

BFS ( $\#$ )

repeat till  $Q$  is empty

$v = \text{Degree}(Q)$  for all  $w$  of  $v$  if  $\text{visited}[w] = \text{false}$

$\text{Engm}(w)$ ,  $\text{visited}[w] = \text{true}$ .

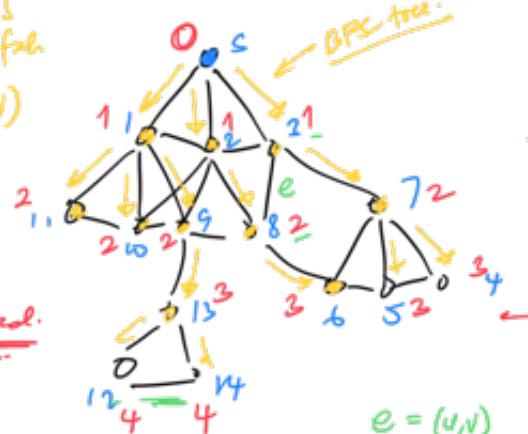
$\text{Level}(w) = \text{Level}(v) + 1$ ;  $p[w] = v$ ; parent inf.

$$\sum (\deg(v) + 1) = |V| + |E|$$

for  $v = 1, \dots, n$   
if  $\text{visited}[v] = \text{false}$   
 $\text{BFS}(v)$

Level( $v$ )

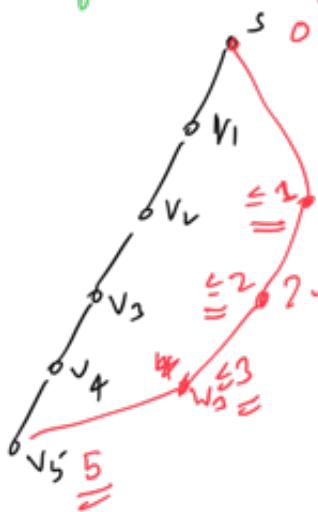
"distance from  $s$   
in the BFS traversal."



0	1	[1]	[1]	[2	2	2]	2]
X	X	X	3	9	10	11	8
1	2	1	2	2	1	2	1
1	2	1	2	2	1	2	1
1	2	1	2	2	1	2	1

How do we prove  
that BFS tree  
is a shortest path??

Any  $(u, v) \in E$ :  $|\text{level}(u) - \text{level}(v)| \leq 1$



5 edges s to  $v_5$  in the  
BFS tree.

a there is a shorter path from  $s$  to  $v_5$ : 4 edges?

$\Rightarrow$  BFS tree is a shortest path tree.

watch  
 $|E| \leq |V|$

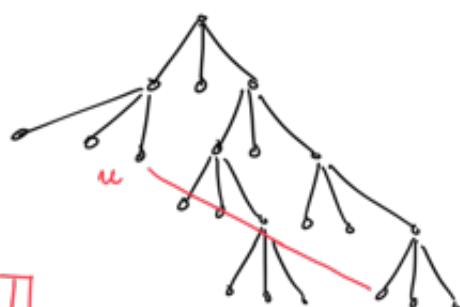
Maxim.:



Least  
 $\leq k$ .

Property of BFS tree:

\* If  $(u, v) \in E$ ,  $\text{level}(u), \text{level}(v)$   
differ by at most 1.

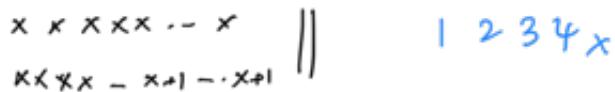


Q								
X	X	X	X	X+1	X+1	...	...	X+1

the Q always has the following property:

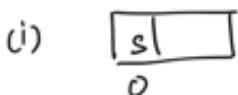
U  
=

- the levels of the vertices are in ascending order from front to rear.
  - levels of any two vertices on Q differ by at most 1.

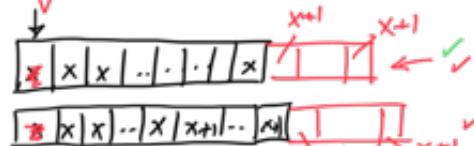


Prove by induction (on the # steps of the Bfs alg.) :

I4 ✓ (i)  $P$  Satisfied at the beginning  
 (ii) Suppose  $P$  is satisfied at some step, then  $P$  is also satisfied at the next step.



(٦)



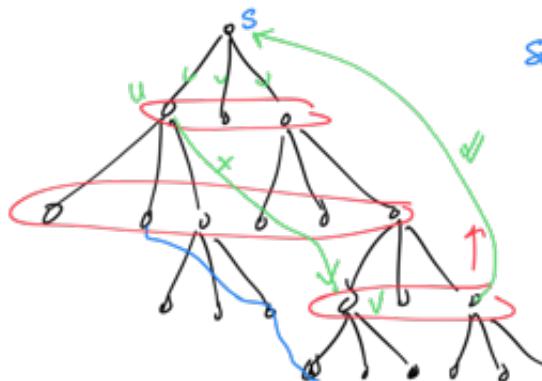
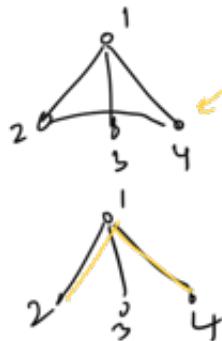
Degrees(v), Enqueue some of the neighbours of v.

$\otimes$ : Suppose  $(u, v) \in E$ . Let us say

$\rightarrow$  u is Degraded before v.  
 $\nearrow$  at some time t.

Where is  $v$ ? (i)  $v$  is in the first bin to  $\rightarrow P \Rightarrow \text{level}(v) = \begin{cases} \text{level}(u) & \text{or} \\ \text{level}(u)+1 \end{cases}$

$\therefore \underline{\text{level}(v)} = \text{level}(u)$  or  $\text{level}(u) + 1$       alg. will add  $v$  to the Q  
 or (ii)  $v$  has not been visited yet.  $\rightarrow$  at  $\text{level}(v) = \text{level}(u) + 1$ .



Suppose  $v$  is a vertex at level  $l$  of the Bfs tree.

$$S \quad v_1 \quad v_2 \quad \cdots \quad v$$

Let  $P$  be any path in the graph from  $s$  to  $v$ .

$$S = w_1 + w_2 + w_3 + \dots + v.$$

$w_1$        $w_2$        $w_3$        $\dots$        $v$

Directed Graph :   $\text{level}(w_i) \leq i$      $\text{level}(v) = k$ .  $\Rightarrow \underline{k \geq l}$ .

## Shortest Path in Weighted (Directed) Graphs:

6

Each edge  $e$  has a weight  $w_e \geq 0$



$\forall e : w_e = 1 \rightarrow \text{BFS. } \checkmark$

subdivide into edges and then run BFS

BFS visits vertices in order of levels.  $\frac{L}{v} \cdot 10^8$  impurity

$s, v_1, v_2, \underline{v_3}, v_4, \dots, v_n$

Dijkstra's alg. will also "visit" vertices in order of increasing distance from  $s$ :

$s, v_1, v_2, v_3, \dots, v_n \leftarrow$  Vertices arranged in circ. order  
of distance from  $s$ .

$s, v_1, v_2, \dots, v_i$

$0 \ d_1 \ d_2 \ \dots \ d_i$

$v_{i+1} ??$

$t$  (threshold)

$w_e > 0$

$s \geq 2$  P.

$w_e > 0$

A hand-drawn diagram of a sphere labeled  $S$ . Inside the sphere, there are three points:  $v_i$  at the top center,  $v_j$  at the bottom right, and  $d_i$  at the top left. A red line segment connects  $v_i$  and  $v_j$ . Outside the sphere to the right, there is a point labeled  $w$ .

Let us consider the shortest path from  $s$  to  $v_{i+1}$ .

$$\delta^+(s) = \{ (u, v) : u \in s, v \notin s \}$$

het  $s \leftarrow \{s\}$ .  $D[s] = 0$

then the length of the path

AVL tree

while (~~S is not all of V~~) {  
    ~~s = (u,v)~~   ~~use v as S~~

Consider all edges in  $E^+(S)$

$$d(e) = D[u] + w(e)$$

pick the min. value  $x_0 = e^*$

$$\checkmark \quad \text{Suppose } e^* = [u, v] = \text{vector } [v] = \text{from}$$

then add  $y$  to  $S$ .  $D[y] = x_2^*$

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After  $i$  iterations of the while loop

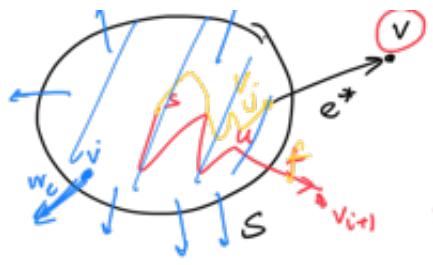
$S = \{s, v_1, \dots, v_i\}$ , and  $D[v]$  will be the shortest path distance from  $s$  to  $v$  for all  $v \in S$ .

$$\text{Basis Case: } S = S_S \quad D(S) = 0 \quad \checkmark$$

$$\text{Induction Case: } S = \{S[v_1, \dots, v_i]\}, D[v_1], \dots, D[v_i] \quad \checkmark$$

$\downarrow \qquad \uparrow \qquad \sim$

$$\sum_v d(v) \cdot w_v = |E| \cdot \bar{w}$$



Claim:  $v = v_{i+1}$  and  $x_{e^*} = D[v]$

Let  $P$  be the shortest path from  $s$  to  $v_{i+1}$ .

$$x_f = D[u] + l(f) = D[v_{i+1}]$$

$$\leftarrow x_{e^*} = D[v_j] + l(e^*) \leftarrow$$

$$\underline{x_{e^*} \leq x_f} \Rightarrow v = v_{i+1}$$

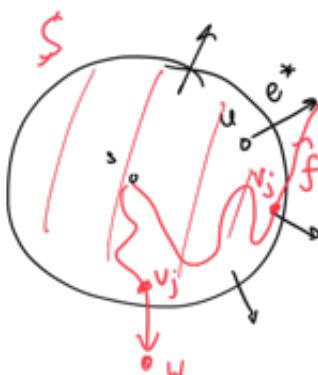
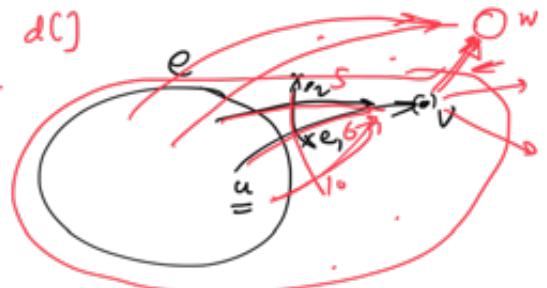
$$x_{e^*} = D[v_{i+1}] //$$

Let  $S \leftarrow \{s\}$

while ( $\dots$ )  $\{ d(v) = \infty$

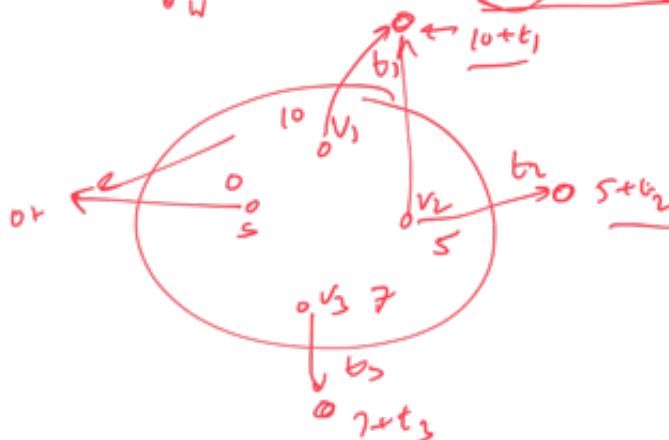
- || For every edge  $e = (u, v)$  in  $\delta^+(S)$   $d[v] = D[u] + w_e$
- || pick the vertex  $v \notin S$  with min  $d[v]$  value.

Add  $v$  to  $S$ ,  $D[v] \leftarrow d[v]$



$$p(u) + w(e^*) = x(e^*)$$

$$x(f) = D[v_j] + w(f)$$



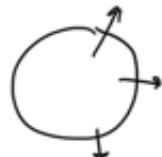
$\rightarrow x$



$d(v)$

AVL tree  
Xe values

$\delta^+(S)$



$S, v_1, \dots, v_i, v, D[\cdot]$

$m = |E|, n = |V|$

Dijkstra alg.

Xe

$$\min_w [w + D[u]]$$

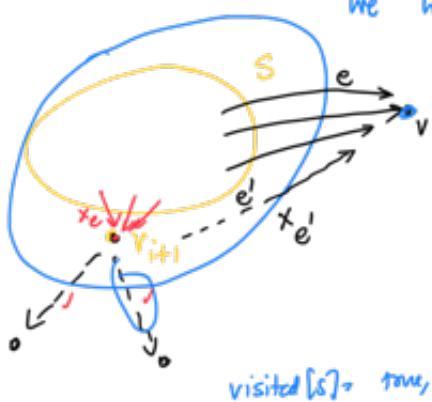
$$\min_w [w + D[u]]$$

$$\min_w [w + D[u]]$$

$$\text{II } e \in S^+(S)$$

$$d(v) := \min_{e: (u, v)} [w_e + D[u]]$$

ues



$$v \notin S \quad e: (u, v) \quad u \in S$$

$d(v)$

$\min_{v \notin S} d(v)$

AVL tree  
from  $x_e$  for  
all  $e \in S$ .

$$\min_{\substack{e: (u, v) \\ u \in S}} [w_e + D[u]]$$

$\deg(v_{i+1}) \cdot \log m$   
 $m \log m$   
 $m \log n$

$$d(v) = \min [d(v), w_e + D[v_{i+1}]]$$

visited[S] = true,

$$D[S] = 0 \text{ initially } \quad d[v] = \infty, \quad v \notin S$$



repeat {

let  $u$  be the vertex  $\text{visited}[u] = \text{false}$  and  $d(u)$  is min.

$$\text{visited}[u] = \text{true}; \quad D[u] = d(u) \leftarrow \text{stored in AVL/Heap.}$$

for all edges  $e: (u \rightarrow v)$  where  $\text{visited}[v] = \text{false}$

$$d[v] = \min(d[v], w_e + D[u]); \quad p[v] = u$$

Fibonacci Heap:  $m + n \log n$  ||  $(m \geq n-1)$ .  
→ Heap:  $m \log n$  ||

What happens when we could be -ve. edge lengths!

i)  $w_e = -1$  for all edges: longest path

min.  $m \log |P|$



$$W = -\log \alpha_i$$

$$\begin{array}{c} d_1 \\ \swarrow \quad \searrow \\ d_1 \quad d_2 \\ \downarrow \quad \downarrow \\ d_1 d_2 \end{array}$$

$$\alpha_1 \cdot \alpha_2 \cdot \alpha_3 > 1$$

is there a cycle whose length is negative?

Q: Want to detect if there is a cycle such that

$$\prod_{i \in C} \alpha_i > 1 ?$$

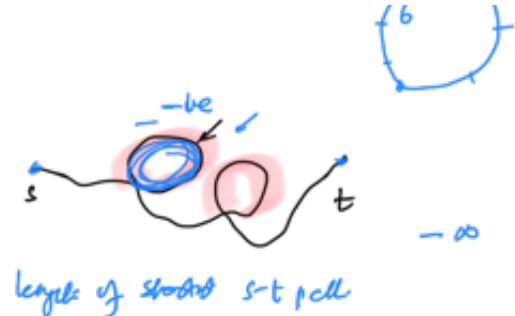
$$\sum_{i \in C} \log(\alpha_i) > 0$$

$$\text{or } \sum_{i \in C} -\log(\alpha_i) < 0$$

A-S

$s, t$

- shortest  $s - t$  path
- shortest  $s - t$  walk  $\rightarrow \infty$
- if -ve edge present, then length of shortest  $s - t$  walk would be < length of shortest  $s - t$  path



As long as there is no negative cycle, shortest walk = shortest path

[There are polynomial time alg. to find whether shortest  $s - t$  path]

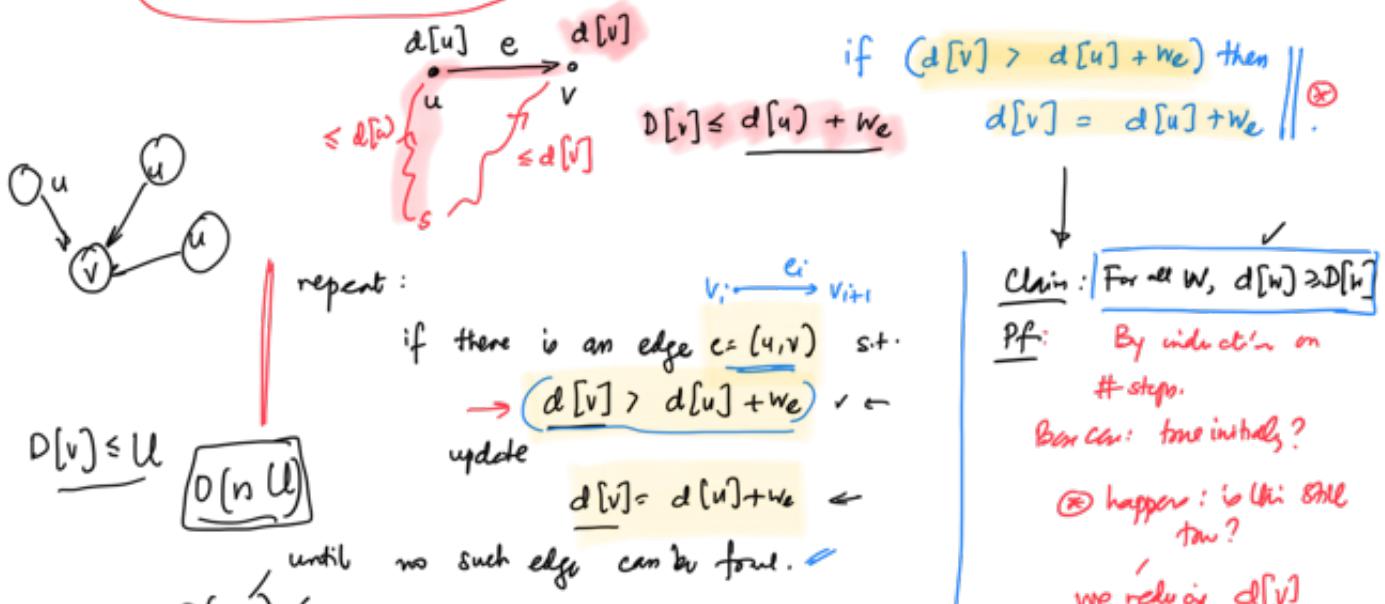
Bellman-Ford Alg.:  $O(mn)$  ✓       $O(m \log n)$  ✓

Maintain  $d[v]$ : estimate of shortest path from  $s$  to  $v$ .

$D[v]$ : length of the shortest "

$d[v] \geq D[w]$  always

Initially  $d[s] = 0$ ,  $d[v] = \infty$ .

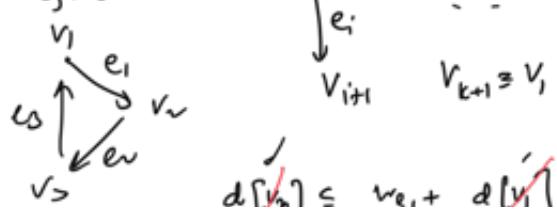


This procedure will run in an infinite loop if there is a negative cycle! When the procedure stops:  $d[v] \leq d[u] + we$   
 $\forall e = (u, v)$

Pf: Suppose not. Suppose it terminates.

#  $e_i$ : it must be the case that  
 $\forall i \rightarrow d[v_{i+1}] \leq we_i + d[v_i]$

add all these inequalities:



$we_1 + we_2 + \dots + we_k < 0$   $\textcircled{B}$  ✓

Suppose  $d[v_{i+1}] > d[v_i] + we_i$

we reduce  $d[v]$

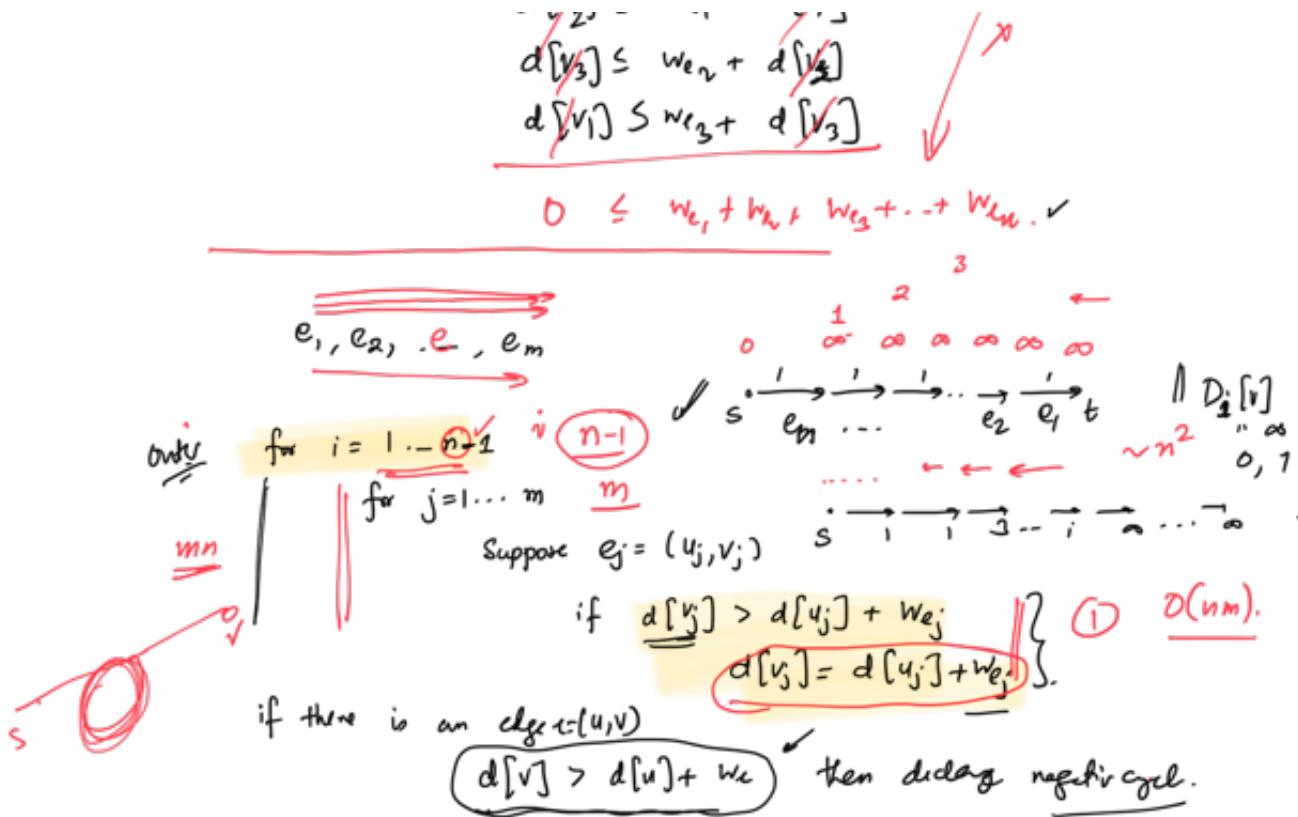
$u \rightarrow v$

$v \rightarrow s$

By IH,  $D[v] \leq d[u]$

length  $\leq d[u]$

( $\because d[u] > D[u]$ ).



$D_i[v]$ : shortest path from  $s$  to  $v$  using  $\leq i$  edges.

$$D_0[v] = \begin{cases} \infty & \text{if } v \neq s \\ 0 & \text{otherwise} \end{cases}$$

Claim: After  $i$  iterations of the outer for-loop

$$d[v] \leq D_i[v].$$

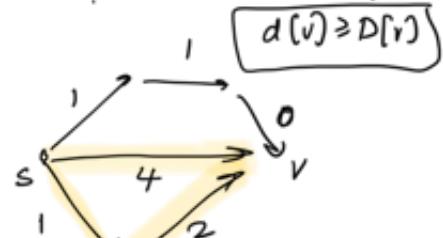
$$\begin{aligned} d[v] &\leq D_{n-1}[v] \\ D[v] &= \end{aligned}$$

Pf: induction on  $i$ .

$$\begin{aligned} i=0? \quad d[v] &= \infty, \quad d[s] = 0 \\ D_0[v] &= \infty, \quad v \neq s \\ D_0[s] &= 0 \end{aligned}$$

Sps this statement is true for  $i-1$ .

$$d[u] \leq D_{i-1}[v] \quad \leftarrow$$



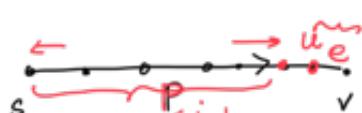
$$D_0[v] = \infty$$

$$D_1[v] = 4 \quad D_{n-1}[v] = D(v)$$

$$D_2[v] = 3 \quad \vdots \quad \vdots \longrightarrow v$$

$$D_3[v] = 2$$

Let  $P$  be the <sup>shortest</sup> path of length at most  $i$



$$\begin{aligned} \text{length of } P &= \text{part of } P \text{ from } s \text{ to } u \\ &+ \text{edge } e \end{aligned}$$

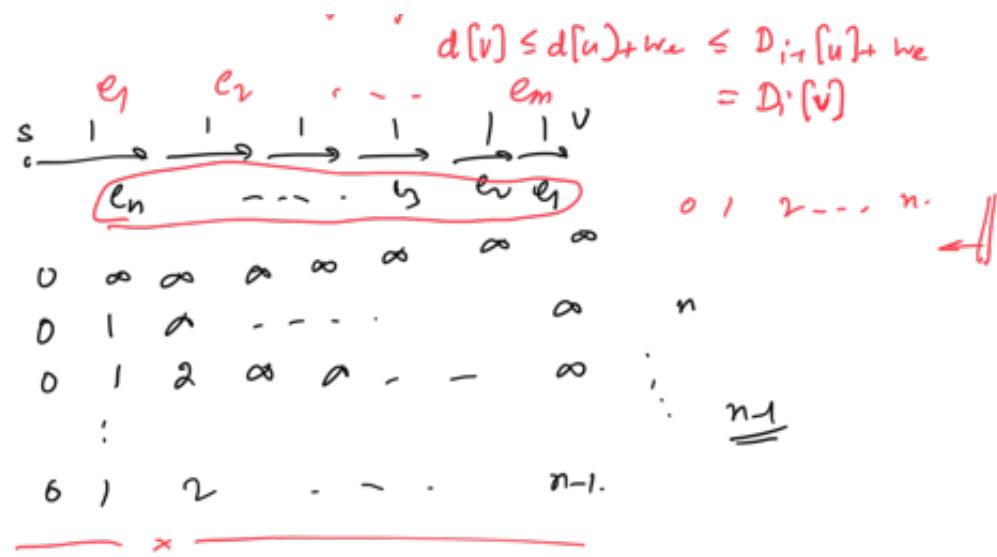
$$D_i[v] = D_{i-1}[u] + w_e$$

$$w(P) = D_i[v]$$

At the beginning of this itn;

$$d[u] \leq D_{i-1}[u] \checkmark$$

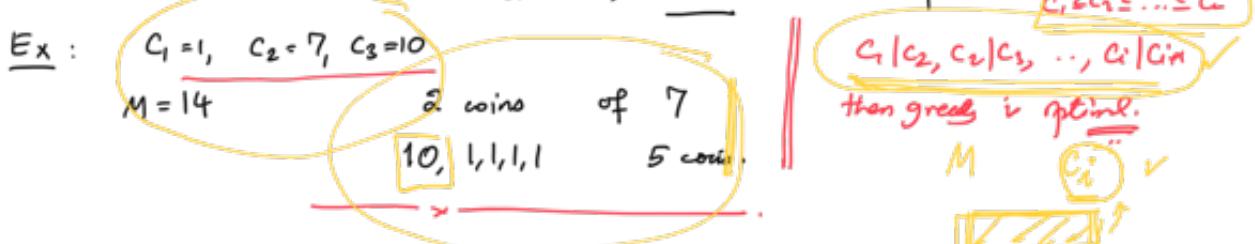
when we look at this during the itn. of the for loop:



Greedy Alg.: Computational problem : min/max some quantity

"Optimal solution": a solution which achieves this min/max

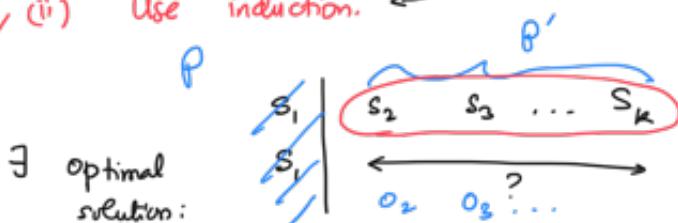
Coin changing problem :  $M$  : find an exact change for  $M$  using values/ denominations  $C_1, C_2, C_3, \dots, C_k$  |  $M$  : find an exact change for  $M$  using as few coins as possible  
infinite supply | pick the largest coin  $C_i$  |  $C_i$  : nlyn.



How do we prove that a greedy alg. is correct?

✓ (i) The first step taken is correct! There is an optimal solution that agrees with the alg. on the first step. ✓ "Exchange Argument"

✓ (ii) Use induction.  $\leftarrow$   $\rightarrow$



After taking the first step, the problem becomes the same "type" of problem.

optimal solution  $O$  where the first step is also  $s_1$ .

$P'$ : problem where we have already taken the first step.

$O_2, O_3, \dots$  : also a solution for  $P'$

$O_1, O_2, \dots, O_k$  is only better than  $O_1, O_2, O_3, \dots$

Coin-changing Problem:

$C_1, C_2, \dots, C_k$

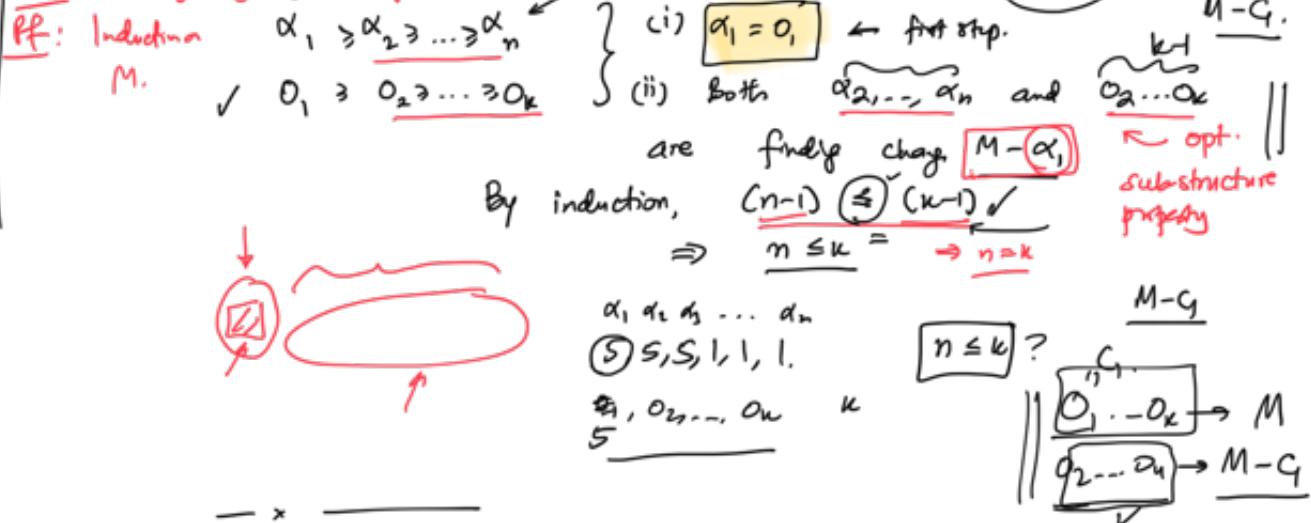
$C_1|C_2, C_2|C_3, \dots$

$M$  : pick the largest coin  $C_i < M$ .  $M - C_i$

$10, 7, 1$

$\Rightarrow n=3$

Claim: the greedy alg. is optimal.



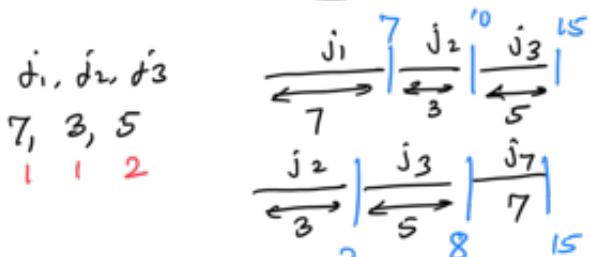
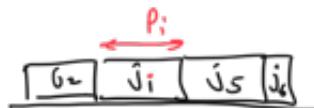
### Weighted Completion Time Scheduling:

jobs  $d_1, d_2, \dots, d_n$   
 size  $p_1, p_2, \dots, p_n$   
 weight  $w_1, w_2, \dots, w_n$   
 schedule: an order in

which to process the jobs.

$C_j$ : completion time of job  $j$

Goal: min.  $\sum_{i=1}^n C_{d_i} \cdot w_{d_i}$  ✓  $3+2+8+1+15=1$

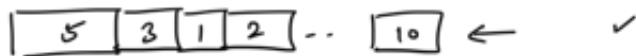


### Greedy Alg.:

$\frac{w_1}{p_1} \geq \frac{w_2}{p_2} \geq \dots \geq \frac{w_n}{p_n}$

$\frac{w_i}{p_i}$

(i) There is an  $\text{opt}^*$  alg. which also processes job 1 first.

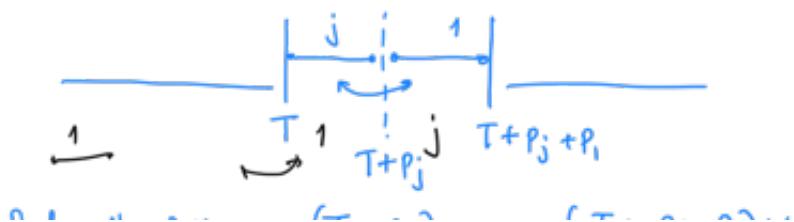


1, 2, 3, ..., 10

"Exchange arg": consider an opt. solution. :O



Modify opt to a new optimal solution.

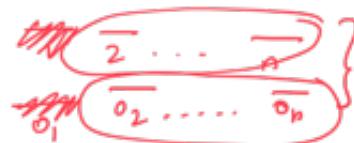
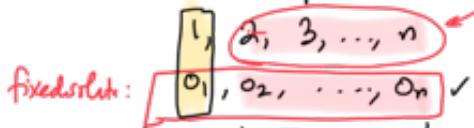


$$\begin{array}{ll} \text{before the swap: } & (T + p_j)w_j + (1 + p_j + p_i)w_i \\ \text{After the swap: } & (T + p_i)w_j + (T + p_j + p_i)w_i \leq \\ & p_i w_j \leq p_j w_i \quad \frac{w_i}{p_i} \geq \frac{w_j}{p_j} \end{array}$$

(ii). Suppose the greedy alg. is optimal when there are  $< n$  jobs.

Suppose we have  $n$  jobs  $\frac{w_1}{p_1} \geq \dots \geq \frac{w_n}{p_n}$

By step (i), there is an opt. solution  $o_1, \dots, o_n$  where  $o_1 = 1$



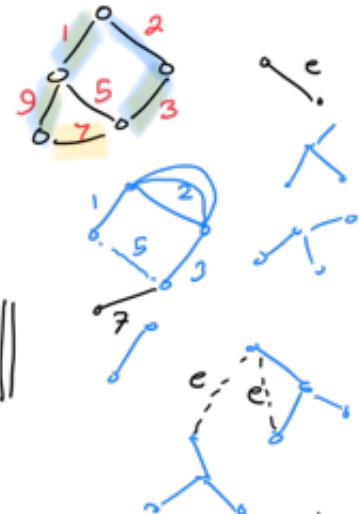
By induction hypothesis:

weighted comp.  $(2, 3, \dots, n)$   $\leq$  weighted comp.  $(o_2, \dots, o_n)$   $\leftarrow$  by I.H.

$$\begin{aligned} \text{weighted comp. } (1, \dots, n) &= \boxed{\text{weighted comp. } (2, 3, \dots, n)} + [w_2 p_1 + \dots + w_n p_1] \\ \text{weighted comp. } (o_1, \dots, o_n) &= \boxed{\text{weighted comp. } (o_2, \dots, o_n)} + [w_2 p_1 + \dots + w_n p_1] \end{aligned}$$

Minimum Spanning Tree:  $G = (V, E)$ ,  $e: w_e \leftarrow \min_{\text{conn}}$

Goal: pick a spanning tree of min. total wt.



- Implement?

$\in \log n$  time

- Disjoint sets. ||

repeat {

if add  $e_i$  to  $T$  does not create a cycle

pick  $e_i$  and add it to  $T$

}

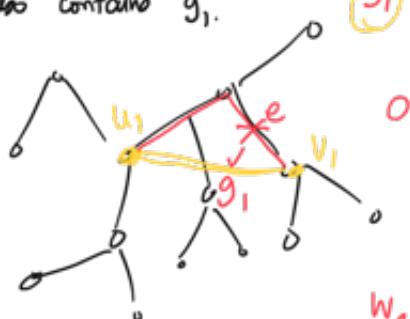
Why is this optimal?

(i) let us say that

Greedy:  $\underline{g_1, g_2, \dots, g_{n-1}} : G$   
Opt:  $\underline{o_1, o_2, \dots, o_{n-1}} : O$

$$w_{g_1} \leq w_{g_2} \leq \dots \leq w_{g_{n-1}}.$$

$\exists$  opt. soln. also contains  $g_1$ .



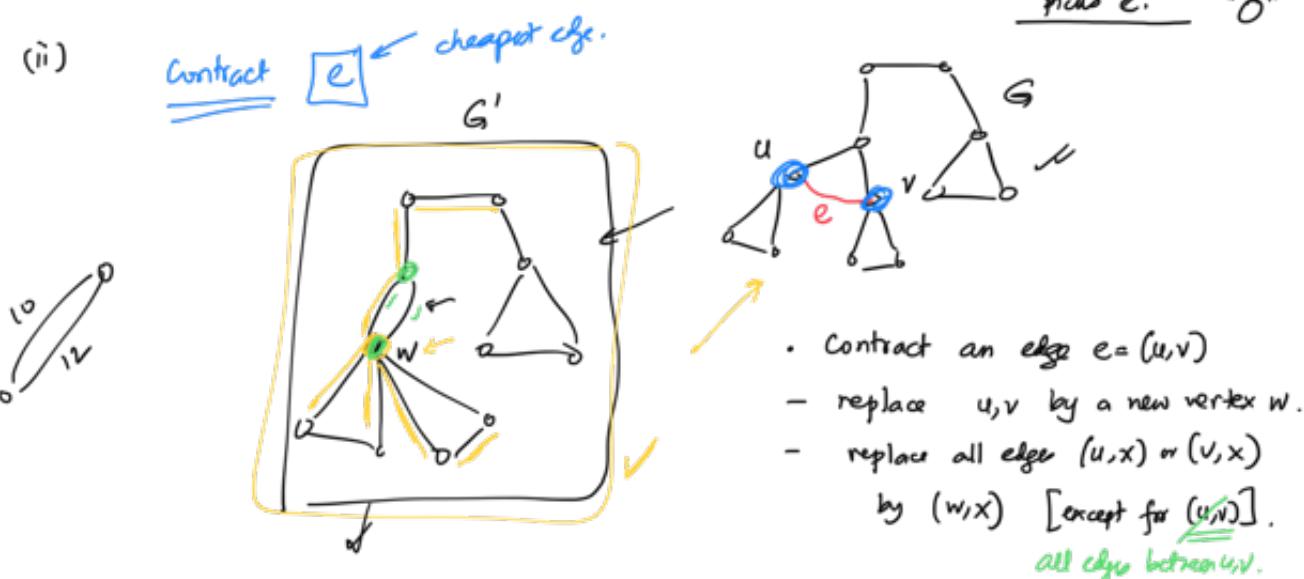
$$\begin{array}{l} \boxed{1}, \boxed{\frac{1}{g_1}}, \dots \\ O \text{ does not } g_1. \quad \boxed{1}, \boxed{\frac{1}{g_1}}, \dots \\ g_1 = (u_1, v_1) \end{array}$$

$$w_e \geq w_{g_1}$$

|| Add  $g_1$  to the solution  $O$ : creates a cycle. Remove any other edge from this cycle.

So we get a new sol. called which picks a.

There is an optimal solution which

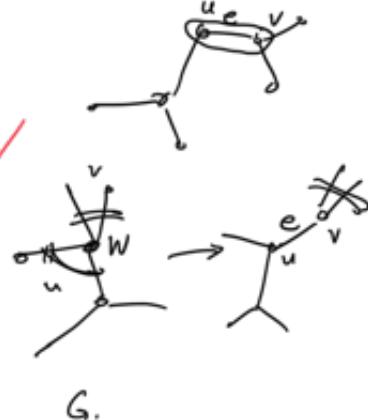


Suppose there is a spanning tree  $T$  in  $G$ , which contains  $e$ .

Then,  $T_e$ : tree obtained by contracting  $e$ .

$T_e$  is a spanning tree in  $G'$ .

Conversely, if  $T'$  is a spanning tree in  $G'$ . Then ✓  
 $T' + e$  is a spanning tree in  $G$ .



Running the greedy alg. on  $G$  after picking  $e$   
is same as running greedy alg. on  $G'$ .

$$\underbrace{e_2, e_3, \dots, e_K}_{\text{greedy}} \quad e_{K+1} \quad \uparrow$$

Greedy :

$$g_1, \dots, g_{n-1}$$

$$g_1 = 0_1 = e.$$

Opt :

$$0_1, \dots, 0_{n-1}$$

$e$

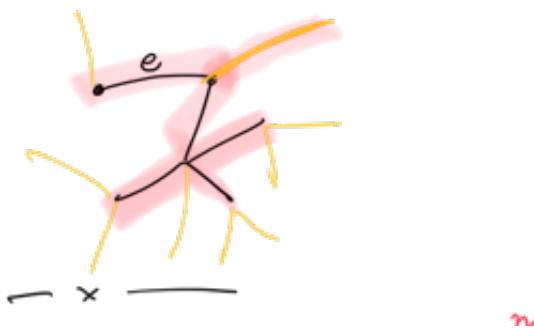


$g_2, \dots, g_{n-1}$  is the spanning tree produced by greedy on  $G'$

By DIt:  $\boxed{\begin{array}{c} \text{cost}(g_2, \dots, g_{n-1}) \\ + \text{cost}(g_1) \end{array} \leq \begin{array}{c} \text{cost}(0_2, \dots, 0_{n-1}) \\ + \text{cost}(0_1) \end{array}}$

$$\Rightarrow \text{cost(greedy)} \text{ on } G \leq \text{cost(opt)} \text{ on } G \quad \checkmark$$

For example:



## Huffman encoding:-

A A B A A C A A B C A A D ← given a text/string  
 $\Sigma$ : alphabet  
 $\text{e.g., } \Sigma = \{A, B, C\}$

fixed length encoding.

- variable length encoding

A → 0
B → 01
C → 11
D → 10

00 00 01 00 00 10

2n

A → 00

B → 01

C → 10

D → 11

00 ...

00 10 00 11

~ A A

A C A C'

011011

B D C

011011

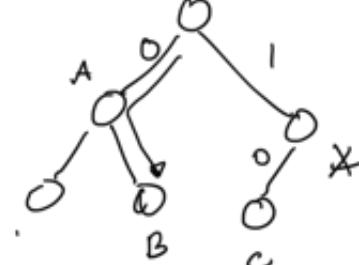
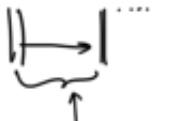
two different strings  
which have the same encoding

prefix-free  
property

$l_1, \dots, l_k$

encoding( $l_1$ ), encoding( $l_2$ ), ..., encoding( $l_k$ ) : none of these is a prefix of the other.

A : 0  
B : 01  
C : 10



A : 0  
B : 10  
C : 11

B

C

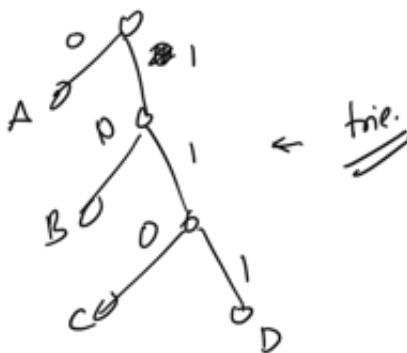
A

B

C

leaves.

A labelled binary tree where all the letters appear at distinct leaves



0 0 0 1 1 0 0 ←  
A B C

Q : Given a string, find a variable length encoding (trie) with min. length of the encoding

a<sub>1</sub>, ..., a<sub>k</sub>  
 $\downarrow$   
 $\downarrow$   
 $\downarrow$

Length of the encoding of the

$l_1$   $l_2$   $l_k$

↙

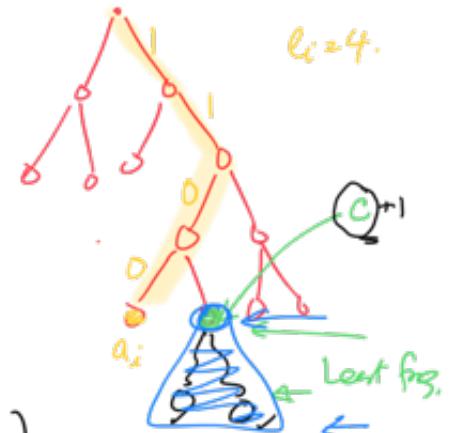
$$\text{string} = \sum_{i=1}^n l_i \cdot f_i = \sum_{i=1}^n \text{depth}(a_i) \cdot f_i$$

how many times  $a_i$  appears in

$\begin{array}{cccccc} 110 & 10 & 111 & 0 \\ A & B & C & D \end{array}$  depth of  $a_i$  is the string (frequency).

10	10	111	0
$\frac{10}{10}$	$\frac{50}{50}$	$\frac{30}{70}$	$\frac{70}{40}$
$\frac{50}{10}$	$\frac{70}{50}$	$\frac{40}{70}$	$\frac{70}{11}$
B	D	E	F

$$\begin{array}{l} C_1: \text{depth}(C_1) \cdot f_{C_1} \\ C_2: \text{depth}(C_2) \cdot f_{C_2} \end{array}$$

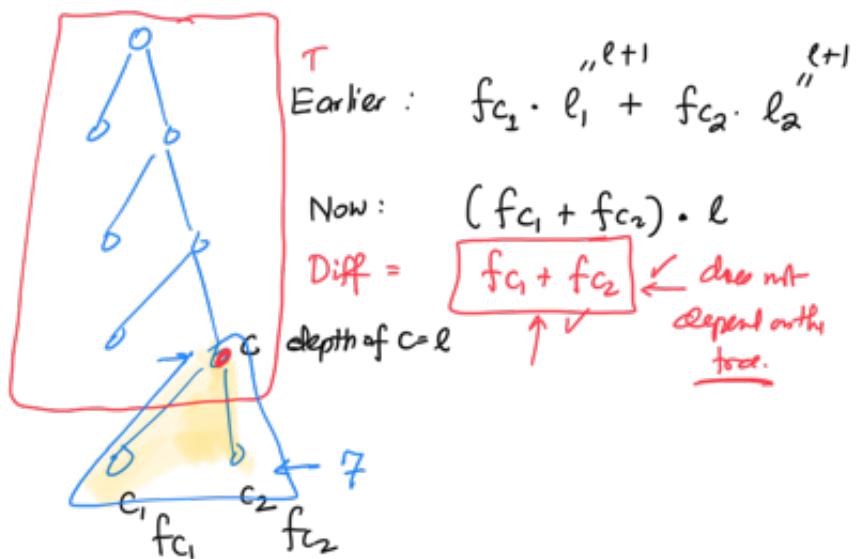


Alg:  
if only  
2 letters  
 $0, 1$

Let  $C_1, C_2$  be the two letters  
with min. freq ( $f_{C_1}, f_{C_2}$ ).  
↓

Replace  $C_1, C_2$  by a new letter  $\underline{C}$   $\begin{array}{ll} 1101 & 1101 \\ (f_{C_1} + f_{C_2}) = f_C. \end{array}$

Solve the smaller problem. Let us say the encoding  $c$  is  $\sigma$ . ( $\sigma = 01110$ ),  
encoding for  $C_1, C_2$  are  $\underline{\sigma 0}, \underline{\sigma 1}$



01	00	10	11
A	B	C	D
1	1	1	1

→ →

0	10	11	0	1
E	C	D	E	F
2	1	1	2	2

✓

There is an opt. solution which "matches" with the alg. on the first step.

(ii) Apply induction

(i) Greedy: first combine  $c_1, c_2$  into a new letter  $c$ .  $\sigma \sim 1$   $c_1$   $c_2$

We want to prove that there is an opt. solution where the leaves  $c_1, c_2$  have a common parent.

why? Let  $l$  be the deepest leaf in  $T^*$   $d_1, d_2, \dots, d_n$   
 $g_l \& \text{OK}$

$$\sum_i \text{depth}(a_i) \cdot f_{a_i}$$

$$\left. \begin{array}{l} l \leftarrow d \\ c_1 \leftarrow d_1 \end{array} \right\} \quad l \leftarrow d_1 \\ c_1 \leftarrow d$$

$$f_e \cdot d + f_{C_1} \cdot d_1 \geq f_e \cdot d_1 + f_{C_1} \cdot d$$

$$\text{because } f_e \cdot d + f_g \cdot d - f_f \cdot d_1 - f_{g_1} \cdot d \geq 0$$

$$\Leftrightarrow \frac{(f_e - f_{c_1})}{\geq 0} \left( \frac{d - d_1}{\geq 0} \right) \geq 0$$

— 2 —