ICPSR 21402

Child Care Market Rate Survey Practices and Policies of States, Territories, and Tribes, 2005-2006

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Codebook for Market Rate Survey Data

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Survey of States, Territories, and Tribes Codebook – Quantitative Data

SAS Variable Name	Survey Instrument Number	Description	Value or Units	Comments
ID	RespondentID	9 digit identification number assigned by Survey Monkey	[XXXXXXXXX]	
Pin	PIN	OSU's tracking system – unique identification number	[eg., OR2]	
A1	A1	Jurisdiction (state, territory, or tribe) they represent	1=state 2=territory 3=tribe	
STT	State/Territory /Tribe	Name of state, territory, or tribe	[name]	
A1a	A1a	State or territory regulates child care providers who are located on an Indian reservation or in a tribal service area	0=no 1=yes, some non-exempt tribal providers 2=yes, all non-exempt tribal providers	
A2	A2	Legally binding statutes or administrative rules that affect MRS	0=no 1=yes	
A2a	A2a	Which of the following aspects of the market rate survey do these statutes or rules affect? A2a1 – how frequently market rate surveys are conducted A2a2 – the content of market rate surveys A2a3 – how the survey is done; the survey process A2a4 – who must be included in the survey sample A2a5 – the relationship of maximum payment rates to rate findings A2a6 – other aspects of the rate setting process	0=no 1=yes	A2b [open-ended] – list the number and titles of all statutes or administrative rules that affect or govern MRS
A3	A3	Date the most recent MRS was completed	[mm/dd/yyyy]	
A4a A4b A4c A4d A4e A4f A4g A4h A4i A4j	A4	Organization that had *primary* responsibility for actually conducting each of the following tasks: A4a – determined which providers/facilities to include A4b – created survey questions A4c – provided assistance to help providers complete survey A4d – collected rate data from providers/facilities A4e – entered rate data or inputted rate data into licensing or R&R database A4f – cleaned the rate data A4g – analyzed rate data A4h – interpreted findings A4i – wrote reports on findings A4j – disseminated results	1=state/territorial/tribal lead agency 2=other state/territorial/tribal agency 3=state resource and referral network 4=university contractors 5=research or survey firm contractors 6=other contractors 9=this task was not done in most recent MRS	A4a_agency, A4a_R&R, A4a_University, A4a_Firm, A4a_Other [open-ended] – Organizations names

SAS Variable Name	Survey Instrument Number	Description	Value or Units	Comments
A5	A5	Use of an advisory group to guide MRS	0=no 1=yes	
A5a	A5a	Type of advisory group	1=created specifically to guide MRS 2=an existing group for child care issues/policies 3=other	A5a.3_open [open-ended] - the specific group's purpose
A6.1 A6.2 A6.3	A6	Rating of the: A6.1 – accuracy of the rate findings A6.2 – cost-effectiveness of the MRS process A6.3 – ease of managing the MRS process	1=poor 2 3 4 5=excellent 9=don't know	A6a [open-ended] captures their concerns if they indicated 1 or 2
Lead_staff1 Lead_staff2 Lead_staff3 Lead_non Other_staff1 Other_staff2 Other_staff3 Other-non R&R University Firm Other Total$	A7	Cost estimate of MRS for the following: Lead_staff1 – Lead agency staff Lead_staff2 – Lead agency staff Lead_staff3 – Lead agency staff Lead_non – Lead agency non-personnel costs Other_staff1 – Other agency staff Other_staff2 – Other agency staff Other_staff3 – Other agency staff Other_non – Other agency non-personnel costs R&R – resource and referral contract University – university/college contract Firm – research or survey firm contract Other – other contract Total$ - total costs for most recent MRS	[dollar amount] 999999=unknown	
A8	A8	Funds invested in CCR&R services	0=no 1=yes	
A8a	A8a	Total amount of funds being invested in CCR&R services	[dollar amount] 999999=unknown	
A8b	A8b	CCR&R system have a person responsible for ensuring accurate and reliable data	0=no 1=yes 9=don't know	
A9.1 A9.2 A9.3	A9	Satisfaction with different aspects of subsidy program: A9.1 – adequacy of current rates paid for subsidized care A9.2 – proportion of eligible families served A9.3 – quality of child care for subsidized children	1=very dissatisfied 2 3 4 5=very satisfied 9=don't know	Note: This question will not be reported by state, territory, or tribe, but will be aggregated across jurisdictions.

SAS Variable Name	Survey Instrument Number	Description	Value or Units	Comments
B1a B1b B1c B1d	B1	Sources used to identify providers/facilities: B1a – database: regulated by child care **licensing** agency B1b – database: receiving child care **subsidies** B1c – database: enrolled with a **resource and referral** agency B1d – **other**	1=checked -1=not checked	B1d [open-ended] captures the database of the 'other' source
B2a.1-B2a.6 B2b.1-B2b.6 B2c.1-B2c.6 B2d.1-B2d.6	B2	For each source of data in B1, indicate your reasons for identifying providers from this database: 1 – most up-to-date 2 – most complete 3 – most accurate 4 – no cost or minimal cost 5 – required to use by statute or rule 6 – other	1=checked -1=not checked	Ba-d.6 [open-ended] captures 'other' reasons
B3a	B3a	Final dataset includes regulated providers/facilities (centers and family child care providers/facilities, including regulated after school program)	0=none 1=some 2=most 3=all 9=no answer	
B3a1	B3a1	Total number of regulated providers/facilities in final dataset	[number] 99999=don't know / no response	
B3a2	B3a2	Type of sample selected	1=total population 2=random sample 3=stratified random sample	B3a2open [open-ended] stratified by what characteristics?
B3b	B3b	Final dataset includes family child care providers/facilities who are legally exempt from regulation	0=no 1=yes 9=no answer	
B3b1	B3b1	Total number of family child care providers/facilities in final dataset	[number] 99999=don't know / no response	
B3b2	B3b2	Type of sample selected	1=total population 2=random sample 3=stratified random sample	B3b2open [open-ended] stratified by what characteristics?
B3c	B3c	Final dataset includes family, friends, or neighbors who are legally exempt from regulation	0=no 1=yes 9=no answer	B3c [open-ended] specify which ones (family, friends, neighbors)
B3c1	B3c1	Total number of family, friends, or neighbors in final dataset	[number] 99999=don't know / no response	
B3c2	B3c2	Type of sample selected	1=total population 2=random sample 3=stratified random sample	B3c2open [open-ended] stratified by what characteristics?

SAS Variable Name	Survey Instrument Number	Description	Value or Units	Comments
B3d	B3d	Final dataset includes centers that are legally exempt from regulation	0=no 1=yes 2=no centers are exempt from regulation 9=no answer	
B3d1	B3d1	Total number of centers in the final dataset	[number] 99999=don't know / no response	
B3d2	B3d2	Type of sample selected	1=total population 2=random sample 3=stratified random sample	B3d2open [open-ended] stratified by what characteristics?
B3e	B3e	Final dataset includes after-school education (non-regulated)	0=no 1=yes 9=no answer	
B3e1	B3e1	Total number of centers in the final dataset	[number] 99999=don't know / no response	
B3e2	B3e2	Type of sample selected	1=total population 2=random sample 3=stratified random sample	B3e2open [open-ended] stratified by what characteristics?
B3f	B3f	Final dataset includes providers located on military facilities	0=no 1=yes 9=no answer	
B3f1	B3f1	Total number of military providers/facilities in the final dataset	[number] 99999=don't know / no response	
B3f2	B3f2	Type of sample selected	1=total population 2=random sample 3=stratified random sample	B3f2open [open-ended] stratified by what characteristics?
B3g	B3g	Final dataset includes providers/facilities located on tribal reservations or in tribal service areas and <u>regulated by the state</u>	0=no 1=yes, some 2=yes, all 9=no answer	
B3g1	B3g1	Total number of providers/facilities of this type in the final dataset	[number] X=cannot be determined 99999=don't know / no response	
B3g2	B3g2	Type of sample selected	1=total population 2=random sample 3=stratified random sample	B3f2open [open-ended] stratified by what characteristics?

SAS Variable Name	Survey Instrument Number	Description	Value or Units	Comments
B3h	B3h	Final dataset includes providers/facilities located on tribal reservations or in tribal service areas and <u>regulated by the tribe</u>	0=no 1=yes, some 2=yes, all 9=no answer	
B3h1	B3h1	Total number of providers/facilities of this type in the final dataset	[number] X=cannot be determined 99999=don't know / no response	
B3h2	B3h2	Type of sample selected	1=total population 2=random sample 3=stratified random sample	B3h2open [open-ended] stratified by what characteristics?
C1	C1	Data collection method used	1=data collected by mail, telephone, or web-based survey 2=data collected by R&R and/or licensing	
C1a.1 C1a.2 C1a.3	C1a	Specific data collection method used C1a.1 – Mail C1a.2 – Phone C1a.3 - Web	1=checked -1=not checked	Follow-up question to C1 if answer is equal to 1
C1b	C1b	Specific data collection method used	1=Resource and Referral agency download 2=Licensing agency download 3=Other	Follow-up question to C1 if answer is equal to 2 C1bopen [open-ended] for other category
C2	C2	Survey was field-tested or piloted before used	0=no 1=yes	
C2a.1 C2a.2 C2a.3 C2a.4 C2a.5 C2a.6 C2a.7	C2a	Providers included in pilot: C2a.1 – regulated providers/facilities C2a.2 – family child care providers/facilities who are legally exempt C2a.3 – family, friends, or neighbors who are legally exempt C2a.4 – Centers that are legally exempt C2a.5 – After-school education C2a.6 – Providers located on tribal reservation or in tribal service areas and <u>regulated by the state</u> C2a.7 – Providers located on tribal reservation or in tribal service areas and <u>regulated by the tribe</u>	1=checked -1=not checked	
C2b	C2b	Total number of providers/facilities in the pilot sample	[number] 999 = no answer	
C3	C3	Other languages the survey (not the pilot) were available in.	0=no 1=yes	C3open [open-ended] what other languages?

SAS Variable Name	Survey Instrument Number	Description	Value or Units	Comments
C4a	C4a	Providers/facilities tried to reach	[number] 999999 = unknown	
C4b	C4b	Providers/facilities who were reached but refused to participate	[number] 999999 = unknown	
C4c	C4c	Providers dropped from the sample (e.g., they currently are not providing care, no valid telephone number, not charging for child care, etc.)	[number] 999999 = unknown	
C4d	C4d	Providers/facilities who completed the survey	[number] 999999 = unknown	
C5a C5b C5c C5d	C5	Strategies used to encourage providers/facilities to participate: C5a – financial incentives for respondents C5b – follow-up letters or calls to encourage response C5c – training/technical assistance on how to complete the survey C5d – other incentives	1=checked -1=not checked	C5aopen [open-ended] dollar amount of the financial incentive. C5dopen [open-ended] other incentives that were used
C6	C6	Month/day, and year data collection was completed	MM/DD/YYYY	
C7	C7	CCR&R or licensing agency update rate data	0=no 1=yes, updated rate information for some providers 2=yes, updated rate information from all providers	
C8	C8	Update conducted in a language other than English	0=no 1=yes	C8open [open-ended] what other languages?
C9a C9b C9c C9d C9e	C9	Strategies used to encourage providers/facilities to give up-to-date rate information: C9a – providing rate data is required to be included in R&R C9b – providing rate data is required as part of licensing/re-licensing C9c – financial incentives for respondents C9d – follow-up letters or calls to encourage response C9e – other incentives	1=checked -1=not checked	C9copen [open-ended] dollar amount of the financial incentive. C9eopen [open-ended] other incentives that were used
C10	C10	Providers in the entire database who do not report price data	[number]	
C11	C11	Standard for how recent the R&R or licensing data should be at the time of the download	0=no standard 1=rate data should be < 3 months old 2=rate data should be 4-6 months old 3=rate data should be 7-12 months old 4=other	C10open [open-ended] other standard that was set
C12	C12	Month/day, and year R&R or licensing agency data was downloaded	MM/DD/YYYY	

SAS Variable Name	Survey Instrument Number	Description	Value or Units	Comments
C13	C13	BEST estimate of the time needed for a single provider/facility to provide the requested information	1=< 5 minutes 2=6-10 minutes 3=11-15 minutes 4=16-20 minutes	5=21-25 minutes 6=26-30 minutes 7=31-60 minutes 8=over one hour 9=don't know
C14	C14	Statement that BEST describes how providers/facilities reported rate information	1=providers/facilities reported their rates into pre-determine modes provided on the survey (e.g., hourly, daily, weekly, monthly) 2=providers/facilities reported their actual rates in whatever mode they charge	
C14a	C14a	Providers/facilities asked to convert their rates to some standard mode such as to monthly or hourly rate	0=no 1=yes	
C15	C15	Reported rates checked for internal consistency (e.g., data checks to confirm that data was within reasonable range)	0=no 1=yes	C15open [open-ended] describe the procedures used to check for consistency of rate data

C16_hourly
C16_daily
C16_weekly
C16_monthly
C16_partday
C16_partweek
C16_hs
C16_prek
C16_mhs
C16_other
C16_IN
C16_TD
C16_PS
C16_SA
   C16_SASY
   C16_SAS
   C16_None
	C16	Rate information collected:
		By modes of pricing (hourly, daily, weekly, monthly)
		
		By schedule (part-day, part-week)
		
		From no-fee centers or programs (head start / early head start programs, state-funded pre-kindergarten programs, migrant head start, other no fee programs (please specify)
		
		By age categories (infant care, toddler care, preschool-age care, school-age).
			0=no
			1=yes
			
			Exception to the above values are for C16_SASY, C16_SAS and C16_None:
			1=checked
			-1=not checked
				C16_open [open-ended] other no fee programs
				
				Non-Stand [open-ended] definition of non standard hours if indicated with a 1.
				
				Note: School-age was further broken out to include school-age school-year, school-age summer, or none of the above

C16a_IN C16a_TD C16a_PS C16a_SA	C16a	Age categories parallel your state, territory, or tribes' regulatory age categories: infant, toddler, preschool, school-age	0=no 1=yes	
Non-St	Non-St	Rate information collected for non-standard hours care	0=no 1=yes	Non-Stand [open-ended] non-standard care is defined as?

SAS Variable Name	Survey Instrument Number	Description	Value or Units	Comments
C17	C17	Ask providers/facilities the number or percent of children in care who receive a subsidy	0=no 1=yes	
C18	C18	Providers/facilities with subsidized children excluded	0=no 1=yes 9=don't know	
C18a	C18a	Percent of subsidized children lead to exclusion of provider	[percent]	
C19a C19b C19c C19d C19e	C19	Types of provider/facility information collected or available in the database they used: C19a – registration fees C19b – transportation fees C19c – food fees C19d – activity fees C19e – other	1=checked -1=not checked	C19open [open-ended] specify other provider/facility information collected or available.
C20a C20b C20c C20d C20e C20f C20g C20h C20i C20j C20k C20l C20m C20n C20o C20p C20q C20r C20s C20t C20u C20v C20w	C20	Following types of provider/facility non-rate information collected or available in the database you used: **Provider information** C20a – licensed capacity for children C20b – licensed capacity for children by age group C20c – desired capacity of children C20d – desired capacity of children by age group C20e – actual current enrollment of children C20f – actual current enrollment of children by age group C20g - other **Provider/Caregiver Characteristics** C20h – home based provider education level C20i – home based provider training level C20j – home based provider wages C20k – home based provider benefit level C20l – center based provider education level C20m – center based provider training level C20n – center based provider wages C20o – center based provider benefit level C20p - other **Facility Characteristics** C20q – group size C20r – adult-child ratio C20s – accreditation status C20t – other sources of support for care C20u – for-profit/nonprofit status C20v – sponsorship C20w – other	1=checked -1=not checked	C20gopen [open-ended] specify other C20popen [open-ended] specify other C20wopen [open-ended] specify other

SAS Variable Name	Survey Instrument Number	Description	Value or Units	Comments
C20x	C20	Provider's actual cost of providing care including insurance, space and utility costs, etc.	0=no 1=yes 9=don't know	
D1a D1b D1c D1d D1e D1f D1g	D1	Types of providers/facilities excluded from the rate analysis: D1a – Head Start programs D1b – State-funded pre-kindergarten programs D1c – Migrant Head Start D1d – Providers/facilities serving only subsidized children D1e – Providers/facilities serving a high percent of subsidized kids D1f – part-day, part-week programs D1g – other types of providers/facilities	1=checked -1=not checked	D1e.1 [open-ended] what percent led to exclusion D1gopen [open-ended] specify other types of providers/facilities who were excluded
D2	D2	Unit of analysis	1=provider/facility 2=slot 3=both 9=don't know	
D2a.1 D2a.2 D2a.3 D2a.4 D2a.5 D2a.6 D2a.7	D2a	Used as a basis for weighting child care slots: D2a.1 – licensed capacity for children D2a.2 – licensed capacity for children by age group D2a.3 – desired capacity of children D2a.4 – desired capacity of children by age group D2a.5 – actual current enrollment of children served D2a.6 – actual current enrollment of children served by age group D2a.7 - other	1=checked -1=not checked	D2a.7open [open-ended] specify other weighting method for child care slots

D3_county
D3_zip
D3_region
D3_state
D3_other
D3_IN
D3_TD
D3_PS
D3_SA
   D3_SASY
   D3_SAS
   D3_None
D3_center
D3_family
D3_group
D3_inhome
D3_ffn
D3_sacenter
D3_saact
D3_hourly
D3_daily
D3_weekly
	D3	Ways rate data was analyzed:
		
		By geographic areas (county, zipcode, region, whole state territory or tribe, other)
		
		By age categories (infant care, toddler care, preschool-age care, school-age)
		
		By type of care (center, family, group home, in-home, family friends or neighbors, school-age centers, school-age enrichment activities)
		
		By modes of pricing (hourly, daily, weekly, monthly)
			0=no
			1=yes
			9=don't know
			
			Exception to the above values are for D3_SASY, D3_SAS and D3_None:
			
			1=checked
			-1=not checked
				D3_other [open-ended] other geographic areas
				
				Note: School-age was further broken out to include school-age school-year, school-age summer, or none of the above

SAS Variable Name	Survey Instrument Number	Description	Value or Units	Comments
D4a D4b D4c D4d D4e D4f D4g	D4	Separate analyses conducted to examine the following: D4a – part-day rates D4b – part-week rates D4c – rates for care of children with special needs D4d – rates in limited markets such as rural areas D4e – rate trends over time (e.g., last 3 years) D4f – rates by structural quality indicators D4g – other analyses	0=no 1=yes 9=don't know	D4a_open [open-ended] what hours define part-day? D4b_open [open-ended] How many days defined part-week? D4g_open [open-ended] what other analyses?
D5	D5	Rates reported by providers converted to some standard mode such as to a monthly or hourly rate	0=no 1=yes	D5a_open [open-ended] what standard mode was used? D5b_open [open-ended] what method or formula was used to convert the reported rates to the standard mode?
D6	D6	Estimated child care accessibility (the percent of market to which families with subsidies have access estimated)	0=no 1=yes	D6a_open [open-ended] how was child care accessibility calculated? D6b_open [open-ended] to approximately what percentile of the market do current rates provide access?
D7	D7	Methodological problems or issues encountered	0=no 1=yes	D7_open [open-ended] describe the methodological problems or issues
D8	D8	Methodology changed or revised from methodologies used in the previous market rate survey	0=no 1=yes	D8_open [open-ended] describe what changes or revisions were made to the market rate survey methods
D9	D9	Currently conducting a market rate survey	0=no 1=yes	
D9a	D9a	Using a different method than in most recent MRS	0=no 1=yes	D9a.1_open [open-ended] describe this method
D9a.2	D9a.2	Willing to complete the survey again for the market rate survey currently being conducted	0=no 1=yes	
D10	D10	Anticipate the method used in most recent MRS to be repeated in future surveys	0=no 1=yes	D10a_open [open-ended] if not, why not?

SAS Variable Name	Survey Instrument Number	Description	Value or Units	Comments
E1a E1b E1c E1d	E1	Results published or otherwise disseminated in any of the following ways: E1a – printed report E1b – web posting E1c – news release E1d - other	1=checked -1=not checked	E1b_open [open-ended] url of web posting E1d_open [open-ended] other way results were published or disseminated
E2a E2b E2c E2d E3e E2f	E2	Results shared with any of the following groups: E2a – legislators E2b – state child care resource & referral network E2c – local child care resource & referral agencies E2d – providers E2e - parents E2f - other	1=checked -1=not checked	E2f_open [open-ended] other groups the results were shared with
F1	F1	Date when the current maximum payment rates were set	MM/DD/YYYY	
F2	F2	Current rates adjusted based on the most recently completed MRS	0=no 1=no, not yet, but will be within the next several months 2=yes	
F2a	F2a	Following the most recently completed market rate survey, payment rates were or are likely to be:	1=kept the same 2=increased for all types of care and age categories 3=decreased for all types of care and age categories 4=increased for some types of care and age categories 5=decreased for some types of care and age categories	
F3	F3	Current payment rates set at or above the 75th percentile of rates	0=no 1=yes, for all categories 2=yes, for some categories	F3_open [open-ended] describe 'for some categories'
F4a F4b F4c F4d F4e F4f F4g F4h F4i	F4	Factors influential in setting payment rates: F4a – demand for subsidies F4b – overall state/territorial/tribal budget F4c – state/territorial/tribal funding for child care F5d – findings of recently completed market rate survey F5e – level of federal CCDF funding to state/territory/tribe F5f – provider concerns F5g – desire to invest in quality of child care initiatives F5h – state/territorial/tribal TANF policies F5i – desire to maximize the number of families with access to subsidies	0=no, not influential in setting rates 1=yes, influential in setting rates	

SAS Variable Name	Survey Instrument Number	Description	Value or Units	Comments
F4a.1 F4a.2 F4a.3	F4a	Three most influential factors: F4a.1 – first most important F4a.2 – second most important F4a.3 – third most important	[letters A-I (see F4)] 99=no answer	F5_open [open-ended] other significant factors, not listed in F4, that influenced setting current payment rates?

F6_county
F6_zip
F6_region
F6_state
F6_other
F6_IN
F6_TD
F6_PS
F6_SA
   F6_SASY
   F6_SAS
   F6_None
F6_center
F6_family
F6_group
F6_inhome
F6_ffn
F6_sacenter
F6_saact
F6_special
	F6	For which of the following categories were current payment rates set:
		By geographic areas (county, zipcode, region, whole state territory or tribe, other)
		
		By age categories (infant care, toddler care, preschool-age care, school-age)
		
		By type of care (center, family, group home, in-home, family friends or neighbors, school-age centers, school-age enrichment activities, special needs care)
			0=no
			1=yes
			9=don't know
			
			Exception to the above values are for D3_SASY, D3_SAS and D3_None:
			1=checked
			-1=not checked
				F6_other [open-ended] other geographic areas
				
				Note: School-age was further broken out to include school-age school-year, school-age summer, or none of the above

F6c1	F6c1	Payment rates set for Family, Friends, or Neighbors	0=no 1=yes	F6c2_open [open-ended] how were the payment rates established for family, friends, or neighbors?
F7a F7b F7c F7d F7e	F7	Types of fees paid to providers through the subsidy program: F7a – registration fees F7b – transportation fees F7c – food fees F7d – activity fees F7e – other	1=checked -1=not checked	F7e_open [open-ended] other type of fees paid to providers through the subsidy program?
F8	F8	Payment rates set for geographic areas with providers with low or no prices (e.g., rural and high-density low-income urban areas)	0=no 1=yes	F8a_open [open-ended] how were these rates established for geographic areas with low or no prices?