

# Report 2

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## 1 Water-wave problem on the whole line: nonlocal formulation

Recall the full water-wave problem on a whole line:

$$\phi_{xx} + \phi_{zz} = 0 \quad -h < z < \eta(x, t) \quad (1a)$$

$$\phi_z = 0 \quad z = -h \quad (1b)$$

$$\eta_t + \phi_x \eta_x = \phi_z \quad z = \eta(x, t) \quad (1c)$$

$$\phi_t + g\eta + \frac{1}{2}(\phi_x^2 + \phi_z^2) = 0 \quad z = \eta(x, t) \quad (1d)$$

where we set the surface tension to be zero. Consider the velocity potential evaluated at the surface:

$$q(x) = \phi(x, \eta(x)).$$

Combining (1c) and (1d), we obtain

$$q_t + \frac{1}{2}q_x^2 + g\eta - \frac{1}{2} \frac{(\eta_t + q_x \eta_x)^2}{1 + \eta_x^2} = 0, \quad (2)$$

which is an equation for two unknowns  $q, \eta$ . Now, we introduce an operator that maps the normal derivative at a surface  $\eta$  to the tangential derivative at the surface:

$$\mathcal{H}(\eta, D)\{\nabla\phi \cdot \vec{N}\} = \nabla\phi \cdot \vec{T}, \quad (3)$$

where  $D = -i\nabla$ . For convenience, we drop the vector notation. Note that

$$\nabla\phi \cdot N = \begin{bmatrix} \phi_x \\ \phi_z \end{bmatrix} \cdot \begin{bmatrix} -\eta_x \\ 1 \end{bmatrix} = \phi_z - \phi_x \eta_x = \eta_t$$

and

$$\nabla\phi \cdot T = \begin{bmatrix} \phi_x \\ \phi_z \end{bmatrix} \cdot \begin{bmatrix} 1 \\ \eta_x \end{bmatrix} = \phi_x + \eta_x \phi_z = q_x.$$

This allows us to rewrite (3) as

$$\mathcal{H}(\eta, D)\{\eta_t\} = q_x. \quad (4)$$

Looking at the system

$$\begin{aligned} q_t + \frac{1}{2}q_x^2 + g\eta - \frac{1}{2}\frac{(\eta_t + q_x\eta_x)^2}{1 + \eta_x^2} &= 0, \\ \mathcal{H}(\eta, D)\{\eta_t\} &= q_x, \end{aligned}$$

we recognise that we can rewrite the full water-wave problem in terms of the  $\mathcal{H}$  operator. This is done by differentiating (2) with respect to  $x$  and (4) with respect to  $t$ :

$$\partial_t(q_x) + \partial_x\left(\frac{1}{2}q_x^2 + g\eta - \frac{1}{2}\frac{(\eta_t + q_x\eta_x)^2}{1 + \eta_x^2}\right) = 0, \quad (5)$$

$$\partial_t(\mathcal{H}(\eta, D)\{\eta_t\}) = q_{xt}. \quad (6)$$

Substituting (6) into (5), we obtain

$$\partial_t(\mathcal{H}(\eta, D)\{\eta_t\}) + \partial_x\left(\frac{1}{2}(\mathcal{H}(\eta, D)\{\eta_t\})^2 + \varepsilon\eta - \frac{1}{2}\frac{(\eta_t + \eta_x\mathcal{H}(\eta, D)\{\eta_t\})^2}{1 + \eta_x^2}\right) = 0. \quad (7)$$

The utility of (7) depends on whether we can find a useful representation for the operator  $\mathcal{H}(\eta, D)$ . In the next section, we describe an equation that the  $\mathcal{H}$  operator must satisfy.

### 1.0.1 Behaviour of the $\mathcal{H}$ operator: the whole line.

Consider the following boundary value problem:

$$\phi_{xx} + \phi_{zz} = 0 \quad -h < z < \eta(x, t) \quad (8a)$$

$$\phi_z = 0 \quad z = -h \quad (8b)$$

$$\nabla\phi \cdot N = f(x) \quad z = \eta(x, t) \quad (8c)$$

Let  $\varphi$  be harmonic, so that

$$\varphi_{xx} + \varphi_{zz} = 0.$$

Clearly,  $\varphi_z$  is harmonic, so we have

$$\varphi_z(\phi_{xx} + \phi_{zz}) - \phi((\varphi_z)_{zz} + (\varphi_z)_{xx}) = 0.$$

Taking the integral over the domain yields

$$\int_{-\infty}^{\infty} \int_{-h}^{\eta(x)} \varphi_z(\phi_{xx} + \phi_{zz}) - \phi((\varphi_z)_{zz} + (\varphi_z)_{xx}) \, dz \, dx = 0.$$

An application of Green's theorem yields

$$\int_D \varphi_z(\nabla\phi \cdot N) - \phi(\nabla\varphi_z \cdot N) \, ds = 0,$$

where  $D$  is the boundary of the domain,  $ds$  is the area element. Now, observe the following:

$$\begin{aligned} -\nabla\varphi_z \cdot N &= -\begin{pmatrix} \varphi_{zx} \\ \varphi_{zz} \end{pmatrix} \cdot \begin{pmatrix} -\frac{dz}{ds} \\ \frac{dx}{ds} \end{pmatrix} = -\begin{pmatrix} \varphi_{zx} \\ -\varphi_{xx} \end{pmatrix} \cdot \begin{pmatrix} -\frac{dz}{ds} \\ \frac{dx}{ds} \end{pmatrix} \\ &= \begin{pmatrix} \varphi_{zx} \\ \varphi_{xx} \end{pmatrix} \cdot \begin{pmatrix} \frac{dz}{ds} \\ \frac{dx}{ds} \end{pmatrix} \end{aligned}$$

$$\begin{aligned}
&= \begin{pmatrix} \varphi_{xx} \\ \varphi_{xz} \end{pmatrix} \cdot \begin{pmatrix} \frac{dx}{ds} \\ \frac{dz}{ds} \end{pmatrix} \\
&= \nabla \varphi_x \cdot T,
\end{aligned}$$

from which we rewrite the integral equation:

$$0 = \int_D \varphi_z (\nabla \phi \cdot N) + \phi (\nabla \varphi_z \cdot T) ds.$$

Applying the dot product, we obtain a contour integral:

$$\int_D \varphi_z (\phi_z dx - \phi_x dz) + \phi (\varphi_{xx} dx + \varphi_{xz} dz) = 0. \quad (9)$$

We split the contour into four segments:

$$\begin{aligned}
\int_D &= \int_{-\infty}^{\infty} \Big|_{z=-h}^{z=-h} + \int_{-h}^{\eta(x)} \Big|_{x \rightarrow \infty}^{x \rightarrow \infty} + \int_{\infty}^{-\infty} \Big|_{\eta(x)}^{\eta(x)} + \int_{\eta(x)}^{-h} \Big|_{x \rightarrow -\infty}^{x \rightarrow -\infty} \\
&= \int_{-\infty}^{\infty} \Big|_{z=-h}^{z=-h} + \int_{-h}^{\eta(x)} \Big|_{x \rightarrow \infty}^{x \rightarrow \infty} - \int_{-\infty}^{\infty} \Big|_{\eta(x)}^{\eta(x)} - \int_{-h}^{\eta(x)} \Big|_{x \rightarrow -\infty}^{x \rightarrow -\infty}.
\end{aligned}$$

Consider each segment:

- At  $|x| \rightarrow \infty$ , we know that  $\phi$  and its gradient vanish, so the integral also vanishes on these segments.
- At  $z = -h$ ,  $dz = 0$ , so we have

$$\begin{aligned}
\int_{-\infty}^{\infty} \varphi_z (\phi_z dx - \phi_x dz) + \phi (\varphi_{xx} dx + \varphi_{xz} dz) &= \int_{-\infty}^{\infty} \varphi_z \phi_z + \phi \varphi_{xx} dx \\
&= \int_{-\infty}^{\infty} \phi \varphi_{xx} dx \quad (\text{since } \phi_z = 0 \text{ at } z = -h) \\
&= \phi(x, -h) \varphi_x(x, -h) \Big|_{-\infty}^{\infty} - \int_{-\infty}^{\infty} \phi_x(x, -h) \varphi_x(x, -h) dx \\
&= 0.
\end{aligned}$$

where we pick  $\varphi$  such that  $\varphi_x(x, -h) = 0$ .

- At  $z = \eta$ ,  $dz = \eta_x dx$ , so we have

$$\begin{aligned}
\int_{-\infty}^{\infty} \varphi_z (\phi_z - \phi_x \varepsilon \phi_x \eta_x) + \phi (\varphi_{xx} + \varphi_{xz} \eta_x) dx &= \int_{-\infty}^{\infty} \varphi_z \left( \begin{pmatrix} \phi_x \\ \phi_z \end{pmatrix} \cdot \begin{pmatrix} -\eta_x \\ 1 \end{pmatrix} + \phi \frac{d\varphi_x(x, \eta)}{dx} \right) dx \\
&= \int_{-\infty}^{\infty} \varphi_z \nabla \phi \cdot N + \phi \frac{d\varphi_x(x, \eta)}{dx} dx \\
&= \int_{-\infty}^{\infty} \varphi_z \nabla \phi \cdot N - \varphi_x \frac{d\phi(x, \eta)}{dx} dx \\
&= \int_{-\infty}^{\infty} \varphi_z \nabla \phi \cdot N - \varphi_x \begin{pmatrix} \phi_x \\ \phi_z \end{pmatrix} \cdot \begin{pmatrix} 1 \\ \phi_x \eta_x \end{pmatrix} dx \\
&= \int_{-\infty}^{\infty} \varphi_z \nabla \phi \cdot N - \varphi_x \nabla \phi \cdot T dx \\
&= \int_{-\infty}^{\infty} \varphi_z f(x) - \varphi_x(x, \eta) \mathcal{H}(\eta, D) \{f(x)\} dx.
\end{aligned}$$

Combining segments, we obtain

$$\int_{-\infty}^{\infty} \varphi_z f(x) - \varphi_x(x, \eta) \mathcal{H}(\eta, D) \{f(x)\} dx = 0.$$

Note that we could choose  $\varphi(x, z) = e^{-ikx} \sinh(k(z + h))$ , so that the integral becomes:

$$\int_{-\infty}^{\infty} e^{-ikx} (k \cosh(k(\eta + h)) f(x) + ik \sinh(k(\eta + h)) \mathcal{H}(\eta, D) \{f(x)\}) dx = 0.$$

It can be shown that we can take out  $k$  in the integral, so that the below holds for all  $k \in \mathbb{R}$ .

$$\int_{-\infty}^{\infty} e^{-ikx} (i \cosh(k(\eta + h)) f(x) - \sinh(k(\eta + h)) \mathcal{H}(\eta, D) \{f(x)\}) dx = 0. \quad (10)$$

*Remark 1.* Even though (10) holds for all  $k \in \mathbb{R}$ , the case  $k = 0$  still poses some challenges. Namely, the first term of  $\mathcal{H}$  will contain  $\coth(uk)$  term, which blows up as  $k \rightarrow 0$ . As will be seen, this problem should be dealt by picking  $\eta$  such that its Fourier transform decays faster than  $\mathcal{H}$  blows up.

*Remark 2.* A related comment to the above is that the choice of  $\varphi$  is not unique. Indeed, we only required that  $\varphi$  is harmonic, and that  $\varphi_x(x, -h) = 0$ , which allowed us to cancel the contribution from the bottom. If we choose different  $\varphi$ , then we will end up with different version of (10). Furthermore, if we let  $\varphi(x + iz)^n, n \in \mathbb{N}$ , then we will end up with conservation laws, which we will exploit later.

### 1.0.2 Nondimensional, nonlocal formulation: the whole line.

We derive the non-dimensional version of the above work. Let

$$t^* = \frac{t\sqrt{gh}}{L}, \quad x^* = \frac{x}{L}, \quad z^* = \frac{z}{h}, \quad \eta^* = \frac{\eta}{a}, \quad k^* = Lk, \quad \phi = \frac{Lga}{\sqrt{gh}} \phi^*, \quad q^* = \frac{\sqrt{gh}}{agL} q, \quad (11)$$

and define parameters  $\varepsilon$  and  $\mu$  so that

$$\varepsilon = \frac{a}{h}, \quad \mu = \frac{h}{L}, \quad \varepsilon\mu = \frac{a}{L}.$$

Let  $\varphi(x, z)$  be harmonic, and recall the equation (9)

$$\int_D \varphi_z (\phi_z dx - \phi_x dz) + \phi (\varphi_{xx} dx + \varphi_{xz} dz) = 0,$$

which we obtained in the previous section. In non-dimensional coordinates,

$$dx = L dx^*, \quad dz = h dz^*, \quad \phi_z = \frac{L}{h} \frac{ga}{\sqrt{gh}} \phi_{z^*}^*, \quad \phi_x = \frac{ga}{\sqrt{gh}} \phi_{x^*}^*.$$

Moreover, we leave  $\varphi$  the same but rescale its variables, which should be contrasted with that we rescaled both the function  $\phi$  and its variables:

$$\varphi_x = \frac{1}{L} \varphi_{x^*}, \quad \varphi_z = \frac{1}{h} \varphi_{z^*}, \quad \varphi_{xx} = \frac{1}{L^2} \varphi_{x^*x^*}, \quad \varphi_{xz} = \frac{1}{Lh} \varphi_{x^*z^*}.$$

Then, equation (9) becomes

$$\int_D \varphi_z \left( \frac{1}{\mu^2} \phi_z dx - \phi_x dz \right) + \phi (\varphi_{xx} dx + \varphi_{xz} dz) = 0, \quad (12)$$

where we have dropped starred notation. Now, split the contour into the following segments

$$\begin{aligned} \int_D &= \int_{-\infty}^{\infty} \Big|_{z=-1}^{z=\varepsilon\eta(x)} + \int_{-1}^{\varepsilon\eta(x)} \Big|_{x \rightarrow \infty}^{x \rightarrow -\infty} + \int_{\infty}^{-\infty} \Big|_{z=\varepsilon\eta(x)}^{z=-1} + \int_{\varepsilon\eta(x)}^{-1} \Big|_{x \rightarrow -\infty}^{x \rightarrow \infty} \\ &= \int_{-\infty}^{\infty} \Big|_{z=-1}^{z=\varepsilon\eta(x)} + \int_{-1}^{\varepsilon\eta(x)} \Big|_{x \rightarrow \infty}^{x \rightarrow -\infty} - \int_{-\infty}^{\infty} \Big|_{z=\varepsilon\eta(x)}^{z=-1} - \int_{-1}^{\varepsilon\eta(x)} \Big|_{x \rightarrow -\infty}^{x \rightarrow \infty}. \end{aligned}$$

Consider integral on each of the segments:

- As  $|x| \rightarrow \infty$ , we know that  $\phi$  and its gradient vanish, so the integral also vanishes on these segments.

- At  $z = -1$ ,  $dz = 0$ , so we have

$$\begin{aligned}
\int_{-\infty}^{\infty} \varphi_z \left( \frac{1}{\mu^2} \phi_z dx - \phi_x dz \right) + \phi(\varphi_{xx} dx + \varphi_{xz} dz) &= \int_{-\infty}^{\infty} \frac{1}{\mu^2} \varphi_z \phi_z + \phi \varphi_{xx} dx \\
&= \int_{-\infty}^{\infty} \phi \varphi_{xx} dx \quad (\text{since } \phi_z = 0 \text{ at } z = -1) \\
&= \phi(x, -h) \varphi_x(x, -h) \Big|_{-\infty}^{\infty} - \int_{-\infty}^{\infty} \phi_x(x, -h) \varphi_x(x, -h) dx \\
&= 0,
\end{aligned}$$

where we pick  $\varphi$  such that  $\varphi_x(x, -h) = 0$ .

- At  $z = \varepsilon\eta$ ,  $dz = \varepsilon\eta_x dx$ . Moreover, introduce

$$\tilde{\nabla} = \begin{pmatrix} \phi_x \\ \frac{1}{\mu} \phi_z \end{pmatrix} \quad \tilde{N} = \begin{pmatrix} -\varepsilon \phi_x \eta_x \\ 1 \end{pmatrix}$$

we have

$$\begin{aligned}
\int_{-\infty}^{\infty} \varphi_z \left( \frac{1}{\mu^2} \phi_z - \phi_x \varepsilon \phi_x \eta_x \right) + \phi(\varphi_{xx} + \varepsilon \varphi_{xz} \eta_x) dx &= \int_{-\infty}^{\infty} \frac{1}{\mu} \varphi_z \begin{pmatrix} \phi_x \\ \frac{1}{\mu} \phi_z \end{pmatrix} \cdot \begin{pmatrix} -\varepsilon \phi_x \eta_x \\ 1 \end{pmatrix} + \phi \frac{d\varphi_x(x, \varepsilon\eta)}{dx} dx \\
&= \int_{-\infty}^{\infty} \frac{1}{\mu} \varphi_z \tilde{\nabla} \phi \cdot \tilde{N} + \phi \frac{d\varphi_x(x, \varepsilon\eta)}{dx} dx \\
&= \int_{-\infty}^{\infty} \frac{1}{\mu} \varphi_z \tilde{\nabla} \phi \cdot \tilde{N} - \varphi_x \frac{d\phi(x, \varepsilon\eta)}{dx} dx + \varphi \phi(x, \varepsilon\eta) \Big|_{-\infty}^{\infty} \\
&= \int_{-\infty}^{\infty} \frac{1}{\mu} \varphi_z \tilde{\nabla} \phi \cdot \tilde{N} - \varphi_x \frac{d\phi(x, \varepsilon\eta)}{dx} dx \\
&= \int_{-\infty}^{\infty} \frac{1}{\mu} \varphi_z \tilde{\nabla} \phi \cdot \tilde{N} - \varphi_x \begin{pmatrix} \phi_x \\ \frac{1}{\mu} \phi_z \end{pmatrix} \cdot \begin{pmatrix} 1 \\ \varepsilon \phi_x \eta_x \end{pmatrix} dx \\
&= \int_{-\infty}^{\infty} \frac{1}{\mu} \varphi_z \tilde{\nabla} \phi \cdot \tilde{N} - \varphi_x \tilde{\nabla} \phi \cdot \tilde{T} dx \\
&= \int_{-\infty}^{\infty} \frac{1}{\mu} \varphi_z g(x) - \varphi_x(x, \varepsilon\eta) \mathcal{H}(\varepsilon\eta, D) \{g(x)\} dx.
\end{aligned}$$

Observe that

$$\nabla \phi \cdot N = \frac{ga}{\sqrt{gh}} \tilde{\nabla} \phi \cdot \tilde{N} = \frac{ga}{\sqrt{gh}} g(x^*) = f(x^* L) = f(x),$$

so that

$$g(x^*) = \frac{\sqrt{gh}}{ga} f(x^* L) = \frac{\sqrt{gh}}{ga} f(x).$$

Combining segments, we obtain

$$\int_{-\infty}^{\infty} \frac{1}{\mu} \varphi_z g(x) - \varphi_x(x, \varepsilon\eta) \mathcal{H}(\varepsilon\eta, D) \{g(x)\} dx = 0.$$

As before, we choose  $\varphi(x, z) = e^{-ikx} \sinh(k(z+h)) = e^{-ik^* x^*} \sinh(\mu k^*(z^*+1)) = e^{-ikx} \sinh(\mu k(z+1))$  so that the integral becomes:

$$\int_{-\infty}^{\infty} e^{-ikx} (k \cosh(\mu k(\eta+1)) g(x) + ik \sinh(\mu k(\eta+1)) \mathcal{H}(\varepsilon\eta, D) \{g(x)\}) dx = 0.$$

Taking  $k$  out and multiplying by  $i$  yields an equation that relates  $g$  and the operator  $\mathcal{H}$  acting on  $g$  :

$$\int_{-\infty}^{\infty} e^{-ikx} (i \cosh(\mu k(\eta+1)) g(x) - \sinh(\mu k(\eta+1)) \mathcal{H}(\varepsilon\eta, D) \{g(x)\}) dx = 0. \quad (13)$$

### 1.0.3 Perturbation expansion of the $\mathcal{H}$ operator: the whole line.

In this section, we derive a representation of the  $\mathcal{H}$  operator in the leading two terms. To begin, consider (13) and expand in  $\varepsilon$  :

$$\begin{aligned}\cosh(\mu k(\eta + 1)) &= \cosh(\mu k) + \mu k \varepsilon \eta \sinh(\mu k) + \dots, \\ \sinh(\mu k(\eta + 1)) &= \sinh(\mu k) + \mu k \varepsilon \eta \cosh(\mu k) + \dots, \\ \mathcal{H}(\eta, D)\{g(x)\} &= [\mathcal{H}_0 + \varepsilon \mathcal{H}_1 + \dots](\varepsilon \eta, D)\{g(x)\}.\end{aligned}$$

Equation (13) becomes:

$$\begin{aligned}\int_{-\infty}^{\infty} e^{-ikx} (i [\cosh(\mu k) + \mu k \varepsilon \eta \sinh(\mu k) + \dots] g(x) \\ - [\sinh(\mu k) + \mu k \varepsilon \eta \cosh(\mu k) + \dots] [\mathcal{H}_0 + \varepsilon \mathcal{H}_1 + \dots](\varepsilon \eta, D)\{g(x)\}) dx = 0.\end{aligned}$$

**Within  $\mathcal{O}(\varepsilon^0)$**  : from expansions above, we have

$$\int_{-\infty}^{\infty} e^{-ikx} (i \cosh(\mu k) g(x) - \sinh(\mu k) \mathcal{H}_0(\varepsilon \eta, D)\{g(x)\}) dx = 0.$$

Dividing by  $\sinh(\mu k)$ , we obtain

$$\int_{-\infty}^{\infty} e^{-ikx} (i \coth(\mu k) g(x) - \mathcal{H}_0(\varepsilon \eta, D)\{g(x)\}) dx = 0.$$

Splitting the integrand and recognizing Fourier transform yields:

$$\mathcal{F}\{\mathcal{H}_0(\varepsilon \eta, D)\{g(x)\}\}_k = \int_{-\infty}^{\infty} e^{-ikx} \mathcal{H}_0(\varepsilon \eta, D)\{g(x)\} dx = \int_{-\infty}^{\infty} e^{-ikx} i \coth(\mu k) g(x) dx = i \coth(\mu k) \mathcal{F}\{g(x)\}_k.$$

Finally, we invert Fourier transform to obtain

$$\mathcal{H}_0(\varepsilon \eta, D)\{g(x)\} = \mathcal{F}^{-1}\{i \coth(\mu k) \mathcal{F}\{g(x)\}_k\}_k,$$

where we write out  $k$ 's explicitly to keep track of transforms. Note that as  $k \rightarrow 0$ ,  $\mathcal{H}_0$  blows up as  $\coth(\mu k)$  has a singularity of order 1 at  $k = 0$ .

**Within  $\mathcal{O}(\varepsilon^1)$**  : from expansions above, we have

$$\int_{-\infty}^{\infty} e^{-ikx} (i \mu k \eta \sinh(\mu k) g(x) - [\sinh(\mu k) \mathcal{H}_1 + \mu k \eta \cosh(\mu k) \mathcal{H}_0](\varepsilon \eta, D)\{g(x)\}) dx = 0.$$

Dividing by  $\sinh(\mu k)$  and dropping  $(\varepsilon \eta, D)$  for convenience, we have

$$\int_{-\infty}^{\infty} e^{-ikx} (i \mu k \eta g(x) - [\mathcal{H}_1 + \mu k \eta \coth(\mu k) \mathcal{H}_0]\{g(x)\}) dx = 0.$$

Rearranging and recognising Fourier transform yields:

$$\begin{aligned}\mathcal{F}\{\mathcal{H}_1\{g(x)\}\}_k &= \int_{-\infty}^{\infty} e^{-ikx} \mathcal{H}_1\{g(x)\} dx \\ &= \int_{-\infty}^{\infty} e^{-ikx} (i \mu k \eta g - \mu k \eta \coth(\mu k) \mathcal{H}_0\{g(x)\}) dx \\ &= \mu \mathcal{F}\{i k \eta g\}_k - \mu k \coth(\mu k) \mathcal{F}\{\eta \mathcal{H}_0\{g(x)\}\}_k.\end{aligned}$$

Inverting Fourier transform, we obtain an expression for  $\mathcal{H}_1$  :

$$\begin{aligned}\mathcal{H}_1\{g(x)\} &= \mathcal{F}^{-1}\{\mu \eta g\}_k - \mathcal{F}^{-1}\{\mu k \coth(\mu k) \mathcal{F}\{\eta \mathcal{H}_0\{g(x)\}\}_k\} \\ &= \mu \partial_x(\eta g) - \mathcal{F}^{-1}\{\mu k \coth(\mu k) \mathcal{F}\{\eta \mathcal{H}_0\{g(x)\}\}_k\}_k \\ &= \mu \partial_x(\eta g) - \mathcal{F}^{-1}\{\mu k \coth(\mu k) \mathcal{F}\{\eta \mathcal{F}^{-1}\{i \coth(\mu l) \mathcal{F}\{g\}_l\}_l\}_k\}_k.\end{aligned}$$

In sum, we obtain

$$\begin{aligned}\mathcal{H}_0(\varepsilon \eta, D)\{g(x)\} &= \mathcal{F}^{-1}\{i \coth(\mu k) \mathcal{F}\{g(x)\}_k\}_k, \\ \mathcal{H}_1(\varepsilon \eta, D)\{g(x)\} &= \mu \partial_x(\eta g) - \mathcal{F}^{-1}\{\mu k \coth(\mu k) \mathcal{F}\{\eta \mathcal{F}^{-1}\{i \coth(\mu l) \mathcal{F}\{g\}_l\}_l\}_k\}_k.\end{aligned}$$

### 1.0.4 Deriving an expression for surface elevation: the whole-line.

In this section, we would like to derive an expression for  $\eta$ . We can do this because the scalar equation (7) is written in terms of  $\eta$ . The non-dimensional version of (7) is given by

$$\partial_t (\mathcal{H}(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\}) + \partial_x \left( \frac{1}{2} (\mathcal{H}(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\})^2 + \varepsilon\eta - \frac{1}{2}\varepsilon^2\mu^2 \frac{(\eta_t + \eta_x \mathcal{H}(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\})^2}{1 + \varepsilon^2\mu^2\eta_x^2} \right) = 0. \quad (14)$$

**Within**  $\mathcal{O}(\mu^0)$ . In the leading order, the equation (14) becomes

$$\partial_t (\mathcal{H}_0(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\}) + \varepsilon\partial_x\eta = 0.$$

Substituting an expression for  $\mathcal{H}_0$ , we obtain:

$$\mathcal{F}^{-1}\{i \coth(\mu k) \mathcal{F}\{\varepsilon\mu\eta_{tt}\}_k\}_k + \varepsilon\partial_x\eta = 0,$$

where we brought the time derivative inside the transform. Inverting the Fourier transform and multiplying by  $\frac{k}{i\varepsilon}$  yields

$$\mu k \coth(\mu k) \widehat{\eta_{tt}}_k + k^2 \widehat{\eta}_k = 0.$$

Recall

$$\coth(\mu k) \approx \frac{1}{\mu k} + \mathcal{O}(\mu),$$

so that

$$\widehat{\eta_{tt}}_k + k^2 \widehat{\eta}_k = 0.$$

Inverting the Fourier transform, we have

$$\eta_{tt} + (-i\partial_x)^2\eta = 0,$$

which is

$$\eta_{tt} - \eta_{xx} = 0.$$

This is the wave equation, as we desired.

**Within**  $\mathcal{O}(\mu^2)$ . In the second leading order, the non-dimensional equation (14) becomes

$$\partial_t (\mathcal{H}_0\{\varepsilon\mu\eta_t\} + \varepsilon\mathcal{H}_1\{\varepsilon\mu\eta_t\}) + \partial_x \left( \frac{1}{2} (\mathcal{H}_0\{\varepsilon\mu\eta_t\})^2 + \varepsilon\eta \right) = 0.$$

Note

$$\begin{aligned} \mathcal{H}_0(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\} &= \varepsilon\mu\mathcal{F}^{-1}\{i \coth(\mu k) \widehat{\eta_{tt}}_k\}_k; \\ \mathcal{H}_1(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\} &= \varepsilon\mu^2(\eta\eta_t)_x - \varepsilon\mathcal{F}^{-1}\{\mu k \coth(\mu k) \mathcal{F}\{\eta\mathcal{F}^{-1}\{i\mu \coth(\mu k) \widehat{\eta_{tl}}\}_l\}_k\}_k. \end{aligned}$$

Then,

$$\frac{1}{2} (\mathcal{H}_0(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\})^2 = \frac{1}{2} (\mathcal{H}_0(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\})^2 = \frac{\varepsilon^2}{2} (\mathcal{F}^{-1}\{i\mu \coth(\mu j) \widehat{\eta_{tj}}\}_j)^2,$$

and

$$\begin{aligned} \partial_t ([\mathcal{H}_0(\varepsilon\eta, D) + \varepsilon\mathcal{H}_1(\varepsilon\eta, D)] \{\varepsilon\mu\eta_t\}) &= \varepsilon\mu\mathcal{F}^{-1}\{i \coth(\mu k) \widehat{\eta_{tt}}_k\}_k + \varepsilon^2\mu^2(\eta\eta_t)_{tx} \\ &\quad - \varepsilon^2\mathcal{F}^{-1}\{\mu k \coth(\mu k) \mathcal{F}\{\partial_t [\eta\mathcal{F}^{-1}\{i\mu \coth(\mu l) \widehat{\eta_{tl}}\}_l]\}_k\}_k. \end{aligned}$$

The single equation becomes

$$\begin{aligned} \varepsilon\mu\mathcal{F}^{-1}\{i \coth(\mu k) \widehat{\eta_{tt}}_k\}_k + \varepsilon^2\mu^2(\eta\eta_t)_{tx} - \varepsilon^2\mathcal{F}^{-1}\{\mu k \coth(\mu k) \mathcal{F}\{\partial_t [\eta\mathcal{F}^{-1}\{i\mu \coth(\mu l) \widehat{\eta_{tl}}\}_l]\}_k\}_k \\ + \frac{\varepsilon^2}{2} \partial_x (\mathcal{F}^{-1}\{i\mu \coth(\mu j) \widehat{\eta_{tj}}\}_j)^2 + \varepsilon\partial_x\eta = 0. \end{aligned}$$

Application of Fourier transform yields

$$\begin{aligned} \varepsilon \mu i \coth(\mu k) \widehat{\eta_{ttk}} + \varepsilon^2 \mu^2 i k (\eta \eta_t)_t - \varepsilon^2 \mu k \coth(\mu k) \mathcal{F} \{ \partial_t [\eta \mathcal{F}^{-1} \{ i \mu \coth(\mu l) \widehat{\eta_{tl}} \}_l] \}_k \\ + \frac{\varepsilon^2}{2} i k \mathcal{F} \{ (\mathcal{F}^{-1} \{ i \mu \coth(\mu j) \widehat{\eta_{tj}} \}_j)^2 \}_k + \varepsilon i k \widehat{\eta_k} = 0. \end{aligned}$$

Divide by  $i\varepsilon$  :

$$\begin{aligned} \mu \coth(\mu k) \widehat{\eta_{ttk}} + \varepsilon \mu^2 k (\eta \eta_t)_t - \varepsilon \mu k \coth(\mu k) \mathcal{F} \{ \partial_t [\eta \mathcal{F}^{-1} \{ \mu \coth(\mu l) \widehat{\eta_{tl}} \}_l] \}_k \\ + \frac{\varepsilon}{2} k \mathcal{F} \{ (\mathcal{F}^{-1} \{ i \mu \coth(\mu j) \widehat{\eta_{tj}} \}_j)^2 \}_k + k \widehat{\eta_k} = 0. \end{aligned}$$

Let  $\varepsilon = \mu^2$  and recall an expansion:

$$\coth(\mu k) \approx \frac{1}{\mu k} + \frac{\mu k}{3} + \mathcal{O}(\mu^3).$$

Substitution of the expansion yields:

$$\begin{aligned} \left( \frac{1}{k} + \frac{\mu^2 k}{3} \right) \widehat{\eta_{ttk}} + \mu^4 k (\eta \eta_t)_t - \mu^2 k \left( \frac{1}{k} + \frac{\mu^2 k}{3} \right) \mathcal{F} \{ \partial_t [\eta \mathcal{F}^{-1} \left\{ \left( \frac{1}{l} + \frac{\mu^2 l}{3} \right) \widehat{\eta_{tl}} \right\}_l] \}_k \\ - \frac{\mu^2}{2} k \mathcal{F} \left\{ \left( \mathcal{F}^{-1} \left\{ \left( \frac{1}{j} + \frac{\mu^2 j}{3} \right) \widehat{\eta_{tj}} \right\}_j \right)^2 \right\}_k + k \widehat{\eta_k} = 0. \end{aligned}$$

Within  $\mathcal{O}(\mu^4)$ , the equation becomes

$$\left( \frac{1}{k} + \frac{\mu^2 k}{3} \right) \widehat{\eta_{ttk}} - \mu^2 \mathcal{F} \{ \partial_t [\eta \mathcal{F}^{-1} \{ \frac{1}{l} \widehat{\eta_{tl}} \}_l] \}_k - \frac{\mu^2}{2} k \mathcal{F} \left\{ \left( \mathcal{F}^{-1} \{ \frac{1}{j} \widehat{\eta_{tj}} \}_j \right)^2 \right\}_k + k \widehat{\eta_k} = 0,$$

or re-arranging and multiplying by  $k$ , we have

$$\widehat{\eta_{ttk}} + k^2 \widehat{\eta_k} + \mu^2 \left( \frac{k^2}{3} \widehat{\eta_{ttk}} - k \mathcal{F} \{ \partial_t [\eta \mathcal{F}^{-1} \{ \frac{1}{l} \widehat{\eta_{tl}} \}_l] \}_k - \frac{1}{2} k^2 \mathcal{F} \left\{ \left( \mathcal{F}^{-1} \{ \frac{1}{j} \widehat{\eta_{tj}} \}_j \right)^2 \right\}_k \right) = 0.$$

Finally, inverting Fourier transform yields:

$$\eta_{tt} - \eta_{xx} + \mu^2 \left( -\frac{\partial_x^2}{3} \eta_{tt} + i \partial_x \left( \partial_t [\eta \mathcal{F}^{-1} \{ \frac{1}{l} \widehat{\eta_{tl}} \}_l] \right) + \frac{1}{2} \partial_x^2 \left( \mathcal{F}^{-1} \{ \frac{1}{j} \widehat{\eta_{tj}} \}_j \right)^2 \right) = 0,$$

or more conveniently,

$$\eta_{tt} - \eta_{xx} = \mu^2 \left( \frac{\partial_x^2}{3} \eta_{tt} - i \partial_x \left( \partial_t [\eta \mathcal{F}^{-1} \{ \frac{1}{l} \widehat{\eta_{tl}} \}_l] \right) - \frac{1}{2} \partial_x^2 \left( \mathcal{F}^{-1} \{ \frac{1}{j} \widehat{\eta_{tj}} \}_j \right)^2 \right). \quad (15)$$

Observe the following:

$$\begin{aligned} \frac{1}{l} \widehat{\eta_{tl}} &= \frac{1}{l} \frac{2}{\pi} \int_{-\infty}^{\infty} e^{-ilx} \eta_t \, dx \\ &= \frac{1}{l} \frac{2}{\pi} e^{-ilx} \int_{-\infty}^x \eta_t(x', t) \, dx' \Big|_{-\infty}^{\infty} + i \frac{2}{\pi} \int_{-\infty}^{\infty} e^{-ilx} \int_{-\infty}^x \eta_t(x', t) \, dx' \, dx \\ &= i \frac{2}{\pi} \int_{-\infty}^{\infty} e^{-ilx} \int_{-\infty}^x \eta_t(x', t) \, dx' \, dx \\ &= i \mathcal{F} \left\{ \int_{-\infty}^x \eta_t(x', t) \, dx' \right\}_l. \end{aligned}$$

so that

$$\mathcal{F}^{-1} \left\{ \frac{1}{l} \widehat{\eta_{tl}} \right\}_l = \mathcal{F}^{-1} \{ i \mathcal{F} \{ \int_{-\infty}^x \eta_t(x', t) \, dx' \}_l \}_l = i \int_{-\infty}^x \eta_t(x', t) \, dx',$$



where we applied the Fourier inversion theorem. Moreover, we also have

$$\eta_{tt} = \eta_{xx} + \mathcal{O}(\mu^2).$$

Using these two facts, equation (15) becomes

$$\begin{aligned} \eta_{tt} - \eta_{xx} &= \mu^2 \left( \frac{1}{3} \eta_{xxxx} + \partial_x \partial_t \left[ \eta \left( \int_{-\infty}^x \eta_t dx' \right) \right] + \frac{1}{2} \partial_x^2 \left( \int_{-\infty}^x \eta_t dx' \right)^2 \right) \\ &= \mu^2 \left( \frac{1}{3} \eta_{xxxx} + \partial_x \left[ \eta_t \left( \int_{-\infty}^x \eta_t dx' \right) + \eta \eta_x \right] + \frac{1}{2} \partial_x^2 \left( \int_{-\infty}^x \eta_t dx' \right)^2 \right) \\ &= \varepsilon \left[ \frac{1}{3} \eta_{xxxx} + \partial_x^2 \left( \frac{\eta^2}{2} + \left( \int_{-\infty}^x \eta_t dx' \right)^2 \right) \right]. \end{aligned}$$

For direct comparison, this equation is the same as the one in [1, p. 111], the unnumbered equation between (5.20) and (5.21). It remains to derive the wave and KdV equations.

### 1.0.5 Derivation of wave and KdV equations: the whole line.

In this section, we derive the approximate equations from

$$\eta_{tt} - \eta_{xx} = \varepsilon \left[ \frac{1}{3} \eta_{xxxx} + \partial_x^2 \left( \frac{\eta^2}{2} + \left( \int_{-\infty}^x \eta_t dx' \right)^2 \right) \right]. \quad (16)$$

As we approximate, we assume an expansion of  $\eta$  in  $\varepsilon$ :

$$\eta = \eta_0 + \varepsilon \eta_1 + \mathcal{O}(\varepsilon^2). \quad (17)$$

#### First order approximation

Substitution of (17) into equation (16) yields

$$\eta_{0tt} - \eta_{0xx} + \varepsilon(\eta_{1tt} - \eta_{1xx}) = \varepsilon \left[ \frac{1}{3} \eta_{0xxxx} + \partial_x^2 \left( \frac{(\eta_0 + \varepsilon \eta_1)^2}{2} + \left( \int_{-\infty}^x (\eta_0 + \varepsilon \eta_1)_t dx' \right)^2 \right) \right] + \mathcal{O}(\varepsilon^2). \quad (18)$$

In the leading order  $\mathcal{O}(\varepsilon^0)$ , equation (18) becomes

$$\eta_{0tt} - \eta_{0xx} = 0. \quad (19)$$

This is the wave equation with velocity 1, and whose general solution is

$$\eta_0 = F(x - t) + G(x + t),$$

where  $F, G$  are some functions.

#### Second order approximation

As in the velocity potential case, we employ multiple scales. First, we find an expression for  $\eta_0$ . We introduce

$$\tau_0 = t, \quad \tau_1 = \varepsilon t, \quad \tau_2 = \varepsilon^2 t, \dots,$$

so that

$$\eta(x, t) = \eta(x, \tau_0, \tau_1, \dots).$$

With this in mind, the expansion (17) becomes

$$\eta(x, \tau_0, \tau_1, \dots) = \eta_0(x, \tau_0, \tau_1, \dots) + \mathcal{O}(\varepsilon^1). \quad (20)$$

Substituting (20) into (16), within  $\mathcal{O}(\varepsilon^0)$ , we obtain

$$\eta_{0\tau_0\tau_0} - \eta_{0xx} = 0, \quad (21)$$

so that the general solution is

$$\eta_0(x, \tau_0, \tau_1, \dots) = F(x - \tau_0, \tau_1, \dots) + G(x + \tau_0, \tau_1, \dots).$$

Now, although we have found an expression for  $\eta_0$ , the functions  $F, G$  used are still general functions. To determine  $F, G$ , we proceed to the next order, i.e.  $\mathcal{O}(\varepsilon^1)$ . We introduce

$$\xi = x - \tau_0 \quad \zeta = x + \tau_0$$

so that

$$\begin{aligned} \partial_x &= \partial_\xi \frac{d\xi}{dx} + \partial_\zeta \frac{d\zeta}{dx} = \partial_\xi + \partial_\zeta, \\ \partial_t &= \partial_\xi \frac{d\xi}{dt} + \partial_\zeta \frac{d\zeta}{dt} + \partial_{\tau_1} \frac{d\tau_1}{dt} = -\partial_\xi + \partial_\zeta + \varepsilon \partial_{\tau_1}. \end{aligned}$$

We can rewrite (20) as follows

$$\begin{aligned} \eta &= \eta_0 + \varepsilon \eta_1 + \mathcal{O}(\varepsilon^2) \\ &= F(x - t, \varepsilon t, \dots) + G(x + t, \varepsilon t, \dots) + \varepsilon \eta_1 + \mathcal{O}(\varepsilon^2) \\ &= F(\xi, \tau_1, \dots) + G(\zeta, \tau_1, \dots) + \varepsilon \eta_1 + \mathcal{O}(\varepsilon^2) \\ &= F + G + \varepsilon \eta_1 + \mathcal{O}(\varepsilon^2). \end{aligned}$$

For ease of writing, we suppressed explicit dependence on variables, though the reader should bear in mind that function  $F$  ( $G$ ) depend on  $\xi$  ( $\zeta$ ),  $\tau_1, \tau_2$ , etc. In addition, observe that

$$\begin{aligned} (\partial_t^2 - \partial_x^2) &= ((-\partial_\xi + \partial_\zeta + \varepsilon \partial_{\tau_1})^2 - (\partial_\xi + \partial_\zeta)^2) \\ &= (\partial_\xi^2 - 2\partial_\xi \partial_\zeta + \partial_\zeta^2 + 2\varepsilon(\partial_\zeta \partial_{\tau_1} - \partial_\xi \partial_{\tau_1}) + \varepsilon^2 \partial_{\tau_1}^2 - \partial_\xi^2 - 2\partial_\xi \partial_\zeta - \partial_\zeta^2) \\ &= (-4\partial_\xi \partial_\zeta + 2\varepsilon(\partial_\zeta \partial_{\tau_1} - \partial_\xi \partial_{\tau_1}) + \varepsilon^2 \partial_{\tau_1}^2), \end{aligned}$$

so that the LHS of (16) becomes

$$\begin{aligned} (\partial_t^2 - \partial_x^2)\eta &= (-4\partial_\xi \partial_\zeta + 2\varepsilon(\partial_\zeta \partial_{\tau_1} - \partial_\xi \partial_{\tau_1}) + \varepsilon^2 \partial_{\tau_1}^2)(F + G + \varepsilon \eta_1 + \mathcal{O}(\varepsilon^2)) \\ &= -4\partial_\xi \partial_\zeta(F + G + \varepsilon \eta_1) + 2\varepsilon(\partial_\zeta \partial_{\tau_1} - \partial_\xi \partial_{\tau_1})(F + G) + \mathcal{O}(\varepsilon^2) \\ &= \varepsilon(-4\eta_{1\xi\zeta} - 2F_{\tau_1\xi} + 2G_{\tau_1\zeta}) + \mathcal{O}(\varepsilon^2). \end{aligned} \tag{22}$$

Now, we deal with the RHS of (16). By appropriate substitutions, the terms become:

$$\begin{aligned} \frac{1}{3}\eta_{xxxx} &= \frac{1}{3}(\partial_x^2)^2\eta \\ &= \frac{1}{3}(\partial_\xi^2 + 2\partial_\xi \partial_\zeta + \partial_\zeta^2)^2\eta \\ &= \frac{1}{3}(\partial_\xi^4 + \partial_\zeta^4 + 4\partial_\xi^3 \partial_\zeta + 2\partial_\xi \partial_\zeta^3 + 6\partial_\xi^2 \partial_\zeta^2)(F + G + \varepsilon \eta_1 + \mathcal{O}(\varepsilon^2)) \\ &= \frac{1}{3}(F_{\xi\xi\xi\xi} + G_{\zeta\zeta\zeta\zeta} + \varepsilon(\partial_\xi + \partial_\zeta)^4 \eta_1 + \mathcal{O}(\varepsilon^2)) \\ &= \frac{1}{3}(F_{\xi\xi\xi\xi} + G_{\zeta\zeta\zeta\zeta} + \mathcal{O}(\varepsilon)); \\ \frac{1}{2}\eta^2 &= \frac{1}{2}(F + G + \varepsilon \eta_1)^2 \\ &= \frac{1}{2}((F + G)^2 + 2\varepsilon(F + G)\eta_1 + \varepsilon^2 \eta_1^2) \\ &= \frac{1}{2}(F^2 + 2FG + G^2) + \varepsilon(F + G)\eta_1 + \mathcal{O}(\varepsilon^2) \\ &= \frac{1}{2}(F^2 + 2FG + G^2) + \mathcal{O}(\varepsilon); \\ \left(\int_{-\infty}^x \eta_t dx'\right)^2 &= \left(\int_{-\infty}^x \eta_{0t} dx' + \varepsilon \int_{-\infty}^x \eta_{1t} dx'\right)^2 \end{aligned}$$

$$\begin{aligned}
&= \left( \int_{-\infty}^x \eta_{0t} dx' + \varepsilon \int_{-\infty}^x \eta_{1t} dx' \right)^2 \\
&= \left( \int_{-\infty}^x \eta_{0t} dx' \right)^2 + \mathcal{O}(\varepsilon) \\
&= \left( \int_{-\infty}^x (-\partial_\xi + \partial_\zeta + \varepsilon \partial_{\tau_1})(F + G) dx' \right)^2 + \mathcal{O}(\varepsilon) \\
&= \left( \int_{-\infty}^x -F_\xi + G_\zeta dx' + \varepsilon \int_{-\infty}^x \partial_{\tau_1}(F + G) dx' \right)^2 + \mathcal{O}(\varepsilon) \\
&= \left( \int_{-\infty}^x -F_\xi + G_\zeta dx' \right)^2 + \mathcal{O}(\varepsilon) \\
&= \left( \int_{-\infty}^x F_\xi dx' \right)^2 - 2 \left( \int_{-\infty}^x F_\xi dx' \right) \left( \int_{-\infty}^x G_\zeta dx' \right) + \left( \int_{-\infty}^x G_\zeta dx' \right)^2 + \mathcal{O}(\varepsilon) \\
&= F^2 - 2FG + G^2 + \mathcal{O}(\varepsilon),
\end{aligned}$$

where for the last line we translate  $\xi' = x' - t, \zeta' = x' + t$  to obtain

$$\begin{aligned}
\int_{-\infty}^x F_\xi dx' &= \lim_{a \rightarrow -\infty} \int_a^x F_{\xi'}(x' - t, \tau_1) dx' = \lim_{a \rightarrow -\infty} \int_{a-t}^{x-t} F_{\xi'}(\xi', \tau_1) d\xi' \\
&= \lim_{a \rightarrow -\infty} \int_{a-t}^{\xi} F_{\xi'}(\xi', \tau_1) d\xi' \\
&= \int_{-\infty}^{\xi} F_{\xi'}(\xi', \tau_1) d\xi' = F(\xi, \tau_1), \\
\int_{-\infty}^x G'_\zeta dx' &= \lim_{a \rightarrow -\infty} \int_a^x F_{\zeta'}(x' - t, \tau_1) dx' = \lim_{a \rightarrow -\infty} \int_{a+t}^{x+t} G_{\zeta'}(\zeta', \tau_1) d\zeta' \\
&= \lim_{a \rightarrow -\infty} \int_{a-t}^{\zeta} G_{\zeta'}(\zeta', \tau_1) d\zeta' \\
&= \int_{-\infty}^{\zeta} G_{\zeta'}(\zeta', \tau_1) d\zeta' = G(\zeta, \tau_1).
\end{aligned}$$

Note we assumed  $F, G$  vanish as  $\xi, \zeta \rightarrow -\infty$ . Substitution of terms into the RHS of (16) leads to:

$$\begin{aligned}
&\varepsilon \left[ \frac{1}{3} \eta_{xxxx} + \partial_x^2 \left( \frac{\eta^2}{2} + \left( \int_{-\infty}^x \eta_t dx' \right)^2 \right) \right] \\
&= \varepsilon \left[ \frac{1}{3} (F_{\xi\xi\xi\xi} + G_{\zeta\zeta\zeta\zeta}) + (\partial_\xi^2 + 2\partial_\xi\partial_\zeta + \partial_\zeta^2) \left( \frac{1}{2} (F^2 + 2FG + G^2) + F^2 - 2FG + G^2 \right) \right] + \mathcal{O}(\varepsilon^2) \\
&= \varepsilon \left[ \frac{1}{3} (F_{\xi\xi\xi\xi} + G_{\zeta\zeta\zeta\zeta}) + (\partial_\xi^2 + 2\partial_\xi\partial_\zeta + \partial_\zeta^2) \left( \frac{3}{2} F^2 + \frac{3}{2} G^2 - FG \right) \right] + \mathcal{O}(\varepsilon^2). \tag{23}
\end{aligned}$$

Combining (22) and (23), in  $\mathcal{O}(\varepsilon^1)$  we have

$$-4\eta_{1\xi\zeta} = 2F_{\tau_1\xi} - 2G_{\tau_1\zeta} + \frac{1}{3} (F_{\xi\xi\xi\xi} + G_{\zeta\zeta\zeta\zeta}) + (\partial_\xi^2 + 2\partial_\xi\partial_\zeta + \partial_\zeta^2) \left( \frac{3}{2} F^2 + \frac{3}{2} G^2 - FG \right). \tag{24}$$

In the last term of (24), differentiation yields:

$$(\partial_\xi^2 + 2\partial_\xi\partial_\zeta + \partial_\zeta^2) \left( \frac{3}{2} F^2 + \frac{3}{2} G^2 - FG \right) = \partial_\xi(3FF_\xi - GF_\xi) + \partial_\zeta(3GG_\zeta - FG_\zeta) - 2F_\xi G_\zeta,$$

so that equation (24) becomes

$$-4\eta_{1\xi\zeta} = 2F_{\tau_1\xi} - 2G_{\tau_1\zeta} + \frac{1}{3} (F_{\xi\xi\xi\xi} + G_{\zeta\zeta\zeta\zeta}) + \partial_\xi(3FF_\xi - GF_\xi) + \partial_\zeta(3GG_\zeta - FG_\zeta) - 2F_\xi G_\zeta$$

$$= \partial_\xi(2F_{\tau_1} + \frac{1}{3}F_{\xi\xi\xi} + 3FF_\xi) + \partial_\zeta(-2G_{\tau_1} + \frac{1}{3}G_{\zeta\zeta\zeta} + 3GG_\zeta) - (GF_\xi + FG_\zeta). \quad (25)$$

Integration of (25) with respect to  $\zeta$  yields

$$-4\eta_{1\xi} = \partial_\xi(2F_{\tau_1} + \frac{1}{3}F_{\xi\xi\xi} + 3FF_\xi)\zeta + (-2G_{\tau_1} + \frac{1}{3}G_{\zeta\zeta\zeta} + 3GG_\zeta) - \left(F_\xi \int G d\zeta + GF\right),$$

and further integration with respect to  $\xi$  leads to

$$-4\eta_1 = (2F_{\tau_1} + \frac{1}{3}F_{\xi\xi\xi} + 3FF_\xi)\zeta + (-2G_{\tau_1} + \frac{1}{3}G_{\zeta\zeta\zeta} + 3GG_\zeta)\xi - \left(F \int G d\zeta + G \int F d\xi\right).$$

Since  $\eta_1$  must be bounded, we must have

$$2F_{\tau_1} + \frac{1}{3}F_{\xi\xi\xi} + 3FF_\xi = 0 \quad (26)$$

$$2G_{\tau_1} - \frac{1}{3}G_{\zeta\zeta\zeta} - 3GG_\zeta = 0. \quad (27)$$

In other words, we have obtained two KdV equations, (26) and (27), whose solutions describe behaviour of the surface elevation in the leading order. The derivation is complete.

## 2 Water-wave problem on a half line: nonlocal formulation

The (tentative) half line problem is given by the following system:

$$\phi_{xx} + \phi_{zz} = 0, \quad -h < z < \eta(x, t), \quad (28a)$$

$$\phi_z = 0, \quad z = -h, \quad (28b)$$

$$\phi_x = 0, \quad x = 0, \quad (28c)$$

$$\eta_t + \phi_x \eta_x = \phi_z, \quad z = \eta(x, t), \quad (28d)$$

$$\phi_t + g\eta + \frac{1}{2}(\phi_x^2 + \phi_z^2) = 0, \quad z = \eta(x, t), \quad (28e)$$

$$\phi_z(0, \eta, t) = \eta_t(0, t), \quad (x, z) = (0, \eta), \quad (28f)$$

and  $\eta, \phi, \nabla\phi \rightarrow 0$  as  $x \rightarrow \infty$ . Introducing the nondimensional variables as before yields the non-dimensional problem:

$$\varepsilon\phi_{xx} + \phi_{zz} = 0 \quad -1 < z < \varepsilon\eta \quad (29a)$$

$$\phi_z = 0 \quad z = -1 \quad (29b)$$

$$\phi_x = 0 \quad x = 0 \quad (29c)$$

$$\varepsilon\eta_t + \varepsilon^2\phi_x\eta_x = \phi_z \quad z = \varepsilon\eta \quad (29d)$$

$$\phi_t + \eta + \frac{1}{2}(\varepsilon\phi_x^2 + \phi_z^2) = 0 \quad z = \varepsilon\eta \quad (29e)$$

$$\phi_z(0, \varepsilon\eta, t) = \varepsilon\eta_t(0, t) \quad (x, z) = (0, \varepsilon\eta), \quad (29f)$$

and the conditions on decay of  $\phi$  and  $\eta$  remain the same, except there is only the right side. We seek a nonlocal formulation of the problem.

### 2.0.1 Derivation of the nonlocal formulation: a half-line

First, we begin with a dimensional system. As previously, let  $\varphi$  be harmonic. Then, after some manipulation, we have the following contour integral (in dimensional variables):

$$\int_D \varphi_z(\phi_z dx - \phi_x dz) + \phi(\varphi_{xx} dx + \varphi_{xz} dz) = 0 \quad (30)$$

Break the contour  $D$  in the following segments:

$$\begin{aligned}\int_D &= \int_{-\infty}^{\infty} \Big|^{z=-h} + \int_{-h}^{\eta(x)} \Big|^{x \rightarrow \infty} + \int_{\infty}^{-\infty} \Big|^{z=\eta(x)} + \int_{\eta}^{-h} \Big|^{x=0} \\ &= \int_{-\infty}^{\infty} \Big|^{z=-h} + \int_{-h}^{\eta(x)} \Big|^{x \rightarrow \infty} - \int_{-\infty}^{\infty} \Big|^{z=\eta(x)} - \int_{-h}^{\eta} \Big|^{x=0}\end{aligned}$$

We consider each of the segments:

- As  $x \rightarrow \infty$ , the integral vanishes due to behaviour of  $\phi$  and its gradient.
- At  $x = 0$ ,  $dx = 0$ , so we have

$$\begin{aligned}\int_{-h}^{\eta} -\varphi_z \phi_x + \phi \varphi_{xz} dz &= \int_{-h}^{\eta} \phi \varphi_{xz} - \varphi_z \phi_x dz \\ &= \int_{-h}^{\eta} \phi \varphi_{xz} dz \quad (\text{since } \phi_x = 0 \text{ at } x = 0) \\ &= \phi \varphi_x \Big|_{-h}^{\eta} - \int_{-h}^{\eta} \phi_z \varphi_x dz \\ &= \phi(0, \eta) \varphi_x(0, \eta) - \phi(0, -h) \varphi_x(0, -h) - \int_{-h}^{\eta} \phi_z(0, z) \varphi_x(0, z) dz.\end{aligned}$$

- At  $z = -h$ ,  $dz = 0$ , so we have

$$\begin{aligned}\int_0^{\infty} \varphi_z \phi_z + \phi \varphi_{xz} dx &= \int_0^{\infty} \phi \varphi_{xz} dx \quad (\text{since } \phi_z(x, -h) = 0) \\ &= \phi(x, -h) \varphi_x(x, -h) \Big|_0^{\infty} - \int_0^{\infty} \phi_x(x, -h) \varphi_x(x, -h) dx \\ &= -\phi(0, -h) \varphi_x(0, -h) - \int_0^{\infty} \phi_x(x, -h) \varphi_x(x, -h) dx.\end{aligned}$$

- At  $z = \eta(x)$ ,  $dz = \eta_x dx$ , so we have

$$\begin{aligned}\int_0^{\infty} \varphi_z(\phi_x - \phi_x \eta_x) + \phi(\varphi_{xx} + \varphi_{xz} \eta_x) dx &= \int_0^{\infty} \varphi_z \frac{\partial \phi}{\partial N} + \phi \frac{d\varphi_x(x, \eta(x))}{dx} dx \\ &= \int_0^{\infty} \varphi_z \frac{\partial \phi}{\partial N} dx + \phi(x, \eta) \varphi_x(x, \eta) \Big|_0^{\infty} - \int_0^{\infty} \phi_x(x, \eta) \varphi_x(x, \eta) dx \\ &= \int_0^{\infty} \varphi_z(x, \eta) \frac{\partial \phi}{\partial N}(x, \eta) dx - \phi(0, \eta) \varphi_x(0, \eta) - \int_0^{\infty} \phi_x(x, \eta) \varphi_x(x, \eta) dx.\end{aligned}$$

Combine the segments:

$$\begin{aligned}0 &= \int_D \varphi_z(\phi_x dx - \phi_x dz) + \phi(\varphi_{xx} dx + \varphi_{xz} dz) \\ &= \left\{ \int_{-\infty}^{\infty} \Big|^{z=-h} + \int_{-h}^{\eta(x)} \Big|^{x \rightarrow \infty} - \int_{-\infty}^{\infty} \Big|^{z=\eta(x)} - \int_{-h}^{\eta} \Big|^{x=0} \right\} \varphi_z(\phi_x dx - \phi_x dz) + \phi(\varphi_{xx} dx + \varphi_{xz} dz) \\ &= -\phi(0, -h) \varphi_x(0, -h) - \int_0^{\infty} \phi_x(x, -h) \varphi_x(x, -h) dx \\ &\quad - \int_0^{\infty} \varphi_z(x, \eta) \frac{\partial \phi}{\partial N}(x, \eta) dx + \phi(0, \eta) \varphi_x(0, \eta) + \int_0^{\infty} \phi_x(x, \eta) \varphi_x(x, \eta) dx \\ &\quad - \phi(0, \eta) \varphi_x(0, \eta) + \phi(0, -h) \varphi_x(0, -h) + \int_{-h}^{\eta} \phi_z(0, z) \varphi_x(0, z) dz \\ &= - \int_0^{\infty} \phi_x(x, -h) \varphi_x(x, -h) dx - \int_0^{\infty} \varphi_z(x, \eta) \frac{\partial \phi}{\partial N}(x, \eta) dx + \int_0^{\infty} \phi_x(x, \eta) \varphi_x(x, \eta) dx + \int_{-h}^{\eta} \phi_z(0, z) \varphi_x(0, z) dz\end{aligned}$$

$$= \int_0^\infty \phi_x(x, \eta) \varphi_x(x, \eta) - \phi_x(x, -h) \varphi_x(x, -h) - \varphi_z(x, \eta) \frac{\partial \phi}{\partial N}(x, \eta) dx + \int_{-h}^\eta \phi_z(0, z) \varphi_x(0, z) dz$$

Force  $\varphi_x(0, z) = 0$ , so that we are left with:

$$\int_0^\infty \varphi_z(x, \eta) \frac{\partial \phi}{\partial N}(x, \eta) + \phi_x(x, -h) \varphi_x(x, -h) - \phi_x(x, \eta) \varphi_x(x, \eta) dx = 0. \quad (31)$$

Let  $\varphi = \cos(kx) \sinh(k(z + h))$ , and note that  $\phi_x(x, \eta) = \frac{\partial \phi}{\partial T}$  is the tangential derivative at  $z = \eta$ . The equation (31) becomes:

$$\int_0^\infty k \cos(kx) \cosh(k(\eta + h)) \frac{\partial \phi}{\partial N}(x, \eta) + k \sin(kx) \sinh(k(\eta + h)) \frac{\partial \phi}{\partial T}(x, \eta) dx = 0, \quad (32)$$

since  $\varphi_x(x, -h) = -k \sin(kx) \sinh(k(h - h)) = 0$ . Let  $\frac{\partial \phi}{\partial N}(x, \eta) = f(x)$ ,  $\frac{\partial \phi}{\partial T}(x, \eta) = \mathcal{H}(\eta, D)\{f(x)\}$  and assume  $k \neq 0$ , so that we obtain

$$\int_0^\infty \cos(kx) \cosh(k(\eta + h)) f(x) + \sin(kx) \sinh(k(\eta + h)) \mathcal{H}(\eta, D)\{f(x)\} dx = 0. \quad (33)$$

Observe that (36) is kinda like the real part of the original equation but on a half-line (maybe the other half-line is the imaginary part).

### 2.0.2 Nondimensional, nonlocal formulation: a half-line

As previously, let  $\phi$  be harmonic. Then, after some manipulation, we have the following contour integral (in non-dimensional variables):

$$\int_D \varphi_z \left( \frac{1}{\mu^2} \phi_z dx - \phi_x dz \right) + \phi (\varphi_{xx} dx + \varphi_{xz} dz) = 0. \quad (34)$$

Break the contour  $D$  in the following segments:

$$\{x \rightarrow \infty, -1 < z < \varepsilon\eta\}, \quad \{x = 0, -1 < z < \varepsilon\eta\}, \quad \{z = -1, 0 < x < \infty\}, \quad \{z = \varepsilon\eta, 0 < x < \infty\}.$$

We consider each of the segments.

- At  $x \rightarrow \infty$ , the integral vanishes due to behaviour of  $\phi$  and its gradient.
- At  $x = 0$ ,  $dx = 0$ , so we have

$$\int_{-1}^{\varepsilon\eta} -\varphi_z \phi_x + \phi \varphi_{xz} dz = \phi(0, \varepsilon\eta) \varphi_x(0, \varepsilon\eta) - \phi(0, -1) \varphi_x(0, -1) - \int_{-1}^{\varepsilon\eta} \phi_z(0, z) \varphi_x(0, z) dz.$$

- At  $z = -1$ ,  $dz = 0$ , so we have

$$\int_0^\infty \varphi_z \phi_z + \phi \varphi_{xx} dx = -\phi(0, -1) \varphi_x(0, -1) - \int_0^\infty \phi_x(x, -1) \varphi_x(x, -1) dx.$$

- At  $z = \varepsilon\eta(x)$ ,  $dz = \varepsilon\eta_x dx$ , so we have

$$\begin{aligned} & \int_0^\infty \frac{1}{\mu^2} \varphi_z (\phi_x - \varepsilon \phi_x \eta_x) + \phi (\varphi_{xx} + \varepsilon \varphi_{xz} \eta_x) dx \\ &= \int_0^\infty \frac{1}{\mu} \varphi_z \tilde{\nabla} \phi \cdot \tilde{N} + \frac{d\phi_x(x, \varepsilon\eta(x))}{dx} dx \\ &= \int_0^\infty \frac{1}{\mu} \varphi_z \tilde{\nabla} \phi \cdot \tilde{N} dx + \phi(x, \varepsilon\eta) \varphi_x(x, \varepsilon\eta) \Big|_0^\infty - \int_0^\infty \phi_x(x, \varepsilon\eta) \varphi_x(x, \varepsilon\eta) dx \\ &= \int_0^\infty \frac{1}{\mu} \varphi_z \tilde{\nabla} \phi \cdot \tilde{N} dx - \phi(0, \varepsilon\eta) \varphi_x(0, \varepsilon\eta) - \int_0^\infty \phi_x(x, \varepsilon\eta) \varphi_x(x, \varepsilon\eta) dx \end{aligned}$$

Combine the segments:

$$\begin{aligned}
0 &= \int_D \varphi_z \left( \frac{1}{\mu^2} \phi_x dx - \phi_x dz \right) + \phi (\varphi_{xx} dx + \varphi_{xz} dz) \\
&= \phi(0, \varepsilon\eta) \varphi_x(0, \varepsilon\eta) - \phi(0, -1) \varphi_x(0, -1) - \int_{-1}^{\varepsilon\eta} \phi_z(0, z) \varphi_x(0, z) dz \\
&\quad - \phi(0, -1) \varphi_x(0, -1) - \int_0^\infty \phi_x(x, -1) \varphi_x(x, -1) dx \\
&\quad + \int_0^\infty \frac{1}{\mu} \varphi_z \tilde{\nabla} \phi \cdot \tilde{N} dx - \phi(0, \varepsilon\eta) \varphi_x(0, \varepsilon\eta) - \int_0^\infty \phi_x(x, \varepsilon\eta) \varphi_x(x, \varepsilon\eta) dx \\
&= -2\phi(0, -h) \varphi_x(0, -1) - \int_{-1}^{\varepsilon\eta} \phi_z(0, z) \varphi_x(0, z) dz \\
&\quad + \int_0^\infty \frac{1}{\mu} \varphi_z \tilde{\nabla} \phi \cdot \tilde{N} dx - \int_0^\infty \phi_x(x, \varepsilon\eta) \varphi_x(x, \varepsilon\eta) dx - \int_0^\infty \phi_x(x, -1) \varphi_x(x, -1) dx.
\end{aligned}$$

Force  $\varphi_x(0, z) = 0$ , so that we are left with:

$$\int_0^\infty \frac{1}{\mu} \varphi_z \tilde{\nabla} \phi \cdot \tilde{N} dx - \phi_x(x, \varepsilon\eta) \varphi_x(x, \varepsilon\eta) dx - \phi_x(x, -1) \varphi_x(x, -1) dx.$$

Let  $\varphi = \cos(kx) \sinh(\mu k(z + 1))$ , and note that  $\phi_x(x, \varepsilon\eta) = \frac{\partial \phi}{\partial T}$  is the tangential derivative at  $z = \varepsilon\eta$ . The above becomes:

$$\int_0^\infty k \cos(kx) \cosh(\mu k(\eta + 1)) \tilde{\nabla} \phi \cdot \tilde{N} + k \sin(kx) \sinh(\mu k(\eta + 1)) \tilde{\nabla} \phi \cdot \tilde{T} dx = 0, \quad (35)$$

since  $\varphi_x(x, -1) = -k \sin(kx) \sinh(k(1 - 1)) = 0$ . Let

$$\tilde{\nabla} \phi \cdot \tilde{T} = \frac{\partial \phi}{\partial N}(x, \varepsilon\eta) = f(x), \quad \frac{\partial \phi}{\partial T}(x, \varepsilon\eta) = \mathcal{H}(\varepsilon\eta, D)\{f(x)\},$$

so that we obtain

$$\int_0^\infty \cos(kx) \cosh(\mu k(\eta + 1)) f(x) + \sin(kx) \sinh(\mu k(\eta + 1)) \mathcal{H}(\varepsilon\eta, D)\{f(x)\} dx = 0, \quad (36)$$

where we took  $k$  out of integral.

### 2.0.3 Perturbation expansion of the $\mathcal{H}$ operator: a half line.

Suppose

$$\mathcal{H}(\varepsilon\eta, D)\{f(x)\} = \sum_{j=0}^{\infty} \varepsilon^j \mathcal{H}_0(\varepsilon\eta, D)\{f(x)\}.$$

For notational convenience, we assume throughout that the  $\mathcal{H}$  operator is evaluated at  $(\varepsilon\eta, D)$ , so that we drop this term in writing. Expand in  $\varepsilon$ :

$$\begin{aligned}
\cosh(\mu k(\varepsilon\eta + 1)) &= \cosh(\mu k) + \varepsilon \mu k \eta \sinh(\mu k) + \frac{(\varepsilon \mu k \eta)^2}{2} \cosh(\mu k) + \dots, \\
\sinh(\mu k(\varepsilon\eta + 1)) &= \sinh(\mu k) + \varepsilon \mu k \eta \cosh(\mu k) + \frac{(\varepsilon \mu k \eta)^2}{2} \sinh(\mu k) + \dots,
\end{aligned}$$

so that (36) becomes

$$\begin{aligned}
&\int_0^\infty \cos(kx) (\cosh(\mu k) + \varepsilon \mu k \eta \sinh(\mu k) + \dots) f(x) \\
&\quad + \sin(kx) (\sinh(\mu k) + \varepsilon \mu k \eta \cosh(\mu k) + \dots) (\mathcal{H}_0 + \varepsilon \mathcal{H}_1 + \dots) \{f(x)\} dx = 0.
\end{aligned} \quad (37)$$

Within  $\mathcal{O}(\varepsilon^0)$ , we obtain

$$\int_0^\infty \cos(kx) \cosh(\mu k) f(x) + \sin(kx) \sinh(\mu k) \mathcal{H}_0\{f(x)\} dx = 0.$$

Let  $\mathcal{F}_c^k$  indicate the Fourier cosine transform, and similarly for the Fourier sine transform. Then, we have

$$\begin{aligned} \mathcal{F}_s^k\{\mathcal{H}_0\{f(x)\}\} &= -\mathcal{F}_c^k\{\coth(\mu k) f(x)\} \\ \implies \mathcal{H}_0\{f(x)\} &= -(\mathcal{F}_s^k)^{-1}\{\mathcal{F}_c^k\{\coth(\mu k) f(x)\}\} \\ \implies \mathcal{H}_0\{f(x)\} &= -\int_0^\infty \sin(kx) \coth(\mu k) \left( \frac{2}{\pi} \int_0^\infty \cos(kx) f(x) dx \right) dk \\ \implies \mathcal{H}_0\{f(x)\} &= -\int_0^\infty \sin(kx) \coth(\mu k) \widehat{f_c^k} dk = -\{\mathcal{F}_s^k\}^{-1}\{\coth(\mu k) \widehat{f_c^k}\}. \end{aligned}$$

Within  $\mathcal{O}(\varepsilon^1)$ , the equation (37) is

$$\int_0^\infty \cos(kx) \mu k \eta f(x) + \sin(kx) (\mathcal{H}_1\{f(x)\} + \mu k \eta \coth(\mu k) \mathcal{H}_0\{f(x)\}) dx = 0.$$

Then,

$$\begin{aligned} \int_0^\infty \sin(kx) \mathcal{H}_1\{f(x)\} dx &= -\mu k \left[ \int_0^\infty \cos(kx) \eta f(x) dx + \coth(\mu k) \int_0^\infty \sin(kx) \eta \mathcal{H}_0\{f(x)\} dx \right] \\ &= -\mu k \left[ \widehat{(\eta f(x))_c^k} + \coth(\mu k) \widehat{(\eta \mathcal{H}_0\{f(x)\})_c^k} \right], \end{aligned}$$

where Fourier sine transform is inverted to obtain

$$\mathcal{H}_1\{f(x)\} = -\{\mathcal{F}_s^k\}^{-1}\{\mu k \widehat{(\eta f(x))_c^k} + \mu k \coth(\mu k) \widehat{(\eta \mathcal{H}_0\{f(x)\})_c^k}\}.$$

In sum, we obtain

$$\begin{aligned} \mathcal{H}_0(\varepsilon \eta, D)\{f(x)\} &= -\{\mathcal{F}_s^k\}^{-1}\{\coth(\mu k) \widehat{f_c^k}\}, \\ \mathcal{H}_1(\varepsilon \eta, D)\{f(x)\} &= -\{\mathcal{F}_s^k\}^{-1}\{\mu k \widehat{(\eta f(x))_c^k} + \mu k \coth(\mu k) \widehat{(\eta \mathcal{H}_0\{f(x)\})_c^k}\}. \end{aligned}$$

#### 2.0.4 Deriving an expression for surface elevation: a half-line.

First, we'd like to approximate. Recall the non-dimensional single equation:

$$\partial_t (\mathcal{H}(\varepsilon \eta, D)\{\varepsilon \mu \eta_t\}) + \partial_x \left( \frac{1}{2} (\mathcal{H}(\varepsilon \eta, D)\{\varepsilon \mu \eta_t\})^2 + \varepsilon \eta - \frac{1}{2} \varepsilon \mu^2 \frac{(\eta_t + \eta_x \mathcal{H}(\varepsilon \eta, D)\{\varepsilon \mu \eta_t\})^2}{1 + \varepsilon^2 \mu^2 \eta_x^2} \right) = 0. \quad (38)$$

**Within  $\mathcal{O}(\mu^0)$ :**

we have  $\mathcal{H} \approx \mathcal{H}_0$ , and the single equation becomes:

$$\partial_t (\mathcal{H}_0(\varepsilon \eta, D)\{\varepsilon \mu \eta_t\}) + \varepsilon \partial_x \eta = 0. \quad (39)$$

Note

$$\begin{aligned} \mathcal{H}_0(\varepsilon \eta, D)\{\varepsilon \mu \eta_t\} &= -\int_0^\infty \sin(kx) \coth(\mu k) \widehat{(\varepsilon \mu \eta_t)_c^k} dk \\ &= -\varepsilon \int_0^\infty \sin(kx) \mu \coth(\mu k) \widehat{(\eta_t)_c^k} dk \\ &= -\varepsilon \int_0^\infty \sin(kx) \left( \frac{1}{k} + \frac{\mu^2 k}{3} + \dots \right) \widehat{(\eta_t)_c^k} dk \\ &\approx -\varepsilon \int_0^\infty \sin(kx) \frac{1}{k} \widehat{(\eta_t)_c^k} dk. \end{aligned}$$



Substituting into (39) yields

$$\begin{aligned} \partial_t(-\varepsilon \int_0^\infty \sin(kx) \frac{1}{k} \widehat{(\eta_t)_c^k} dk) + \varepsilon \eta_x = 0 &\implies - \int_0^\infty \sin(kx) \frac{1}{k} \widehat{(\eta_{tt})_c^k} dk + \eta_x = 0 \\ &\implies -\frac{1}{k} \widehat{(\eta_{tt})_c^k} + \widehat{\eta_{xs}}^k = 0. \end{aligned}$$

Note that via integration by parts and using a conservation law,

$$-\frac{1}{k} \widehat{(\eta_{tt})_c^k} = -\mathcal{F}_s^k \left\{ \int_0^x \eta_{tt} dx' \right\},$$

so that

$$-\frac{1}{k} \widehat{(\eta_{tt})_c^k} + \widehat{\eta_{xs}}^k = -\mathcal{F}_s^k \left\{ \int_0^x \eta_{tt} dx' \right\} + \widehat{\eta_{xs}}^k = 0.$$

Inverting the Sine transform yields:

$$-\int_0^x \eta_{tt} dx' + \eta_x = 0,$$

and differentiating with respect to  $x$  yields the wave equation.

**Within  $\mathcal{O}(\mu^2)$ :**

We have  $\mathcal{H} \approx \mathcal{H}_0 + \varepsilon \mathcal{H}_1$ , and the single equation becomes:

$$\partial_t(\mathcal{H}_0\{\varepsilon\mu\eta_t\} + \varepsilon\mathcal{H}_1\{\varepsilon\mu\eta_t\}) + \partial_x \left( \frac{1}{2}(\mathcal{H}_0\{\varepsilon\mu\eta_t\})^2 + \varepsilon\eta \right) = 0. \quad (40)$$

Note

$$\begin{aligned} \mathcal{H}_0\{\varepsilon\mu\eta_t\} &= -\{\mathcal{F}_s^k\}^{-1} \{ \coth(\mu k) \widehat{\varepsilon\mu(\eta_t)_c^k} \} \\ &= -\varepsilon \{\mathcal{F}_s^k\}^{-1} \{ \mu \coth(\mu k) \widehat{(\eta_t)_c^k} \}, \\ \mathcal{H}_1\{\varepsilon\mu\eta_t\} &= -\{\mathcal{F}_s^k\}^{-1} \{ k \mu \widehat{(\eta \varepsilon \mu \eta_t)_c^k} + k \mu \coth(\mu k) \widehat{(\eta \mathcal{H}_0\{\varepsilon\mu\eta_t\})_c^k} \} \\ &= -\varepsilon \mu \{\mathcal{F}_s^k\}^{-1} \{ \mu k \widehat{(\eta \eta_t)_c^k} + \mu k \coth(\mu k) \widehat{(\eta \mathcal{H}_0\{\eta_t\})_c^k} \}. \end{aligned}$$

Then,

$$\begin{aligned} \partial_t(\mathcal{H}_0\{\varepsilon\mu\eta_t\} + \varepsilon\mathcal{H}_1\{\varepsilon\mu\eta_t\}) &= -\partial_t \left( \varepsilon \{\mathcal{F}_s^k\}^{-1} \{ \mu \coth(\mu k) \widehat{(\eta_t)_c^k} \} + \varepsilon^2 \mu \{\mathcal{F}_s^k\}^{-1} \{ \mu k \widehat{(\eta \eta_t)_c^k} + \mu k \coth(\mu k) \widehat{(\eta \mathcal{H}_0\{\eta_t\})_c^k} \} \right) \\ &= -\varepsilon \left( \{\mathcal{F}_s^k\}^{-1} \{ \mu \coth(\mu k) \widehat{(\eta_{tt})_c^k} \} + \varepsilon \mu \{\mathcal{F}_s^k\}^{-1} \{ \mu k \partial_t \widehat{(\eta \eta_t)_c^k} + \mu k \coth(\mu k) \partial_t \widehat{(\eta \mathcal{H}_0\{\eta_t\})_c^k} \} \right) \end{aligned}$$

Moreover,

$$\begin{aligned} \frac{1}{2}(\mathcal{H}_0\{\varepsilon\mu\eta_t\})^2 &= \frac{1}{2}(-\varepsilon \{\mathcal{F}_s^k\}^{-1} \{ \mu \coth(\mu k) \widehat{(\eta_t)_c^k} \})^2 \\ &= \frac{\varepsilon^2}{2} (\{\mathcal{F}_s^k\}^{-1} \{ \mu \coth(\mu k) \widehat{(\eta_t)_c^k} \})^2. \end{aligned}$$

When combining the terms, the equation (40) becomes

$$\begin{aligned} \partial_t(\mathcal{H}_0\{\varepsilon\mu\eta_t\} + \varepsilon\mathcal{H}_1\{\varepsilon\mu\eta_t\}) + \partial_x \left( \frac{1}{2}(\mathcal{H}_0\{\varepsilon\mu\eta_t\})^2 + \varepsilon\eta \right) &= 0 \\ \implies -\varepsilon(\{\mathcal{F}_s^k\}^{-1} \{ \mu \coth(\mu k) \widehat{(\eta_{tt})_c^k} \} + \varepsilon \mu \{\mathcal{F}_s^k\}^{-1} \{ \mu k \partial_t \widehat{(\eta \eta_t)_c^k} + \mu k \coth(\mu k) \partial_t \widehat{(\eta \mathcal{H}_0\{\eta_t\})_c^k} \}) & \end{aligned}$$

$$\begin{aligned}
& + \frac{\varepsilon^2}{2} \partial_x (\{\mathcal{F}_s^k\}^{-1} \{\mu \coth(\mu k) \widehat{(\eta_t)_c^k}\})^2 + \varepsilon \eta_x = 0. \\
\implies & - (\{\mathcal{F}_s^k\}^{-1} \{\mu \coth(\mu k) \widehat{(\eta_{tt})_c^k}\} + \varepsilon \mu \{\mathcal{F}_s^k\}^{-1} \{\mu k \partial_t \widehat{(\eta \eta_t)_c^k} + \mu k \coth(\mu k) \partial_t \widehat{(\eta \mathcal{H}_0 \{\eta_t\})_c^k}\}) \\
& + \frac{\varepsilon}{2} \partial_x (\{\mathcal{F}_s^k\}^{-1} \{\mu \coth(\mu k) \widehat{(\eta_t)_c^k}\})^2 + \eta_x = 0.
\end{aligned}$$

Apply Fourier sine transform:

$$\begin{aligned}
& -(\mu \coth(\mu k) \widehat{(\eta_{tt})_c^k} + \varepsilon \mu^2 k \partial_t \widehat{(\eta \eta_t)_c^k} + \varepsilon \mu^2 k \coth(\mu k) \partial_t \widehat{(\eta \mathcal{H}_0 \{\eta_t\})_c^k}) \\
& + \frac{\varepsilon}{2} \mathcal{F}_s^k \{\partial_x (\{\mathcal{F}_s^k\}^{-1} \{\mu \coth(\mu k) \widehat{(\eta_t)_c^k}\})^2\} + \widehat{(\eta_x)_s^k} = 0.
\end{aligned}$$

Then, letting  $\varepsilon = \mu^2$  and expanding  $\mathcal{H}_0$  in

$$\begin{aligned}
& -(\mu \coth(\mu k) \widehat{(\eta_{tt})_c^k} + \varepsilon \mu^2 k \partial_t \widehat{(\eta \eta_t)_c^k} + \varepsilon \mu^2 k \coth(\mu k) \partial_t \widehat{(\eta \mathcal{H}_0 \{\eta_t\})_c^k}) \\
& + \frac{\varepsilon}{2} \mathcal{F}_s^k \{\partial_x (\{\mathcal{F}_s^k\}^{-1} \{\mu \coth(\mu k) \widehat{(\eta_t)_c^k}\})^2\} + \widehat{(\eta_x)_s^k} = 0,
\end{aligned}$$

we obtain

$$\begin{aligned}
& -\mu \coth(\mu k) \widehat{(\eta_{tt})_c^k} - \mu^4 k \partial_t \widehat{(\eta \eta_t)_c^k} + \mu^3 k \coth(\mu k) \partial_t \mathcal{F}_c^k \left\{ \left( \eta \{\mathcal{F}_s^l\}^{-1} \{\mu \coth(\mu l) \widehat{(\eta_t)_c^l}\} \right) \right\} \\
& + \frac{\mu^2}{2} \mathcal{F}_s^k \{\partial_x (\{\mathcal{F}_s^k\}^{-1} \{\mu \coth(\mu k) \widehat{(\eta_t)_c^k}\})^2\} + \widehat{(\eta_x)_s^k} = 0.
\end{aligned}$$

Expanding  $\coth(\mu k)$  yields:

$$\begin{aligned}
& -\mu \left( \frac{1}{\mu k} + \frac{\mu k}{3} \right) \widehat{(\eta_{tt})_c^k} - \mu^4 k \partial_t \widehat{(\eta \eta_t)_c^k} + \mu^3 k \left( \frac{1}{\mu k} + \frac{\mu k}{3} \right) \partial_t \mathcal{F}_c^k \left\{ \left( \eta \{\mathcal{F}_s^l\}^{-1} \left\{ \mu \left( \frac{1}{\mu l} + \frac{\mu l}{3} \right) \widehat{(\eta_t)_c^l} \right\} \right) \right\} \\
& + \frac{\mu^2}{2} \mathcal{F}_s^k \{\partial_x (\{\mathcal{F}_s^k\}^{-1} \left\{ \mu \left( \frac{1}{\mu k} + \frac{\mu k}{3} \right) \widehat{(\eta_t)_c^k} \right\})^2\} + \widehat{(\eta_x)_s^k} = 0,
\end{aligned}$$

and so

$$\begin{aligned}
& -\left( \frac{1}{k} + \frac{\mu^2 k}{3} \right) \widehat{(\eta_{tt})_c^k} - \mu^4 k \partial_t \widehat{(\eta \eta_t)_c^k} + \left( \mu^2 + \frac{\mu^4 k^2}{3} \right) \partial_t \mathcal{F}_c^k \left\{ \left( \eta \{\mathcal{F}_s^l\}^{-1} \left\{ \left( \frac{1}{l} + \frac{\mu^2 l}{3} \right) \widehat{(\eta_t)_c^l} \right\} \right) \right\} \\
& + \frac{\mu^2}{2} \mathcal{F}_s^k \{\partial_x (\{\mathcal{F}_s^k\}^{-1} \left\{ \left( \frac{1}{k} + \frac{\mu^2 k}{3} \right) \widehat{(\eta_t)_c^k} \right\})^2\} + \widehat{(\eta_x)_s^k} = 0.
\end{aligned}$$

Removing the terms of order  $\mathcal{O}(\mu^4)$  and rearranging, we obtain:

$$-\frac{1}{k} \widehat{(\eta_{tt})_c^k} + \widehat{(\eta_x)_s^k} + \mu^2 \left( \partial_t \mathcal{F}_c^k \left\{ \left( \eta \{\mathcal{F}_s^l\}^{-1} \left\{ \frac{1}{l} \widehat{(\eta_t)_c^l} \right\} \right) \right\} + \frac{1}{2} \mathcal{F}_s^k \{\partial_x (\{\mathcal{F}_s^k\}^{-1} \left\{ \frac{1}{k} \widehat{(\eta_t)_c^k} \right\})^2\} - \frac{k}{3} \widehat{(\eta_{tt})_c^k} \right) = 0. \quad (41)$$

Now, we would like to manipulate (41) so that we can apply inverse Fourier sine transform. First, note

$$\begin{aligned}
-\frac{1}{k} \widehat{(\eta_{tt})_c^k} &= -\frac{1}{k} \frac{1}{2\pi} \int_0^\infty \cos(kx) \eta_{tt} \, dx \\
&= -\frac{1}{2\pi} \frac{\cos(kx)}{k} \left( \int_0^x \eta_{tt} \, dx' \right) \Big|_0^\infty - \frac{1}{2\pi} \int_0^\infty \sin(kx) \left( \int_0^x \eta_{tt} \, dx' \right) \, dx \\
&= -\mathcal{F}_s^k \left\{ \int_0^x \eta_{tt} \, dx' \right\},
\end{aligned}$$

where the final line follows since  $\int_0^\infty \eta_{tt} \, dx' = 0$  is a conservation law (to be verified). Second, observe that

$$\frac{1}{l} \widehat{(\eta_t)_c^l} = \frac{1}{l} \frac{1}{2\pi} \int_0^\infty \cos(lx) \eta_t \, dx$$

$$\begin{aligned}
&= \frac{1}{2\pi} \frac{\cos(lx)}{l} \left( \int_0^x \eta_t \, dx' \right) \Big|_0^\infty + \frac{1}{2\pi} \int_0^\infty \sin(lx) \left( \int_0^x \eta_t \, dx' \right) \, dx \\
&= \mathcal{F}_s^l \left\{ \int_0^x \eta_t \, dx' \right\},
\end{aligned}$$

where similarly the last line follows since  $\int_0^\infty \eta_t \, dx' = 0$ , a conservation law (to be verified). This identity yields:

$$\begin{aligned}
\partial_t \mathcal{F}_c^k \{ \eta \{ \mathcal{F}_s^l \}^{-1} \{ \frac{1}{l} \widehat{(\eta_t)_c^l} \} \} &= \mathcal{F}_c^k \{ \partial_t \left( \eta \int_0^x \eta_t \, dx' \right) \}, \\
\frac{1}{2} \mathcal{F}_s^k \{ \partial_x ( \{ \mathcal{F}_s^k \}^{-1} \{ \frac{1}{k} \widehat{(\eta_t)_c^k} \} )^2 \} &= \frac{1}{2} \mathcal{F}_s^k \{ \partial_x \left( \int_0^x \eta_t \, dx' \right)^2 \}.
\end{aligned}$$

Thirdly, we have

$$\begin{aligned}
-\frac{k}{3} \widehat{(\eta_{tt})_c^k} &= -\frac{k}{3} \frac{1}{2\pi} \int_0^\infty \cos(kx) \eta_{tt} \, dx \\
&= -\frac{k}{3} \frac{1}{2\pi} \frac{\sin(kx)}{k} \eta_{tt} \Big|_0^\infty + \frac{1}{3} \frac{1}{2\pi} \int_0^\infty \sin(kx) \eta_{ttx} \, dx \\
&= \frac{1}{3} \mathcal{F}_s^k \{ \eta_{ttx} \},
\end{aligned}$$

where the last line follows by the assumption  $\lim_{x \rightarrow \infty} \eta_{tt} = 0$ . With these manipulations in mind, the equation (41) becomes

$$-\mathcal{F}_s^k \left\{ \int_0^x \eta_{tt} \, dx' \right\} + \widehat{(\eta_x)_s^k} + \mu^2 \left( \mathcal{F}_c^k \{ \partial_t \left( \eta \int_0^x \eta_t \, dx' \right) \} + \frac{1}{2} \mathcal{F}_s^k \{ \partial_x \left( \int_0^x \eta_t \, dx' \right)^2 \} + \frac{1}{3} \mathcal{F}_s^k \{ \eta_{ttx} \} \right) = 0. \quad (42)$$

Inverting the Fourier sine transform, we obtain

$$-\int_0^x \eta_{tt} \, dx' + \eta_x + \mu^2 \left( (\mathcal{F}_s^k)^{-1} \{ \mathcal{F}_c^k \{ \partial_t \left( \eta \int_0^x \eta_t \, dx' \right) \} \} + \frac{1}{2} \partial_x \left( \int_0^x \eta_t \, dx' \right)^2 + \frac{1}{3} \eta_{ttx} \right) = 0. \quad (43)$$

Take the derivative with respect to  $x$  :

$$-\eta_{tt} + \eta_{xx} + \mu^2 \left( \partial_x (\mathcal{F}_s^k)^{-1} \{ \mathcal{F}_c^k \{ \partial_t \left( \eta \int_0^x \eta_t \, dx' \right) \} \} + \frac{1}{2} \partial_x^2 \left( \int_0^x \eta_t \, dx' \right)^2 + \frac{1}{3} \eta_{ttxx} \right) = 0. \quad (44)$$

Rearranging and using  $\eta_{tt} = \eta_{xx} + \mathcal{O}(\mu^2)$ , we obtain the equation

$$\eta_{tt} - \eta_{xx} = \mu^2 \left( \frac{1}{3} \eta_{xxx} + \partial_x (\mathcal{F}_s^k)^{-1} \{ \mathcal{F}_c^k \{ \partial_t \left( \eta \int_0^x \eta_t \, dx' \right) \} \} + \frac{1}{2} \partial_x^2 \left( \int_0^x \eta_t \, dx' \right)^2 \right). \quad (45)$$

Clearly, the presence of the term with mixed transforms complicates things: we cannot apply integration by parts like we did for other terms, because doing so results in a multiple of  $k$  in the new term, which is exactly what we want to avoid. For comparison, the whole line equation for the surface is given by

$$\eta_{tt} - \eta_{xx} = \mu^2 \left( \frac{1}{3} \eta_{xxx} + \partial_x \left[ \eta_t \left( \int_{-\infty}^x \eta_t \, dx' \right) + \eta \eta_x \right] + \frac{1}{2} \partial_x^2 \left( \int_{-\infty}^x \eta_t \, dx' \right)^2 \right).$$

### Sultan's calculations

Consider the term

$$\varepsilon \mu^2 \frac{(\eta_t + \eta_x \mathcal{H}(\varepsilon \eta, D) \{ \varepsilon \mu \eta_t \})^2}{1 + \varepsilon^2 \mu^2 \eta_x^2}.$$

Note that  $|\varepsilon\mu\eta_x| < 1$ , so

$$\frac{1}{1 + \varepsilon^2\mu^2\eta_x^2} = \frac{1}{1 - (-\varepsilon^2\mu^2\eta_x^2)} \approx 1 + \varepsilon^2\mu^2\eta_x^2,$$

by geometric series argument. Furthermore,

$$\begin{aligned} (\eta_t + \eta_x \mathcal{H}(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\})^2 &\approx \eta_t^2 + 2\eta_t\eta_x \mathcal{H}(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\} \\ &\approx \eta_t^2 + 2\eta_t\eta_x \mathcal{H}_0(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\}, \end{aligned}$$

so we can assume

$$\varepsilon\mu^2 \frac{(\eta_t + \eta_x \mathcal{H}(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\})^2}{1 + \varepsilon^2\mu^2\eta_x^2} \approx \varepsilon\mu^2(\eta_t^2 + 2\eta_t\eta_x \mathcal{H}_0(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\})$$

Then,

$$\begin{aligned} \frac{1}{2} (\mathcal{H}(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\})^2 &\approx \frac{1}{2} ([\mathcal{H}_0(\varepsilon\eta, D) + \varepsilon\mathcal{H}_1(\varepsilon\eta, D)] \{\varepsilon\mu\eta_t\})^2 \\ &= \frac{1}{2} ([\mathcal{H}_0(\varepsilon\eta, D)^2 + 2\varepsilon\mathcal{H}_0(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\}\mathcal{H}_1(\varepsilon\eta, D)] \{\varepsilon\mu\eta_t\}) \\ &= \frac{1}{2} (\mathcal{H}_0(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\})^2 + \varepsilon\mathcal{H}_0(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\}\mathcal{H}_1(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\} \\ &= \frac{1}{2} (\mathcal{H}_0(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\})^2 + \varepsilon^3\mu^2\mathcal{H}_0(\varepsilon\eta, D)\{\eta_t\}\mathcal{H}_1(\varepsilon\eta, D)\{\eta_t\} \\ &= \frac{(\varepsilon\mu)^2}{2} [\mathcal{F}^{-1}\{i \coth(\mu k)\widehat{\eta_t}\}]^2 \\ &\quad + \varepsilon^3\mu^2 [\mathcal{F}^{-1}\{i \coth(\mu k)\widehat{\eta_t}\}] (\mu(\eta f)_x - \mathcal{F}^{-1}\{\mu k \coth(\mu k)\mathcal{F}\{\eta\mathcal{F}^{-1}\{i \coth(\mu k)\widehat{\eta_t}\}\}}). \end{aligned}$$

In the leading two orders, we have

$$\begin{aligned} \partial_t (\mathcal{H}(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\}) + \partial_x \left( \frac{1}{2} (\mathcal{H}(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\})^2 + \varepsilon\eta - \frac{1}{2}\varepsilon\mu^2 \frac{(\eta_t + \eta_x \mathcal{H}(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\})^2}{1 + \varepsilon^2\mu^2\eta_x^2} \right) &= 0 \implies \\ \partial_t ([\mathcal{H}_0(\varepsilon\eta, D) + \varepsilon\mathcal{H}_1(\varepsilon\eta, D)] \{\varepsilon\mu\eta_t\}) + \partial_x \left( \frac{1}{2} (\mathcal{H}_0(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\})^2 + \varepsilon\mathcal{H}_0(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\}\mathcal{H}_1(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\} \right. \\ &\quad \left. + \varepsilon\eta - \frac{1}{2}\varepsilon\mu^2\eta_t^2 \right) = 0 \\ \partial_t ([\mathcal{H}_0(\varepsilon\eta, D) + \varepsilon\mathcal{H}_1(\varepsilon\eta, D)] \{\varepsilon\mu\eta_t\}) + \partial_x \left( \frac{1}{2} (\mathcal{H}_0(\varepsilon\eta, D)\{\varepsilon\mu\eta_t\})^2 + \varepsilon^3\mu^2\mathcal{H}_0(\varepsilon\eta, D)\{\eta_t\}\mathcal{H}_1(\varepsilon\eta, D)\{\eta_t\} \right. \\ &\quad \left. + \varepsilon\eta - \frac{1}{2}\varepsilon\mu^2\eta_t^2 \right) = 0. \end{aligned}$$

Consider each term:

$$\begin{aligned} \partial_t ([\mathcal{H}_0(\varepsilon\eta, D) + \varepsilon\mathcal{H}_1(\varepsilon\eta, D)] \{\varepsilon\mu\eta_t\}) &= \varepsilon\mu\partial_t ([\mathcal{H}_0(\varepsilon\eta, D) + \varepsilon\mathcal{H}_1(\varepsilon\eta, D)] \{\eta_t\}) \\ &= \varepsilon\mu\mathcal{F}^{-1}\{i \coth(\mu k)\widehat{\eta_{tt}}\} + \varepsilon^2\mu^2(\eta\eta_t)_{tx} \\ &\quad - \varepsilon^2\mu\mathcal{F}^{-1}\{\mu k \coth(\mu k)\mathcal{F}\{\partial_t [\eta\mathcal{F}^{-1}\{i \coth(\mu k)\widehat{\eta_t}\}]\}\}. \end{aligned}$$

Then,

$$\begin{aligned} \varepsilon\mu\mathcal{F}^{-1}\{i \coth(\mu k)\widehat{\eta_{tt}}\} + \varepsilon^2\mu^2(\eta\eta_t)_{tx} - \varepsilon^2\mu\mathcal{F}^{-1}\{\mu k \coth(\mu k)\mathcal{F}\{\partial_t [\eta\mathcal{F}^{-1}\{i \coth(\mu k)\widehat{\eta_t}\}]\}\} \\ + \frac{(\varepsilon\mu)^2}{2}\partial_x [\mathcal{F}^{-1}\{i \coth(\mu k)\widehat{\eta_t}\}]^2 \\ + \varepsilon^3\mu^2\partial_x [\mathcal{F}^{-1}\{i \coth(\mu k)\widehat{\eta_t}\}] [\mu(\eta f)_x - \mathcal{F}^{-1}\{\mu l \coth(\mu l)\mathcal{F}\{\eta\mathcal{F}^{-1}\{i \coth(\mu j)\widehat{\eta_t}\}_k\}}] \\ + \varepsilon\partial_x\eta - \frac{1}{2}\varepsilon\mu^2\partial_x(\eta_t^2) = 0. \end{aligned}$$

Invert Fourier transform:

$$\varepsilon\mu i \coth(\mu k)\widehat{\eta_{tt}} + \varepsilon^2\mu^2 i k (\widehat{\eta\eta_t})_t - \varepsilon^2\mu(\mu k \coth(\mu k)\mathcal{F}\{\partial_t [\eta\mathcal{F}^{-1}\{i \coth(\mu k)\widehat{\eta_t}\}]\})$$

$$\begin{aligned}
& + \frac{(\varepsilon\mu)^2}{2} ik \mathcal{F} \{ [\mathcal{F}^{-1} \{ i \coth(\mu k) \widehat{\eta}_t \} ]^2 \} \\
& + \varepsilon^3 \mu^2 ik \mathcal{F} \left( [\mathcal{F}^{-1} \{ i \coth(\mu k) \widehat{\eta}_t \} ] [\mu(\eta f)_x - \mathcal{F}^{-1} \{ \mu l \coth(\mu l) \mathcal{F} \{ \eta \mathcal{F}^{-1} \{ i \coth(\mu j) \widehat{\eta}_t \}_k \} ] \right) \\
& + \varepsilon ik \widehat{\eta} - \frac{1}{2} \varepsilon \mu^2 ik \widehat{\eta}_t^2 = 0.
\end{aligned}$$

Divide by  $i\varepsilon$  :

$$\begin{aligned}
& \mu \coth(\mu k) \widehat{\eta}_{tt} + \varepsilon \mu^2 k \widehat{(\eta \eta_t)}_t - \varepsilon \mu (\mu k \coth(\mu k) \mathcal{F} \{ \partial_t [\eta \mathcal{F}^{-1} \{ \coth(\mu k) \widehat{\eta}_t \} ] \}) \\
& + \frac{\varepsilon \mu^2}{2} k \mathcal{F} \{ [\mathcal{F}^{-1} \{ i \coth(\mu k) \widehat{\eta}_t \} ]^2 \} \\
& + \varepsilon^2 \mu^2 k \mathcal{F} \left( [\mathcal{F}^{-1} \{ i \coth(\mu k) \widehat{\eta}_t \} ] [\mu(\eta f)_x - \mathcal{F}^{-1} \{ \mu l \coth(\mu l) \mathcal{F} \{ \eta \mathcal{F}^{-1} \{ i \coth(\mu j) \widehat{\eta}_t \}_k \} ] \right) \\
& + k \widehat{\eta} - \frac{1}{2} \mu^2 k \widehat{\eta}_t^2 = 0.
\end{aligned}$$

Divide by  $\coth(\mu k)$  :

$$\begin{aligned}
& \mu \widehat{\eta}_{tt} + \varepsilon \mu^2 k \tanh(\mu k) \widehat{(\eta \eta_t)}_t - \varepsilon \mu (\mu k \mathcal{F} \{ \partial_t [\eta \mathcal{F}^{-1} \{ \coth(\mu k) \widehat{\eta}_t \} ] \}) \\
& + \frac{\varepsilon \mu^2}{2} k \tanh(\mu k) \mathcal{F} \{ [\mathcal{F}^{-1} \{ i \coth(\mu k) \widehat{\eta}_t \} ]^2 \} \\
& + \varepsilon^2 \mu^2 k \tanh(\mu k) \mathcal{F} \left( [\mathcal{F}^{-1} \{ i \coth(\mu k) \widehat{\eta}_t \} ] [\mu(\eta f)_x - \mathcal{F}^{-1} \{ \mu l \coth(\mu l) \mathcal{F} \{ \eta \mathcal{F}^{-1} \{ i \coth(\mu j) \widehat{\eta}_t \}_k \} ] \right) \\
& + \tanh(\mu k) k \widehat{\eta} - \tanh(\mu k) \frac{1}{2} \mu^2 k \widehat{\eta}_t^2 = 0.
\end{aligned}$$

Let  $\varepsilon = \mu^2$  and recall expansions:

$$\tanh(\mu k) \approx \mu k - \frac{(\mu k)^3}{3} + \mathcal{O}(\mu^5), \quad \coth(\mu k) \approx \frac{1}{\mu k} + \frac{\mu k}{3} + \mathcal{O}(\mu^3).$$

Substitute the expansions appropriately:

$$\begin{aligned}
& \mu \widehat{\eta}_{tt} + \mu^4 k \left( \mu k - \frac{(\mu k)^3}{3} \right) \widehat{(\eta \eta_t)}_t - i \mu^3 k (\mathcal{F} \{ \partial_t [\eta \mathcal{F}^{-1} \{ \left( \frac{1}{k} + \frac{\mu^2 k}{3} \right) \widehat{\eta}_t \} ] \}) \\
& - \frac{\varepsilon \mu^2}{2} k \left( \mu k - \frac{(\mu k)^3}{3} \right) \mathcal{F} \{ [\mathcal{F}^{-1} \{ \left( \frac{1}{\mu l} + \frac{\mu l}{3} \right) \widehat{\eta}_t \} ]^2 \} \\
& + \varepsilon^2 \mu^3 k^2 \left( 1 - \frac{(\mu k)^2}{3} \right) \mathcal{F} \left( [\mathcal{F}^{-1} \{ i \left( \frac{1}{\mu k} + \frac{\mu k}{3} \right) \widehat{\eta}_t \} ] [\mu(\eta f)_x - \mathcal{F}^{-1} \{ \left( 1 + \frac{(\mu l)^2}{3} \right) \mathcal{F} \{ \eta \mathcal{F}^{-1} \{ i \left( \frac{1}{\mu j} + \frac{\mu j}{3} \right) \widehat{\eta}_t \} \} ] \right) \\
& + \left( \mu k - \frac{(\mu k)^3}{3} \right) k \widehat{\eta} - \left( \mu k - \frac{(\mu k)^3}{3} \right) \frac{1}{2} \mu^2 k \widehat{\eta}_t^2 = 0.
\end{aligned}$$

Consider the term on the third line: we divide by  $\mu$ , and eliminate terms within  $\mathcal{O}(\mu^4)$  :

$$\begin{aligned}
& \varepsilon^2 \mu^3 k^2 \left( 1 - \frac{(\mu k)^2}{3} \right) \mathcal{F} \left( [\mathcal{F}^{-1} \{ i \left( \frac{1}{\mu k} + \frac{\mu k}{3} \right) \widehat{\eta}_t \} ] [\mu(\eta f)_x - \mathcal{F}^{-1} \{ \left( 1 + \frac{(\mu l)^2}{3} \right) \mathcal{F} \{ \eta \mathcal{F}^{-1} \{ i \left( \frac{1}{\mu j} + \frac{\mu j}{3} \right) \widehat{\eta}_t \} \} ] \right) = \\
& \varepsilon^2 \mu k^2 \left( 1 - \frac{(\mu k)^2}{3} \right) \mathcal{F} \left( [\mathcal{F}^{-1} \{ i \left( \frac{\mu}{\mu k} + \frac{\mu^2 k}{3} \right) \widehat{\eta}_t \} ] [\mu^2 (\eta f)_x - \mathcal{F}^{-1} \{ \left( 1 + \frac{(\mu l)^2}{3} \right) \mathcal{F} \{ \eta \mathcal{F}^{-1} \{ i \left( \frac{\mu}{\mu j} + \frac{\mu^2 j}{3} \right) \widehat{\eta}_t \} \} ] \right) = \\
& \varepsilon^2 \mu k^2 \left( 1 - \frac{(\mu k)^2}{3} \right) \mathcal{F} \left( [\mathcal{F}^{-1} \{ i \left( \frac{1}{k} + \frac{\mu^2 k}{3} \right) \widehat{\eta}_t \} ] [\mu^2 (\eta f)_x - \mathcal{F}^{-1} \{ \left( 1 + \frac{(\mu l)^2}{3} \right) \mathcal{F} \{ \eta \mathcal{F}^{-1} \{ i \left( \frac{1}{j} + \frac{\mu^2 j}{3} \right) \widehat{\eta}_t \} \} ] \right) \approx \\
& \varepsilon^2 \mu k^2 \mathcal{F} \left( \eta [\mathcal{F}^{-1} \{ \frac{1}{k} \widehat{\eta}_t \} ] [\mathcal{F}^{-1} \{ \frac{1}{j} \widehat{\eta}_t \} ] \right),
\end{aligned}$$

divide by  $\mu$  to obtain

$$\varepsilon^2 k^2 \mathcal{F} \left( \eta [\mathcal{F}^{-1} \{ \frac{1}{k} \widehat{\eta}_t \} ] [\mathcal{F}^{-1} \{ \frac{1}{j} \widehat{\eta}_t \} ] \right) = \varepsilon^2 k^2 \mathcal{F} \left( \eta \left[ \int_{-\infty}^x \eta_t \, dx' \right]^2 \right),$$

Divide through by  $\mu$ , eliminate terms of order  $\mathcal{O}(\mu^4)$  and rearrange:

$$\widehat{\eta_{tt}} + k^2 \widehat{\eta} + \mu^2 \left( -ik(\mathcal{F}\{\partial_t \left[ \eta \int_{-\infty}^x \eta_t dx \right]\}) - \frac{1}{2} k^2 \mathcal{F}\left\{ \left[ \mathcal{F}^{-1}\left\{ \frac{1}{l} \widehat{\eta}_t \right\} \right]^2 \right\} - \frac{k^4}{3} \widehat{\eta} - \frac{k^2}{2} \widehat{\eta_t^2} \right) = 0.$$

Finally, invert Fourier transform:

$$\eta_{tt} - \eta_{xx} + \mu^2 \left( -\partial_x \partial_t \left[ \eta \int_{-\infty}^x \eta_t dx \right] + \frac{1}{2} \partial_x^2 \left[ \mathcal{F}^{-1}\left\{ \frac{1}{l} \widehat{\eta}_t \right\} \right]^2 - \frac{1}{3} \eta_{xxxx} + \frac{1}{2} \partial_x^2 \eta_t^2 \right) = 0,$$

or more conveniently,

$$\eta_{tt} - \eta_{xx} = \mu^2 \left( \frac{1}{3} \eta_{xxxx} - \frac{1}{2} \partial_x^2 \eta_t^2 + \partial_x \partial_t \left[ \eta \int_{-\infty}^x \eta_t dx \right] + \frac{1}{2} \frac{\partial^2}{\partial x^2} \left[ \int_{-\infty}^x \eta_t dx' \right]^2 \right)$$

For direct comparison, in [1, p. 110], the corresponding equation is the equation (5.20)

$$\eta_{tt} - \eta_{xx} = \mu^2 \left( \frac{1}{3} \eta_{xxxx} + \eta_{xt} \int_{-\infty}^x \eta_t dx' + \eta_x^2 + \eta_t^2 + \eta \eta_{xx} + \frac{1}{2} \frac{\partial^2}{\partial x^2} \left[ \int_{-\infty}^x \eta_t dx' \right]^2 \right),$$

## References

- [1] Mark J. Ablowitz, *Nonlinear dispersive waves: Asymptotic analysis and solitons*, Cambridge University Press, 2011.