#### ORIGINAL ARTICLE



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# Respiratory performance of humans exposed to moderate levels of carbon dioxide

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#### **Abstract**

In a business as usual scenario, atmospheric carbon dioxide concentration (CO<sub>2</sub>) could reach 950 parts per million (ppm) by 2100. Indoor CO<sub>2</sub> concentrations will rise consequently, given its dependence on atmospheric CO2 levels. If buildings are ventilated following current standards in 2100, indoor CO2 concentration could be over 1300 ppm, depending on specific ventilation codes. Such exposure to CO<sub>2</sub> could have physiological and psychological effects on building occupants. We conducted a randomized, within-subject study, examining the physiological effects on the respiratory functions of 15 persons. We examined three exposures, each 150 min long, with CO<sub>2</sub> of: 900 ppm (reference), 1450 ppm (decreased ventilation), and 1450 ppm (reference condition with added pure CO<sub>2</sub>). We measured respiratory parameters with capnometry and forced vital capacity (FVC) tests. End-tidal CO2 and respiration rates did not significantly differ across the three exposures. Parameters measured using FVC decreased significantly from the start to the end of exposure only at the reduced ventilation condition (p < 0.04, large effect size). Hence, poor ventilation likely affects respiratory parameters. This effect is probably not caused by increased CO<sub>2</sub> alone and rather by other pollutants-predominantly human bioeffluents in this work-whose concentrations increased as a result.

#### KEYWORDS

End-tidal CO2, forced vital capacity, future buildings, respiration, spirometry, ventilation

### | INTRODUCTION

Decades of research on indoor air quality (IAQ) have brought us to a point where it is well accepted that IAQ affects people. But, with the rising atmospheric levels of CO<sub>2</sub>, we are now faced with a new concern about the outdoor air that we bring in to ventilate indoors. As a long-standing convention, indoor air CO2 concentration is typically used as an affordable and practical indicator of IAQ. Standards normally recommend a limit of around 1000 ppm of CO<sub>2</sub> for achieving acceptable IAQ. This limit is based on the CO<sub>2</sub> concentration that corresponds to bioeffluent levels that would result in 20% of unadapted visitors to a space to be dissatisfied with air quality. This limit

is a marker of the outdoor air supply rate to the space and is only valid when humans are present indoors. So CO<sub>2</sub> is treated only as a proxy for IAQ and ventilation effectiveness and not a pollutant. The concentration of CO2 indoors is not considered to be the concern if only it stays below 5000 ppm which is the occupational exposure limit being a time-weighted average over an eight-hour workday, 40 working hours a week.<sup>2</sup> This level is rarely reached indoors over long durations. The ceiling limit for CO<sub>2</sub> was set at 30 000 ppm for a 10-minute period<sup>2</sup> and is never expected to occur indoors under

Global CO<sub>2</sub> levels are on a rising path. Earth species, including humans, have not encountered CO2 levels in excess of 300 ppm

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throughout its evolutionary history, until the early 1900 s. Average levels during October 2020 were at 411 ppm at Mauna Loa Observatory<sup>3</sup> and much higher around urban agglomerations.<sup>4</sup> Without significant measures leading to reduced emissions, in the worst-case scenario, these levels can reach about 950 ppm during the next 80 years. 5 Given the history of our species, it is reasonable to assume that the perspective of human beings having to be perpetually in an atmosphere of about 1000 ppm of CO<sub>2</sub> and higher raises considerable concerns.<sup>6</sup> As a result, during the past 15 years, several studies have been conducted to examine the impact of pure CO2 on occupants at the levels typically occurring in buildings<sup>7,8</sup>; they do not, however, provide systematic and consistent information regarding the effects of pure CO<sub>2</sub> on humans. Some of these studies have reported a decline in cognitive functions for the exposure to CO<sub>2</sub> as low as 1000-1500 ppm, 9-11 but others have not. 12-16 The length of exposure to CO<sub>2</sub> has been shown additionally to affect the magnitude of cognitive decline. 11,17 Changes in physiological reactions have also been reported at CO<sub>2</sub> levels higher than 2500 ppm. 16-18 Two studies with humans neutrophils and mice suggested physiological responses-vascular damage from interleukin-rich microparticles being released-at CO<sub>2</sub> levels of 2000 ppm and higher. 19,20 There were no results indicating changes in subjective reports of symptoms or air quality.

Currently, there seems to be no obvious physiological mechanism that could explain the observed cognitive decline at the tested levels. The studies that have noted physiological impacts did so at  $\rm CO_2$  concentrations >2500 ppm which are the levels that are not expected to be frequent and prolonged in buildings even in the coming century except for some special conditions such as crowded or tight spaces that are not sufficiently ventilated. There remain nevertheless questions regarding whether and how the  $\rm CO_2$  levels in a future building, ventilated as per current standards, would affect occupants physiologically, particularly, their respiration, and that in turn may affect cognitive performance.

Exposure to increased CO2 levels in inspired air can lead to increased physiological levels of CO<sub>2</sub>. This is reflected in arterial CO<sub>2</sub> levels, cerebrovascular activity, and blood and cerebrospinal-fluid pH levels.  $^{21,22}$  End-tidal  $\mathrm{CO}_2$  (ETCO2) can be used as an easy, noninvasive measure of arterial or physiological CO<sub>2</sub> levels, providing an indication of the impact of  ${\rm CO_2}$  exposure. <sup>21</sup> Some studies have explored how ETCO2 increases with time-length of acute exposure to pure CO<sub>2</sub>: 65 000 ppm, <sup>23</sup> 50 000 ppm, <sup>24</sup> and 30 000 ppm. <sup>25</sup> These studies found that ETCO2 increased initially and then plateaued, within 10 minutes, after having increased 10-40%. A few studies have also performed measurements that provide some indication of how ETCO2 levels of occupants varies over time, when exposed to indoor air under different ventilation conditions and CO2 concentrations, including a mix of conditions with pure CO2 and bioeffluents. 15,16,26,27 These studies found that ETCO2 levels plateaued off after nearly an hour, rising by 2-10%. One study even noted a continual decline over a 3 h exposure, without reaching any kind of plateau.<sup>26</sup> This is starkly different from the findings of acute exposure to CO<sub>2</sub>, indicating a need for a better understanding of how indoor

#### **Practical implications**

Rising atmospheric  $\mathrm{CO}_2$  levels have raised concerns regarding the negative effects on humans and consequently the future of building ventilation. It has been discussed whether it would still be possible to guide ventilation requirements by a difference between outdoor and indoor  $\mathrm{CO}_2$  levels or if absolute  $\mathrm{CO}_2$  levels should be used. We use this study to show that an increase in the  $\mathrm{CO}_2$  level with no change to ventilation did not affect human respiration parameters. However, poor ventilation was shown to affect lung capacity demonstrated in the form of an obstructive breathing pattern most likely caused by an increase in the concentration of other pollutants, primarily bioeffluents, and not only  $\mathrm{CO}_2$ . This result provides additional confirmation for the health effects in ill-ventilated spaces.

air impacts physiological CO<sub>2</sub> levels. Similarly, the findings of a recent study suggest that exposure to poorly ventilated indoors may adversely impact lung capacity, as determined by the forced vital capacity test, performed using spirometry.<sup>28</sup>

We aimed to examine whether indoor  $CO_2$  levels impact one specific aspect of human physiology, that is, the respiratory system. We tried to answer the following two questions:

- Is respiration rate, ETCO2, and lung capacity affected by the exposure to two different levels of CO<sub>2</sub> and different ventilation rates?
- Does exposure duration play a role for the effects on respiration rate and ETCO2?

### 2 | METHODS

The study was performed by Berkeley Education Alliance for Research in Singapore (BEARS). The protocol was approved by the University of California Berkeley Ethics Committee (Protocol #2019-04-12042) given that BEARS is a UC Berkeley company in Singapore.

We performed a within-subject experiment in the climate chamber. We studied three exposures, each lasting 150 min, and presented randomly to subjects in the design balanced for the order of presentation:

- a. CO<sub>2</sub> at 900 ppm-900-R
- b. CO<sub>2</sub> at 1450 ppm-1450-V
- c. CO<sub>2</sub> at 1450 ppm-1450-CO2.

Condition 900-R was achieved with ventilation recommendations from the current standards (reference condition); this level

corresponded to a ventilation rate with outdoor air of about 10.4 liters per person per second (L/[p.s]). 1450-V was achieved with reduced ventilation in the presence of people in the chamber corresponding to ventilation rate with outdoor air at about.

5 L/(p.s), the -V indicating a change in ventilation from reference condition. 1450-CO2 was achieved by maintaining the same ventilation rate as 900-R, but additionally, pure  $\rm CO_2$  was added to reach indoor  $\rm CO_2$  concentrations of 1450 ppm. This condition represented a building at the end of this century being ventilated as per current regulations but with outdoor atmospheric  $\rm CO_2$  levels at 950 ppm.

# 2.1 | Facilities and equipment

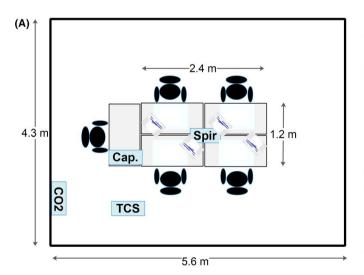
Experiments were conducted in Singapore over a period of three weeks in July-August 2019. All the sessions were conducted inside a climatic chamber. The layout of the set-up and an image taken during a session are provided in Figure 1A and B, respectively. Table S1, in the Supplementary Information (SI), lists the equipment used and their specifications (range and accuracy). In each session, four participants were seated at a workstation equipped with a laptop. Participants used the laptops at their respective stations to provide responses to the subjective questionnaires on the Qualtrics Survey platform. One additional station was kept for the experimenter, the capnometer, and the PC connected to the spirometer. The chamber was served by a dedicated air handling unit (AHU) with a variable air

volume (VAV) system, both return and supply diffusers being located in the ceiling. The AHU was served by MERV 8 filters, impregnated with activated carbon. To maintain constant ventilation rates, the VAV boxes were set to maximum opening and the supply air temperature was controlled to maintain the indoor temperature.

A recent study<sup>29</sup> discovered that seated occupants, engaged in a range of tasks, may inhale a much higher concentration of CO<sub>2</sub> than the room average. This is due to the formation of a personal cloud (aka, bubble), from a person's exhalation, around a person's breathing zone. The human exhalation chemical concentrations in this bubble can vary based on a variety of factors, including the geometrical and fluid dynamic characteristics of an individual nose and lung. Hence, to ensure that all participants during the study were breathing the air of a similar quality as the chamber's average, this bubble was ruptured by an arrangement of desk fans (Figure 1B). The fans achieved an air velocity between 0.3 and 0.4 m/s in the breathing zone to achieve this rupture.<sup>29</sup> Participants were informed that they could control the desk fan speeds—as per their comfort needs—so long as they kept the setting above 3 on the fan.

## 2.2 | Study conditions

The actual, measured conditions are given in Table 1. To introduce pure CO<sub>2</sub> into the chamber during days with the 1450-CO2 exposure, we used Brüel & Kjaer, INNOVA 1302 monitor, and 1303





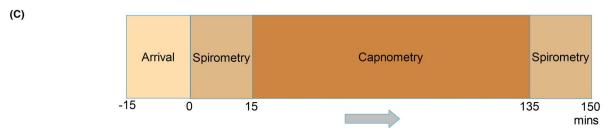


FIGURE 1 (A) A plan section of the chamber layout. 2.6 m ceiling height. (B) An image taken during a session. (C) Session timeline. All three exposures had the same timeline. In (A) Cap, capnometer, CO2, wall mounted CO<sub>2</sub> sensor, Spir, spirometer, TCS, thermal comfort stand

sampler. A cylinder with 99.8% pure  $\mathrm{CO}_2$  was connected to the sampler. To keep the subjects blind, the sampler and monitor were kept operational even on days with 900-R and 1450-V exposures though no  $\mathrm{CO}_2$  was dosed. The order of presentation of exposure was randomized and balanced across different groups of subjects.

We strove to keep all indoor environmental parameters besides the one relevant to the purpose of this work similar across all three exposure conditions (Table 1). Noise measurements were carried out from minute 15 to minute 135 of the sessions, to avoid the negative effect of the spirometry tests on noise. We kept the VOC monitor active through the entire session duration. The VOC measurements in Table 1 indicate that the levels did not reach instrument detection limit of 15 ppb. Typical indoor chemical human emissions are not expected to be over these limits. <sup>30</sup> The levels may yet have varied for the three sessions, due to difference in ventilation.

The chamber had only overhead lighting (correlated temperature of ~5000 K). We blacked out the chamber windows so that there would not be any variations from external lighting. The average illuminance was >500 lux on the horizontal surface where tasks were performed by the subjects.

## 2.3 | Participant recruitment and orientation

Participants were recruited through convenience sampling by reaching out to them through posts on social media and student forums. The recruitment criteria included the following: age between 21 and 55 years old, no history of cardio-respiratory symptoms, ambulatory, no major surgeries (requiring general anesthesia) during the past year, not pregnant, and not suffering from any sleep disorders. We also required that they had to have lived in Singapore for at least six months prior to the start date of their first session. We did not place an exclusion criterion on smoking or former smokers. However, we asked participant (self-reported) and none of them was a current or former smoker.

Including an initial introduction session of an hour, participants were requested to attend a total of four sessions lasting altogether eight and half hours. During the introduction day, the study process and timeline were explained to the participants, and they were familiarized with the climate chamber. Then, if they were still willing, they gave their written consent to participate. They were then asked to familiarize themselves with the capnometry mask and also completed a practice round during which forced vital capacity (FVC) was

measured using the spirometer. The participants were informed that it was the goal to achieve at least three acceptable spirograms during each session to ensure reliable results<sup>31</sup>; acceptable spirograms were determined by the Spirotrac software. Following their introduction to the study, participants were asked to indicate the days on which they could participate in the three experimental sessions. Participants had been advised to maintain relatively regular sleeping habits during this period and to avoid the use of alcohol or other drugs (stimulants or depressants) in the 24 h prior to sessions. They were also asked not to engage in very strenuous exercise or smoke just before the session. The part regarding smoking is a standard part of the advisory even though in this case, none of the participants were smokers.

Sessions were organized without "washout" days planned between exposure. From previous experimental data, <sup>32</sup> we know that the ventilation changes we would be exposing our participants to, would not be perceptible to them. Moreover, subjects are likely to be exposed to similar or worse ventilation in their everyday life, at home or in public transport. <sup>33</sup> Hence, washout days were not scheduled.

While sixteen participants were recruited, one dropped out. Fifteen of them (7 females) attended; one participant completed only two of the exposures (1450-V and 1450-CO2). Using the provided choices, groups of four participants each were formed for each study day after randomizing the exposure order. Five participants started with the condition 900-R, six with 1450-V, and four with 1450-CO2. Because of "no shows," there were two days with three participants, and one day with two participants, but the conditions were otherwise not affected. Each participant was compensated with SGD 110 for a total of eight and a half hours of their time. Participant demographics have been provided in Table S2.

The study design was single-blind with "deception." Participants were not made aware of the indoor conditions, the ventilation levels, or even the aim of the experiment. All appearances were kept similar for all three sessions, including operation of the INNOVA system.

#### 2.4 | Subjective ratings

Participants assessed indoor environmental quality at the beginning and then again at the end of each session. The questionnaire was administered through the Qualtrics platform.<sup>34</sup> It included questions on thermal sensation; acceptability of thermal environment, humidity, air movement, air quality; thermal preference; air quality

TABLE 1 Session conditions. Measured data presented as mean (s.d), except for noise as median (IQR)

Exposures	1450-V	900-R	1450-CO2
Operative temperature (°C)	25.6 (0.5)	25.8 (0.4)	25.8 (0.4)
Relative humidity (%)	56 (2)	55 (1)	54 (1)
Noise (dBA)	41 (3)	43 (3)	44 (2)
VOC (ppm)	Not detectable	Not detectable	Not detectable
CO <sub>2</sub> (ppm)	1400 (110)	930 (20)	1430 (60)

specifically odor, stuffiness, and any physical symptoms like dryness or irritation of eyes, skin, throat, or nose, headache, dizziness, etc., the complete questionnaire is a part of SI. When answering the questions on the questionnaire, the context was created by the following sentence: "Read each item and indicate to what extent you feel this way right now, that is, at the present moment." In the analyses, we compared the responses at the end of the session as well as changes in responses over the session duration.

The questionnaire at the onset also asked additional questions on the participants' day before arriving at the experimental session, the mode of transportation to the experimental site, the nature of the last meal, and how well they slept last night.

The questionnaire at the end of the session contained a circumplex model of affects<sup>35,36</sup> additionally to questions related to the indoor environment to get a measure of the participants' emotional state. The circumplex model is a well-accepted and widely used measure of human emotion in psychological research.<sup>37</sup> We decided to include the model as part of subjective feedback since a recent study has found that while the influence of the indoor environment may not be apparent on comfort perception, it may still affect mood.<sup>38</sup> The model divides the current emotional state into eight groups, based on positive and negative emotions and high and low levels of arousal. Twenty-six different adjectives were used to find the participant's location on this multidimensional scale. A representation of the circumplex model and the adjectives under different categories is presented in the Data S1, Figure S1. For analysis, average scores under each of the eight categories were compared.

#### 2.5 | Session timeline

We present a session timeline in Figure 1C. At the beginning of each session day, the spirometer was checked against a three liters syringe standard, within a tolerance of  $\pm 1\%$ . <sup>39</sup> All sensors used to perform measurements listed in Table 1 were set to logging frequency of one minute. While respiration rate and tidal volume are not known to have a circadian rhythm, metabolism—and consequently ETCO2—does have a circadian component. <sup>40</sup> We wanted to avoid any confounder due to diurnal variations of the measured parameters. So all sessions, each lasting two and a half hours, were held in the afternoon, during the three hours between 1:30 p.m. and 4:30 p.m., local time.

Participants reached the laboratory 15–20 minutes before the scheduled start time and took about 10 min to settle into a sedentary condition. Then, they moved into the chamber. We had advised the participants to dress for thermal comfort as long as they abide by the safety rules (i.e., full-length trousers, no sleeveless tops, and close-toed shoes). Once inside, they sat down in a relaxed posture. They were reminded that they could control their desk fan to their liking as long as they did not reduce the speed below a certain minimum. The session began with the first round of the FVC test, with each seated participant being asked to use the spirometer, one by one. After that, participants were asked to complete the first round

of questions. Once completed by all participants, the period when the capnometer measurements were made was launched and it lasted 120 min. Measurements were done for one participant at a time, moving from one person to the next one; the measurement for one person lasted about three minutes. After two hours, the participants provided answers to the questions (second round) and then completed the FVC tests for the second time, as well. Participants remained seated throughout the session. They could bring their phones or their own books, magazines, paperwork, etc. Capnometry did not require active engagement from the participants, so they were free to do their own work. However, they had to give us their undivided attention during the initial and the final 15 min of each session to appropriately conduct the forced vital capacity test. During the sessions, participants were allowed to drink plain water but no food or other drinks. Participants maintained their seated posture throughout the sessions.

Of the three acceptable spirograms obtained, the best results—as indicated by the Spirotrac software—were used for analysis.<sup>39</sup> While an FVC test can yield several parameters, for this study, we focused on the following four, most widely reported parameters<sup>39</sup>:

- FVC—forced vital capacity (liters), amount of air forcibly exhaled by a participant after taking the deepest breath possible
- FEV1—forced expiratory volume in the first second (liters)
- FEV1/FVC ratio
- PEF—peak expiratory flow (liters per minute), the maximum flow rate achieved during an FVC test.

From the capnometry, we obtained an average ETCO2, the peak  ${\rm CO}_2$  concentration in exhaled breath, and respiration rate (RR) for every minute of measurement. FVC parameters have inherent variations over a day. For healthy subjects, FVC and FEV1,  $^{41}$  and for PEF $^{42}$  these variations are about 5%. Since the FEV1/FVC ratio is a derived quantity, we estimated the variation in it from error propagation in a ratio (5% in each parameter, hence  $\sqrt{5^2+5^2}\approx 7$ \%, in the derived quantity). These variations were used as a reference when analyzing the results, in Figure 5, to highlight observed differences across the session that were larger than diurnal differences.

# 2.6 | Statistical analysis

We used R<sup>43</sup> for all statistical analyses. We used  $\alpha$  =0.05 as the significance level for all tests, two tail. For pairwise comparisons of the same parameter between the start and end of a session, the Wilcoxon signed-rank test was used. Effect sizes of Wilcoxon's rank tests, Pearson's, and r values were calculated using the Rstatix package<sup>44</sup>; effect sizes were interpreted as 0.1  $\leq$  r<0.3 small, 0.3  $\leq$  r<0.5 moderate, and  $\geq$ 0.5 large.<sup>45</sup>

For comparing a measured parameter across the three sessions, we used linear mixed-effects models (LME) using the Ime4 package. 46 LME has advantages such as an explicit modeling of fixed

ETCO2 model				RR Model			
Fixed effects				Fixed effects			
	Estimate	Std. Error	t value		Estimate	Std. Error	t value
(Intercept)	40.14	0.82	48.76	(Intercept)	16.8	0.72	23.3
1450-V	0.36	0.33	1.09	1450-V	-0.7	0.53	-1.26
1450-CO2	-0.45	0.85	-0.53	1450-CO2	0.1	0.49	0.12

and random effects and the ability to include individuals who may not have completed all the exposures. That was the main reason for its selection over repeated measures ANOVA. In our LME models, the participants were the random effect, while the exposure was the fixed effect. Further, LME models may use random intercepts or random slopes. A random intercepts model corresponds to different individuals having different thresholds when it comes to their respiratory systems' response to the indoor environment. The random slopes model goes further in that not only are individual thresholds different, the slope of response also differs across individuals, for the different exposures, effectively implying different dose-response relations. We tested both model types but, as described in Data S1, the random slopes models were preferentially used.

We used likelihood ratio tests to compare the effect of exposures. We compared a baseline model with only random effects (inter-individual variations) with the model including both random and fixed effects (effect of exposures added in). If, based on p-values and log-likelihood ratios, the later model was significantly better, then we concluded that exposures had a significant effect. If exposures turned out to have a significant effect, we then further explored the mixed-effects models to understand which exposure(s) led to the differences.

# **RESULTS**

#### Model selection

For both RR ( $\chi^2[5] = 111.9$ , p < 0.0001) and ETCO2 ( $\chi^2[5] = 485.9$ , p < 0.0001), random slopes models gave better fits than random intercepts. Correspondingly, the log-likelihood ratios were higher for the random slopes models: RR (-2401.5 vs. -2457.4) and ETCO2 (-1953.7 vs. -2196.7). In SI (Figure S2), we show boxplots for the MAE and MSE values generated by the random slopes and random intercepts models for both ETCO2 and RR with a clear indication of error measures being lower for the random slopes model. This means that the dose-response relation varies from subject to subject. We hence selected random slopes models for further analysis. This selection is further supported by Figure S3 in SI. It shows the ETCO2 and RR values for each individual that are color-coded by the exposure. We use this figure to illustrate the variation that was observed across individuals. For some participants, it may be noted that values are closely clustered while others have a wide range of variations. Thus,

to better reflect inter-individual variations, the random slopes model seems to be a better choice when modeling respiratory parameters.

#### 3.2 | Capnometry results

# 3.2.1 | Comparison of capnometry parameters across exposures

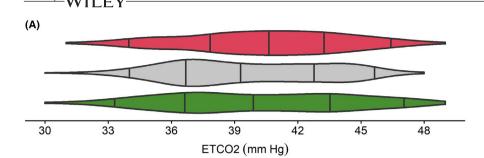
Using random slopes models, we compared the ETCO2 and RR data across the three exposures. The comparison of the models with both fixed (exposure) and random (participants) effects with the models that just had the random effects did not show any significant difference for both ETCO2 ( $\chi^2[2]=1.6$ , p=0.45) and RR ( $\chi^2[2]=1.5$ , p = 0.46), and this means that the three exposures did not influence RR and ETCO2. Details for the fixed effects output for the ETCO2 and RR models are provided in Table 2. Fixed effects outputs in the tables provide the model for 900-R as the (Intercept) row, while for 1450-V and 1450-CO2, the deviations from 900-R are, respectively, reported.

For ETCO2, the average level for the reference 900-R condition was ~40 mm Hg. The 1450-V exposure was higher than this by ~0.4 mm Hg, and 1450-CO2 was lower by ~0.4 mm Hg. For RR, the reference 900-R condition corresponded to ~17 breaths per minute. The 1450-CO2 did not differ much, while the 1450-V exposure led to the slowing of breathing by about one breath per min. Overall, none of the differences between the exposures, for ETCO2 and RR, were significant. In Figure 2, we show the violin plots for the distributions of ETCO2 and RR, as recorded for all 15 participants color-coded by the exposure. The plots confirm that there were no obvious differences in ETCO2 and RR between different exposure conditions.

# 3.2.2 | Evolution of ETCO2 and RR over the session duration

One of the goals of the study was to examine whether ETCO2 and RR change along the course of exposure at different conditions. To reach this goal, we used locally estimated scatter-plot smoothing (LOESS). The results are presented in Figure 3.

Figure 3 shows no obvious trend either for ETCO2 or RR. A small reduction in ETCO2 can be noted for the reference 900-R condition toward the very end of the session and a small



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FIGURE 2 Violin plots for the distribution of (A) ETCO2 and (B) RR for all three exposure conditions, for all participants, taken together. The violin plots have marked lines for 5, 25, 50, 75, and 95th percentiles

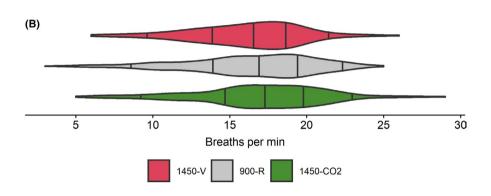
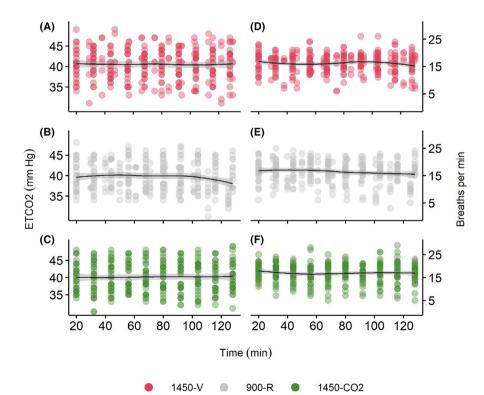


FIGURE 3 Time series visualization of ETCO2 (A, B, C) and RR (D, E, F) over the course of exposure at three different exposure conditions represented by LOESS plots



reduction in RR for the 1450-V condition also toward the end of the exposure.

# 3.2.3 | ETCO2 and RR variation with respect to ${\rm CO_2}$ levels

The concentration of  ${\rm CO_2}$  in inspired air is one of the factors that may affect ETCO2 and correspondingly RR.<sup>47</sup> We examined

whether the  $\mathrm{CO}_2$  concentration in the chamber recorded at the time point when the capnometric measurement was made could influence ETCO2 or RR values. This is done by plotting ETCO2 and RR against  $\mathrm{CO}_2$  in Figure 4; the LOESS lines do not show any pattern.

As can be seen in Figure 4, there were no obvious trends to ETCO2 and RR values as chamber  ${\rm CO}_2$  levels varied. For both 1450-V and 1450-CO2 conditions, the LOESS lines are nearly flat. The variation in RR and ETCO2 as seen for the reference 900-R condition was

caused by few measurements at the tails of the distribution (extreme values).

3.3 | Spirometry results

Unlike capnometry, spirometry is an effort-intensive measurement and hence, instead of continuous measurement data were collected at the beginning and at the end of the sessions. For spirometry results, we made different comparisons. We used the linear mixed effects on the values obtained at the start of the session and at the end of the session to compare the three exposures. We also compared the values for different FVC parameters from the end of the session against the beginning of the session.

For the FVC test results taken at the beginning of the session, none of the parameters included in the random effects (i.e., participants only) were significantly different from the mixed effects (i.e., exposures [fixed effect] and participants [random effect]). For the FVC test results from the end of the session, a significant difference between the random-effects and mixed-effects model was found only for PEF ( $\chi^2[2] = 7.0$ , p = 0.03). Details for the fixed effects output for the PEF model, at end of the session, are provided in Table S3.

Table 3 shows additionally that FEV1, FEV1/FVC, and PEF changed significantly between the start and the end of sessions and only for the 1450-V exposure; all changes showed a reduction in these parameters and the size of the effect was large. For the

900-R and 1450-CO2 exposure conditions, no significant changes were observed.

Figure 5 shows the fractional changes of FVC, FEV1, FEV1/FVC, and PEF, from the beginning of the sessions to the end, relative to the values measured at the beginning. In Figure 5, we hence provide reference horizontal lines for these variations, in each of the plots for FVC, FEV1, FEV1/FVC, and PEF. While for 900-R and 1450-CO2 exposure, points generally lie within the boundaries of normal diurnal variations, for 1450-V negative deviations, outside these boundaries are observed.

# 3.4 | Subjective responses

Self-reported last-night's sleep quality did not differ across the three exposure conditions ( $\chi^2[2]$ =2.0, p = 0.37). The ratings of the eight dimensions of affects at the end of the sessions were not significantly different among the three exposures, both for the random-effects model and the mixed-effects model. The affect dimension that came closest to a significant difference was the High Arousal-Positive ( $\chi^2[2]$ =4.1, p = 0.13).

Subjective responses obtained at the end of the sessions were compared for different exposure conditions. The results of modeling showed that thermal sensation vote (TSV) ( $\chi^2[2]$ =7.4, p = 0.025) and the rating of fatigue ( $\chi^2[2]$  = 6.2, p = 0.044) were significantly different (details of the respective LME models provided in Table S4 in SI). A

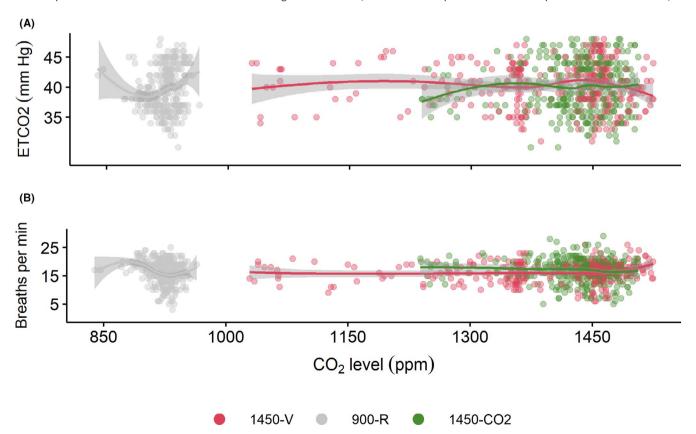


FIGURE 4 ETCO2 (A) and RR (B) as a function of  $CO_2$  levels recorded in the chamber. The points have been color-coded according to the specific exposure condition

	1450-V		900-R		1450-CO	1450-CO2	
	p-value	Eff. Size	p-value	Eff. Size	p-value	Eff. Size	
FVC	0.09	0.44 (M)	0.14	0.40 (M)	0.60	0.15 (S)	
FEV1	0.004	0.75 (L)	0.36	0.26 (S)	0.64	0.13 (S)	
FEV1/FVC	0.039	0.54 (L)	0.70	0.11 (S)	0.73	0.10 (S)	
PEF	0.041	0.53 (L)	0.38	0.24 (S)	0.50	0.18 (S)	

TABLE 3 Wilcoxon's rank test results for comparison of FVC parameters from the beginning and end of sessions for the three exposures

Results include p-value and effect size. Effect size has a qualifier in braces: S, Small, M, Moderate, L, Large. Significant differences and large effect sizes have been put in **bold**.

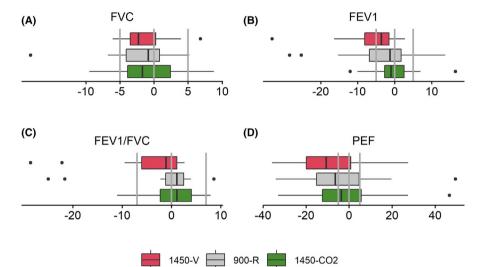


FIGURE 5 Percentage change in spirometry parameters between the end and the beginning of the session, with respect to the mean value during the session. Change is measured as Session end—Session beginning (A) FVC (B) FEV1 (C) FEV1/FVC (D) PEF. The gray reference lines are provided at the zero line and the fractional diurnal variations expected for a healthy individual

comparison of the thermal sensation and fatigue votes, at the end of the sessions, for all three exposures, has been provided in Figure 6. At the end of the session, the mean TSV of participants for the 1450-V condition was closer to slightly cool, and for 1450-CO2 and 900-R conditions, it was about mid-way between neutral and slightly cool and neutral, respectively. The lower TSV for 1450-V, even though the temperature was unchanged, could relate to the lowered metabolic rate of the participants. The mean vote on Fatigue scale was slightly lower for the 1450-V condition than the other two; but for all three exposures, fatigue mostly remained between "No" and "Light."

# 4 | DISCUSSION

While the climate impact of rising atmospheric  $\mathrm{CO}_2$  levels has been extensively studied and discussed over the past decade and a half, the direct impact on respiration of the higher levels of  $\mathrm{CO}_2$  has not received adequate attention. There are four parameters that affect ETCO2, which in turn, is a reflection of body  $\mathrm{CO}_2$  levels: activity (metabolic rate),  $\mathrm{CO}_2$  concentration in inhaled air, the gas exchange time constant for lungs, and alveolar air exchange. To keep body  $\mathrm{CO}_2$  within relatively narrow limits, as metabolism and inspired  $\mathrm{CO}_2$  varies, breathing volume is adjusted. Our investigation aimed to investigate how 2.5-h exposure in a chamber, with different  $\mathrm{CO}_2$  concentrations, affects respiratory parameters measured by capnometry and spirometry.

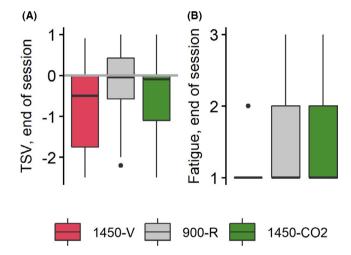


FIGURE 6 (A) Thermal sensation vote (TSV) and (B) Fatigue votes, at the end of the sessions, for all three exposures

# 4.1 | Body CO<sub>2</sub> levels and breathing elevated levels of pure CO<sub>2</sub>

ETCO2 and RR values did not differ significantly across the three different exposures, and they also did not show any pattern of variation with respect to the  ${\rm CO_2}$  levels we examined, that is, between 800 and 1500 ppm. Studies that looked at acute exposures to high concentrations of  ${\rm CO_2}$  showed that ETCO2 generally stabilizes within a

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matter of minutes. Contrary to this, the few studies that have been done with typical indoor  ${\rm CO}_2$  levels showed a stabilization time of over 100 min. We summarize them in Table 4, including the current study.

From Table 4, some basic patterns get clear. Acute exposure to high  $\mathrm{CO}_2$  concentrations leads to much more substantial and faster change in  $\mathrm{ETCO2}$  than  $\mathrm{CO}_2$  levels that may be found indoors. Exposures involving both  $\mathrm{CO}_2$  and bioeffluent tend to cause larger changes in  $\mathrm{ETCO2}$  than exposure to just  $\mathrm{CO}_2$ . And at levels of exposure under 2000 ppm, changes in  $\mathrm{ETCO2}$  are either not noticed or are less than 5%, so, possibly within ranges of instrument accuracy. Our results do not show any apparent evolution of  $\mathrm{ETCO2}$  with time (Figure 3), for both the exposure to added pure  $\mathrm{CO}_2$  and the exposure to  $\mathrm{CO}_2$ , along with bioeffluents. If any adjustment occurred after the participants came inside the climate chamber, it likely took place within the first.

Fifteen minutes, when they were engaged in the FVC test, like the timelines from acute exposures. This could mean that the  ${\rm CO}_2$  concentrations experienced by participants were not enough to change ETCO2.

One difference between our work and the other studies regarding ETCO2 in indoor environments was the presence of localized air movement—from desk fans—in our study. The desk fans ensuring a consistent value of CO<sub>2</sub> in the breathing zone (without variations and a value consistent with the chamber average concentration<sup>29</sup>) could be a reason why our results are more in line with the results from acute exposures that were delivered via masks/Douglas bags. A further reason could also be that in the other indoor exposures, participants were either engaged in actual office work or cognitive tasks simulating a work stress, which could have led to higher metabolism and hence a more discernible change in ETCO2. In our study, participants were not asked to engage in any cognitive tasks and could choose to utilize their time as they pleased.

Since the elevated levels of pure  $\mathrm{CO}_2$  did not affect ETCO2 levels, and hence, body  $\mathrm{CO}_2$  levels, studies looking at cognitive effects of moderately elevated  $\mathrm{CO}_2$  would need to examine in greater detail any possible mechanism for cognitive impacts. We also did not observe any change in the ETCO2 levels with time, implying any impacts due to extended exposure may not be related to changes in body  $\mathrm{CO}_2$  levels. Additionally, it would be advisable for future studies examining psychophysiological or cognitive effects of  $\mathrm{CO}_2$  or  $\mathrm{CO}_2$ +bioeffluents to have a mechanism (like a small desk fan) for dealing with personal  $\mathrm{CO}_2$  clouds of participants.

#### 4.2 | Poor ventilation

Exposure with added pure  $\rm CO_2$  (1450-CO2) did not show an impact on any of the measured respiratory parameters using capnometry and spirometry, compared to exposure with current, recommended ventilation (900-R). However, for reduced ventilation (1450-V), a 150-minute exposure significantly lowered several measured FVC parameters, in particular, FEV1 and FEV1/FVC. Also, spirometry measurements at the end of sessions showed that compared to the other two exposures, the poor ventilation exposure led to a lower average PEF. We reiterate here that these adverse impacts were found for sedentary, relaxed participants. It would not be far fetched to assume that effects could be worse for active indoor occupants.

Unlike the study by Shriram et al.,<sup>28</sup> we did not notice a significant change in FVC and FEV1 across the exposures though essentially, our results mean the same: exposure to poor ventilation adversely affects pulmonary functions determined using the FVC test. Different from the current work, Shriram et al. compared the spirometry performance under ambient conditions with that obtained in progressively lower ventilation in occupied space and were

TABLE 4 ETCO2 evolution from exposure to bioeffluents and elevated CO<sub>2</sub>

Study reference	CO <sub>2</sub> exposures (ppm)	Change in ETCO2 from start (%)	Duration to ETCO2 plateauing (min)
Indoor CO <sub>2</sub> levels			
Vehviläinen et al. <sup>27</sup>	500–1000, 500–4500 (CO <sub>2</sub> +bioeffluents)	~6-11	180, keeps rising
Zhang et al. <sup>15</sup>	500, 5000	~2	~140
Liu et al. <sup>26</sup>	400, 3000	~4-10	180, keeps decreasing
Zhang et al. <sup>16</sup>	400, 1000, 3000 (CO <sub>2</sub> and CO <sub>2</sub> +bioeffluents)	~4-8	~120, greater rise in ETCO2 for exposures with bioeffluents at both 1000 & 3000 ppm
Zhang et al. <sup>32</sup>	500, 1600 (CO <sub>2</sub> +bioeffluents)	NA	240, no notable changes
Current study	900, 1450, 1450 (CO <sub>2</sub> and, CO <sub>2</sub> +bioeffluents)	NA	150, no notable changes
Acute exposures to CO <sub>2</sub>			
Shephard <sup>24</sup>	50 000	22	~5
Boning et al. <sup>49</sup>	65 000	40	~10
Sayers et al. <sup>23</sup>	80 000	40	~15

not examining change caused by exposure to specific ventilation over a two-hour-plus period.

The lowering of FEV1 for 1450-V indicates an obstructive breathing pattern.  $^{31}$  Obstructive breathing pattern means difficulty in exhalation, reducing the body's ability to get rid of metabolic  $\mathrm{CO}_2$ . We did not see any clear indication of ETCO2 being affected. This could possibly mean that over exposure of this length (~2 h), the body's compensatory mechanisms kept ETCO2 stable. We note in Section 3.1.2 that compared to the other exposures, for 1450-V, there was a small, but statistically insignificant, rise in ETCO2 (~0.4 mm Hg). This points to the possibility that we may see a clearer difference with larger sample sizes.

In Section 3.1.2, we also saw that for 1450-V, RR slowed down by ~1 breath per min, though not rising to statistical significance. In Section 3.3, we saw that the TSV at the end of the sessions was on the average cooler for 1450-V, even though thermal conditions were similar. It is difficult to explain these two observations, individually. Taken together though, the findings seem to support the analysis of Bako-Biro et al.  $^{50}$  wherein, they posited that when exposed to poor air quality, metabolism, and breathing slow down, in what may be a defensive mechanism. The lowered metabolism could explain the cooler TSV. The slower respiration is a consequence of the body lowering its metabolism and trying to take in less of the polluted air. No significant changes in ETCO2 were noted. This could be because, within this short exposure duration, our body's regulatory mechanisms are able to maintain physiological CO $_2$  levels consistent.  $^{51}$ 

We did not notice any change in the subjective perception of air quality though, implying, the physiological effects of air quality in a poorly ventilated space are apparent even before occupants perceive the poor ventilation. The 1405-V exposure led to slightly lower fatigue at the end of exposure duration compared to the other two conditions. The difference was less than half a scale unit and could be just a random effect. It is difficult for us to provide a compatible explanation for this variation.

Multiple epidemiological studies show the adverse effects of chronic exposure to outdoor air pollution on human lung. <sup>52,53</sup> Our findings were related to exposure to indoor air pollutants for a duration of just two hours though. The study that comes closest to our finding was by Rice et al. <sup>54</sup> They observed that after a day of exposure to outdoor air that falls even in the moderate range on EPA's Air Quality Index (AQI), <sup>55</sup> FEV1 and FVC were compromised, compared to a day of exposure to AQI in the good range, even for subjects from the normal, healthy population.

# 4.3 | Limitations

We recruited only healthy participants for this study. Thus, we are unable to ascertain how these conditions could have impacted participants who have pulmonary issues—say, asthmatics or people with COPD. The reference condition had a  $\rm CO_2$  concentration of 900 ppm. It could be informative to have a reference condition closer to current atmospheric levels of  $\rm CO_2$  and examine any

differences in participant responses. In addition to the physiological measures we used, it would be pertinent to have some more, chief among them, heart rate variability (breathing cues from the central nervous system).

We had designed the experiment to understand how the future, elevated, atmospheric CO2 levels would affect building occupants. But one of our most concerning findings came for current buildings that are ill ventilated. It is not uncommon for people to spend a part of their life in badly ventilated buildings, like classrooms and even their own homes and bedrooms. 6,33 Thus, the impacts we saw of poor ventilation on lungs raise an immediate concern. It would emerge as a pressing concern that the impact of poor ventilation on respiratory health be studied in similar, future endeavors, with larger pools of participants. Investigations would also need to examine different levels of ventilation to determine a threshold where pulmonary functions start getting affected and a possible, dose-response relation. From the current work, it is indicated that any ventilation rate that leads to 1450 ppm or more of CO2 inside an occupied space-keeping in mind that the exposure is to CO<sub>2</sub> and other bioeffluents taken together-is concerning for health. Further follow-up studies would help us determine exactly how flexible ventilation requirements can be for buildings, without compromising occupant pulmonary functions.

### 5 | CONCLUSIONS

With a view of gaining a better understanding of how building ventilation must respond to rising atmospheric  $\mathrm{CO}_2$  levels, we examined in laboratory conditions how the respiratory system of 15 healthy persons is affected by spending two and half hours in a well-ventilated building (current atmosphere), a poorly ventilated building, with about half the ventilation of the well-ventilated building (current atmosphere), and a well-ventilated building in the future with the worst-case rise in atmospheric  $\mathrm{CO}_2$  levels.

We did not find any effect on end-tidal  $\mathrm{CO}_2$  (ETCO2) and respiration rate, either from increased pure  $\mathrm{CO}_2$  levels (scenario of a future building, increased atmospheric  $\mathrm{CO}_2$  levels) or from the combined effect of increased  $\mathrm{CO}_2$  and increased bioeffluents (current building, with poor ventilation). Poor ventilation, with human bioeffluents as the main source of pollution, impacted the forced vital capacity (FVC) parameters of the participants, breathing additional  $\mathrm{CO}_2$ , with the ventilation as per current regulations, did not. We saw that after spending two and a half hours in the chamber during the poor ventilation exposure, participants were demonstrating reductions in FVC test parameters that are seen as indicators of obstructive breathing.

The absence of impact on the measured respiratory parameters from added  $\mathrm{CO}_2$  to the breathing air indicates that with rising atmospheric  $\mathrm{CO}_2$  levels, as long as current ventilation guidelines are being followed, occupant respiration would not be impacted. Also, studies that find an impact on cognitive performance from elevated  $\mathrm{CO}_2$  would need to ascribe a physiological reason to it that does not likely stem from the respiratory system being affected.

MISHRA ET AL. On the other hand, the large negative impact of poor ventilation on FVC parameters reinforces the need for more studies focusing on the physiological impact of living in badly ventilated indoor environments. No effects as yet have been seen at these levels for other outcomes such as cognitive performance or physiological symptoms, indicating a careful monitoring of building ventilation is needed, irrespective of atmospheric CO2 levels. This finding is of immediate concern given that buildings with poor ventilation are not rare. **ACKNOWLEDGEMENT** This work was funded by the Republic of Singapore's National Research Foundation through the SinBerBEST program and was conducted in the SinBerBEST Testbed (http://sinberbest.berkeley.

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#### CONFLICT OF INTEREST

The authors have no conflict of interest to declare.

#### PEER REVIEW

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# SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section.

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