

CS 31007

Autumn 2020

# COMPUTER ORGANIZATION AND ARCHITECTURE

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## Instructors

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**Indian Institute of Technology Kharagpur**  
*Computer Science and Engineering*

CS 31007

Autumn 2020

# COMPUTER ORGANIZATION AND ARCHITECTURE

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## Class Schedule

12:00-12:55 (Mon), 10:00-11:55 (Tue), and 8:00-8:55 (Thurs)

Additional Slots: 3:30 pm – 5:00 pm (Saturday)

Online Classes may be held in real-time mode  
or in pre-recorded mode

Tutorials and doubt-clearing sessions will be held  
in real-time mode

*Lab Course (39001): Computer Organization Laboratory*

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# **COMPUTER ORGANIZATION AND ARCHITECTURE**

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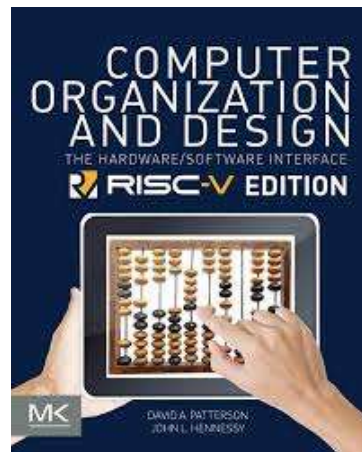
## **Prerequisites**

Basic logic design, combinational and sequential circuits,  
knowledge of high-level programming language

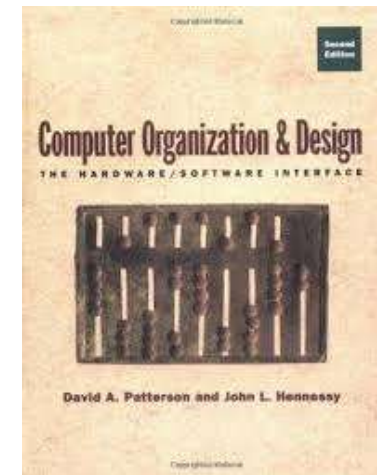
# Textbook



**D. A. Patterson and J. L. Hennessy**  
*Computer Organization and Design*  
*- The Hardware Software Interface*  
5<sup>th</sup> Edition, Morgan Kaufmann, 2014  
(MIPS Version)



RISC-V Edition 2018



Second Edition 1998



2017 ACM Turing  
Award

**Course Page:**

<https://moodlecse.iitkgp.ac.in/moodle/login/index.php>  
**Moodle Student Registration Key for Course: Student03**

# Further Reading

1. Smruti R. Sarangi, *Computer Organisation and Architecture*, McGraw Hill India, 2014
2. William Stallings, *Computer Organization and Architecture: Designing for Performance*, Eight Edition, Prentice Hall, 2010.
3. John P. Hayes, *Computer Architecture and Organization*, 3<sup>rd</sup> Edition, Tata McGraw Hill, 2012.

# Grading Policy

- ❖ No Mid-Sem or End-Sem Examination
- ❖ Evaluation at regular intervals
- ❖ Homework for practicing
- ❖ Online exams/quizzes
- ❖ 24-hour take-home tests
- ❖ Lecture scribing

The exams/quizzes would usually be scheduled in the regular class hours (including the Saturday slot)

# Today's agenda

- ❖ Overview of the course
- ❖ Evolution and history of computer design
- ❖ Moore's law
- ❖ Basic components of a computer
- ❖ Instruction Set Architecture (ISA)
- ❖ Computer organization and computer architecture: Bottom-up and Top-down view

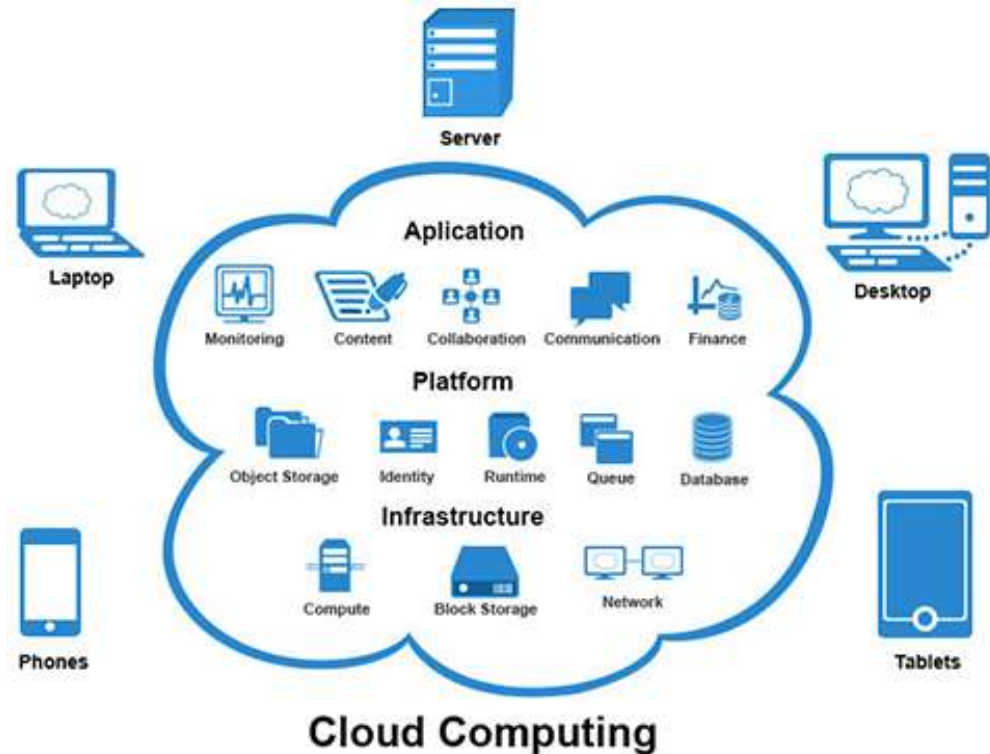
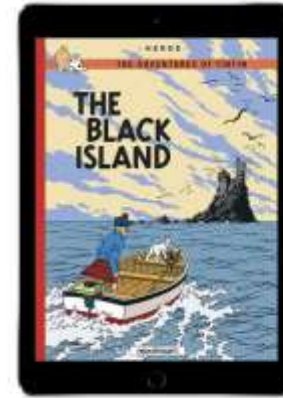
*Acknowledgement:* Patterson and Hennessy; V. D. Agrawal; S. R. Sarangi

# Goal is to understand ....

- What are the principles of computer design?
- What is the hardware-software interface?
- Computer organization *versus* computer architecture?
- How to design the instruction set of a machine?
- How instructions are executed in a machine?
- What are the techniques for performing fast computation?
- How a complete processor is designed?



# What is a Computer ?



# Car or Computers?

BMW  
345i



- 2,000,000 lines of code
- Fiftythree 8-bit microprocessors
- Eleven 32-bit microprocessors
- Seven 16-bit microprocessors

# The Computer Revolution

- Makes novel applications feasible
  - Computers in automobiles
  - Cell phones
  - Human genome project
  - World Wide Web
  - Search Engines
- Computers are all pervasive

# Classes of Computers

- Personal computers
  - General purpose, variety of software
  - Subject to cost/performance tradeoff
- Server computers
  - Network based
  - High capacity, performance, reliability
  - Range from small servers to building sized
  - Cloud

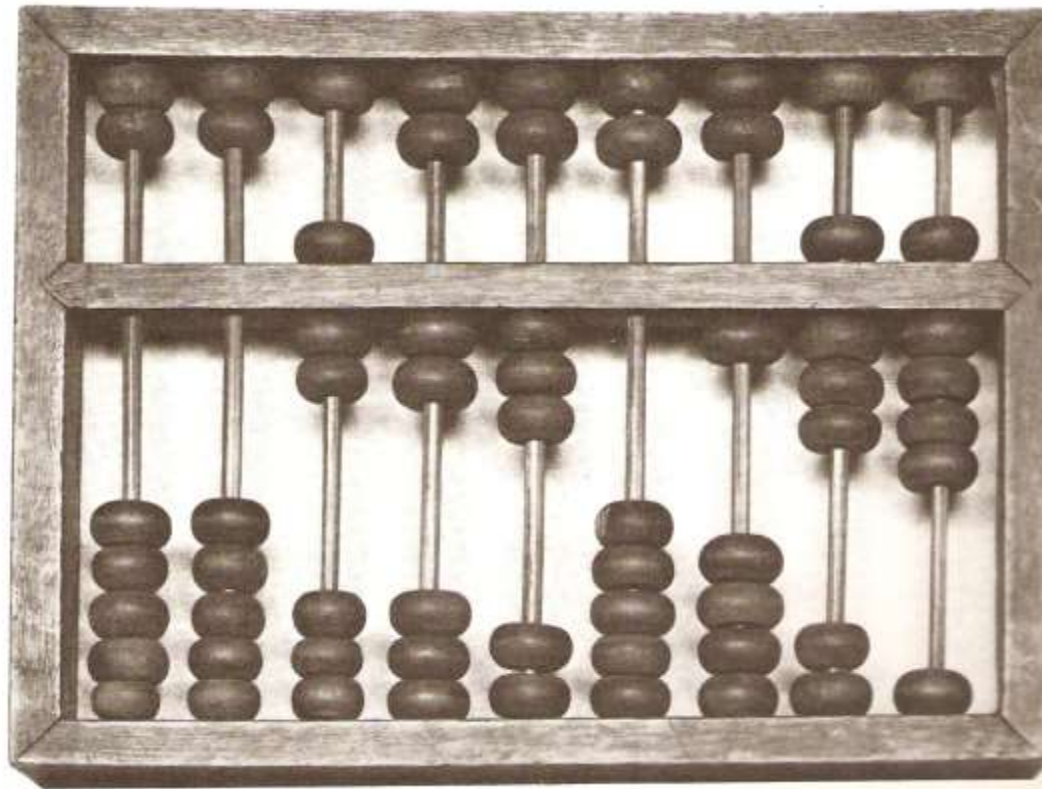
# Classes of Computers

- Supercomputers
  - High-end scientific and engineering calculations
  - Highest capability but represent a small fraction of the overall computer market
- Embedded computers
  - Hidden as components of systems
  - Stringent power/performance/cost constraints
  - Autonomous vehicles, robotics

A computer is a general purpose machine which can process Information and yield results

However, centuries ago, by a computer was meant ...

# The First Mechanical Computing Device



The nineteenth century Chinese abacus, numbers are entered by sliding the beads towards the crossbar.



# First Steps in Computing

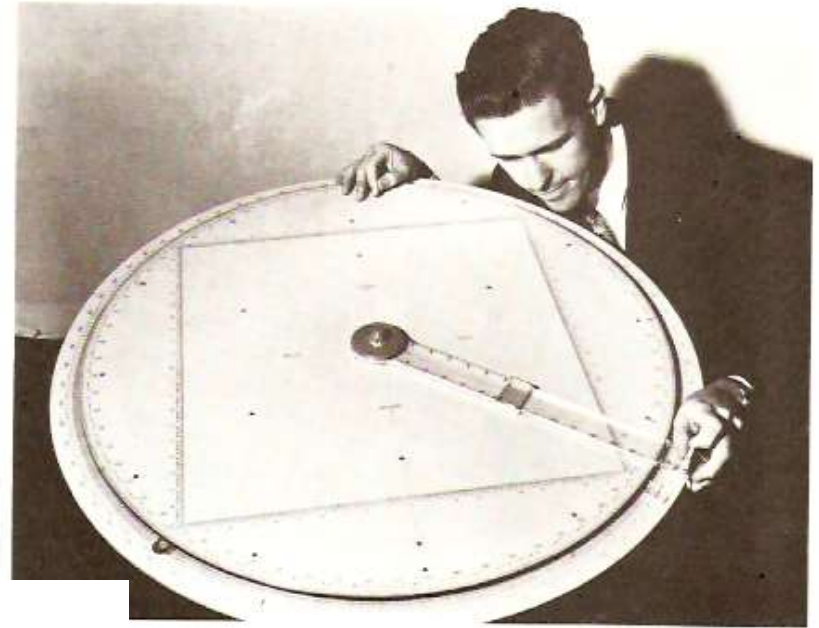
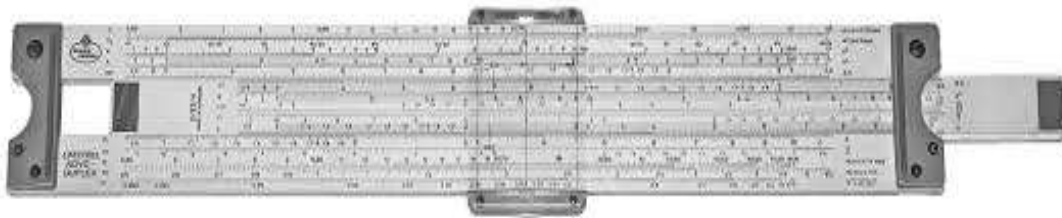
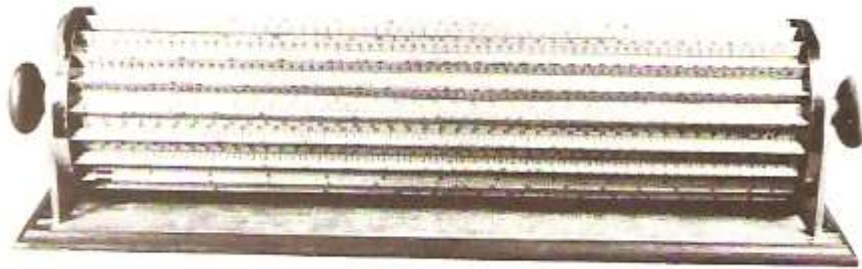
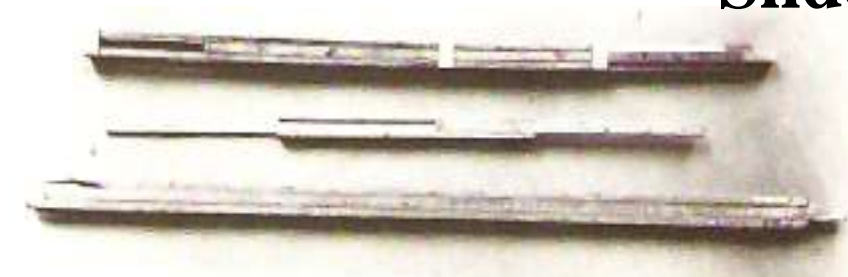


John Napier (1550-1617)

The front page of Napier's famous paper (1614) that introduced logarithm and contained ninety pages of tables.



# Slide Rule



The first slide rule (top left) was made in 1654; the cylindrical slide rule (below left) and circular slide rules are useful modifications that were used for various computations; Slide rule (left-bottom) used by early generation of engineers.

# The First Computer (1832)



Babbage Difference  
Engine

25000 parts

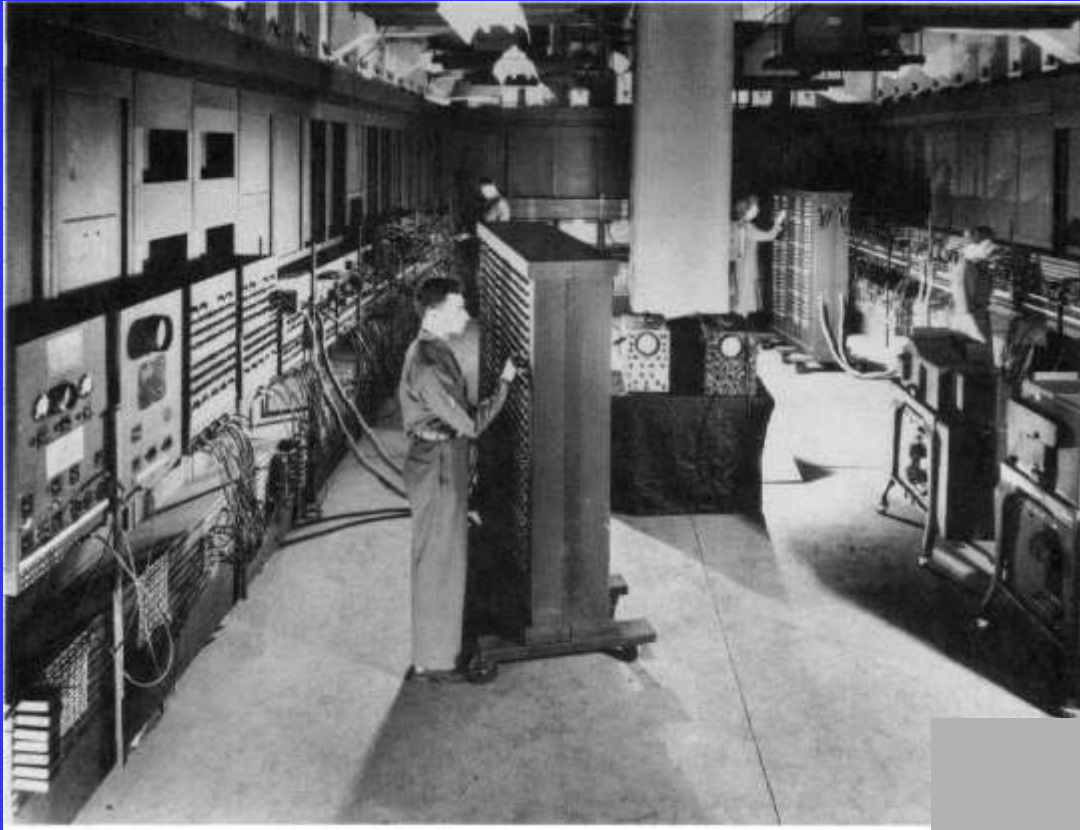
In 1941, Conrad Zuse built Z3, the first programmable computer in Germany.



Conrad Zuse  
(1910-1995)



# ENIAC - The First Electronic Computer (1943-1946)



Length = 80 ft

Height = 8.5 ft

Floor area = 1500 sq ft

Weight = 30 tons

18000 vacuum tubes

70,000 resistors

140 kw of power

John Mauchly (professor) and J. Presper Eckert (graduate student) built ENIAC at the University of Pennsylvania, Philadelphia



# The Snapshots of ENIAC (1946)



*HOW MUCH IS  $\sqrt[3]{2589^{16}}$ ?*

**The Army's ENIAC can give you the answer in a fraction of a second!**

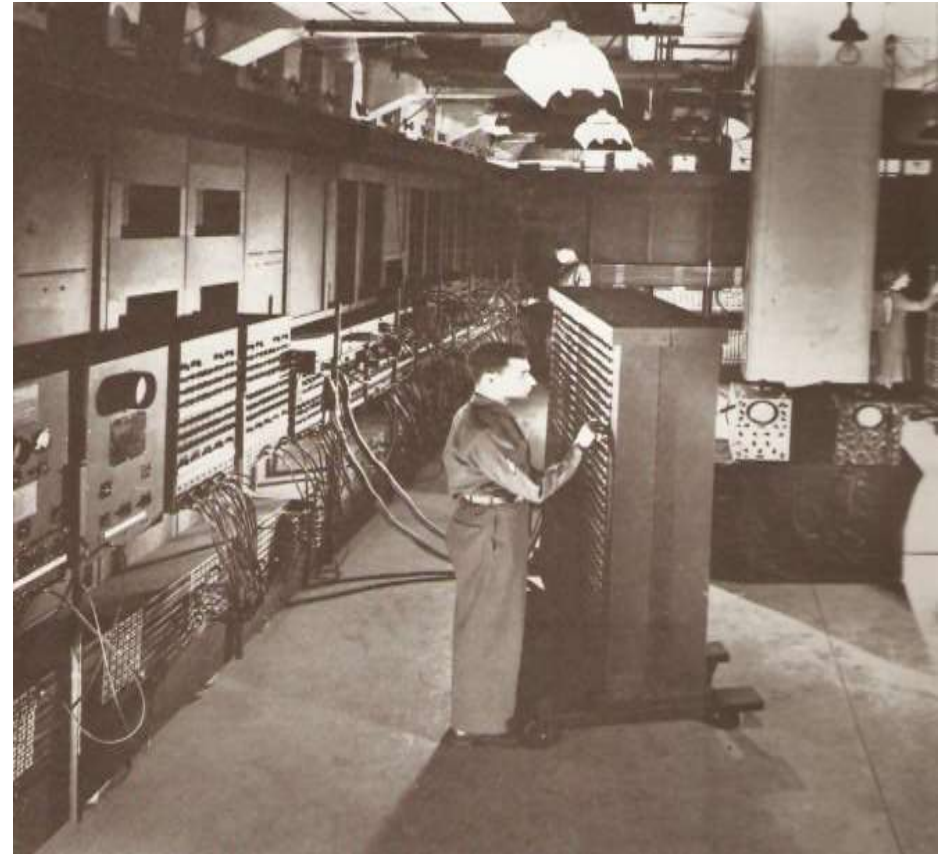
Think that's a stumper? You should see some of the ENIAC's problems! Brain twisters that if put to paper would run off this page and fast beyond . . . addition, subtraction, multiplication, division—square root, cube root, any root. Solved by an incredibly complex system of circuits operating 115,000 electronic tubes and tipping the scales at 30 tons!

The ENIAC is symbolic of many amazing Army devices with a brilliant future for you! The new Regular Army needs men with aptitude for scientific work, and as one of the first trained in the post-war era, you stand to get in on the ground floor of important jobs

**A GOOD JOB FOR YOU  
U. S. Army  
CHOOSE THIS  
FINE PROFESSION NOW!**

**YOUR REGULAR ARMY SERVES THE NATION  
AND MANKIND IN WAR AND PEACE**

This advertisement shows the amazing calculating powers of the ENIAC



A technician tracks down a misplaced cable in the ENIAC.

Courtesy: S. Augarten, Bit by Bit

# First-Generation Computers

- Late 1940s and 1950s
- Stored-program computers
- Programmed in assembly language
- Used magnetic devices and earlier forms of memories
- Examples: IAS, ENIAC, EDVAC, UNIVAC, Mark I, IBM 701

# Second Generation Computers

- 1955 to 1964
- Transistor replaced vacuum tubes
- Magnetic core memories
- Floating-point arithmetic
- High-level languages used: ALGOL, COBOL and FORTRAN
- System software: compilers, subroutine libraries, batch processing
- Example: IBM 7094

# Third Generation Computers

- Beyond 1965
- Integrated circuit (IC) technology
- Semiconductor memories
- Memory hierarchy, virtual memories and caches
- Time-sharing
- Parallel processing and pipelining
- Microprogramming
- Examples: IBM 360 and 370, CYBER, ILLIAC IV, DEC PDP and VAX, Amdahl 470



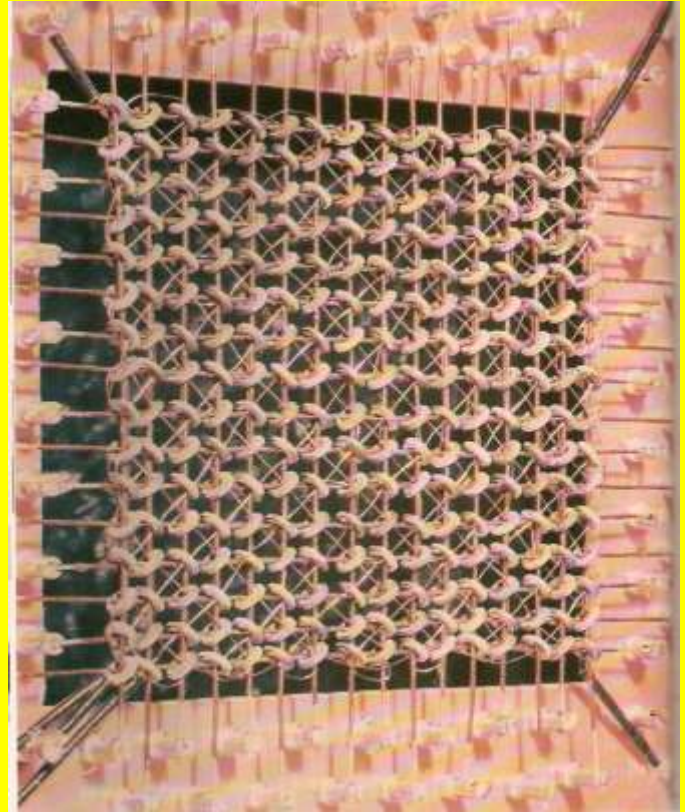
# The Miracle of Electronic Evolution

What is this??

No, an early 1024-bit memory!

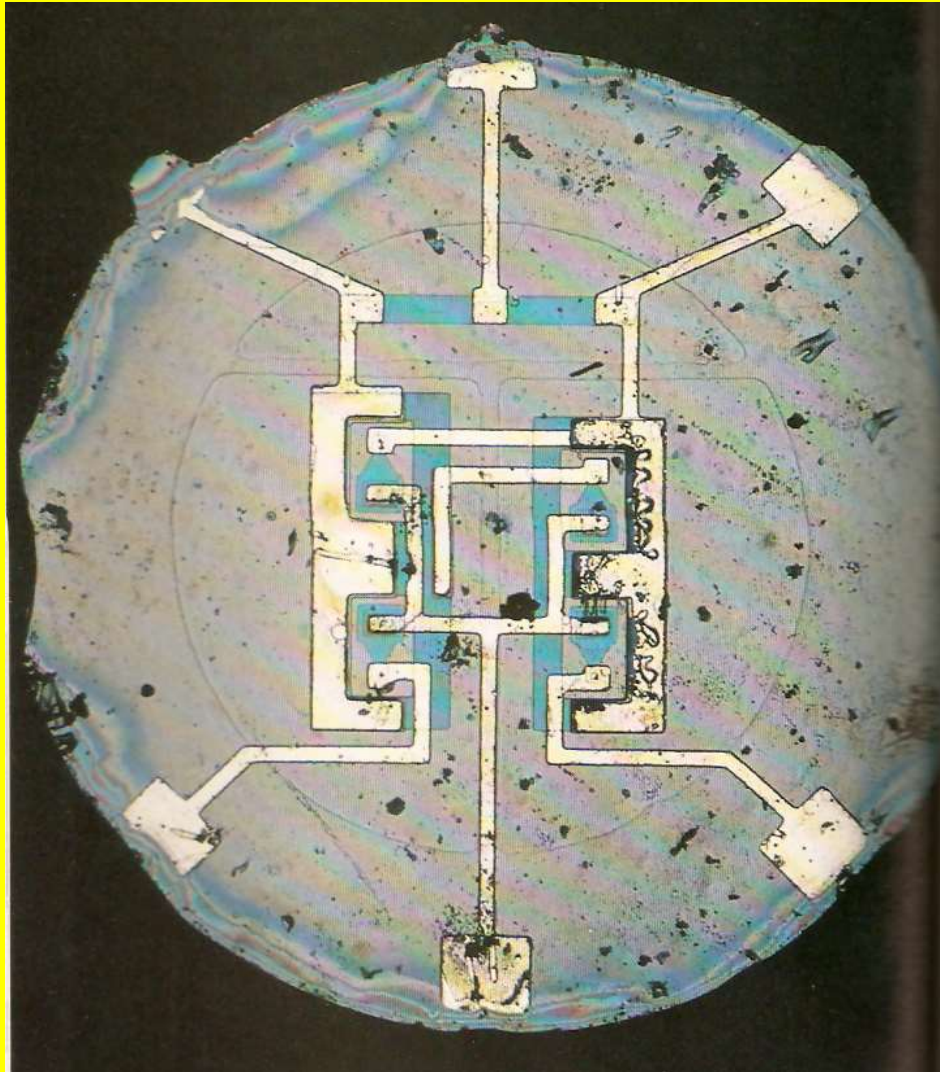


An early *one-bit* binary adder:  
built on a kitchen table!



An electrical relay network??

# The First Integrated Circuit



An early integrated circuit made by Fairchild Corporation in 1961. This is a logic IC with two flip flops, the 4 blue structures in the center are transistors, and the white lines are aluminum connectors.



# Hardware Design Creating History .....



Andy Grove, Robert Noyce, and Gordon Moore (1978)  
Intel Founders

# Entering the World of Microprocessors



**Announcing  
a new era  
of integrated  
electronics**

**A micro-  
programmable  
computer  
on a chip!**

Intel introduces an integrated CPU complete with a 4-bit 4004M (4004), a 4-bit 4001M (4001), an accumulator and a push/pop stack on one chip. It's one of a family of four new ICs which comprise the MCS-4 microcomputer system. The 4004M is doing you the power and flexibility of a dedicated general computer, but at one-tenth the cost as two 4001M's in one package.

MCS-4 systems provide precise computing and control functions for test systems, data retrieval, coding machines, measuring systems, numeric control systems and process control systems.

The heart of any MCS-4 system is a Type 4004M CPU, which includes a powerful set of 45 instructions. Adding one or more Type 4001M modules for program storage and data memory gives you a fully functioning microprogrammable computer. To this you may add Type 4002M RAMs for random access memory and Type 4003M modules to expand the output ports.

Using no circuitry other than ICs from this family of four, you can make a system with 4096 bytes of RAM storage and 128K bytes of ROM storage. When you require random access and need only a few systems, Intel's versatile and re-programmable ROM, Type 1701, may be substituted for the Type 4001M mask-programmed ROM.

MCS-4 systems interface easily with switches, keypads, displays, teleprinters, printers, readers, A/D converters and other popular peripherals.

The MCS-4 family is now on hand at Intel's Santa Clara headquarters and at our marketing headquarters in Europe and Japan. In the U.S., contact your local Intel representative for technical information and literature. In Europe, contact Intel's Avenue Louise 216, B-1050 Brussels, Belgium. Phone 462003. In Japan, contact Intel Japan, Inc., Parkside Plaza Bldg. 7th, 8-2-2 Sendagaya, Shibuya-Ku, Tokyo 151. Phone 03-403-4747.

Intel Corporation now produces microcomputers, memory devices and memory systems at 3065 Bowers Avenue, Santa Clara, Calif. 95051. Phone (408) 446-7501.

**intel  
delivers.**

Intel's first advertisement for the 4004 microprocessor that appeared in November 1971.

# Evolution of Microprocessors

1970: 4004  $\mu$ P



#T = 2300  
Auditorium

1982: 286  $\mu$ P



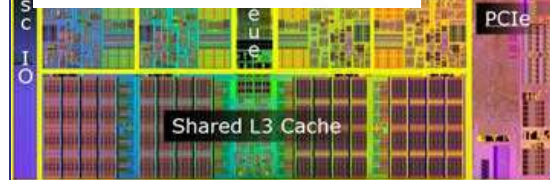
#T = 1,34,000  
Stadium

1999: Pent III



#T = 32 million  
Population of  
Tokyo

2008: Core i7

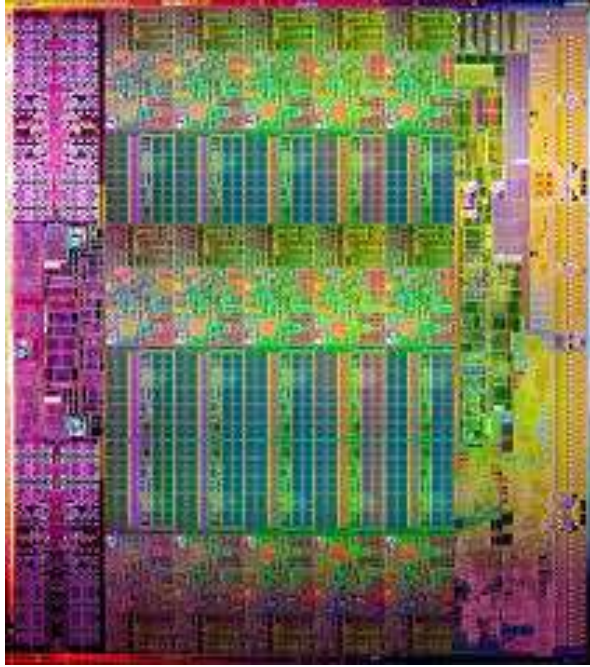


#T = 1.3 billion  
Population of China

Number of transistors (#T)



# Modern Processors



Intel 15-Core Xeon Ivy  
Bridge-Ex (2014)

22 *nm* technology

4.3 billion transistors

Die size  $\sim 600 \text{ mm}^2$

Intel Broadwell EP Xeon SoC  
(2016) – 7.2 billion T; 14 *nm*

IBM Deep Blue defeated Grand  
Master Garry Kasparov in May  
1997



# Gordon Moore: 1965

Progress in computer technology underpinned by Moore's Law

- Predicted that the number of transistors integrated on a die would grow exponentially (doubling every 12 to 18 months)
- Million transistors/chip barrier crossed in the 1980s
- Today:  
Around several billion transistors per chip

# Transistor Count in a Chip

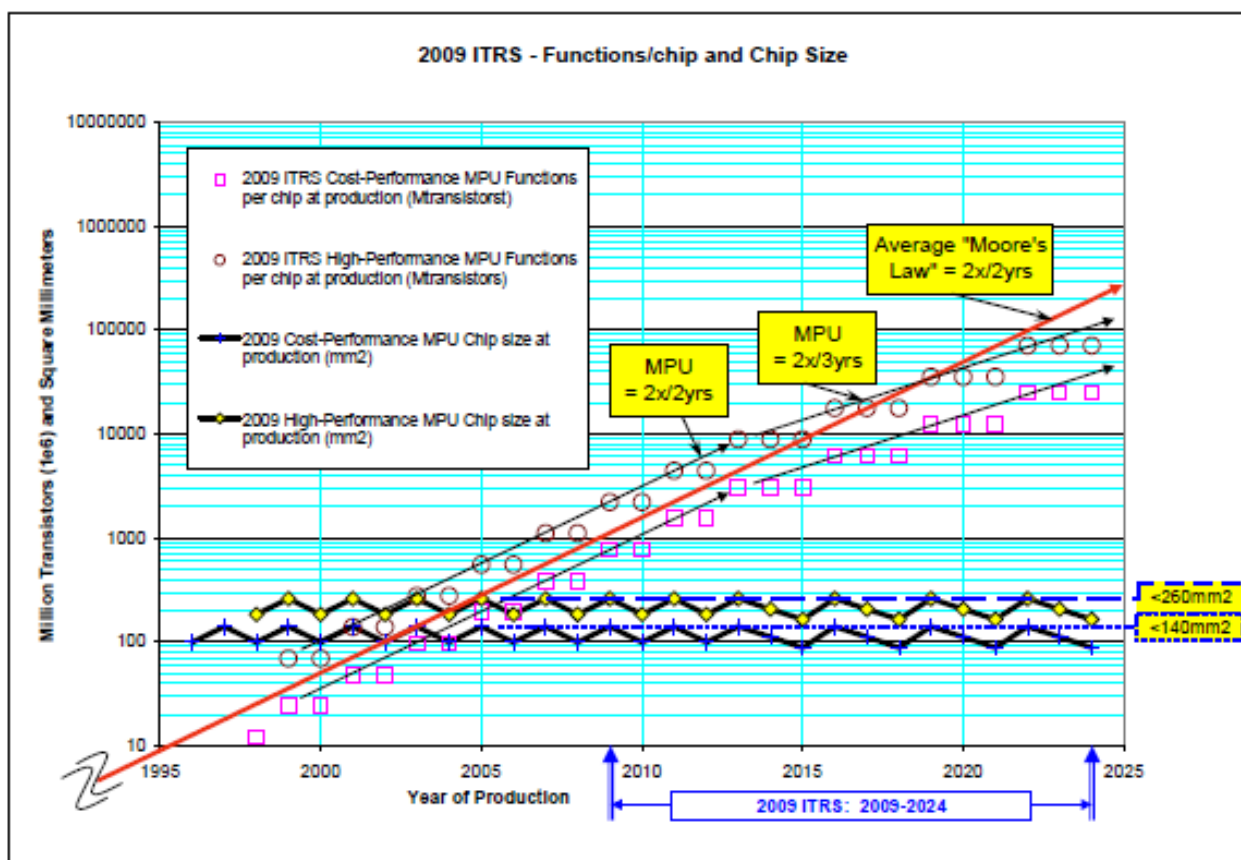
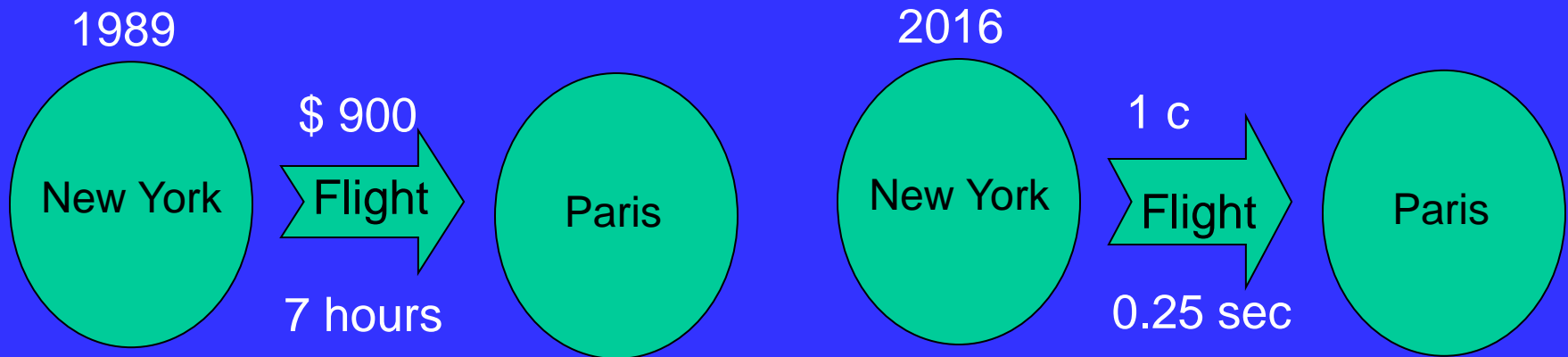
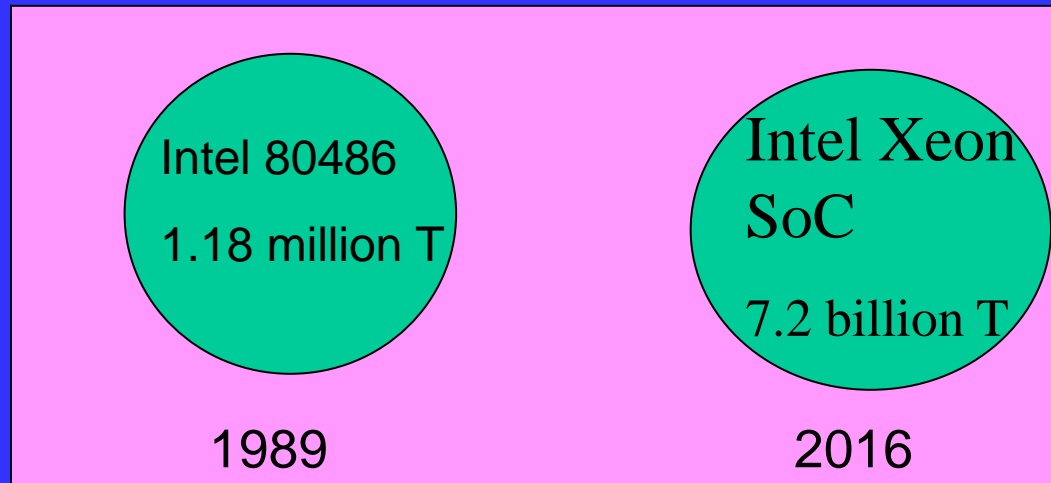


Figure 7b 2009 ITRS Product Technology Trends:  
MPU Product Functions/Chip and Industry Average "Moore's Law" and Chip Size Trends

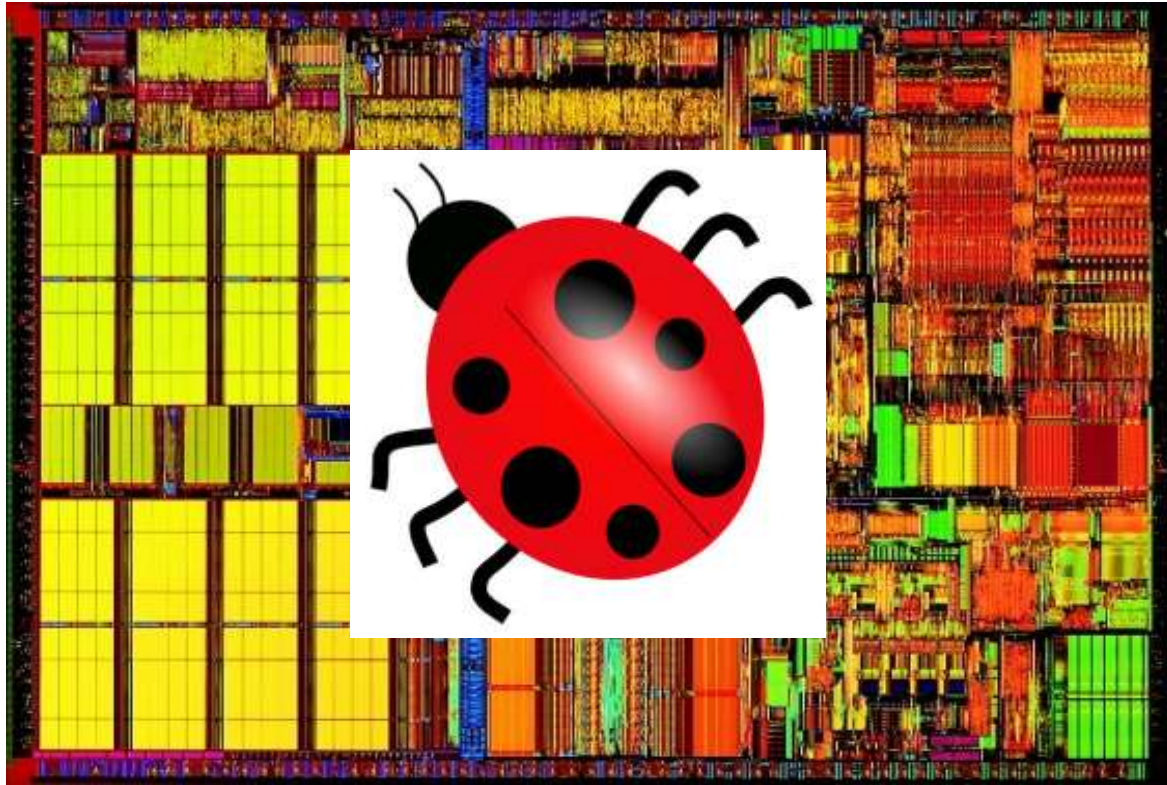
Courtesy: ITRS 2010



# Example: Moore's Law

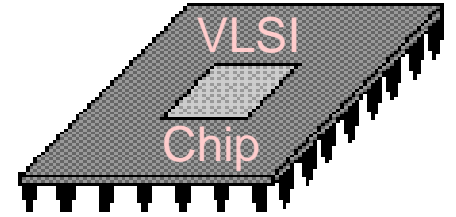


Today's chips encapsulate billions of transistors



Design bugs/manufacturing defects/  
field malfunctioning/aging

# Reliability of Hardware Design (managing defects/hazards/errors)



US Airways jet crash landed in the Hudson  
river on January 16, 2009

# Example: Intel Pentium Bug (1994)



66 MHz Intel  
Pentium

- The FDIV-bug (in floating-point division unit) was discovered in 1994 by Prof. Thomas R. Nicely at Lynchburg College, USA
- 4 to 5 million Pentium chips produced with bug
- Scientists suspected errors and posted on the Internet in September 1994
- On Nov. 22, 1994, Intel made Press release: “Can make errors in 9th digit ....”

*Courtesy: D. A. Patterson and J. L. Hennessy, Computer Organization and Design, Morgan Kaufmann*

# Pentium Conclusion: Dec. 21, 1994

## \$ 500M write-off

“To owners of Pentium processor-based computers and the PC community:

We at Intel wish to sincerely apologize for our handling of the recently publicized Pentium processor flaw.

Intel will exchange the current version of the Pentium processor for an updated version, in which this floating-point divide flaw is corrected, for any owner who requests it, free of charge anytime during the life of their computer. Just call 1-800-628-8686.”

*Sincerely,*

Andrew S. Grove      Craig R. Barrett      Gordon E. Moore

# Computers of the Future ...

# Computers – Present and Future

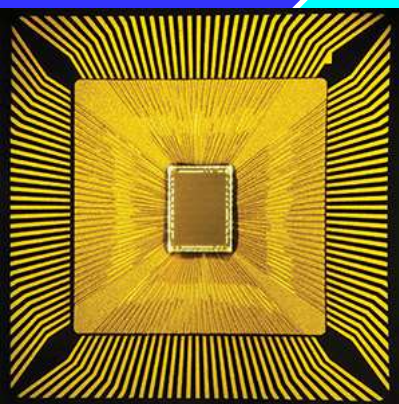
- Personal computers
- Laptops and Palmtops
- Networking and wireless
- SOC and MEMS technology
- Future generations
  - Biological computing
  - Neuromorphic computing
  - Molecular computing
  - Nanotechnology – Carbon Nano-tube (CNT) based CPU
  - Optical computing
  - Quantum computing

# Neuromorphic Chips

Bridging the gap between artificial and natural computation



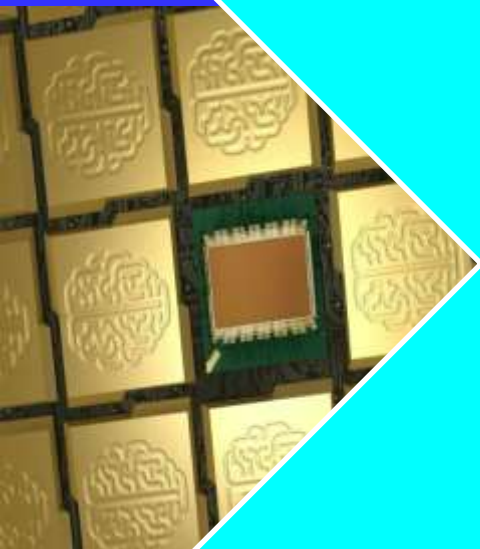
Advances in neuroscience and chip technology now enable to process data in a similar way like brain. These “neuromorphic” chips will play chess, drive cars reliably in all conditions, and empower smartphones to act as personal assistants.





# Neuromorphic Chips

Bridging the gap between artificial and natural computation



IBM TrueNorth  
cores, which  
human neuron  
synapses, two  
biological build  
up the h

This IBM chip  
patterns of puls  
to one of t  
neuroscientis  
stores i

SyNAPSE (Systems of Neur  
Scalable Electronics) project

Recognizes people, cyclists, cars,  
buses, and trucks with about 80  
percent accuracy.



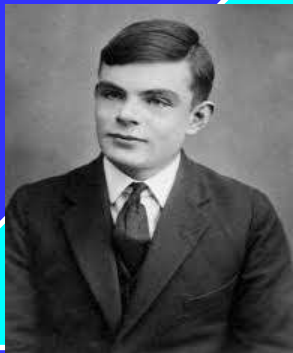
Do not separate processor & memory

Burns only 63 mW of power to  
process streaming video with 30  
frames per sec.

# Google and IBM Announce Quantum Computers (2015 - 2019)

These processors will work on sub-atomic levels based on the principles of quantum mechanics making them much faster

Technology could one day lead to intelligent machines that are capable of thinking

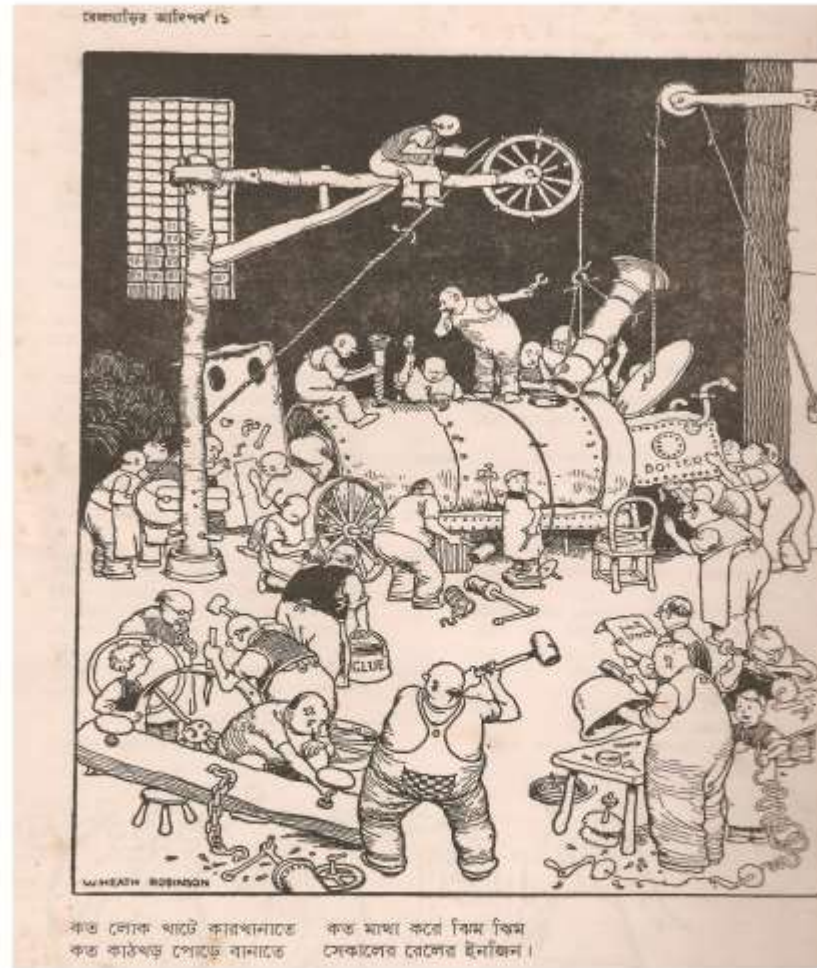


Alan Turing  
(1912-1954)

Can machines think?



# Building a Computer System .....



Courtesy: Satyajit Ray

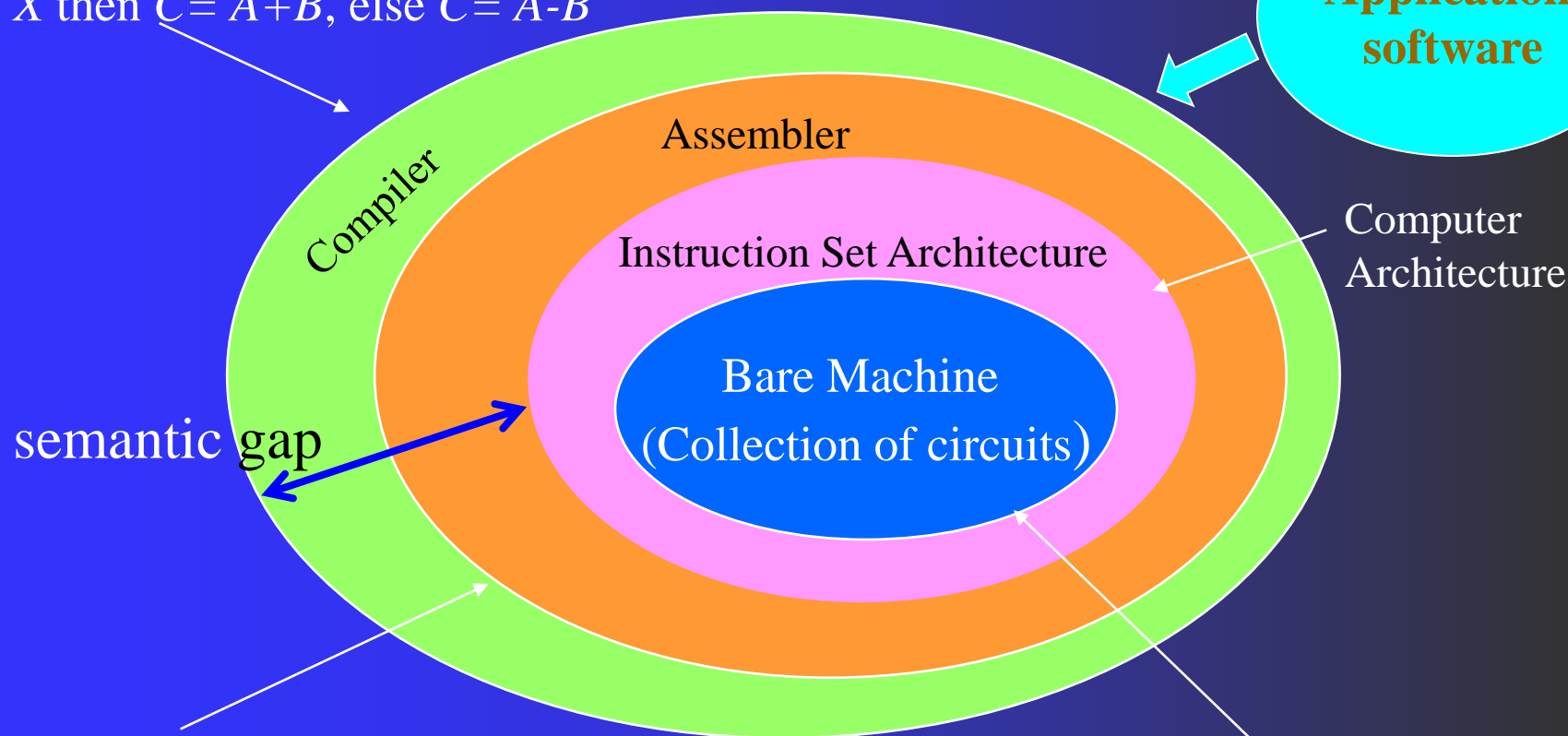
What are the design issues?

# Computing System Hierarchy

Can understand high-level language, e.g.,

If  $X$  then  $C = A + B$ , else  $C = A - B$

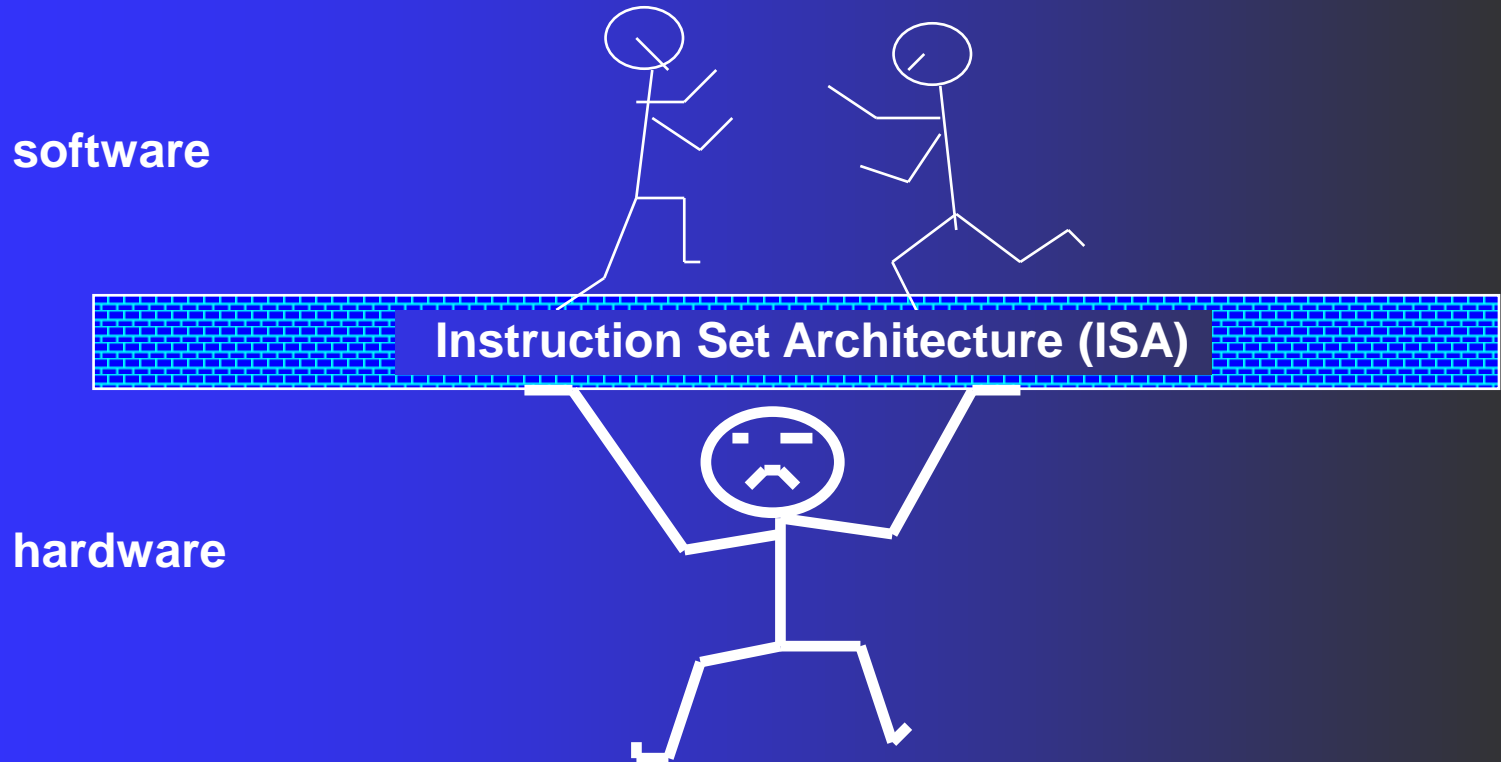
**Application software**



Can understand symbolic assembly language, e.g., add  $C, A, B$

can understand only machine language – binary strings of 0's and 1's, (0101001100..) i.e., electrical *off* and *on* signals (Computer Organization)

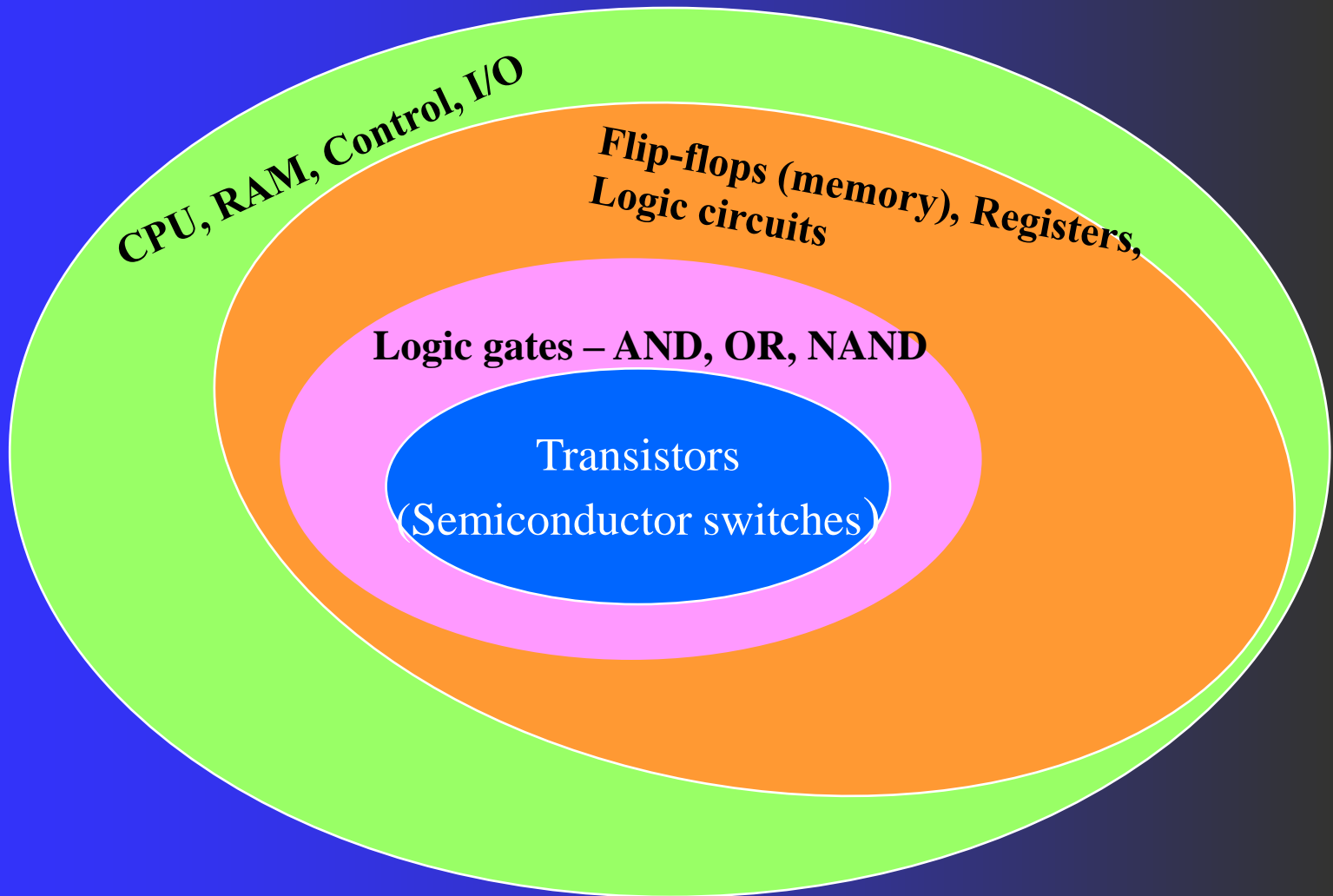
# Hardware-Software Interface



ISA: Collective attributes of the machine-language instruction set

Courtesy: Patterson and Hennessy

# Hardware Hierarchy



# How a program is executed by a computer

Compiler

Assembler

*Application software,  
a program in C:*

```
swap (int v[ ], int k)
{int temp;
    temp = v[k];
    v[k] = v[k+1];
    v[k+1] = temp;
}
```

*Compiler translates it to  
assembly language program  
of the target machine*

swap;

mul	\$2,	\$5, 4
add	\$2,	\$4, \$2
lw	\$15,	0 (\$2)
lw	\$16,	4 (\$2)
sw	\$16,	0 (\$2)
sw	\$15,	4 (\$2)
jr	\$31	

*binary machine code*

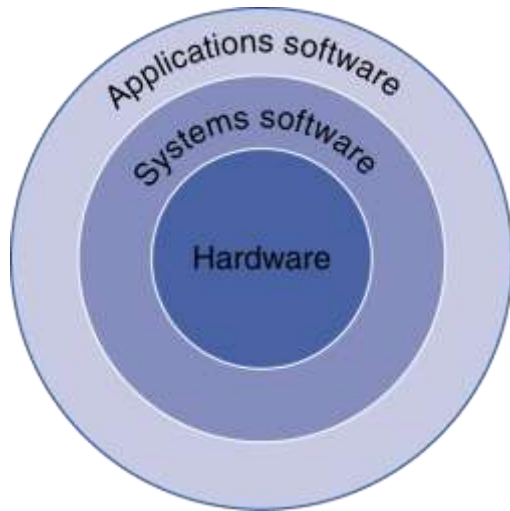
```
000000001010000100000000000011000
000000000000110000001100000100001
100011000110001000000000000000000
100011001111001000000000000000100
101011001111001000000000000000000
101011000110001000000000000000100
00000011111000000000000000001000
```

Hardware

output



# Below Your Program



- Application software
  - Written in high-level language
- System software
  - Compiler: translates HLL code to machine code
  - Operating System: service code
    - Handling input/output
    - Managing memory and storage
    - Scheduling tasks & sharing resources
- Hardware
  - Processor, memory, I/O controllers

# Levels of Program Code

- High-level language
  - Level of abstraction closer to problem domain
  - Provides for productivity and portability
- Assembly language
  - Textual representation of machine instructions
- Hardware representation
  - Binary digits (bits)
  - Encoded instructions and data

High-level  
language  
program  
(in C)

```
swap(int v[], int k)
{int temp;
  temp = v[k];
  v[k] = v[k+1];
  v[k+1] = temp;
}
```

Compiler

Assembly  
language  
program  
(for MIPS)

```
swap:
  muli $2, $5, 4
  add  $2, $4, $2
  lw   $15, 0($2)
  lw   $16, 4($2)
  sw   $16, 0($2)
  sw   $15, 4($2)
  jr   $31
```

Assembler

Binary machine  
language  
program  
(for MIPS)

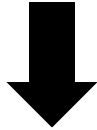
```
000000001010000100000000000011000
000000000000110000001100000100001
100011000110001000000000000000000
100011001111001000000000000000100
101011001111001000000000000000000
101011000110001000000000000000100
00000011111000000000000000001000
```

# Program Execution

Program written in high-level language, e.g., *C*



Compiler



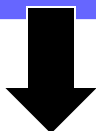
Assembly-language code

Assembler



Machine-language code (binary)

Hardware



Result

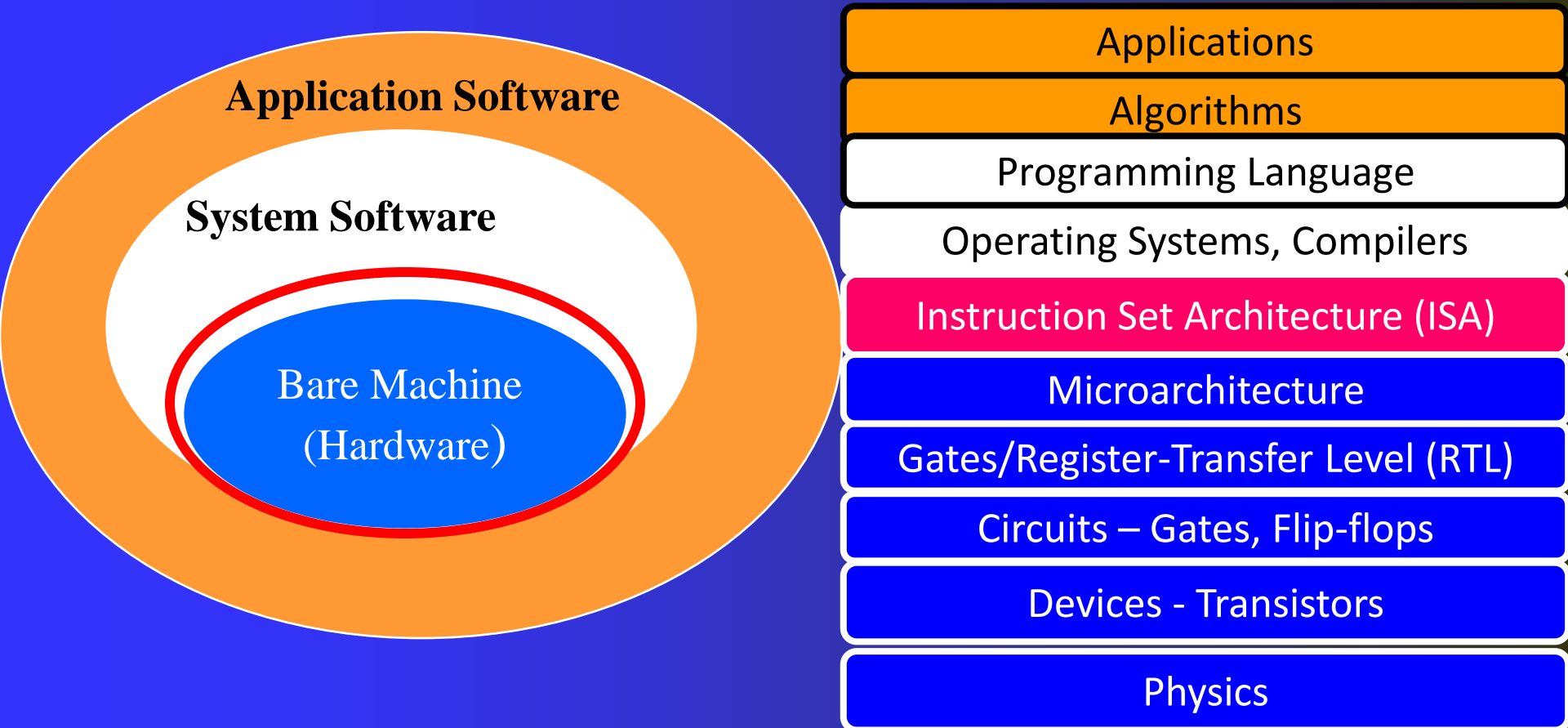
## Questions:

Where is the compiler running?

Who compiles the compiler?

How is the Assembler running?

# System Hierarchy



# Deductive and Inductive Approach



Sherlock Holmes' deductive reasoning

Top-down approach (deductive):  
*from general to particular*

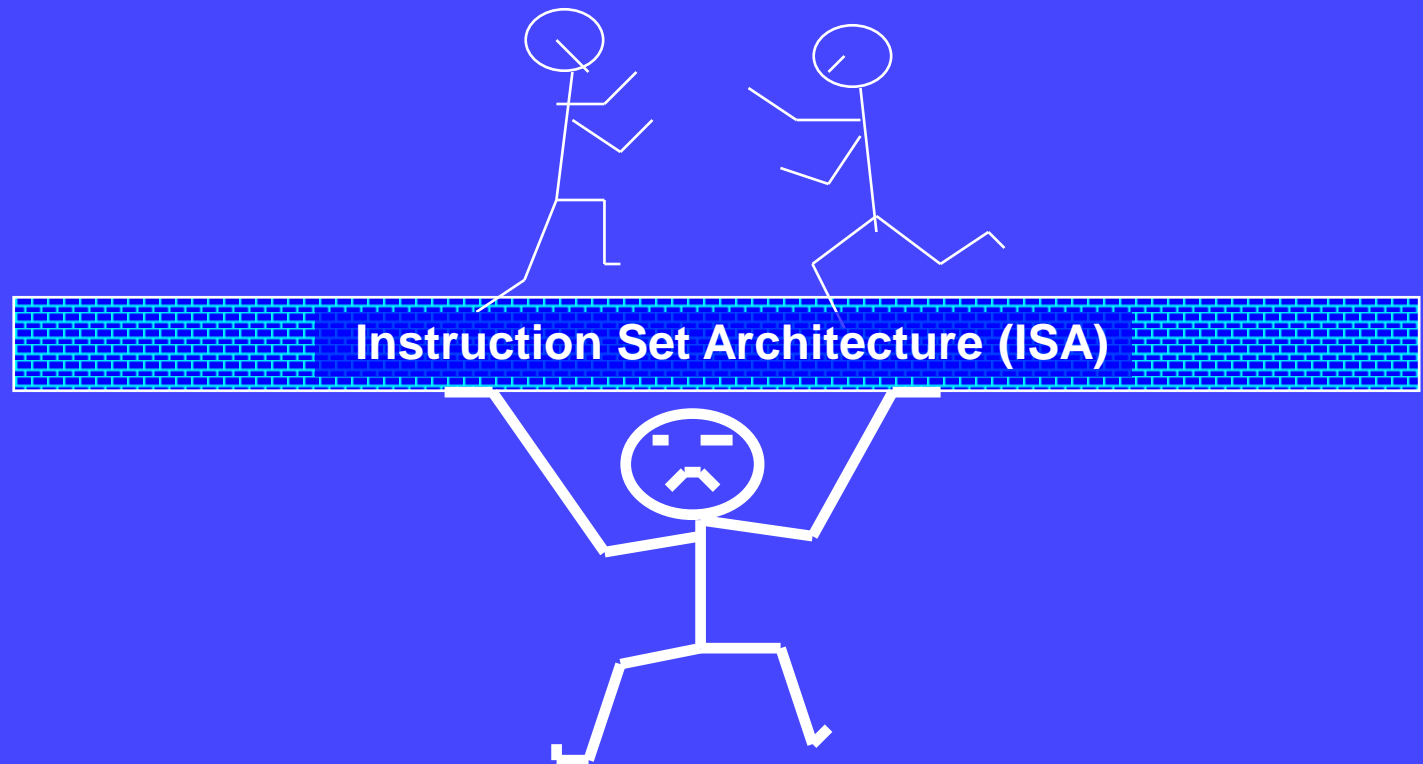
Bottom-up approach (inductive):  
*from particular instances to generality*

*Arthur Conan Doyle, The Memoirs of Sherlock Holmes (1893)*

# Computer Organization *versus* Computer Architecture

## Computer Architecture (top-down view)

The view of a computer as perceived by software designers

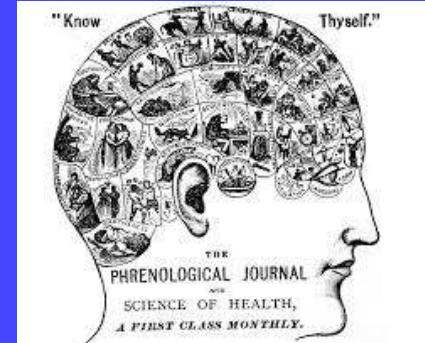
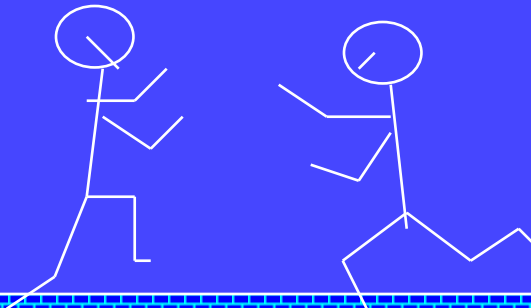


## Computer Organization (bottom-up view)

The actual implementation of components in hardware

# Computer Organization *versus* Computer Architecture

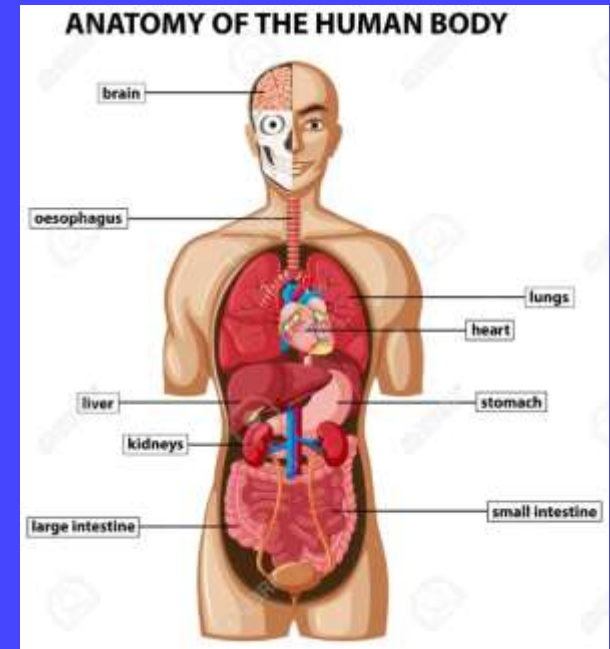
Computer Architecture: The personality of a machine  
(behavioral view)



Instruction Set Architecture (ISA)



Computer Organization:  
The anatomy of a machine  
(structural view)





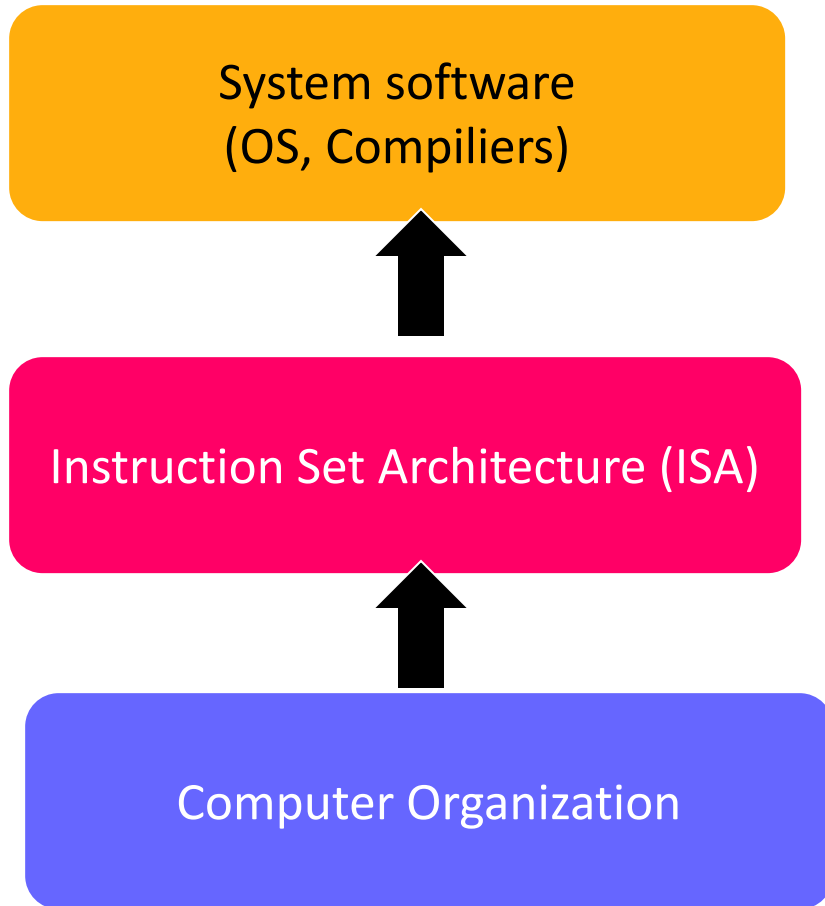
# Computer Architecture

- Architecture is visible to a programmer
  - Instruction set
  - Registers
  - Data representation, addressing modes
  - I/O mechanisms
  - Virtual view of memory

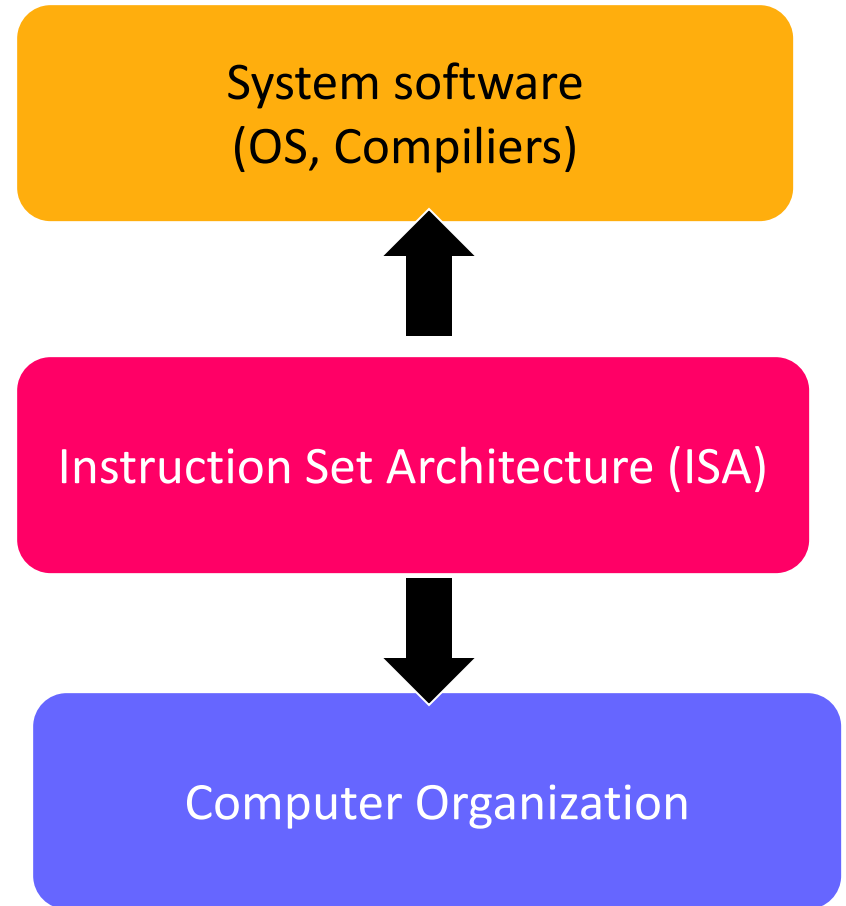
# Computer Organization

- Organization is visible to hardware designer
  - Hardware implementation of an instruction
  - Registers, program counter
  - Arithmetic and logical units
  - Control logic, internal states
  - Pipelining hardware
  - Cache and main memory

# Computer Design Approach



Bottom-up approach:  
Hardware first, ISA later

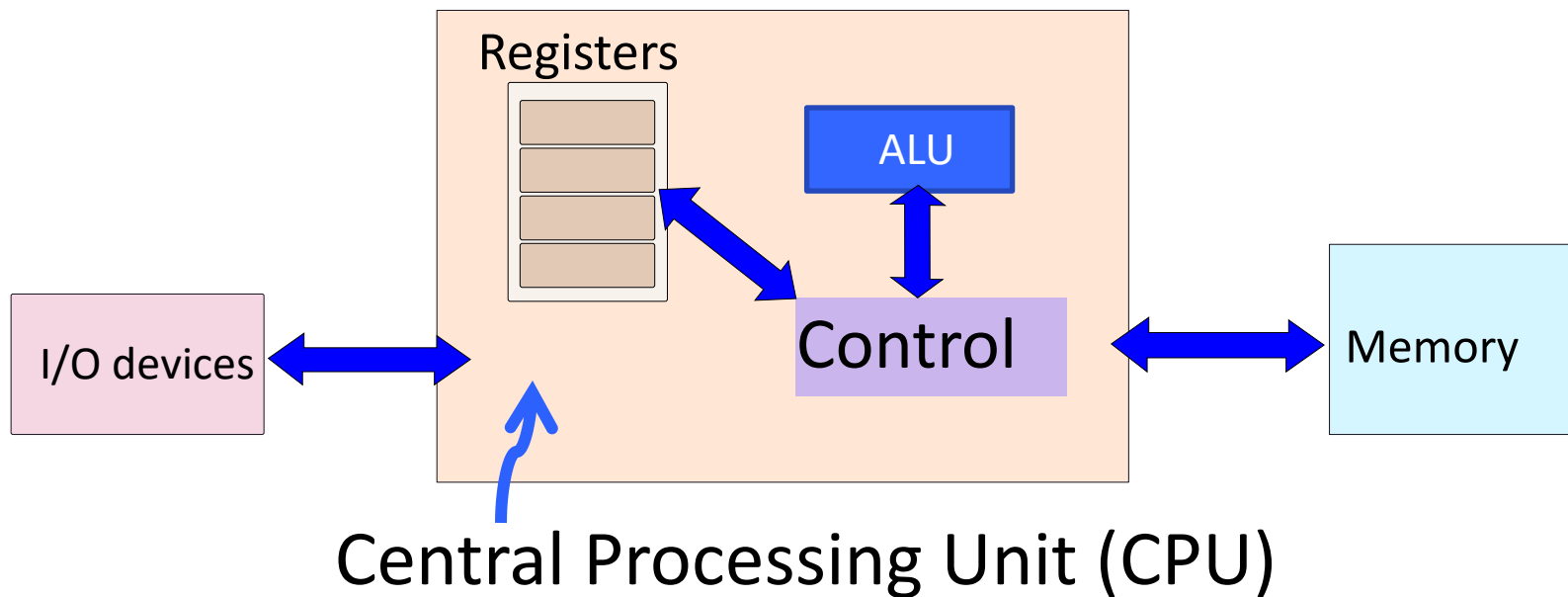


Top-down approach:  
ISA first, hardware later

# Instruction Set Architecture (ISA)

- A set of assembly language instructions that separates the interface between software and hardware
- In top-down design, given an ISA, an appropriate hardware platform is built to support it
- Based on ISA, OS and compilers are to be developed accordingly further going up
- Once ISA is fixed, software and hardware engineers can work independently
- ISA is designed to optimize the performance supported by the available hardware technology

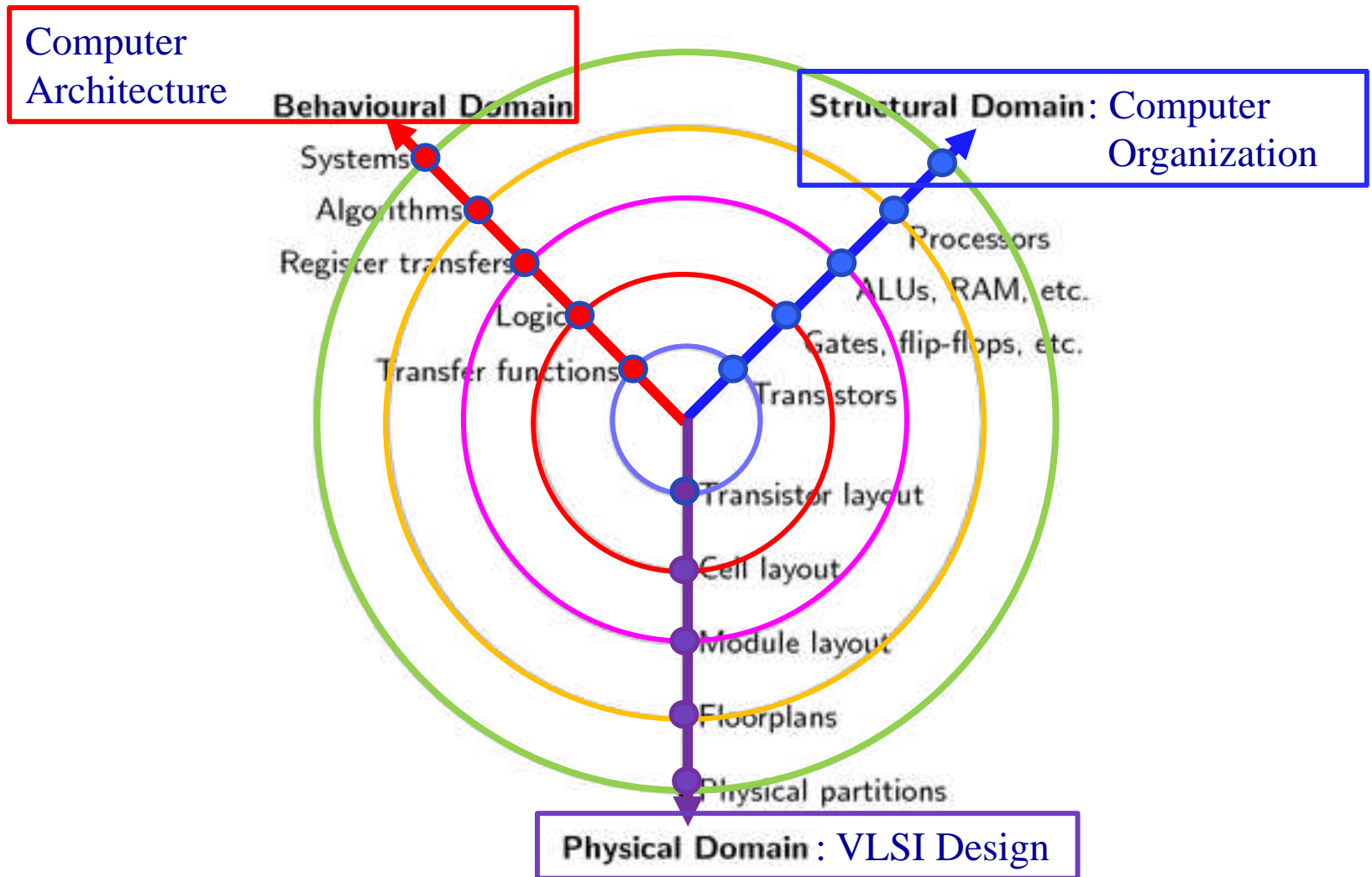
# The Hardware of a Computer



*Five easy pieces:*

Control, Arithmetic Logic Unit (ALU), Memory,  
Input/Output, Datapath (Bus)

# Digital System Design from Three Perspectives



Gajski-Kuhn Y-Chart (1983)



# First Digital Computer Built in India

*Commissioning of the*  
**DIGITAL COMPUTER ISIJU-1**



**SOUVENIR**

**ELECTRONICS AND TELECOMMUNICATION DEPARTMENT**

**JADAVPUR UNIVERSITY**

In 1966, a digital computer named ISIJU is designed and commissioned, with joint collaboration between Indian Statistical Institute (ISI) and Jadavpur University (JU), Kolkata

# Next Class

- ❖ Model for Computation and Turing Machine
- ❖ von Neumann Architecture
- ❖ Basic Features of Instruction Set Architecture (ISA)
- ❖ CPU Performance Equation
- ❖ Amdahl's Law
- ❖ RISC *versus* CISC