Child Care & Early Education RESEARCH CONNECTIONS

ICPSR 21402

Child Care Market Rate Survey Practices and Policies of States, Territories, and Tribes, 2005-2006

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Questionnaire for Market Rate Survey Data

ICPSR INTER-UNIVERSITY CONSORTIUM FOR POLITICAL AND SOCIAL RESEARCH

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Survey of States, Territories and Tribes

Section A. Administration/Organization of Market Rate Survey

This section should be completed by someone who is very familiar with the overall administration or organization of the most recently completed market rate survey. The most recently completed market rate survey is defined as the one whose findings have been made public.

				n (state, territory, or tribe) do you represent? ndicate state:	
	_ □	territo	ry, plea	ndicate state:se indicate territory:	
		tribe,	please	ndicate tribe:	[go to question A2]
	A		ervation No Yes,	state or territory regulate any child care providers who an or in a tribal service area? some non-exempt tribal providers are regulated by our state all non-exempt tribal providers are regulated by our state.	state or territory
		No [go Yes	e surve o to qu	on are there legally binding statutes or administrative ru y? estion A3]	·
		NO	YES		
				how frequently market rate surveys are conducted	
				the content of market rate surveys	
				how the survey is done; the survey process	
				who must be included in the survey sample	
				the relationship of maximum payment rates to rate find	dings
			I	other aspects of the rate setting process, please specify	:
			\		
			A2b.	If yes, please list the number and titles of all statutes or or govern the market rate survey in your jurisdiction	administrative rules that affect
	C	currently	condu	ost recent child care market rate survey completed in thi cting a market rate survey use the date of the most recerknown, enter 15)	

A4. By federal rule each state and territory is responsible for assuring a market rate survey has been done within two years of the completion of CCDF fund plans. Tribes may conduct their own survey or use their states. Some CCDF lead agencies actually conduct the market rate survey, other lead agencies delegate or contract out all or some aspects of the market rate survey. In reference to the most recently completed market rate survey, please indicate with an X the organization that had PRIMARY responsibility for actually conducting each of the following tasks.

	State/ Territorial/ Tribal Lead Agency	Other state/ territorial/ tribal agency	State Resource and Referral Network	Co	ntractors		This task was not done in most recent market rate survey
				University	Firm	Other	
Determined which providers/facilities to include							
Created survey questions							
Provided assistance to help providers complete survey							
Collected rate data from providers/facilities							
Entered rate data or inputted rate data into licensing or R&R database							
Cleaned the rate data (i.e., identified and corrected clearly erroneous data)							
Analyzed rate data							
Interpreted findings							
Wrote reports on findings							
Disseminated results							

Please list the NAME of each organization marked by an X in one of the ab	ove columns:			
Other state/territorial/tribal agency:				
State Resource and Referral Network:				
University contractor:				
Research or Survey Firm:				
Other contractor:				

A4a.

A5. Some lead agencies use advisory groups to guide their market rate survey process. Others do not. Did your lead agency use an advisory group to guide your most recently completed market rate survey?

	─ □ No [go to question A6]						
	□ Yes						
	↓						
	A5a. Was this advisory group: (check only	one)					
	☐ created specifically to guide the m	arket rate su	rvey				
	□ an existing group for child care is:		•				
		•					
	□ other, please specify the group's p	urpose					
	A6. As the person who is very familiar with the acmarket rate survey, how would you rate the:	lministration	/organiza	tion of th	e most red	cently comp	oleted
		EXCELI	ENT			DOOD	
		EACELI				POOR	Don't Know
	Accuracy of the rate findings	5	4	3	2	1	
	Accuracy of the rate findings Cost-effectiveness of the market rate survey process			3	2 2		Know
	Cost-effectiveness of the market rate survey	5	4			1	Know
	Cost-effectiveness of the market rate survey process	5	4	3	2	1	Know
	Cost-effectiveness of the market rate survey process	5	4	3	2	1	Know
	Cost-effectiveness of the market rate survey process	5	4	3	2	1	Know

A7	. All market rate surveys have costs. In this question, please estimate the cost of the most recently
	completed market rate survey in your jurisdiction (state, territory, tribe). (Note: Enter only digits not
	commas or periods)

Lead CCDF Agency personnel costs (include all salaries and fringe benefits)	
Staff 1	\$
Staff 2	\$
Staff 3	\$
Non-personnel costs (excluding contractor costs)	\$
Another state/territorial/tribal agency personnel costs (include all salaries and fringe benefits)	
Staff 1	\$
Staff 2	\$
Staff 3	\$
Non-personnel costs (excluding contractor costs)	\$
If contractors were used, what was the total contracted amount paid to:	
State Child Care Resource & Referral Network	\$
University/college	\$
Research or survey firm	\$
Other contractor	\$
TOTAL costs for most recently completed market rate survey	\$

*	rial, or tribal funds, including CCDF and TANF block grant fource & Referral services?	unds, being invested in
No [g	go to question A9]	
Yes		
\downarrow		
A8a.	What is the total annual amount of these funds invested in CCR&R services?	\$
A8b.	Does the CCR&R system have a person responsible for ensudata (e.g., they do staff training, data management, checking No Yes	•

A9. Market rate surveys are designed to inform child care subsidy programs. Please indicate how satisfied you are with each of the following different aspects of the subsidy program in your jurisdiction: (Note: This question will not be reported by state, territory, or tribe, but will be aggregated across jurisdictions). [NOTE: At the request of the states, data for this variable has been removed from the dataset]

	VERY SATIS	FIED		DISSAT	VERY ISFIED	Don't Know
The adequacy of current rates paid for subsidized care	5	4	3	2	1	
The proportion of eligible families served	5	4	3	2	1	
The quality of child care for subsidized children	5	4	3	2	1	

Section B. Provider Population and Sample

The following section should be completed by the person(s) most knowledgeable about actual sampling procedures in the most recently completed market rate survey. The most recently completed market rate survey is defined as the one whose findings have been made public.

B1.	What source(s) were used to identify providers/facilities to participate in the most recently completed market rate survey? (check all that apply)							
B2.	☐ database of providers/	or identifying providers fi	care <i>subsidies</i> child care <i>resource and re</i>					
	Licensing Database	Subsidy Database	R&R Database	Other Database				
	□ most up-to-date	□ most up-to-date	□ most up-to-date	□ most up-to-date				
	□ most complete	□ most complete	□ most complete	□ most complete				
	□ most accurate	□ most accurate	□ most accurate	□ most accurate				
	□ no cost or minimal cost	□ no cost or minimal cost	□ no cost or minimal cost	□ no cost or minimal cost				
	☐ required to use by statute or rule	☐ required to use by statute or rule	☐ required to use by statute or rule	☐ required to use by statute or rule				
	□ other, please specify:	□ other, please specify:	□ other, please specify:	□ other, please specify:				
В3а	a. Some jurisdictions identidataset of providers inclusively providers/facilities, inclusively providers/fac	fy providers from multiplude regulated providers/fuding regulated after schouestion B3b] otal number of regulated ample was selected? (che ilation	le databases in their final databases in the final d	y child care				

B3b. Does the f from regu	inal dataset of providers include family child care providers/facilities who are legally exempt llation?
	No [go to question B3c] Yes
	What was the total number of family child care providers/facilities in the final dataset? What type of sample was selected? (check only one response) total population random sample stratified random sample; stratified by what characteristics:
	inal dataset of providers include family, friends, or neighbors who are legally exempt from a? Please specify which ones (family, friends, neighbors): No [go to question B3d] Yes
	What was the total number of family, friends, or neighbors in the final dataset? What type of sample was selected? (check only one response) o total population o random sample o stratified random sample; stratified by what characteristics:
	No centers are exempt from regulation in jurisdiction [go to question B3e] No [go to question B3e] Yes What was the total number of centers in the final dataset? What type of sample was selected? (check only one response) o total population o random sample
	o stratified random sample; stratified by what characteristics:
	What was the total number of after-school education providers/facilities in the final dataset? _ What type of sample was selected? (check only one response) total population random sample stratified random sample; stratified by what characteristics:
B3f. Does the fi	nal dataset include providers located on military facilities? No [go to question B3g] Yes

	What was the total number of military providers/facilities in the final dataset? What type of sample was selected? (check only one response) o total population o random sample
	o stratified random sample; stratified by what characteristics:
service a	final dataset of providers include providers/facilities located on tribal reservations or in tribal reas and regulated by the state? No [go to question B3h] Yes, some Yes, all
B3g1.	What was the total number of providers/facilities of this type in the final dataset (enter X if the number cannot be determined)?
B3g2.	What type of sample was selected? (check only one response) o total population o random sample o stratified random sample; stratified by what characteristics:
service a	final dataset of providers include providers/facilities located on tribal reservations or in tribal reas and regulated by the tribe? No [go to question C1] Yes, some Yes, all
B3h1.	What was the total number of providers/facilities of this type in the final dataset (enter X if the number cannot be determined)?
B3h2.	What type of sample was selected? (check only one response) o total population o random sample o stratified random sample; stratified by what characteristics:

Section C. Data Collection

The following section should be completed by the person(s) most knowledgeable about actual data collection procedures in the most recently completed market rate survey. The most recently completed market rate survey is defined as the one whose findings have been made public.

by Resour	es/territories/tribes collect data from providers through surveys, while others use data collected ce and Referral or Licensing agencies. What type of data collection method was used for the atly completed market rate study?
II	ollected by mail, telephone, and/or web-based survey [go to question C1a] ollected by Resource and Referral and/or Licensing [go to question C1b]
Cla. Wh	at specific data collection method was used? (check all that apply) Mail survey of providers/facilities [go to question C2] Telephone survey of providers/facilities [go to question C2]
	Telephone survey of providers/facilities [go to question C2] Web-based survey of providers/facilities [go to question C2]
C1b. Wh	Licensing agency download [go to question C7]
	urvey field-tested or piloted before it was used? to question C3]
C2a.	If yes, which of the following provider types were included in the pilot? (check all that apply)
	Regulated providers/facilities (centers and family child care providers/facilities, including regulated after school programs)
	Family child care providers/facilities who are legally exempt from regulation
	Family, friends, or neighbors who are legally exempt from regulation
	Centers that are legally exempt from regulation
	After-school education (non-regulated)
	Providers located on tribal reservations or in tribal service areas and regulated by the state
	Providers located on tribal reservations or in tribal service areas and regulated by the tribe
C2b.	What was the TOTAL number of providers/facilities in the pilot sample?

in ans	wering the following questions, consider the most recently completed market rate survey; not the pilot
	as the survey available in any languages other than English? No
	Yes, what other languages?
	From the population of providers/facilities from all sources, how many providers/facilities did you try to reach in the most recently completed market rate survey?
C4b.	How many providers/facilities were reached but refused to participate?
	How many providers were dropped from the sample (e.g., they currently are not providing care, no valid telephone number, not charging for child care, etc.)
C4d.	How many providers/facilities completed the survey?
C5. W	financial incentives for respondents, if so how much: \$ follow-up letters or calls to encourage response training/technical assistance on how to complete the survey
	or the most recently completed market rate survey, in what month, day, and year was data collection ompleted? (If actual day is unknown, enter 15) [go to question C13]//(MM) (DD) (YEAR)
Skip f	From C1b start here
us ra —	some states, CCR&R and Licensing agencies call providers to update rate information specifically for the in the market rate survey. In your state, territory or tribe, did the CCR&R or licensing agency update the data for the most recently completed market rate survey? No [go to C10] Yes, updated rate information from some providers Yes, updated rate information from all providers
	as this update conducted in any languages other than English? No
	Yes, what other languages?
	Tere any of the following strategies used to encourage providers/facilities to give up-to-date rate information? (check all that apply) □ providing rate data is required to be included in the CCR&R database □ providing rate data is required as part of licensing or re-licensing □ financial incentives for providers, if so how much: \$ □ follow-up letters or calls to encourage response

0. Estimate the number of providers in the entire database who do not report price data?		
C11. What is your jurisdictions' standard for how recent the CCR&R or Licensing data should be at the time of the download?		
 □ No standard □ Rate data should be less than 3 months old at time of download □ Rate data should be 4- 6 months old at time of download □ Rate data should be 7-12 months old at time of download □ Other, please specify 		
C12. When (on what date) was CCR&R or licensing agency data downloaded for the most recently completed market rate survey? (If actual day is unknown, enter 15) //(MM) (DD) (YEAR)		
ALL Respondents		
C13. What is your BEST estimate of the time needed for a single provider/facility to provide the requested information?		
□ Less than 5 minutes □ 21-25 minutes □ 26-30 minutes □ 31-60 minutes □ 31-60 minutes □ 16-20 minutes □ over one hour □ don't know		
C14. Which of the following statements BEST describes how providers/facilities reported rate information for the most recently completed market rate survey?		
 □ Providers/facilities reported their rates into pre-determined modes provided on the survey (e.g., hourly, daily, weekly, monthly) [go to question C15] □ Providers/facilities reported their actual rates in whatever mode they charge 		
C14a. Were the providers/facilities themselves asked to convert their rates to some standard mode such as to monthly or hourly rate? □ No □ Yes		
C15. Were reported rates checked for internal consistency (e.g., data checks to confirm that data was within reasonable range)? □ No		
Yes, please describe the procedures used to check for consistency of rate data:		

NO YES By Modes of pricing hourly daily weekly monthly From No-fee centers or programs Head Start / Early Head Start programs State-funded pre-kindergarten programs Migrant Head Start Other no fee programs; please specify: For Non-standard hours care, defined as: By schedule Part-day Part-week By age categories Infant care Toddler care Preschool-age School-age If YES for school-age, were rate information collected by: (check all that apply) School-age school year School-age summer None of the above C16a. Do these age categories parallel your state, territory, or tribes' regulatory age categories? □ Yes □ No C17. In conducting market rate surveys, some states/territories/tribes ask providers/facilities about serving a high percentage of subsidized children. Did you ask providers/facilities the number or percent of children in care who receive a subsidy? - □ No [go to question C19] □ Yes

C16. In the most recently completed market rate survey, were rate information collected:

most recently completed market rate su Don't know [go to question C19] No [go to question C19] Yes	idized children excluded from your jurisdiction's rvey? hildren lead to exclusion of provider?%
C19. Some states/territories/tribes collect additional, fee recently completed market rate survey, which, if any, of t were collected or available in the database you used: <i>(che</i>	he following types of provider/facility information
Other price information	
Registration fees	
Transportation fees	
Food fees	
Activity fees	
Other, please specify	

C20. In the most recently completed market rate survey, which, if any, of the following types of provider/facility non-rate information were collected or available in the database you used: *(check all that apply)*

Provider information	
Licensed capcity for children	
Licensed capacity for children by age group	
Desired capacity of children	
Desired capacity of children by age group	
Actual current enrollment of children	
Actual current enrollment of children by age group	
Other, please specify:	
Provider/Caregiver Characteristics	
Home based provider education level	
Home based provider training level	
Home based provider wages	
Home based provider benefit level	
Center based provider education level	
Center based provider training level	
Center based provider wages	
Center based provider benefit level	
Other; please specify	
Facility Characteristics	
Group size	
Adult-child ratio	
Accreditation status	
Other sources of support for care	
For-profit/nonprofit status	
Sponsorship	
Other; please specify	
Provider's actual cost of providing care including insurance, space and utility costs, etc.	

Section D. Data Analysis

The following section should be completed by the person most knowledgeable about the analyses conducted in the most recently completed market rate survey. The most recently completed market rate survey is defined as the one whose findings have been made public.

D1. In conducting market rate surveys, some states/territories/tribes exclude some types of providers/facilities

	eir rate analysis. Which, if any, of the following types of providers/facilities were excluded from analysis in your jurisdiction's most recently completed market rate survey? <i>(check all that apply)</i>
	No-fee centers or programs
	Head Start programs
	State-funded pre-kindergarten programs
	Migrant Head Start
	Providers/facilities serving only subsidized children
	Providers/facilities serving a high percent of subsidized children. If yes, what percent lead to exclusion: %
	Part-day, part-week programs
	Other types of providers/facilities, please specify:
provid	r analysis of the most recently completed market rate survey, was your unit of analysis er/facility, slot, or both? Provider/facility [go to question D3] Slot [go to question D2a] Both [go to question D2a] Don't know [go to question D3]
D28	a. What was used as a basis for weighting child care slots in the most recently completed market rate survey? (check all that apply)
	 □ Licensed capacity for children □ Licensed capacity for children by age group □ Desired capacity of children □ Desired capacity of children by age group □ Actual current enrollment of children served □ Actual current enrollment of children served by age group □ Other, please specify:

which ways were rate data analyzed in your most recently completed market rate survey? NO YES Don't Know By geographic areas county zip code region (could include county clusters) whole state, territory, or tribal reservation/service area other, please specify: By age categories infant toddler preschool-age school-age If YES for school-age, were rate information collected by: (check all that apply) School-age school year School-age summer None of the above By Type of care center family child care home family child care group home in-home family, friends, or neighbors school-age centers school-age enrichment activities By Modes of pricing hourly daily weekly monthly

D3. Below are several ways in which market rate survey data can be analyzed for reporting purposes. In

Γ		ost recently completed market rate survey, were separate analyses conducted to examine any of ing? (check all that apply)
		Part-day rates; what hours defined part-day?
		Part-week rates; how many days defined part-week?
		Rates for care of children with special needs
		Rates in limited markets such as rural areas
		Rate trends over time (e.g., last 3 years)
		Rates by structural quality indicators such as group size, adult-child ratio, education and training level, turnover rate, compensation, or accreditation
		Other analyses, please specify:
	Yes D5a.	What standard mode was used? What method or formula was used to convert the reported rates to the standard mode?
	(the perc	risdiction's most recently completed market rate survey, was child care accessibility estimated? ent of market to which families with subsidies have access estimated) to question D7]
	D6a. H D6b. 7	Now was child care accessibility calculated? To approximately what percentile of the market do current rates provide access?
↓ □	survey?	methodological problems or issues encountered in the most recently completed market rate
	\square Yes, p	lease describe:
Е	methodolo	ost recently completed market rate survey, was the methodology changed or revised from gies used in the previous market rate survey? to question D9]
	☐ Yes, p	lease describe what changes or revisions were made to the market rate survey methods:

D9. Are you current	ly conducting a market rate survey?
□ No [go to qu	estion D10]
□ Yes	
D9a. Are yo	ou using a different method than in your most recently completed market rate survey?
	o [go to question E1]
	es es
D9	Pa1. Please describe this method:
	Pa2. Would you be willing to complete this survey again for the market rate survey you are currently conducting? ☐ No [go to question E1] ☐ Yes [go to question E1]
D10. Do you anticiprepeated in fut	pate that the method used in the most recently completed market rate survey will be
Yes [go to q	•
1	uestion E1]
D10a. If no,	why not?
21011 110,	

Section E. Dissemination

	results of the most recently completed market rate survey published or otherwise disseminated he following ways? <i>(check all that apply)</i>			
	Printed report			
	Web posting; URL			
□ News release				
☐ Other, please specify:				
E2. Were the market rate survey results shared with any of the following groups: <i>(check all that apply)</i> Legislators				
	State child care resource & referral network			
	Local child care resource & referral agencies			
	Providers			
	Parents			
	Others, please specify:			

Section F. Rate Setting

The following section should be completed by the person most knowledgeable about methods for setting payment rates.

F1. When were the current maximum payment rates set? (If actual day is know known, enter 15) (MM) (DD) (YEAR)			
F2. Were these current rates adjusted based on the most recently completed market rate survey? (Note: The most recently completed market rate survey is defined as the one whose findings have been made public.)			
☐ No, paym	ent rates were not et, but will be with	adjusted as a result of the most recent market rate survey [go to F3] in the next several months [go to question F3]	
be:		ntly completed market rate survey, payment rates were or are likely to	
	ept the same	es of care and age categories	
		bes of care and age categories	
\Box in	creased for some t	types of care and age categories	
\Box de	ecreased for some	types of care and age categories	
recently completed No Yes, for a	d survey?	ent rates set at or above the 75 th percentile of rates based on the most ease describe:	
	influence rate seting payment rates?	ting. In your jurisdiction which of the following factors were	
No, not influential in setting current rates	Yes, influential in setting current rates		
		A. Demand for subsidies	
		B. Overall state/territorial/tribal budget	
		C. State/Territorial/Tribal funding for child care	
		D. Findings of recently completed market rate survey	
		E. Level of federal CCDF funding to state/territory/tribe	
		F. Provider concerns	
		G. Desire to invest in quality of child care initiatives	
		H. State/territorial/tribal TANF policies	

I. Desire to maximize the number of families with access to subsidies

		st influ First Seco	ential fac most imp nd most	d "Yes, influential in setting current rates" above, which three factors are the etors? portant (enter letter from above): important (enter letter from above): portant (enter letter from above):
F5.	Were then	re other	-	ant factors, not listed above, that influenced setting current payment rates?
F6.	For which	of the		g categories were current payment rates set?
	NO	YES	Don't Know	
				By geographic areas
				county
				zip code
				region (could include county clusters)
				whole state, territory, or tribal reservation service area
				other, please specify:
				By age categories
				infant
				toddler
				preschool-age
				school-age If YES for school-age, were rate information collected by: (check all that apply) School-age school year School-age summer None of the above
				By type of care
				center
				family child care home
				family child care group home
				in-home
				school-age centers
				school-age enrichment activities
				Special needs care

	ere payment rates set for family, friends, or neighbors?
	No [go to question F7]
	Yes
	F6c2. How were the payment rates established for family, friends, and neighbors?
	roc2. How were the payment rates established for family, menus, and neighbors?
> Da 131 : 1 : 0	
F'/. Which, if all that ap	any, of the following types of fees were paid to providers through the subsidy program? (check
an mai ap	ppiy)
	Registration fees
	Transportation fees
	Food fees
	Activity fees
	Other, please specify:
EO W	
	ment rates set for geographic areas with providers with low or no prices (e.g., rural and high-ow-income urban areas)?
□ Yes	
⊢ F8a. I	How were these rates established for geographic areas with low or no prices?

Thank you for your participation in this survey!