

Prosodic Prominence and Intervention Effects: An Experimental Study

Sukchan Lee

Department of Linguistics, Seoul National University



Introduction: What is an intervention effect?

- Intervention effect refers to a degradation of perceived naturalness in sentences containing a wh-in-situ and a focused element.
 - * ?* amwuto mwel an mek-ess-ni? anyone what-ACC NEG eat-PST-Q 'What did no one eat?'
- 'What did no one eat?' (Korean)

 Intervention effects are cross-linguistically well attested (Beck 2006).
 - * Wer hat niemanden wo angetroffen? who has nobody-ACC where met 'Who didn't meet anybody where?' (German)
 - * Lili-maatram eete pustakam-aane waayikk-ate?
 Lili-only which book-be read-NOM
 'Which book did only Lili read?' (Malayalam)
- A key characteristic of intervention effect is that it is alleviated by fronting of the wh-phrase across the intervener (compare with *).
 - mwel amwuto an mek-ess-ni? what-ACC anyone NEG eat-PST-Q 'What did no one eat?'
- This has led researchers to conclude that intervention effect arises when an intervener c-commands an interrogative in-situ wh-phrase.
 - ?* [Q ... [intervener [YP ... wh-phrase ...]]]

Previous Analyses

- Intervention effect has traditionally been analyzed as a violation of grammatical constraints on the well-formedness of wh-questions.
- Kim (2005) and Beck (2006) develop a Roothean account of intervention effects by appealing to the semantic notion of focus.
 - In a nutshell, intervention effects are ultimately reduced to failure in semantic composition.
- However, grammatical judgments on intervention effects are notoriously subtle, and variability among speakers is vast (Tomioka 2007).
- Tomioka (2007) and Hamlaoui (2010) instead propose a pragmatic account by appealing to the information-theoretic notion of focus.
 - Intervention effects are derived from less than perfect correspondence between syntactic structure and information structure.
- An immediate prediction of the pragmatic approach is that intervention effects will be subject to prosodic and contextual factors.
- Kitagawa et al. (2013) investigates the effect of prosody on intervention effects in Japanese. Experimental results showed that the addition of prosody improved the acceptability of IE-sentences.

Experiment Design

Audio Stimuli

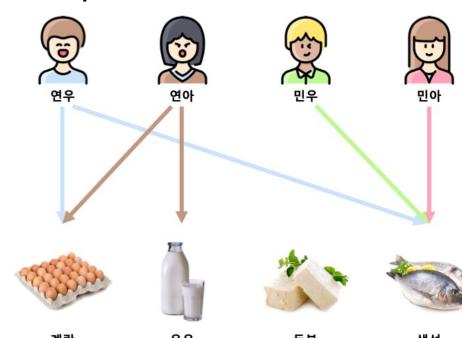
- Participants were given wh-questions with NPI interveners *amwuto* 'anyone' and *pakkey* 'else' and were asked to rate their naturalness.
- A confounding factor was found in the makeup of the stimuli. Korean wh-phrases are ambiguous between an interrogative and an indefinite reading, which is disambiguated by prosody (Yun 2019).
 - * amwuto mwel an mek-ess-ni?
 anyone what-ACC NEG eat-PST-Q
 Reading 1: 'What did no one eat?' (interrogative)
 Reading 2: 'Did no one eat anything?' (indefinite)
- Yun (2019) shows that it is post-wh dephrasing that disambiguates,
 while wh-pitch increases the possibility of a wide scope reading.
- A male native speaker of Korean recorded the stimuli set under an indefinite reading, from which audio manipulation was conducted.

Wh-pitch	Sentence-final pitch	Note
low	high	Base Recording
high	high	
low	low	
high	low	Pitch contour of wh-questions

- I hypothesize that sentence-final pitch but not wh-pitch contributes to disambiguation. The latter instead contributes to focal prominence.
- Grammatical and ungrammatical fillers were also included to measure participants' baseline judgments.

Procedure

- Participants listened to an audio recording of a question (ambiguous b/w wh and polar) and rated its naturalness in a 7-point Likert scale.
- They were then asked to respond to the question with regard to the image presented on screen, which displayed four individuals, four items, and randomly assigned relationship between them.
- A sample image used in the experiment is shown on the right. Given * as an audio stimuli, the correct answer under a wh-question interpretation would be "tofu". Under a polar question interpretation, the correct answer would be "Yes".
- Target stimuli and fillers were presented in random order.

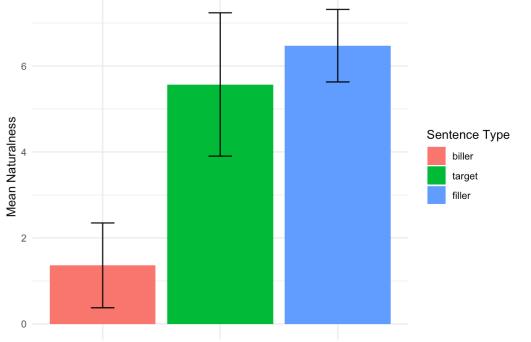


Participants

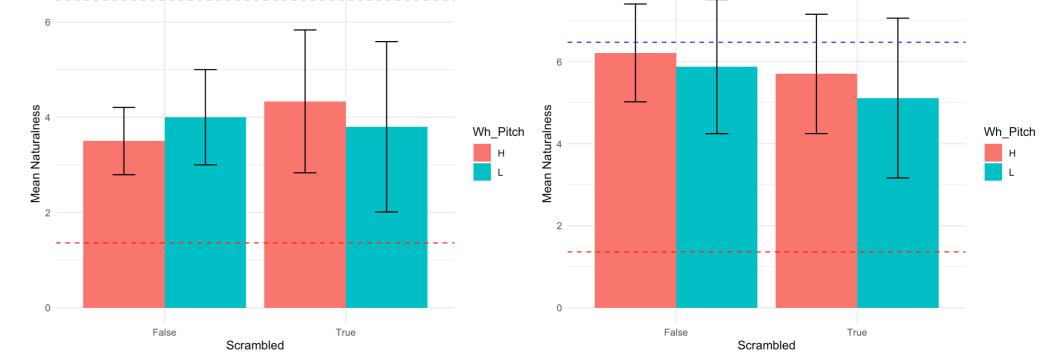
• 9 native speakers of Korean were recruited (5 male, 4 female).

Results & Analysis

- Corroborating previous findings, sentence-final pitch but not whpitch contributed to the disambiguation of wh-phrases. A logistic mixed effects model confirmed that sentence-final pitch is a significant predictor of interpretation (p < .05) while wh-pitch is not (p = .517).
- The mean naturalness rating of target stimuli was 5.57, lying in between ungrammatical (1.36) and grammatical (6.47) fillers.
- This supports the pragmatic approach to intervention effects, as IE-sentences turn out to be only marginally worse than grammatical fillers.



 However, no significant effect of wh-pitch or word order was found in the naturalness ratings of target stimuli, under both wh-question interpretation (left) and polar-question interpretation (right).



- The somewhat inconclusive result may be attributed to the limited scope of the experiment or potential flaws in the experiment design.
- Nevertheless, the result is in line with Kitagawa et al. (2013) in that it suggests that intervention effects be studied from a new perspective.

Acknowledgment & Links

I would like to thank Sunwoo Jeong for helpful discussions and comments on this work. The experiment carried out in this project is designed using SNUjsPsych, developed and managed by Dr. Daniel Plesniak. I thank him for his technical help.





References

Beck, S. (2006). Intervention effects follow from focus interpretation. Natural Language Semantics, 14(1):1–56. | Hamlaoui, F. (2010). Anti-givenness, prosodic structure and "intervention effects". The Linguistic Review, 27(3):347–364. | Kim, S.-S. (2005). Focus intervention effects in questions. Theoretical East Asian Languages, 3. | Kitagawa, Y., Tamaoka, K., and Tomioka, S. (2013). Prosodic matters in intervention effects in Japanese: An experimental study. Lingua, 124:41–63. | Tomioka, S. (2007). Pragmatics of LF intervention effects: Japanese and Korean wh-interrogatives. Journal of pragmatics, 39(9):1570–1590. | Yun, J. (2019). Meaning and prosody of whindeterminates in Korean. Linguistic Inquiry, 50(3):630–647.