequency	Total steps
Algorithm Add(a,b,c,m,n)	-	o	o
{	-	o	o
for $i := 1$ to $m$ do	1	$m+1$	$m+1$
for $j := 1$ to $n$ do	1	$m(n+1)$	$m(n+1)$
$c[i,j] := a[i,j] + b[i,j]$	1	$mn$	$mn$
			<u><math>2mn+2m+1</math></u>

Statement

s/e	frequency	total steps	
n<=0	n>0	n=0	n>0
0	- -	0	0
1	1 1	1	1
1	1 0	1	0
1+2	0 1	0	1+2
0	- -	$\frac{0}{2}$ 0	$\frac{2+2}{2}$

y

$$x = t_{Rsum}(n-1)$$

$\{ \text{return } atb + bac + (atb - c) / (atb) + 0.0; \}$

}

$s_p = 0$

Algorithm sum( $a, n$ )

{

$s := 0.0;$

$\text{for } i := 1 \text{ to } n \text{ do}$   
 $s := s + a[i];$

$\text{return } s;$

}

$S_{\text{sum}}(n) \geq (n+3)$  [  $n$  for  $a[ ]$ , one each for  $n$ ,  $s$  and  $i$  ]

Algorithm rsum( $a, n$ )

{ if ( $n \leq 0$ ) then return  $0.0$  ;

else

return rsum( $a, n-1$ ) +  $a[n]$  ;

}

$S_{\text{sum}}(n) \geq 3(n+1)$

[ space for  $n$ , return address, pointer to  $a[ ]$  ]

Asymptotic Notation: Used to make meaningful statement about the time complexity of an algorithm.

$f$  &  $g$  are non-negative functions.

**Big Oh ( $O$ )**: The function  $f(n) = O(g(n))$  iff there exists positive constants  $c$  and  $n_0$  such that  $f(n) \leq c * g(n)$  for all  $n, n \geq n_0$ .

Eg: 1

$$3n+2 \leq 4^n$$

when  $n=1$

$$3(1)+2 \leq 4(1)$$

$$5 \leq 4 \quad \text{false}$$

when  $n=2$

$$3(2)+2 \leq 4(2)$$

$$8 \leq 8 \quad \text{true}$$

$$\therefore 3n+2 = O(n) \quad \forall n \geq 2$$

Eg: 2

$$3n+3 \leq 4^n$$

when  $n \geq 3$

$$3(3)+3 \leq 4(3)$$

$$12 \leq 12$$

$$\therefore 3n+3 = O(n) \quad \forall n \geq 3$$

$$\underline{\text{Ex. 3}} \quad 100n + 6 \leq 101n$$

when  $n = 6$

$$100(6) + 6 \leq 101(6)$$

$$606 \leq 606$$

$$\therefore 100n + 6 = O(n) \text{ for } n \geq 6$$

$$\underline{\text{Ex. 4}} \quad 10n^2 + 4n + 2 \leq 11n^2$$

when  $n \geq 5$

$$10(5)^2 + 4(5) + 2 \leq 11(5)^2$$

$$250 + 20 + 2 \leq 275$$

$$272 \leq 275$$

$$\therefore 10n^2 + 4n + 2 = O(n^2) \text{ for } n \geq 5$$

$$\underline{\text{Ex. 5}} \quad 6 * 2^n + n^2 \leq 7 * 2^n$$

when  $n = 4$

$$6 * 2^4 + 4^2 \leq 7 * 2^4$$

$$6 * 16 + 16 \leq 7 * 16$$

$$112 \leq 112$$

$$\therefore 6 * 2^n + n^2 = O(2^n)$$

$O(1)$  means computing time is constant

$O(n)$  is called linear

$O(n^2)$  is quadratic

$O(n^3)$  is cubic

$O(2^n)$  is exponential

$O(n \log n)$  is faster for sufficiently large  $n$ , than  $O(n)$ .

$O(n \log n)$  is better than  $O(n^2)$  but not as good as  $O(n)$ .

$f(n) = O(g(n))$  states that  $g(n)$  is an upper bound on the value of  $f(n) + n$ ,  $n \geq n_0$ .

thus exists positive constants  $c$  and  $n_0$  such that

$$f(n) \geq c * g(n) \text{ for all } n, n \geq n_0$$

Eq:1  $3n+2 \geq 3n$

when  $n=1$

$$3(1)+2 \geq 3(1)$$

$$5 \geq 3$$

$$\therefore 3n+2 = \Omega(n) \quad \forall n \geq 1$$

Eq:2

$$10n^2 + 4n + 2 \geq 10n^2$$

when  $n=1$

$$10(1)^2 + 4(1) + 2 \geq 10(1)^2$$

$$16 \geq 10$$

$$\therefore 10n^2 + 4n + 2 \geq \Omega(n^2) \quad \forall n \geq 1$$

Eq:3:

$$6*2^n + n^2 \geq 6*2^n$$

when  $n=1$

$$6*2^1 + (1)^2 \geq 6*2^1$$

$$13 \geq 12$$

$$\therefore 6*2^n + n^2 \geq \Omega(2^n) \quad \forall n \geq 1$$

The function  $g(n)$  is a lower bound on  $f(n)$

Theta ( $\Theta$ ): The function  $f(n) = \Theta(g(n))$  iff there exist positive constants  $c_1, c_2$  and  $n_0$  such that

$$c_1 g(n) \leq f(n) \leq c_2 g(n) \text{ for all } n, n \geq n_0$$

$$3n+2 = \Theta(n) \quad \text{as}$$

$$3n+2 \geq 3n$$

$$3n+2 \leq 4^n$$

$$n=2$$

$$3(2)+2 \geq 3(2)$$

$$8 \geq 6$$

$$n=2$$

$$3(2)+2 \leq 4(2)$$

$$8 \leq 8$$

$$\therefore 3n+2 = \Theta(n) \quad \forall n \geq 2$$

$$c_1 = 3, \quad c_2 = 4 \quad \text{and } n_0 = 2$$

The function  $f(n) = \Theta(g(n))$  is both an upper and lower bound on  $f(n)$ .

Little o ( $\circ$ ) . The Definition  $f(n) = o(g(n))$  iff.

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

Eg:  $3n+2 = o(n^2)$

$$\lim_{n \rightarrow \infty} \frac{3n+2}{n^2} = 0$$

Little omega ( $\omega$ ): The function  $f(n) = \omega(g(n))$  iff

$$\lim_{n \rightarrow \infty} \frac{g(n)}{f(n)} = 0$$

## Randomized Algorithms

Basics of probability Theory: probability theory has the goal of characterizing the outcomes of natural & conceptual experiments. Each possible outcome of an experiment is called a sample point & the set of all possible outcomes is known as the sample space  $S$ .

An event  $E$  is a subset of the sample space  $S$ . If the sample space consists of  $n$  sample points, there are  $2^n$  possible events.

The probability of sample space  $S$  is 1.

Ex 1: Tossing a coin: when a coin is tossed, there are two possible outcomes head (H) and tail (T).

Consider the experiment of tossing three coins. There are eight possible outcomes.

{ HHH, HHT, HTH, HTT, TTT, HHT, THH, TTH }

In this experiment there are  $2^3$  possible events.

The probability of an event  $E$  is defined to be the probability of an event  $E$  in the sample space

$$\frac{|E|}{|S|}$$

Ex 2: The probability of the event { HHT, HTT, TTT } is

$$\frac{3}{2^3} = \frac{3}{8}$$

Rolling two dice, there are 36 i.e. 36 possible outcomes.

What is the probability that the sum of two faces is 10?

$$S = \{(4,6), (5,5), (6,4)\}$$

$$\frac{|E|}{|S|} = \frac{3}{36} = \frac{1}{12}$$

Mutual Exclusion: Two events  $E_1$  and  $E_2$  are said to be mutually exclusive if they do not have any common sample points, i.e;  $E_1 \cap E_2 = \emptyset$ .

Eg: When we toss three coins, let  $E_1$  be the event that there are two H's and let  $E_2$  be the event that there are at least two T's. These two events are mutually exclusive since there are no common sample points.

Theorem:

$$1. \text{Prob}[\bar{E}] = 1 - \text{prob}[E]$$

$$2. \text{prob.}[E_1 \cup E_2] = \text{prob}[E_1] + \text{prob}[E_2] - \text{prob}[E_1 \cap E_2]$$

$$\leq \text{prob}[E_1] + \text{prob}[E_2]$$

Conditional Probability: Let  $E_1$  and  $E_2$  be any two events of an experiment. The conditional probability of  $E_1$  given  $E_2$ , denoted by

$$\text{prob}[E_1 | E_2] = \frac{\text{prob}[E_1 \cap E_2]}{\text{prob}[E_2]}$$

Independence: Two events  $E_1$  and  $E_2$  are said to be independent if  $\text{prob}[E_1 \cap E_2] = \text{prob}[E_1] * \text{prob}[E_2]$

Random variable: Let  $S$  be the sample space of an experiment. A random variable on  $S$  is a function that maps the elements of  $S$  to the set of real numbers. For any sample point  $s \in S$ ,  $X(s)$  denotes the image of  $s$  under this mapping. If the range of  $X$ , i.e., the set of values  $X$  can take is finite, we say  $X$  is discrete.

Eg: We flip a coin four times. The sample space consists of 2<sup>4</sup> sample points. We can define a random variable  $X$  on  $S$  as the number of heads in the coin flips. Then  $X(HHHH) = 4$ ,  $X(HHHT) = 3$  & so on.

The possible values that  $X$  can take are 0, 1, 2, 3, 4. Thus  $X$  is discrete.

$$\text{Prob}[X=0] = \frac{1}{2^4} = \frac{1}{16}$$

the expected value (or) mean of any random variable

$$X = \sum_{i=1}^n \text{Prob}[x_i] \cdot X(x_i) = \frac{1}{n} \sum_{i=1}^n X(x_i)$$

Eg: The sample space corresponding to the experiment of tossing three coins is  $S = \{\text{HHH}, \text{HHT}, \text{HTH}, \text{THH}, \text{THT}, \text{TTH}, \text{HTT}, \text{TTT}\}$ . If  $X$  is the no. of heads in the coin flip, then the expected value of  $X$  is  $\frac{1}{8}(3+2+2+2+1+1+1+0) = \frac{12}{8} = \frac{3}{2} = 1.5$

Probability distribution: Let  $X$  be a discrete random variable defined over the sample space  $S$ . Let  $\{r_1, r_2, \dots, r_m\}$  be its range. Then the probability distribution of  $X$  is the sequence  $\text{Prob}[x=r_1], \text{prob}[x=r_2], \dots, \text{prob}[x=r_m]$ .  $\sum_{i=1}^m \text{prob}[x=r_i] = 1$ .

Eg: if three coins are flipped ~~three times~~ and  $X$  is the number of heads, then  $X$  can take on four values 0, 1, 2 and 3. The probability distribution of  $X$  is given by  $\text{prob}[x=0] = \frac{1}{8}$ ,  $\text{prob}[x=1] = \frac{3}{8}$ ,  $\text{prob}[x=2] = \frac{3}{8}$ , and  $\text{prob}[x=3] = \frac{1}{8}$ .

Binomial distribution: Let  $X$  be a random variable on  $S$  defined to be the number of successes in the  $n$  trials. The variable  $X$  is said to have a binomial distribution with parameters  $(n, p)$ . The expected value of  $X$  is  $np$ . Also,

$$\text{Prob. } [X=i] = (nc_i) p^i (1-p)^{n-i}$$

### Randomized Algorithms:

A randomized algorithm is one that makes use of a randomizer (e.g. random number generator). Some of the decisions made in the algorithm depend upon the output of the randomizer. The output of a randomized algorithm could differ from run to run for the same input. The execution could also vary from run to run for the same input.

Randomized algorithms can be categorized into two classes.

(i) Algorithms that always produce the same output for the same input. These are called Las Vegas algorithms. The execution time of a Las Vegas algorithm depends on the o/p of the randomizer.

question. These are called Monte Carlo algorithms. For a fixed input, there is not much variation in execution time.

## Primality Testing

Given an integer  $n$ , the problem of deciding whether  $n$  is prime is known as primality testing. It has a number of applications including cryptology.

If a number  $n$  is composite, it must have a divisor  $\leq \sqrt{n}$ .

Algorithm Prime( $n$ )

{

for  $i := 2$  to  $\sqrt{n}$

if  $(n \bmod i = 0)$  then

{ write ' $n$  is not prime';

return;

}

write ' $n$  is prime';

}

The naive primality testing algorithm has a run time of  $O(\sqrt{n})$ .

A Monte Carlo algorithm can be devised for primality testing that runs in time  $O(\log n)^2$ . If the input is prime, the algorithm never gives an incorrect answer. However, if the input number is composite, then there is a small probability that the answer may be incorrect. Algorithms of this kind are said to have one-sided error.

## PRIORITY QUEUES

Any data structure that supports the operations of search min (& max), insert and delete min (& max) is called a priority queue.

Example: Suppose we are selling the services of a machine. Each user pays a fixed amount per use. However, the time needed by each user is different. We wish to maximize the returns from this machine assuming the machine is not to be kept idle unless no user is available. For this we maintain a priority queue of all persons waiting to use the machine. Whenever the machine becomes available, the user with smallest time requirement is selected. Hence, a priority queue with delete min is selected.

If each user needs the same amount of time on the machine but are willing to pay different amounts for the service, then a priority queue based on the amount of payment can be maintained. This requires a delete max operation.

Max Heap: A max heap is a complete binary tree with the property that the value at each node from left and right subtrees are smaller than the root element. left and right subtrees are again max heap.

max heap sorts the elements in descending order.

Min Heap: A min heap is a complete binary tree with the property that the value at each node is greater than the root element and left and right subtrees are again min heap.

min heap sorts the elements in ascending order.

## Heap and Sets

### Insertion into a Heap

```
Algorithm Insert( $a, n$ )
{
    // Inserts  $a[n]$  into the heap which is stored in  $a[1 : n - 1]$ .
     $i := n; item := a[n];$ 
    while (( $i > 1$ ) and ( $a[\lfloor i/2 \rfloor] < item$ )) do
    {
         $a[i] := a[\lfloor i/2 \rfloor]; i := \lfloor i/2 \rfloor;$ 
    }
     $a[i] := item; return true;$ 
}
```

## Deletion from a Heap

```
Algorithm Adjust(a,i,n)
// The complete binary trees with roots  $2i$  and  $2i + 1$  are
// combined with node  $i$  to form a heap rooted at  $i$ . No
// node has an address greater than  $n$  or less than 1.
{
    j := 2i; item := a[i];
    while ( $j \leq n$ ) do
    {
        if (( $j < n$ ) and ( $a[j] < a[j + 1]$ )) then  $j := j + 1$ ;
        // Compare left and right child
        // and let  $j$  be the larger child.
        if ( $item \geq a[j]$ ) then break;
        // A position for item is found.
        a[ $\lfloor j/2 \rfloor$ ] := a[j]; j :=  $2j$ ;
    }
    a[ $\lfloor j/2 \rfloor$ ] := item;
}
```

Munayyara Tahseen

## Deletion from a Heap

```
Algorithm DelMax(a,n,x)
// Delete the maximum from the heap  $a[1 : n]$  and store it in  $x$ .
{
    if ( $n = 0$ ) then
    {
        write ("heap is empty"); return false;
    }
    x := a[1]; a[1] := a[n];
    Adjust(a, 1, n - 1); return true;
}
```

Munayyara Tahseen

## Sorting Algorithm

```
Algorithm Sort( $a, n$ )
// Sort the elements  $a[1 : n]$ .
{
    for  $i := 1$  to  $n$  do Insert( $a, i$ );
    for  $i := n$  to  $1$  step  $-1$  do
    {
        DelMax( $a, i, x$ );  $a[i] := x$ ;
    }
}
```

Munavvar Tariq

Forming a heap from the set  $\{40, 80, 35, 90, 45, 50, 70\}$

(a)

(b)

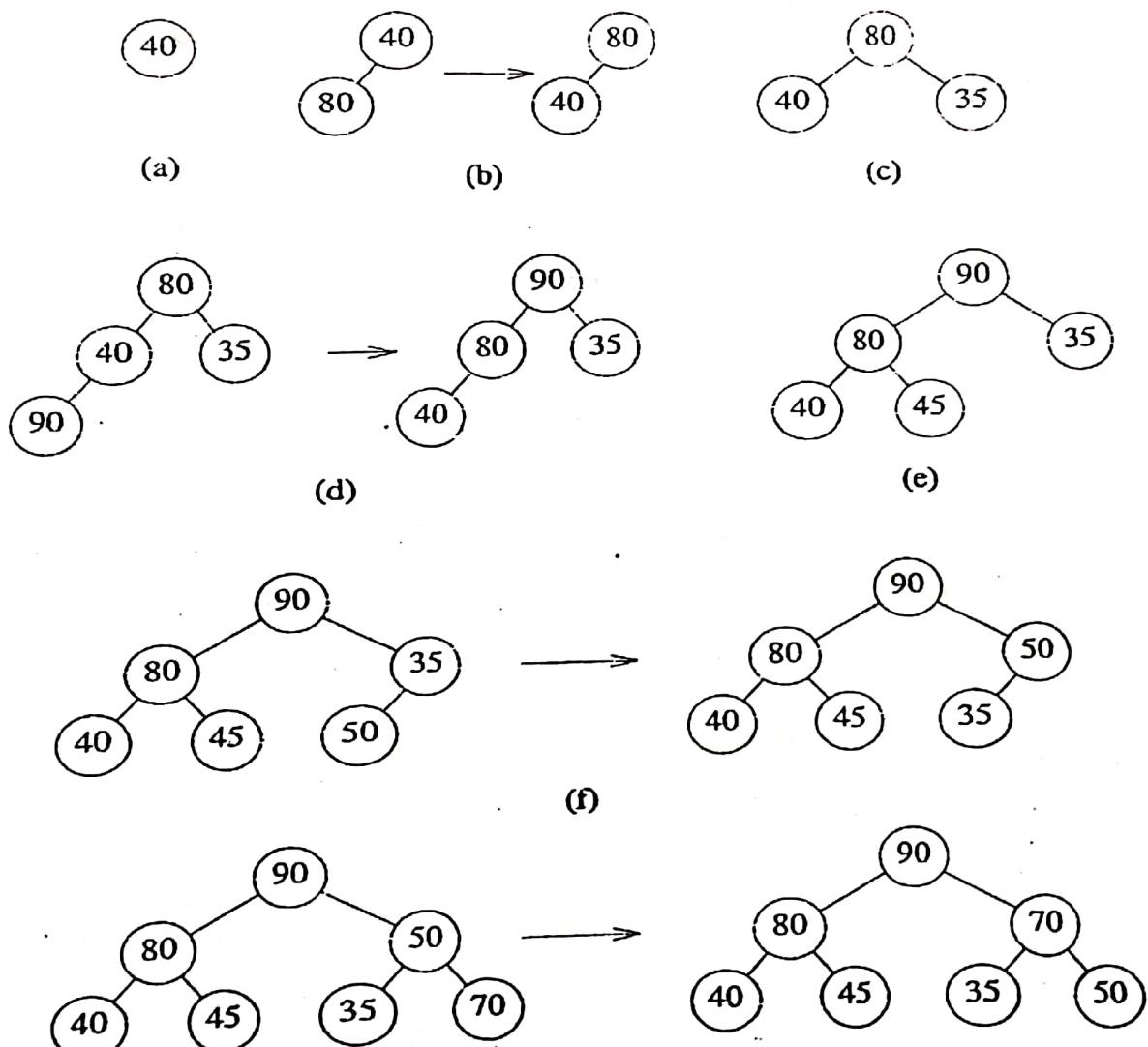
(c)

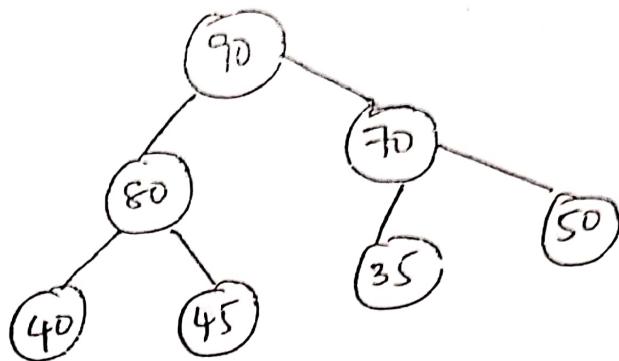
(d)

(e)

(f)

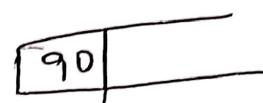
(g)  
Munavvara Tahaseen



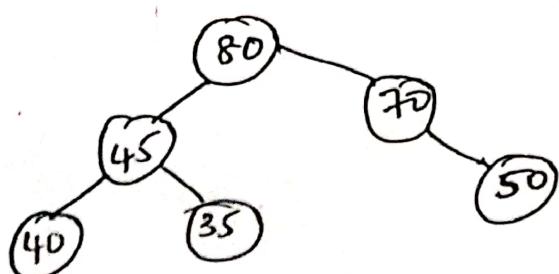
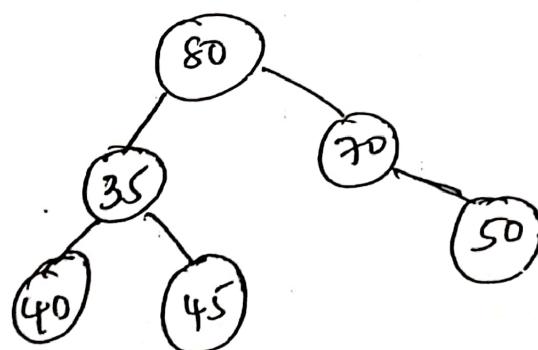
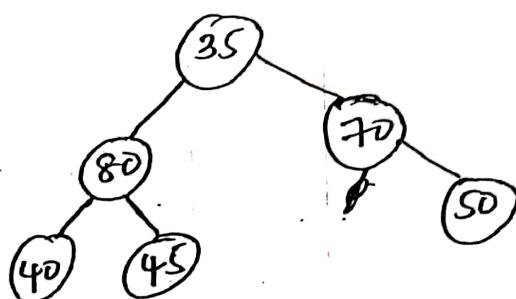


Delete

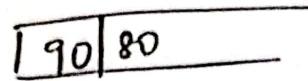
90



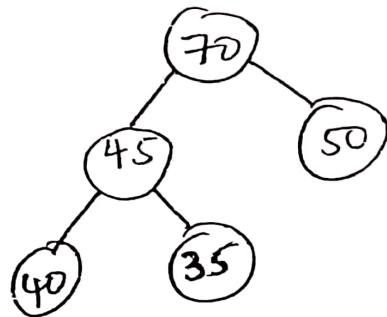
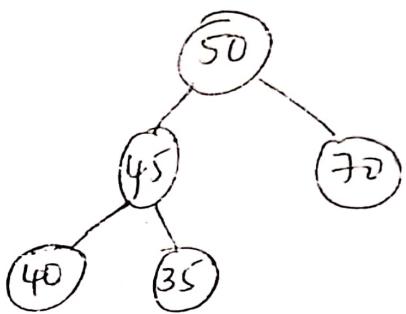
(2)



Delete 80



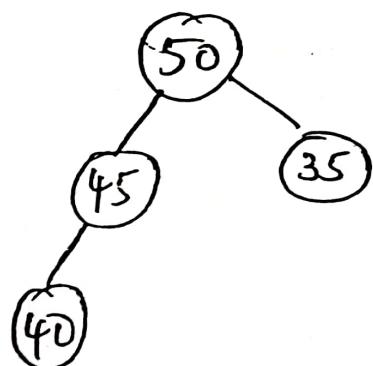
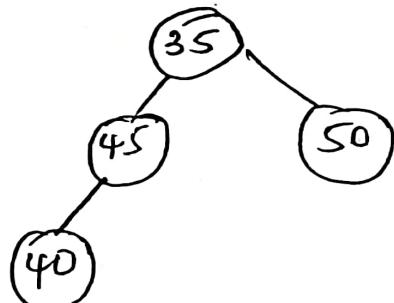
(3)



Delete 70

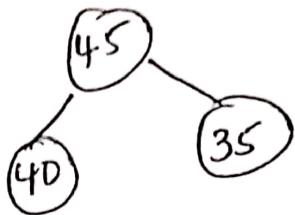
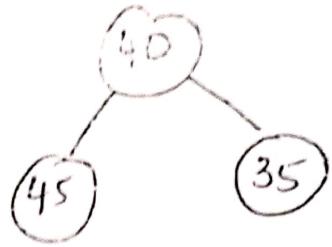
90	80	70
----	----	----

(4)



Delete 50

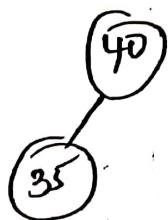
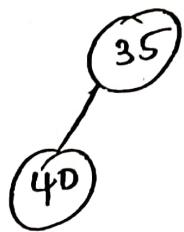
90	80	70	50
----	----	----	----



Delete 45

90	80	70	50	45
----	----	----	----	----

(6)



Delete 40

90	80	70	50	45	40
----	----	----	----	----	----

(7)

90	80	70	50	45	40	35
----	----	----	----	----	----	----

## Time Complexity

- To sort  $n$  elements it takes  $n$  insertions followed by  $n$  deletions from a heap.
- The worst case time complexity of insertion is  $O(\log n)$
- The worst case time complexity of deletion is  $O(\log n)$
- Hence the worst case time complexity of this sorting algorithm is  $O(n \log n)$

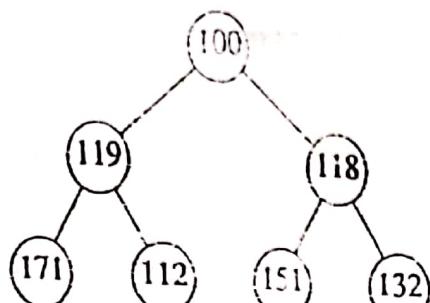
## Heapify

```
Algorithm Heapify( $a, n$ )
// Reading the elements in  $a[1 : n]$  to form a heap.
{
    for  $i := \lfloor n/2 \rfloor$  to 1 step -1 do Adjust( $a, i, n$ );
}
```

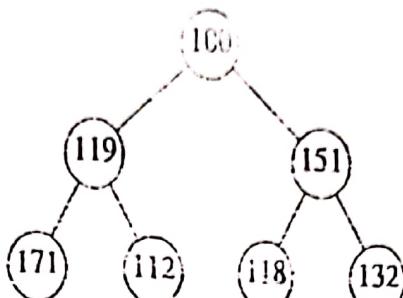
## Heap Sort using Heapify

```
Algorithm HeapSort( $a, n$ )
//  $a[1 : n]$  contains  $n$  elements to be sorted. HeapSort
// rearranges them inplace into nondecreasing order.
{
    Heapify( $a, n$ ); // Transform the array into a heap.
    // Interchange the new maximum with the element
    // at the end of the array.
    for  $i := n$  to 2 step -1 do
    {
         $t := a[i]; a[i] := a[1]; a[1] := t;$ 
        Adjust( $a, 1, i - 1$ );
    }
}
```

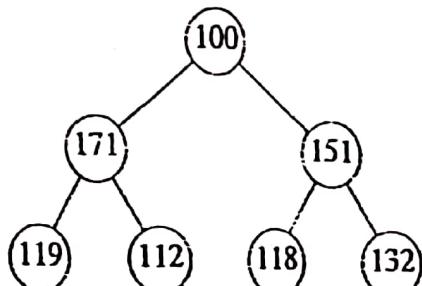
Heapify (100, 119, 118, 171, 112, 131, 132)



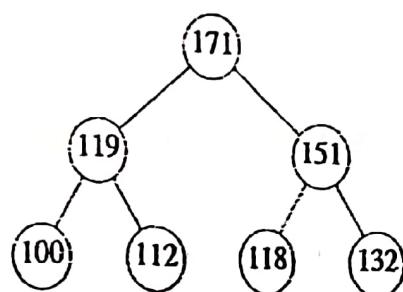
(a)



(b)



(c)



(d)

Munavvara Tahseen

## Heap Sort

Using Heapify only  $n/2$  iterations are required.  
Therefore the time complexity of Heapify is  $O(n)$  which is better than  $O(n \log n)$ .

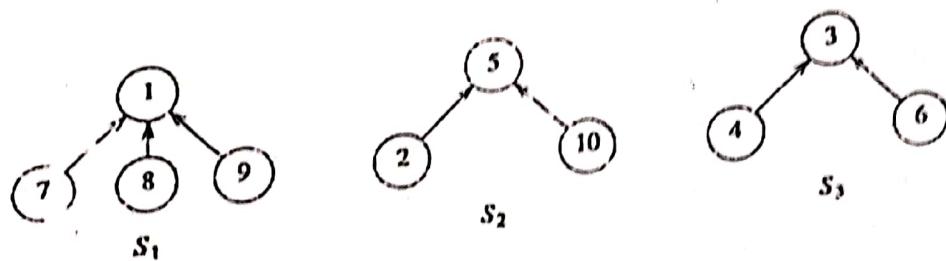
Munavvara Tahseen

## Set Representation

There are three ways of representing sets.

- Tree representation
- Array representation
- Data representation

## Tree representation



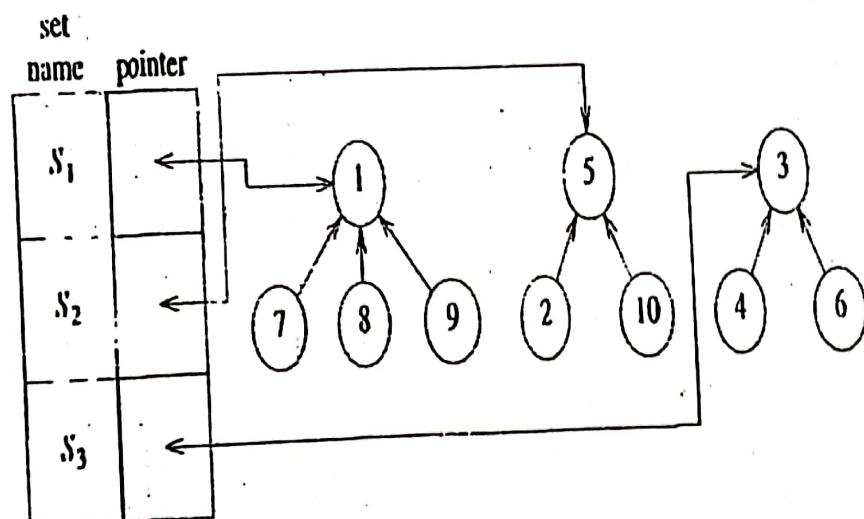
## Array representation

<i>i</i>	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]	[10]
<i>p</i>	-1	5	-1	3	-1	3	1	1	1	5

Root nodes have a parent of -1.

Munayyara Tahseen

## Data Representation



Munayyara Tahseen

# Operations on Sets

There are two operations performed on sets

## 1. Union

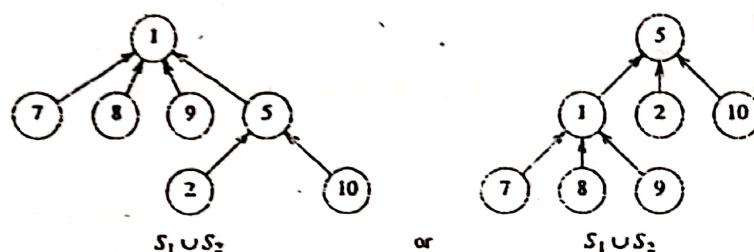
- Simple union
- Weighted union

## 2 Find

- Simple find
- Collapsing find

## Union operation

### Simple Union



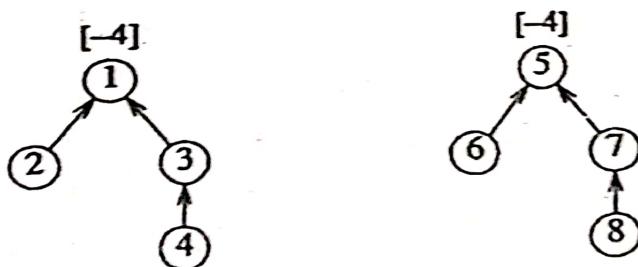
## Simple Union

```
Algorithm SimpleUnion(i, j)
{
    p[i] := j;
}
```

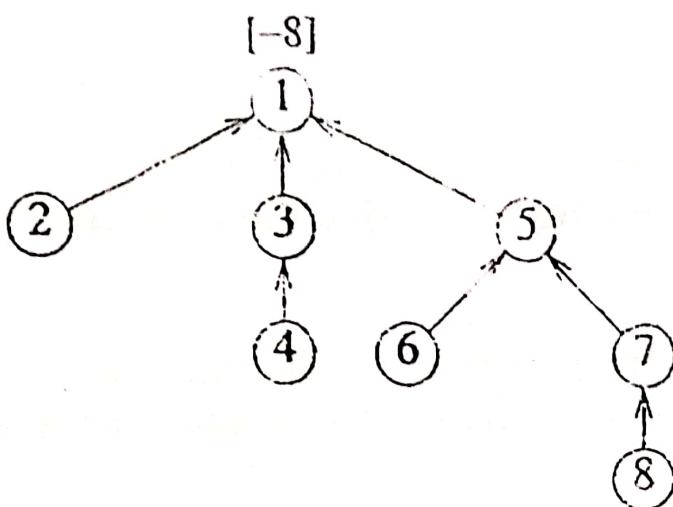
## Weighted Union

```
Algorithm WeightedUnion(i,j)
// Union sets with roots i and j,  $i \neq j$ , using the
// weighting rule.  $p[i] = -count[i]$  and  $p[j] = -count[j]$ .
{
    temp := p[i] + p[j];
    if ( $p[i] > p[j]$ ) then
    { // i has fewer nodes.
        p[i] := j; p[j] := temp;
    }
    else
    { // j has fewer or equal nodes.
        p[j] := i; p[i] := temp;
    }
}
```

## Weighted Union



## Weighted Union



## Find Operation

```
Algorithm SimpleFind( $i$ )
{
    while ( $p[i] \geq 0$ ) do  $i := p[i]$ ;
    return  $i$ ;
}
```

# Collapsing Find

**Algorithm** CollapsingFind( $i$ )

```
// Find the root of the tree containing element  $i$ . Use the
// collapsing rule to collapse all nodes from  $i$  to the root.
{
     $r := i;$ 
    while ( $p[r] > 0$ ) do  $r := p[r]$ ; // Find the root.
    while ( $i \neq r$ ) do // Collapse nodes from  $i$  to root  $r$ .
    {
         $s := p[i]; p[i] := r; i := s;$ 
    }
    return  $r$ ;
}
```

## Greedy Method

### General Method

The greedy method suggests that one can devise an algorithm that works in stages, considering one input at a time. At each stage, a decision is made regarding whether a particular input is in an optimal solution.

- A feasible solution that either maximizes or minimizes a given objective function is called an optimal solution.

Algorithm Greedy( $a, n$ )

//  $a[1:n]$  contains the  $n$  inputs

{  
solution :=  $\emptyset$ ; // Initialize the solution

for  $i := 1$  to  $n$  do

{  
     $x := \text{Select}(a)$ ;

    if Feasible(Solution,  $x$ ) then

        solution := Union(Solution),  $x$ ;

    }

return solution;

The function Select selects an input from  $a[ ]$  removes it. The selected input's value is assigned to  $x$ .

Feasible is a Boolean-valued function that determines whether  $x$  can be included into the solution vector.

The function Union combines  $x$  with the solution and updates the objective function.

## Job Sequencing with Deadlines

A set of  $n$  jobs. Associated with job  $i$  is an integer deadline  $d_i \geq 0$  & a profit  $p_i \geq 0$ .

For any job  $i$ , the profit  $p_i$  is earned iff the job is completed by its deadline.

To complete a job, one has to process the job on a machine for one unit of time. Only one machine is available for processing jobs.

- A feasible solution for this problem is a subset of jobs such that each job in the subset can be completed by its deadline.

- The value of a feasible solution  $J$  is the sum of the profits of the jobs in  $J$ , i.e.  $\sum_{i \in J} p_i$ .

An optimal solution is a feasible solution with maximum value.

$$\textcircled{1} \text{ Eg let } n=4 \quad (p_1, p_2, p_3, p_4) = (100, 10, 15, 27) \\ (d_1, d_2, d_3, d_4) = (2, 1, 2, 1) \quad \begin{matrix} \text{Maximum jobs} \\ \text{can be executed is} \\ 2 \end{matrix}$$

	Feasible solution	processing sequence	value	
1.	(1, 2)	2, 1,	110.	1, 2, 3
2.	(1, 3)	1, 3 or 3, 1.	115	1, 3, 4
3.	(1, 4)	4, 1.	127 ✓	1, 2, 3
4.	(2, 3)	2, 3	25	2, 4 have (1, 1)
5.	(3, 4)	(4, 3)	42	not possible to complete in same time
6.	(1)	1	100	
7.	(2)	(2)	10	
8.	(3)	3	15	Both jobs must complete by 1 deadline.
9.	(4)	4	27	

Algorithm GreedyJob( $d, J, n$ )

{ //  $J$  is a set of jobs that can be completed by their deadlines

$J := \{1\};$

for  $i := 2$  to  $n$  do

{ if (all jobs in  $J \cup \{i\}$  can be completed  
by their deadlines) then  $J := J \cup \{i\};$

}

Algorithm JS( $d, j, n$ ) //  $d[i] \geq 1$ ,  $1 \leq i \leq n$  are the deadlines,  $n \geq 1$   
{ // The jobs are ordered such that  $p[1] > p[2] > \dots > p[n]$ .

$d[0] := j[0] := 0$  // Initialize

$J[1] := 1$ ; // Include Job 1

$k := 1$ ;

for  $i := 2$  to  $n$  do

{

$\lambda := k$ ;

while (( $d[J[\lambda]] > d[i]$ ) and ( $d[J[\lambda]] \neq \lambda$ )) do

$\lambda := \lambda - 1$ ;

if (( $d[J[\lambda]] \leq d[i]$ ) and ( $d[i] > \lambda$ )) then

// Insert  $i$  into  $J[\cdot]$

for  $q := k$  to  $(\lambda + 1)$  step -1 do

$J[q+1] := J[q];$

$J[\lambda+1] := i;$

$k := k + 1$ ;

}

return  $k$ ;

Complexity is  $O(n^2)$ .

<u>Eq</u> , Let $n=5$ , $(P_1, P_2, P_3, P_4, P_5) = (20, 15, 10, 5, 1)$	$(d_1, d_2, d_3, d_4, d_5) = (4, 2, 1, 3, 3)$	<u>prof. l-</u>
<u>Feasible sol</u>	<u>processing sequence</u>	<u>20</u>
1	1	20
2	2	15
3	3	10
4	4	5
5	5	1

$(1, 2, 3)$	Not possible	
$1, 2, 4$	$1, 2, 4$ (or) $2, 1, 4$	<u>J4P</u>
$1, 2, 5$	$1, 2, 5$ (or) $2, 1, 5$	31
$2, 3, 4$	$3, 2, 4$	30
$2, 3, 5$	$3, 2, 5$	26
$2, 4, 5$	$2, 4, 5$ (or) $2, 5, 4$ (or) $5, 2, 4$ (or) $4, 2, 5$	21
$1, 3, 4$	$3, 1, 4$	35
$1, 3, 5$	$3, 1, 5$	31
$1, 4, 5$	$1, 4, 5$ (or) $1, 5, 4$ (or) $5, 1, 4$ (or) $4, 1, 5$	26
$3, 4, 5$	$3, 4, 5$ (or) $3, 5, 4$	16

Feasible solution  $(1, 2, 4)$  with profit 40

Algo GreedyKnapsack( $m, n$ )

//  $P[1:n]$  contains profits

//  $w[1:n]$  contains weight of  $n$  objects

//  $m$  is the size of knapsack  $x[1:n]$  is the solution vector

{ for  $i := 1$  to  $n$  do

$x[i] := 0.0;$

$v := m;$

for  $i := 1$  to  $n$  do

{ if ( $w[i] > v$ ) then break;

$x[i] := 1.0;$

$v := v - w[i];$

} if ( $i \leq n$ ) then  $x[i] := v / w[i];$

## Knapsack Problem

In olden days, there was a store, contains different types of gold powders. Let these powders be  $n_1, n_2, n_3$  types, cost and weight of these powder are  $c_1, c_2, c_3$  dollars,  $w_1, w_2, w_3$  pounds respectively.

Now thief wants to rob the store, for that he brought a empty bag (Knapsack means empty bag) of size M.

Now his problem was, in what way he has to place gold powder in the bag that he should get maximum profit? This problem is called as Knapsack problem

- Knapsack is an empty bag. Consider knapsack size is M.

It contains  $n$  items with weights  $w_1, w_2 \dots w_n$  resp.

The profits of each weight is  $p_1, p_2 \dots p_n$ .

Let  $x_1, x_2, \dots x_n$  be fractions of items.

The problem is  $\boxed{\text{maximize } \sum_{i=1}^n p_i x_i}$  subject to  $\sum_{i=1}^n w_i x_i \leq M$

The conditions are  $0 \leq x_i \leq 1$  and  $1 \leq i \leq n$

### Example:-

Consider the following instance of knapsack problem

$$\begin{cases} n=3, M=20 \\ (p_1, p_2, p_3) = (25, 24, 15) \\ (w_1, w_2, w_3) = (18, 15, 10) \end{cases}$$

(1) Maximum profit

(2) Minimum weight

(3) Maximum profit per unit weight

Case 1:

In this case place an item in the bag whose profit is maximum.

$x_1 = 1$  complete item  $w_1$  is kept in the bag i.e. 18 is kept only 2 units place is left ( $m = 20 - 18 = 2$ )

$x_2 =$  left out space / weight of item to be placed =  $2/15$   
when  $w_2$  is placed no space is left in bag. So  $x_3 = 0$ .  
we cannot place 3rd item

$$\begin{aligned}\sum_{1 \leq i \leq n} p_i x_i &= p_1 x_1 + p_2 x_2 + p_3 x_3 \\&= 25 \times 1 + 2/15 \times 24 + 15 \times 0 \\&= 25 + 3.2 + 0 \\&= 28.2\end{aligned}$$

Case 2:

place an item in bag, whose weight is minimum

$$x_3 = 1$$

$$x_2 = \frac{10}{15} = 2/3$$

$$x_1 = 0$$

$$\begin{aligned}\sum p_i x_i &= p_1 x_1 + p_2 x_2 + p_3 x_3 \\&= 25 \times 0 + 24 \times 2/3 + 15 \times 1 \\&= 0 + 16 + 15 = 31\end{aligned}$$

Case 3:

maximum profit per unit weight

place an item in the bag, whose p/w ratio is max

$$\frac{p_1}{w_1} = \frac{25}{18} = 1.4$$

$$\frac{p_2}{w_2} = \frac{24}{15} = 1.6$$

$$\frac{p_3}{w_3} = \frac{15}{10} = 1.5$$

$P_2/\omega_2$  is maximum so  $x_2 = 1$ . Now remaining weight of bag is  $20 - 15 = 5$ . Next maximum is  $P_3/\omega_3$ . Now we have to place third item in bag but the space is not sufficient. So we have to place  $\frac{1}{2}$  of third item.

Therefore  $x_3 = \frac{1}{2}$ . The bag is already filled, so  $x_1 = 0$

$$\underline{x_2 = 1}$$

$$x_3 = \frac{15}{10} = 1.5$$

$$x_1 = 0$$

$$\sum P_i x_i = P_1 x_1 + P_2 x_2 + P_3 x_3$$

$$= 0 + 12 \times 1 + 15 \times 1.5 = 31.5$$

$$= \cancel{31.5} = \underline{\cancel{31.5}}$$

$$= \cancel{31.5} = \underline{\cancel{31.5}}$$

====

### Example : 2

$$n = 7 \quad M = 15$$

$$(P_1, P_2, P_3, P_4, P_5, P_6, P_7) = (10, 5, 15, 7, 6, 18, 3)$$

$$(\omega_1, \omega_2, \omega_3, \omega_4, \omega_5, \omega_6, \omega_7) = 2, 3, 5, 7, 1, 4, 1$$

### Case 1 :- maximum profit

$$x_6 = 1 \quad x_3 = 1 \quad x_1 = 1 \quad x_4 = 4/7$$

$$\sum P_i x_i = 1 \times 18 + 15 \times 1 + 10 \times 1 + \frac{4}{7} \times 7 \\ = 18 + 15 + 10 + 4 = 47$$

### Case 2 :

$$x_7 = 1 \quad x_5 = 1 \quad x_1 = 1 \quad x_2 = 1 \quad x_6 = 1 \quad x_3 = 4/5$$

$$\sum P_i x_i = 1 \times 10 + 14.5 + 4/5 + 15 + 1 \times 6 + 1 \times 18 + 1 \times 3 \\ = 10 + 5 + 12 + 6 + 18 + 3 = 54$$

### Case 3 :

$$\frac{P_1}{\omega_1} = \frac{10}{2} = 5 \quad \frac{P_1}{\omega_6} = \frac{15}{5} = 3 \quad \frac{P_5}{\omega_5} = \frac{6}{1} = 6 \quad \frac{P_7}{\omega_7} = \frac{3}{1} = 3$$

$$\frac{P_6}{\omega_1} = \frac{5}{2} = 2.5 \quad \frac{P_4}{\omega_4} = \frac{7}{7} = 1 \quad \frac{P_6}{\omega_6} = \frac{10}{4} = 2.5$$

$$\sum p_i x_i = p_5 x_5 + p_1 x_1 + p_6 x_6 + p_3 x_3 + p_7 x_7 + p_2 x_2$$

$$= 6x_1 + 10x_1 + 18x_1 + 15x_1 + 3x_1 + 15x_2 / 3$$

$$= 55.3$$

Algorithm Greedy-knapsack( $P, w, M, x, n$ )

$p[1:n], w[1:n], x[1:n], m, n$

{

for  $i := 1$  to  $n$  do

$x[i] := 0.0$ ; // Initialize

$v := m$ ;

for  $i := 1$  to  $n$  do

{

if ( $w[i] \leq v$ ) then break;

$x[i] := 1.0$ ;

$v := v - w[i]$ ;

} if ( $i \leq n$ ) then

$x[i] := v / w[i]$ ;

}

Time complexity  
for knapsack is  $O(n)$

### Optimal Storage on Tapes

There are  $n$  programs that are to be stored on a computer tape of length  $L$ . Associated with each program is a length  $l_i$ ,  $1 \leq i \leq n$ .

clearly all programs can be stored on a tape iff the sum of the length of the program is almost

(at least)  $\sum_{i=1}^n l_i$ . If  $i_1, i_2, \dots$  in time  $t_j$  needed to return prog  $i_j$

$$t_j = \sum_{k=1}^j l_{i_k}$$

we shall assume that whenever a program is to be retrieved from this tape, the tape is initially positioned at the front.

In optimal storage on tape problem, we are required to find a permutation for the  $n$  programs so that they are stored on a tape in this order the MRT (mean retrieval time) is minimized

$$\boxed{MRT = \frac{1}{n} \sum_{j=1}^n t_j}$$

Example

Let  $n=3$   $(l_1, l_2, l_3) = (5, 10, 13)$   
 $\therefore S_1 = 6$ .

$$\begin{array}{ll} 1 \ 2 \ 3 \rightarrow 5 + 15 + 18 = 38 \\ 1 \ 3 \ 2 \rightarrow 5 + 8 + 18 = 31 \\ 2 \ 1 \ 3 \rightarrow 10 + 15 + 18 = 43 \\ 2 \ 3 \ 1 \rightarrow 10 + 13 + 18 = 41 \\ 3 \ 1 \ 2 \rightarrow 3 + 8 + 18 = 29 \\ 3 \ 2 \ 1 \rightarrow 3 + 13 + 18 = 34 \end{array}$$

This problem fits the ordering paradigm.

Minimizing the MRT is equivalent to minimizing

$$d(I) = \sum_{j=1}^n \sum_{k=1}^{j-1} L_{ik}$$

3, 1, 2 permutation is ordered since it has minimum MRT

Algorithm STORES( $n, m$ )

{

$J \leftarrow 0;$

for  $i \leftarrow 1$  to  $n$  do

print ("append program 'i' to permutation for tape,  $j$ ");

$j \leftarrow (j+1) \bmod m$

repeat

} Time Complexity  $O(n \log n)$

Optimal Storage On Multiple Tapes: - It requires the program to be stored on multiple tapes in circular queue fashion i.e., after storing the program in last tape the next program is stored in first tape.

### Example

Find an optimal placement for 13 programs on 7 tapes where  $T_0, T_1, T_2, \dots$  where the programs of the lengths 12, 5, 8, 32, 7, 5, 18, 26, 43, 11, 10, 6.

- Arrange all programs in ascending order.

$$3, 4, 5, 6, 7, 8, 10, 11, 12, 18, 26, 32$$

$T_0$	3	5	8	12	32	Optimal placement
$T_1$	4	6	10	18		
$T_2$	5	7	11	26		

Find an optimal placement for 10 programs on  $T_0, T_1, T_2, T_3$  where lengths of programs are

$$55, 60, 26, 1, 3, 7, 9, 18, 14, 65$$

- Arranging order 1, 3, 7, 9, 11, 14, 26, 55, 60, 65

$T_0$	1	11	60
$T_1$	3	14	65
$T_2$	7	26	
$T_3$	9	55	

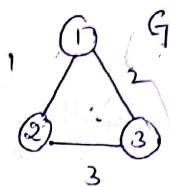
==

## Minimum-Cost Spanning Trees

Definition:- Let  $G = (V, E)$  be an undirected graph.

A subgraph  $T = (V', E')$  of  $G$  is a spanning tree of  $G$  iff  $T$  is a tree.

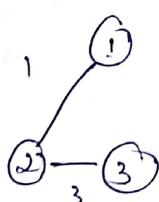
Spanning Tree:- A tree which includes all vertices in a graph  $G$  is called spanning tree.



If the graph consists of  $n$  vertices then the possible spanning trees are  $n^{n-2}$ .

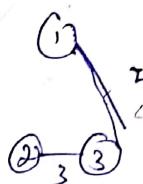
So  $n = 3$  i.e.  $3^{3-2} = 3^1 = 3$  spanning trees.

(a)



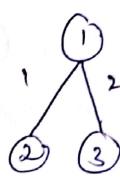
$$\text{Cost} = 1+3=4$$

(b)



$$\text{Cost} = 2+3=5$$

(c)



$$\text{Cost} = 1+2=3$$

Among these spanning trees, fig (c) has minimum cost,

so it is called as minimum spanning tree. It is a tree because it is acyclic; it is spanning because it covers every vertex.

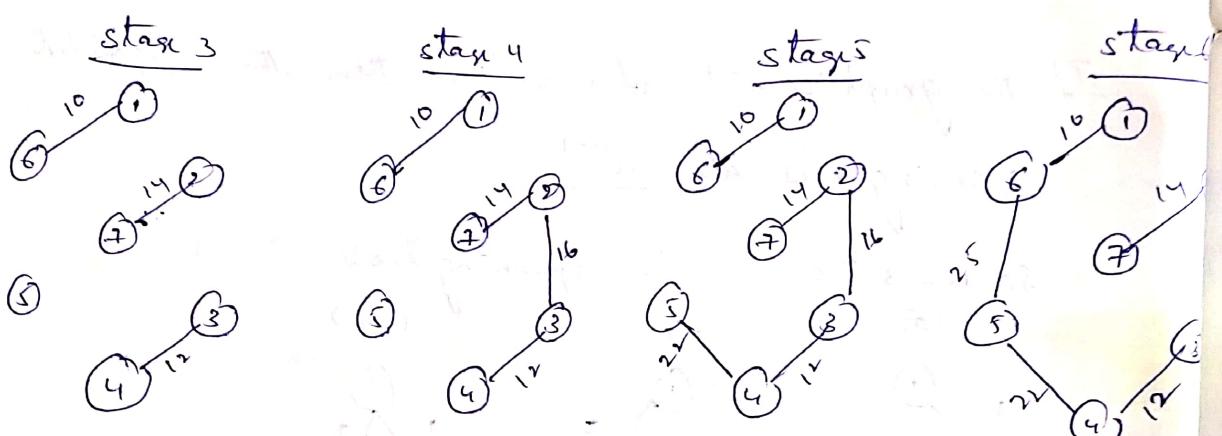
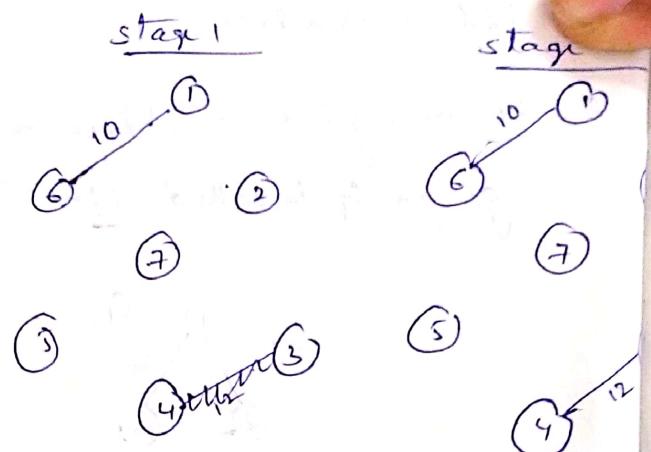
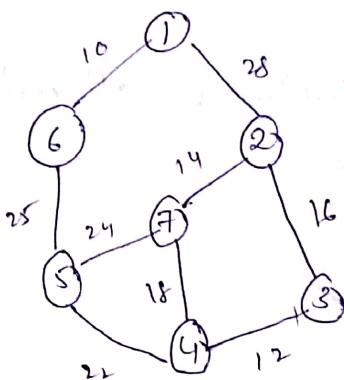
- A tree which includes all vertices of  $G$  with minimum cost is called minimum spanning tree.

- If no. of vertices increased then it is difficult to find the cost of all possible spanning tree.

It is a time consuming and difficult process as  $n$  value increases.

To avoid this difficulty, there are two standard algorithms  
 (1) Kruskal's algorithm (2) Prim's algorithm.

### Kruskal's algorithm



### Method:-

- (1) Arrange all the edges in the increasing order of cost.
- (2) Include the edge with the minimum cost.
- (3) Add the next edge with minimum cost and delete edge from edge set until  $n-1$  edges are added or edge set is exhausted.
- (4) If the inclusion of any edge results in a cycle reject it and move on to next edge.
- (5) Go to step 3.

Arranging all edges in increasing order.

Edge	cost
(1,6)	10
(3,4)	12
(2,7)	14
(2,3)	16
(4,7)	18
(4,5)	20
(5,7)	24
(5,6)	26
(1,2)	28

Edge	Action	Added Edges
(1,6)	add	{(1,6)}
(3,4)	add	{(1,6) {3,4}}
(2,7)	add	{(1,6) {3,4} {2,7}}
(2,3)	add	{(1,6) {3,4} {2,7} {2,3}}
(4,7)	reject	{(1,6) {2,3} {4,7}}
(4,5)	add	{(1,6) {3,4} {5,7}}
(5,7)	reject	{(1,6) {2,3} {4,5,7}}
(5,6)	add	{(1,6) {2,3} {4,5,6}}
(1,2)	reject	{(1,2) {3,4} {5,6,7}}

$$\text{Selected edges} = \{(1,6) (3,4) (2,7) (2,3) (4,5) (5,6)\}$$

$$= 10 + 12 + 14 + 16 + 20 + 26$$

$$= 99$$

Kruskal( E, cost, n, t) mincost )

{  
real mincost, cost (1:n, 1:n)

int parent (1:n), t (1:n-1:n^2), n;

Construct a heap out of edge costs using Heapsort.

for i:=1 to n do

parent [i]:=-1; // Each vertex is assigned to a distinct set

i:=0;

mincost=

mincost:=0.0; // edges are removed from heap one by one in non decreasing order of cost

while ((i < n-1) and (heap not empty)) do

{

    delete a mincost edge (u,v) from heap } reheapify using

    j:=find(u);

    k:=find(v);

    if (j ≠ k) then

    {

        i:=i+1;

        t[i,1]:=u;

        t[i,2]:=v;

        mincost := mincost + cost(u,v);

        union(j,k); // sets containing u & v are combined

}

    if (i ≠ n-1) then // if graph G is not connected

        write ("no spanning tree");

    else

        return mincost;

}

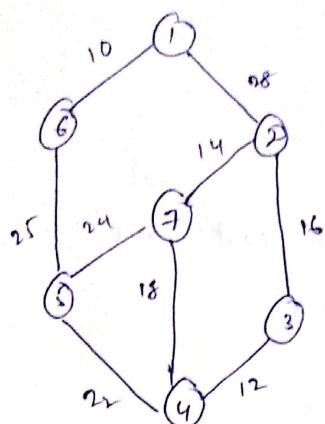
Time Complexity:- The time taken to delete an edge from heap

is  $O(\log e)$ , where 'e' is num of edges in the graph(G)

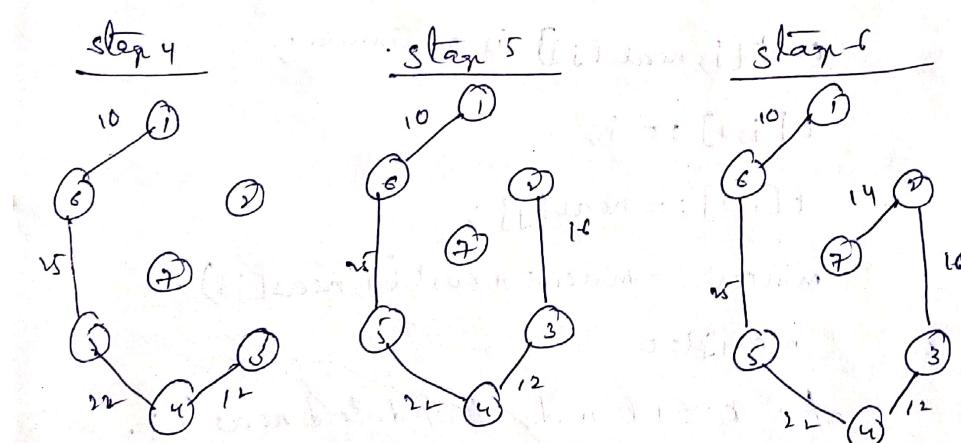
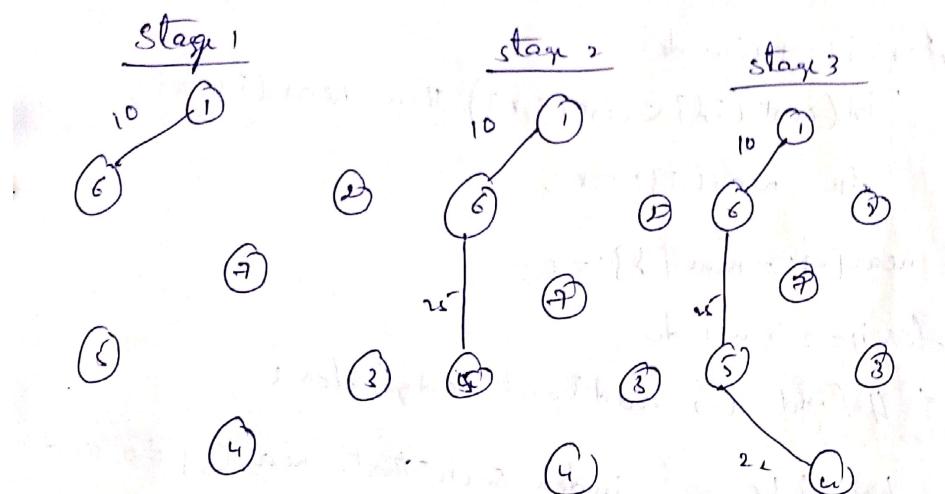
while loop executes  $(n-1)$  times, approximately 'e' times

So the time complexity is  $O(e \log e)$  (or)  $O(n \log n)$

## Prim's algorithm:-



edge	action	added edges
(1,6)	add	{(1,6)}
(6,5)	add	{(1,6)(6,5)}
(5,4)	add	{(1,6)(6,5)(5,4)}
(4,3)	add	{(1,6)(6,5)(5,4)(4,3)}
(3,2)	add	{(1,6)(6,5)(5,4)(4,3)(3,2)}
(2,7)	add	{(1,6)(6,5)(5,4)(4,3)(3,2)(2,7)}



minimum cost = 99.

### Method:-

- (1) Include the edge with minimum cost
- (2) Next include that edge which is connected from the vertices that has been included so far in the tree and with minimum cost
- (3) Go to step 2 if number of edges included is not equal

to  $n-1$  edges are present in the graph.

otherwise step.

Algorithm prim( $E, cost, n, t$ )

{

let  $(k, l)$  be an edge minimum cost in  $E$ ;

$mincost := cost[k, l];$

$t[1,1] = k;$

$t[1,2] := l;$

for  $i := 1$  to  $n$  do

if ( $cost[i, l] < cost[i, k]$ ) then  $near[i] := l;$

else  $near[i] := k;$

$near[k] := near[l] := 0;$

for  $i := 2$  to  $n-1$  do

{ // Find  $n-2$  additional edges for t

let  $j$  be an index such that  $near[j] \neq 0$  and

$cost[j, near[j]]$  is minimum;

$t[i, 1] := j;$

$t[i, 2] := near[j];$

$mincost := mincost + cost[j, near[j]],$

$near[j] = 0;$

for  $k := 1$  to  $n$  do // update near [ ].

if (( $near[k] \neq 0$ ) and ( $cost[k, near[k]] > cost[k, j]$ ))

then  $near[k] := j;$

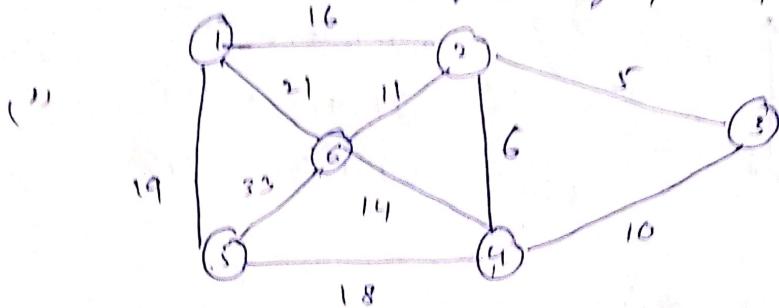
} return  $mincost;$

Time complexity :- The outer for loop executes  $n-1$  times  
and inner for loop executes  $n$  times, so

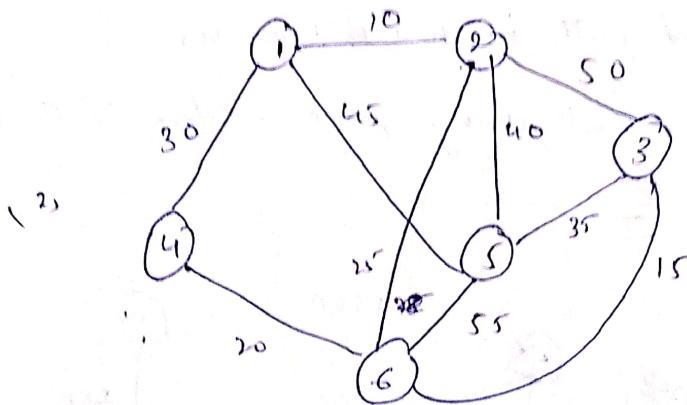
$$T(n) = (n-1)n = n^2 - n$$

$$\therefore O(n^2).$$

Example 1: Determine the minimum cost spanning tree of the graph by prim's & kruskal's algo's.



$$\begin{aligned} \text{Kruskal's algo:} \\ \text{prim's algo:} \end{aligned}$$

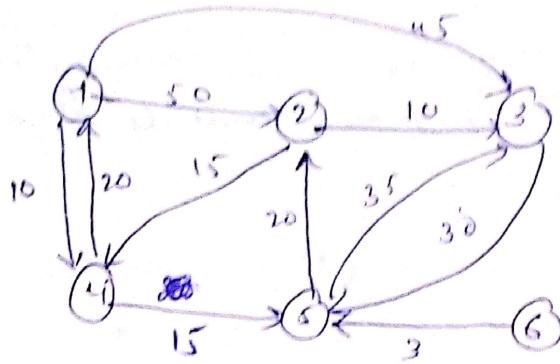


Single Source Shortest paths:- (on Dijkstra's Algo)

The length of a path is now defined to be the sum of the weights of the edges on that path. The starting vertex of the path is referred to as the source, and the last vertex the destination.

A directed graph  $G = (V, E)$ , a weighting function  $\text{cost}$  for the edges of  $G$ , and a source vertex  $v_0$ .

The problem is to determine the shortest paths from  $v_0$  to all the remaining vertices of  $G$ .



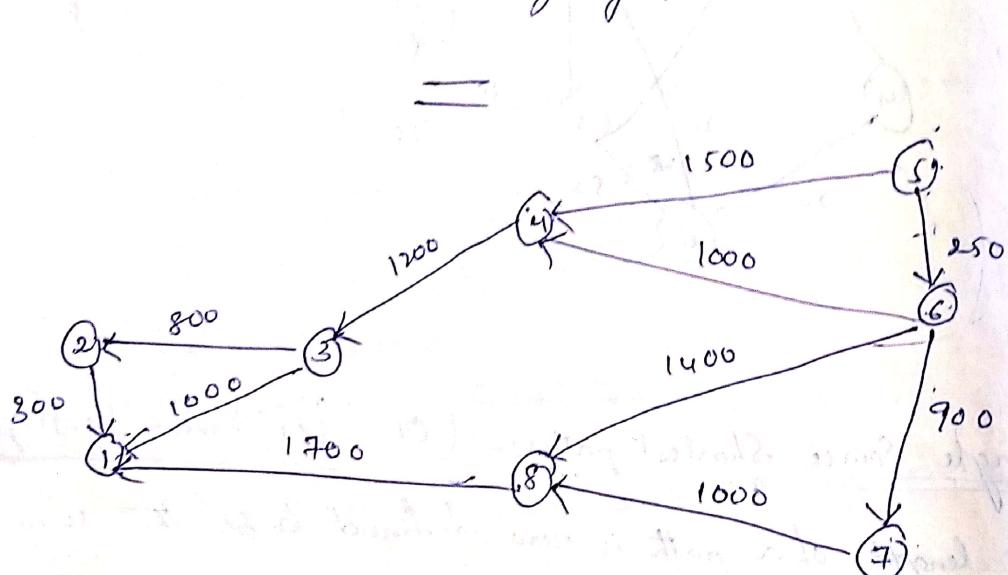
path	length
1) 1, 4	10
2) 1, 4, 5	25
3) 1, 4, 5, 2	45
4) 1, 3	45

Source vertex is node '1'

Shortest path from 1 to 2 is  $1 \rightarrow 4 \rightarrow 5 \rightarrow 2$

The length of path is  $10 + 15 + 20 = 45$

From  $1 \rightarrow 2$  is 50. But going from 3 edges is shorter.



	1	2	3	4	5	6	7	8
1	0							
2	300	0						
3	1000	800	600					
4		1200	0					
5			1500	0	250			
6				1000	0	900	1400	
7						0		
8	1700						1600	0

length - adjacency matrix.

25

Iteration	S	vertex selected	Distance							
			[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]
Initial	-	-	$\infty$	$\infty$	$\infty$	1500	0	250	$\infty$	$\infty$
1	{5, 3}	6	$\infty$	$\infty$	$\infty$	1250	0	250	1150	1650
2	{5, 6, 3}	7	$\infty$	$\infty$	$\infty$	1250	0	250	1150	1650
3	{5, 6, 7, 3}	4	$\infty$	$\infty$	2450	1150	0	250	1150	1650
4	{5, 6, 7, 4, 3}	8	3450	$\infty$	2450	1250	0	250	1150	1650
5	{5, 6, 7, 4, 8, 3}	1	3450	3250	2450	1250	0	250	1150	1650
6	{5, 6, 7, 4, 8, 3, 2}	2	3450	3250	2450	1250	0	250	1150	1650
			15, 6, 7, 4, 8, 3, 2, 1							

Action of shortest path

Algorithm Shortest paths ( $v, cost, dist, n$ )

// n - vertices  
 // vertex v to j in d-graph G  
 // dist[v] is set to zero  
 // cost[1:n, 1:n].

```

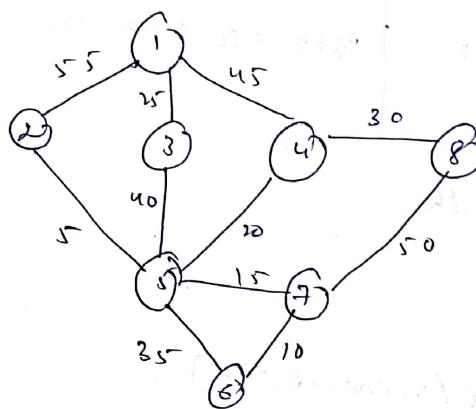
{ for i:=1 to n do
  { // Initialize S
    S[i]:= false;
    dist[i]:= cost[v,i];
  }
  S[v]:= true;
  dist[v]:= 0.0; // put v in S.
  for num:=2 to n-1 do
    { // Determine n-1 paths from v.
      choose u from among those vertices non in
      S such that dist[u] is minimum;
      S[u]:= true; // put u in S.
      for (each w adjacent to u with S[w]=false) do
        { // update distances
          if (dist[w]>dist[u]+cost[u,w])) then
            dist[w]:= dist[u]+cost[u,w];
        }
    }
}
  
```

## Time complexity

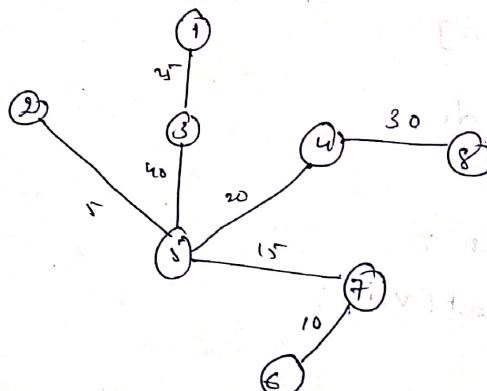
Time taken by the algorithm on a graph with 'n' vertices is  $O(n^2)$

Overall time is  $O((n+1)^2 \log n)$ .

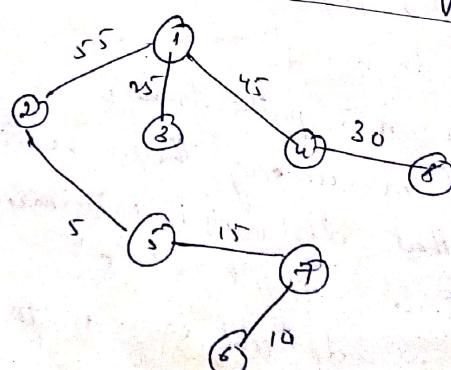
Eg :-



Graph.



minimum cost spanning tree



shortest path spanning tree from vertex '1'

## Dynamic Programming

### General Method :-

- The drawback of greedy method is, we will make one decision at a time, this can be overcome in dynamic programming. In this, we will make more than one decision at a time.

- Dynamic programming is an algorithm design method, that can be used when the solution to a problem can be viewed as the result of a sequence of decisions.

Dynamic programming is applicable when the subproblems are not independent, that is, when subproblems share subsubproblems.

A dynamic-programming algorithm solves every subsubproblem just once and then saves its answer in a table, thereby avoiding the work of recomputing the answer every time the subsubproblem is encountered.

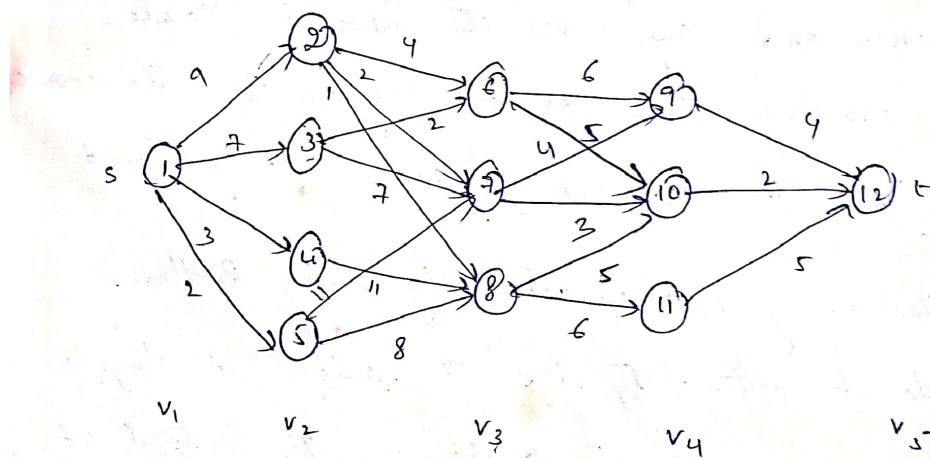
In this every problem solved by using Bellman's principle of optimality, i.e., the output of stage 1 will be given as input to stage 2, the output of stage 2 will be given as input to stage 3 and so on.

Principle of optimality states that an optimal sequence of decisions has the property that whatever the initial state and decision are, the remaining decisions must constitute an optimal decision sequence with regard to the state resulting from the first decision.

## Multistage Graphs

- A multistage graph  $G = (V, E)$  is a directed graph in which the vertices are partitioned into  $k \geq 2$  disjoint sets  $V_i$ , where  $1 \leq i \leq k$ . The sets  $V_1 \cup V_k$  are such that  $|V_1| = |V_k| = 1$ .
- Let  $s \in V_1$  be the vertex in  $V_1 \setminus V_k$ . The vertex  $s$  is source, and  $t$  the sink (destination).
- Let  $\text{cost}(i, j)$  be the cost of edge  $\langle i, j \rangle$ .
- The cost of a path from  $s$  to  $t$  is the sum of the costs of the edges on the path.
- The multistage graph problem is to find a minimum-cost path from  $s$  to  $t$ .

$$\text{cost}(i, j) = \min_{l \in V_{i+1}} \{ c(j, l) + \text{cost}(i+1, l) \}$$



- Each  $V_i$  defines a stage in the graph

$\text{cost}(i, j)$

$\text{cost}(k-i, j)$  where  $j$  is a vertex belongs to the stage.

$\text{cost}(k-i, j)$

$\text{cost}(k-i, j)$

stage 5  
 $\text{cost}(5, 12) = 0$

stage 4

$\text{cost}(4, 9) = 4$      $\text{cost}(4, 11) = 5$   
 $\text{cost}(4, 10) = 2$

Step 3First we have to make  $K-2$  decisions.

$$\text{then } K = 5$$

$$K-2 = 5-2 = 3$$

$$\text{cost}(3,6), \text{cost}(3,7), \text{cost}(3,8)$$

$$\Rightarrow \text{cost}(3,6) = \min_{l \in V_{i+1}} \{ c(6,9) + \text{cost}(4,9), \\ c(6,10) + \text{cost}(4,10) \}$$

$$= \min \{ 6+4, 5+2 \}$$

$$= \min \{ 10, 7 \}$$

$$d(3,6) = 10$$

$\therefore$

$$\Rightarrow \text{cost}(3,7) = \min \{ c(7,9) + \text{cost}(4,9), \\ c(7,10) + \text{cost}(4,10) \}$$

$$= \min \{ 4+4, 3+2 \}$$

$$= \min \{ 8, 5 \}$$

$$d(3,7) = 10$$

$\therefore$

$$\Rightarrow \text{cost}(3,8) = \min \{ c(8,9) + \text{cost}(4,9), \\ c(8,10) + \text{cost}(4,10) \}$$

$$= \min \{ 5+2, 6+5 \}$$

$$= \min \{ 7, 11 \}$$

$\therefore$

$$d(3,8) = 10$$

Stage 1 Now  $k=3$  decisions  $k-3 = 5-3 = 2$ .

$$\text{cost}(2,2) \quad \text{cost}(2,3) \quad \text{cost}(2,4) \quad \text{cost}(2,5)$$

$$\Rightarrow \text{cost}(2,2) = \min \{ c(2,6) + \text{cost}(3,6), c(2,7) + \text{cost}(3,7),$$

$$c(2,8) + \text{cost}(3,8) \}$$

$$= \min \{ 4+7, 2+5, 1+7 \}$$

$$= 7 \quad d(2,2) = 7$$

$$\Rightarrow \text{cost}(2,3) = \min \{ c(3,6) + \text{cost}(3,6), c(3,7) + \text{cost}(3,7) \}$$

$$= \min \{ 2+7, 7+7 \}$$

$$= 9 \quad d(2,3) = 6$$

$$\Rightarrow \text{cost}(2,4) = \min \{ c(4,8) + \text{cost}(3,8) \}$$

$$= \min \{ 11+7 = 18 \quad d(2,4) = 8 \}$$

$$\Rightarrow \text{cost}(2,5) = \min \{ c(5,7) + \text{cost}(3,7), c(5,8) + \text{cost}(3,8) \}$$

$$= \min \{ 11+5, 8+7 \} = 15$$

$$d(2,5) = 8$$

Stage 1

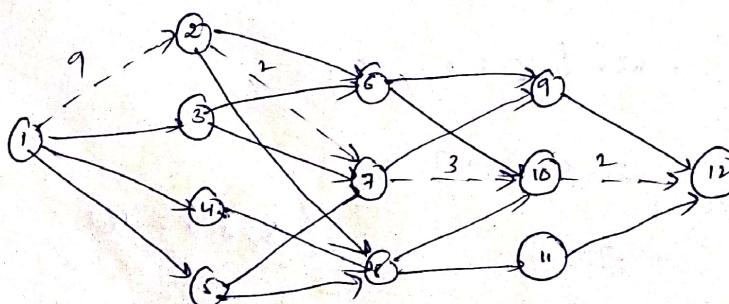
Now  $k=4$  decisions  $5-4 = 1$

$$\Rightarrow \text{cost}(1,1) = \min \{ c(1,2) + \text{cost}(2,2), c(1,3) + \text{cost}(2,3),$$

$$c(1,4) + \text{cost}(2,4), \cancel{c(1,5)} + \text{cost}(2,5) \}$$

$$= \min \{ 9+7, 7+9, 3+10, 2+15 \} = 16.$$

$$d(1,1) = 2 \text{ or } 3.$$



Answer

A minimum cost 8 to 1 path is indicated by broken edge

$$9+2+3+2 = 16.$$

- Let us suppose  $d(i, j)$  be the value of  $l$  (where  $l$  is a node)  
 that minimizes  $c(j, l) + \text{cost}(i+1, l)$

$$d(1, 1) = 10 \quad d(3, 7) = 10 \quad d(3, 8) = 10 \\ d(2, 2) = 7 \quad d(2, 3) = 6 \quad d(2, 4) = 8 \quad d(2, 5) = 7 \\ d(1, 1) = 2$$

minimum cost path be  $s = 1, v_2, v_3, \dots, v_{k-1}, t$

$$v_2 = d(1, 1) = 2$$

$$v_3 = d(2, d(1, 1)) = 7$$

$$v_4 = d(3, d(2, d(1, 1))) = d(3, 7) = 10.$$

$$1 \rightarrow 2 \rightarrow 7 \rightarrow 10 \rightarrow 12$$

/\* Routine for multigraph using Forward approach \*/

FGraph(G, x, n, P)

{

cost = 0.0;

for j := n-1 to 1 step -1 do

{ Let  $i'$  be the vertex such that  $(i, i')$  is an edge

of graph }  $\{ \text{cost}(i) + d(i, i') \}$  is minimum;

$\text{cost}[j] := c[i, i'] + \text{cost}(i');$

$d[j] := i';$

}

$P[i] = 1;$

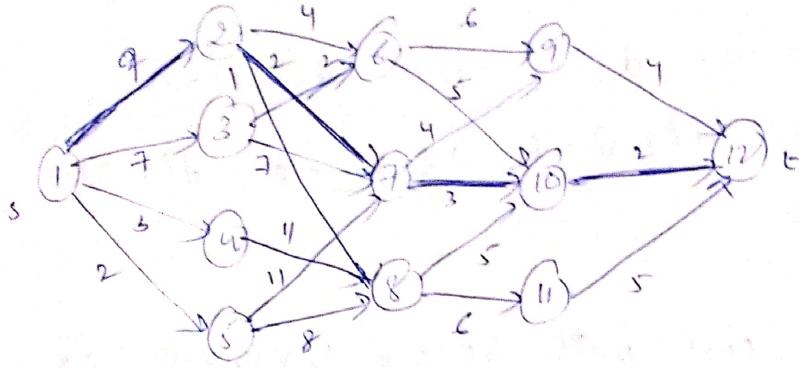
$P[n] := n;$

for j := 2 to  $n-1$  do

$P[j] := d[P[j-1]];$

}

## Backward approach



$$bcost[i, j] = \min_{l \in V_0} \{ c(l, j) + bcost(i-1, l) \}$$

$$bcost[2, j] = c(1, j) \quad \text{if } (1, j) \in E$$

$$bcost[2, j] = \infty$$

$$i = 3, 4, \dots$$

for  $i=3$

$$\begin{aligned} bcost[3, 6] &= \min \{ c(2, 6) + bcost(2, 2), \\ &\quad c(3, 6) + bcost(2, 3) \} \\ &= \min \{ 4+9, 2+7 \} = 9 \end{aligned}$$

$$bcost[3, 7] = \min \{ 4+2, 7+7, 2+11 \} = 11$$

$$bcost[3, 8] = \min \{ 1+9, 11+3, 8+2 \} = 10$$

for  $i=4$

$$\begin{aligned} bcost[4, 9] &= \min \{ c(3, 9) + bcost(3, 6), c(7, 9) + bcost[7, 6] \} \\ &= \min \{ 9+6, 4+11 \} = 15 \end{aligned}$$

$$bcost[4, 10] = \min \{ 5+9, 3+11, 5+10 \} = 14$$

$$bcost[4, 11] = \min \{ 6+10 \} = 6$$

for  $i = 5$

$$\text{cost}[5, 12] = \min\{4+15, 2+14, 5+10\} = 16$$

$$d[5, 12] = ?$$

$$d[3, 6] = 3 \quad d[3, 7] = 2 \quad d[3, 10] = 5$$

$$d[4, 9] = 6 \text{ or } 7 \quad d[4, 10] = 6 \text{ or } 7 \quad d[4, 11] = 8$$

$$d[5, 12] = 10 = v_4 \quad v_3 = d(4, d(5, 12)) \\ = d(4, 10) = 7$$

$$v_2 = d(3, d(4, d(5, 12))) \\ = d(3, d(4, 10)) \\ = d(3, 7) = 2$$

Using Backward

$$12 \rightarrow 10 \rightarrow 7 \rightarrow 2 \rightarrow 1 \quad (0^{\circ}) \quad 12 \rightarrow 10 \rightarrow 6 \rightarrow 3 \rightarrow 1$$

/\* Routine for Backward approach \*/

Bgraph(G, k, n, p)

{ cost[i] := 0.0;

for j = 2 to n do

{ let 'x' be a vertex such that  $x, j >$

{ let 'x' be a vertex such that  $x, j >$   
let 'x' be a vertex such that  $c[x, j] + \text{cost}[x]$  is minimum;  
be an edge of G }  $c[x, j] + \text{cost}[x]$  ;

cost[j] :=  $c[x, j] + \text{cost}[x];$

$d[j] := x;$

}

$p[i] = 1;$

$p[u] = n;$

for ( $j = k - 1$ ) to 2 do

$p[j] := d[p[j+1]];$

}

=====

## Optimal Binary Search Trees

oufastupdates.com

### Binary Search Tree

- A Binary Search tree  $T$  is a binary tree, either it is empty, or each node in the tree contains an identifier and
- (i) all identifiers in the left subtree of  $T$  are less than the identifier in the root-node  $T$
  - (ii) all identifiers in the right subtree are greater than the identifier in the root-node  $T$
  - (iii) The left and right subtree of  $T$  are also binary search tree.

/\* For searching a binary search tree \*/

Algorithm SEARCH( $T, x, i$ )

//Search the binary search tree  $T$  for  $x$ .

//Each node of the tree has fields LCHILD,

// IDENT, RCHILD, if  $x$  is not in  $T$  then

// set  $i = 0$ , IDENT( $i$ ) =  $x$

$i \leftarrow NIL;$

while  $i \neq 0$  do

case

:  $x < IDENT(i)$  :  $i \leftarrow LCHILD(i)$  // search left subtree

:  $x = IDENT(i)$  : return

:  $x > IDENT(i)$  :  $i \leftarrow RCHILD(i)$  // search right subtree

endcase

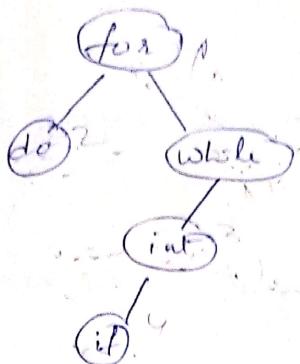
repeat

end SEARCH.

- To search an element in binary search tree, First that element compare with root-node. If element is less than root node then search continue in left subtree. If element is greater than root node then search continue in right subtree. If element . . . . .

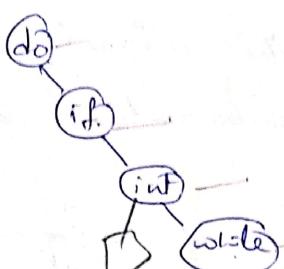
Case 1:

- Each and every node has equal probability and no unsuccessful searches are made.



on average it takes 1, 2, 2, 3, 4 comparisons respectively to find the identifiers for, do, while, int, if.

$$\text{Avg num of comparisons} = \frac{1+2+2+3+4}{5} = \frac{12}{5}$$



$$\frac{1+2+3+4}{4} = \frac{10}{4}$$

A successful search is terminated at an internal node is denoted by circle O { unsuccessful node at external is denoted case 2: by square }

Every node has different probability { unsuccessful }  
searches.

Set of identifiers is  $\{a_1, a_2, \dots, a_n\}$  with  $a_1 < a_2 < \dots < a_n$ .

Let  $p(i)$  be the probability with which we search for  $a_i$ .

Let  $q(i)$  be the probability that the identifier  $x$  being

searched for is such that  $a_i < x < a_{i+1}$ ,  $0 \leq i \leq n$ .

$\sum_{0 \leq i \leq n} q(i)$  is the probability of an unsuccessful search

$$\sum_{1 \leq i \leq n} p(i) - \sum_{0 \leq i \leq n} q(i) = 1$$

- To obtain binary search trees, it is useful to add a external node in place of every empty subtree in the search tree. Such nodes are called external nodes.  
All other nodes are internal nodes.

If a binary search tree represents  $n$  identifiers, then there will be exactly  $n$  internal nodes  $\{ n+1 \}$  external nodes.

Every internal node represents a point where a successful search may terminate.

Every external node represents a point where an unsuccessful search may terminate.

The expected cost contribution from the internal node for  $a_i$  is  $\sum_{i=1}^n p(i) * \text{level}(a_i)$  [probability of successful search]

Probability of unsuccessful search node is  $\sum_{i=0}^n q(i) * (\text{level}(E_i))$

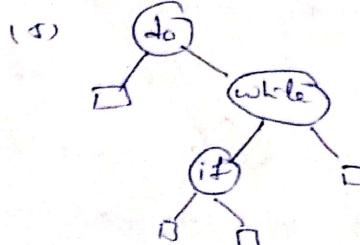
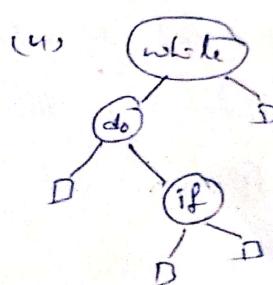
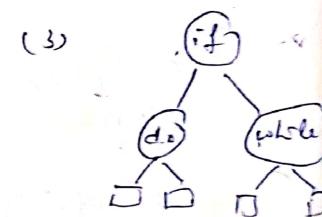
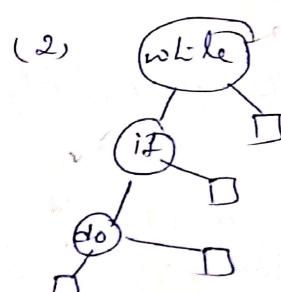
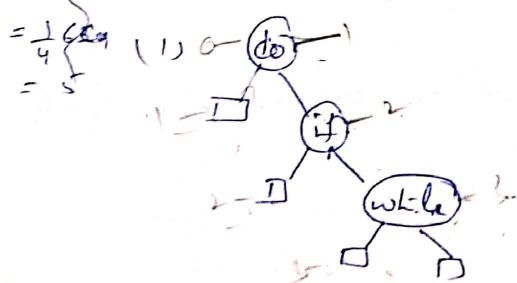
The Expected cost of a binary search tree:

$$\text{Cost}(T) = \sum_{1 \leq i \leq n} p(i) * \text{level}(a_i) + \sum_{0 \leq i \leq n} q(i) * (\text{level}(E_i))$$

Eg 1:-

Consider an identifier set  $(a_1, a_2, a_3) = (\text{do}, \text{if}, \text{while})$

$w = 3$ . The probabilities of successful & unsuccessful search is given by  $p[i] = q[i] = 1/7$ . Find an optimal B.S.T.



$n = 3$

$$= \frac{1}{n+1} 2n c_n$$

$$= \frac{1}{4} 6 c_3$$

$$(1) \text{ Probability of successful search} = \sum_{0 \leq i \leq n} p(i) * \text{level}(E_i)$$

$$= 1 \times \frac{1}{7} + 2 \times \frac{1}{7} + 3 \times \frac{1}{7} = \frac{6}{7}$$

Probability of unsuccessful search

$$= \sum_{0 \leq i \leq n} q(i) * (\text{level}(E_i) - 1)$$

$$= 1 \times \frac{1}{7} + 2 \times \frac{1}{7} + 3 \times \frac{1}{7} + 3 \times \frac{1}{7} = \frac{9}{7}$$

$$\text{cost}(k) = \frac{6}{7} + \frac{9}{7} = \frac{15}{7}$$

(2)

$$\text{Success} = 1 \times \frac{1}{7} + 2 \times \frac{1}{7} + 3 \times \frac{1}{7} = \frac{6}{7}$$

$$\text{unsuccess} = 1 \times \frac{1}{7} + 2 \times \frac{1}{7} + 3 \times \frac{1}{7} + 3 \times \frac{1}{7} = \frac{9}{7}$$

$$\text{cost}(k) = \frac{6}{7} + \frac{9}{7} = \frac{15}{7}$$

(3)

$$\text{Success} = 1 \times \frac{1}{7} + 2 \times \frac{1}{7} + 2 \times \frac{1}{7} = \frac{5}{7}$$

$$\text{unsuccess} = 2 \times \frac{1}{7} + 2 \times \frac{1}{7} + 2 \times \frac{1}{7} + 2 \times \frac{1}{7} = \frac{8}{7}$$

$$\text{cost}(k) = \frac{5}{7} + \frac{8}{7} = \frac{13}{7}$$

$$(4) \text{ Success} = 1 \times \frac{1}{7} + 2 \times \frac{1}{7} + 3 \times \frac{1}{7} = \frac{6}{7}$$

$$\text{unsuccess} = 1 \times \frac{1}{7} + 2 \times \frac{1}{7} + 3 \times \frac{1}{7} + 3 \times \frac{1}{7} = \frac{9}{7}$$

$$\text{cost}(k) = \frac{6}{7} + \frac{9}{7} = \frac{15}{7}$$

(5)

$$\text{Success} = 1 \times \frac{1}{7} + 2 \times \frac{1}{7} + 3 \times \frac{1}{7} = \frac{6}{7}$$

$$\text{unsuccess} = 1 \times \frac{1}{7} + 2 \times \frac{1}{7} + 3 \times \frac{1}{7} + 3 \times \frac{1}{7} = \frac{9}{7}$$

$$\text{cost}(k) = \frac{6}{7} + \frac{9}{7} = \frac{15}{7}$$

So Third B.S.T is the optimal B.S.T

Q2 :- Consider an identifier set  $(a_1, a_2, a_3) = \{d_0, d_1, d_2\}$  Eq

$$P[i] = q[i] = 1/7 : \text{Find O.B.S.T.}$$

By using dynamic programming you can select root node for optimal binary search tree.

Let internal nodes be  $a_1, a_2, \dots, a_n \}$

external nodes is  $e_0, e_1, \dots, e_n$ .

If  $a_k$  as root for optimal binary search tree then  
 $a_0$  to  $a_{k-1}$  will be the left subtree &  $a_{k+1}$  to  $a_n$  will be in the right subtrees.

$$a_0 \text{ to } a_{k-1} (e_0 \text{ to } e_{k-1})$$

$$a_{k+1} \text{ to } a_n (e_{k+1} \text{ to } e_n)$$

If we consider left subtree as  $l$  & right subtree as  $r$

$$\text{cost}(l) = \sum_{i=1}^k p(i) * \text{level}(a_i) + \sum_{i=0}^k q(i) * \text{level}(e_i) + w$$

$$\text{cost}(r) = \sum_{i=k+1}^n p(i) * \text{level}(a_i) + \sum_{i=k}^n q(i) * \text{level}(e_i) + w$$

In general

To find O.B.S.T. the following equation is used

$$C(i, j) = \min_{i \leq k \leq j} \{ C(i, k-1) + C(k, j) \} + w(i, j)$$

$$\text{where, } w(i, j) = p(j) + q(j) + w(i, j-1)$$

$$w(i, i) = q(i)$$

$$C(i, i) = 0$$

To find O.B.S.T. the following equation should be

$$C(0, n) = \min_{0 \leq k \leq n} \{ C(0, k-1) + C(k, n) \} + w(0, n).$$

Eg Let  $n=4$  and  $(q_1, q_2, q_3, q_4)$  (do, if, int, nble).

$$P(1:4) = \begin{pmatrix} 3 & 3 & 1 & 1 \\ 1 & 2 & 2 & 2 \end{pmatrix} \quad Q(0:4) = \begin{pmatrix} 2 & 3 & 1 & 1 \\ 0 & 3 & 2 & 2 \end{pmatrix}$$

Initially  $w(i,i) = q(i)$   $c(i,i) = 0$   $x(i,i) = 0$ ,  $\alpha \leq 4$ .  
that at the beginning  $= 0$ .

- No. of possible binary search trees  $\delta = \frac{1}{n+1}$  even

$$= \frac{1}{5} \delta_{e,4} = 14$$

Draw one table by taking i corresponds to row &  
j corresponds to columns.

	0	1	2	3	4
0	$w_{00} = 2$ $c_{00} = 0$ $r_{00} = 0$	$w_{10} = 30$ $c_{10} = 0$ $r_{10} = 0$	$w_{20} = 1$ $c_{20} = 0$ $r_{20} = 0$	$w_{30} = 1$ $c_{30} = 0$ $r_{30} = 0$	$w_{40} = 1$ $c_{40} = 0$ $r_{40} = 0$
1	$w_{01} = 8$ $c_{01} = 8$ $r_{01} = 1$	$w_{11} = 9$ $c_{11} = 7$ $r_{11} = 2$	$w_{21} = 3$ $c_{21} = 3$ $r_{21} = 2$	$w_{31} = 3$ $c_{31} = 3$ $r_{31} = 4$	
2	$w_{02} = 12$ $c_{02} = 19$ $r_{02} = 1$	$w_{12} = 9$ $c_{12} = 12$ $r_{12} = 2$	$w_{22} = 5$ $c_{22} = 8$ $r_{22} = 3$		
3	$w_{03} = 14$ $c_{03} = 25$ $r_{03} = 2$	$w_{13} = 11$ $c_{13} = 19$ $r_{13} = 2$	$w_{23} = 7$ $c_{23} = 10$ $r_{23} = 3$		
4	$w_{04} = 16$ $c_{04} = 32$ $r_{04} = 2$	$w_{14} = 11$ $c_{14} = 25$ $r_{14} = 2$	$w_{24} = 9$ $c_{24} = 18$ $r_{24} = 3$		

$$w(i,j) = p(j) + q(j) + w(i,j-1)$$

$$c(i,j) = \min_{i < k < j} \{ c(i,k-1) + c(k,j) \} + w(i,j)$$

$$w(i,i) = q(i)$$

$$c(i,i) = x(i,i) = 0$$

$$\text{So } w(0,0) = q(0) = 2 \quad c(0,0) = R(0,0) = 0$$

$$w(1,1) = q(1) = 3 \quad c(1,1) = R(1,1) = 0$$

$$w(2,2) = q(2) = 1 \quad c(2,2) = R(2,2) = 0$$

$$w(3,3) = q(3) = 1 \quad c(3,3) = R(3,3) = 0$$

$$w(4,4) = q(4) = 1 \quad c(4,4) = R(4,4) = 0$$

$$\omega(0,1) = p(1) + \alpha(1) + \omega(0,0)$$

$$= 3 + 3 + 2 = 8$$

$$\omega(1,2) = p(2) + \alpha(2) + \omega(1,1) = 3 + 1 + 3 = 7$$

$$\omega(2,3) = p(3) + \alpha(3) + \omega(2,2) = 1 + 1 + 1 = 3$$

$$\omega(3,4) = p(4) + \alpha(4) + \omega(3,3) = 1 + 1 + 1 = 3$$

$$\omega(0,2) = p(2) + \alpha(2) + \omega(0,1) = 8 + 1 + 8 = 12$$

$$\omega(1,3) = p(3) + \alpha(3) + \omega(1,2) = 1 + 1 + 7 = 9$$

$$\omega(2,4) = p(4) + \alpha(4) + \omega(2,3) = 1 + 1 + 3 = 5$$

$$\omega(0,3) = p(3) + \alpha(3) + \omega(0,2) = 1 + 1 + 12 = 14$$

$$\omega(1,4) = p(4) + \alpha(4) + \omega(1,3) = 1 + 1 + 9 = 11$$

$$\omega(0,4) = p(4) + \alpha(4) + \omega(0,3) = 1 + 1 + 14 = 16$$

$$C(i,j) = \min_{i \leq k < j} \{ C(i,k-1) + C(k,j) \} + \omega(i,j)$$

for  $j-i=1$

$$C(0,1), C(1,2), C(2,3), C(3,4)$$

for  $(j-i)=2$

$$C(0,2), C(1,3), C(2,4)$$

for  $(j-i)=3$

$$C(0,3), C(1,4)$$

for  $(j-i)=4$

$$C(0,4)$$

for  $j-i=1$

$$c(0,1) = \min_{0 \leq k \leq 1} \{ c(0,k) + c(k,1) \} + w(0,1)$$

$$= \min_{0 \leq k \leq 1} (0+k) + w(0,1) = 0+1 = 1$$

min of  $k$  value is 1 so  $x(0,1) = k$   
 $x(0,1) = 1$

$$c(1,2) = \min_{1 \leq k \leq 2} \{ c(1,k) + c(k,2) \} + w(1,2)$$

$$= w(1,2) = 7$$

$$x(1,2) = 2$$

$$c(2,3) = \min_{2 \leq k \leq 3} \{ c(2,k) + c(k,3) \} + w(2,3) = 0+3 = 3$$

$$x(2,3) = 3$$

$$c(3,4) = \min_{3 \leq k \leq 4} \{ c(3,k) + c(k,4) \} + w(3,4)$$

$$= 0+3 = 3$$

$$x(3,4) = 4$$

for  $j-i=2$ .

$$c(0,2) = \min_{0 \leq k \leq 2} \left\{ \underbrace{c(0,k) + c(k,2)}_{\text{if } k=1}, \underbrace{c(0,k) + c(k,2)}_{\text{if } k=2} \right\} + w(0,2)$$

$$= \min \{ 7, 8 \} + w(0,2)$$

$$= 7 + w(0,2) = 7 + 12 = 19$$

$\hookrightarrow$  so  $k=1$

$$x(0,2) = 1$$

$$c(1,3) = \min_{k \in \{3\}} \left\{ \underbrace{c(1,1) + c(2,3)}_{k=1}, \underbrace{c(1,2) + c(3,3)}_{k=2} \right\} + w$$

$$= 3 + w(1,3) = 3 + 29 = 32$$

$$x(1,3) = \min_{k \in \{2\}} \left\{ c(1,2) + c(3,3) \right\} + w$$

$$= 2$$

$$c(2,4) = \min_{2 \leq k \leq 4} \left\{ \underbrace{c(2,2) + c(3,4)}_{k=3}, \underbrace{c(2,3) + c(4,4)}_{k=4} \right\} + w$$

$$= 3 + 5 = 8$$

$$x(2,4) = 3$$

$\Rightarrow$  for  $j-i = 3$

$$c(0,3) = \min_{0 \leq k \leq 3} \left\{ \underbrace{c(0,0) + c(1,3)}_{0 \leq k=1 \leq 12}, \underbrace{c(0,1) + c(2,3)}_{1 \leq k=2 \leq 3}, \right.$$

$$\left. \underbrace{c(0,2) + c(3,3)}_{2 \leq k=3 \leq 5} \right\} + w(0,3)$$

$$= 11 + 14 = 25$$

$$x(0,3) = 2$$

$$c(1,4) = \min_{1 \leq k \leq 4} \left\{ \underbrace{c(1,1) + c(2,4)}_{1 \leq k=1 \leq 6}, \underbrace{c(1,2) + c(3,4)}_{2 \leq k=2 \leq 3}, \right.$$

$$\left. \underbrace{c(1,3) + c(4,4)}_{3 \leq k=3 \leq 6} \right\} + w(1,4)$$

$$= 8 + 14 = 19$$

$$x(1,4) = 2$$

$\Rightarrow$  for  $j-i=4$

$$C(0,4) = \min_{0 < k \leq 4} \left\{ \frac{c(0,0) + c(1,4)}{8+22}, \frac{c(0,1) + c(2,4)}{8+8}, \right.$$

$$\left. \frac{c(0,2) + c(3,4)}{19+3}, \frac{c(0,3) + c(4,4)}{25-1} \right\} + w(0,4)$$

$$= 16 + 16 = 32$$

$$w(0,4) = 2$$

$w(i,j) =$  represents root  $t_{ij}$  it is the value of  $k$  that minimizes a equation

Now observe last cell i.e., 4<sup>th</sup> row { 0<sup>th</sup> column }

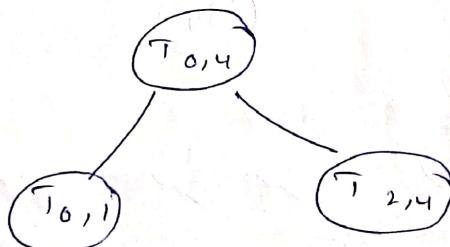
Contains  $R(0,4)=2$  i.e.  $a_2$  ( $2$  corresponds to second node  $a_2$ )

$$R(0,4) = k \text{ then } k=2$$

Let  $T$  be the optimal binary search tree

$$T_{i,j} = T_{i,k-1}, T_{k,j}$$

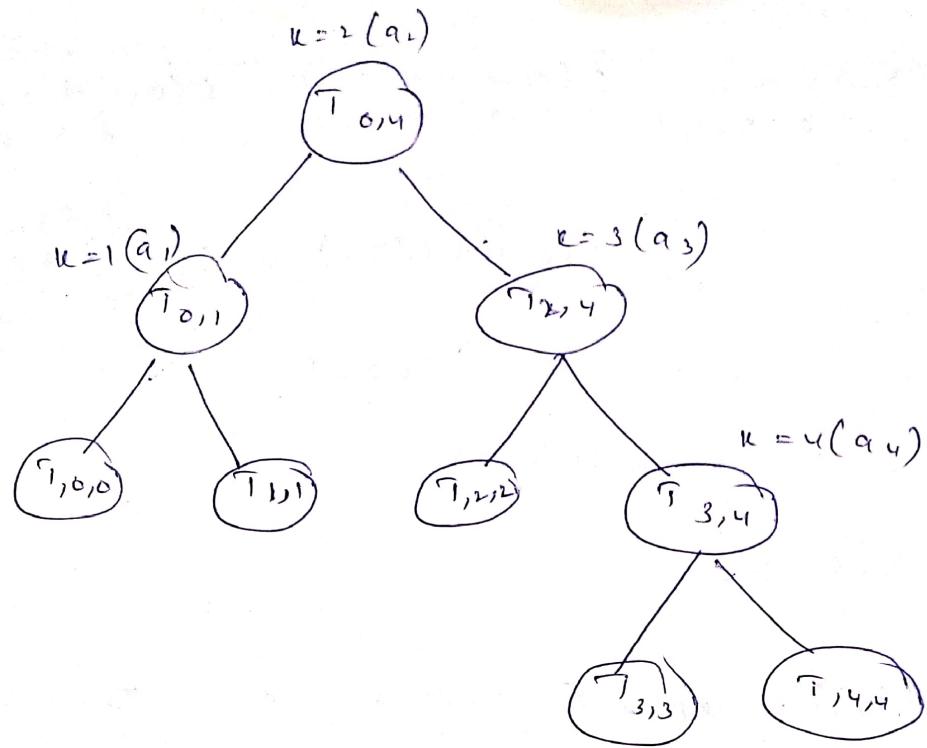
$T_{0,4}$  is divided into  $T_{0,1} \{ T_{2,4}$  ( $\because k=2$ )



$T_{0,1}$  is divided into  $T_{0,0} \{ T_{1,1}$  ( $\because k=1$ )

$T_{2,4}$  is divided into  $T_{2,2} \{ T_{3,4}$  ( $\because k=3$ )

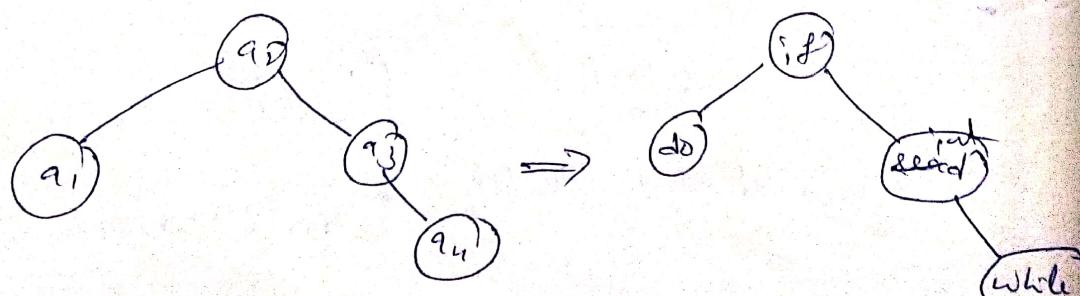
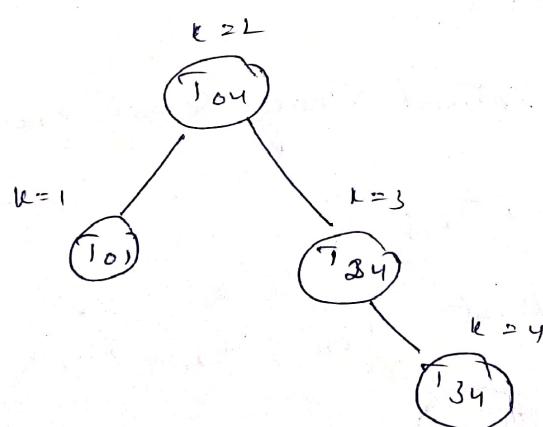
$T_{3,4}$  is      "       $T_{3,3} \{ T_{4,4}$  ( $\because k=4$ )



$\therefore R(0,0), R(1,1), R(2,2), R(3,3)$  and  $R(4,4)$  is 0

These are external nodes, we can neglect these nodes.

The cost of optimal binary search tree is 32.



optimal Binary Search tree.

## Algorithm OPT ( $p, q, n$ )

//  $w[i,j]$  is the weight of the edge  $i \rightarrow j$

//  $c[i,j]$  cost of tree

//  $\pi[i,j]$  maximum probability of selecting edge  $i \rightarrow j$

//  $\lambda[i,j]$ , the root of tree

for  $i=0$  to  $n-1$  do

{

// Initialize

$w[i,i] = q[i]; \pi[i,i] = 0; c[i,i] = 0.0;$

// optimal trees with one node

$w[i,i+1] := \pi[i] + q[i+1] + p[i+1];$

$\lambda[i,i+1] := i+1$

$c[i,i+1] := \pi[i] + \pi[i+1] + p[i+1];$

}

$w[n,n] = q[n]; \pi[n,n] = 0; c[n,n] = 0.0;$

for  $m=2$  to  $n$  do // find optimal tree with  $m$  nodes

for  $i=0$  to  $n-m$  do

{

$j := \text{Find } \lambda[i,m];$

$w[i,j] := w[i,i-1] + p[i] + q[j];$

$(k := \text{Find } c[i,j], l);$

// A value of  $l$  in the range  $\lambda[i,i-1] < l$

//  $\leq \lambda[i+1,j]$  that minimizes  $c[i,k-1] + c[k,l];$

$c[i,j] := w[i,j] + c[i,k-1] + c[k,l];$

$\lambda[i,j] = k;$

}

$\text{write}(c[0,n], w[0,n], \lambda[0,n]);$

Algo Find( $c, \lambda, i, j$ )

{

$\min := \infty;$

for  $m := \lambda[i,j-1] + \lambda[i+1,j]$  do

if ( $c[i,m-1] + c[m,j]) < \min$  then

{  $\min := c[i,m-1] + c[m,j]; l := m; }$

} return  $l;$

Time Complexity =

Each  $c(i,j)$  can be computed in time  $O(m)$ .

The total time for all  $c(i,j)$  is  $O(n \cdot m) \cdot O(m)$

$$= O(nm \cdot m^2)$$

$$= n + 2n + 3n + \dots + n^2 + n(n+1) + (n+1)^2 + \dots + (n+n)^2$$

$$= n + 2n + 3n + \dots + n^2 + (1+2^2+3^2+\dots+n^2)$$

$$= 3n^2 - 2n^2$$

$$T(n) = \frac{n(n+1)(2n+1)}{6} = \frac{n(n+1)(2n+1)}{6}$$
$$\approx n^3$$

$$\therefore O(n^3)$$

(or)

$$\sum_{1 \leq i \leq n} O(nm \cdot m^2) = n^2 m^3 - 2m^2$$
$$= \frac{n(n+1)m}{2} - \frac{m(m+1)(2n+1)}{6}$$
$$= \frac{n}{2} m^2 - \frac{m^3}{3}$$

So Time complexity is  $O(m^3)$  or  $O(n^3)$

## 0/1 Knapsack problem

36

- Now compare knapsack problem with general problem.

In store, contains different types of ornaments, with gold. Let  $n_1, n_2, n_3$  be ornaments, cost and weight of these ornaments are  $c_1, c_2, c_3$  dollars,  $w_1, w_2, w_3$  pounds.

Now a thief wants to rob. The ornaments should get maximum profit.

The thief can't place fraction of ornament in the bag, i.e either place an ornament completely in the bag or he can't place ornament.

So  $x_i = 0$  or  $1$ .

$x_i = 0$ , means we cannot place ornament in the bag.

$x_i = 1$ , means we can place ornament completely in the bag.

- This problem contains either 0 or 1, that's why this problem is called as 0/1 knapsack problem.

Fraction item can't be placed in the bag.

Consider weights  $w_1, w_2, \dots, w_n$  and fraction of weight to be put in the bag should be

$x_1, x_2, \dots, x_n, x_i = 0 \text{ or } 1$ .

- Let  $f_i(y)$  be the value of an optimal solution to KNAP( $i, j, y$ )

Since the principle of optimality holds,

$$f_n(m) = \max \{ f_{n-1}(m), f_{n-1}(m-w_n) + p_n \}$$

$f_i(y), i > 0$  then

$$f_i(y) = \max \{ f_{i-1}(y), f_{i-1}(y - w_i) + p_i \}$$

$f_n(m)$  by beginning with  $f_0(y) = 0 \quad \forall y \quad \{ f_0(y) = -\infty, y < 0 \}$

Order set  $S^i = \{(f(y_j), y_j) \mid 1 \leq j \leq k\}$

Each member of  $S^i$  is a pair  $(p, w)$

where  $P = f(y_j)$   $w = y_j$ , Notice that  $S^0 = \{(0, 0)\}$

So we can compute  $S^{i+1}$  from  $S^i$  by first computing

$$S'_i = \{(P_i, w_i) \mid (P - P_i, w - w_i) \in S^i\}$$

$S^{i+1}$  is computed by merging the pairs in  $S'_i \cup S^i$

If  $S^{i+1}$  contains two pairs  $(P_j, w_j) \notin (P_k, w_k)$  then check if  $P_j \leq P_k \quad \{ w_j \geq w_k \}$ .

If so  $(P_j, w_j)$  can be discarded

This is known as dominance rule

Ex :-

Consider the knapsack instance  $n=3, (w_1, w_2, w_3) = (1, 2, 3) \quad \{ m=6$

$$\begin{aligned} S^0 &= \{(0, 0)\} \\ S'_1 &= S^{0+}(P_1, w_1) = S^0 + \{(1, 1)\} \\ S^1 &= S^{0+} + S'_1 = \{(0, 0), (1, 1)\} \end{aligned}$$

$$S^1 = \{(0, 0)\}; \quad \text{since } (P_1, w_1) \notin S^1 \Rightarrow S^1 = \{(1, 1)\}$$

$$S^2 = \{(1, 1)\}$$

$$S^2 = \{(0, 0), (1, 1)\} \quad S'_2 = \{(2, 3)\},$$

$$S^2 = \{(0, 0)\}$$

$$S'_3 = S^{2+}(P_3, w_3) \quad (\text{addition})$$

$$S'_3 = S^2 + (P_3, w_3)$$

$$= \{(0, 0) + (1, 2)\}$$

$$= \{(1, 2)\}$$

$$S^3 = S^2 + S'_3 \quad (\text{merging})$$

$$= \{(0, 0) + (1, 2)\} = \{(1, 2), (0, 0)\}$$

$$S_1^1 = S^1 + (P_1, w_1) \quad (\text{condition})$$

$$= \{(0,0)(1,2)\} + (2,3)$$

$$= \{(2,3)(3,5)\}$$

$$S^2 = S^1 + S_1^1 \quad (\text{merge})$$

$$= \{(0,0)(1,2)\} + \{(1,3)(3,5)\}$$

$$= \{(0,0)(1,2)(2,3)(3,5)\}$$

$$S_1^3 = S^2 + (P_3, w_3)$$

$$= \{(0,0)(1,2)(1,3)(3,5)\} + (5,4)$$

$$= \{(5,4)(6,0)(7,7)(8,9)\}$$

$$S^3 = S^2 + S_1^2$$

$$= \{(0,0)(1,2)(2,3)(3,5)(5,4)(6,6)(7,7)(8,9)\}$$

### Purging Rule (Dominance rule)

If one of  $S^{i-1}$  or  $S_i$  has a pair  $(p_j, w_j)$  which has a pair  $(p_k, w_k)$ ,  $p_j < p_k$  while  $w_j \geq w_k$  then the pair  $(p_j, w_j)$  is discarded. Since  $5 \geq 4$  so  $(3,5)$  is discarded.

$$S^3 = \{(0,0)(1,2)(2,3)(5,4)(6,6)(7,7)(8,9)\}$$

After applying purge rule, check condition

If  $(p_i, w_i) \in S^n$

then  $\frac{p_i}{w_i} \notin S^{n-1}$

In example  $m=6$  so take  $(6,6)$  from  $S^3$   
 $(6,6) \in S^3$   
 $(6,6) \notin S^2$

$$x_3 = 1 \quad \text{so } (p_1 - p_3, w_1 - w_3) = (6 - 6, 6 - 6)$$

$$(4,0) = (1,2) \in S^1$$

~~(1,2)  $\in S^1$~~   $\rightarrow$  False  
 $x_1 = 0$ ,  $\rightarrow$  to condition fail.  $(p_i, w_i \in S^n)$   
 $p_i, w_i \neq 0$

$(1,2) \in S'$

$(1,2) \notin S^o \rightarrow \text{true}$

~~and~~  $\lambda_1 = 1$

maximum profit is  $\sum p_i \lambda_i = p_1 \lambda_1 + p_2 \lambda_2 + p_3 \lambda_3$

$$= 1 \times 1 + 2 \times 0 + 5 \times 1$$

$$= 1 + 5 = 6.$$

0/1 knapsack Algorithm

DKP( $p, w, n, m$ )

$S^o \leftarrow \{(0,0)\}$

for  $i \leftarrow 1$  to  $n-1$  do

$S^i \leftarrow \{(p_i, w_i) | (p - p_i, w - w_i) \in S^{i-1} \text{ and } w_i \leq m\}$

$S^i \leftarrow \text{MERGE-PURGE}(S^{i-1}, S^i)$

repeat

$(p_y, w_y) \leftarrow \text{last tuple in } S^{n-1}$

$(p_y, w_y) \leftarrow (p_i + p_n, w_i + w_n)$  where  $w_i$  is the largest  
in any tuple in  $S^{n-1}$  such that  $w + w_n \leq m$

if  $p_x > p_y$  then  $\lambda_n \leftarrow 0$

else  $\lambda_n \leftarrow 1$

end if

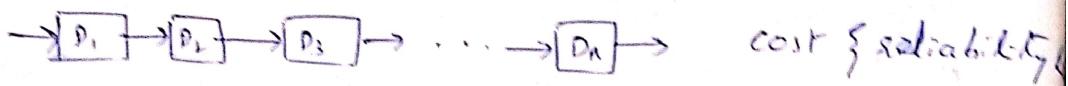
end DKP.

Time complexity for knapsack  
is  $O(n)$

Time complexity for 0/1 knapsack problem is  $O(2^n)$

## Reliability Design :-

- The problem is to design a system that is composed of several devices connected in series.



n Devices  $D_i$ ,  $1 \leq i \leq n$ , connected in series.

- Let  $r_i$  be the reliability of device  $D_i$  ( $r_i$  is the probability that device  $i$  will function properly)

Then the reliability of the entire system is  $r_{\text{sys}}$

Even if the individual devices are very reliable,

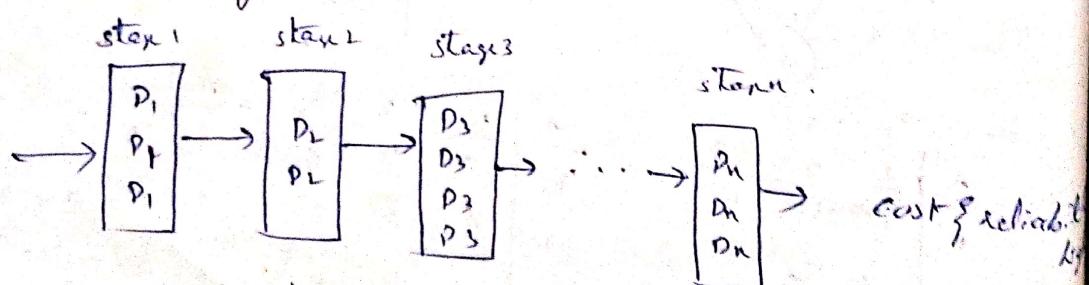
the reliability of the system may not be very good.

for eg if  $n=10$ ,  $r_i = .99$ ,  $1 \leq i \leq 10$ , then  $r_{\text{sys}} = .904$

~~multiple copies of the~~

- Hence, it is desirable to duplicate devices.

- Multiple copies of the same device type are connected in parallel through the use of switching circuits. The switching circuits determine which devices in any given group are functioning properly. They then make use of one such device at each stage.



Multiple devices connected in parallel in each stage.

- If stage  $i$  contains  $m_i$  copies of device  $D_i$ , then the probability that all  $m_i$  have a malfunction is

$(1 - \varepsilon_i)^{m_i}$ . Hence the reliability of stage  $i$  becomes

$$\underline{1 - (1 - \varepsilon_i)^{m_i}}. \text{ If } \varepsilon_i = .99 \quad \{ m_i = 2, \text{ the stage}$$

reliability becomes .9999.

- Failures of copies of same device may not be fully independent. Let us assume that the reliability of stage  $i$  is given by a function  $\phi_i(m_i), \forall i \in n$ . The reliability of the system of stage is  $\prod_{i \in n} \phi_i(m_i)$

$$\prod_{i \in n} \phi_i(m_i)$$

One problem is to use device duplication to maximize reliability. The maximization is to be carried out under a cost constraint. Let  $c_i$  be the cost of each unit of device  $i$  { let  $c$  be the maximum allowable cost of the system being designed.

- To solve the following maximization problem:

$$\text{maximize } \prod_{i \in n} \phi_i(m_i)$$

$$\text{subject to } \sum_{i \in n} c_i m_i \leq c$$

$$m_i \geq 1 \quad \{ i \in n$$

- A dynamic programming solution can be obtained in manner similar to that used for the knapsack problem.

We may assume that each  $c_i > 0$ , each  $m_i$  must be in the range  $1 \leq m_i \leq u_i$ .

$$u_i = \left[ \left( c + c_i - \sum_{j=1}^n c_j \right) / c_i \right].$$

Eg:-

To design a three stage system with device types  $D_1, D_2, D_3$

The costs are \$30, \$15, \$20. The cost of the system is to be no more than \$105.

The reliability of each device type is 0.9, 0.8, 0.5

$$c_1 = 30 \quad c_2 = 15 \quad c_3 = 20 \quad C = 105$$

$$\lambda_1 = 0.9 \quad \lambda_2 = 0.8 \quad \lambda_3 = 0.5$$

$$u_i = \text{upper bound} = \left[ \left( c + c_i - \sum_{j=1}^n c_j \right) / c_i \right]$$

$$u_1 = \left[ (105 + 30 - (30 + 15 + 20)) / 30 \right] = 2.33 = 2.$$

$$u_2 = \left[ (105 + 15 - (30 + 15 + 20)) / 15 \right] = 3.66 = 3.$$

$$u_3 = \left[ (105 + 20 - (30 + 15 + 20)) / 20 \right] = 3.$$

reliability function

$$\phi_i(m_i) = 1 - (1 - \lambda_i)^{m_i}$$

Reliability

$$C_1 = 30, C_2 = 15, C_3 = 20 \quad \text{Cost} = 105$$

$$\alpha_1 = 0.9, \alpha_2 = 0.8, \alpha_3 = 0.5$$

$$U_i = \left( \left( C + C_i - \sum_{j=1}^n C_j \right) / C_i \right)$$

$$U_1 = \frac{(105 + 30 - (30 + 15 + 20))}{30} = 2.33 \approx 2$$

$$U_2 = \frac{(105 + 15 - (30 + 15 + 20))}{15} = 3$$

$$U_3 = \frac{(105 + 20 - (30 + 15 + 20))}{20} = 3$$

Initially  $\phi_i(m_i) = 1 - (1 - \alpha_i)^{m_i}$

Each pair is  $(\alpha_i, \lambda)$ .  $\lambda$  - reliability,  $\alpha$  - cost.

$$\boxed{m_i = j} \quad i=1, j=1, m_1 = 1.$$

Initially  $S^0 = \{(\alpha_1, \lambda)\} \quad \phi_1(m_1) = 1 - (1 - \alpha_1)^1 = 1 - (1 - 0.9) = 0.9$

$$S_1^1 = \{ (0.9, 30) \} \quad \text{Here reliability } (0.9) \text{ is multiplied with } 1 - \{ \text{Cost } C_1 = 30 \text{ is added to } 0 \}$$

$$i=1, j=2, \text{ then } m_1 = 2$$

$$\phi_1(m_1) = 1 - (1 - \alpha_1)^2 = 1 - (1 - 0.9)^2 = 1 - (0.01) = 0.99$$

$$0.99 \times 1 \quad \{ \text{Cost } C_1 = 30, (\because j=2) \text{ is added to } 0 \}$$

$$S_2^1 = \{ (0.99, 60) \}$$

$S^1$  can be obtained by merging  $S_1^1, S_2^1$

$$S^1 = \{ (0.9, 30), (0.99, 60) \}$$

we can't calculate  $S_3^1 \because U_1 = 2$

III by  $s_1^2, s_2^2, s_3^2$  can be calculated from s!

S<sub>123</sub>

$$j=1, m_2 = 1, i=2$$

$$\phi_2(m_2) = 1 - (1 - \lambda_2)^{m_2} = 1 - (1 - 0.8)^1 = 0.8$$

$$\begin{aligned} s_1^2 &= \{(0.9 \times 0.8, 30+15), (0.99 \times 0.8, 60+15)\} \\ &\quad \cup \{(0.72, 45), (0.792, 75)\}. \end{aligned}$$

for  $s_2^2, j=2, i=2, m_2=2$

$$\begin{aligned} \phi_2(m_2) &= 1 - (1 - \lambda_2)^2 = 1 - (1 - 0.8)^2 = 1 - (0.2)^2 \\ &= 0.96. \end{aligned}$$

$\therefore j=2, 2c_2 = 2 \times 15 = 30$  is added to  $s_1^2$  pairs

$$s_2^2 = \{(0.9 \times 0.96, 30+30), (0.99 \times 0.96, 60+30)\}$$

$$s_2^2 = \{(0.864, 60), (0.9504, 90)\}$$

$s_3^2$ , here  $i=2, j=3, m_2=3$

$$\phi_2(m_2) = 1 - (1 - \lambda_2)^{m_2} = 1 - (1 - 0.8)^3 = 0.992$$

$\therefore j=3, 3c_2 = 3 \times 15 = 45$

$$s_3^2 = \{(0.9 \times 0.992, 30+45), (0.99 \times 0.992, 60+45)\}$$

$$= \{(0.8928, 75), (0.98208, 105)\}.$$

$$s^2 = \{(0.72, 45), (0.792, 75), (0.864, 60)$$

$$(0.9504, 90), (0.8928, 75), (0.98208, 105)\}$$

By purge rule  $(0.792, 75)$  is removed from  $s^2$

$$s^2 = \{(0.72, 45), (0.864, 60), (0.864, 60), (0.8928, 75), (0.98208, 105)\}.$$

111)  $s_1^3, s_2^3, s_3^3$  can be calculated from  $s^3$

44 (2)

$$s_1^3, i=3, j=1$$

$$\phi_3(m_3) = 1 - (1 - \lambda_3)^{m_3} = 1 - (1 - 0.5)^1 = 0.5$$

$c_s = 20$ , is added to  $s^3$  for (constraint)

$$s_1^3 = \{(0.72 \times 0.5, 45+20), (0.84 \times 0.5, 60+20), \\ (0.892 \times 0.5, 75+20), (0.98208 \times 0.5, 105+20)\}$$

Last tuple is removed from  $s_1^3$ ,  $\because$  cost is 125 exceeding the given cost 105.

∴  $s_1^3 = \{(0.36, 64), (0.432, 80), (0.4464, 95)\}$ .

$s_2^3, i=3, j=2, \therefore m_3=2$

$\therefore j=2, 2c_3 = 2 \times 20 = 40$

$$\phi_3(m_3) = 1 - (1 - \lambda_3)^{m_3} = 1 - (1 - 0.5)^2 = 0.75$$

$$s_2^3 = \{(0.72 \times 0.75, 45+40), (0.84 \times 0.75, 60+40)\}$$

$$= \{(0.54, 85), (0.648, 100)\}$$

$$s_3^3, i=3, j=3, \therefore m_3=3$$

$$\therefore j=3, 3c_3 = 3 \times 20 = 60$$

$$\phi_3(m_3) = 1 - (1 - \lambda_3)^{m_3} = 1 - (1 - 0.5)^3 = 0.875.$$

$$s_3^3 = \{(0.72 \times 0.875, 45+60)\} = \{(0.63, 105)\},$$

$$s^3 = \{(0.36, 64), (0.432, 80), (0.4464, 95), (0.54, 85), \\ (0.648, 100), (0.63, 105)\}.$$

Apply same rule

$$S^3 = \{(0.36, 65) (0.432, 40) (0.54, 85) (0.648, 100)\}$$

The Best design has reliability of 0.648 & a cost of 100.

(0.648, 100) pair present in  $S_2^j$ ,  $j=2$ , so  $M_3=2$

The (0.648, 100) pair obtained from (0.864, 60) which is present in  $S_2^j$ ,  $j=2$ , so  $M_2=2$ .

(0.864, 60) obtained from (0.9, 30) after  $S_1^j$ ,  $j=1$  so  $M_1=1$ .

$$\therefore M_1=1, M_2=2, M_3=2.$$

## Travelling Salesman problem :-

The sales man should start at a point and travels all the places and come back to starting point.

The problem is to minimize the travelling cost.

The main requirement is there should be communication between nodes.

Ex:-

(1) Choose an arbitrary point

(2) Find the next nearest point

(3) calculate distance between the two nodes

(4) repeat the steps till you visit all the cities

(5) find the length of tour

(6) make all possible tours and take minimum length as the solution of Travelling Sales problem.

Ex

Suppose we have to route a postal van to pickup mail from mail boxes located at n different sites.

A directed vertex graph may be used to represent the situation. One vertex represents the post office from which the postal van starts and to which it must return. The route taken by the postal van is a tour and it should have minimum length (minimum cost).

- Consider a tour to be a simple path that starts and ends at vertex ' $i$ '. Every tour consists of an edge ' $i'k$ ' from some  $k \in V - \{i\}$  of a path from vertex ' $i'$  to vertex ' $i$ '.

The path from vertex ' $i'$  to vertex ' $i$ ' goes through the each vertex in  $V - \{i, k\}$  exactly ones.

If ' $i$ ' is optimal then the path from  $i$  to  $i$ , going through all vertices  $V - \{i, k\}$ .

Let  $g(i, s)$  be the length of a shortest path starting at vertex ' $i$ ',  $g(i, V - \{i, k\})$  is the length of an optimal sales person tour.

From the principle of optimality follows that

$$g(1, V - \{1\}) = \min_{2 \leq k \leq n} \{ c_{1k} + g(k, V - \{1, k\}) \}$$

where  $c_{1k}$  is the edge constant from  $1$  to  $k$ .

$\{g(k, V - \{1, k\})\}$  represents the shortest path starting at ' $k$ ' visiting all vertices  $V - \{1, k\}$  exactly ones & terminating at vertex ' $i$ '.

Generalizing.

$$g(i, s) = \min_{j \in s} \{ c_{ij} + g(j, s - \{j\}) \}$$

clearly

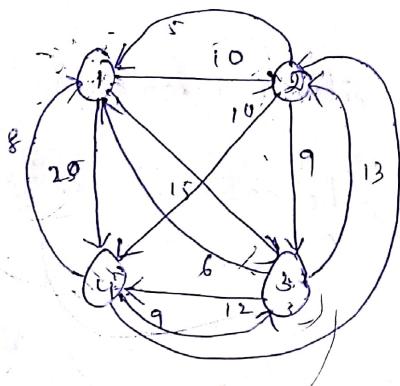
$$g(i, \emptyset) = c_{ii} \quad \rightarrow 1 \leq i \leq n$$

To obtain  $g(i, s)$  for all sets of size 1.

To obtain  $g(i, s)$  for sets with  $|s|=2, 3 \dots$  on.

When  $|s| < n-1$ , the values of  $i$  and  $s$  for which  $g(i, s)$  is needed are such that  $i \neq 1$ ,  $1 \notin s$ ,  $i \notin s$ .

Eg:- Consider the directed graph



	1	2	3	4
1	0	10	15	20
2	5	0	9	10
3	6	13	0	12
4	8	8	9	0

- ①  $i \neq 1$
- ②  $1 \notin s$
- ③  $i \notin s$

Now compute  $i \neq 1, 1 \notin s, i \notin s$ .

$$g(1, \emptyset) = c_{11} = 1 \leq i \leq n$$

$$g(2, \emptyset) = c_{21} = 5$$

$$g(3, \emptyset) = c_{31} = 6$$

$$g(4, \emptyset) = c_{41} = 8.$$

then compute  $|s|=1, i \neq 1, 1 \notin s, i \notin s$ .

$$g(i, s) = \min_{j \in s} \{c_{ij} + g(j, s - \{j\})\}.$$

$|s|=1$  means set contains only one element.

Before solving this problem we make an assumption that the sales person starts at vertex 1, from that he can move to vertex 2, if he visits vertex 2, from that vertex, he can visit either 3 or 4 vertex.

$|S|=1$

[oufastupdates.com](http://oufastupdates.com)

(i)  $g(2,3)$

(ii)  $g(2,4)$

From vertex 1, next he can visit 3 instead of vertex 2,  
so in this case from vertex 3, next he can visit  
either 2 or 4

(iii)  $g(3,2)$

(iv)  $g(3,4)$

From vertex 1, he can visit vertex 4 instead of vertex 3.

In this case from vertex 4, he can visit either 2 or 3.

(v)  $g(4,2)$

(vi)  $g(4,3)$

$L33 - L33$

$$g(2,3) = \min_{j \in S} \{ C_{2j} + g(3, \phi) \}$$

$$= \min \{ 9+6 \} = 15$$

$$g(2,4) = \min_{j \in S} \{ C_{2j} + g(4, \phi) \} = \min \{ 10+8 \} = 18$$

$$g(3,2) = \min_{j \in S} \{ C_{3j} + g(2, \phi) \} = \min \{ 13+5 \} = 18$$

$$g(3,4) = \min_{j \in S} \{ C_{3j} + g(4, \phi) \} = \min \{ 12+8 \} = 20$$

$$g(4,2) = \min_{j \in S} \{ C_{4j} + g(2, \phi) \} = 13$$

$$g(4,3) = \min_{j \in S} \{ C_{4j} + g(3, \phi) \} = \min \{ 9+6 \} = 15$$

Now compute  $|S|=2$ ,  $j \neq 1$ ,  $j \notin S$ ,  $i \notin S$ .

$$g(2, \{3, 4\}) = \min_{j \in S, j} \left\{ c_{2j} + g(3, 4), c_{2j} + g(4, 3) \right\}$$

Now compute  $|S|=2$ , here set contains two values, so we can place two vertices in  $S$ . From starting vertex 1, next he can visit either vertex 2 or 3 or 4.

If he visits vertex 2. Then from that vertex he can visit either 3 or 4 vertex. To determine

$$g(1, \{2, 3, 4\}), (S = \{3, 4\}, \text{ since } |S|=2),$$

$$g(3, \{2, 4\}) \quad ; \quad g(4, \{2, 3\}).$$

$$g(1, \{2, 3, 4\}) = \min_{j \in S} \left\{ c_{2j} + g(3, 4), c_{2j} + g(4, 3) \right\}$$

$$= \min \{ 9+20, 10+15 \} = 25$$

$$g(3, \{2, 4\}) = \min \{ c_{32} + g(2, 4), c_{34} + g(4, 2) \}$$

$$= \min \{ 13+18, 12+13 \} = 25.$$

$$g(4, \{2, 3\}) = \min \{ c_{42} + g(2, 3), c_{43} + g(3, 2) \}$$

$$= \min \{ 8+15, 9+18 \} = 23.$$

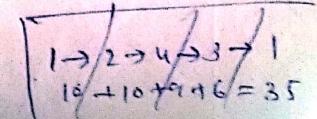
$|S|=3$ , here  $S$  contains 3 elements. After starting from vertex 1, next he can visit 2 or 3 or 4 vertices.

so determine  $g(1, \{2, 3, 4\})$

$$g(1, \{2, 3, 4\}) = \min \{ c_{12} + g(2, 3, 4), c_{13} + g(3, 2, 4), c_{14} + g(4, 2, 3) \}$$

$$= \min \{ 10+25, 15+25, 20+23 \}$$

$$= \min \{ 35, 40, 43 \} = 35.$$



$1 \rightarrow 2 \rightarrow 4 \rightarrow 3 \rightarrow 1$

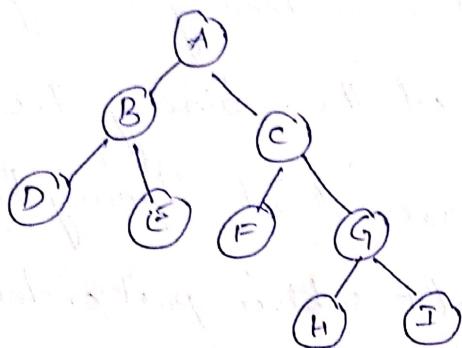
$$10 + 10 + 9 + 6 = 35$$

Time complexity =  $O(n^{\times} 2^n)$

- There are 3 traversal methods are

- (a) preorder (b) inorder (c) postorder

## Tree for Traversal :-



## Preorder Traversal :-

- pre order traversal of a binary tree yields the prefix expression of a binary tree.

- preorder traversal of binary tree

- (1) Visits the roots (R)

- (2) Traverse the left subtree preorder (L)

- (3) Traverses the right subtree preorder (R)

- Preorder traversal of tree yield the following list

A B D E C F G H I.

## Inorder Traversal :-

- Inorder traversal of a binary tree yields the infix expression of a binary tree.

- The Inorder traversal of binary tree

- (1) Traverse the left- subtree (L)

- (2) Visit the root (R)

- (3) Traverse the right subtree (R)

- The inorder traversal of the tree yields the following listing of the nodes.

D B E A F C H G J.

### post-order traversal

- Post-order traversal of a binary tree yields the postfix expression of the binary tree.

- The post-order traversal of binary tree.

(1) Traverses the left subtree postorder (l)

(2) Traverses the right subtree postorder (r)

(3) Visits the root (r)

- The post-order traversal of the tree yields the following listing of the nodes

D E B F H J G C A.

### Algorithm

treenode = record

{

Type data;

treenode \* lchild; treenode \* rchild;

}

Algorithm PreOrder( $t$ )  
{ if  $t \neq$  then

    Visit( $t$ );

    PreOrder( $t \rightarrow$  lchild); Lchild( $t$ )

    PreOrder( $t \rightarrow$  rchild);

Algorithm InOrder(t)

```
{  
    if t ≠ null  
    {  
        InOrder(t → left);  
        Visit(t);  
        InOrder(t → right);  
    }  
}
```

Algorithm PostOrder(t)

```
{  
    if t ≠ null  
    {  
        PostOrder(t → left);  
        PostOrder(t → right);  
        Visit(t);  
    }  
}
```

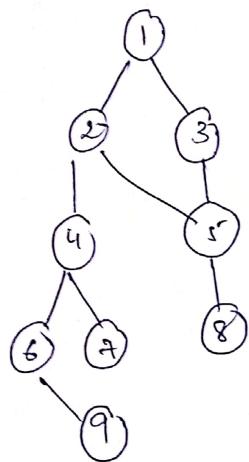
### Techniques for Graphs :-

- A fundamental problem concerning graphs is the reachability problem. To determine a path in graph  $G = (V, E)$  such that , the path starts at vertex  $v$  & end at vertex  $u$ .

Generally is to determine for a starting vertex  $v \in V$  all vertices  $u$  such that there is a path from  $v$  to  $u$ . problem can be solved by starting at vertex  $v$  & systematically search the graph  $G$  for vertices that can be reached from  $v$ . There are two search vertex for this.

## Breadth First Search { Traversal } [oufastupdates.com](http://oufastupdates.com)

- Breadth first search will use a queue as a auxiliary structure to hold nodes for future processing.
- In BFS the search proceeds level by level. The algorithm generates possible successors of initial node tested then add them at the end of list.
- BFS will give a guarantee to find a solution.



Step 1:

1				
---	--	--	--	--

Step 2:  $q: 2, 3$

The starting node 1 have two child 2, 3 the nodes 2 & 3 are added to the queue VISIT 1

X	2	3		
---	---	---	--	--

Step 3:  $q: 3, 4, 5$

X	X	3	4	5	
---	---	---	---	---	--

Delete the node 2 { node 2 have child 5, 4 so add 5, 4 to queue VISIT 2 }

Step 4:  $q: 4, 5, 5$

X	1	1	4	5	5	
---	---	---	---	---	---	--

pop 3, the node have child 5, add 5 to the queue VISIT 3

Step 5:  $q: 5, 5, 6, 7$

X	2	8	4	5	5	6	7	
---	---	---	---	---	---	---	---	--

pop 4, the nodes 6, 7 are added to queue VISIT 4.

Step 6:  $q: 5, 6, 7, 8$

X	X	X	4	X	5	6	7	8
---	---	---	---	---	---	---	---	---

pop 5, the node 8 is added VISIT 5

Step 7:  $q: 6, 7, 8$

X	X	8	4	8	X	6	7	8
---	---	---	---	---	---	---	---	---

pop 5, the node already visited.

Step 8:  $q: 7, 8, 9$

Delete 6, the node 9 is added VISIT 6.

1	2	3	4	5	6	7	8	9
---	---	---	---	---	---	---	---	---

50

Step 9:  $q: 8, 9$

pop 7, VISIT 7.

1	x	y	z	5	6	7	8	9
---	---	---	---	---	---	---	---	---

Step 10:  $q: 9$

pop 8, VISIT 8.

1	x	y	z	4	5	6	7	8	9
---	---	---	---	---	---	---	---	---	---

Step 11:

$q: \emptyset$

pop 9

VISIT 9

1	x	y	z	4	5	6	7	8	9
---	---	---	---	---	---	---	---	---	---

Result: 1, 2, 3, 4, 5, 6, 7, 8, 9

Advantage:- BFS will not get trapped, explored, blind alley.

Disadvantage:- The space complexity will be more,  
The time complexity is more.

Algorithm BFS(ze)

|| Beginning at vertex  $v_0$ . for any node  $i$ ,  $\text{visited}[i] = 0$

|| if  $i$  has already been visited.  $\text{visited}[]$  is initialized to zero

{

$u := v_0$

$\text{visited}[v_0] := 1;$

repeat

{ for all vertices  $w$  adjacent from  $u$  do

{

if ( $\text{visited}[w] = 0$ ) then

{

Add  $w$  to  $q$ ; //  $w$  is unexplored

$\text{visited}[w] := 1;$

}

if  $q$  is empty then return; // No unexplored vertex

3 Delete  $u$  from  $q$ ; //

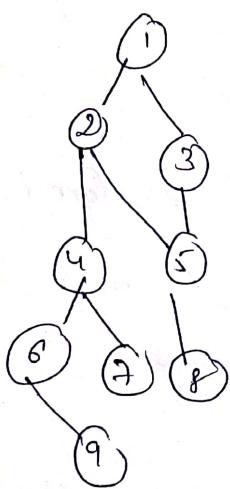
3 control(failed);

## Depth First Search

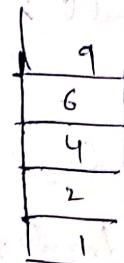
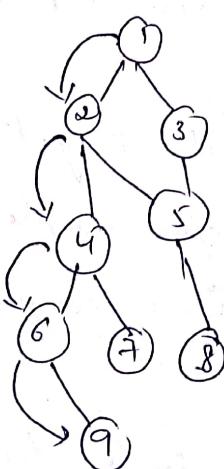
\* [In DFS the search proceeds by expanding the initial node, generate possible success tested them { add them at the beginning of the stack}]x

- DFS is beginning at a starting node, and examining each node along a path ~~if we~~.

After coming to the 'dead end', i.e. the end of the path, we backtrack on path until we can continue along another path { so on }.



Step 1

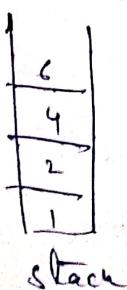
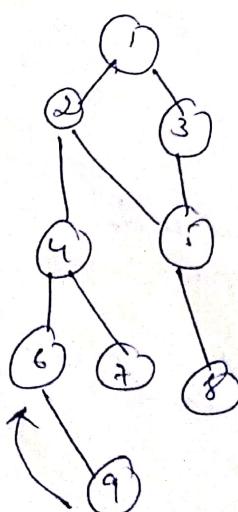


stack

push 1, 2, 4, 6, 9 to stack

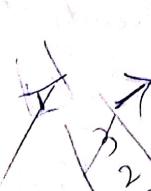
visit 1, 2, 4, 6, 9

Step 2

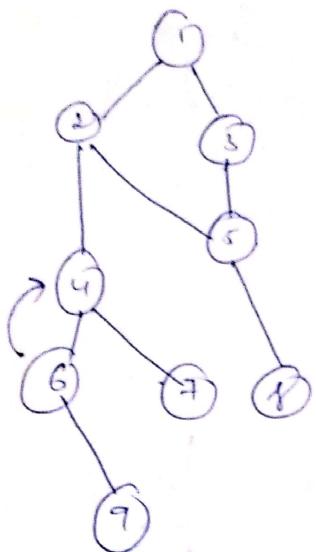


stack

pop 9

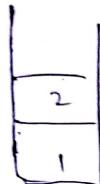
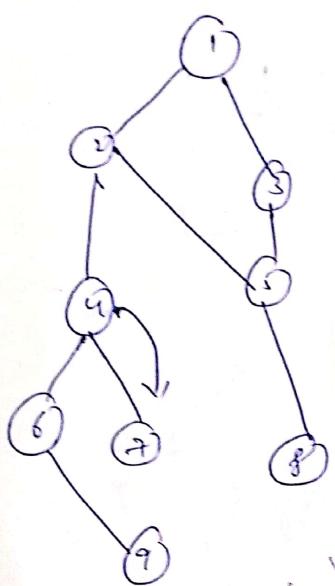


For the node 9, there is no unprocessed adjacent nodes.

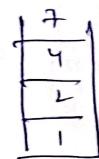


pop 6

For the node 6 no unprocessed adjacent nodes.

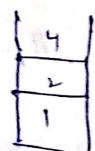
Step 4

pop 4

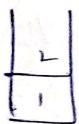
The node 4 has adjacent node 7  
push 4 & 7 visit 7Step 5

pop 7

The node 7 has no unprocessed adjacent nodes

Step 6

pop 4 , Nodes are already processed

Step 7

pop 2 , The node 2 have adjacent nodes 5 &amp; 3. 5 &amp; 3 are pushed into the stack VISITS



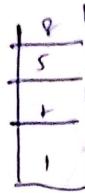
### Step 8

POP 5

The node 5 have adjacent nodes 8

so 5, 8 are pushed

visit 8.



### Step 9

POP 8

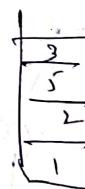
There is no unprocessed adjacent node



### Step 10

POP 5

push 5 } 3 to stack visit 3



### Step 11

POP 3, 5, 2, 1

No unprocessed adjacent node

RESULT :- 1, 2, 4, 6, 9, 7, 5, 8, 3.

(1) On visiting the node, push each } every node in the stack for further processing

(2) Visit upto end of the path, } pop each and every node } check if there are adjacent nodes. If the nodes is present - push the nodes into the stack for further processing.

Advantage- Time is less

Disadvantage- possibility of having dead

If end of search is large it may be a time complexity

Algorithm DFS( $v$ )

{ Initially  $\text{visited}[v] = 0$

$\text{visited}[v] := 1$

for all vertices  $w$  adjacent from  $v$  do

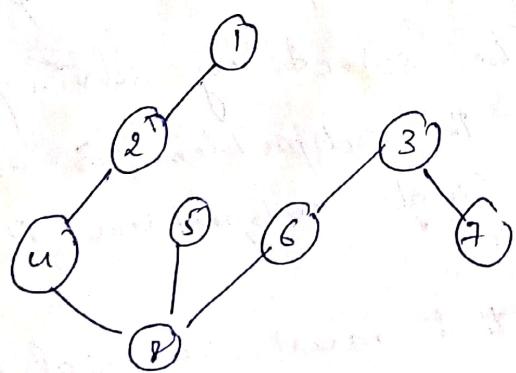
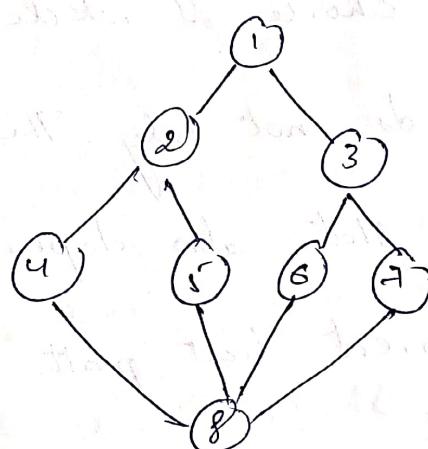
{

if ( $\text{visited}[w] = 0$ ) then

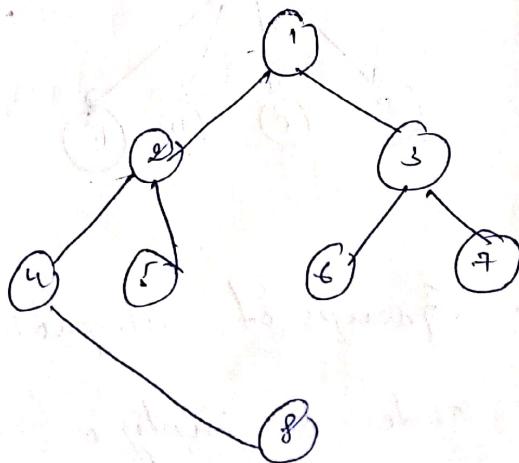
DFS( $w$ );

}

## Connected Components and Spanning Trees



DFS



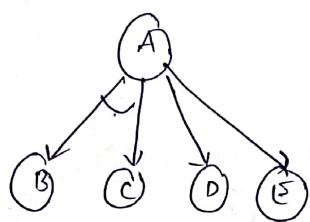
BFS

## AND/OR Graph (AOG)

- AND-OR-graph is useful for representing solution of the problems by decomposing them into small independent set of sub problems. Then combine sub solutions to get the solutions of the original problem. This decomposition generates the called AND-arts & OR-arts.

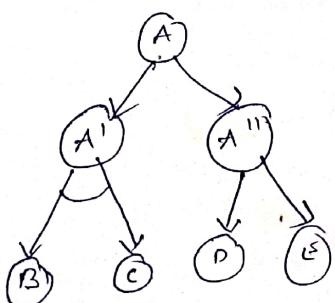
In AND-OR graph the choice of which node to be expanded next depends not only the value of most promising node that also depends whether it is the part of current best path from the initial node.

Eg:-



The graph represents a problem can be solved by solving either both the subproblems B and C or the single subproblem D or E.

- Groups of sub problems that must be solved in order to imply a solution to the parent node are joined together by an arc going across the respective edges.

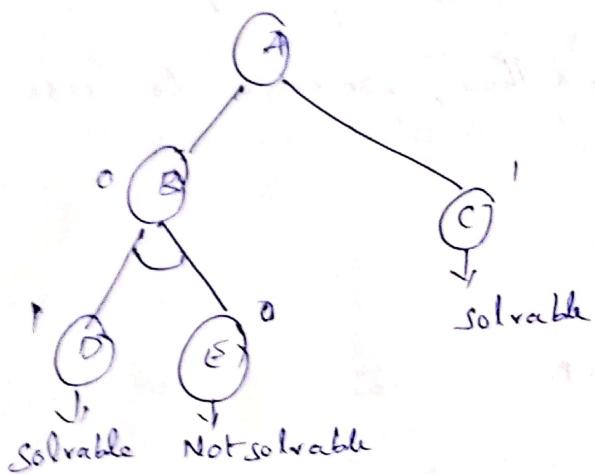


By introducing dummy nodes, all nodes can be made to be such that their solution requires either all descendants to be solved or only one descendant to be solved.

- Nodes of the first type are called AND nodes & those of the latter type OR nodes.

Nodes A & A'' are OR nodes whereas node A' is an AND node. The AND nodes are drawn with an arc across all edges leaving the node.

Ex:



- 'A' is given problem assume that D, C are solvable but E is not solvable. Node B is an AND node, so it returns 0.

it is not solvable since node E returns 0.

Node A is an OR node, so it is solvable since node C returns 1. Thus given problem A is solved

## Non Recursive Binary Traversals Algorithm

- The procedure for traversals is same for both recursive & non recursive algorithms.

In case of recursive algorithms the stack is automatically implemented by compiler but in case of non recursive algorithms, we have to use stack explicitly.

### Inorder:-

Algorithm Inorder( $T$ )

{ int stack[m], m, top

if  $T = 0$  then return

$P \leftarrow T$ ;

$TOP \leftarrow 0$ ;

while ( $P \neq \text{NULL}$ ) do

{  $TOP \leftarrow TOP + 1$

if ( $TOP > m$ ) then printf("stack is full")

return;

endif;

stack( $TOP$ )  $\leftarrow P$ ;

$P \leftarrow LCHILD(P)$ ,

repeat

}

if ( $TOP \neq 0$ )

{

$P \leftarrow \text{stack}(TOP)$ ;

$TOP \leftarrow TOP - 1$ ;

call visit( $P$ )

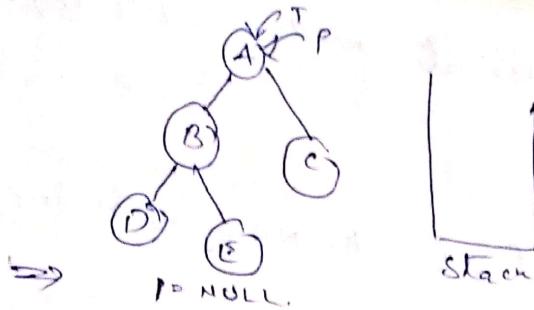
$P \leftarrow RCHILD(P)$ ;

}

else exit

repeat

} //inorder.



Initially  $P, T$  pointing to root node A. Stack is entirely empty, start in while loop

$$TOP \leftarrow 0 + 1 = 1, \text{ m.i.s of stack}$$

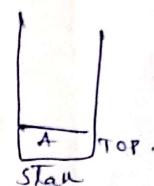
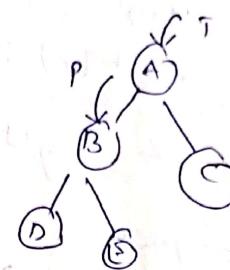
stack( $TOP$ )  $\leftarrow P$ , i.e. stack(1)  $\leftarrow A$

next  $P \leftarrow \text{left child of } (P)$ , so

$$TOP \leftarrow 1 + 1 = 2$$

stack(2)  $\leftarrow P$ , stack(1)  $\leftarrow B$

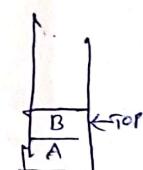
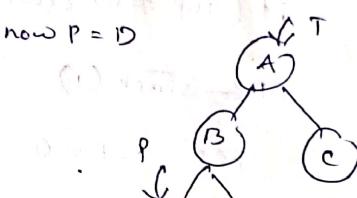
next  $P \leftarrow \text{left child of } (P)$ , so now  $P = D$



$$TOP \leftarrow 2 + 1 = 3$$

stack(3)  $\leftarrow P$ , stack(2)  $\leftarrow D$

$P \leftarrow \text{left child of } P$ . Here  $P = \text{NULL}$ , while loop terminated



if ( $TOP \neq 0$ ), which is true, so

$P \leftarrow \text{stack}(TOP)$  i.e.  $P = \text{stack}(3)$

$$TOP = 3 - 1 = 2.$$

VISIT(P), i.e. node D will be printed



$P \leftarrow \text{right child of } (P)$

$\Rightarrow P = \text{NULL}$ , while loop terminated

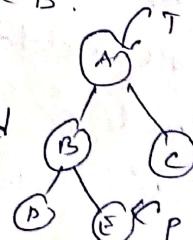
$P \leftarrow \text{stack}(2)$  i.e.  $P$  pointing to node B.

$$TOP = 2 - 1 = 1$$

VISIT(P) i.e. node B is visited

Next  
 $P \leftarrow \text{right child of } (P)$

$P = E$ ,  $P$  pointing to node E



Here  $P \neq \text{NULL}$ , so statements within while loop are executed

$$\text{TOP} = 1 + 1 = 2$$

$$\text{stack}(2) \leftarrow P$$

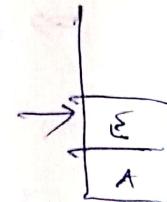
$P \leftarrow \text{left child of } P$  i.e.  $P = \text{NULL}$  while loop is terminated

if ( $\text{TOP} \neq 0$ ) so

$$P \leftarrow \text{stack}(2) \quad P = \text{E},$$

$$\text{TOP} = 2 - 1 = 1$$

visit( $P$ ) i.e. node E is visited



$P \leftarrow \text{right child of } P$  i.e.  $P = \text{NULL}$

Again

if ( $\text{TOP} \neq 0$ ) condition, it is true

$$P \leftarrow \text{stack}(1) \quad \text{i.e. } P = \text{A},$$

$$\text{TOP} = 1 - 1 = 0$$

visit node A

$P \leftarrow \text{right child of } A$  i.e.  $P = \text{C}$

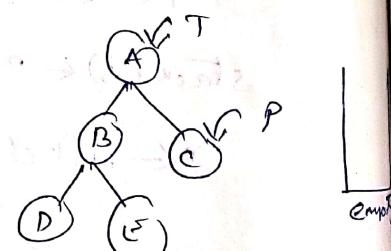
$P$  is pointing to node C

while ( $P \neq \text{NULL}$ ) so true

$$\text{TOP} = 0 + 1 = 1$$

$$\text{stack}(1) \leftarrow P, \text{stack}(1) = \text{C}$$

$$P \leftarrow \text{LCHILD } (P) \quad P = \text{NULL}$$



$$P \leftarrow \text{stack}(1) \quad P = \text{C}$$

$$\text{TOP} = 1 - 1 = 0$$

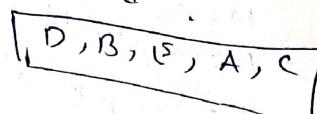
node C is visited

Next

$$P \leftarrow \text{RCHILD } (P) \quad \text{i.e. } P = \text{NULL}$$

while is terminated

if ( $\text{TOP} \neq 0$ ) false so exit is executed



### Pre Order:-

AlgoPreOrder( $T$ )

{ stack( $m$ ), TOP;

if ( $T=0$ ) then return //  $T$  is empty

$p \leftarrow T$ ;  $TOP \leftarrow 0$ ;

$p = 0$

while ( $p \neq \text{NULL}$ ) do

$p \neq \text{NULL}$

$TOP \leftarrow TOP + 1$

$TOP = 1$

call visit( $p$ );

if ( $TOP > m$ ) then print ("stack is full") return.

stack( $TOP$ )  $\leftarrow R\text{CHILD}(p)$ ;

$p \leftarrow L\text{CHILD}(p)$

repeat

}

$p \leftarrow \text{stack}(TOP)$ ;

$TOP \leftarrow TOP - 1$

if ( $TOP = 0$ ) exit

repeat

}

### Post Order:-

Algorithm Post-Order( $T$ )

{ int stack( $m$ ), TOP;

if ( $T=0$ ) then return //  $T$  is empty

$p \leftarrow T$ ;  $TOP \leftarrow 0$ ;

while ( $p \neq \text{NULL}$ )

{

$TOP \leftarrow TOP + 1$

call visit( $p$ );

if ( $TOP > m$ ) then print ("stack is full") return

stack( $TOP$ )  $\leftarrow p$ ;

$p \leftarrow L\text{CHILD}(p)$

repeat

```
while(stack(Top).check == 0)
```

```
{
```

```
    p ← stack(Top);
```

```
    Top ← Top - 1;
```

```
    call visit(p);
```

```
repeat
```

```
}
```

```
    p ← stack(Top);
```

```
    p ← RCHILD(p);
```

```
    stack(Top).check ← 0;
```

```
if (Top == 0) exit
```

```
repeat
```

```
}
```