

1. Let  $Q, Q'$  be problems such that  $Q \leq Q'$  and  $Q' \leq Q$ . We say that  $Q$  and  $Q'$  are polynomial-time equivalent. Prove/Disprove: Any two NP-Complete problems are polynomial-time equivalent.

Since  $Q$  and  $Q'$  are NP-Complete, they are in NP. Moreover, since they are NP-hard, we have  $Q \leq Q'$  and  $Q' \leq Q$  by definition of NP-hard-ness. So  $Q$  and  $Q'$  are polynomial-time equivalent.

## 2. DOUBLE-SAT: Decide whether a Boolean formula has at least two satisfying assignments. Prove that DOUBLE-SAT is NP-Complete.

Different parts of an NP-completeness proof

1. DOUBLE-SAT is in NP: For any  $\varphi$  in  $\text{Accept}(\text{DOUBLE-SAT})$ , two different truth assignments of the variables of  $\varphi$ , for which  $\varphi$  evaluates to true construct a succinct certificate. Such a certificate can be verified in time polynomial in  $n$  plus the size of  $\varphi$ .
2. A reduction from a known NP-complete problem: Here, we show  $\text{SAT} \leq \text{DOUBLE-SAT}$ . Let  $\varphi$  be an instance of SAT. Take a variable  $y$  not present in  $\varphi$ , and define  $\varphi' = \varphi \wedge (y \vee y')$ . Take  $\varphi'$  as the converted instance for DOUBLE-SAT. This reduction should satisfy two properties.
  - (a) Doable in polynomial time [Clear in this example]
  - (b) Correctness:  $\varphi$  is satisfiable if and only if  $\varphi'$  is double satisfiable.
    - If  $\varphi$  is satisfiable, you can take  $y = T$  or  $y = F$ .
    - If  $\varphi$  is not satisfiable,  $\varphi'$  cannot be satisfied (let alone double satisfied) irrespective of the truth assignment of  $y$ .

3. A CNF formula is called not-all-equal satisfiable if for some truth assignment of the variables, each clause has at least one true literal and at least one false literal.

NAESAT: Decide whether a Boolean formula in CNF is not-all-equal satisfiable.

Prove that NAESAT is NP-Complete.

NAESAT is in NP: A truth assignment with the stated property is a succinct certificate for an instance in  $\text{Accept(NAESAT)}$ .

NP-hard-ness: Use the reduction  $\text{CNFSAT} \leq \text{NAESAT}$  as follows. Let  $\varphi$  be an instance for CNFSAT. Convert it to an instance  $\varphi'$  of NAESAT as follows. First, choose a variable  $y$  not in  $\varphi$ . Let  $l_1 \vee l_2 \vee \dots \vee l_k$  be a clause in  $\varphi$ . Convert this to the clause  $l_1 \vee l_2 \vee \dots \vee l_k \vee y$  for  $\varphi'$ .

Clearly, this construction can be done in polynomial time.

Correctness: We need to prove that  $\varphi$  is satisfiable if and only if  $\varphi'$  is not-all-equal satisfiable.

[ $\Rightarrow$ ] Let  $t_1, t_2, \dots, t_n$  be a satisfying truth assignment for  $\varphi$ . Take the truth assignment  $t_1, t_2, \dots, t_n, F$  for  $\varphi'$ .

[ $\Leftarrow$ ] Let  $t_1, t_2, \dots, t_n, \theta$  be a truth assignment that not-all-equal satisfies  $\varphi'$ . If  $\theta = F$ , then  $t_1, t_2, \dots, t_n$  satisfies  $\varphi$ . If  $\theta = T$ , then  $t'_1, t'_2, \dots, t'_n$  is a satisfying truth assignment for  $\varphi$ .

4. Let  $P$  be a problem. The complement problem  $Q$  satisfies  $\text{Accept}(Q) = \text{Reject}(P)$ , and  $\text{Reject}(Q) = \text{Accept}(P)$ .

Define  $\text{coNP} = \{Q \mid \text{The complement of } Q \text{ is in NP}\}$ .

Examples of problems in coNP:

- (a) Complement of SAT
- (b) PRIMALITY
- (c) TAUTOLOGY
- (d) CONTRADICTION

Q is in coNP if and only if every instance I in  $\text{Accept}(Q)$  has a succinct disqualification.

(a) Complement of SAT: A truth assignment for which the formula evaluates to true.

(b) Primality: A non-trivial divisor of the given integer.

(c) A formula is called a tautology if it evaluates to true for all truth assignments of its variables.

A truth assignment for which the formula evaluates to false is a succinct disqualification.

(d) A formula is called a contradiction if it evaluates to false for all truth assignments of its variables.

A truth assignment for which the formula evaluates to true is a succinct disqualification.

## 5. Prove that if any NP-Complete problem is in coNP, then $NP = coNP$ .

Let  $P$  be an NP-complete problem which is in coNP.

[ $NP \subseteq coNP$ ] Take any problem  $Q$  in NP. Since  $P$  is NP-complete, there is a polynomial-time reduction  $Q \leq P$  that maps  $I$  to  $J$ .  $I$  is in  $Reject(Q)$  if and only if  $J$  is in  $Reject(P)$ . If that is the case,  $P$  being in coNP,  $J$  has a succinct disqualification  $D$ . But then,  $I$  also has the same disqualification  $D$ . This can be verified by first applying the reduction to get  $J$  from  $I$ , and then verifying that  $J$  is a disqualification for  $P$ . Since  $J$  can be obtained from  $I$  in polynomial time, the size of  $J$  is polynomial in the size of  $I$ . A polynomial of a polynomial is again a polynomial. So  $D$  is succinct for  $Q$ , and can be verified (as a disqualification) in time polynomial in the size of  $I$ . Therefore  $Q$  is in coNP.

[ $coNP \subseteq NP$ ] Take complements of the problems, and use the other inclusion  $NP \subseteq coNP$  already proved.

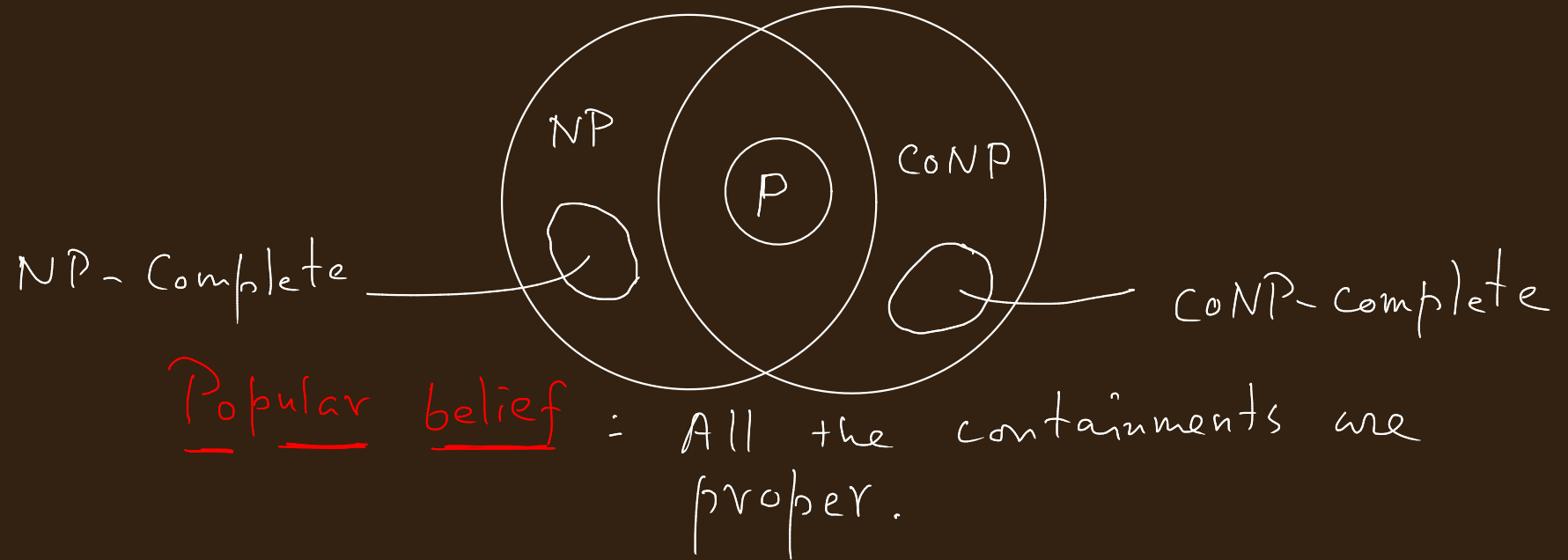
## 6. Prove that $P \subseteq NP \cap \text{coNP}$ .

A problem in  $P$  can be solved in polynomial time irrespective of the availability of a certificate or disqualification (do not look at it). Stated differently, the NULL string can be taken as both a succinct certificate and a succinct disqualification for the problem. Therefore

$$P \subseteq NP$$

and

$$P \subseteq \text{coNP}.$$





## 7. Define coNP-Complete problems.

A problem  $P$  is called coNP-complete if

- (1)  $P$  is in coNP, and
- (2) Every problem  $Q$  in coNP reduces in polynomial time to  $P$ .

Note: A reduction  $P \leq Q$  is also a reduction  $\overline{P} \leq \overline{Q}$ . Therefore coNP-complete problems are precisely the complements of the NP-complete problems.

## 8. Prove that TAUTOLOGY is coNP-Complete.

We have seen that TAUTOLOGY is in coNP.

The complement of SAT is coNP-complete (because SAT is NP-complete). We use a reduction

$$\overline{\text{SAT}} \leq \text{TAUTOLOGY}$$

as

$$\varphi(x_1, x_2, \dots, x_n) \mapsto \varphi'(x_1, x_2, \dots, x_n),$$

where  $\varphi'$  is the Boolean complement of  $\varphi$ . Evidently,  $\varphi'$  is a tautology if and only if  $\varphi$  is not satisfiable.