

# U4- Backtracking

↙ recursive approach

- refinement of brute force method.
- limits search by eliminating candidates → don't satisfy certain conditions
- set of choice & don't know which leads to correct choice.
- each choice → partial sol<sup>n</sup> → sol<sup>n</sup> → DFs order.
- partial sol<sup>n</sup> → not satisfied → on constraints → no further.
- processing → state space tree.

## Constraints

↙ explicit

↓

- restrict each element  $x_i$  has to be chosen from given set only.
- depend on instant  $I$  of problem
- all tuples sol<sup>n</sup> → satisfy explicit constraints

↘ implicit

- rules that decide which tuples in sol<sup>n</sup> space of  $I$  satisfy criteria func<sup>n</sup>
- $x_i \rightarrow$  sol<sup>n</sup> set → related to each other.

## Appl<sup>n</sup>

- 8 Queen's Problem
- Sub of subset problem
- Graph Coloring Problem
- Finding Hamiltonian cycle
- Knapsack

## \* Control Abstraction

→ flow of how it solves given problem.  
 →  $n$ -tuple.

$$x = (x_1, x_2, \dots, x_n) \rightarrow x_i = 0 \text{ or } x_i = 1$$

$x_i \rightarrow$  chosen from a finite set of components  $S_i$ .

$x_i \rightarrow$  selected then set is as 1 or else as 0.

Backtracking tries to find a vector  $x$  that maximizes or minimizes criterion  $fuc^n p(x_1, x_2, x_3, \dots, x_n)$ .

Complexity  $\rightarrow O(P(n) n!)$

time to execute

first 3 steps can be computed in polynomial time.

time to execute 4th step

## \* Time Analysis

Four parameters  $\rightarrow$  1) time to compute tuple  $x[k]$

2) no. of  $a[k]$  elements which satisfy explicit constraints

3) time required by binding function  $B_k$  to generate feasible sequence.

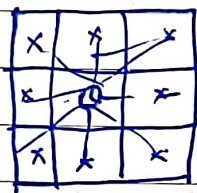
4) no. of elements  $a[k] \rightarrow$  satisfy  $\rightarrow$  binding function  $B_k \rightarrow$  values of  $k$ .



## \* 8 Queen Problem -

Given  $8 \times 8$  chess board, arrange 8 queens in such a way that no two queens attack each other

Two queens attack  $\rightarrow$  same row, column or diagonal.



Queens Attack

8 queens problem  $\rightarrow$  92 solutions out of 12 sol<sup>n</sup> that are fundamental sol<sup>n</sup>

80 sol<sup>n</sup>  $\rightarrow$  rotating or reflecting 12 sol<sup>n</sup>

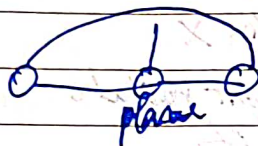
- special case of  $n$  queens problem
- $N$ -Queens  $\rightarrow N=3$

continue placing queens eith row by row or col by col  
if check if bounding func<sup>n</sup> is met-

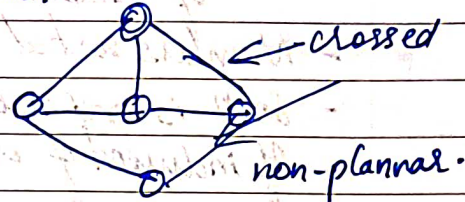
- If met  $\rightarrow$  we continue exploring otherwise cutoff

## \* Graph Coloring Problem -

graph  $\rightarrow$  planar  $\rightarrow$  drawn on 2D plane with no two edges crossing each other.

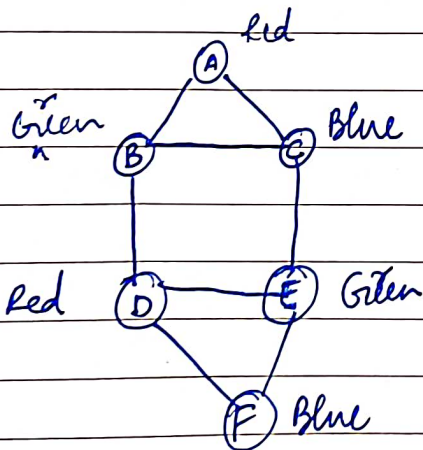


planar



non-planar.

- for only planar graphs
- coloring vertices  $\rightarrow$  graph  $\rightarrow$ 
  - no two graphs have same color.
  - no adjacent vertex has same color.
  - yet  $m$ - colors used.
- $m$ - coloring problem.
- least no. of colors  $\rightarrow$  color graph  $\rightarrow$  chromatic numbers.
- Is a decision as well as optimization problem.



Complexity

$\rightarrow$  no. of nodes increase exponentially at every level in state space tree

with  $M$  colors &  $n$  vertices

$$T(N) = 1 + M + M^2 + \dots + M^n$$

$$= \frac{M^{n+1} - 1}{M - 1}$$

$$T(N) = O(M^{n+1})$$





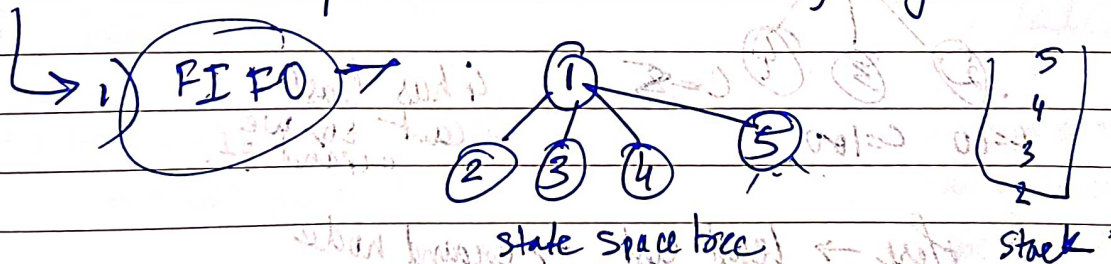
## Branch & Bound

- backtracking used for decision problems.
- optimisation problems.
- build state space tree → optimal solution by pruning branches of tree which don't satisfy bound.
- slower than dynamic programming.
- where greedy or dynamic program fail.
- e-nodes are put in queue is called FIFO branch & bound where if put in stack it is called LIFO branch & bound.
- heuristic maximize probability of better search or min. prob. of worst case.

## Time analysis

- BnB → combinatorial problems.
- exponentially increasing time complexity.

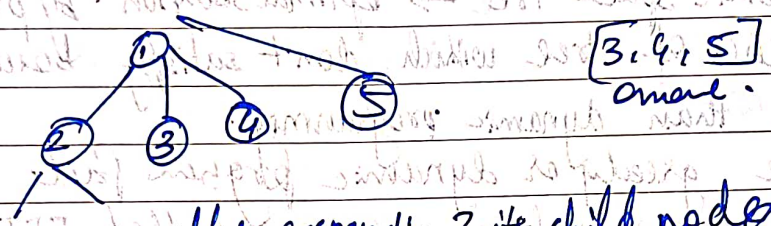
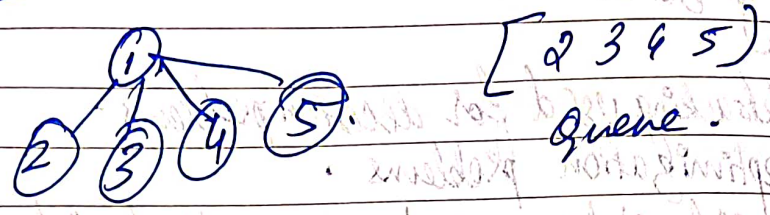
Node to be explored is chosen in many ways.



→ topmost node we will explore first.  
top of stack → e node.



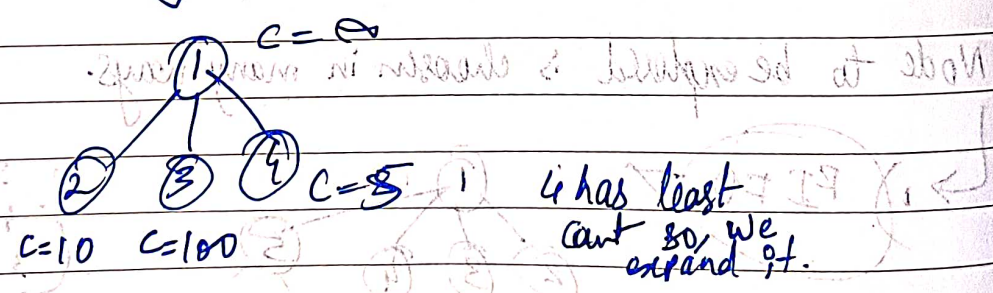
2) LIFO -



after expanding 2, its child nodes will go at basic of queue 3 will expand

3) Least Cost Branch & Bound (LC) -

cost func<sup>n</sup> → calculate heuristics of finding an optimal & prune out unoptimal or unnecessary branch or parts



here → least cost → expand node

w/o stored/queue

## \* Knapsack using Branch & Bound -

- calculate ~~heuristic~~
- work minimization problem where D// Knapsack is a max p. problem.
- To counter  $\rightarrow$  choose negative upper bound & cost.
- upper bound  $\rightarrow$  min cost that node can have.  
if live node exceed upper bound  $\rightarrow$  kill node.  
 $\hookrightarrow$  don't explore any more.

Upper bound  $\rightarrow U = \sum_{i=1}^n p_i x_i$

Cost func<sup>n</sup>  $\rightarrow \sum_{i=1}^n p_i x_i$  (with fraction)

### Backtracking

- sol<sup>n</sup>  $\rightarrow$  traced using DFS
- decision problem solved
- bad choices possible.
- state space tree is searched till sol<sup>n</sup> obtained.
- Appl<sup>n</sup>  $\rightarrow$  M-coloring, 8-queens

### Branch & Bound

- sol<sup>n</sup>  $\rightarrow$  may not be DB may use BFS.
- optimization problems.
- No bad choices
- all tree searched as optimum sol<sup>n</sup> can be anywhere
- Appl<sup>n</sup>  $\rightarrow$  Job Scheduling, TSP.